

## Cholangiocarcinoma Heterogeneity Revealed by Multigene Mutational Profiling: Clinical and Prognostic Relevance in Surgically Resected Patients

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### ABSTRACT

**Background.** Cholangiocarcinoma can be classified in intrahepatic cholangiocarcinoma (ICC) and perihilar cholangiocarcinoma (PCC). Moreover, PCC includes two different forms: extrahepatic (EH) PCC, which arises from the perihilar EH large ducts, and intrahepatic (IH) PCC, in which a significant liver mass invades the perihilar bile ducts. In this study, we investigated the molecular profile and molecular prognostic factors in EH-PCC, IH-PCC, and ICC submitted to curative surgery.

**Methods.** Ninety-one patients with cholangiocarcinoma (38 EH-PCC, 18 IH-PCC, and 35 ICC), who underwent curative surgery in a single tertiary hepatobiliary surgery referral center were assessed for mutational status in 56 cancer-related genes.

**Results.** The most frequently mutated genes in EH-PCC were *KRAS* (47.4 %), *TP53* (23.7 %) and *ARID1A* (15.8 %); in IH-PCC were *KRAS* (22.2 %), *PBRM1* (16.7 %), and *PIK3CA* (16.7 %); and in ICC were *IDH1*

(17.1 %), *NRAS* (17.1 %), and *BAP1* (14.3 %). The presence of mutations in *ALK*, *IDH1*, and *TP53* genes was significantly associated with poor prognosis in patients with EH-PCC ( $p < 0.001$ ,  $p = 0.043$ , and  $p = 0.019$ , respectively). Mutation of the *TP53* gene was significantly associated with poor prognosis in patients with IH-PCC ( $p = 0.049$ ). The presence of mutations in *ARID1A*, *PIK3C2G*, *STK11*, *TGFBR2*, and *TP53* genes was significantly associated with poor prognosis in patients with ICC ( $p = 0.012$ ,  $p = 0.030$ ,  $p = 0.030$ ,  $p = 0.011$ , and  $p = 0.011$ , respectively).

**Conclusions.** Mutational gene profiling identified different gene mutations in EH-PCC, IH-PCC, and ICC. Moreover, our study reported specific prognostic genes that can identify patients with poor prognosis after curative surgery who may benefit from traditional or target adjuvant treatments.

Cholangiocarcinoma (CCA) is a heterogeneous group of malignancies arising from the epithelial cells of biliary tree with a poor prognosis.<sup>1,2</sup> CCA can be classified into three different forms: intrahepatic cholangiocarcinoma (ICC), arising from intrahepatic (IH) bile ducts; PCC, arising or involving the hepatic biliary confluence; and distal cholangiocarcinoma, arising from the bile duct distal to the cystic duct origin.<sup>3,4</sup> PCC includes two separate subtypes: EH-PCC, which arises from the perihilar extrahepatic (EH) large ducts, and IH PCC, in which a significant liver mass invades the perihilar bile ducts.<sup>5,6</sup> In some cases the clinical discrimination between ICC and IH-PCC may be difficult.<sup>7,8</sup>

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The pathogenic pathways involved in carcinogenesis of ICC and PCC are still unclear. Recently, several studies investigated the molecular mutations that characterize CCAs including *PIK3CA*, *PTEN*, *AKT1*, *IDH1*, and *IDH2*.<sup>9–13</sup> However, the prevalence of these alterations varies widely among studies. Two recent whole-exome sequencing studies of ICC revealed a key role for chromatin remodeling genes *BAP1*, *ARID1A*, and *PBRM1* in the development of these neoplasms.<sup>9,14</sup> Other study reported that *IDH1* mutations occurred more frequently in ICC and *ERBB2* in EH CCA.<sup>15</sup> It has also been shown that specific molecular mutations are associated with different types of biliary tree carcinomas, supporting their pathologic and molecular heterogeneity.<sup>16</sup> To our knowledge, no studies have investigated the differences in molecular profiling between EH-PCC and IH-PCC.

The prognostic implication of the mutational profiling of CCA after surgical resection is still under investigation.

The aims of the present study were to identify and compare the mutation profiling of EH-PCC, IH-PCC, and ICC and to identify their molecular prognostic factors in patients who underwent surgery with curative intent.

## PATIENTS AND METHODS

### Definition of CCA Subtypes

CCAs were classified according to World Health Organization 2010 and American Joint Committee on Cancer (AJCC)/International Union Against Cancer (UICC) 7th edition criteria as ICC and PCC.<sup>3,4</sup> PCC were defined as tumors that involve the biliary confluence, even in the presence of a liver parenchymal mass. Moreover, in this study we applied the 3rd English edition of the Japanese classification of biliary tract cancers; this classification defines as PCC every tumor that involves the perihilar bile duct (between the right side of the umbilical portion of the left portal branch and the left side of the origin of the right posterior portal branch). Tumors with a predominant liver mass component were included in the perihilar group if the center of the mass was located between the above portal landmarks.<sup>8</sup>

PCC was divided according to the macroscopic aspect of the tumors: disease with a predominant liver mass component was defined as IH-PCC, and disease without a significant liver mass was defined as EH-PCC.<sup>6</sup> When identification of the tumor location was difficult, the presence of carcinoma-in-situ and the most extensive cancer infiltration with ductal stricture were used for reference, and a multidisciplinary team discussion including surgeons (A.G. and A.R.), pathologists (A.S., C.P., and M.F.), and a radiologist (M.D.O.) was carried out to reach a consensus.

### Patients

From September 1990 to December 2012, a total of 146 patients with CCA submitted to surgical resection with radical intent in a single tertiary hepatobiliary surgery referral center. In 91 CCA specimens, the material was sufficient for the pathologic and molecular analyses and were retrieved from the formalin-fixed, paraffin-embedded (FFPE) archives of the Department of Pathology–Diagnostics and the Arc-Net biobank of the University and Hospital Trust of Verona.

The clinical and pathologic data were prospectively collected in all patients. For the 91 CCAs, tissue microarrays were also prepared using two 1 mm cores for each case. FFPE tissue was obtained under local ethics committee ARC-Net approval (prog. 1959).

### DNA Extraction and PCR Amplification

DNA was prepared from tissues after enrichment for neoplastic cellularity using manual microdissection. A total of 5–15 consecutive 4  $\mu$ m FFPE sections per case were used. DNA was extracted using the QIAamp DNA FFPE Tissue Kit (Qiagen, Germantown, MD). Purified DNA was quantified and its quality assessed using NanoDrop (Invitrogen; Life Technologies, Carlsbad, CA, USA) and Qubit (Invitrogen) platforms.<sup>17</sup> DNA quality was further evaluated by PCR analysis using the BIOMED 2 PCR multiplex protocol with PCR products analyzed by DNA 1000 Assay (Invitrogen) on the Agilent 2100 Bioanalyzer on-chip electrophoresis (Agilent Technologies, Santa Clara, CA).<sup>18</sup>

Two multigene panels were used: the 50-gene Ion AmpliSeq Cancer Hotspot Panel v2 (Life Technologies) and a 7-gene AmpliSeq Custom Panel. The first explores selected regions of the following 50 cancer-associated genes, in alphabetical order: *ABL1*, *AKT1*, *ALK*, *APC*, *ATM*, *BRAF*, *CDH1*, *CDKN2A*, *CSF1R*, *CTNNB1*, *EGFR*, *ERBB2*, *ERBB4*, *EZH2*, *FBXW7*, *FGFR1*, *FGFR2*, *FGFR3*, *FLT3*, *GNAI1*, *GNAS*, *GNAQ*, *GNF1A*, *HRAS*, *IDH1*, *IDH2*, *JAK2*, *JAK3*, *KDR/VEGFR2*, *KIT*, *KRAS*, *MET*, *MLH1*, *MPL*, *NOTCH1*, *NPM1*, *NRAS*, *PDGFRA*, *PIK3CA*, *PTEN*, *PTPN11*, *RBI*, *RET*, *SMAD4*, *SMARCB1*, *SMO*, *SRC*, *STK11*, *TP53*, and *VHL*. The details of the target regions may be found online (<http://www.lifetechnologies.com>). The 7-gene custom panel was designed to target selected regions of a gene included in the 50-gene panel (*IDH2*), and 6 genes were selected according to the results of a previously published ICC exome sequencing study (*ARID1A*, *BAP1*, *PBRM1*, *PIK3C2A*, *PIK3C2G*, *TGFBR2*).<sup>9</sup>

Sequencing was run on the Ion Torrent Personal Genome Machine (PGM; Life Technologies) loaded with 316 (50-gene panel) or 318 (7-gene panel) chips.

### Statistical Analysis

Data were collected and analyzed by SPSS 21 statistical software (IBM, Armonk, NY). The differences between categorical variables were analyzed by the  $\chi^2$  test. Comparisons between means were carried out by *t* test. Survival analysis was carried out using the Kaplan–Meier method. We considered the treatment day as time 0, and patients alive at the end of follow-up were considered censored. The mean follow-up period was  $28.3 \pm 25.8$  months. Nine patients with 90-day postoperative mortality (7 EH-PCC, 1 IH-PCC, 1 ICC) were excluded from survival analysis.

A multivariate analysis including the clinical, pathologic, and molecular factors related to survival at univariate analysis ( $p < 0.05$ ) were carried out with the Cox regression model with forward and backward analysis to identify factors that were independently related to survival.  $p < 0.05$  was regarded as statistically significant.

## RESULTS

The clinical and pathologic features of the 91 patients included in the study are summarized in Table 1. The study population included 38 EH-PCC, 18 IH-PCC, and 35 ICC. A detailed description of gene mutations in EH-PCC, IH-PCC, and ICC is provided in Table 2. No mutations were identified in the following 24 genes: *ABL1*, *AKT1*, *APC*, *ATM*, *CDH1*, *CSF1R*, *ERBB2*, *FGFR1*, *FGFR2*, *FLT3*, *HNF1A*, *JAK2*, *JAK3*, *MET*, *MPL*, *NOTCH1*, *NPM1*, *PDGFRA*, *RBI*, *RET*, *SMARCB1*, *SMO*, *SRC*, and *VHL*. At least 1 gene mutation was identified in 76.9 % of the patients ( $n = 70$ ).

The most frequently mutated gene in EH-PCC was *KRAS*, with mutation in 47.4 % of the patients. Other genes with a high rate of mutations (over 10 %) in EH-PCC were *TP53* (23.7 %), *ARID1A* (15.8 %), and *PIK3C2G* (10.5 %).

In IH-PCC, the most frequently mutated gene was also *KRAS*, with mutation in 22.2 % of the patients. Other genes with a rate of mutations over 10 % in IH-PCC were *PBRM1* (16.7 %), *PIK3CA* (16.7 %), *ARID1A* (11.1 %), *PIK3C2A* (11.1 %), and *TP53* (11.1 %).

The most frequently mutated genes in ICC were *IDH1* and *NRAS*, with mutation in 17.1 % of the patients. Other genes with a high rate of mutations (over 10 %) in ICC were *BAP1* (14.3 %), *ARID1A* (11.4 %), and *PBRM1* (11.4 %).

### Comparison in Molecular Profile among IH-PCC, EH-PCC, and ICC

The results of the univariate analysis of comparison in molecular profile among the 3 groups are shown in Table 2.

Comparing EH-PCC with IH-PCC, we observed a statistically significant higher frequency of mutation for *KRAS*, 47.4 and 22.2 %, respectively ( $p = 0.044$ ). Considering the genes with a mutation rate of over 10 % in both groups, the frequency of gene mutation for *ARID1A* and *TP53* was not statistically different between EH-PCC and IH-PCC. No mutations of *ERBB4*, *FGFR3*, and *NRAS* were identified in both EH-PCC and IH-PCC.

Comparing IH-PCC with ICC, no differences in gene mutation reach the statistical significance. Nevertheless, a higher frequency of mutation in ICC occurred in *BAP1* and *NRAS* (14.3 vs. 0 %,  $p = 0.092$ , and 17.1 vs. 0 %,  $p = 0.062$ , respectively). Conversely, *PIK3CA* was more frequently mutated in IH-PCC compared to ICC (16.7 vs. 2.8 %,  $p = 0.071$ ). Considering the genes with a mutation rate of over 10 % in both groups, the frequency of gene mutation for *ARID1A* and *PBRM1* were not statistically different between IH-PCC and ICC. No mutations in *EGFR*, *HRAS*, *KIT*, *MLH1*, and *SMAD* were identified in both IH-PCC and ICC.

Higher frequencies of mutations were observed in ICC compared to EH-PCC for *IDH1* (17.1 vs. 2.6 %,  $p = 0.035$ ) and *NRAS* (17.1 vs. 0 %,  $p = 0.008$ ). Conversely, *KRAS* and *TP53* were more commonly mutated in EH-PCC compared to ICC (47.4 vs. 8.6 %,  $p < 0.001$ ; and 23.7 vs. 5.7 %,  $p = 0.032$ , respectively). Considering the genes with mutation rate over 10 % in both groups, the frequency of gene mutation for *ARID1A* was not statistically different between EH-PCC and ICC. No mutations of *CDKN2A*, *CTNNB1*, *GNAS*, and *PTPN11* were identified in EH-PCC and ICC.

Subgroup analysis limited to advanced stages of disease (AJCC/UICC stages III and IVa) confirmed that each tumor subtype (ICC, EH-PCC, and IH-PCC) had a different frequency of gene mutations (Supplementary Table 1).

### Prognostic Factors After Surgery

Figure 1 shows overall survival after surgery for EH-PCC, IH-PCC, and ICC.

Univariate analysis of clinical, pathologic, and molecular prognostic factors of EH-PCC, IH-PCC, and ICC is shown in Table 3. The presence of mutations in *ALK*, *IDH1*, and *TP53* genes was significantly associated with poor prognosis in patients with EH-PCC compared to wild type (median overall survival 5.0 vs. 34.9 months,  $p < 0.001$ , 9.1 vs. 29.6 months,  $p = 0.043$ ; and 15.4 vs. 32.5 months,  $p = 0.019$ , respectively).

Mutation of *TP53* was significantly associated with poor prognosis in patients with IH-PCC compared to wild type, with a median overall survival of 6.1 vs. 22.6 months ( $p = 0.049$ ). IH-PCC patients with mutations of *BRAF* showed a reduced overall survival compared to wild-type

**TABLE 1** Clinical and pathologic features of 91 patients: 38 patients with extrahepatic perihilar cholangiocarcinoma (EH-PCC), 18 patients with intrahepatic perihilar cholangiocarcinoma (IH-PCC) and 35 patients with intrahepatic cholangiocarcinoma (ICC)

Characteristic	EH-PCC ( <i>n</i> = 38)	IH-PCC ( <i>n</i> = 18)	ICC ( <i>n</i> = 35)
Age (years), mean (range)	63.8 (42–84)	65.4 (30–80)	66.6 (43–85)
Gender (M/F)	28/10	14/4	20/15
Jaundice, <i>n</i> (%)	31 (81.6)	11 (61.1)	0 (0.0)
Major hepatectomy, <i>n</i> (%)	35 (92.1)	17 (94.4)	20 (57.1)
Bile duct resection, <i>n</i> (%)	38 (100.0)	17 (94.4)	1 (2.9)
R0 resection, <i>n</i> (%)	23 (60.5)	15 (83.3)	28 (80.0)
Caudate lobe resection, <i>n</i> (%)	33 (86.4)	17 (94.4)	1 (2.9)
Size, cm, mean ± SD	2.6 ± 1.5	5.2 ± 2.2	5.9 ± 3.1
Satellite nodules, <i>n</i> (%)	4 (10.5)	4 (22.2)	15 (42.8)
AJCC/UICC pT, <i>n</i> (%)			
1	1 (2.6)	1 (5.5)	6 (17.1)
2	17 (44.7)	3 (16.7)	19 (54.3)
3	18 (47.4)	14 (77.8)	8 (22.8)
4	2 (5.2)	0 (0.0)	2 (5.7)
AJCC/UICC pN, <i>n</i> (%)			
X	4 (10.5)	1 (5.5)	3 (8.6)
0	19 (50.0)	7 (38.9)	20 (57.1)
1	15 (39.5)	10 (55.6)	12 (34.3)
No. lymph nodes retrieved, mean ± SD	8.6 ± 6.9	10.2 ± 7.6	5.9 ± 6.6
No. metastatic lymph nodes, mean ± SD	1.8 ± 2.5	2.6 ± 3.7	1.7 ± 4.3
Grade, <i>n</i> (%)			
G1–2	28 (73.7)	14 (77.8)	24 (68.6)
G3–4	10 (26.3)	4 (22.2)	11 (31.4)
Microvascular invasion, <i>n</i> (%)	23 (60.5)	14 (77.8)	28 (80.0)
Perineural invasion, <i>n</i> (%)	30 (78.9)	12 (66.7)	13 (37.1)
AJCC/UICC stage, <i>n</i> (%)			
I	2 (5.3)	1 (5.6)	5 (14.3)
II	10 (26.3)	2 (11.1)	16 (45.7)
IIIa–IIIb	19 (50.0)	12 (66.7)	7 (20.0)
IVa	7 (18.4)	3 (16.6)	7 (20.0)
Adjuvant chemotherapy, <i>n</i> (%)	14 (36.8)	8 (44.4)	10 (28.6)

EH-PCC extrahepatic perihilar cholangiocarcinoma, IH-PCC intrahepatic perihilar cholangiocarcinoma, ICC intrahepatic cholangiocarcinoma, AJCC American Joint Committee on Cancer, UICC International Union Against Cancer

patients (median overall survival of 10.2 vs. 22.6 months,  $p = 0.065$ ), but the difference did not reach statistical significance.

The presence of mutations of *ARID1A*, *PIK3C2G*, *STK11*, *TGFBR2*, and *TP53* genes was significantly associated with poor prognosis in patients with ICC compared to wild type (median overall survival of 14.0 vs. 52.0 months,  $p = 0.012$ ; 11.8 vs. 40.1 months,  $p = 0.030$ ; 11.8 vs. 40.1 months,  $p = 0.030$ ; 9.3 vs. 40.1 months,  $p = 0.011$ ; and 5.7 vs. 40.1 months,  $p = 0.011$ , respectively).

We performed a subgroup analysis comparing survival of patients with any mutation in specific prognostic genes

identified at univariate analysis for each subtype (EH-PCC, IH-PCC, and ICC) in patients without mutations (wild type); in EH-PCC, patients with any mutation in *ALK*, *IDH1*, and *TP53* showed a poorer prognosis, with a 3-year survival rate of 11.1 % (median survival 11.1 months) compared to 51.3 % of wild-type patients (median survival 40.5 months) ( $p = 0.001$ ) (Fig. 2a).

IH-PCC patients with mutation in *TP53* and/or *BRAF* showed a poorer prognosis, with no survivors after 3 years (median survival 10.2 months) compared to 30.8 % of wild-type patients surviving more than 3 years (median survival 23.8 months) ( $p = 0.007$ ) (Fig. 2b).

**TABLE 2** Frequency and comparison of gene mutations in the study population, including 38 extrahepatic perihilar cholangiocarcinoma (EH-PCC), 18 intrahepatic perihilar cholangiocarcinoma (IH-PCC) and 35 intrahepatic cholangiocarcinoma (ICC)

Gene mutation	EH-PCC ( <i>n</i> = 38)	IH-PCC ( <i>n</i> = 18)	ICC ( <i>n</i> = 35)	<i>p</i>			
					EH-PCC versus IH-PCC	IH-PCC versus ICC	EH-PCC versus ICC
<i>ALK</i>	1 (2.6 %)	0	0	0.679	–	0.334	
<i>ARID1A</i>	6 (15.8 %)	2 (11.1 %)	4 (11.4 %)	0.492	0.972	0.588	
<i>BAP1</i>	2 (5.2 %)	0	5 (14.3 %)	0.456	0.092	0.191	
<i>BRAF</i>	0	1 (5.6 %)	2 (5.7 %)	0.321	0.981	0.135	
<i>CDKN2A</i>	0	1 (5.6 %)	0	0.321	0.340	–	
<i>CTNNB1</i>	0	1 (5.6 %)	0	0.321	0.340	–	
<i>EGFR</i>	1 (2.6 %)	0	0	0.679	–	0.334	
<i>ERBB4</i>	0	0	1 (2.8 %)	–	0.469	0.294	
<i>FBXW7</i>	1 (2.6 %)	1 (5.6 %)	0	0.544	0.340	0.334	
<i>FGFR3</i>	0	0	1 (2.8 %)	–	0.469	0.294	
<i>GNAS</i>	0	1 (5.6 %)	0	0.321	0.340	–	
<i>HRAS</i>	1 (2.6 %)	0	0	0.679	–	0.334	
<i>IDH1</i>	1 (2.6 %)	1 (5.6 %)	6 (17.1 %)	0.544	0.238	0.035	
<i>IDH2</i>	1 (2.6 %)	0	1 (2.8 %)	0.679	0.469	0.953	
<i>KDR</i>	1 (2.6 %)	1 (5.6 %)	0	0.544	0.340	0.334	
<i>KIT</i>	1 (2.6 %)	0	0	0.679	–	0.334	
<i>KRAS</i>	18 (47.4 %)	4 (22.2 %)	3 (8.6 %)	0.044	0.165	<0.001	
<i>MLH1</i>	1 (2.6 %)	0	0	0.679	–	0.334	
<i>NRAS</i>	0	0	6 (17.1 %)	–	0.062	0.008	
<i>PBRM1</i>	3 (7.9 %)	3 (16.7 %)	4 (11.4 %)	0.289	0.594	0.608	
<i>PIK3CA</i>	2 (5.2 %)	3 (16.7 %)	1 (2.8 %)	0.183	0.071	0.605	
<i>PIK3C2A</i>	1 (2.6 %)	2 (11.1 %)	1 (2.8 %)	0.239	0.218	0.953	
<i>PIK3C2G</i>	4 (10.5 %)	1 (5.6 %)	1 (2.8 %)	0.479	0.625	0.195	
<i>PTEN</i>	1 (2.6 %)	1 (5.6 %)	1 (2.8 %)	0.544	0.625	0.953	
<i>PTPN11</i>	0	1 (5.6 %)	0	0.321	0.340	–	
<i>SMAD4</i>	3 (7.9 %)	0	0	0.304	–	0.090	
<i>STK11</i>	1 (2.6 %)	0	1 (2.8 %)	0.679	0.469	0.953	
<i>TGFBR2</i>	3 (7.9 %)	0	1 (2.8 %)	0.304	0.469	0.345	
<i>TP53</i>	9 (23.7 %)	2 (11.1 %)	2 (5.7 %)	0.233	0.481	0.032	

*EH-PCC* extrahepatic perihilar cholangiocarcinoma, *IH-PCC* intrahepatic perihilar cholangiocarcinoma, *ICC* intrahepatic cholangiocarcinoma

ICC patients with cancers harboring mutations in *ARID1A*, *PIK3C2A*, *STK11*, *TGFBR2*, and *TP53* showed a poorer prognosis, with no survivors after 3 years (median survival 11.8 months) compared to 66.0 % of wild-type patients surviving more than 3 years (median survival 62.7 months) ( $p < 0.001$ ) (Fig. 2c).

At multivariate analysis including clinical, pathologic, and genetic features, the factors independently related with survival for EH-PCC were as follows: R1 resection (odds ratio [OR] 2.699, 95 % confidence interval [CI] 0.999–7.293,  $p = 0.050$ ), pN status (OR 2.883, 95 % CI 1.140–7.287,  $p = 0.025$ ), and mutations in *IDH1* (OR 17.844,

95 % CI 3.947–17.397,  $p = 0.004$ ) and *TP53* (OR 2.706, 95 % CI 1.092–8.210,  $p = 0.039$ ). The independent prognostic factors for IH-PCC were as follows: pN status (OR 3.223, 95 % CI 1.090–12.803,  $p = 0.027$ ) and mutations in the *TP53* gene (OR 3.110, 95 % CI 1.067–9.065,  $p = 0.038$ ). In the ICC group, R1 resection (OR 2.845, 95 % CI 1.019–8.805,  $p = 0.040$ ), pN status (OR 2.038, 1.015–8.299,  $p = 0.033$ ), and mutations in the *ARID1A* gene (OR 5.337, 95 % CI 1.325–21.489,  $p = 0.018$ ) and the *TP53* gene (OR 10.803, 95 % CI 2.022–57.727,  $p = 0.005$ ) were independent factors related to survival (Supplementary Table 2).

**TABLE 3** Univariate of overall survival in the 36 patients with extrahepatic perihilar cholangiocarcinoma (EH-PCC), 18 patients with intrahepatic perihilar cholangiocarcinoma (IH-PCC) and 35 patients with intrahepatic cholangiocarcinoma (ICC)

Characteristic	Variable	EH-PCC ( <i>n</i> = 36)		IH-PCC ( <i>n</i> = 18)		ICC ( <i>n</i> = 35)	
		Median survival (months)	<i>p</i>	Median survival (months)	<i>p</i>	Median survival (months)	<i>p</i>
Age	<70 years	29.6	0.802	20.1	0.890	30.2	0.569
	≥70 years	26.0		10.2		37.7	
Gender	M	32.5	0.652	19.4	0.864	40.1	0.821
	F	29.6		23.8		33.6	
Major hepatectomy	0	21.0	0.360	12.0	0.155	62.7	0.029
	1	29.6		22.6		30.2	
Radicality	R0	32.5	0.012	25.2	0.023	62.7	0.027
	R1	13.4		12.1		14.0	
AJCC/UICC pT	1–2	32.5	0.566	22.6	0.849	62.7	0.154
	3–4	26.0		20.1		23.0	
AJCC/UICC pN	0	40.5	0.024	36.4	0.024	62.7	0.057
	1	11.8		17.1		23.0	
Grade	1–2	32.5	0.037	20.1	0.417	40.1	0.166
	3–4	17.0		19.4		18.1	
Microvascular invasion	0	40.5	0.116	38.8	0.244	84.2	0.071
	1	22.8		19.4		30.2	
Perineural invasion	0	32.5	0.337	23.8	0.337	40.1	0.486
	1	21.0		19.4		23.0	
AJCC/UICC stage	I–II	40.5	0.339	22.6	0.447	40.1	0.068
	III–IV	26.0		19.4		14.0	
Adjuvant chemotherapy	0	26.0	0.867	23.8	0.150	40.1	0.032
	1	29.6		19.4		13.5	
ALK	wt	34.9	<0.001	–	–	–	–
	mut	5.0		–		–	
ARID1A	wt	–	–	–	–	52.0	0.012
	mut	–		–		14.0	
BRAF	wt	–	–	22.6	0.065	–	–
	mut	–		10.2		–	
IDH1	wt	29.6	0.043	–	–	–	–
	mut	9.1		–		–	
PIK3C2G	wt	–	–	–	–	40.1	0.030
	mut	–		–		11.8	
STK11	wt	–	–	–	–	40.1	0.030
	mut	–		–		11.8	
TGFBR2	wt	–	–	–	–	40.1	0.011
	mut	–		–		9.3	
TP53	wt	32.5	0.019	22.6	0.049	40.1	0.011
	mut	15.4		6.1		5.7	

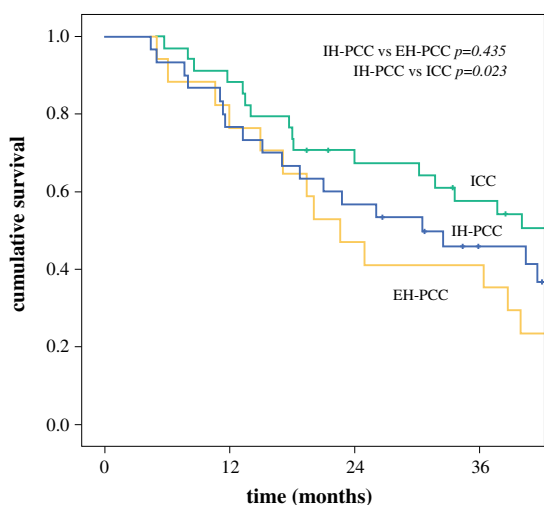
EH-PCC extrahepatic perihilar cholangiocarcinoma, IH-PCC intrahepatic perihilar cholangiocarcinoma, ICC intrahepatic cholangiocarcinoma, AJCC American Joint Committee on Cancer, UICC International Union Against Cancer, wt wild type, mut mutant

## DISCUSSION

In this study, we analyzed the molecular features of EH-PCC, IH-PCC, and ICC in a patient series from a single

tertiary hepatobiliary referral center. The main findings of our study showed specific molecular characteristics and distinctive molecular prognostic factors for EH-PCC, IH-PCC, and ICC.





Number at Risk		0	12	24	36
ICC	35	30	21	17	
EH-PCC	31	23	17	10	
IH-PCC	17	13	8	7	

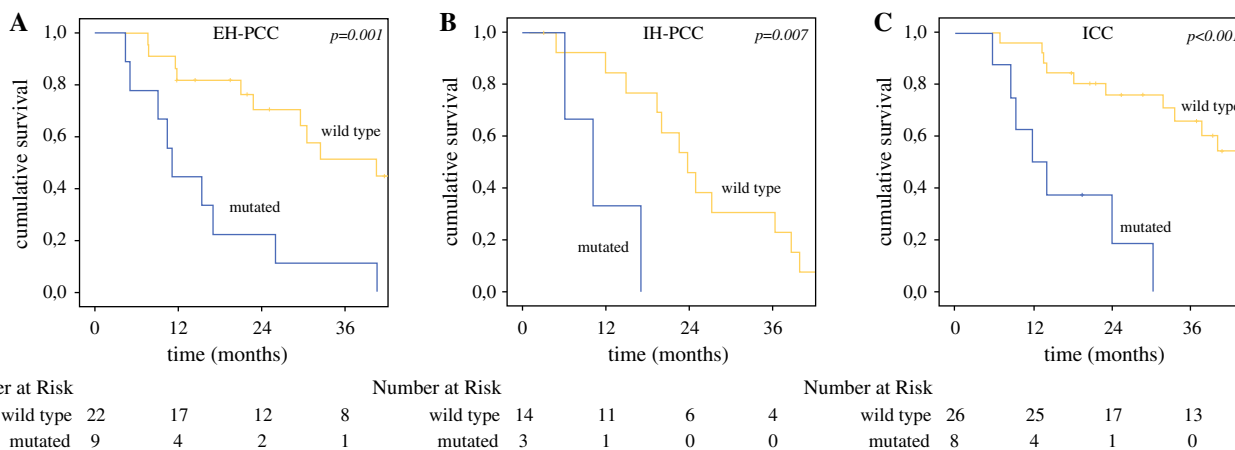
**FIG. 1** Survival curves after curative resection for extrahepatic perihilar cholangiocarcinoma (EH-PCC), intrahepatic perihilar cholangiocarcinoma (IH-PCC), and intrahepatic cholangiocarcinoma (ICC). IH-PCC had similar overall survival after surgical resection compared to EH-PCC, with median survival of 22.6 months (3-year overall survival rate 41.2 %) and 30.5 months (3 years overall survival rate 45.9 %), respectively ( $p = 0.435$ ), whereas survival for ICC was significantly longer, with median survival of 52.0 months (3-year overall survival rate 57.6 %) ( $p = 0.023$ )

From our data, the macroscopic type of CCA, EH-PCC, IH-PCC, and ICC seem to have significant differences at the molecular level, not only in prognosis and type of treatment, suggesting different carcinogenetic pathways.

Data are available on the molecular profiling of CCA, mostly regarding ICC, whereas data for PCC are from limited series.<sup>19</sup>

Previously, several studies reported *IDH1* mutations in about 20 % of ICC.<sup>15,20</sup> Recently, Zhu et al. analyzed 15 cancer-related genes in 200 resected specimens of ICC from seven different centers and reported mutation of *IDH1* in 15.5 % and of *KRAS* in 8.6 %, but in this study there was no clear definition of ICC (more than 22 % of patients with biliary confluence invasion). In contrast, *NRAS* mutations were reported in only 3.1 % of ICC.<sup>21</sup> In addition, using exome sequencing, a multicenter study on 32 ICC identified mutations of *BAP1*, *ARID1A*, *PBRM1*, and *IDH1/2* in 25, 19, 17, and 19 %, respectively.<sup>9</sup>

Mutational data on PCC are fewer and unclear, and to our knowledge, no previous study has reported specific molecular profile for EH-PCC and IH-PCC. For example, a study on 34 CCAs reported mutation of *KRAS* in 38.2 % and of *PIK3CA* in 32.4 %, but the type of CCA, perihilar or IH, was not specified.<sup>22</sup> A separate study on 27 PCC reported mutations of *KRAS* and *TP53* in 40.7 % and 22.2 % of patients, respectively.<sup>19</sup> Park et al. in a study on



Number at Risk					Number at Risk					Number at Risk				
wild type	22	17	12	8	wild type	14	11	6	4	wild type	26	25	17	13
mutated	9	4	2	1	mutated	3	1	0	0	mutated	8	4	1	0

**FIG. 2 a** Subgroup analysis in extrahepatic perihilar cholangiocarcinoma (EH-PCC) comparing survival of patients with any mutation in *ALK*, *IDH1*, and *TP53* (prognostic genes at univariate analysis,  $p < 0.001$ ,  $p = 0.043$ , and  $p = 0.019$ , respectively) with patients without mutations (wild type); patients with mutations showed poorer prognosis, with 3-year survival rate of 11.1 % (median survival 11.1 months) compared to 51.3 % of wild-type patients (median survival 40.5 months,  $p = 0.001$ ). **b** Subgroup analysis in intrahepatic perihilar cholangiocarcinoma (IH-PCC) comparing survival of patients with any mutation in *TP53* and *BRAF* (prognostic genes at univariate analysis,  $p = 0.049$  and  $p = 0.065$ , respectively) with patients without mutations (wild type); patients with mutations in

these genes showed poorer prognosis, with no survivors after 3 years (median survival 10.2 months) compared to 30.8 % of wild-type patients surviving after 3 years (median survival 23.8 months,  $p = 0.007$ ). **c** Subgroup analysis of overall survival in intrahepatic cholangiocarcinoma (ICC) comparing patients with any mutation in *ARID1A*, *PIK3CA*, *STK11*, *TGFBR2*, and *TP53* (prognostic genes at univariate analysis,  $p = 0.012$ ,  $p = 0.011$ ,  $p = 0.011$ ,  $p = 0.030$ , and  $p = 0.030$ , respectively) with patients without any mutation (wild type); patients with mutations showed poor prognosis with no survival at 3 years (median survival 11.8 months) compared to 66.0 % survival at 3 years in wild-type patients (median survival 62.7 months,  $p < 0.001$ )

104 CCA showed mutation of *TP53* in 47.4 % of 41 hilar CCA.<sup>23</sup>

Moreover, we identified disease-specific molecular prognostic factors that differ between EH-PCC, IH-PCC, and ICC. Specifically, *ALK* and *IDH1* mutation had an exclusive prognostic impact for EH-PCC, *BRAF* for IH-PCC, and *ARID1A*, *PIK3C2G*, *STK11*, and *TGFBR2* for ICC. However, mutation of *TP53* is a negative prognostic factor in all 3 groups.

A small number of studies described molecular prognostic factors for PCC. According to a meta-analysis, *TP53* appears to be an important prognostic factor for overall survival of patients with EH CCA.<sup>24</sup> More recently, Park et al. reported no impact on survival of *TP53* mutations in PCC.<sup>23</sup>

With only a few studies investigating ICC, a clear prognostic role of molecular profiling in ICC has not yet been established.<sup>15,21,25,26</sup> Robertson et al. reported worse survival of ICC patients with *KRAS* mutations compared to wild type.<sup>25</sup> Wang et al. reported better overall and disease-free survival in ICC patients with *IDH1/2* mutations.<sup>26</sup> In contrast, a recent study showed that ICC patients with *IDH1/2* mutations had a 3-year survival of 33 % compared to 81 % for wild type ( $p = 0.003$ ).<sup>9</sup> Zhu et al. showed a relationship between mutations in *IDH1/2*, *KRAS*, *NRAS*, and *BRAF* and clinicopathologic features of ICC, but there was no association between the presence of mutated genes and survival.<sup>21</sup> Churi et al. reported a poorer prognosis of ICC patients with *KRAS* and *TP53* mutations compared to wild type.<sup>15</sup>

A limitation of the current study is the small sample size, although data in the literature on the molecular profiling of CCA are frequently multi-institutional and limited to a small number of patients. Moreover, statistical analysis on differences between subgroups and survival analysis could be suboptimal as a result of the low frequency rate of some gene mutations. Furthermore, our series included many patients with advanced disease stage (AJCC/UICC stage III or IV), particularly for EH-PCC and IH-PCC patients.

External validation and further study are needed to confirm our results.

## CONCLUSION

Mutational gene profiling identified different gene mutations in EH-PCC, IH-PCC, and ICC. Moreover, our study reported specific prognostic genes for EH-PCC, IH-PCC, and ICC that can identify patients with poor prognosis after curative surgery who may benefit from adjuvant treatments. The disease-specific genes we identified can be explored for new molecular therapies in clinical trials.

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