

## Does Mammographic Density have an Impact on the Margin Re-excision Rate After Breast-Conserving Surgery?

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### ABSTRACT

**Background.** Limited and conflicting data exist on an association between mammographic density (MD) and re-excision rates after breast-conserving surgery (BCS). Additionally, the correlation of MD with resection of unnecessary margins during initial BCS is unknown.

**Methods.** All women with a diagnosis of breast cancer from 2003 to 2012 and enrolled in a larger study on MD were evaluated. Operative and pathology reports were reviewed to determine margin resection and involvement. Mammographic density was determined both by breast imaging-reporting and data system (BI-RADS) classification and by an automated software program (Volpara Solutions). Additional margins were deemed unnecessary if the lumpectomy specimen margin was free of invasive tumor [ $\geq 2$  mm for ductal carcinoma in situ (DCIS)] or if further re-excision was needed.

**Results.** Of 655 patients, 398 (60.8 %) had BCS, whereas 226 (34.5 %) underwent initial mastectomy. The women with denser breasts (BI-RADS 3 or 4) underwent initial mastectomy more frequently than the women with less dense breasts (40.0 vs. 30.5 %, respectively;  $p = 0.0118$ ). Of the patients with BCS, 166 (41.7 %) required separate re-excision. Additional margins were taken during BCS in 192 (48.2 %) patients, with 151 (78.6 %) proving to be unnecessary. In the bivariable analysis, the patients with denser breasts according to BI-RADS classification and

volumetric density showed a trend toward requiring more frequent re-excision, but this association was not seen in the multivariable analysis. The rate of unnecessary margins did not differ by breast density. In the multivariate analysis, the re-excision rates increased with DCIS ( $p < 0.0003$ ) and decreased with resection of additional margins ( $p = 0.0043$ ).

**Conclusions.** Mammographic density is not associated with an increased need for re-excision or resection of unnecessary margins at initial BCS.

Breast-conserving therapy (BCT) involving excision of the tumor and typically followed by radiation therapy is a widely accepted method for the treatment of invasive and in situ breast cancer (BC) because it provides long-term survival rates similar to those for mastectomy.<sup>1,2</sup> Eligibility for BCT is assessed by the surgeon on the basis of tumor-to-breast size ratio, tumor location, and contraindications to radiation therapy.<sup>3</sup> Although BCT and mastectomy have equivalent survival outcomes, the risk of local recurrence is higher with BCT, which has been associated with numerous factors including close or positive surgical margins.<sup>4–10</sup> It is well-accepted that complete resection of the tumor is essential, yet the necessary margin of normal tissue surrounding the tumor has been widely debated, resulting in considerable practice variation.

Practices for defining an adequate margin range from accepting no tumor on ink to requiring 1-cm margins.<sup>11,12</sup> Only recently has a consensus guideline for margins in breast-conserving surgery (BCS) been published.<sup>13</sup>

Positive or close margins are typically addressed with additional surgical excision through either re-excision lumpectomy or conversion to mastectomy. The rates of margin re-excision for positive or close margins vary

widely, reportedly ranging between 15 and 70 % and reflecting the extreme variation in practice patterns regarding margin width.<sup>12,14–16</sup> This can lead to significant anxiety for patients, delay adjuvant therapy, worsen cosmesis, and increase total treatment costs.<sup>14,16,17</sup>

Several studies have attempted to elucidate predictors of positive surgical margins after lumpectomy. Factors that have been linked to margin positivity include tumor size, resection volume, proportion of ductal carcinoma in situ (DCIS) in core specimens, multifocality, nodal status, lobular histology, tumors with an extensive intraductal component (EIC), and necrosis on core biopsy.<sup>3,16,18–27</sup> Although the effect of mammographic density (MD) on margin status has been assessed through several retrospective studies, data are both limited and conflicting.<sup>3,16,28</sup> Additionally, the impact of MD on margin positivity may be underappreciated due to use of the BI-RADS classification scheme, which relies on subjective classification.<sup>29</sup>

The extent of BC in women with dense breast tissue may be more difficult to define at the time of surgery. This could potentially lead to resection of additional unnecessary tissue at the initial lumpectomy or higher re-excision rates if tumor is present at or near the margin and not detected at the time of surgery.

We sought to evaluate the effect of MD on resection of additional margins at the time of initial BCS and the need for re-excision due to positive margins using both BI-RADS classification of MD and continuous, automated, volumetric breast density measurements.

## METHODS

All women 18 years of age and older with a diagnosis of BC from January 2003 to December 2012 and enrolled in a larger, single-center study on MD and BC risk ( $n = 839$ ) were eligible for this retrospective study. Briefly, the larger study involved collection of risk factor information with the goal of developing a BC risk model that includes MD. This study was approved by our institutional review board (HSR #15885) and was compliant with the Health Insurance Portability and Accountability Act (HIPAA).

The inclusion criteria for the larger study specified a diagnosis of BC between January 2003 and December 2012 with “for processing” mammographic images at the time of cancer diagnosis available in the picture archiving and communication system (PACS). The exclusion criteria from the larger study specified lack of bilateral digital mammography before initiation of treatment, patients without follow-up assessment or unavailable pathologic information, bilateral BC at the initial diagnosis, a new cancer diagnosis in the contralateral breast within 1 year after the initial diagnosis, and history of breast implants or

reduction surgery. Patient demographics and clinical information were collected through a combination of patient survey and retrospective chart review.

Mammographic density was abstracted from existing imaging reports using the breast imaging reporting and data system (BI-RADS) category reported in the mammogram closest to the time of cancer diagnosis. The BI-RADS density definitions included the following: almost entirely fatty MD (<25 % glandular), scattered fibroglandular densities (25–50 % glandular), heterogeneous density (51–75 % glandular), and extreme density (>75 % glandular).<sup>30</sup> Outcomes are reported for both dense breasts (BI-RADS 3 and 4) compared with less dense breasts (BI-RADS 1 and 2) and for extremely dense breasts (BI-RADS 4) compared with the other breast density categories (BI-RADS 1–3). Additionally, volumetric breast density measurements were obtained for each patient via a commercially available automated software program (Volpara Solutions, Wellington, New Zealand) using the mean calculated percentage of density.

Pathology reports were reviewed to determine tumor type, invasive tumor size, histologic grade, multifocality, presence of DCIS, stage at diagnosis, lymph node positivity, number of additional margins resected at initial BCS, and margin involvement. Margins were deemed negative if the inked margin was free of invasive tumor and if the margins were 2 mm or wider for DCIS. Unnecessary margins were defined as those resected when margins on the primary lumpectomy specimen were free of invasive tumor involvement or at least 2 mm wide for DCIS, or if separate re-excision still was needed for positive or close margins. Lymph node positivity included both macrometastases (N1 or higher) and micrometastases (N1mic), but isolated tumor cells (N0i+) were classified as node negative.<sup>31</sup>

The pathologic stage at diagnosis was determined using American Joint Committee on Cancer (AJCC) 7th edition criteria except for patients who received neoadjuvant therapy, for whom clinical stage as documented in the medical chart was recorded.<sup>31</sup> Pathology reports were reviewed for all subsequent procedures to elicit the final surgical procedure performed (re-excision lumpectomy vs. mastectomy) for that BC event as well as surgeries performed for lymph node resection. The clinical record was additionally reviewed for cancer detection method, categorized as palpable mass, mammographic screening, or other.

The association between MD and the need for separate re-excision after BCS as well as resection of additional margins at time of initial BCS was examined in conjunction with patient clinicopathologic factors. Continuous variables were evaluated using the Kruskal–Wallis test or the Wilcoxon signed rank test as appropriate, with values reported as medians and provided interquartile ranges (IQRs). Categorical variables were analyzed using Pearson

$\chi^2$  or Fisher's exact test. Logistic regression was used to evaluate the association between the need for re-excision after initial BCS and MD, with adjustment for variables significant on the bivariate analysis. Separate regression models were constructed to analyze this relationship, first using BI-RADS classification and then using continuous volumetric breast density. All statistical analyses were performed using SAS statistical software version 9.3 (SAS Institute, Cary, NC, USA), with  $p$  values lower than 0.05 considered significant.

## RESULTS

Of 839 patients with a diagnosis with BC and enrolled in the larger MD study who were eligible for this study; 655 had sufficient clinical data to be included in the analysis. Of these patients, 398 (60.8 %) had initial BCS, and 226 (34.5 %) had initial mastectomy, whereas 31 had no surgery identifiable in our medical record.

Of the 655 patients in the study population, 480 had invasive tumors (73.3 %) with a median tumor size of 1.3 cm (IQR 0.8–2.1). Ductal carcinoma in situ was present in 477 patients (72.8 %), including both patients with DCIS alone and those with concomitant invasive disease. Among the women in our study, 110 (16.8 %) had fatty MD (BI-RADS 1), 270 (41.2 %) had scattered MD (BI-RADS 2), 223 (34 %) had heterogeneously dense MD (BI-RADS 3), and 52 (8 %) had extremely dense breasts (BI-RADS 4). Women with either heterogeneously or extremely dense breasts were more likely to undergo initial mastectomy (40 %) than women with fatty or scattered glandular densities (30.5 %) ( $p = 0.0118$ ). Patients with denser breasts were more likely to have stage 3 or 4 disease at the time of diagnosis (13.5 %) than patients with less dense breasts (7.6 %) ( $p = 0.0145$ ). There was no significant difference in the proportion of patients with invasive disease or in the tumor size among MD categories (data not shown).

Of the 398 patients who underwent BCS, 80 (20.1 %) were classified as having fatty MD and 170 (42.7 %) as having scattered MD, whereas 121 (30.4 %) had heterogeneously dense MD, and 27 (6.7 %) had extremely dense MD according to BI-RADS classification (Table 1). The women with dense breasts were significantly younger ( $p < 0.0001$ ) and had a lower body mass index (BMI) ( $p < 0.0001$ ) than the women with less dense MD categories. The patients with greater MD were less likely to have their BC detected by mammography and more likely to have had a palpable tumor leading to their BC diagnosis than the women with lower MD ( $p = 0.0011$ ). The women with extremely dense breasts were found to have a significantly higher rate of HER-2-neu positivity ( $p = 0.011$ ) than the women falling into different MD categories. There

was no significant difference across MD categories in terms of histologic tumor type, tumor grade, tumor size, or nodal involvement, although women with extremely dense breasts were significantly more likely to have multifocal disease ( $p = 0.041$ ).

Additional margins were taken during the initial BCS in 192 patients (48.2 %), with margins in 151 patients (78.6 %) unnecessarily resected secondary either to clear surgical margins of the primary lumpectomy specimen or to the requirement of additional surgery for positive or close margins. No significant association was found between MD and the resection of additional margins. However, among patients with extremely dense breasts in whom additional margins were resected, none of these margins contributed to margin clearance.

Of 398 patients who had BCS, 166 (41.7 %) required separate margin re-excision due to positive or close margins (Table 2). The patients requiring re-excision were younger ( $p = 0.0094$ ) and more likely to have DCIS ( $p < 0.0001$ ) and multifocal disease ( $p = 0.0052$ ). The women with denser breasts by traditional BI-RADS classification and by automated volumetric density showed a trend toward greater likelihood of requiring additional surgery for positive or close margins, although this difference did not reach statistical significance in the bivariable analysis ( $p = 0.0519$  and  $0.0622$ , respectively). Of the patients requiring additional surgery for positive or close margins, 50 (30.1 %) ultimately underwent mastectomy as their definitive surgery. The rate of conversion to mastectomy after initial BCS did not differ significantly among the MD categories in ( $p = 0.1149$ ), with an overall rate for conversion to mastectomy of 12.8 %.

After adjustments for age, presence of DCIS, multifocality, and resection of additional margins at initial BCS in the multivariable logistic regression, MD was not associated with the need for re-operation regardless whether breast density was captured by BI-RADS classification or quantitatively by volumetric density. The presence of DCIS was associated with increased rates of margin involvement, whereas the resection of extra margins at initial BCS was significantly associated with decreased rates of re-excision. The association between re-excision and tumor multifocality was marginally significant in the logistic regression model using volumetric breast density but failed to reach statistical significance in the model using categorical BI-RADS classification of MD (Table 3).

## DISCUSSION

Our study demonstrated that although women with mammographically dense breasts were more likely to undergo initial mastectomy, MD was not associated with

**TABLE 1** Clinical and pathologic factors of patients who underwent initial breast-conserving surgery (BCS) categorized by mammographic density (MD) according to breast imaging-reporting and data system (BI-RADS) classification

Variable	Breast density category				<i>p</i> value
	Fatty ( <i>n</i> = 80, 20.1 %)	Scattered ( <i>n</i> = 170, 42.7 %)	Heterogeneous ( <i>n</i> = 121, 30.4 %)	Extreme ( <i>n</i> = 27, 6.7 %)	
Median age: years (IQR)	62 (56–69)	62 (54–71)	59 (49–70)	50 (42–57)	< <b>0.0001</b>
Median BMI (IQR)	30.8 (27.5–36.6)	27.5 (23.5–30.6)	25.3 (22.3–29.3)	23.7 (21.6–28.7)	< <b>0.0001</b>
Median tumor size: cm (IQR)	0.9 (0.6–1.3)	1.0 (0.7–1.6)	1.1 (0.8–1.8)	1.4 (0.6–1.8)	0.1745
Median volumetric breast density: % (IQR)	3.9 (3.4–4.6)	6.0 (4.6–8.1)	11.4 (8.4–16.5)	19.9 (15.6–24.6)	<0.0001
Race: <i>n</i> (%) <sup>a</sup>					
Caucasian	66 (82.5)	146 (85.9)	102 (84.3)	19 (70.4)	0.0519
Black	13 (16.3)	19 (11.2)	15 (12.4)	4 (14.8)	
Other	0	2 (1.2)	1 (0.8)	3 (11.1)	
Detection method: <i>n</i> (%) <sup>a</sup>					
Mammogram	69 (86.3)	131 (77.1)	76 (62.8)	17 (63.0)	<b>0.0011</b>
Palpable	10 (12.5)	23 (13.5)	36 (29.8)	9 (33.3)	
Other	1 (1.2)	12 (7.1)	8 (6.6)	1 (3.7)	
Invasive cancer: <i>n</i> (%) <sup>b</sup>	60 (75)	116 (68.2)	88 (72.7)	17 (62.9)	0.5267
DCIS present: <i>n</i> (%)	62 (77.5)	124 (72.9)	95 (78.5)	22 (81.5)	0.6068
Estrogen-receptor positive: <i>n</i> (%)	66 (82.5)	134 (78.8)	93 (76.9)	22 (81.5)	0.9774
Progesterone-receptor positive: <i>n</i> (%)	46 (57.5)	80 (47.1)	57 (47.1)	11 (40.7)	0.6225
HER-2-neu positive: <i>n</i> (%)	3 (3.8)	4 (2.4)	6 (5.0)	5 (18.5)	<b>0.0107</b>
Nodal involvement: <i>n</i> (%)	9 (11.3)	12 (7.1)	15 (12.4)	1 (3.7)	0.3167
Multifocal: <i>n</i> (%)	7 (8.8)	5 (2.9)	7 (5.8)	4 (14.8)	<b>0.0406</b>
Conversion to mastectomy: <i>n</i> (%)	11 (13.8)	15 (8.8)	19 (15.7)	6 (22.2)	0.1149
Resection of additional margins: <i>n</i> (%)	38 (47.5)	87 (51.2)	57 (47.1)	10 (37.0)	0.9174
Unnecessary margin: <i>n</i> (%)	32 (84.2)	66 (75.9)	43 (75.4)	10 (100)	0.2440

Bold values are statistically significant ( $p < 0.05$ )

IQR interquartile range, BMI body mass index, DCIS ductal carcinoma in situ, HER human epidermal growth factor receptor 2

<sup>a</sup> Percentages may not add up to 100 % due to rounding or missing data

<sup>b</sup> No significant difference detected among histologic types or grade

the need for re-excision after the initial BCS attempt. Additionally, when BCS was attempted, MD was not associated with a higher risk of conversion to mastectomy. Importantly, this finding was consistent when either a continuous volumetric breast density measure or a categorical, qualitative density measure was used. Although other studies have used the BI-RADS classification scheme for MD, which relies on subjective classification, the main strength of our study was the use of both BI-RADS classification and quantitative volumetric breast density measurements allowing for evaluation of density as a continuous variable to assess this relationship. Regardless of the method used to quantify breast density, our study found no association between MD and margin involvement after adjustment for other confounders.

In our study, only the presence of DCIS and the resection of additional margins during the initial BCS were

consistently predictive of a need for margin re-excision. Findings have previously shown both to be associated with the need for re-excision after initial BCS.<sup>3,16,22,24,32</sup> Thus, although the re-excision rate after BCS remains high, it does not appear that MD plays a significant role in determining margin status, and most women with initially positive margins will ultimately undergo successful BCS without conversion to mastectomy.

Our findings regarding MD and the need for re-excision after BCS and higher initial mastectomy rates in the setting of greater MD are consistent with findings from Kapoor et al.<sup>28</sup> In addition to affirming these findings, our study demonstrated that this result holds true even when objective volumetric continuous measurements of breast density are used. In contrast, other studies have suggested that MD may have an association with margin status after BCS. A study by Bani et al.<sup>3</sup> found that MD is associated with the

**TABLE 2** Clinical and pathologic factors associated with separate re-excision for positive or close margins among patients initially treated with breast-conserving surgery (BCS)

Variable	Re-excision category		<i>p</i> value
	No re-excision ( <i>n</i> = 232)	Re-excision required ( <i>n</i> = 166)	
Median age: years (IQR)	62 (54–72)	59 (50–68)	<b>0.0094</b>
Median BMI (IQR)	27.1 (23.5–30.8)	27.9 (23.2–32.6)	0.4371
Median tumor size: cm (IQR)	1.1 (0.7–1.6)	1.0 (0.7–1.6)	0.7613
Race: <i>n</i> (%) <sup>a</sup>			
Caucasian	195 (84.1)	138 (83.1)	0.9135
Black	30 (12.9)	21 (12.7)	
Other	3 (1.3)	3 (1.8)	
Detection method: <i>n</i> (%) <sup>a</sup>			
Mammogram	170 (73.3)	123 (74.1)	0.9332
Palpable	46 (19.8)	32 (19.3)	
Other	12 (5.2)	10 (6.0)	
BI-RADS breast density classification: <i>n</i> (%)			
Fatty	48 (20.7)	32 (19.3)	0.0519
Scattered	108 (46.6)	62 (37.3)	
Heterogeneous	66 (28.4)	55 (33.1)	
Extreme	10 (4.3)	17 (10.2)	
Median volumetric breast density: % (IQR)	6.83 (4.48–10.69)	7.3 (4.71–13.41)	0.0622
Invasive cancer: <i>n</i> (%) <sup>b</sup>	181 (78.0)	100 (60.2)	<b>0.0001</b>
Presence of DCIS: <i>n</i> (%)	159 (68.5)	144 (86.7)	<b>&lt;0.0001</b>
Estrogen receptor positive: <i>n</i> (%)	189 (81.5)	126 (75.9)	0.5478
Progesterone receptor positive: <i>n</i> (%)	123 (53.0)	71 (42.8)	0.4753
HER-2-neu positive: <i>n</i> (%)	9 (3.8)	9 (5.4)	0.4653
Nodal involvement: <i>n</i> (%)	21 (9.1)	16 (9.6)	0.8424
Multifocal: <i>n</i> (%)	7 (3.0)	16 (9.6)	<b>0.0052</b>
Extra margins resected at initial BCS: <i>n</i> (%)	129 (55.6)	63 (38.0)	<b>0.0005</b>

Bold values are statistically significant ( $p < 0.05$ )

IQR interquartile range, BMI body mass index, BI-RADS breast imaging-reporting and data system, DCIS ductal carcinoma in situ, HER human epidermal growth factor receptor 2jdm

<sup>a</sup> Percentages may not add up to 100 % due to rounding or missing data

<sup>b</sup> No significant difference detected among histologic types or grades

need for a second operation after BCS, citing a 42 % rate of margin involvement for women with extremely dense breast compared with only 18 % for women with less dense breasts [odds ratio (OR) 3.2; 95 % confidence interval (CI) 1.2–11.0;  $p = 0.003$ ]. Another study by Shin et al.<sup>16</sup> found an association between extremely dense MD and positive surgical margins (OR 4.515; 95 % CI 1.574–12.951;  $p = 0.005$ ).

Our second objective was to determine whether MD influences the rate of resection of additional margins at the time of initial BCS. We found that nearly half of the patients had additional margins resected during their initial surgery, with only one in five of these patients obtaining clear surgical margins by this additionally resected tissue. Although no association between MD and resection of additional margins was found, it is important to note that

none of the additional margins resected in patients with extremely dense breasts contributed to margin clearance. It is possible that surgeons have a more difficult time assessing surgical margins intraoperatively based on tissue appearance or feel in women with extremely dense breasts, but the small sample of patients with extreme MD in our study limited this analysis.

To our knowledge, no other studies have investigated the impact of MD on resection of additional surgical margins during initial BCS. However, a study by Huston et al.<sup>32</sup> found that complete resection of four to six margins together with the primary lumpectomy specimen improved reoperation rates from 38.7 to 17.7 %, whereas another study by Balch et al.<sup>18</sup> found that 67 % of the additional margins resected were grossly tumor-free margins and did not require excision. The surgeons included in our study

**TABLE 3** Odds of needing margin re-excision by mammographic density, with adjustment for age, presence of ductal carcinoma in situ (DCIS), tumor multifocality, and extra margin excision at initial surgery

Variable	Regression with BI-RADS classification		Regression with volumetric measurements	
	OR (95 % CI)	<i>p</i> value	OR (95 % CI)	<i>p</i> value
Age	0.99 (0.97–1.01)	0.2080	0.99 (0.97–1.01)	0.1805
Mammographic density				
Fatty	1.06 (0.60–1.88)	0.3134	–	–
Scattered <sup>a</sup>	1.00 <sup>a</sup>			
Heterogeneous	1.30 (0.79–2.14)			
Extreme	2.23 (0.90–5.53)			
Presence of DCIS	2.75 (1.59–4.75)	<b>0.0003</b>	2.76 (1.59–4.76)	<b>0.0003</b>
Volumetric breast density	–	–	1.017 (0.98–1.06)	0.3805
Multifocality	2.51 (0.95–6.64)	0.0634	2.61 (1.00–6.82)	<b>0.0498</b>
Extra margins resected at initial surgery	0.542 (0.354–0.83)	<b>0.0048</b>	0.539 (0.35–0.82)	<b>0.0043</b>

Bold values are statistically significant ( $p < 0.05$ )

BI-RADS breast imaging-reporting and data system, OR odds ratio, CI confidence interval

<sup>a</sup> Reference category

did not routinely take cavity-shave margins. Instead, resection of additional margins was performed selectively based on clinical suspicion or intraoperative imaging.

Our study was limited by the large number of patients who had insufficient data for analysis, with 165 of these patients excluded due to imaging insufficient for obtaining volumetric measurements. Additionally, only 27 patients (6.7 %) undergoing initial BCS were classified as having extremely dense breasts according to BI-RADS classification, which limited the study's power.

In summary, our study did not find an association between MD and rate of margin involvement, nor did MD have a significant impact on the rate of conversion to mastectomy. Additionally, our study did not find an association between MD and excision of additional margins at initial BCS. Therefore, mammographic density, whether measured categorically or volumetrically, should not influence surgical decision making concerning patient candidacy for breast conservation. Newly issued guidelines likely will change practice patterns as they relate to margin re-excision, perhaps reducing unnecessary margin excision or re-excision.<sup>13</sup> Moreover, new technologies are needed to further reduce re-excision rates after lumpectomy and to better assess margin adequacy intraoperatively.

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