ISOCANTED ALCOVED POLYTOPES

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Abstract. Through tropical normal idempotent matrices, we introduce isocanted alcoved polytopes, computing their f-vectors and checking the validity of the following five conjectures: Bárány, unimodality, 3^d, flag and cubical lower bound (CLBC). Isocanted alcoved polytopes are centrally symmetric, almost simple cubical polytopes. They are zonotopes. We show that, for each dimension, there is a unique combinatorial type. In dimension d, an isocanted alcoved polytope has $2^{d+1} - 2$ vertices, its face lattice is the lattice of proper subsets of $[d + 1]$ and its diameter is $d + 1$. They are realizations of d-elementary cubical polytopes. The f-vector of a d-dimensional isocanted alcoved polytope attains its maximum at the integer $\lfloor d/3 \rfloor$.

Keywords: cubical polytope; isocanted; alcoved; centrally symmetric; almost simple; zonotope; f-vector; cubical g-vector; unimodal; flag; face lattice; log-concave sequence; tropical normal idempotent matrix; symmetric matrix

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1. Introduction

This paper deals with f-vectors of isocanted alcoved polytopes. A *polytope* is the convex hull of a finite set of points in $\mathbb{R}^d$. A polytope is a *box* if its facets are only of one sort: $x_i = \mathrm{const}$, $i \in [d]$. A polytope is *alcoved* if its facets are only of two sorts: $x_i = \mathrm{const}$ and $x_i - x_j = \mathrm{const}$, $i, j \in [d]$, $i \neq j$. Every alcoved polytope can be viewed as the perturbation of a box. In a box we distinguish two opposite vertices and the perturbation consists on canting (i.e. beveling, meaning producing a flat face upon something) some (perhaps all) of the $(d - 2)$-faces of the box *not meeting* the distinguished vertices. When the perturbation happens for all such $(d - 2)$-faces

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and with the same positive magnitude, we obtain as a result an *isocanted alcoved polytope*. The notion makes sense only for $d \geqslant 2$.

The f-vector of a d-polytope $\mathcal{P}$ is the tuple $(f_0, f_1, \ldots, f_{d-1})$, where f_j is the number of j-dimensional faces in $\mathcal{P}$, for $j = 0, 1, 2, \ldots, d - 1$. The f-vector can be extended with $f_d = 1$. It is well known that the f-vector of a d-box is

$$(1.1) \qquad B_{d,j} = 2^{d-j} \binom{d}{j}, \quad j = 0, 1, \ldots, d.$$

The quest for f-vectors is unrelenting. As Ziegler writes in [40] "on some fundamental problems embarrassingly little progress was made; one notable such problem concerns the shapes of f-vectors" and "new polytopes with interesting f-vectors should be produced" and also "it seems that overall, we are short of examples."

The main result in this paper is that the f-vector of an isocanted d-alcoved polytope is given by

$$(1.2) \qquad I_{d,j} = (2^{d+1-j} - 2) \binom{d+1}{j}, \; j = 0, 1, \ldots, d-1, \quad I_{d,d} = 1.$$

The numbers $I_{d,j}$ are even, for $j \leqslant d - 1$, because isocanted alcoved d-polytopes are centrally symmetric. We verify several conjectures for f-vectors, namely, unimodality, Bárány, Kalai 3^d and flag conjectures as well as CLCB. Further properties are proved, showing that isocanted alcoved polytopes are d-elementary cubical, almost simple and zonotopes.

The paper is organized as follows. In Section 3 we give the definition and then, in Theorem 3.4, we prove a crucial characterization: isocanted alcoved polytopes are those alcoved polytopes having a unique vertex for each proper subset of $[d + 1]$. Concrete examples are given in Example 3.5. It follows from Theorem 3.4 that the face lattice of an isocanted alcoved d-polytope is the lattice of proper subsets of $[d + 1]$. It is proved that isocanted alcoved polytopes are cubical and are zonotopes. In Section 4 we explain in detail the cases of dimensions 3 and 4, providing figures which help the reader visualize the many properties of these polytopes. We compute two invariants of 4-isocanted alcoved polytopes: fatness and f_{03}. In Section 5 we prove that the five mentioned conjectures hold true for isocanted alcoved polytopes. Log-concavity provides a short proof of the unimodality of $I_{d,j}$, for fixed $d \geqslant 2$. We also prove that the maximum of $I_{d,j}$ is attained at the integer $\lfloor d/3 \rfloor$. We show that the diameter is $d + 1$.

This paper encompasses tropical matrices and classical polytopes, in the sense that tropical matrices are the means to describe certain polytopes. We use several sorts of *special matrices*, operated with tropical addition $\oplus = \max$ and tropical

multiplication $\odot = +$, such as: normal idempotent (with respect to $\odot$), visualized normal idempotent matrices, symmetric normal idempotent matrices and, among these, box matrices, cube matrices and isocanted matrices.

Tropical linear algebra and tropical algebraic geometry are fascinating, new, fast growing areas of mathematics with new and important results. For our purposes we recommend [8], [9], [10], [15], [24], [25], [26], [27], [33] among many others. Alcoved polytopes have been first studied in [23], [37], then in [11], [13]. Cubical polytopes have been addressed in [1], [2], [5], [6], [20]. General references for polytopes are [3], [4], [16], [22], [29], [30], [39], [40]. Normal idempotent matrices have been used in [13], [38]. Idempotent matrices, also called Kleene stars, have been used in [11], [31], [36] in connection to polytopes.

2. Background and notations

Well-known definitions and facts are presented here. The set $\{1, 2, \ldots, d+1\}$ is denoted $[d+1]$ and $\binom{[d+1]}{j}$ denotes the family of subsets of $[d+1]$ of cardinality j. The origin in $\mathbb{R}^d$ is denoted $\mathbf{0}$. Maximum and minimum are taken componentwise in $\mathbb{R}^d$. A *polyhedron* in $\mathbb{R}^d$ is the intersection of a finite number of halfspaces. It may be unbounded. A bounded polyhedron is called a *polytope* and every polytope is the convex hull of a finite set of points. A d-polyhedron is a polyhedron of dimension d. A d-polyhedron $\mathcal{P}$ is *alcoved* if its facets are only of two types: $x_i = \text{const}$ and $x_i - x_j = \text{const}$, $i, j \in [d]$, $i \neq j$. A double index notation is useful here because, in this way, we can gather the coefficients in a matrix over $\mathbb{R} \cup \{\pm\infty\}$: indeed, write

$$(2.1) \qquad a_{i,j} \leqslant x_i - x_j \leqslant -a_{j,i}$$

and similarly,

$$(2.2) \qquad a_{i,d+1} \leqslant x_i \leqslant -a_{d+1,i}.$$

Then, setting $a_{i,j} = \pm\infty$ if one facet $x_i - x_j = \text{const}$ is not specified, and letting (by convention) $a_{i,i} = 0$ for all $i \in [d+1]$, we get a square matrix $A = [a_{i,j}] \in M_{d+1}(\mathbb{R} \cup \{\pm\infty\})$ from $\mathcal{P}$. We write $\mathcal{P} = \mathrm{p}(A)$ to express the former relationship between the polyhedron $\mathcal{P}$ and the matrix A. In addition to $a_{i,i} = 0$, $i \in [d+1]$, the entries of the matrix A satisfy $-\infty \leqslant a_{i,j} \leqslant -a_{j,i} \leqslant \infty$ for all $i, j \in [d+1]$. Different matrices A may give rise to the same polyhedron.

Definition 2.1 (Alcoved polytope (AP)). A d-polytope $\mathcal{P} \subset \mathbb{R}^d$ is *alcoved* if there exist constants $a_{i,j} \in \mathbb{R}$ such that $x \in \mathcal{P}$ if and only if $a_{i,d+1} \leqslant x_i \leqslant -a_{d+1,i}$ for all $i \in [d]$, and $a_{i,j} \leqslant x_i - x_j \leqslant -a_{j,i}$ for all $i, j \in [d+1]$. Letting $A = [a_{i,j}] \in M_{d+1}(\mathbb{R})$, we write $\mathcal{P} = \mathrm{p}(A)$.

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Important particular cases provide special matrices as follows:

(1) $\mathbf{0} \in \mathrm{p}(A)$ if and only if A is *normal* (N) (meaning $a_{i,i} = 0$, $a_{i,j} \leqslant 0$ for all i, j), see [10], [38];

(2) If $\mathbf{0} \in \mathrm{p}(A)$, then A describes $\mathrm{p}(A)$ *optimally* (or tightly) if and only if A is *normal idempotent* (NI) (meaning that in addition to normality we have $A \odot A = A$, which requires that $a_{i,j} + a_{jk} \leqslant a_{ik}$ for all i, j, k)[1], see [11], [31], [36];

(3) For each alcoved polytope $\mathcal{P}$ containing $\mathbf{0}$ there exist a unique NI matrix A such that $\mathcal{P} = \mathrm{p}(A)$, see Lemma 2.6 in [11] and [31], [36].

Combinatorial properties of polytopes are, by nature, translation invariant. Every translate of an alcoved polytope is alcoved. For each general alcoved polytope $\mathcal{P}$, infinitely many translates $\mathcal{P}'$ of $\mathcal{P}$ exist such that $\mathbf{0} \in \mathcal{P}'$. We can choose any such $\mathcal{P}'$ to study $\mathcal{P}$, and we know that $\mathcal{P}' = \mathrm{p}(A)$ for a unique NI matrix A. Often, we choose $\mathcal{P}'$ in two special locations with respect to $\mathbf{0}$, each location corresponding to a subclass of NI matrices:

(1) $\mathbf{0} = \max \mathrm{p}(A)$ if and only if A is *visualized normal idempotent* (VNI) (in addition to NI, the entries of A satisfy $a_{d+1,i} = 0$ for all i), see [10], [11], [13];

(2) $\mathrm{p}(A) = -\mathrm{p}(A)$ if and only if A is *symmetric normal idempotent* (SNI) (in addition to NI, the entries of A satisfy $a_{i,j} = a_{j,i}$ for all i, j), see [13], [19].

From [13], we know that translation of an alcoved polyhedron $\mathrm{p}(A)$ corresponds to conjugation of its matrix A by a diagonal matrix (with null last diagonal entry).

Our aim is, after defining isocanted alcoved polytopes, to compute the f-vector of those. But, what is already known about vertices of an alcoved polytope $\mathrm{p}(A)$ in $\mathbb{R}^d$? First, the number of vertices of $\mathrm{p}(A)$ is bounded above by $\binom{2d}{d}$ and this bound is sharp (see [15], [33]). *Which points are vertices of* $\mathrm{p}(A)$? In order to answer this question we introduce (a) the *auxiliary matrix* A_0 and (b) the notion of *tropical linear subspace* (by linear, we mean affine linear).

For $A = [a_{i,j}]$, the matrix $A_0 = [\alpha_{i,j}]$ is defined by $\alpha_{i,j} := a_{i,j} - a_{d+1,j} = a_{i,j} \odot (-a_{d+1,j})$.[2] The columns of A_0 are scalar multiples (with respect to $\odot$) of the columns of A. The fact that $\mathrm{diag}(A)$ is zero implies that $\mathrm{row}(d+1, A_0)$ is zero (and conversely), so the columns of A_0 belong to the hyperplane $\{x \in \mathbb{R}^{d+1} : x_{d+1} = 0\}$ which is identified with $\mathbb{R}^d$.[3] Besides, if A is NI, then $A = A_0$ if and only if A is VNI.

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[1] The family of normal idempotent matrices is a subclass of the family of Kleene star matrices.

[2] Notice that A_0 might be not normal.

[3] This way of going from $\mathbb{R}^{d+1}$ to $\mathbb{R}^d$, viewed as a hyperplane, is analogous to going from projective to affine space, by intersecting with the hyperplane $x_{d+1} = 1$, in classical geometry.

Inequalities (2.2) are transformed into

$$(2.3) \qquad \alpha_{i,d+1} \leqslant x_i \leqslant \alpha_{i,i} \quad \forall\, i \in [d],$$

which yield the following facts:

$$(2.4) \qquad \min \mathrm{p}(A) = \mathrm{col}(d+1, A_0), \quad \max \mathrm{p}(A) = \mathrm{diag}(A_0).$$

Besides, $\mathrm{p}(A)$ is the family of all tropical affine combinations of columns of A_0 (see Theorem 2.1 [11], Proposition 12 [36])[4]:

$$(2.5) \quad \mathrm{p}(A) = \{x \in \mathbb{R}^{d+1} \colon x_{d+1} = 0,\ x = \lambda_1 \odot \mathrm{col}(1, A_0) \oplus \ldots \oplus$$
$$\lambda_{d+1} \odot \mathrm{col}(d+1, A_0),\ \lambda_j \in \mathbb{R},\ 0 = \lambda_1 \oplus \ldots \oplus \lambda_{d+1}\}.$$

Thus $\mathrm{p}(A)$ is a proper subset of the unique linear subspace determined by the columns of A_0. In particular, the columns of A_0 are some of the vertices of $\mathrm{p}(A)$. They are called the *generators* of $\mathrm{p}(A)$. The rest of vertices of $\mathrm{p}(A)$ are tropical linear combinations of the generators, and are thus called *generated vertices* of $\mathrm{p}(A)$. In order to explain this, we must first define tropical linear subspaces. A *tropical linear subspace* is the *tropicalization* of a linear subspace of K^d, where $K := \mathbb{C}\{\{t\}\}$ is the field of *Puiseux series*. If $L \subseteq K^d$ is a linear subspace and $I(L) \subseteq K[x_1^{\pm 1}, x_2^{\pm 1}, \ldots, x_d^{\pm 1}]$ is the ideal of all *Laurent polynomials* vanishing on L, consider $q \in I(L)$, $q = \sum_{s \in S} a_s \mathbf{x}^s$, with $\mathbf{x} = (x_1, x_2, \ldots, x_d)$ variables, $s = (s_1, s_2, \ldots, s_d) \in S$ exponents, $S \subset \mathbb{N}^d$ a finite set, $a_s \in K$ and $\mathbf{x}^s = x_1^{s_1} x_2^{s_2} \ldots x_d^{s_d}$. Then, consider the tropicalization of q

$$(2.6) \qquad \mathrm{Trop}(q) := \bigoplus_{s \in S} -\mathrm{v}(a_s) \odot x_1 s_1 \odot x_2 s_2 \odot \ldots \odot x_d s_d$$
$$= \max_{s \in S} -\mathrm{v}(a_s) + x_1 s_1 + x_2 s_2 + \ldots + x_d s_d,$$

where tropical powers are transformed into products, $\mathrm{v} \colon K \setminus \{0\} \to \mathbb{Q}$ is the standard valuation (i.e. the order of vanishing of a series). The *corner locus* of $\mathrm{Trop}(q)$ is, by definition, the collection of points $x \in \mathbb{R}^d$, where the maximum in $\mathrm{Trop}(q)(x)$ is attained at least twice.[5] Finally, $\mathrm{Trop}(L)$ is, by definition, the closure of the intersection of corner loci for all $q \in I(L)$. Since the *corner locus* of $\mathrm{Trop}(q)$ is piecewise linear, then tropical linear subspaces are polyhedral complexes.[6]

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[4] Here tropical geometry does not mimic classical geometry since affine combinations do not produce the whole tropical linear subspace but only a bounded subset of it.

[5] The translation to tropical mathematics of the expression "equal to zero" or "zero set" is "the maximum is attained at least twice."

[6] Unlike classical geometry, it is not true that in d-dimensional space, the intersection of a generic family of $(d-k)$ tropical linear hyperplanes is a tropical linear subspace of dimension k.

Notice that a unique tropical linear subspace is determined by each subset of generators (i.e. of columns of A_0). A convenient notation is $L_A(W)$ for each $W \in \binom{[d+1]}{j}$ with $1 \leqslant j \leqslant d$. We will write $L(W)$ when A is understood. $L(W)$ is a $(j-1)$-dimensional tropical linear subspace and, being piecewise linear, the subspace $L(W)$ has a finite number of vertices (however, an upper bound on how many is not known in all cases, see [33]). Returning to the question of which points are vertices of $\mathrm{p}(A)$, the answer is that the vertices of $\mathrm{p}(A)$ are all the vertices of all subspaces $L(W)$ for $W \in \binom{[d+1]}{j}$. The case $j = 1$ gives the $d+1$ generators of $\mathrm{p}(A)$.

The easiest alcoved polytopes are *boxes* and *cubes*, determined by equations $x_i = \text{const}$. We fix a convenient matrix notation for boxes with special matrices VNI and SNI (see Items (1) and (2) on page 4). Recall that translation of an alcoved polyhedron $\mathrm{p}(A)$ is achieved by conjugation of matrix A.

Notation 2.2 (Box matrices). *Given real numbers $l_i > 0$, $i \in [d]$, consider*

(1) $B^{\mathrm{VNI}}(d+1; l_1, l_2, \ldots, l_d) = [b_{i,j}] \in M_{d+1}(\mathbb{R})$ *with*

$$
b_{i,j} = \begin{cases} -l_i, & d+1 \neq i \neq j, \\ 0, & \text{otherwise.} \end{cases}
$$

This matrix is VNI (easily checked) and called the VNI box matrix with edge-lengths l_j. In particular, we have the VNI cube matrix $Q^{\mathrm{VNI}}(d+1; l) := B^{\mathrm{VNI}}(d+1; l, \ldots, l)$.

(2) *The conjugate matrix $D \odot B^{\mathrm{VNI}}(d+1; l_1, l_2, \ldots, l_d) \odot D^{-1}$ is SNI (easily checked), where $D = \mathrm{diag}(l_1/2, l_2/2, \ldots, l_d/2, 0)$. It is denoted $B^{\mathrm{SNI}}(d+1; l_1, l_2, \ldots, l_d) = [c_{i,j}]$ and we have*

$$
c_{i,j} = \begin{cases} -\frac{1}{2}l_i, & j = d+1, \\ -\frac{1}{2}l_j, & i = d+1, \\ 0, & i = j, \\ \frac{1}{2}(-l_i - l_j), & \text{otherwise.} \end{cases}
$$

Similarly we have the cube matrix $Q^{\mathrm{SNI}}(d+1; l)$.

(3) *A box matrix is any diagonal conjugate of the above, i.e. $D' \odot B \odot D'^{-1}$, where $D' = \mathrm{diag}(d'_1, d'_2, \ldots, d'_d, 0)$ with $d'_j \in \mathbb{R}$ and $B = B^{\mathrm{VNI}}(d+1; l_1, l_2, \ldots, l_d)$. It is NI (easily checked).*

Definition 2.3 (from de la Puente [13]). *Any non-positive real matrix $E \in M_{d+1}(\mathbb{R})$ with null diagonal, last row and column is called perturbation matrix. In symbols, $E = [e_{i,j}]$ with $e_{i,i} = e_{d+1,i} = e_{i,d+1} = 0$ and $e_{i,j} \leqslant 0$ for all i, j.*

In [13] it is proved that for any NI matrix $A \in M_{d+1}(\mathbb{R})$ (not necessarily VNI or SNI), there exists a unique decomposition $A = B - E$, where B is a NI box matrix and E is a perturbation matrix. The polytope $\mathrm{p}(B)$ is called the *bounding box* of the alcoved polytope $\mathrm{p}(A)$. It is also proved that E is invariant under conjugation by diagonal matrices with zero last diagonal entry.

3. Definition, characterization and f-vector of IAPs

Definition 3.1 (Isocanted alcoved polytope (IAP)). Let $A \in M_{d+1}(\mathbb{R})$ be a NI matrix with decomposition $A = B - E$. The alcoved polytope $\mathrm{p}(A)$ is *isocanted* if E is a *constant perturbation matrix*, i.e. there exists $a > 0$ such that $e_{i,j} = -a$ for all $i, j \in [d]$, $i \neq j$. The number a is called *cant parameter* of $\mathrm{p}(A)$. We write $E = [-a]$, by abuse of notation.

R e m a r k 3.2. Every box in $\mathbb{R}^d$ is centrally symmetric and, using translation, we can place its center of symmetry at the origin of $\mathbb{R}^d$. An IAP is a perturbed box with constant (whence symmetric) matrix E. Then every IAP is centrally symmetric, by Item (2) on page 4.

Notation 3.3 (Special matrices for visualized IAPs and symmetric IAPs, with cubic bounding boxes). *Given real numbers a, l, consider the constant perturbation matrix $E = [-a] \in M_{d+1}(\mathbb{R})$ as above and the matrices (as in Notation 2.2)*

(1) $I^{\mathrm{VNI}}(d+1; l, a) := Q^{\mathrm{VNI}}(d+1; l) - E$,
(2) $I^{\mathrm{SNI}}(d+1; l, a) := Q^{\mathrm{SNI}}(d+1; l) - E$.

It is an easy computation to check that for these matrices to be NI, it is necessary and sufficient that $0 < a < l$.[7]

The following is the crucial step of the paper. Its proof contains the only tropical computations in what follows.

Theorem 3.4 (Characterization of IAPs). *An alcoved d-polytope $\mathcal{P} = \mathrm{p}(A)$ is isocanted if and only if for each $1 \leqslant j \leqslant d$ and each $W \in \binom{[d+1]}{j}$, the tropical linear subspace $L_A(W)$ has a unique vertex.*

P r o o f. Without loss of generality, we can assume that the bounding box of $\mathcal{P}$ is a cube (of edge-length $l > 0$) since an affine bijection does not affect the result. We

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[7] The limit case $a = l$ provides a polytope of dimension less than d. The limit case $a = 0$ provides the d-cube. Matrices $I^{\mathrm{VNI}}(d+1; l_1, l_2, \dots, l_d, a)$ and $I^{\mathrm{SNI}}(d+1; l_1, l_2, \dots, l_d, a)$ may be similarly defined, for $0 < a < \min_j l_j$, but we will not use them.

can also assume that $\mathcal{P}$ is located in d-space, so $\mathbf{0} = \max \mathcal{P}$, because a translation does not affect the result. Then $\mathcal{P} = \mathrm{p}(C)$ with $C = Q^{\mathrm{VNI}}(d+1; l) - E$ for some positive l, as in Notation 2.2 and Definition 2.3. For $W \subset [d+1]$ let $C(W)$ denote the $(d+1) \times j$ sized matrix whose columns are indexed by W and taken from C.

($\Rightarrow$) Assume $\mathcal{P}$ is IAP. Then $E = [-a]$ is constant and then $C = I^{\mathrm{VNI}}(d+1; l, a) = [c_{i,j}]$, as in Item (1) of Notation 3.3. In symbols,

$$c_{i,j} = \begin{cases} -l, & i \neq j = d+1, \\ 0, & i = j \text{ or } i = d+1, \quad \text{with } 0 < a < l. \\ -l + a, & \text{otherwise.} \end{cases}$$

Note that the tropical rank of C is $d+1$ (meaning that the maximum in the tropical permanent[8] of C is attained only once.[9]) In particular, $\mathrm{rk}_{\mathrm{tr}}\, C(W) = j$ for each proper subset $W \in \binom{[d+1]}{j}$.

For $j = 1$, $L(W)$ reduces to a point (a generator) and uniqueness is trivial. Consider a point $x \in \mathbb{R}^{d+1}$ with $x_{d+1} = 0$, and let $C(W, x)$ be the matrix $C(W)$ extended with column x. It is well-known (see [27], [33], [34]) that $x \in L(W)$ if and only if $\mathrm{rk}_{\mathrm{tr}}\, C(W, x) \leqslant j$ (meaning that the maximum in *each* order $(j+1)$ tropical minor[10] is attained at least twice). Besides, x is a vertex in $L(W)$ if and only if the maximum in *each* order $(j+1)$ tropical minor of $C(W, x)$ is attained $(j+1)$ times. Indeed, the vertices of $L(W)$ are obtained by computing the corner locus of $L(W)$, then the corner locus of the corner locus, repeatedly. Each iteration reduces the dimension of the computed set, because points where the maxima are attained one more time than previously, are computed.

For each $2 \leqslant j \leqslant d$ and each index family $1 \leqslant i_1 < i_2 < \ldots < i_j \leqslant d+1$, let $m_{i_1, i_2, \ldots, i_j}$ (or $m_{i_1, i_2, \ldots, i_j}(x)$) denote the order j minor of $C(W)$ (or $C(W, x)$) using rows $i_1, i_2, \ldots, i_j$. Two cases arise.

(1) If $d+1 \notin W$, then it can be seen that $m_{i_1, i_2, \ldots, i_j} = h(-l + a)$, where $h = |\{i_1, i_2, \ldots, i_j\} \setminus (W \cup \{d+1\})|$. In particular, $m_{i_1, i_2, \ldots, i_j} = 0$ when $\{i_1, i_2, \ldots, i_j\} \subseteq W \cup \{d+1\}$.

(2) If $d+1 \in W$, then $m_{i_1, i_2, \ldots, i_j} = h_1(-l) + h_2(-l + a)$, where

$$h_1 = \begin{cases} 1, & i_j \neq d+1, \\ 0, & \text{otherwise,} \end{cases}$$

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[8] The *tropical permanent* is the maximum of a collection of terms (the definition mimics the classical one). Tropical permanent and *tropical determinant* mean the same, in this paper. *Tropical Laplace expansions* are one way to expand tropical determinants. For tropical permanent and tropical rank issues, see [10], [14], [17].

[9] We have $\mathrm{per}_{tr}\, C = 0$, attained only at the identity permutation.

[10] By *tropical minor* we mean the tropical permanent (or determinant) of a square submatrix. It is the maximum of a collection of terms.

and $h_2 = |\{i_1, i_2, \ldots, i_{j-1}\} \setminus W|$. In particular, $m_{i_1, i_2, \ldots, i_j} = 0$ when

$$\{i_1, i_2, \ldots, i_j\} \subseteq W.$$

The order $(j + 1)$ minors in $C(W, x)$, expanded by the last column by the tropical Laplace rule, are

$$(3.1) \qquad m_{i_1, i_2, \ldots, i_{j+1}}(x) = \max_{k \in [j+1]} \{x_{i_k} + m_{i_1, \ldots, i_{k-1}, i_{k+1}, \ldots, i_{j+1}}\}$$

with $1 \leqslant i_1 < i_2 < \ldots < i_{j+1} \leqslant d + 1$, and the requirement that the maximum is attained $(j + 1)$ times simply means that all the terms inside the maximum are equal, i.e.

$$(3.2) \quad x_{i_k} + m_{i_1, \ldots, i_{k-1}, i_{k+1}, \ldots, i_{j+1}} = x_{i_{k'}} + m_{i_1, \ldots, i_{k'-1}, i_{k'+1}, \ldots, i_{j+1}} \quad \forall k, k' \in [j+1].$$

(1) If $d + 1 \notin W$, then $x_k = -l + a = c_{kj}$ for all $k \notin W \cup \{d + 1\}$ (because $\mathrm{rk}_{\mathrm{tr}}\, C(W, x) \leqslant j$ tells us that x is a tropical affine combination of the columns in $C(W)$), and equalities (3.2) imply $x_k = 0$ for all $k \in W \cup \{d + 1\}$.

(2) If $d + 1 \in W$, then $x_k = x_{k'}$ for all $k, k' \notin W$ (because $\mathrm{rk}_{\mathrm{tr}}\, C(W, x) \leqslant j$ tells us that x is a tropical affine combination of the columns in $C(W)$), and equalities (3.2) imply $x_k = -a$ for all $d + 1 \neq k \in W$, $x_k = -l$ for all $k \notin W$, $x_{d+1} = 0$.

($\Leftarrow$) We have $\mathcal{P} = \mathrm{p}(C)$, where $C = Q^{\mathrm{VNI}}(d + 1; l) - E$ is a NI matrix. Assume that for each $1 \leqslant j \leqslant d$ and each $W \in \binom{[d+1]}{j}$, the tropical linear subspace $L(W)$ has a unique vertex denoted x_W^*. We write x^* whenever W is understood. We have $x_{d+1}^* = 0$.

Since $\mathcal{P}$ is centrally symmetric, by Remark 3.2, the matrix E is symmetric. We want to prove that E is constant. Fix $w \in [d]$ and take $W = \{w, d + 1\}$. Use that for each order 3 minor of the matrix $C(W, x^*)$ (where x^* depends on w) all terms in the maximum are equal. Considering those minors involving three different indices $i, w, d + 1$, we get

$$(3.3) \qquad x_i^* + m_{w, d+1} = x_w^* + m_{i, d+1} = x_{d+1}^* + \begin{cases} m_{i,w} & \text{if } i < w \\ m_{w,i} & \text{otherwise} \end{cases} = 0 - l,$$

whence

$$(3.4) \qquad\qquad\qquad x_i^* + 0 = x_w^* - l - e_{i,w} = -l,$$

and so $e_{w,i} = e_{i,w} = x_w^*$. Letting $i \in [d]$ vary in (3.4), we get that $E = [x_w^*]$ is constant. $\qquad \square$

E x a m p l e 3.5. Let $d = 5$. If $j = 5$ and $W = [5]$, then the tropical Laplace expansion by the last column yields $\mathrm{per}_{tr}\, C(W, x) = \max\{x_1, x_2, x_3, x_4, x_5, 0\}$. This maximum is attained by all terms if and only if $x_k = 0$, all $k \in [5]$, so the unique vertex of $L(W)$ is the origin.

If $j = 3$ and $W = [3]$, then

$$C(W, x) = \begin{bmatrix} 0 & -l + a & -l + a & x_1 \\ -l + a & 0 & -l + a & x_2 \\ -l + a & -l + a & 0 & x_3 \\ -l + a & -l + a & -l + a & x_4 \\ -l + a & -l + a & -l + a & x_5 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

Since x is a tropical affine combination of the columns of $C(W)$, it follows that $x_4 = x_5 = -l + a$. Since the maximum

(3.5) $\qquad m_{1234}(x) = \max\{x_1 + m_{234}, x_2 + m_{134}, x_3 + m_{124}, x_4 + m_{123}\}$
$$= \max\{x_1 - l + a, x_2 - l + a, x_3 - l + a, x_4\}$$

is attained by all terms, we get

(3.6) $\qquad x_1 - l + a = x_2 - l + a = x_3 - l + a = x_4 = -l + a,$

whence $x_1 = x_2 = x_3 = 0$. The unique vertex of $L(W)$ is $[0, 0, 0, -l + a, -l + a]^{\top}$.

If $j = 3$ and $W = \{1, 2, d + 1\}$, then

$$C(W, x) = \begin{bmatrix} 0 & -l + a & -l & x_1 \\ -l + a & 0 & -l & x_2 \\ -l + a & -l + a & -l & x_3 \\ -l + a & -l + a & -l & x_4 \\ -l + a & -l + a & -l & x_5 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

Since x is a tropical affine combination of the columns of $C(W)$, it follows that $x_3 = x_4 = x_5$. Since the maximum

(3.7) $\qquad m_{1236}(x) = \max\{x_1 + m_{236}, x_2 + m_{136}, x_3 + m_{126}, m_{123}\}$
$$= \max\{x_1 - l + a, x_2 - l + a, x_3, -l\}$$

is attained by all terms, we get

(3.8) $\qquad x_1 - l + a = x_2 - l + a = x_3 = -l,$

whence $x_1 = x_2 = -a$ and $x_3 = x_4 = x_5 = -l$. The unique vertex of $L(W)$ is $[-a, -a - l, -l, -l]^{\top}$.

R e m a r k 3.6.   We have $x_W^* = \bigoplus\limits_{j \in W} \underline{j}$ whenever $d + 1 \notin W$.

Corollary 3.7 (Bijection on set of vertices of IAP). *Given any isocanted alcoved d-polytope $\mathcal{P}$, the vertices of $\mathcal{P}$ are in bijection with the proper subsets $W \subset [d+1]$.*

P r o o f. As a set, a tropical line is a finite union of classical segments and halflines.[11]   As a set, a tropical segment[12] is a finite union of classical segments. The tropical line strictly contains the tropical segment determined by two given points, and the difference set is a finite union of halflines; see [15], [12], [27]. For an alcoved polytope $\mathcal{P}$, this implies that the *skeleton*[13] of $\mathcal{P}$ is contained in the 1-dimensional complex $\bigcup\limits_{W \in \binom{[d+1]}{2}} L(W)$. For each W with $|W| = 2$, the set $L(W) \setminus \mathcal{P}$ is a finite union of halflines. Every *generated* vertex of $\mathcal{P}$ is also a vertex of the complex $\bigcup\limits_{W \in \binom{[d+1]}{2}} L(W)$, and every edge of $\mathcal{P}$ is contained in an edge of $\bigcup\limits_{W \in \binom{[d+1]}{2}} L(W)$. The containment is strict exactly for those edges of $\mathcal{P}$ emanating from generators.

If $\mathcal{P}$ is IAP and $\underline{i}, \underline{j}$ are two generators (with $i, j \in [d+1], i < j$), the tropical line determined by them has a unique vertex, which will be denoted $\underline{ij}$. If $i, j, k \in [d+1]$ with $i < j < k$, the tropical plane determined by them has a unique vertex, which will be denoted $\underline{ijk}$. It can be checked that $\underline{ijk}$ is the unique vertex of the tropical line determined by $\underline{ij}$ and $\underline{k}$. Recursively, vertices of $\mathcal{P}$ are labeled in this fashion. The stated bijection follows. $\qquad\square$

Notation 3.8. *The label of the vertex corresponding to $W \subset [d+1]$ is $\underline{W}$ (underlined). The cardinality $|W|$ is called length of $\underline{W}$.*

Notation 3.9 (Parent and child). *Assume $\mathcal{P}$ is an isocanted alcoved d-polytope. Two vertices in $\mathcal{P}$ are joined by an edge in $\mathcal{P}$ if and only if they are labeled $\underline{W}$ and $\underline{W'} \subset [d+1]$ with $\emptyset \neq W \subset W'$ and $|W| + 1 = |W'|$. We say that $\underline{W}$ is a parent of $\underline{W'}$ and $\underline{W'}$ is a child of $\underline{W}$. A 2-face of $\mathcal{P}$ is determined by four vertices with labels $\underline{jW}, \underline{jkW}, \underline{jrW}, \underline{jkrW}$, with $W \subset [d+1] \setminus \{j, k, r\}$ for $j, k, r \in [d+1]$ pairwise different.*[14]

Theorem 3.10 (f-vector for IAP). $I_{d,j} = (2^{d+1-j} - 2)\binom{d+1}{j}, \quad 0 \leqslant j \leqslant d - 1.$

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[11] A balance condition at each point of each tropical algebraic variety is satisfied, but we do not use it in this paper.

[12] A tropical segment is the family of all tropical affine combinations of two points.

[13] The skeleton is the 1-dimensional subcomplex of the border complex $\partial\mathcal{P}$. It is a graph, whose diameter is computed in Corollary 5.11.

[14] jW is an abbreviation for $\{j\} \cup W$.

Proof. First,
$$I_{d,0} = \left| \bigcup_{j=1}^{d} \binom{[d+1]}{j} \right| = 2^{d+1} - 2$$

is the number of proper subsets of $[d+1]$.

Second, the number of facets is $I_{d,d-1} = (d+1)d$ by (2.1) and (2.2). Another proof is this: as we mentioned on page 1, an alcoved polytope is obtained from a box, where we may cant only the $(d-2)$-faces not meeting two distinguished opposite vertices; thus, we may cant half of the $(d-2)$-faces of the box. In an IAP we do cant every cantable $(d-2)$-face, therefore $I_{d,d-1} = B_{d,d-1} + B_{d,d-2}/2 = (d+1)d$.

For $1 \leqslant j \leqslant d$, the number of vertices of length j is $\binom{d+1}{j}$, by Theorem 3.4.

Assume $2 \leqslant j \leqslant d$. A vertex of length j has j parents, by Notation 3.9. The total number of edges is

$$\sum_{j=2}^{d} \binom{d+1}{j} j = (d+1) \sum_{j=2}^{d} \binom{d}{j-1} = (d+1) \sum_{k=1}^{d-1} \binom{d}{k} = (d+1)(2^d - 2) = I_{d,1}$$

(where we have used the equalities $\binom{d+1}{j} j = (d+1)\binom{d}{j-1}$ and $2^d = \sum_{j=0}^{d} \binom{d}{j}$).

Assume $3 \leqslant j \leqslant d$. A vertex of length j has $\binom{j}{2}$ grandparents (i.e. parent of parent). The total number of 2-faces is

$$\sum_{j=3}^{d} \binom{d+1}{j} \binom{j}{2} = \binom{d+1}{2} \sum_{j=3}^{d} \binom{d-1}{j-2}$$
$$= \binom{d+1}{2} \sum_{k=1}^{d-2} \binom{d-1}{k} = \binom{d+1}{2} (2^{d-1} - 2) = I_{d,2}$$

(where we have used the equality $\binom{d+1}{j}\binom{j}{2} = \binom{d+1}{2}\binom{d-1}{j-2}$).

Similarly, the total number of r-faces is

$$\sum_{j=r+1}^{d} \binom{d+1}{j} \binom{j}{r} = \binom{d+1}{r} \sum_{j=r+1}^{d} \binom{d+1-r}{j-r}$$
$$= \binom{d+1}{r} \sum_{k=1}^{d-r} \binom{d+1-r}{k} = \binom{d+1}{r} (2^{d+1-r} - 2) = I_{d,r}$$

(where we have used the equality $\binom{d+1}{j}\binom{j}{r} = \binom{d+1}{r}\binom{d+1-r}{j-r}$). $\qquad \square$

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R e m a r k 3.11. A d-IAP is a canted box where *all* cantable $(d-2)$-faces are canted. On the contrary, alcoved polytopes exist where some cantable $(d-2)$-faces of the bounding box remain uncanted. Among alcoved polytopes, IAPs are maximal in facets because in an IAP we cant every possible cantable $(d-2)$-face. Notice that IAPs are neither simplicial nor simple and far from being neighborly.

R e m a r k 3.12. Notice the coincidence of $I_{d,j}$ with the triangular sequence OEIS A259569 (collecting the number of j-dimensional faces on the polytope that is the convex hull of all permutations of the list $(0, 1, \ldots, 1, 2)$, where there are $d-1$ ones). Also notice the coincidence of $I_{d,j}$ with the absolute values of the triangular sequence OEIS A138106 (collecting the coefficients of the Taylor expansion around the origin of the function of two variables $p(x, t) = \mathrm{e}^{(x-2)t} - 2\mathrm{e}^{(x-1)t}$. Functions of similar appearance are called Morse potentials); see [32].

The study of *cubical polytopes* began in the late 1990's in [5], [6]. *Zonohedra* were first considered by the crystallographer Fedorov, by the end of the XIX century. In the rest of this section, we prove that IAPs are cubical polytopes and zonohedra.

A *d-cuboid* is a polytope combinatorially equivalent to a d-cube. A d-cuboid is denoted $\mathcal{C}^d$. A polytope is *cubical* if every face in it is a cuboid (equivalently, if every facet in it is a cuboid). A d-polytope is *almost simple* if the valence of each vertex is d or $d+1$. A d-polytope $\mathcal{P}$ is *liftable (to a $(d+1)$-cuboid)* if its boundary complex $\partial\mathcal{P}$ is combinatorially equivalent to a subcomplex of the complex $\partial\mathcal{C}^{d+1}$.

Take any vertex V in a cuboid $\mathcal{C}^{d+1}$ and consider the subcomplex $\mathcal{F}_V^d$ of $\partial\mathcal{C}^{d+1}$ determined by the facets of $\mathcal{C}^{d+1}$ meeting V. Consider the subcomplex $\mathcal{C}_V^d$ of $\mathcal{F}_V^d$ determined by the outer faces of $\mathcal{F}_V^d$ (the underlying set of $\mathcal{C}_V^d$ is $\partial\mathcal{F}_V^d$). A polytope $\mathcal{P}$ is *d-elementary* if the complex $\partial\mathcal{P}$ is combinatorially equivalent to the subcomplex $\mathcal{C}_V^d$. We call $\mathcal{F}_V^d$ *(cuboid) cask at V*.

It is clear that d-elementary is more specific than liftable. Saying that $\mathcal{P}$ is d-elementary means that $\mathcal{P}$ is (combinatorially equivalent to) the pasting of $d+1$ d-cuboids all having a vertex V in common. More generally, k-elementariness describes the property of $\mathcal{P}$ being combinatorially equivalent to the pasting of $k+1$ d-cuboids, all sharing a $(d-k)$-face. In particular, a d-cuboid is 0-elementary. A k-elementary d-polytope is obtained from a $(k-1)$-elementary polytope by pasting (combinatorially) a d-cuboid to it. A k-elementary d-polytope is denoted $\mathcal{C}_k^d$.

The main theorem in [5] states that if $d \geqslant 4$ and $\mathcal{P}$ is a cubical d-polytope, then $\mathcal{P}$ is k-elementary, for some k with $0 \leqslant k \leqslant d$. It is also proved that both $\mathcal{C}_{d-1}^d$ and $\mathcal{C}_d^d$ have $2^{d+1} - 2$ vertices, while $\mathcal{C}_k^d$ has fewer than $2^{d+1} - 2$ vertices for other values of k. Corollary 1 in [6] states that for $d \geqslant 4$, a d-polytope is liftable if and only if it is cubical, almost simple and has at most 2^{d+1} vertices.

Corollary 3.13. *For each $d \geqslant 2$,*

(1) *the face lattice of a d-IAP is the lattice of proper subsets of $[d+1]$,*

(2) *on the set of vertices of a d-IAP, the mapping $\underline{W}$ to $[d+1] \setminus W$ is an involution,*

(3) *there exists a unique combinatorial type of d-IAP,*

(4) *every IAP is cubical and almost simple.*

P r o o f. (1) This is a direct consequence of Corollary 3.7.

(2) This is due to the lattice order-reversing isomorphism $W \mapsto [d+1] \setminus W$.

(3) This is immediate from Item (1).

(4) Let $\mathcal{P}$ be an IAP and $\mathcal{B}$ be the bounding box of $\mathcal{P}$ (defined on page 2). The $(d-2)$-faces meeting the two distinguished vertices of $\mathcal{B}$ are not cantable and so, those two points are vertices of both $\mathcal{P}$ and $\mathcal{B}$, and they have the same valence in $\mathcal{P}$ and $\mathcal{B}$ (the valence is d in $\mathcal{B}$). In $\mathcal{P}$ one of these vertices is the generator $\underline{d+1}$ and the other one has label $\underline{12\ldots d}$. All generators (or d-generated vertices) of $\mathcal{P}$ have the same valence. Generators do not have parents and vertices of length d do not have children. Now, for $2 \leqslant j \leqslant d-1$, the valence of a vertex of $\mathcal{P}$ of length j is the sum of the number of parents and number of children, namely, $j + (d+1-j) = d+1$. $\square$

For $d = 2$, an IAP is a hexagon (with slopes 0, 1, ∞) and every vertex in it has valence 2. For $d = 3$, an IAP is combinatorially equivalent to a *rhombic dodecahedron* whose f-vector is $(14, 24, 12)$.

Notation 3.14. *Since the combinatorial type is unique, we can fix a notation for a d-IAP: it is denoted $\mathcal{I}^d$ in what follows.*

Corollary 3.15. *$\mathcal{I}^d$ is d-elementary for $d \geqslant 2$.*

P r o o f. For $d \geqslant 4$, k-elementariness follows from the main theorem in [5], and $f_0(\mathcal{C}_{d-1}^d) = f_0(\mathcal{C}_d^d) = f_0(\mathcal{I}^d)$ tells us that $k = d-1$ or d. The generator $\underline{d+1}$ (also the vertex $\underline{12\ldots d}$) plays the role of vertex V in the definition above on page 3, so $k = d$ follows.

2-elementariness is easy for $d = 2$: $\mathcal{I}^2$ is a hexagon, and it is combinatorially equivalent to $\mathcal{C}_V^2$, which is the border complex of a cube *cask $\mathcal{F}_V^2$* at a vertex V of the cube. For $d = 3$, extended explanations are given in Section 4. $\square$

The f-vector of a cask $\mathcal{F}_V^d$ clearly is

$$(3.9) \qquad C_{d,j} = (2^{d-j} - 1)\binom{d}{j}, \quad j = 0, 1, \ldots, d-2.$$

Since $\mathcal{I}^d$ is d-elementary, (3.9) and (1.2) satisfy the relation

$$(3.10) \qquad I_{d,j} = 2C_{d,j} + I_{d-1,j-1},$$

which has the practical application that, in order to understand $\partial \mathcal{I}^d$, it is enough that we look a two cube casks and one belt joining them. See Section 4 for details in dimensions 3 and 4.

Recall that a *zonotope* is a (Minkowski) sum of segments. A known characterization of zonotope is that it is a polytope all whose 2-faces are centrally symmetric (see [18]), and this is satisfied by IAPs. A direct proof is given below.

Corollary 3.16. *Every IAP is a zonotope.*

P r o o f. $\mathcal{I}^d$ is obtained from a d-box $\mathcal{B} = \mathcal{B}(l_1, l_2, \ldots, l_d) \subset \mathbb{R}^d$ with $\max \mathcal{B}$ at the origin, edge-lengths $l_j > 0$ and cant parameter a with $0 < a < \min_j l_j$, and $\mathcal{I}^d = \mathcal{B} + [0, av_{d+1}]$ holds true, where $(v_1, v_2, \ldots, v_d)$ is the standard basis in $\mathbb{R}^d$ and $v_{d+1} = v_1 + v_2 + \ldots + v_d$. $\qquad\qquad\square$

4. CASES $d = 3$ AND $d = 4$

In this section we describe IAPs in small dimensions for better understanding of results proved in the previous section. In addition, for $d = 4$ we compute two well-known invariants (fatness and f_{03}).

Fix $d \geqslant 2$. Two opposite vertices in $\mathcal{I}^d$ are distinguished: $\mathcal{N} := \max \mathcal{I}^d$ called the *North Pole*, and $\mathcal{S} := \min \mathcal{I}^d$ called the *South Pole* of $\mathcal{I}^d$.[15] The label of $\mathcal{N}$ is $\underline{12 \ldots d}$, and the label of $\mathcal{S}$ is $\underline{d+1}$ ($\mathcal{S}$ is a generator). The cask $\mathcal{F}_{\mathcal{N}}^d \subset \partial \mathcal{I}^d$ introduced on page 3 (or $\mathcal{F}_{\mathcal{S}}^d$) is called *North Polar Cask* (or *South Polar Cask*) of $\mathcal{I}^d$. Vertices included in the North (or South) Polar Cask are exactly those omitting (or including) digit $d + 1$ in their label. The *Equatorial Belt* is, by definition, the subcomplex of $\partial \mathcal{I}^d$ determined by all faces of $\mathcal{I}^d$ not meeting the poles. The Equatorial Belt is the complex of all facets of $\mathcal{I}^d$ containing edges in the direction of vector $v_{d+1} = (1, 1, \ldots, 1)^\top$. These are the edges joining vertices $\underline{W}$ and $\underline{W\,d+1}$ for proper subsets $W \subset [d]$. The complex $\partial \mathcal{I}^d$ is the union of the Polar Casks and the Equatorial Belt.

A Polar Cask is homeomorphic to a closed $(d-1)$-disk. The Equatorial Belt is homeomorphic to a closed $(d-1)$-cylinder, i.e. $S^{d-2} \times [-1, 1]$ (the Cartesian product of a $(d-2)$-sphere and a closed interval).

Case $d = 3$: we have $\mathcal{N} = \underline{123}$ and the North Cask is homeomorphic to a 2-disk with one interior point labeled $\underline{123}$, points in the circumference labeled $\underline{1}, \underline{12}, \underline{2}, \underline{23}, \underline{3}, \underline{13}$ and inner edges joining $\underline{12}, \underline{23}, \underline{13}$ to $\underline{123}$ (see Figure 4). The South Pole is $\mathcal{S} = \underline{4}$ and the South Cask is homeomorphic to a 2-disk with one interior point labeled $\underline{4}$, points in the circumference labeled $\underline{14}, \underline{124}, \underline{24}, \underline{234}, \underline{34}, \underline{134}$ and inner

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[15] This idea, which goes back to Kepler, has been developed for alcoved polytopes in [13].

edges joining $\underline{14}, \underline{24}, \underline{34}$ to $\underline{4}$ (see Figure 4). The Equatorial Belt is homeomorphic to a cylindrical surface (see Figure 4). Identification of borders of polar casks with border components of the cylinder is easily done by using vertex labels. The f-vector of a 2-polar cask is the sum of the f-vector of the circumference complex $(6, 6)$ and of the internal subdivision $(1, 3)$, yielding $(7, 9)$, which agrees with $(C_{3,0}, C_{3,1})$ in (3.9).

Case $d = 4$: the North Cask is homeomorphic to a solid 3-sphere with one interior point labeled $\mathcal{N} = \underline{1234}$, points on the surface labeled $\underline{i}$, $\underline{ij}$ and $\underline{ijk}$, with $i, j, k \in [4]$, pairwise different. Edges join parent and child (see Notation 3.9). Combinatorially, the cask is equivalent to a solid rhombic dodecahedron with an interior point labeled $\underline{1234}$ and six quadrangular inner 2-faces given by $\underline{ij}$, $\underline{ijk}$, $\underline{ijr}$, $\underline{1234}$, with $\{i, j, k, r\} = [4]$ (see Figure 4).

The South Cask is homeomorphic to a solid 3-sphere with one interior point labeled $\mathcal{S} = \underline{5}$, points on the surface labeled $\underline{i5}$, $\underline{ij5}$ and $\underline{ijk5}$, with $i, j, k \in [4]$, pairwise different. Edges are determined by Notation 3.9. Combinatorially, the cask is equivalent to a solid rhombic dodecahedron with an interior point labeled $\underline{5}$ and six quadrangular inner 2-faces given by $\underline{i5}$, $\underline{ij5}$, $\underline{ik5}$, $\underline{ijk5}$, with $i, j, k \in [4]$, pairwise different (see Figure 4). The f-vector of a rhombic dodecahedron is $(14, 24, 12)$ and the internal subdivision adds $(1, 4, 6)$, so the sum $(15, 28, 18)$ is the f-vector of a 3-polar cask, which agrees with $(C_{4,0}, C_{4,1}, C_{4,2})$ in (3.9). The Equatorial Belt is homeomorphic to a 3-cylinder $S^2 \times [-1, 1]$. Identification of borders of polar casks with border components of cylinder is easily done by using vertex labels.

Researchers are deeply interested in 4-polytopes, due to the peculiar properties they show (from the classification of the regular ones obtained by Schläfli in the XIX century, to the Richter-Gebert's Universality Theorem of 1996, which roughly says that the realization space of a 4-polytope can be "arbitrarily wild or ugly", see [18]). Fatness is a convenient function to study the family $\mathbf{F}_4 \subset \mathbb{N}^4$ of f-vectors of 4-polytopes. The set $\mathbf{F}_4$ is not well understood. The *fatness* of a 4-polytope $\mathcal{P}$ is defined as $F(\mathcal{P}) = (f_1 + f_2 - 20)/(f_0 + f_3 - 10)$. It is known that $F(\mathcal{P}) \in [\frac{5}{2}, 3)$ for all simplicial and all simple $\mathcal{P}$. It is also known that $F(\mathcal{P}) \leqslant 5$ for all 4-zonotopes $\mathcal{P}$ (see [40]). According to Ziegler, "the existence/construction of 4-polytopes of high fatness" (greater than or equal to 9) "is a key problem."

f-vectors have been generalized in a number of ways. Generalizations considered in this paper are: to count *vertex-facet incidences* (denoted f_{03} below), to count *flags* (see Corollary 5.9) and the *cubical g-vector* (see Proposition 5.10).

R e m a r k 4.1. We have $I_4 = (30, 70, 60, 20)$ and

(1) *fatness* of $\mathcal{I}^4$ is $(f_1 + f_2 - 20)/(f_0 + f_3 - 10) = \frac{11}{4}$,
(2) in $\mathcal{I}^4$ we have $f_{03} = 160$ (since there are $I_{4,3} = (d+1)d = 20$ 3-cubes (with 8 vertices each) and no other 3-faces).

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So fatness of IAPs will not surprise Ziegler!

Key to colors: blue dots are generators, yellow dots are vertices of length 2, magenta dots are vertices of length 3, green dots are vertices of length 4.
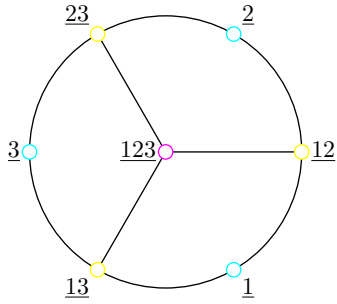

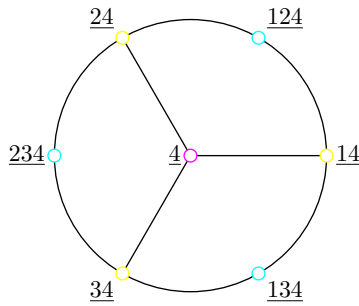
Figure 1. North Polar Cask for $d = 3$.
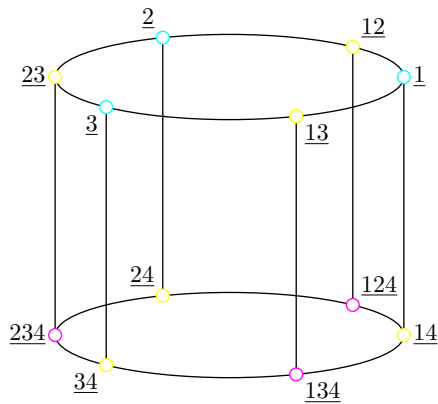

Figure 2. South Polar Cask for $d = 3$.

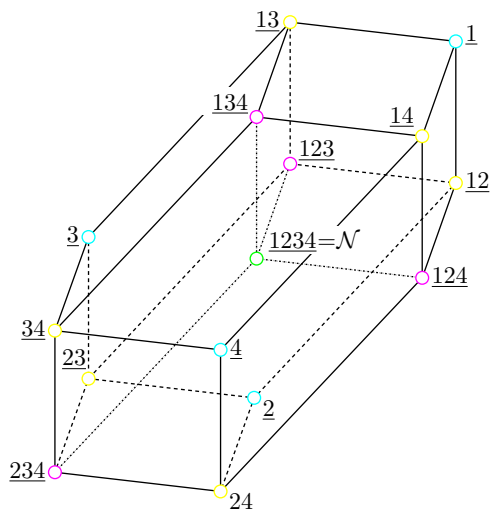Figure 3. Ecuatorial Belt for $d = 3$.
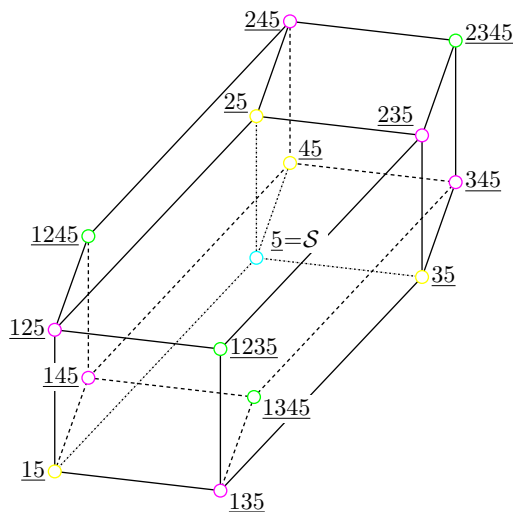
Figure 4. North Polar Cask for $d = 4$.

Figure 5. South Polar Cask for $d = 4$.

5. Five conjectures proved for IAPs

Consider the set $\mathcal{M}$ of lower triangular infinite matrices with both entries and indices in $\mathbb{Z}_{\geqslant 0}$. Examples of matrices in $\mathcal{M}$ are the *2-power matrix*, denoted T, defined by

$$T_{d,k} = \begin{cases} 2^{d-k}, & 0 \leqslant k \leqslant d, \\ 0, & \text{otherwise}, \end{cases}$$

and the *Pascal matrix*, denoted P, defined by

$$P_{d,k} = \begin{cases} \binom{d}{k}, & 0 \leqslant k \leqslant d, \\ 0, & \text{otherwise.} \end{cases}$$

With the Hadamard or entry-wise product, multiply the former matrices, obtaining $B := T \circ P = P \circ T \in \mathcal{M}$ and notice that the d-th row of B shows the f-vector of a d-box (padded with zeros), for $d \in \mathbb{Z}_{\geqslant 0}$, see (1.1). We call B the *f-vector box matrix*. Next, consider the matrix $H \in \mathcal{M}$ defined by

(5.1)
$$H_{d,k} = \begin{cases} (2^{d-k} - 1)\binom{d+1}{k}, & 0 \leqslant k \leqslant d-1, \\ \dfrac{1}{2}, & k = d, \\ 0, & \text{otherwise.} \end{cases}$$

For fixed $d \geqslant 2$, we study the growth[16] of the sequence $H_{d,k}$ with $0 \leqslant k < k+1 \leqslant d-1$.

Proposition 5.1. *For each $d \geqslant 0$ we have $H_{d,d-1} \leqslant H_{d,0}$ with equality only for $d = 0, 1, 2$.*

P r o o f. The inequality $(d+1)d/2 \leqslant 2^d - 1$ is easily proved by induction on d (degree 2 polynomials grow slower than 2-powers.) $\square$

Recall that a sequence a_k is *log-concave* if $a_{k+1}^2 \geqslant a_k a_{k+2}$ for all k, see [7], [35].

Proposition 5.2. *For $d \geqslant 2$, the sequence $\{H_{d,k} \colon 0 \leqslant k \leqslant d-1\}$ is log-concave.*

P r o o f. For fixed d, the sequence $T_{d,k} - 1 = 2^{d-k} - 1$ is log-concave, because $(T_{d,k+1} - 1)^2 - (T_{d,k} - 1)(T_{d,k+2} - 1) = 2^{d-k-2} > 2 > 0$ for $0 \leqslant k \leqslant d-3$. It is easy to check that any row of Pascal's triangle is a log-concave sequence. Since the termwise product of two log-concave sequences (with the same number of terms) is log-concave, the result follows for $H_{d,k}$. $\square$

Notice $I_{d,k} = 2H_{d,k}$ for $0 \leqslant k \leqslant d$.

Corollary 5.3 (Unimodality holds for isocanted). *For each $d \geqslant 2$, the sequence $\{I_{d,k} \colon 0 \leqslant k \leqslant d-1\}$ is unimodal.*

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[16] $H_{d,k}$ is an expression involving 2-powers and binomial coefficients. Precisely, $H_{d,k} = (T_{d,k} - 1)P_{d+1,k}$ is the product of two factors. For sufficiently small k, the first factor dominates (meaning, is larger than the other factor), as in the cases $H_{d,0} = 2^d - 1$, $H_{d,1} = (2^{d-1} - 1)(d+1)$ and $H_{d,2} = (2^{d-2} - 1)(d+1)d/2$. However, for sufficiently large k, the second factor dominates, as in the cases $H_{d,d-3} = 7(d+1)d(d-1)(d-2)/24$, $H_{d,d-2} = (d+1)d(d-1)/2$ and $H_{d,d-1} = (d+1)d/2$.

P r o o f. It is easy to show that every log-concave sequence is unimodal (but not conversely). The sequence $H_{d,k}$ is unimodal and so is its double. $\square$

Proposition 5.4. *For fixed $d \geqslant 2$, the maximum in the sequence $I_{d,k}$ is attained at the integer $\lfloor d/3 \rfloor$.*

P r o o f. Cases $d = 2$, 3 and 4 are checked directly (the f-vectors are $(6,6)$, $(14, 24, 12)$ and $(30, 70, 60, 20)$). Assume $d \geqslant 5$ and $0 \leqslant k \leqslant d - 2$. Define the quotient

$$(5.2) \qquad Q_{d,k+1} := \frac{I_{d,k+1}}{I_{d,k}} = \frac{(2^{d-k-1} - 1)(d - k + 1)}{(2^{d-k} - 1)(k + 1)}$$

and the terms

$$(5.3) \qquad L_{d,k+1} := 2^{d-k-1}(d - 3k - 1), \quad R_{d,k+1} := d - 2k.$$

We have $I_{d,k+1} \geqslant I_{d,k}$ if and only if $Q_{d,k+1} \geqslant 1$ if and only if $L_{d,k+1} \geqslant R_{d,k+1}$, because we have cleared the positive denominator in (5.2) and grouped terms. The exponent $d - k - 1$ appearing in $L_{d,k+1}$ is at least 1. The sign of the factor $(d - 3k - 1)$ in $L_{d,k+1}$ is not constant. We have $d/3 \leqslant (2d - 5)/3$ since $d \geqslant 5$. We prove:
 (1) if $k \leqslant (d - 2)/4$, then $L_{d,k+1} \geqslant R_{d,k+1}$,
 (2) if $(d - 2)/4 \leqslant k \leqslant (d - 2)/3$, then $L_{d,k+1} \geqslant R_{d,k+1}$,
 (3) if $d/3 \leqslant k \leqslant (2d - 5)/3$, then $L_{d,k+1} \leqslant R_{d,k+1}$,
 (4) if $(2d - 5)/3 \leqslant k$, then $L_{d,k+1} \leqslant R_{d,k+1}$,
   and the result follows. Indeed,
 (1) the factor in $L_{d,k+1}$ is positive and so $L_{d,k+1} \geqslant 2(d - 3k - 1) \geqslant R_{d,k+1}$,
 (2) the factor in $L_{d,k+1}$ is at least 1, the exponent $d - k - 1$ in $L_{d,k+1}$ is at least $(d + 2)/3$ and $(d + 2)/2 \geqslant R_{d,k+1}$, so we have $L_{d,k+1} \geqslant 2^{(d+2)/3}(d - 3k - 1) \geqslant 2^{(d+2)/3} \geqslant (d + 2)/2 \geqslant R_{d,k+1}$,
 (3) the factor in $L_{d,k+1}$ is no more than -1, the exponent $d - k - 1$ in $L_{d,k+1}$ is at least $(d + 2)/3$ and $R_{d,k+1} \geqslant (-d + 10)/3$, so we get $R_{d,k+1} \geqslant (-d + 10)/3 \geqslant -2^{(d+2)/3} \geqslant 2^{(d+2)/3}(d - 3k - 1) \geqslant L_{d,k+1}$,
 (4) the factor in $L_{d,k+1}$ is non-positive and so $R_{d,k+1} \geqslant d - 3k - 1 \geqslant L_{d,k+1}$.
  It follows that the change in the monotonicity of the sequence $I_{d,k}$ occurs in the interval $\mathbb{Z} \cap [(d - 2)/3, d/3]$. For fixed $d \geqslant 2$, we have found the maximum in $I_{d,k}$ attained at

$$k = \left\lfloor \frac{d}{3} \right\rfloor = \begin{cases} \dfrac{d}{3}, & d \equiv 0 \bmod 3, \\[2mm] \dfrac{d - 1}{3}, & d \equiv 1 \bmod 3, \\[2mm] \dfrac{d - 2}{3}, & d \equiv 2 \bmod 3. \end{cases}$$

$\square$

Corollary 5.5 (Bárány conjecture holds for isocanted). *If $d \geqslant 2$ and $0 \leqslant k < k + 1 \leqslant d - 1$, then $I_{d,k} \geqslant \min\{I_{d,0}, I_{d,d-1}\} = I_{d,d-1} = (d+1)d$.*

P r o o f. Use unimodality and Proposition 5.1. $\qquad\qquad\square$

The 3^d conjecture and the flag conjecture were posed by Kalai in 1989, for centrally symmetric polytopes.

Corollary 5.6 (3^d conjecture holds for isocanted). *For $d \geqslant 2$ it holds $\sum_{k=0}^{d} I_{d,k} = 3^{d+1} - 2^{d+2} + 2$ and this is larger than 3^d.*

P r o o f. The binomial theorem $(x + y)^d = \sum_{j=0}^{d} x^j y^{d-j} \binom{d}{j}$ with $x = 1$ yields $2^d = \sum_{j=0}^{d} \binom{d}{j}$ and $3^d = \sum_{j=0}^{d} 2^{d-j} \binom{d}{j}$. Then

$$(5.4) \quad 3^{d+1} - 2 \times 2^{d+1} = \sum_{j=0}^{d+1} 2^{d+1-j} \binom{d+1}{j} - 2 \sum_{j=0}^{d+1} \binom{d+1}{j}$$

$$= \sum_{j=0}^{d+1} (2^{d+1-j} - 2) \binom{d+1}{j} = \sum_{j=0}^{d-1} I_{d,j} + \text{two summands.}$$

Summand for $j = d$ is zero and for $j = d + 1$ is -1, whence using $I_{d,d} = 1$, we get the claimed equality. Proof of the inequality: We have $2^3 = 8 = 3^2 - 1$ and $2^{d-2} < 3^{d-2}$. Multiply termwise and get $2^{d+1} \leqslant 3^{d-2}(3^2 - 1) = 3^d - 3^{d-2} < 3^d + 1$, whence $2(2^{d+1} - 1) < 2 \times 3^d = 3^{d+1} - 3^d$. $\qquad\square$

R e m a r k 5.7. Recall that *Stirling number of the second kind* is the number of ways to partition $[d]$ into k non-empty subsets, and it is denoted $S(d, k)$. We have $3^{d+1} - 2^{d+2} + 2 = 2S(d+2, 3) + 1$ (see Wikipedia and OEIS A101052, OEIS A028243 and OEIS A000392 in [32]).

R e m a r k 5.8. Recall that a *Hanner polytope* is obtained from closed intervals by using two operations any finite number of times: Cartesian product and polar. They were studied by Hanner in 1956. Is $\mathcal{I}^d$ a Hanner polytope? Conversely, is some Hanner polytope an IAP? Since Hanner polytopes satisfy the 3^d conjecture and they attain the minimal conjectured value (see [28]), then the answer is NO in both cases.

Recall that a *complete flag* in a polytope $\mathcal{P}$ is a maximal chain of faces of $\mathcal{P}$ with increasing dimensions. Next, we count complete flags (and call them flags, for short). The number of flags in a d-box is $2^d d!$ because there are 2^d vertices and, at each one, there are $d!$ flags. The flag conjecture yields that boxes minimize flags among centrally symmetric polytopes, see [21], [28], [30].

Corollary 5.9 (Flag conjecture holds for isocanted). *The number of flags in $\mathcal{I}^d$ is $(d+1)(d-1)!(2^{d+1}-4)$ and it is larger than $2^d d!$ for $d \geqslant 2$.*

P r o o f. In $\mathcal{I}^d$ there are $2(d+1)$ vertices of valence d, and the remaining $2(2^d - d - 2)$ vertices have valence $d + 1$. Indeed, the vertices of length 1 or d have valence d. A vertex of length $2 \leqslant t \leqslant d - 1$ has valence $d + 1$, because it has t parents and $d + 1 - t$ children. Reasoning as in boxes, we find $d!$ flags beginning at a vertex of valence d. Using Item (1) in Corollary 3.13, we find $(d + 1)(d - 1)!$ flags beginning at a vertex V of valence $d + 1$, because $\mathcal{I}^d$ is cubical and there are $d + 1$ $(d - 1)$-cuboids meeting at V. Thus, adding up, $2(d + 1) \times d! + 2(2^d - d - 2) \times (d + 1)(d - 1)! = (d + 1)(d - 1)!(2^{d+1} - 4)$ is the total number of flags. Further, we have $(2^{d-1} - 1)(d + 1) > 2^{d-2}d$ for $d \geqslant 2$, whence the claimed inequality. $\square$

The *cubical lower bound conjecture (CLBC)* was posed by Jockusch in 1993 and rephrased, in terms of the *cubical g-vector g^c*, by Adin et al. in 2019 as follows: Is $g^c_{d,2} \geqslant 0$? See [2], [20].

Proposition 5.10 (CLBC holds for isocanted). *$g^c_{d,2} \geqslant 0$ holds true for $\mathcal{I}^d$, for $d \geqslant 2$.*

P r o o f. We have computed the sequence $g^c_{d,2}$ for IAPs, obtaining $6, 20, 50, 112, 238, \ldots$; see OEIS A052515 in [32]. $\square$

Recall that the *distance* between two vertices of a polytope is the minimum number of edges in a path joining them. The *diameter* of a polytope is the greatest distance between two vertices of the polytope.

Corollary 5.11 (Diameter of isocanted). *The diameter of $\mathcal{I}^d$ is $d + 1$.*

P r o o f. Consider different proper subsets $W, W' \subset [d+1]$ and assume

$$|W \cap W'| = i, \quad |W| = i + w, \quad |W'| = i + w',$$

with $i, w, w' \geqslant 0$ and $i + w + w' \leqslant d + 1$. To go from vertex $\underline{W}$ to vertex $\underline{W'}$ one must drop (one at a time) w digits in $W \setminus W'$ and one must gain (one at a time) w' digits in $W' \setminus W$, whence $\mathrm{d}(\underline{W}, \underline{W'}) = w + w'$. In the particular case that W' is complementary to W, we get the greatest distance $\mathrm{d}(\underline{W}, \underline{W'}) = d + 1$. $\square$

In future, we would like to compute the f-vector of a general alcoved polytope.

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