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# Characteristics and clinical outcomes of patients with lung cancer requiring ICU admission: a retrospective analysis based on the MIMIC-III database

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# **Abstract**

**Background** Lung cancer (LC) is the most common solid tumor type in the intensive care unit (ICU). This study investigated the characteristics of LC patients admitted to the ICU, the major reasons for their admission, short-term mortality, and associated risk factors.

**Methods** Patients with LC were retrospectively identified in the publicly available, large-scale, single-center database Medical Information Mart for Intensive Care (MIMIC) III. Demographic and clinical characteristics, including age, sex, smoking history, comorbidities, type of admission to ICU, major diagnoses, illness severity score as assessed by the Simplified Acute Physiology Score (SAPS) II and the Sequential Organ Failure Assessment (SOFA), ICU length of stay (LOS), use of mechanic ventilation (MV) or vasopressors, the existence of do-not-resuscitate (DNR) orders, and metastatic status were collected. The major reasons for ICU admission were analyzed in subgroups. The multivariate logistic regression analysis was used to determine the factors associated with the 28-day and 6-month mortality.

**Results** A total of 1242 ICU admissions were included. Diseases of respiratory (42.7%), nervous (14.3%), and cardio-vascular (11.9%) systems accounted for the top reasons for admission. Pneumonia/pneumonitis, respiratory failure, and sepsis were the primary reasons for ICU admission. The median survival was 2.93 (95% *Cl*: 2.42–3.43) months. The 28-day inhospital and the 6-month mortality were 30.6% and 68.2%, respectively. Sepsis (63.9%), respiratory failure (47.0%), and pleural effusion (40.9%) accounted for the top three highest 28-day ICU mortality in all causes. An age  $\geq$  65 years, a SAPS II  $\geq$  37, a SOFA  $\geq$  3, metastasis, and MV use were independent risk factors for an inferior 28-day survival rate, while only metastatic status and SOFA score were associated with the 6-month mortality. SAPS II was accepatable and better than SOFA in predicting 28-day ICU [area under the curve (AUC): 0.714 and 0.658, respectively] or 28-day inhospital mortality (*AUC*: 0.717 and 0.660, respectively).

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**Conclusion** The 6-month prognosis for LC patients admitted to ICU was dismal. Multidisciplinary collaboration between intensivists and oncologists to identify high-risk patients and to determine a risk-benefit ratio of ICU treatment may improve survival prospects.

**Keywords** Lung cancer, Intensive care unit, Short term, Mortality, Prognosis

### Introduction

Lung cancer (LC) is the leading cause of cancer deaths, accounting for 18% of all cancer deaths worldwide [1]. Cancer patients may be admitted into the intensive care unit (ICU) because of malignancy, treatment-related complications, or exacerbation of underlying comorbidities [2–5]. LC has been reported as the most common type of solid cancer in all ICU admissions [6, 7]. However, this is a particular population that has been largely neglected. Whether intensive care improves survival and which type of LC patients might benefit from ICU intervention has not been fully elucidated. The prerequisite to addressing these issues is to fully understand the characteristics and prognostic factors for LC associated with ICU admissions. However, evidence on this topic is limited.

A trend of an increasing number of LC patients admitted to ICU was observed during the last two decades, and the incidence of ICU admission among LC patients varied from 1.5 to 31.3% [4, 8-10]. There exists a heterogeneity of triage decisions for ICU admissions due to a lack of consensus on admission criteria for cancer patients, which might be the major reason for the varied ICU incidence observed [11]. A previous study demonstrated that the choices of oncologists and intensivists regarding ICU admission and the aggressiveness of life support for cancer patients might differ because of how they judge the situation, oncologists from the perspective of cancer characteristics, and intensivists in terms of multiple organ failure [12]. However, it is not surprising that the number of LC patients admitted to ICU has increased due to recent advances in antitumor therapies, early screening programs, and general ICU management [7, 9, 10, 13]. Furthermore, the spectrum of critical treatmentrelated side effects has changed with the revolutionized therapies, for example, targeted and immunotherapy, which may also be related to an increased incidence of ICU admission [14].

Previous studies evaluating the characteristics of cancer patients admitted into ICU were mainly from the perspective of cancer treatment. To date, the largest-scale study of 49,373 LC patients based on the Surveillance, Epidemiology, and End Results (SEER)-Medicare registry demonstrated that only 35% of patients admitted to ICU for nonsurgical reasons were alive 6 months after discharge [15]. However, the ability to evaluate

individual illness severity and causes of ICU admission for LC patients is limited in the SEER database analysis. Organ failure in the respiratory system is the main reason for ICU admission [4, 8, 16, 17]. Although a few studies revealed multiple risk factors associated with mortality, for example, the need for mechanical ventilation (MV) or vasopressors, poor performance status, metastasis at admission, and organ failures, the heterogeneity of this particular population made prognostic prediction difficult [15–20]. For LC patients admitted for postoperative care, the ICU mortality was relatively low. However, for those admitted for nonsurgical reasons, the mortality rate varied from 22 to 85% [4, 15–19, 21].

In the present study, we utilized a publicly accessible critical care database, Medical Information Mart for Intensive Care (MIMIC) III, to comprehensively evaluate the clinical characteristics, major reasons for ICU admission, short-term survival outcomes, and risk factors for LC patients [22]. The predictive performance of Sequential Organ Failure Assessment (SOFA) and Simplified Acute Physiology Score (SAPS) II was also investigated.

# **Methods**

# Study design and data source

This retrospective study was based on the MIMIC-III database, which collected data for 46,520 patients admitted to intensive care units at the Beth Israel Deaconess Medical Center (BIDMC) between 2001 and 2012 [22]. The data was available after we completed the online training course at the National Institutes of Health and obtained the certificate (record ID: 33161521). For the process of data mining, the data of patients with malignant neoplasm of respiratory and intrathoracic organs in the MIMIC-III database was the first extracted according to the method previously described [23]. Then, patients with LC were further identified based on the International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis codes of 1622-1625 and 1628-1629. All patient identifiers in the MIMIC-III database were sealed in accordance with the Health Insurance Portability and Accountability Act (HIPAA) Safe Harbor provision.

### Data collection

Demographic and clinical data for patients with LC admitted into the ICU were extracted. Data included age

at ICU admission, sex, admission type, type of care unit, diagnosis, and illness severity as assessed by SOFA and SAPS II. Additional information about smoking history (codes: 305.1 or V15.82), the metastatic sites (196-198), and comorbidities, including hypertension (HTN, code: 401-405), hyperlipidemia (272), chronic obstructive pulmonary disease (COPD) and allied condition (490-496), heart failure (HF, 428), diabetes mellitus (DM, 250), and chronic kidney disease (CKD, 585), were all identified using ICD-9 codes. Data regarding ICU management, such as ICU length of stay (LOS), use of MV, prescription of vasopressor, and the existence of do-not-resuscitate (DNR) orders, were also collected. The major reasons for ICU admission were determined by reviewing the first diagnosis other than LC. Survival was analyzed, including the 28-day ICU mortality, 28-day inhospital mortality, and 6-month mortality after ICU admission. ICU admission was counted as individual, different cases, and we included each because the major reasons for admission may be different even for the same patient. There were no missing data for age, sex, admission type, and 28-day mortality. The remaining baseline variables with missing values (less than 5%) were not considered for the main analysis.

### Statistical analysis

Descriptive statistics were used to summarize the characteristics of the study population. Continuous variables were presented as means and standard deviations (SD) or median (interquartile) as appropriate, and categorical variables were presented as absolute numbers and percentages. We used the Mann-Whitney test and oneway analysis for continuous variables and the  $\chi^2$  test or Fisher's exact test for categorical variables to compare the characteristics. Survival curves were estimated by the Kaplan-Meier method and compared using the logrank test. Logistic regression analysis was used to identify the risk of mortality. The results of multivariate logistic regression analyses were conducted in a backward stepwise manner for factors in the univariate analyses with P < 0.20. Odds ratios (ORs) and 95% confidence intervals (CIs) were presented. Receiver operating characteristic (ROC) analysis with the calculation of area under the curve (AUC) was used to assess the accuracy of model predictions. Two-sided P-values < 0.05 were considered statistically significant. All statistical analyses were performed with SPSS (version 23.0) and R Statistics (version 4.2.0).

# **Results**

# **Demographic and clinical characteristics**

A total of 1242 ICU admission events were included in this study. The average age of LC patients admitted into

the ICU was  $68.2 \pm 11.6$  years, and 62.3% was  $\geq 65$  years. Male patients accounted for 56.0% of the population. Hypertension (49.8%), COPD (42.6%), hyperlipidemia (29.4%), DM (18.0%), HF (16.7%), and CKD (9.4%) were common comorbidities. The majority of patients (79.3%) were admitted as an emergency or urgently, and nearly half (49.2%) was initially admitted into the medical ICU (MICU). Totally, 655 patients (52.7%) had metastases (Table 1).

The median ICU LOS was 2.2 (1.3–4.7) days. The median SAPS II was 37.0 (30.0–45.0), and the median SOFA was 3.0 (1.0–5.0). Respectively, 36.9% of patients

**Table 1** Demographic and clinical characteristics of patients with lung cancer who were admitted to the ICU

Characteristics	Total (n = 1242)		
Age at ICU admission, years	$68.2 \pm 11.6$		
Age ≥ 65 years	774 (62.3%)		
Male	696 (56.0%)		
Smoking	348 (28.0%)		
Comorbidity			
HTN	618 (49.8%)		
Hyperlipidemia	365 (29.4%)		
DM	224 (18.0%)		
COPD	529 (42.6%)		
HF	207 (16.7%)		
CKD	117 (9.4%)		
Metastasis	655 (52.7%)		
Admission type			
Elective	257 (20.7%)		
Emergency	960 (77.3%)		
Urgent	25 (2.0%)		
ICU type <sup>a</sup> ( $n = 1199$ )			
MICU	590 (49.2%)		
SICU/TSICU	367 (30.6%)		
CCU/CSRU	242 (20.2%)		
SAPS II score <sup>a</sup> ( $n = 1174$ )	37.0 (30.0–45.0)		
SOFA score <sup>a</sup> ( $n = 1199$ )	3.0 (1.0-5.0)		
ICU LOS $^{a}$ ( $n = 1199$ )	2.2 (1.3-4.7)		
$MV^{a}$ ( $n = 1199$ )	443 (36.9%)		
Vasopressor <sup>a</sup> ( $n = 1199$ )	280 (23.4%)		
DNR	84 (6.8%)		
28-day ICU mortality	396 (31.9%)		
28-day in-hospital mortality	380 (30.6%)		
Six-month mortality <sup>a</sup> ( $n = 1010$ )	689 (68.2%)		

Data were presented as mean  $\pm$  standard deviation, median (interquartile), or number (percentage) as appropriate. <sup>a</sup>Data were calculated without missing data. *ICU* intensive care unit, *HTN* hypertension, *DM* diabetes mellitus, *COPD* chronic obstructive pulmonary disease, *HF* heart failure, *CKD* chronic kidney disease, *SICU* surgical ICU, *TSICU* trauma/surgical ICU, *MICU* medical ICU, *CCU* coronary care unit, *CSRU* cardiac surgery recovery unit, *SAPS II* Simplified Acute Physiology Score II, *SOFA* Sequential Organ Failure Assessment, *LOS* length of stay, *MV* mechanical ventilation, *DNR* do not resuscitate

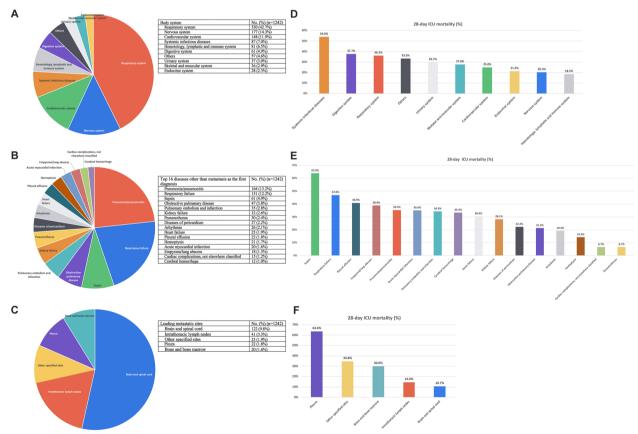
received MV, and 23.4% used vasopressors. The 28-day ICU and inhospital mortality were 31.9% and 30.6%, respectively, while the 6-month mortality was 68.2% (Table 1). During the observation period, 1010 (81.3%) died, and the overall population's median survival was 2.93 (95% CI: 2.42-3.43) months.

When stratified by the ICU type and age, differences in demographic and clinical characteristics were observed. Specifically, the surgical or trauma/surgical ICU (SICU/TSICU) subgroup tended to be younger, had more smokers, was less severe, and had better short-term survival than the MICU and coronary care unit or cardiac surgery recovery unit (CCU/CSRU) subgroups. Comorbidities of COPD, HF, and CKD were more commonly seen in the MICU subgroup. Almost all patients (96.3%) were admitted to the MICU via the emergency department. Vasopressors were more frequently used in the CCU/CSRU subgroup. When stratified by age, older LC patients  $\geq$  65 years were more likely to have comorbidities, greater illness severity as assessed by the SAPS II and SOFA, and a

poorer 28-day mortality. However, they had fewer tumor metastases than younger LC patients (Supplementary Tables 1 & 2).

### Main reasons for ICU admission and clinical outcomes

The main reasons for ICU admission were firstly categorized by body systems. The results showed that diseases of the respiratory (42.7%), nervous (14.3%), and cardiovascular (11.9%) systems accounted for the top reasons for ICU admission (Fig. 1A). In more detail, the 10 major reasons for ICU admission other than tumor metastasis were as follows: pneumonia/pneumonitis (13.2%), respiratory failure (12.2%), sepsis (4.9%), obstructive pulmonary disease (3.8%), pulmonary embolism and infarction (2.8%), kidney failure (2.6%), pneumothorax (2.4%), diseases of pericardium (2.2%), arrhythmia (2.1%), and HF (1.9%) (Fig. 1B). The most common metastatic sites for major admission reasons were the brain and spinal cord (9.8%), intrathoracic lymph nodes (3.3%), pleura (1.8%), and bone and bone marrow (1.6%) (Fig. 1C).



**Fig. 1** Leading first diagnoses for ICU admission and 28-day ICU mortality. **A** Distribution of first diagnosis categorized by body systems. **B** Top 15 causes other than secondary malignant neoplasms as the first diagnosis. **C** The most common metastatic sites as the first diagnosis. **D** 28-day ICU mortality for diseases of different body systems. **E** 28-day ICU mortality for leading diseases other than cancer-related metastasis. **F** 28-day ICU mortality for LC patients with different metastatic sites

When stratified by ICU type, respiratory failure and pnemumonia/pneumonitis were listed in top five diseases in all three types of ICU admissions. Diseases of the pericardium, arrhythmia and cardiac complications, and acute myocardial infarction were the other three primary diseases in the CCU/CSRU subgroup. For the MICU, sepsis, pulmonary embolism and infarction, and obstructive pulmonary diseases ranked in the top five reasons for admission. For SICU/TSICU, brain and spinal cord metastasis, intrathoracic lymph nodes metastasis, and cerebrovascular disease were the other most common first diagnoses (Fig. 2).

In terms of the 28-day ICU mortality rate, systemic infectious diseases (54.0%), digestive diseases (37.7%), and respiratory diseases (36.2%) had the highest mortality rate (Fig. 1D). In more detail, mortality for sepsis (63.9%), respiratory failure (47.0%), and pleural effusion (40.9%) ranked as the top three highest in all diseases (Fig. 1E). Patients with pleural metastasis had the highest 28-day ICU mortality of 63.6% among all metastatic sites (Fig. 1F).

The median overall survival for patients in the MICU (1.30, 95% CI: 0.92–1.68 months) was significantly shorter than that in the SICU (4.24, 2.73–5.76 months) or CCU (4.54, 3.23–5.84 months) (P < 0.001).

# Risk factors and prediction models for short-term mortality

Comparison between the 28-day ICU survivors and nonsurvivors showed that survivors were younger and less likely to enter the MICU via emergency, without metastasis or HF. Not surprisingly, survivors also had fewer DNR orders, less MV and vasopressor use, shorter ICU LOS, and lower SAPS II and SOFA (Table 2). Similar differences were noted between the 28-day inhospital survivors and non-survivors (Supplementary Table 3). When comparing survivors and non-survivors at 6 months, the proportions of smokers, CKD, metastasis, emergency admission, MICU, and DNR were higher in the non-survivors. The non-survivors at 6 months also had greater SAPS II and SOFA and longer LOS than the survivors (Supplementary Table 4). The survival curves within 6 months following ICU admission also showed that patients with CKD, metastasis, nonelective admission, MICU admission, SAPS II  $\geq$  37, SOFA  $\geq$  3, and ICU LOS  $\geq$  2.2 d, MV, and DNR had decreased survival rates (Fig. 3).

The multivariate logistic analysis revealed that elder age ( $\geq$  65 years), SAPS II  $\geq$  37, SOFA  $\geq$  3, metastatic status, and MV use were five independent risk factors for an inferior 28-day ICU and inhospital survival (Table 3, Supplementary Table 5). However, only metastatic status and SOFA were associated with the 6-month mortality (Table 4).

ROC analysis showed that SAPS II was better than SOFA in the prediction of 28-day ICU mortality (*AUC*: 0.714 and 0.658, respectively) or 28-day inhospital mortality (*AUC*: 0.717 and 0.660, respectively). However, the prediction performance of SOFA for the 6-month mortality was not satisfactory (*AUC*: 0.591) (Fig. 4).

# **Discussion**

This study was the first to evaluate the clinical features and outcomes of LC patients admitted into an ICU through a large-scale intensive care database MIMIC-III. Nearly one-third of the population admitted to the ICU did not survive hospitalization, and approximately two-thirds did not survive 6 months. Predicting short-term survival based on conventional severity scores and cancer-related factors is still far from accurate. Pneumonia, respiratory failure, and sepsis ranked as the top

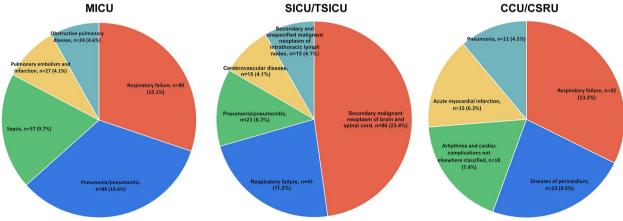


Fig. 2 Major reasons for admission in different types of ICU

**Table 2** Comparison of 28-day ICU survivors and non-survivors

Characteristics	Survivor ( <i>n</i> = 846)	Non-survivors ( $n = 396$ )	<i>p</i> -value
Age at ICU admission, years	67.5 ± 11.4	69.7 ± 11.7	< 0.001
Age group			0.011
< 65 years	339 (40.1%)	129 (32.6%)	
≥ 65 years	507 (59.9%)	267 (67.4%)	
Gender			0.631
Male	478 (56.5%)	218 (55.1%)	
Female	368 (43.5%)	178 (44.9%)	
Smoking			0.346
No	602 (71.2%)	292 (73.7%)	
Yes	244 (28.8%)	104 (26.3%)	
HTN			0.468
No	431 (50.9%)	193 (48.7%)	
Yes	415 (49.1%)	203 (51.3%)	
Hyperlipidemia	. ,		0.098
No	585 (69.1%)	292 (73.7%)	
Yes	261 (30.9%)	104 (26.3%)	
DM	201 (30.370)	10 1 (20.370)	0.067
No	705 (83.3%)	313 (79.0%)	0.007
Yes	141 (16.7%)	83 (21.0%)	
COPD	141 (10.770)	03 (21.070)	0.435
No	492 (58.2%)	221 (55.8%)	0.455
Yes	354 (41.8%)	175 (44.2%)	0.014
HF	720 (05 10)	215 (70 50/)	0.014
No	720 (85.1%)	315 (79.5%)	
Yes	126 (14.9%)	81 (20.5%)	
CKD	==. (2.1 == 1)	254 (22.50)	0.109
No	774 (91.5%)	351 (88.6%)	
Yes	72 (8.5%)	45 (11.4%)	
Metastasis			< 0.001
No	436 (51.5%)	151 (38.1%)	
Yes	410 (48.5%)	245 (61.9%)	
Admission type			< 0.001
Elective	242 (28.6%)	15 (3.8%)	
Emergency	587 (69.4%)	373 (94.2%)	
Urgent	17 (2.0%)	8 (2.0%)	
ICU type			< 0.001
MICU	332 (41.3%)	258 (65.2%)	
SICU/TSICU	291 (36.2%)	76 (19.2%)	
CCU/CSRU	180 (22.4%)	62 (15.7%)	
SAPS II	35.0 (29.0–41.5)	43.0 (36.0–53.0)	< 0.001
SOFA	2.0 (1.0-4.0)	4.0 (2.0-6.0)	< 0.001
ICU LOS	2.0 (1.2–4.0)	2.8 (1.6–5.7)	< 0.001
MV			< 0.001
No	554 (69.0%)	202 (51.0%)	
Yes	249 (31.0%)	194 (49.0%)	
Vasopressor			0.007
No	634 (79.0%)	285 (72.0%)	
Yes	169 (21.0%)	111 (28.0%)	
DNR			< 0.001
No	816 (96.5%)	342 (86.4%)	
Yes	30 (3.5%)	54 (13.6%)	

Data were presented as mean  $\pm$  standard deviation, median (interquartile), or number (percentage) as appropriate. ICU intensive care unit, HTN hypertension, DM diabetes mellitus, COPD chronic obstructive pulmonary disease, HF heart failure, CKD chronic kidney disease, SICU surgical ICU, TSICU trauma/surgical ICU, MICU medical ICU, CCU coronary care unit, CSRU cardiac surgery recovery unit, SAPS II Simplified Acute Physiology Score II, SOFA Sequential Organ Failure Assessment, LOS length of stay, MV mechanical ventilation, DNR do not resuscitate

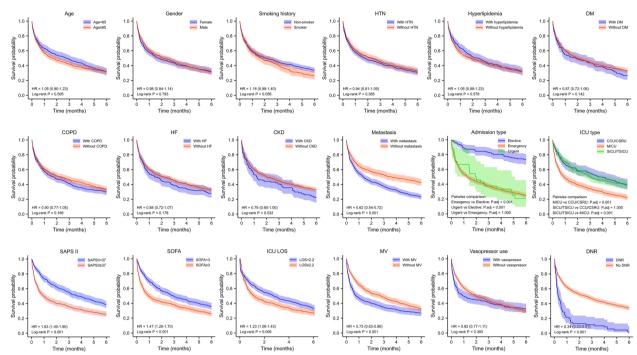


Fig. 3 Survival curves of different subgroups within 6 months after ICU admission

three major causes of admission, while sepsis, respiratory failure, and pleural effusion had the highest 28-day ICU mortality.

The characteristics, disease spectrum, and hence the prognosis of LC patients admitted to ICU generally differed in the medical, surgical, and cardiac ICUs. Approximately half of the critical care patients were admitted into the MICU, presenting with more emergency episodes and higher proportions of COPD and CKD comorbidities than those in the surgical or cardiac ICUs. In terms of disease spectrum in the MICU group, sepsis was the highest cause of mortality, consistent with previous findings that sepsis was the top ICU admission diagnosis for LC, with a mortality rate of up to 45–60% [19, 24]. The incidence of severe sepsis in patients with LC was previously proved to be nearly 14 times than in the non-cancer population, and LC also had the highest inhospital mortality from severe sepsis of all the solid tumor types [25]. The frequent occurrence of sepsis is not unexpected in LC patients, given the immunocompromised condition from the malignancy itself, the side effects of treatment modalities such as chemotherapy or radiotherapy, and the impairment of normal leukocyte function [26]. Moreover, airway obstruction due to tumor mass may be one important factor associated with the incidence of complicated pneumonia, subsequent sepsis, and respiratory failure, which were also the most common diseases for ICU admission in the current study [27].

Our results that patients in the SICU group had a lowest 28-day mortality rate of around 20% among all ICU types was consistent with previous findings [4]. In this study, one-third of the patients in the surgical ICU were admitted electively, possibly for postoperative complications. In addition, the SICU subgroup was younger, had fewer chronic pulmonary comorbidities, and had lower severity scores at admission than the other two ICUs. All these factors may have contributed to the better outcome for the SICU subgroup. However, when it came to the 6-month survival, mortality still reached 61.5%. It is speculated that the leading major diagnosis of brain metastasis and cerebrovascular diseases in the SICU subgroup may partly explain this non-sustained survival benefit. Many anticancer agents have poor blood-brain and brain-tumor penetrability, leading to an average survival of fewer than 6 months for LC patients with brain metastasis [28].

Cardiovascular disease is often an underestimated issue for LC patients who may suffer from coexisting cardiovascular diseases and, in the course of cancer treatment, may experience various cardiovascular complications [29]. Data from an Austrian center revealed that 67% of non-small cell LC patients had at least one concomitant cardiovascular diseases, and 9.5% had documented cardiovascular complications [30]. Previous data indicated LC patients, irrespective of age and sex, had increased mortality related to cardiovascular diseases,

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**Table 3** Univariable and multivariable logistic regression analyses for the 28-day ICU mortality

Characteristics	28-day ICU mortality rate, n (%)	Univariable analysis		Multivariable analysis	
		OR (95% <i>CI</i> )	<i>p</i> -value	OR (95% <i>CI</i> )	<i>p</i> -value
Female	178/546 (32.6%)	Ref.			
Male	218/696 (31.3%)	1.061 (0.834-1.348)	0.631	/	/
Age < 65 yrs	129/468 (27.6%)	Ref.			
Age ≥ 65 yrs	267/774 (34.5%)	1.526 (1.188–1.960)	0.001	1.479 (1.110-1.971)	0.008
Never smoker	292/894 (72.0%)	Ref.			
Smoker	104/348 (28.0%)	0.879 (0.672-1.150)	0.346	/	1
SAPS II < 37	101/547 (18.5%)	Ref.			
SAPS II ≥ 37	270/627 (43.1%)	2.340 (2.555-4.365)	< 0.001	2.009 (1.468-2.747)	< 0.001
SOFA < 3	122/540 (22.6%)	Ref.			
SOFA ≥ 3	274/659 (41.6%)	2.438 (1.891-3.145)	< 0.001	1.709 (1.274-2.292)	< 0.001
No metastasis	151/587 (25.7%)	Ref.			
Metastasis	245/655 (37.4%)	1.725 (1.352-2.201)	< 0.001	1.763 (1.326-2.345)	< 0.001
Without HTN	193/624 (30.9%)	Ref.			
HTN	203/618 (32.8%)	1.092 (0.860-1.387)	0.468	/	/
Without COPD	221/713 (31.0%)	Ref.			
COPD	175/529 (33.1%)	1.101 (0.865-1.400)	0.436	/	/
Without HF	315/1035 (30.4%)	Ref.			
HF	81/207 (39.1%)	1.469 (1.079–2.001)	0.015	1.110 (0.776-1.560)	0.591
Without DM	313/1018 (30.7%)	Ref.			
DM	83/224 (37.1%)	1.326 (0.980-1.793)	0.067	1.257 (0.898-1.759)	0.182
Without	351/1125 (31.2%)	Ref.			
With CKD	45/117 (38.5%)	1.378 (0.930-2.042)	0.110	0.878 (0.557-1.384)	0.576
Without hyperlipidemia	292 (33.3%)	Ref.			
Hyperlipidemia	104/365 (28.5%)	0.798 (0.611-1.043)	0.098	0.760 (0.567-1.020)	0.068
Without MV	202/756 (26.7%)	Ref.			
With MV	194/443 (43.8%)	2.137 (1.669–2.736)	< 0.001	1.584 (1.196-2.098)	0.001
Without vasopressor	285/919 (31.0%)	Ref.			
With vasopressor	111/280 (39.6%)	1.461 (1.107-1.928)	0.007	0.916 (0.662-1.267)	0.594

ICU intensive care unit, SAPS II Simplified Acute Physiology Score II, SOFA Sequential Organ Failure Assessment, HTN hypertension, DM diabetes mellitus, COPD chronic obstructive pulmonary disease, HF heart failure, CKD chronic kidney disease, MV mechanical ventilation

including pericarditis, venous thromboembolic disease, HF, arrhythmias, and ischemic heart disease [31]. In this study population, 20% of LC patients entered the cardiac ICU, and the percentage of vasopressor use was the highest among all ICU types. Diseases of the pericardium, acute myocardial infarction, arrhythmia, and other cardiac complications frequently appeared as the main reasons for cardiac ICU admission. However, survival for this subgroup was better than that of the MICU group and even close to that of the SICU group, which indicated a potentially reversible critical condition caused by cardiac events after intensive care.

Conventional SOFA and SAPS II scores reflecting the severity of organ failures could predict the prognosis of critically ill patients. However, the prognosis of critically ill LC patients dependents on multiple cancer and non-cancer-related factors. Previous studies demonstrated that factors such as age, severity of organ failure, metastatic status of LC, and ventilation application all involved in the prediction of mortality, which is consistent with our findings [15, 32, 33]. In this study, while SAPS II and SOFA were both acceptable in predicting the 28-day mortality with SAPS II to be a superior predictor, the prediction of a 6-month survival was not satisfactory. When incorporating all factors including age, metastasis, and MV derived from the logistic regression model, it showed a slightly better predictive performance for the 28-day mortality (data not shown). However, it is of note that age and metastatic disease are already included in the SAPS II scoring system, and MV is included in the SOFA score. We speculate that these factors may put an extra weight in the prediction model, especially for metastatic status in the prediction of a 6-month mortality.

**Table 4** Univariable and multivariable logistic regression analyses for the 6-month mortality

Characteristics	Six-month mortality rate, n (%)	Univariable analysis		Multivariable analysis	
		OR (95% <i>CI</i> )	<i>p</i> -value	OR (95% <i>CI</i> )	<i>p</i> -value
Female	396/577 (68.6%)	Ref.		/	/
Male	293/433 (67.7%)	0.957 (0.732-1.250)	0.745	/	/
Age < 65 yrs	244/357 (68.3%)	Ref.		/	/
Age ≥ 65 yrs	445/653 (68.1%)	0.991 (0.751-1.307)	0.948	1	1
Never smoker	495/748 (66.2%)	Ref.		Ref.	
Smoker	194/262 (74.0%)	1.458 (1.064-1.998)	0.019	1.306 (0.935-1.855)	0.117
SAPS II < 37	246/400 (61.5%)	Ref.		Ref.	
SAPS II ≥ 37	410/552 (74.3%)	1.808 (1.370-2.385)	< 0.001	1.219 (0.878-1.693)	0.237
SOFA < 3	274/427 (64.2%)	Ref.		Ref.	
SOFA ≥ 3	407/550 (74.0%)	1.589 (1.208-2.092)	< 0.001	1.681 (1.265-2.233)	< 0.001
No metastasis	254/443 (57.3%)	Ref.		Ref.	
Metastasis	435/567 (76.7%)	2.452 (1.870-3.215)	< 0.001	2.480 (1.866-3.295)	< 0.001
Without HTN	344/510 (67.5%)	Ref.		/	/
HTN	345/500 (69.0%)	1.074 (0.824-1.400)	0.597	/	/
Without COPD	383/574 (66.7%)	Ref.		/	/
COPD	306/436 (70.2%)	1.174 (0.897-1.536)	0.242	/	/
Without HF	556/825 (67.6%)	Ref.		/	/
HF	133/185 (73.5%)	1.237 (0.870-1.760)	0.236	/	/
Without DM	548/818 (67.4%)	Ref.		Ref.	
DM	141/192 (73.4%)	1.362 (0.958-1.937)	0.085	1.317 (0.902-1.922)	0.153
Without CKD	608/906 (67.1%)	Ref.		Ref.	/
With CKD	81/104 (77.9%)	1.726 (1.064-2.799)	0.027	1.481 (0.895-2.450)	0.126
Without hyperlipidemia	489/717 (68.2%)	Ref.		/	/
Hyperlipidemia	200/293 (68.3%)	1.003 (0.749-1.343)	0.986	/	/
Without MV	407/602 (67.6%)	Ref.		Ref.	
With MV	274/375 (73.1%)	1.300 (0.978-1.738)	0.071	1.290 (0.943-1.764)	0.111
Without vasopressor	531/754 (70.4%)	Ref.		/	/
With vasopressor	150/223 (67.2%)	0.863 (0.626-1.189)	0.367	/	/

ICU intensive care unit, SAPS II Simplified Acute Physiology Score II, SOFA Sequential Organ Failure Assessment, HTN hypertension, DM diabetes mellitus, COPD chronic obstructive pulmonary disease, HF heart failure, CKD chronic kidney disease, MV mechanical ventilation

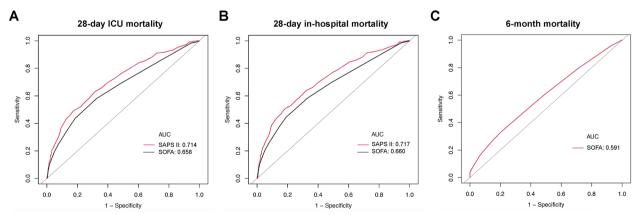


Fig. 4 ROC curves of the SAPS II and SOFA scores for mortality prediction. A ROC curves for the prediction of 28-day ICU mortality. B ROC curves for the prediction of 28-day inhospital mortality. C ROC curve for the prediction of a 6-month mortality

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Whether intensive care improved survival rates for LC patients admitted into ICU is still debatable, although the incidence of cancer patients receiving ICU care is increasing over time [20, 32]. Previous large observational studies show that the percentage of patients with LC admitted to an ICU who survived hospitalization and were alive at 6 months did not improve from 1992 to 2005 [15]. Another recent study also did not observe any continuous improvement in outcomes for LC in ICU from 2007 to 2018 [32]. The current study's ICU and the 6-month mortality rate in appeared to align with previous studies [32, 34]. Conversely, improvements after intensivist involvement for LC ICU patients were noted in some studies [5, 35]. Notably, the proportions of critical patients with metastatic diseases are increasing over time, which may reduce the survival benefit from intensive care [32]. Triage for LC patients who may benefit from ICU care has not been clearly defined. ICU admission is associated with meaningful survival for patients with good performance status and nonrecurrent/progressive disease [34]. Although cancer-related treatment was not reviewed in this study, we speculate that progressive disease should not be an absolute contradiction for ICU admission nowadays. For treatment-naïve LC patients in a critical condition, previous studies revealed that anticancer therapies, for example, epidermal growth factor receptor-tyrosine kinase inhibitors (EGFR-TKIs) or even chemotherapy for selected patients, could be beneficial [2]. With ICU admissions linked to targeted therapy and immunotherapy increased, the survival benefit from a well-tolerated and efficacious modality is more likely to achieve [32].

The present study has several limitations. First, detailed information on the types of LC, performance status, clinical stage, anticancer therapy in ICU, and their impact on survival were not reviewed due to the retrospective nature of the study. Second, the prediction model was not validated externally. Third, the MIMIC-III dataset only collected data until 2012, which might not fully represent the current conditions of LC admitted into the ICU.

In conclusion, the 6-month prognosis for LC admitted to ICU was still dismal, although approximately one-third of LC patients in ICU survived the first 28 days. Multidisciplinary collaboration is necessary between intensivists and oncologists to identify highrisk patients, establish an early mortality prediction, and consider the risk-benefit ratio of antitumor and intensive treatment. From our findings, the conventional illness severity score is valuable in predicting the 28-day survival but not for the 6-month survival. Since metastasis is an important factor associated with the 6-month survival, ICU intervention in patients without

subsequent antitumor therapy combating metastatic progression may have limited value. An early prediction of mortality would guide clinicians to allocate medical resources well and optimize treatment behavior. In addition, respiratory infection and sepsis as the most common LC-related ICU admission reasons warrant further investigation.

# **Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s44201-022-00017-2.

Additional file 1: Supplementary Table 1. Patients' characteristics and clinical outcomes categorized by the ICU type. Supplementary Table 2. Patients' characteristics and clinical outcomes categorized by age group. Supplementary Table 3. Comparison of in-hospital 28-day survivors and non-survivors. Supplementary Table 4. Comparison of 6-month survivors and non-survivors. Supplementary Table 5. Univariable and multivariable logistic regression analyses for the 28-day in-hospital mortality.

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None

### Authors' contributions

Conceptualization, JQ; data curation, JQ and LH; formal analysis, JQ, RQ, and YS; funding acquisition, JQ; investigation, RQ and LH; methodology, LH, YS, HY, and WN; software, LH, YS, HY, and BZ; supervision, JQ and BH; validation, BZ and WN; writing — original draft, JQ and RQ; and writing — review and editing, YL and BH. The authors read and approved the final manuscript.

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## Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Declarations

### Consent for publications

All authors agreed to this publication.

# Ethics approval and consent to participate

The study was approved by the ethics committee of the hospital. Patient informed consent was waived by the ethics committee because the database was de-identified and publicly available. The study protocol conformed to the Helsinki Declaration.

### Competing interests

The authors declare that they have no competing interests.

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