

Research paper**A *SOX3* (Xq26.3-27.3) duplication in a boy with growth hormone deficiency, ocular dyspraxia, and intellectual disability: A long-term follow-up and literature review**

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ABSTRACT

OBJECTIVE: *SOX3* is located on the long arm of the X chromosome (Xq27.1) and both the under- and over-expression of this gene have been reported in cases of hypopituitarism with or without intellectual disabilities. Nevertheless, only a few cases have as yet been extensively described. **DESIGN:** A 3-year 11 month-old male was brought in for growth failure (height -2.4 SDS). The patient was born at term of a second uneventful pregnancy by caesarean section for podalic presentation: the birth weight (0.1 SDS), length (0.4 SDS), and head circumference (-0.3 SDS) were normal. Neurodevelopmental delays and ocular motor dyspraxia had been noted since 6 months of age. The endocrinological evaluation showed a very low IGF-I concentration (44 µg/L). The thyroid hormone level was normal and coeliac disease markers were negative. Bone age was considerably delayed. Target height was normal (0.5 SDS). **RESULTS:** Growth hormone stimulation tests were compatible with a classic GHD, while a brain MRI disclosed a pituitary hypoplasia with ectopic neurohypophysis. rhGH treatment was then begun and the auxological follow-up showed a good response. At the age of 9 yrs, the height was 0.3 SDS, the weight was 0.1 SDS, and the pubertal evaluation was PH1 AH1 T2 ml bilaterally. Due to the presence of neuromotor delays and MRI abnormalities, a genetic evaluation was conducted and an array-CGH of the patient's DNA discovered an Xq26.3-27.3 duplication comprising the *SOX3* gene. **CONCLUSIONS:** *SOX3* involvement should be considered in a male with short stature due to GH deficiency associated with intellectual disability.

Key words: Dyspraxia, Growth Hormone, Growth Hormone Deficiency, Intellectual Disability Short stature, *SOX3*

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INTRODUCTION

Pituitary gland development and function depend on the sequential temporal and spatial expression of multiple transcription factor genes, such as *POU1F1* (*POU class 1 homeobox 1*; OMIM 173110), *PROPI*

(*prophet of PIT1*; OMIM 601538), *HESX1* (*homeobox gene expressed in ES cells*; OMIM 601802), *LHX3* (*LIM homeobox gene 3*; OMIM 600577), *LHX4* (*LIM homeobox gene 4*; OMIM 602146), *SOX3* (*SRY-related HMG-box gene 3*; OMIM 313430), and *OTX2* (*orthodenticle homolog 2*; OMIM 600037).¹⁻³

Congenital hypopituitarism (CH), a defect that is characterised by a deficiency in one or more pituitary hormones and is not rare, may be caused by mutations in any of these genes.⁴

CH manifests either as an isolated hormone deficiency, the most common being isolated growth hormone deficiency (IGHD) or as multiple pituitary hormone involvement [combined pituitary hormone deficiencies (CPHD)].¹⁻³

The CH clinical features may be detected in the neonatal period or present later in life.^{4,5} Moreover, hormonal deficits may be associated with extra-pituitary defects affecting organs that are embryologically correlated.^{4,5}

SOX3 is a single exon gene located on the long arm of the X chromosome (Xq27.1). *SOX3* is a member of the SOX (SRY-related high mobility group box) family of transcription factors that is expressed in neuroepithelial progenitor and stem cells beginning in the earliest stages of embryogenesis.^{6,7} *Sox/SOX* genes have been recognised as key players in the regulation of embryogenesis and nervous system development; they encode transcription factors that act as key regulators in different developmental processes, such as gastrulation, neural induction, specification, and the differentiation of many cell types.^{8,9} *SOX3* has also been implicated in the aetiology of a septo-optic dysplasia variant.³

The dysfunction of the *SOX3* protein disturbs cellular processes that are required for cognitive and pituitary development.¹⁰ In fact, in human males, both the under- and over-expression of this gene lead to CPHD or IGHD and infundibular hypoplasia, an ectopic/undescended posterior pituitary and abnormalities of the corpus callosum with or without intellectual disability (ID).¹⁰⁻¹²

However, micro-duplications of *SOX3* have been identified in only a few patients with IGHD or CPHD,^{10,13-16} frequently accompanied by poor endocrinological^{13,15,16} or clinical^{10,13} data.

CASE REPORT

The proband was the second child of young, healthy, non-consanguineous parents of Italian origin. The target height was normal [0.5 standard deviation score (9SDS)]. After a miscarriage, the couple had a son with normal growth and neuropsychological development (the pedigree is illustrated in Figure 1).

The patient was born by caesarean section for podalic presentation at term of the 3rd uneventful pregnancy. The birth weight was 3.030 kg (0.1 SDS), the length was 52 cm (0.4 SDS) and the head circumference was 34.8 cm (-0.3 SDS). The Apgar score was 9^I-10^V. Genital abnormalities were not observed, nor were hypoglycaemias.

During the first year of life, a mild developmental delay became evident: he sat at 8 months and walked independently at 22 months, while language started at 24 months. Intellectual disability was ascertained at the age of 2 yr and 6 mo: the developmental quotient (DQ) was 65. In the same period, an ophthalmologic examination was carried out: the fundus and visual acuity were normal, but a gaze-evoked horizontal nystagmus and ocular saccadic overshoot were observed, leading to a suspicion of a diagnosis of ocular dyspraxia.

At 3 yr and 11 mo old, due to pronounced growth failure (height -2.4 SDS), an endocrinological evaluation of the child was conducted which revealed a very low IGF-I concentration (44 µg/L). An extensive endocrine work-up was performed: free-thyroxin [(FT₄) 1.47 ng/dL, n.v. 0.86-2.12 ng/dL], thyroid-stimulating hormone [(TSH) 3.38 µUI/dL, n.v. 0.4-4.0 µUI/dL], cortisol (8.23 µg/dL, n.v. 5-25 µg/dL), adrenocorticotrophic hormone [(ACTH) 50 ng/L, n.v. 0.9-52 ng/L], glucose (72 mg/dL, n.v. 55-110 mg/dL), and prolactin [(PRL) 86 mUI/ml] were in the normal range. The electrolyte, venous blood gas, haemoglobin, total protein, serum albumin, coagulation profile, calcium, phosphorous, vitamin D (25OHD), and parathyroid hormone (PTH) levels were also normal. The anti-tissue transglutaminase (tTG) was negative. Neuro-metabolic tests (plasma aminoacidogram, urine aminoacidogram, acylcarnitine profile analysis, and redox state) yielded normal results. The karyotype was 46,XY. A multiplex ligation-dependent probe amplification (MLPA) analysis and fragile X syndrome (FRAXA) testing also returned normal results.

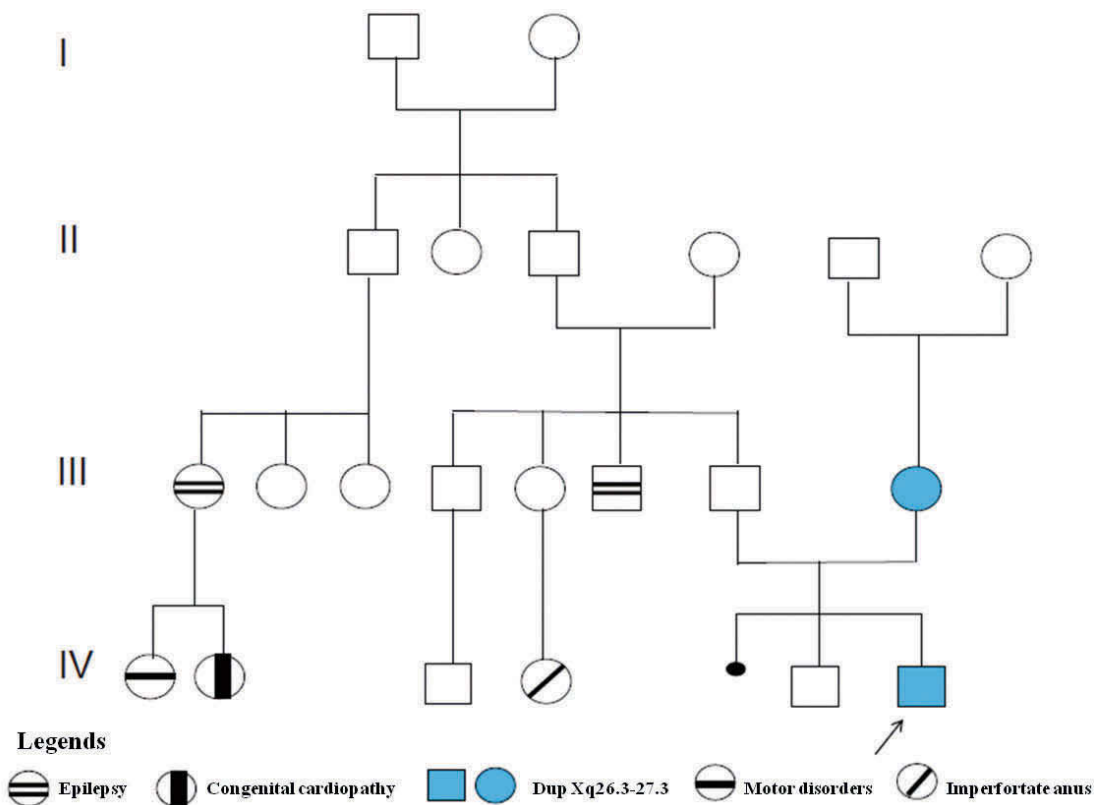


Figure 1. Pedigree of the family that was analysed in this study. Duplications were found in the proband and mother.

Bone age was considerably delayed (2 yr and 1 mo at 3 yr and 11 mo of chronological age). A growth hormone stimulation test disclosed a classic GH deficiency (GH peak after clonidine 2.4 ng/mL; GH peak after arginine 2.1 ng/mL). An MRI revealed an anterior pituitary hypoplasia with ectopic neurohypophysis, corpus callosum hypogenesis, and incomplete myelination (Figure 2). The posterior fossa was significantly reduced.

Based on these findings, rhGH treatment was conducted (0.23 mg/kg per week subcutaneously). The auxological follow-up showed a strong positive response to the treatment with the standard deviation-growth velocity (SDS-GV) increasing remarkably during therapy (Figure 3).

At 9 yr and 9 mo, the height was 0.3 SDS, the weight was 0.1 SDS, and the pubertal evaluation was PH1 AH1 T2 ml bilaterally. Bone age remained considerably delayed (6 yr and 1 mo at 9 yr and 9 mo of chronological age). During follow-up, a periodic evaluation of the other adenohipophyseal hormones

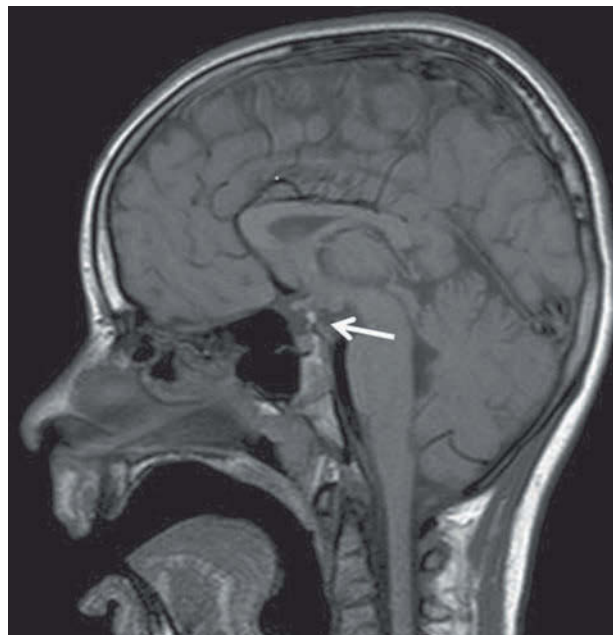


Figure 2. Sagittal MRI scan of the patient with *SOX3* duplication, showing pituitary hypoplasia, hypoplasia of the infundibulum, and an undescended/ectopic posterior pituitary. Note the hypogenesis of the corpus callosum.

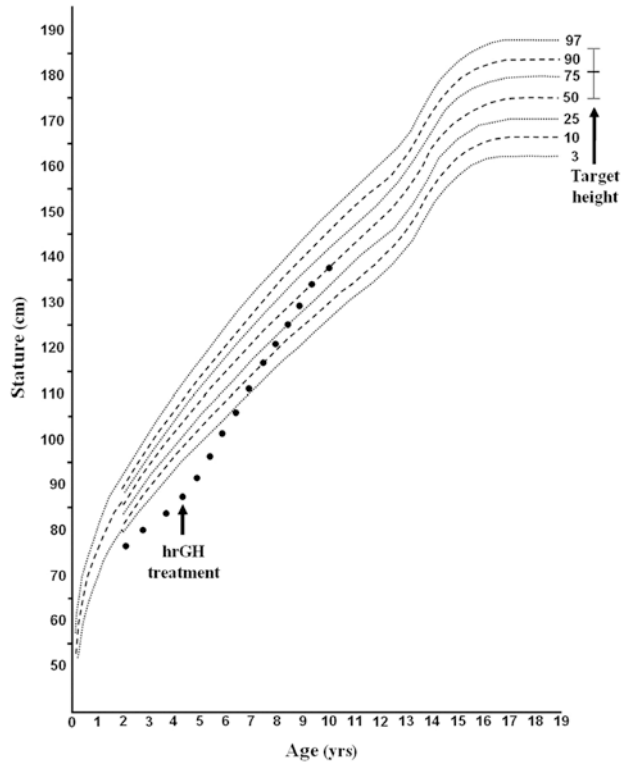


Figure 3. Growth chart of the patient. The arrows indicate the age of onset for growth hormone treatment (black arrow).

disclosed deficiency. At 6 yr and 8 mo of age, the FT₄ was 1.56 ng/dL, the TSH was 3.01 μ UI/dL, the cortisol was 11.45 μ g/dL, the ACTH was 42 ng/L and the PRL was 69 mUI/ml.

Due to the presence of neuromotor delays and MRI abnormalities, a genetic evaluation was carried out. The patient's DNA was analysed using array CGH (comparative genomic hybridisation). After obtaining informed consent, the genomic DNA was extracted from the leukocytes of the proband, i.e. both his parents and maternal grandparents according to standard procedures. Array CGH was performed using the Agilent 60k platform with a median resolution rate of nearly 100 kilobases (kb). Based on the physical mapping positions that were designated at the March 2006 assembly (NCBI36/hg18) of the UCSC Genome Browser, this analysis showed a duplication that involved the Xq26.3-27.3 region with an extension between 135,175,703 bp (first duplicated) and 142,971,531 bp (last duplicated) (Figure 4; Table 1): a 7.8 megabase (Mb) duplication was identified in Xq26.3 - 27.3 spanning more than 20 genes, among which the morbid genes were

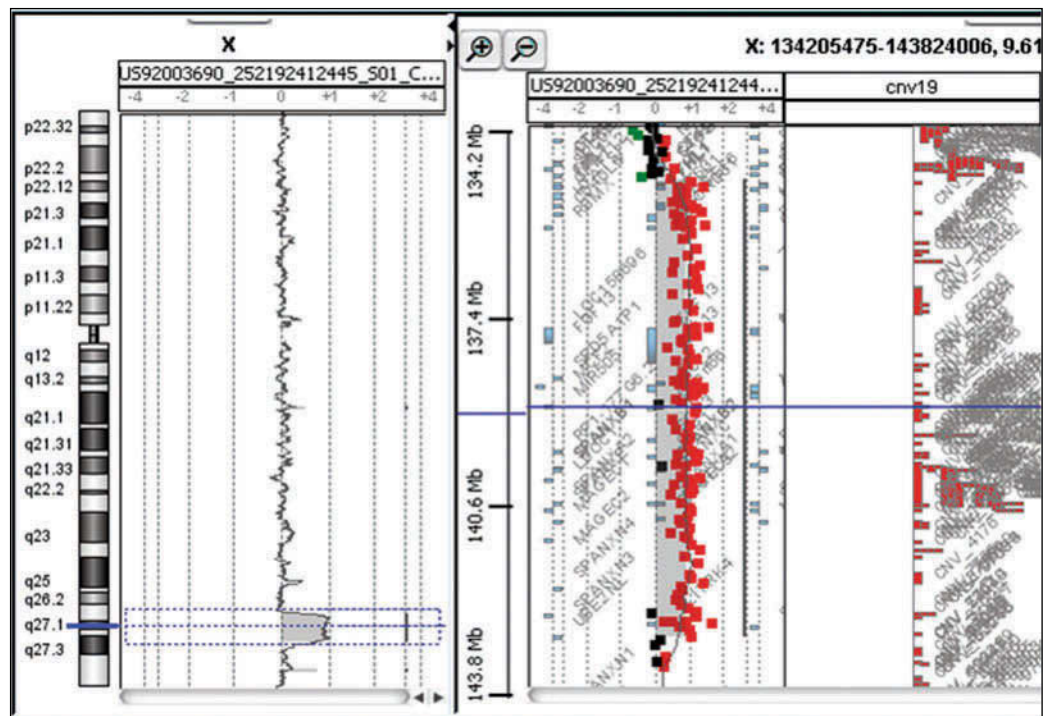


Figure 4. Array-CGH analysis showing a duplication that included Xq26.3-27.3 with the extension between 135,175,703 bp (first duplicated) and 142,971,531 bp (last duplicated).

Table 1. Main phenotypic characteristics of patients with *SOX3* duplications

Clinical findings	Stankiewicz 2005	Woods 2005	Woods 2005	Hol 2000	Hol 2000	Lagerström- Fermér 1997	Moalem 2012	Our case
<i>SOX3</i> abnormalities	dupXq26.2-q27.1	dupXq27.1	dupXq27.1	dupXq26-q27	dupXq26-q27	dupXq25-q26	dupXq27.1	dupq24.2-q25.2
Sex (M:F)	F	M ¹	M ¹	M	M	6(M)	M	M
Ancestry	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian	NA	Asian	Caucasian
Familial history	+ ²	NA	NA	-	-	NA	-	+ ³
Miscarriage history	+	NA	NA	+	+	NA	-	+
Pregnancy	uncomplicated	NA	NA	complicated ⁴	complicated ⁵	NA	diabetes	uncomplicated
Delivery	spontaneous	NA	NA	spontaneous	caesarean ⁶	NA	spontaneous	caesarean ⁷
Age (yrs. mo)	12.6	7.0	0.2	0.2	0.2	NA	0.5	3.11
Midparental height (SDS)	-1.72	0.20	0.20	NA	NA	NA	NA	0.50
Maternal height (SDS)	-1.73	0.70	0.70	NA	NA	NA	NA	-0.10
Birth weight (SDS)	0.17	NA	NA	0.27	-0.53	NA	0.19	0.10
Birth length (SDS)	-2.50	NA	NA	-1.20	NA	NA	NA	0.40
Neonatal symptoms	-	+ ⁸	+ ⁹	+	-	NA	-	-
Postnatal growth failure (SDS)	-3.29	-2.80	-3.80	+ ¹⁰	NA ¹¹	+(NA)	-	-2.40
Puberty	delayed	normal		NA		NA		
Dysmorphisms	+	NA	NA	+	+	NA	-	-
Ocular abnormalities	ND	ND	ND	ND	ND	NA	ND	+ ¹²
Cryptorchidism		-	+	NA	-	NA	-	-
Genital malformations		NA	+	NA	-	NA	+ ¹³	-
Hypotonia	+	-	-	+	NA	NA	-	+
Seizures	-	-	-	+	-	NA	-	+
MRI/TC abnormalities	ND	+	+	-	ND	NA	ND	+
Hypoplastic anterior pituitary		+	+			NA		+
Infundibulum hypoplasia		+	+			NA		+
Undescended neuropituitary		+	+			NA		+
Corpus callosum malformed		+ ¹⁴	-			NA		+
Developmental delay	+	-	- ¹⁵	+	+	+	-	+
GH/IGF-I deficiency	-/+ ¹⁶	+	+	+	+	+	ND	+
TSH deficiency	ND	+	+	+	-	+(4/6)	ND	-
ACTH deficiency	ND	-	+	+	NA	+(1/6)	ND	-
Gonadotropin deficiency	ND	NA	+	NA	NA	+(2/6)	-	ND
Prolactin deficiency	ND	-	NA	NA	NA	+(2/4)	ND	-
Other	+ ¹⁷			+ ¹⁸	+ ¹⁹			

¹Brothers; ²mother and maternal aunt with the same Xq26.2-q27.1 duplication, showing short stature, dyslalia, hearing impairment, premature ageing, strabismus, nystagmus, optic disc abnormality, and reduced visual field; ³see pedigree in Figure 1; ⁴intrauterine growth retardation and macrocephaly; ^{5,6}fetal hydrocephalus; ⁷podalic presentation; ⁸hypoglycaemia and hyponatremia; ⁹neonatal hypoglycaemia; ¹⁰not present because treatments started by 6 weeks of life; ¹¹reported <5th percentile at 2 months of life; ¹²ocular dyspraxia and strabismus; ¹³bifid but well developed scrotum and penoscrotal hypospadias; ¹⁴cyst within the splenium of the corpus callosum; ¹⁵hyperactivity; ¹⁶IGF-I level borderline low; ¹⁷hearing impairment; ¹⁸lumbar spina bifida occulta and deep sacral dimples - the skin had multiple dark lentigines; ¹⁹lumbosacral myelomeningocele, talipes equinovarus of the right foot; hydronephrosis of the right kidney.

SOX3, *FHL1* (four-and-a-half lim domains 1; OMIM 300163), *CD40LG* (*CD40* antigen ligand; OMIM 300386), *ARHGEF6* (*rho* guanine nucleotide exchange factor 6; OMIM 300267), *ZIC3* (*zinc* finger protein of cerebellum 3; OMIM 300267), and *F9* (*coagulation* factor IX; OMIM 300746). The same duplication was found in the DNA of the mother.

DISCUSSION

We describe a new case of isolated GHD in a patient with the duplication Xq26.3-27.3 comprising the *SOX3* gene. The growth failure was relatively severe, but a significant catch-up growth achieved after a long-term follow-up with rhGH treatment confirmed the diagnosis of GHD.

Additional pituitary deficiencies were not recorded, and an evaluation of a possible LH/FSH deficiency will be performed in the future.

GHD has been reported in most cases of *SOX3* involvement (Tables 1 and 2).^{10,13,14,17-19} Recently, Takagi described a male patient with Kabuki syndrome due to a mutation in *KMT2D* (*Lysine-Specific Methyltransferase 2D*). *KMT2D* is involved in the majority of cases of Kabuki syndrome, a condition that is sometimes associated with GHD. As the patient also had CPHD, the authors analysed all of the coding exons and flanking introns of currently known genes responsible for CPHD by PCR-based sequencing, discovering a mutation in *SOX3* consisting of a deletion in the polyalanine (PA) tracts of *SOX3*. This study provides additional evidence that *SOX3* mutations must be looked for in hypopituitarism.

As documented by Woods et al, the over- and under-expression of *SOX3* is associated with significant interfamilial phenotypic variability, which may be seen in many patients even with identically sized expansions.¹⁰ To the best of our knowledge, this case is the second described isolated case of GHD after the patient who was reported by Burkitt Wright.¹⁸ In fact, GHD is more frequently associated with TSH deficiencies, the exceptions being the cases published by Hol,¹⁴ Burkitt Wright,¹⁸ and ourselves; ACTH^{10,13,14,19} or gonadotropin^{10,13,17,18} deficiencies have been more rarely diagnosed, even though in many cases specific diagnostic tests were not carried out.¹⁶

Therefore, based on the evaluation of the various pituitary defects and molecular diagnoses (sequence variant and whole gene deletion or duplication), patients with duplication of *SOX3* could present an IGHD without the involvement of additional adeno-hypophyseal hormones,^{10,14,15} more frequently with respect to patients with *SOX3* sequence variants.^{10,17,19} Nevertheless, the cases that were reported by Hol et al¹⁴ and Woods et al¹⁰ with the duplication Xq26.3-27.3 also displayed in CPHD. Other subjects with *SOX3* duplication that were described by Salomon et al²⁰ have not been confirmed.²¹

Anterior pituitary hypoplasia, an absent stalk, and ectopic neurohypophysis are other useful findings that can support the diagnosis of CPHD or IGHD due to *SOX3* sequence variants or whole gene deletions/duplications.²² However, some patients lack descriptions of their hypothalamic-pituitary anatomy,^{10,12-15} whereas others disclosed only partially the MRI characteristics that have been described as typical of *SOX3* involvement. For example, in a case that was described by Woods et al,¹⁰ MRI abnormalities were absent, such as in the patient who was reported by Helle et al²³ and one of the patients who was reported by Hol et al.¹⁴ Nevertheless, the case of Helle et al²³ showed hyperphagia, most likely with a hypothalamic origin without other typical *SOX3* involvement characteristics; this patient did not have hypopituitarism.

Available evidence demonstrates that either the over- or under-expression of *SOX3* can result in the perturbation of pituitary and hypothalamic development.²⁴ Altered *SOX3* dosage may also be the causative mechanism for the X-linked hypopituitarism that is associated with infundibular hypoplasia, an ectopic/undescended posterior pituitary, and abnormalities of the corpus callosum (with or without ID).^{10,12,17,19} Therefore, the presence of IGHD or CPHD in males, in particular if presenting ID, may be a useful indicator of potential defects in *SOX3*.

ID is frequently reported in these patients. The degree of mental retardation and the characteristics vary among patients.^{12-15,23} Even though mental retardation is reported in the majority of patients with *SOX3* duplications,¹³⁻¹⁵ this trait was not present in the cases that were documented by Woods et al¹⁰, which were characterised by a smaller duplication

Table 2. Main phenotypic characteristics of patients with *SOX3* involvement (not duplication)

Clinical findings	Woods 2005	Woods 2005	Woods 2005	Alatzoglou 2011	Takagi 2013	Helle 2013	Burkitt Wright 2009	Laumonier 2002
<i>SOX3</i> abnormalities	mutation ¹	mutation ¹	mutation ¹	mutation ²	mutation ³	delXq27.1q27.2	mutation ⁴	invXp21.3q27.1
Sex (M:F)	M	M	M	F	M	M	M	F
Ancestry	Arab ⁵	Arab ⁵	Arab ⁵	NA	Asian	Caucasian	NA	NA
Familial history	+ ⁶	+ ⁶	+ ⁶	NA	-	-	NA	-
Miscarriage history	NA	NA	NA	NA	-	-	NA	.
Pregnancy	NA	NA	NA	NA	uncomplicated	uncomplicated	NA	uncomplicated
Delivery	NA	NA	NA	NA	spontaneous	NA	NA	spontaneous
Age (yrs.mo)	3.0	4.5	2.7	7.5	2.0	5.5	NA	10.9
Midparental height (SDS)	NA	NA	NA	NA	NA	NA	NA	NA
Maternal height (SDS)	NA ⁷	NA ⁷	NA ⁷	NA	NA	NA	NA	NA
Birth weight (SDS)	NA	NA	NA	NA	-0.70	2.50	NA	0.05
Birth length (SDS)	NA	NA	NA	NA	-1.90	2.00	NA	-0.66
Neonatal symptoms	-	-	-	NA	+ ⁸	-		-
Postnatal growth failure (SDS)	-2.50	-2.50	-1.30	-3.10	-5.10	-0.54	NA	NA
Puberty	delayed	delayed	NA	delayed			delayed	
Dysmorphisms	.	-	-	+ ⁹	+	+	-	-
Ocular abnormalities	ND	ND	ND	-	ND	ND	ND	+ ¹⁰
Cryptorchidism	-	+	+	-	-	NA	ND	
Genital malformations	- ¹¹	+ ¹²	+ ¹²	NA	-	NA	ND	
Hypotonia	-	-	-	-	+	-	-	+
Seizures	-	-	-	+	-	-	-	-
MRI/TC abnormalities	-	+	+	+ ¹³	+	-	+	ND
Hypoplastic anterior pituitary		+	+	.	+	-/+	-	
Infundibulum hypoplasia		+	+	-	+	-	.	
Undescended neuropituitary		+	+	-	+	-	+	
Corpus callosum malformed		-	-	-	+	-	-	
Developmental delay	-	-	-	-	+	+	-	+
GH/IGF-I deficiency	+	+	+	+	+	.	+	ND
TSH deficiency	+	+	+	+	+	.	-	ND
ACTH deficiency	+	+	+	-	+	.	-	ND
Gonadotropin deficiency	+	+	+	+	NA	.	+	ND
Prolactin deficiency	NA	-	NA	-	-	.	NA	ND
Other					+ ¹⁴	+ ¹⁵		

¹Seven alanine residues were inserted in the normal polyalanine tract from amino acids 720-721; ²loss of six alanine residues between codons 243 and 248 (p.A243_A248del6 or del6PA); ³loss of seven alanine residues between codons 239 and 245 (p.Ala239_245 del7A); ⁴seven alanine residues insertion in the normal polyalanine tract from amino acids 234-249; ⁵Qatari first-degree consanguineous parents; ⁶mother heterozygous; ⁷reported as normal; ⁸neonatal hypoglycaemia; ⁹Turner-like habitus; ¹⁰strabismus; ¹¹microorchidism; ¹²cryptorchidism; ¹³enlarged anterohypophysis; ¹⁴ventricular septal defect, atrial septal defect, mitral stenosis, and hearing loss; ¹⁵obesity and hyperphagia.

(685.6 kb in length). Although one of these patients exhibited hyperactivity, the absence of ID in some patients^{10,17,18} may result from different dosage effects.

Vertebrate embryonic stem cells express the Sox2 transcription factor, which, together with the closely related Sox1 and Sox3 proteins, forms the SoxB1 subgroup of the Sox protein family. First, Bergsland et al found that the genome-wide binding patterns of Sox2 and Sox3 in neural precursor cells (NPCs) overlap extensively, with 96% of the Sox2-bound sites also bound by Sox3.²⁵ Therefore, a substantial number of the identified binding sites are part of brain-specific regulatory regions. Both high and low levels of Sox3 can deleteriously affect normal brain function and physiology. In fact, constitutively active Sox3 leads to increased apoptosis.²⁶

In conclusion, IGHD and CPHD are frequently reported characteristics in patients with *SOX3* involvement. The association with mental retardation is also typically present, more frequently in *SOX3* duplication than in mutations. In the case of males with mental retardation and postnatal growth failure due to IGHD or associated with CHPD, the involvement of *SOX3* may be considered.

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