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Development and External Validation of Nomograms for Predicting Disease‑Free Survival and Overall Survival in Patients with cT1‑ccRCC After Partial Nephrectomy: A Multicenter Retrospective Study

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ABSTRACT

Background. To develop a novel nomogram for predicting 2-year and 5-year disease-free survival (DFS) and overall survival (OS) in patients with cT1-clear cell renal cell carcinoma (ccRCC) undergoing partial nephrectomy (PN).

Methods. A retrospective study was conducted across fve urological centers, including 940 patients who underwent PN for cT1N0M0-ccRCC. Four centers were randomly selected to constitute the training group, while the remaining center served as the testing group. We employed the LASSO and multivariate Cox regression to develop new nomograms. The 1,000 bootstrap-corrected c-index, net reclassifcation improvement (NRI) and receiver operating characteristic curve were employed to compare the predictive abilities of new nomograms with the widely used UUIS and SSIGN models. Finally, the novel nomograms underwent external validation.

Results. The training group included 714 patients, while the testing group consisted of 226 patients. The bootstrap-corrected c-indexes for the DFS and OS model were 0.870 and 0.902, respectively. In the training cohort, the AUC for the DFS and OS models at 2 years and 5 years were 0.953, 0.902, 0.988, and 0.911, respectively. These values were also assessed in the testing cohort. The predictive capabilities of the new nomograms surpassed those of the UUIS and SSIGN models ($NRI > 0$). Decision curve analysis demonstrated that the novel nomograms provide greater net benefts compared to the UUIS and SSIGN models. **Conclusions.** Our novel nomograms demonstrated strong predictive ability for forecasting oncological outcomes in cT1-ccRCC patients after PN. These user-friendly nomograms are simple and convenient for clinical application, providing tangible clinical benefts.

Keywords Clear cell renal cell carcinoma · Diseasefree survival · Nomogram · Overall survival · Partial nephrectomy

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Renal cell carcinoma (RCC) is the most common solid lesion in the kidney, with clear cell RCC (ccRCC) being its most prevalent subtype, making up about 70–80% of all cases.^{[1](#page-10-0)} Localized RCC represents 65% of all RCC diag-nosed.^{[2](#page-10-1)} Partial nephrectomy (PN) is recommended by most global urological guidelines for T1-ccRCC, because it provides comparable tumor control while better preserving renal function compared with radical nephrectomy (RN) .^{[1](#page-10-0)[,3,](#page-10-2)[4](#page-10-3)} Although various models have been developed to predict the oncological outcomes of RCC, these models have included all stages of RCC and have not adequately addressed the issue of multicollinearity among variables.^{[5](#page-10-4)–12} Moreover, most of these nomograms were created more than a decade ago and failed to incorporate crucial prognostic factors, such as sarcomatoid differentiation.^{[5](#page-10-4)–[7](#page-10-6)} Futhermore, while most existing models primarily predict cancer-specifc survival (CSS) or overall survival (OS) ,^{[5](#page-10-4)–[12](#page-10-5)} few have been developed to specifcally predict DFS. As the factors infuencing disease-free survival (DFS) and OS in cT1-ccRCC patients differ significantly, it is difficult for a single model to predict both outcomes accurately. Although the emphasis of most prognostic models has been on OS/CSS, accurately predicting DFS is equally important. For surgeons, accurate DFS predictions are essential for follow-up and treatment planning. For patients, disease progression often necessitates the initiation of further therapy, signifcantly impacting their prognosis and fnancial situation, which is a primary concern after surgery.

Additionally, the clinical applicability of the latest model for predicting postsurgical oncological outcomes of RCC is limited by the lack of decision curve analysis (DCA) and validation.¹² Currently, no study has developed a nomogram specifcally designed to predict the oncological outcomes of cT1-ccRCC following PN. This study was designed to develop a new nomogram to predict 2-year and 5-year DFS and OS for cT1-ccRCC patients undergoing PN.

MATERIALS AND METHODS

Ethics

Written informed consent was obtained preoperatively from all patients. The study was conducted in accordance with the Declaration of Helsinki. All surgical procedures were performed by experienced surgeons. The study was approved by the Institutional Review Board (No: 202201007) and reported in line with the STROCSS criteria.^{[13](#page-10-7)}

Patient Selection

We identifed 940 Asian patients who underwent PN for cT1N0M0-ccRCC without positive surgical margins and neoadjuvant therapy between 2010 and 2019 at fve urological centers. Four centers were randomly selected to constitute the training group, while the remaining center served as the testing group. All participants were of Asian race.

Patient Features

The clinical features included gender, age at surgery, smoking status, lumbago, hematuresis, laterality, Eastern Cooperative Oncology Group (ECOG) performance status, age-adjusted Charlson Comorbidity Index $(aCCI)$, ¹⁴ baseline cardiovascular disease (defned using the myocardial infarction, congestive heart failure, and peripheral vascular disease components of the Charlson score), body mass index (BMI), preoperative estimated glomerular fltration rate (eGFR, mL/min/1.73 $m²$), and surgical approach (open versus laparoscopic). Enhanced computed tomography (CT) was used to assess the radiographic features. Radiographic features were rereviewed by a genitourinary radiologist and included cT-stage, hemorrhage, necrosis, calcifcation, and cystic formation. The pathologic features were rereviewed by one genitourinary pathologist, included tumor size, pTstage, WHO/ISUP grade, sarcomatoid diferentiation and coagulative tumor necrosis.^{[15](#page-10-9)[,16](#page-10-10)}

The clinical tumor (cT) stage and pathological tumor (pT) stage was determined according to the American Joint Committee on Cancer (AJCC) Cancer Staging Manual (8th edition). 17

Outcomes

The primary outcomes were disease progression and allcause mortality. Disease progression was defned as local ipsilateral recurrence, contralateral recurrence, or distant metastasis. Follow-up duration was calculated from the date of treatment to the date of the primary outcome or the last follow-up.

Statistical Analysis

The patient features were summarized as frequencies and percentages for categorical variables and as medians with interquartile ranges (IQRs) for continuous variables that were not normally distributed. To compare patient features between the training and testing cohort, the Wilcoxon rank-sum test was used for nonnormally distributed variables, whereas Fisher exact test and the chi-square test were used for categorical variables.

TABLE 1 Comparisons of patient features in the three cohort ($N = 940$)

Table 1 (continued)

aCCI age-adjusted Charlson Comorbidity Index; *BMI* body mass index; *ECOG* Eastern Cooperative Oncology Group; *eGFR* estimated glomerular fltration rate; *IQR* interquartile range

Numbers represent median (IQR) or N (%)

To mitigate the impact of multicollinearity among variables, patient features within the training cohort underwent least absolute selection and shrinkage operator (LASSO) regression with tenfold cross-validation. This process was designed to identify relevant variables afecting disease-free survival (DFS) and overall survival (OS). Subsequently, multivariate Cox proportional hazards regression was employed to validate the impact of the selected features on outcomes and to construct a nomogram for forecasting 2-year and 5-year outcomes. The results of the Cox regression were summarized with hazard ratios (HR) and 95% confidence intervals (CIs). Following 1,000 rounds of internal verifcation, the predictive performance of the nomogram was assessed using calibration plots. The 1,000 times bootstrap-corrected concordance indexes (c-indexes) and time-dependent receiver operator characteristic (timeROC) curves for both the training and testing cohorts quantifed the discrimination accuracy of the new nomograms versus University of California at Los Angeles Integrated Staging System (UUIS) and Stage, Size, Grade and Necrosis (SSIGN) score.^{5,18} The area under the curve (AUC) and net reclassifcation improvement (NRI) were used to compare the predictive abilities of the new nomograms with the UUIS and SSIGN models. Ultimately, decision curve analysis (DCA) was employed to assess the net beneft conferred by the models.

Statistical analyses were performed using R version 4.3.2. All statistical tests were two-sided with signifcance set at p < 0.05 .

RESULTS

Baseline and Features of Patients

The overall cohort included 940 patients, divided into a training group ($n = 714$) and a testing group ($n = 226$). Except for radiographic evidence of hemorrhage (8.0% vs. 14.1%), all patient features were balanced between the training and testing cohorts. The median follow-up time for the 940 patients was 52 months (IQR 24–75). During this period, 60 patients experienced disease progression and 39 died (Table [1\)](#page-2-0).

Risk Factors for Modeling

After employing LASSO regression with tenfold crossvalidation and multivariate Cox regression, pT stage (pT3: HR = 3.663, 95% CI 1.128–11.896, $P = 0.03$), grade (grade 3: HR = 2.687, 95% CI 1.019–7.083, *P* = 0.046; grade 4: HR = 3.568, 95% CI 1.073–11.858, *P* = 0.038), tumor size (HR= 1.41, 95% CI 1.006–1.977, $P = 0.046$, coagulative tumor necrosis (HR = 3.416, 95%) CI 1.549–7.536, $P = 0.002$), and sarcomatoid differentiation (HR = 3.881, 95% CI 1.486–10.136, $P = 0.006$) were identifed as relevant variables for DFS (Supplementary Tables 1–2, Supplementary Fig. 1). Meanwhile, tumor size (HR = 1.654, 95% CI 1.271–2.153, *P* < 0.001), sarcomatoid diferentiation (HR= 2.941, 95% CI 0.832–10.387, *P* = 0.094), and aCCI (HR = 2.723, 95% CI 2.049–3.619, *P* < 0.001) were identifed as relevant variables for OS (Supplementary Tables 3–4, Supplementary Fig. 1).

FIG. 1 Nomogram for predicting **A** DFS and **B** OS at 2 years and 5 years. *DFS* disease-free survival; *OS* overall survival

FIG. 2 Calibration of the nomogram models. **A** Calibration of 2 years DFS prediction; **B** calibration of 5 years DFS prediction; **C** calibration of 2 years OS prediction; **D** calibration of 5 years OS pre-

Development and Assessment of New Nomograms

After 1,000 times internal verifcation with Bootstrap, the resulting multivariable models for DFS and OS had bootstrap-corrected c-indices of 0.87 and 0.902 in the training cohort, and 0.864 and 0.894 in the testing cohort, respectively. Meanwhile, the bootstrap-corrected c-indices for the SSIGN and UUIS models were 0.751, 0.681 for DFS and 0.634, 0.666 for OS in the training cohort. In the testing cohort, the c-indices were 0.771 and 0.631 for DFS, and 0.721 and 0.713 for OS, respectively.

For ease of clinical use, the two newly established models were transformed into visual nomograms to predict 2-year and 5-year outcomes (Fig. [1](#page-4-0)). A higher total score on the nomograms indicates a greater probability of disease progression or all-cause mortality. Calibration plots for the nomograms predicting DFS and OS are shown in Fig. [2](#page-5-0). The predicted risks for 2-year DFS, 5-year DFS, 2-year OS, and 5-year OS demonstrated good agreement between the model predictions and actual outcomes.

In the training cohort, the AUC for the DFS prediction model is 0.953 (95% CI 90.35–100.31) at 2 years and

diction. Plot of observed incidence versus the predicted probability according to the nomograms. *DFS* disease-free survival; *OS* overall survival

0.902 (95% CI 84.53–95.84) at 5 years. The AUC for the OS prediction model is 0.988 (95% CI 97.78–99.89) at 2 years and 0.911 (95% CI 83.39–98.84) at 5 years. In the testing cohort, the AUC for the DFS prediction model is 0.917 (95% CI 82.84–100.6) at 2 years and 0.878 (95% CI 76.26–99.32) at 5 years. The AUC for the OS prediction model is 0.945 (95% CI 88.4–100.68) at 2 years and 0.894 (95% CI 79.99–98.77) at 5 years (Table [2](#page-6-0); Figs. [3](#page-7-0)[–4\)](#page-8-0).

DCA revealed that the established prediction models provided a net beneft for the 2-year DFS, 5-year DFS, 2-year OS, and 5-year OS at threshold probabilities below 57.5%, 75%, 50%, and 73%, respectively (Fig. [5](#page-9-0)).

Improvement of New Nomograms Compared with SSIGN and UUIS Models

Furthermore, we evaluated the enhanced predictive accuracy of the two novel nomograms compared to the SSIGN and UUIS models using NRI. DFS and OS risks were categorized at cutoff points of 0.2 and 0.6. The results indicated that both the predictive and discriminatory

	DFS at 2 years (95% CI)	DFS at 5 years (95% CI)	OS at 2 years (95% CI)	OS at 5 years (95% CI)
AUC (training cohort)				
DFS nomogram	0.953 $(90.35 - 100.31)$	0.902 $(84.53 - 95.84)$		
OS nomogram			0.988 $(97.78 - 99.89)$	0.911 $(83.39 - 98.84)$
SSIGN	0.815 $(69.39 - 93.52)$	0.788 $(70.43 - 87.12)$	0.705 $(40.79 - 100.3)$	0.678 $(55.57 - 79.95)$
UUIS	0.77 $(65.78 - 88.3)$	0.693 $(61.16 - 77.52)$	0.716 $(69.4 - 73.72)$	0.695 $(58.25 - 80.82)$
AUC (testing cohort)				
DFS nomogram	0.917 $(82.84 - 100.6)$	0.878 $(76.26 - 99.32)$		
OS nomogram			0.945 $(88.4 - 100.68)$	0.894 $(79.99 - 98.77)$
SSIGN	0.788 $(57.77-99.77)$	0.764 $(62.95 - 89.77)$	0.757 $(43.78 - 107.71)$	0.69 $(51.63 - 86.47)$
UUIS	0.661 $(44.98 - 87.21)$	0.667 $(53.41 - 79.92)$	0.8 $(62.33 - 97.76)$	0.717 $(56.95 - 86.48)$
NRI (DFS nomogram vs.)				
SSIGN $(\%)$	35.07 $(22.876 - 64.427)$	52.115 $(42.213 - 73.937)$		
UUIS $(\%)$	34.64 $(9.187 - 53.906)$	50.199 $(28.428 - 61.589)$		
NRI (OS nomogram vs.)				
SSIGN $(\%)$			15.064 $(-0.568 \text{ to } 39.006)$	47.679 $(23.337 - 77.864)$
UUIS $(\%)$			15.063 $(-1.138 \text{ to } 19.386)$	41.956 $(33.914 - 88.501)$

TABLE 2 Improvement in prediction abilities of two new models compared with SSIGN and UUIS model

SSIGN Stage, Size, Grade and Necrosis score; *UUIS* University of California at Los Angeles Integrated Staging System; *DFS* disease-free survival; *OS* ovarall survive; *AUC* area under the curve; *NRI* net reclassifcation improvement; *CI* confdence interval

performance of our novel nomograms surpassed that of the SSIGN and UUIS models (NRI $>$ 0). Additionally, a higher AUC indicated that our new models exhibited superior predictive capability compared to the SSIGN and UUIS models (Table [2\)](#page-6-0). Finally, the DCA curve demonstrated that the net beneft of the new models surpassed that of the SSIGN and UUIS models (Fig. [5](#page-9-0)).

DISCUSSION

With PN demonstrating comparable tumor control to RN, its adoption in RCC management has been progressively increasing.[19,](#page-10-13)[20](#page-10-14) A tool that accurately predicts oncologic events while remaining user-friendly in clinical practice is crucial for both patients and clinicians.

To contribute to the feld, our study developed two novel nomograms to predict DFS and OS in patients diagnosed with cT1-ccRCC who underwent PN. Given the favorable oncologic outcomes observed in cT1-ccRCC, with few patients experiencing tumor recurrence or death within the frst year, we chose 2- and 5-year time points to forecast both short-term and long-term oncologic results following PN.

Our study revealed that the risk of DFS in patients is primarily related to the following factors: pT stage, nuclear grade, tumor size, coagulative tumor necrosis, and sarcomatoid diferentiation. Whereas our DFS prediction model might appear similar to the SSIGN model, notable distinctions exist between the two. 5 First, the SSIGN model utilized the ffth edition of the AJCC Cancer Staging Manual for tumor staging, which poses challenges for contemporary physicians and affects the model's predictive efficacy. Then, while the SSIGN model categorizes tumor size based on whether it exceeds 5 cm, our model treats tumor size as a continuous variable. We quantifed its risk using a nomogram, which improved the accuracy of our model. Finally, our model incorporated sarcomatoid diferentiation, a crucial factor infuencing DFS.[21](#page-10-15) Indeed, our results demonstrated that the predictive efficacy of our new model was significantly superior to that of the SSIGN model.

Regarding our OS prediction model, we identifed three factors associated with OS through LASSO regression:

FIG. 3 Receiver operating characteristic curve for nomograms, SSIGN, and UUIS models predicting **A** DFS at 2 years, **B** DFS at 5 years, **C** OS at 2 years, **D** OS at 5 years in the training cohort. *SSIGN* Stage, Size, Grade and Necrosis score; *UUIS* University of Califor-

tumor size, aCCI, and sarcomatoid diferentiation. However, the results of the multivariate Cox regression indicated that sarcomatoid diferentiation was not an independent risk factor for OS ($P = 0.094$). Interestingly, excluding sarcomatoid diferentiation from the model reduced the model's c-index and AUC, indicating a decline in predictive efficacy. Similar situations have been observed in many predictive mod- $els, ²²⁻²⁴$ $els, ²²⁻²⁴$ $els, ²²⁻²⁴$ demonstrating that the factors included in the final predictive model are not necessarily signifcant in multifactor regression.

After constructing our new models, we validated their predictive capability using bootstrap-corrected c-index and ROC analysis. The DCA results further confrmed the net beneft of our model, especially at lower threshold probabilities. Finally, the results of external validation reinforced the accuracy of our predicted results.

nia at Los Angeles Integrated Staging System; *AUC* area under the receiver operating characteristic curve; *DFS* disease-free survival; *OS* overall survival

The UUIS and SSIGN score are widely used tools for assessing the oncological prognosis of ccRCC.^{[5](#page-10-4),18} Despite some models undergoing external validation, the outcomes of such validations display variability.^{[25,](#page-10-18)26} For example, the UUIS model has undergone multiple external validations; however, the outcomes have been inconsistent. $27,28$ $27,28$ $27,28$ In Parker et al.'s study, the c-index of the SSIGN model in the external cohort was 0.82, whereas the c-index for external validation in Correa et al.'s study was only 0.688 ([9](#page-10-20)5% CI 0.686–0.689). 9,28 9,28 9,28 Our study compared the predictive accuracy and net beneft of our novel models against the SSIGN and UUIS models using the c-index, AUC, NRI, and DCA. The results indicate that the precision and net benefts of the new models signifcantly surpass those of the SSIGN and UUIS models.

Recently, Karakiewicz's research team introduced novel predictive models tailored to ccRCC, papillary RCC

FIG. 4 Receiver operating characteristic curve for nomograms, SSIGN and UUIS models predicting **A** DFS at 2 years, **B** DFS at 5 years, **C** OS at 2 years, **D** OS at 5 years in the testing cohort. *SSIGN* Stage, Size, Grade and Necrosis score; *UUIS* University of Califor-

(papRCC), and chromophobe RCC (chrRCC). For ccRCC, their latest model achieved a c-index of 0.83 for progression-free survival (PFS) and 0.86 for CSS.¹² Although the c-index confrms the model's accuracy, it includes 13 predictive factors, making it more complex than other models and increasing the potential for overftting. Furthermore, this model lacks internal validation, external validation, as well as a DCA curve, limiting its clinical utility. In contrast, our model has undergone rigorous external validation and demonstrated a net beneft.

Another recently developed model is the GRANT score. However, it difers from our new models, as evidenced by its suboptimal predictive performance for DFS and OS in RCC (with c-indices of 0.589 and 0.613, respectively). Notably, this model was developed based on a population with a relatively high risk of recurrence after $RN₁²⁹$ potentially making it less suitable for patients undergoing PN for cT1-ccRCC.

nia at Los Angeles Integrated Staging System; *AUC* area under the receiver operating characteristic curve; *DFS* disease-free survival; *OS* overall survival

This study has several limitations. Becaues of the late adoption of robot-assisted surgery in the participating institutions, the number of patients undergoing robot-assisted PN was limited. Additionally, patients who underwent robotassisted surgery lacked an adequate follow-up period, leading to the exclusion of robot-assisted surgery as a covariate in our study. Nevertheless, previous investigations have shown that robot-assisted surgery does not increase the risk of poor oncological outcomes compared to laparoscopic surgery. Therefore, we hypothesize that the novel nomograms can be efectively applied to patients undergoing robotassisted PN. Moreover, potential racial biases exist; patients treated at the participating institutions are predominantly Asian, posing challenges in collecting adequate samples from other racial groups. However, existing studies have not demonstrated any diferences in ccRCC prognosis between Asian and other racial groups. 30 Consequently, we believe

FIG. 5 Decision curve showing the beneft of the nomograms, SSIGN, and UUIS models compared with treating all cases or none as at risk of **A** DFS at 2 years, **B** DFS at 5 years, **C** OS at 2 years, **D**

that this model can be applied to other races Finally, external validation in a large population is still needed.

Despite the above limitations, our novel nomograms efectively address several defciencies present in existing models. For instance, we successfully mitigate confounding factors arising from multicollinearity during predictor selection. Additionally, our nomograms are better suited to contemporary populations compared with older models, and the confrmed net beneft of our nomograms further enhances their utility.

CONCLUSIONS

After external validation, our novel nomograms demonstrated commendable predictive capacity for forecasting 2-year and 5-year oncological outcomes in patients with cT1-ccRCC who have undergone PN. These nomograms offer simplicity and convenience in clinical application, substantiated by their tangible clinical net benefit. We

OS at 5 years. *SSIGN* Stage, Size, Grade and Necrosis score; *UUIS* University of California at Los Angeles Integrated Staging System; *DFS* disease-free survival; *OS* overall survival

recommend these new nomograms specifcally for patients with cT1-ccRCC after PN to predict their oncological outcomes. These tools are valuable for patient counseling, personalized follow-up strategies, informed decision-making, and interpreting clinical trial fndings. However, it remains imperative to externally validate these novel nomograms in a confrm population to substantiate their role as reliable prognostic predictive tools in clinical practice.

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DATA AVAILABILITY The data sets generated during and analyzed during the current study are available from the corresponding author on reasonable request.

DISCLOSURE The authors declare no potential conficts of interest.

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