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# Tree species diversity and utilities in a contracting lowland hillside rainforest fragment in Central Vietnam

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## Abstract

**Background:** Within the highly bio-diverse 'Northern Vietnam Lowland Rain Forests Ecoregion' only small, and mostly highly modified forestlands persist within vast exotic-species plantations. The aim of this study was to elucidate vegetation patterns of a secondary hillside rainforest remnant (elevation 120–330 m, 76 ha) as an outcome of natural processes, and anthropogenic processes linked to changing forest values.

**Methods:** In the rainforest remnant tree species and various bio-physical parameters (relating to soils and terrain) were surveyed on forty 20 m × 20 m sized plots. The forest's vegetation patterns and tree diversity were analysed using dendrograms, canonical correspondence analysis, and other statistical tools.

**Results:** Forest tree species richness was high (172 in the survey, 94 per hectare), including many endemic species (>16%; some recently described). Vegetation patterns and diversity were largely explained by topography, with colline/sub-montane species present mainly along hillside ridges, and lowland/humid-tropical species predominant on lower slopes. Scarcity of high-value timber species reflected past logging, whereas abundance of light-demanding species, and species valued for fruits, provided evidence of human-aided forest restoration and 'enrichment' in terms of useful trees. Exhaustion of sought-after forest products, and decreasing appreciation of non-wood products concurred with further encroachment of exotic plantations in between 2010 and 2015. Regeneration of rare tree species was reduced probably due to forest isolation.

**Conclusions:** Despite long-term anthropogenic influences, remnant forests in the lowlands of Vietnam can harbor high plant biodiversity, including many endangered species. Various successive future changes (vanishing species, generalist dominance, and associated forest structural-qualitative changes) are, however, expected to occur in small forest fragments. Lowland forest biodiversity can only be maintained if forest fragments maintain a certain size and/or are connected via corridors to larger forest networks. Preservation of the forests may be fostered using new economic incentive schemes.

**Keywords:** Secondary evergreen monsoon forest, Floristic patterns, Tree species richness, Timber, NTFP, Biodiversity conservation

## Background

Astonishing new species discoveries during recent decades have highlighted Vietnamese forests' biological richness (>11,300 vascular plants recorded) and high levels of endemism (~3% of vascular plant genera) (Wikramanayake et al. 2002; Sterling et al. 2006; MoNRE 2011). This has attracted attention from naturalists but

also highlighted significant gaps in the knowledge and understanding of Vietnam's biological heritage and corresponding significance for conservation (Sterling and Hurley 2005; Ceballos and Ehrlich 2009; Wilson et al. 2016). Notably, many discoveries were made in degraded forests which nonetheless support many potentially threatened species (Cochard et al. 2017).

Vietnam's forests have been influenced by humans for centuries. Local communities extracted forest products for private use and for trade, promoted valuable tree species in nearby forests, and cleared forest areas for intermittent

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swidden agriculture (Fox et al. 2000; Wetterwald 2003; McElwee 2016). Sweeping impacts on forests ensued from French colonial forestry (McElwee 2016), and during the country's struggle for independence large forest areas were damaged by impacts of war (especially aerial herbicides; Brauer 2009). Post-war logging and deforestation exacerbated as a result of timber exploitation by state forest enterprises (SFEs) or resource overuses following economic crises linked to collectivization and internal migration programs (De Koninck 1999; McElwee 2004, 2016).

During the 1990's policy changes, aided by agricultural development, led to improvements in forest management. With support from international donors, large reforestation programs were set up. Local communities were supported financially and logistically to build tree nurseries and engage in reforestation. At the same time, forestlands were allocated to households and communities, with specific rights to harvest products, but also duties to improve and protect the allocated forests. As a result, many forests regenerated, partially aided by human interventions such as native-species tree planting, access restrictions, and fire controls (Meyfroidt and Lambin 2008; Cochard et al. 2017). Nonetheless, pressures from 'illicit' selective logging often remained high, especially in easily accessible forests (McElwee 2004, 2010). Threats are now increasingly posed by the boom in plantation forestry, especially within the biodiverse but poorly documented 'Northern Vietnam Lowland Rain Forests Ecoregion' (Wikramanayake et al. 2002). Since the late 1990s industrially managed exotic-species plantation forests (based on hybrid acacias used for pulp production) have expanded at fast rates and encroached on remaining natural forests in lowland areas (Thiha et al. 2007; McElwee 2009; Ha 2015; Cochard et al. 2017).

In hilly areas in southern parts of Thừa Thiên-Huế Province, transitional between the coastal plains and the inland Trường Sơn (Annamite) Mountain Ranges, a formerly extensive rainforest has been successively reduced and largely supplanted by exotic acacia plantations. Forest remnants are now mostly located in marginal areas, such as steep hillsides, or depressions near rivers (Fig. 1). In 2010 we conducted a vegetation survey in one major forest fragment (so-called 'HPC-forest'). Residents of nearby villages continue to exploit forest products from this forest fragment (D.T. Ngo, pers. comm.). The aims of the study were to 1) record the fast disappearing forests' tree composition and observe patterns of vegetation diversity within the context of ecology/biogeography as well as past and on-going anthropogenic influences, and 2) gain insights into forest values (in terms of timber and non-timber forest products) within past and current socio-economic contexts. The species composition of the forest fragment was, furthermore, discussed in regards to the isolation and

continuing deforestation of the fragment – patterns and processes which may be representative for other cases of small-scale forests in the lower-lying areas of Central Vietnam.

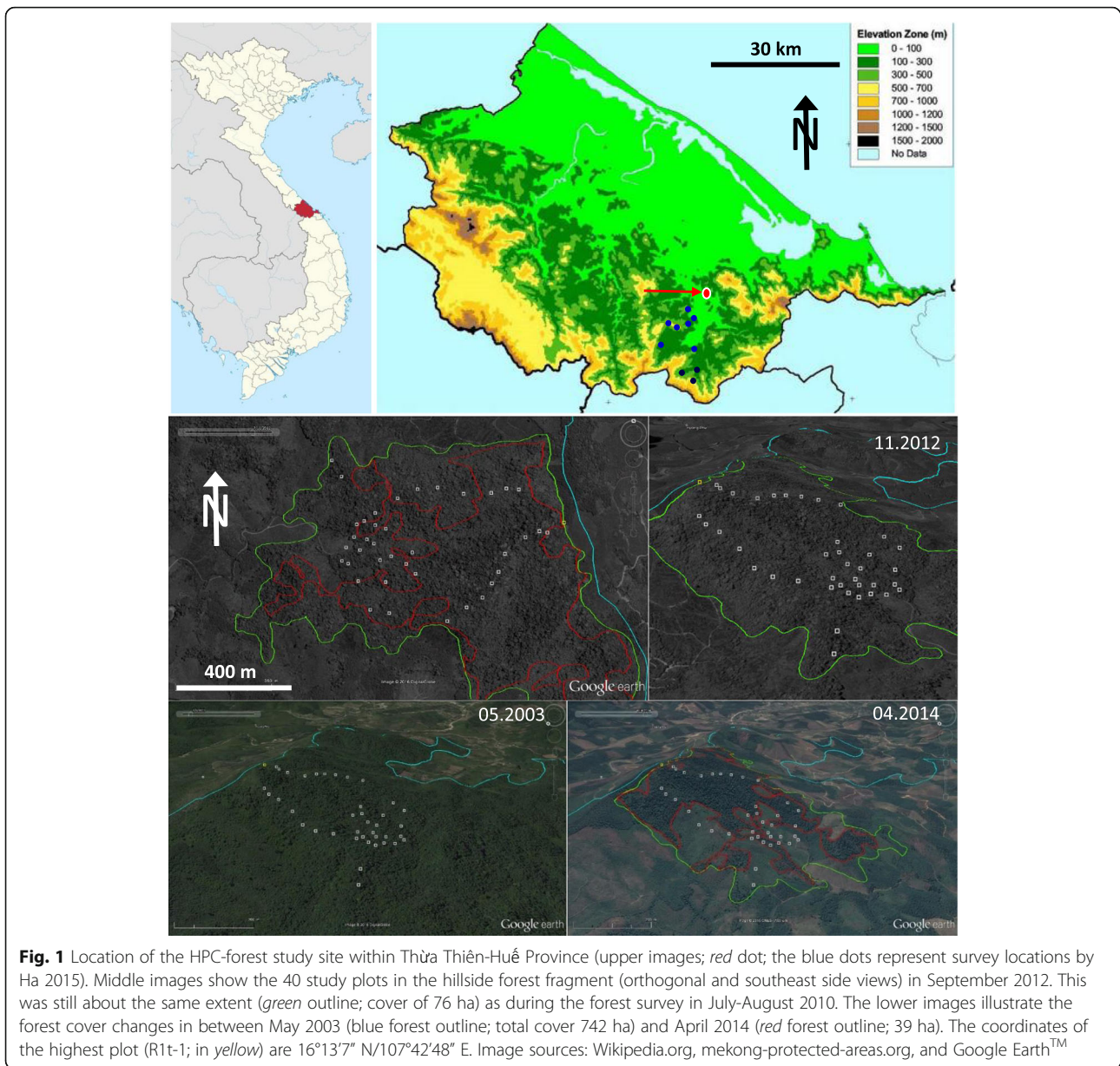
## Methods

### The study site

The study site was a secondary tropical evergreen broad-leaved monsoon forest fragment located on a west-facing hillside (elevation 120–340 m a.s.l.) within local authority of Hương Phú Commune (HPC) in Nam Đông District, Thừa Thiên-Huế Province, 30 km south of Huế City (Fig. 1). Nam Đông Valley extends south and is bounded by mountains in the east (Bạch Mã National Park), south and west (Central Trường Sơn or Annamite Ranges). The valley bottom is characterized by undulating topography with a patchwork of cultivated land, acacia plantations, and remnant forests. The forests of Nam Đông were traditionally used by Katu people (local minority ethnicity); today ethnic Vietnamese (Kinh) represent the majority (60%) of inhabitants in more urban areas of the district (Wetterwald 2003).

HPC-forest lies within the biodiverse but poorly studied and highly diminished/threatened 'Northern Vietnam Lowland Rain Forests Ecoregion' which extends for 22,500 km<sup>2</sup> along a narrow coastal strip northwards from Thừa Thiên-Huế Province. The forest is, however, also in close vicinity to the better protected and documented 'Southern Annamites Montane Rain Forests Ecoregion' which covers adjacent mountainous areas (Wikramanayake et al. 2002). The climate in Nam Đông is wet-tropical (mean annual temperature 24.5 °C ± 0.4 °C; annual precipitation 373 cm ± 103 cm; relative humidity 85% ± 3%) with a fairly dry period January–July (but sufficient intermittent rainfalls to maintain evergreen 'rainforest'), and a very wet monsoon period August–December (Fig. 2). Owing to climatic, biogeographic and geological factors, forest biodiversity is generally very high (Sterling et al. 2006). In nearby Bạch Mã National Park >2100 plant species have so far been recorded in addition to a richness of wildlife (Dickinson and Thinh 2006; Huynh et al. 2016).

Valuable timber has been extracted throughout the 20<sup>th</sup> century, changing the forests' structure and composition. Acacia plantation forestry started in the 1990s (Thiha et al. 2007), displacing natural forests. Until 2003 HPC-forest was still embedded within a large forest area covering ~750 ha (Additional file 1: Figure S1). In 2010, when this study was conducted, HPC-forest was completely isolated within plantations, covering 76 ha. The cover was further reduced to 46 ha in 2014 and 39 ha in 2015. Twenty-three of forty plots surveyed in 2010 were thereby destroyed (Fig. 1). In 2015 the nearest contiguous forest was located 1.5 km east; another remnant forest (164 ha) was located 500 m north.

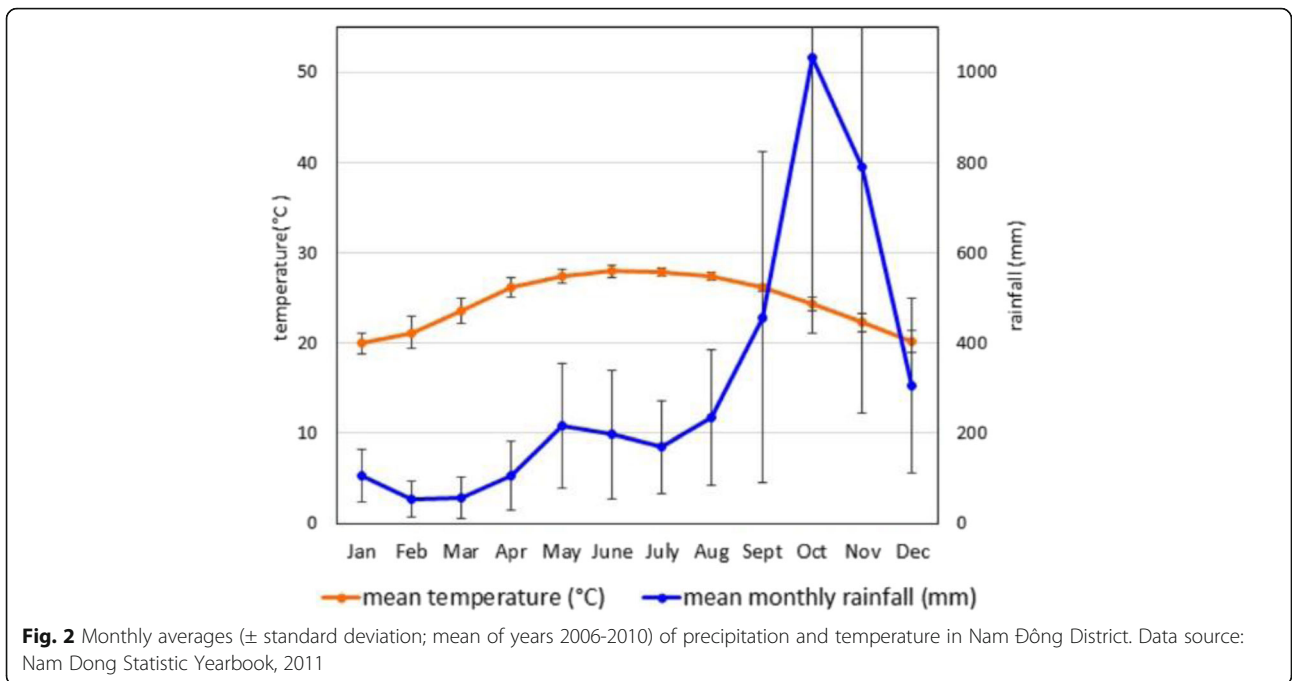


**The vegetation survey**

Within forty 20 m × 20 m square main plots (covering 1.6 ha or ~2% of HPC-forest) the vegetation was surveyed June-August 2010. The plots' locations were determined in a semi-random fashion, using random points (set on Google Earth™ maps) as 'starting points', but finally selecting locations along accessible pathways (ridges or creeks) closest to the points. Plots were at least 30 m apart. Ten plots were finally located on slopes along a southern hillside ridge, nine along a northern ridge; remaining plots were located in lower-lying areas on slopes or local ridges mostly near to creeks (Figs. 1 and 3).

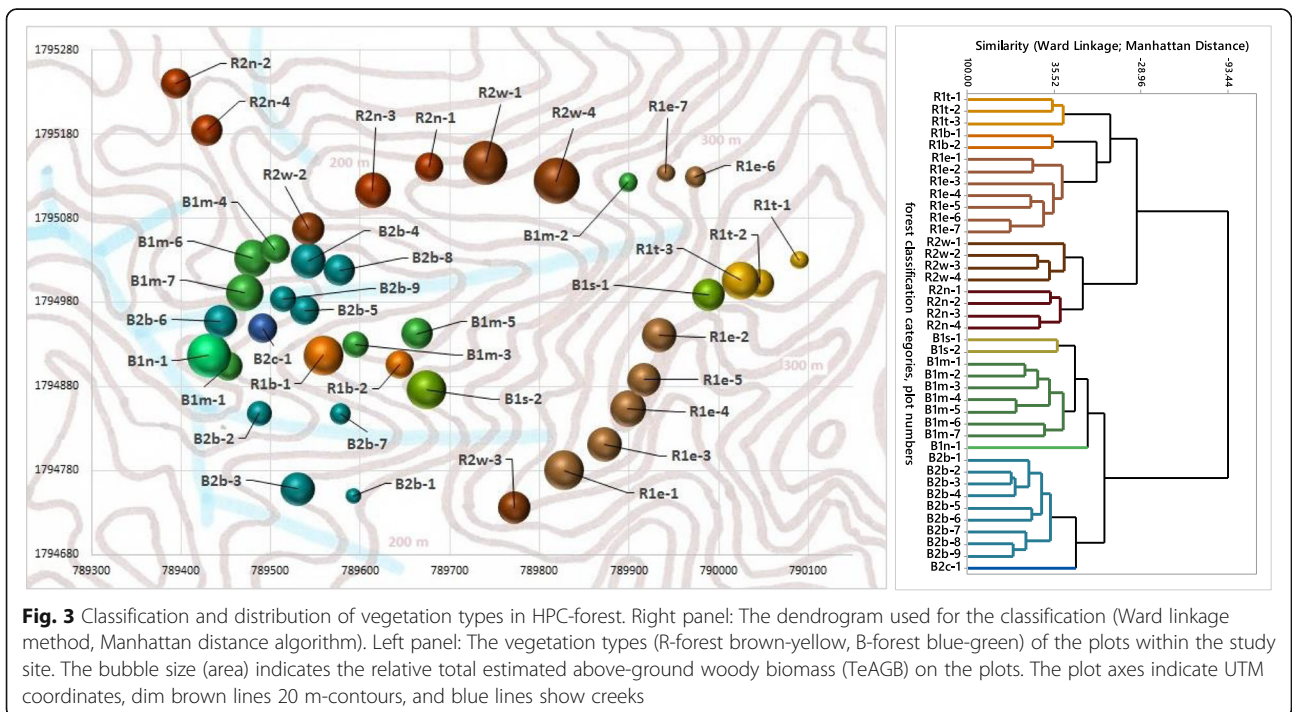
Within the plots we recorded species of all dicotyledonous trees, saplings and shrubs with a diameter at breast height (DBH) >2.5 cm, as well as woody monocotyledonous plants (only palms were found) of all sizes. DBH was measured 1.3 m above ground using a tree girth tape. We refer to 'saplings' (P) meaning trees/treellets/shrubs with a DBH ranging between 2.5 and <6 cm, following IFRI (2004; correspondingly, palm seedlings/saplings were defined using plant weight categories, cf. Additional file 2: Table S1-m). Stems broken below 1.3 m were measured at break point, and notes were taken. For palms smaller than 2 m the bole diameter was measured at plant mid-height (monocot stems are





approximately cylindrical, Brown 1997). Canopy height (bottom to tree crown top) was measured by two persons using an inclinometer and 50-m tape. The species and numbers of tree ‘seedlings’ (defined as woody dicotyledonous species with a DBH <2.5 cm, respectively smaller than 1.3 m) were recorded within five 2 m  $\times$  2 m sub-plots, four located in the corners of the main plot and one at its center.

Using a digital camera, images were taken of the leaves, twigs, and bark of any species which were unknown, and leaf samples were collected using a plant press. The field images and collected material were then compared to herbarium specimens at Huế University of Agriculture and Forestry (HUAF) and/or the Consultative and Research Center on Natural Resource Management (CORENARM) in Huế City, and were



compared with descriptions in the literature (i.e. Ho 1999; FIPI 1996; Henderson 2009; Flora of China 2015). Species which could still not be identified were numbered A, B, C, etc. Taxonomy followed Flora of China (2015) and Tropicos (2015), i.e. the most recently updated sources.

#### Vegetation parameters derived from field data

A full list of recorded species, including summary statistics and additional information, is provided in Additional file 2: Tables S1 and S2. This includes (in footnotes) detailed descriptions of calculation methods (including allometric formulae) used to summarize the plant species data. MS Excel was used for calculations.

For all plots we calculated the tree densities (extrapolated to counts per hectare) and total tree basal areas (TBA in  $\text{m}^2\cdot\text{ha}^{-1}$ ; Bonham 2013), and the mean and maximum tree DBHs and canopy heights. Above-ground dry-matter woody biomass of dicotyledonous trees ( $\text{eAGB}_d$  in kg) was estimated using an allometric formula provided by Chave et al. (2005) and data on tree species' wood densities provided by Zanne et al. (2009). The  $\text{eAGB}_p$  of palms was estimated using a formula by Goodman et al. (2013), setting wood density at  $0.37 \text{ g}\cdot\text{cm}^{-3}$ . The summed-up  $\text{eAGBs}$  of all trees per plot were then extrapolated to total above-ground woody biomass ( $\text{TeAGB}$  in Mg) per hectare.

The importance value index (IVI) was calculated for each tree species by summing up the species' relative density, frequency and dominance (Curtis and McIntosh 1951); IVI served for overall ranking (IVIR) of the species' importance. To describe plant species diversity on plots biodiversity indices (i.e. Shannon Index  $H'$ , Shannon Evenness  $J'$ , inverse Simpson's Index  $1/D$ ) were calculated using formulae provided by Magurran (2007).

#### Physical and biological plot data recorded during the survey

Plot UTM coordinates and elevations were recorded using a hand-held GPS receiver (Garmin 12XL, GARMIN International Inc., Kansas City). Slope inclinations of plots were determined using an inclinometer and a 50-m tape. Using a gouge auger five random 40-cm-deep soil samples were taken in each of the five sub-plots; the 25 samples were mixed to be representative for one main plot. The samples were weighted in the field and after being oven-dried at HUAFA, whereby relative weight differences provided indications of soil moisture on plots at respective times of sampling (termed 'soil field capacity index', FCI). The samples were then brought to the Asian Institute of Technology (AIT, Bangkok) for further analyses of soil pH (by pH meter), color and nutrients. Dried pestled soil samples were photographed (using a flash), and the RGB (red, green, blue) chromaticity values were determined from digital

images using Adobe Photoshop. Soil organic matter (OM) was determined through weight loss on ignition, and total nitrogen (N) and phosphorus (P) were determined using the Kjeldahl method (Bremner 1960; Nelson and Sommers 1996).

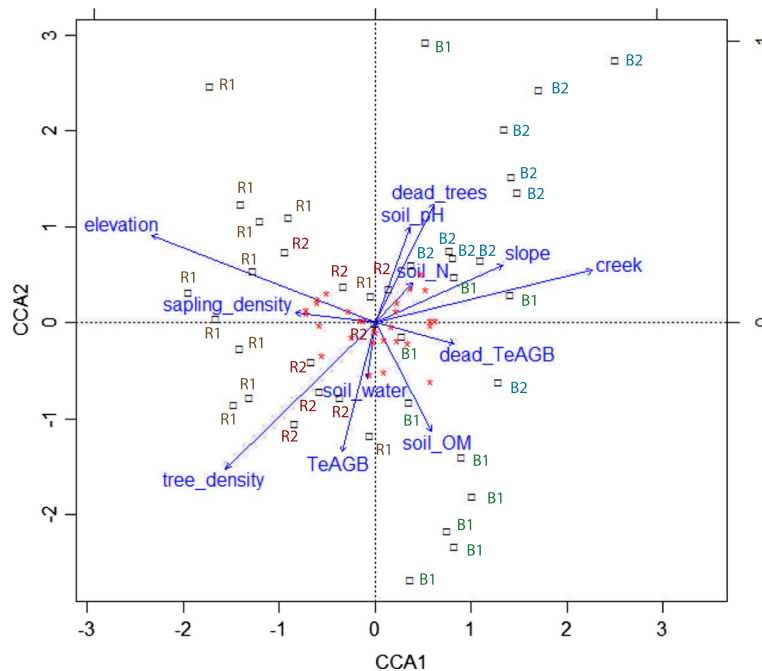
#### Data analyses and assessments

Minitab 15 (Minitab Inc., State College, Pennsylvania), MS Excel and R software were used for calculations and statistical analyses. A vegetation classification was first performed on species' biomass data (respective  $\text{TeAGB}$  plot data, transformed to normal distribution) by using dendrograms. Manhattan distance measure and Ward linkage method provided a dendrogram with distinct vegetation classes; these classes could also be pertinently explained from plot distributions within the hilly terrain (Fig. 3). Based on this classification a species association table was composed (following Kent 2012), illustrating floristic differences among vegetation types. The complete table is represented in Additional file 1: Table S1, whereas a sub-set of the sixty most important species (in terms of IVI) is shown in Table 2. More detailed information on the thirty most important species (and another four species of interest) is provided in Table 3. Species rank/abundance diagrams and rarefaction curves (including real data and corresponding models from log-logistic regressions) are shown in Fig. 5; these illustrate patterns of tree species richness overall and within the two main vegetation types. Summary information of various parameters (relating to vegetation structure and diversity, terrain and soils) is provided in Table 4. This Table also provides information (obtained from FIPI 1996; Ho 1999; Wiart 2003; Tanaka and Nguyen 2007; Henderson 2009; Flora of China 2015; Fern et al. 2016) on the recorded tree species' utility (value categories: 'no' [0], 'moderate' [1], 'good' [2], 'excellent' [3] or 'unknown' [-]) of timber and non-timber forest products (NTFP; utility of fire wood was not assessed). Simple T-tests were used to assess differences of parameters (transformed to normal distribution) between the two main vegetation types (Table 4). In addition, in order to illustrate vegetation distributional patterns in relation to bio-physical gradients (in terrain, soil parameters, biomass and stocking density; Fig. 4) a canonical correspondence analysis was performed on the thirty most important species (accounting for 69% of  $\text{TeAGB}$ , Table 3).

## Results

### Tree species diversity and floristic-structural forest patterns

In total 170 species of 88 genera and 45 plant families were recorded in a sample of 2,384 trees (of which 27.6% were 'sapling' sized) in the survey (on 1.6 ha). In addition, two rattan species were only recorded at



**Fig. 4** Canonical correspondence analysis (CCA) plot, showing the correspondence (~influence) of main environmental factors (blue arrows; cf. Table 4) with the vegetation composition of the plots (black crosses; vegetation types R1, R2, B1 and B2 of the plots are indicated). The analysis was based on the 30 most important trees species (each one shown as a red dot). The analysis was significant overall at  $p < 0.001$  (permutation test for CCA). The eigengrad values of the environmental variables (providing a measure of importance of each variable to explain gradients in vegetation patterns, listed from the strongest to the weakest) were 0.119 for ‘elevation’, 0.109 for ‘creek’, 0.069 for ‘tree density’, 0.054 for ‘slope’, 0.038 for ‘dead trees (%)’, 0.035 for ‘soil OM’, 0.034 for ‘dead TeAGB (%)’, 0.031 for ‘sapling density’, 0.029 for ‘TeAGB’, 0.029 for ‘soil pH’, 0.027 for ‘soil N’, and 0.022 for ‘soil water’

‘seedling’ size. Most species (128) could be clearly identified, but 32 were identified only to genus, and 12 rare species remained unknown. The most important plant families by tree count were the *Arecaceae* (representing 15% of all trees/shrubs; 15 species), *Euphorbiaceae* (7%; 15 spp.), *Fagaceae* (7%; 5 spp.), *Burseraceae* (7%; 5 spp.), *Myristicaceae* (6%; 3 spp.), *Moraceae* (6%; 9 spp.), *Cannabaceae* (5%; 2 spp.), *Lauraceae* (5%; 18 spp.), *Myrtaceae* (5%; 4 spp.), *Sapotaceae* (4%; 4 spp.), *Sapindaceae* (4%; 7 spp.), and *Rubiaceae* (3%; 3 spp.).

A majority of identified species (44%; including dominant species), had a predominantly southward-tropical distribution, but many species (36%) were distributed within the Sino-Himalayan mountain regions or were regional endemics (within the *Trường Sơn Ranges*; 18%) (Table 1). A large majority of species was rare; rank/abundance patterns did not differ substantially among different tree size strata (Fig. 4). No species was recorded on all plots, but several common species were found more or less throughout HPC-forest (Tables 2 and 3). Trees with a  $DBH \geq 10$  cm were represented by 129 species (i.e. 75%). Calculations using log-logistic models (Fig. 4) indicated that on average ~70.2 ‘large’ tree species ( $DBH \geq 10$  cm) and an additional ~23.4

**Table 1** Biogeographic distributions by species (% of all species recorded) and corresponding dominance in terms of tree numbers (%) and biomass volume (TeAGB, %)

Biogeographic distribution (according to literature)	Species (%)	Dominance (%)	
		Trees	TeAGB
unknown	30.5	8.0	7.4
endemics (central Vietnam)	8.6	17.0	6.1
endemics (wider Vietnam)	7.2	1.6	1.7
Vietnam, Laos/Cambodia	3.9	4.0	10.4
Vietnam and Malaysia only	2.3	3.0	1.8
wider mainland Southeast Asia	17.2	15.7	12.5
wider Southeast Asia (w. insular)	25.0	25.4	22.4
Vietnam and South China only	17.8	10.0	12.6
Vietnam, China, Laos/Cambodia	5.5	5.9	7.2
wider South Asian-Himalayan	10.9	4.2	10.0
wider Asia (including temperate)	3.1	4.3	6.7
introduced species	2.3	0.8	1.1

‘Wider Southeast Asia’ includes islands of Malesia (Indonesia/Philippines). ‘Wider South Asian-Himalayan’ refers to a distribution mainly along the southern Himalayan arc from Vietnam/China to Burma-India-Nepal-Pakistan. Endemics of ‘central Vietnam’ occur only in Thừa Thiên-Huế and adjacent provinces





**Table 3** Summary of vegetation structural data and information on the thirty most important species (including four additional species mentioned in the text)

category or plant species (for plant family abbreviations Aa-Sr see footnotes in Table 1)	sp. importance IVIR   IVI	plots (total 40) with trees	basal area m <sup>2</sup> ha <sup>-1</sup>	dry biomass kg ha <sup>-1</sup>	hillside ridge (R) plots (20) plants per category per ha				hill bottom (B) plots (20) plants per category per ha				maximum tree height (m) indicated in literature mean fruit size in literature (in mm; seeds S; nuts N) species known to coppice (✓)	Legend: rainforest RF / lowland r. LRF / secondary r. SRF, SLRF / dipterocarp forest DF / open forest OF / monsoon forest MF / disturbed forest areas dF / on slopes # / alluvial sites and wet places w / understory tree ± / midcanopy ± tree / upper canopy or emergent tree ⊕ / light demanding when mature (m) and as seedlings or saplings (s) ☆ / shade demanding ☆ / under medium cover ■ / fast ♣ or slow ♠ growing tree / possibly protected or propagated by nearby communities for NTFP uses ☉? / possibly planted for reforestation or forest upgrading ☉?	notes on habitat, ecology	notes on utilities	timber utility moderate ○ good ● excellent ■	ruffs		
					seedlings	small tree	medium tree	large tree	seedlings	small tree	medium tree	large tree								
All woody plants			27.4	117K	15494	717	681	285	11261	509	535	252								
30 most important species (MIS)			18.8	78K	10790	519	472	192	8478	389	387	172								
30 MIS as % of all 171 species			67%	69%	70%	72%	69%	67%	75%	76%	72%	68%								
All 156 woody dicot species			26.8	116K	14300	546	649	284	10375	301	506	252								
All 15 monocot (palm) species			0.63	1.4K	1194	171	32	1	886	208	29	0								
Cb <i>Gironniera subaequalis</i>	126	35	1.06	3225	750	66	45	8	300	18	16	6	20	10	✓	LRF, #w, ☉ms, ♣	building, fuel, medic.	●	●	
Mo <i>Ariocarpus rigidus</i>	222	31	1.30	5340	2050	9	14	20	1650	6	38	13	50	40	-	DF-SLRF, #w, ☉ms, ☉?	furniture wood, fruit	○	○	
Mo <i>Ficus</i> sp.	30	8	12	0.28	663	0	0	1	125	5	25	3	?	?	?	?	?	?	?	?
Ar <i>Licuala</i> sp. (~ <i>dakrongensis</i> ?)	322	31	0.23	449	36	44	14	1	24	30	8	0	?	80	-	L. <i>dakrongensis</i> : RF, #, ⊕	raincoats, ornamental	○	○	
Ar <i>Licuala centralis</i>	918	26	0.04	53	601	58	1	0	259	10	0	0	5	80	-	LRF, dF, ⊕	hats	○	○	
Ar <i>Caryota sympetala</i>	421	25	0.31	556	28	20	13	0	120	19	13	0	6	30	-	LRF	-	○	○	
So <i>Palaquium annamense</i>	520	28	0.96	4916	250	20	19	16	50	8	19	13	40	22	-	LRF, w, ☉m	(carpentry, latex ?)	○	○	
Ru <i>Morinda citrifolia</i>	619	28	0.55	1788	50	23	26	1	50	6	18	5	10	50	✓	OF-LRF, ⊕, ☉?	edible fruit, medicine	○	○	
My <i>Knema pierrei</i>	719	27	0.55	1861	375	15	21	0	150	11	29	4	20	20	-	MF-LRF, w, ⊕, ♣	furniture, firewood	○	○	
My <i>Knema globularia</i>	1614	20	0.42	1277	150	15	23	4	100	9	19	3	20	18	-	RF, ⊕, ms, ♣	furniture, medicine	○	○	
My <i>Horsfieldia amygdalina</i>	31*18	12	0.37	1410	0	4	6	4	0	0	6	5	30	40	-	RF, #w, ⊕, ms, ♣, ☉?	furniture	○	○	
Bu <i>Garuga pinnata</i>	818	24	1.12	4980	0	10	13	3	0	15	44	11	30	18	✓	RF, #, ms, ♣, ☉?	building, fruit, fodder	○	○	
Bu <i>Canarium album</i>	1515	21	0.75	2332	150	20	23	6	175	5	9	8	30	40	✓	LRF, #, ms, ♣, ☉?	timber, resin, fruit	○	○	
Le <i>Barringtonia macrostachya</i>	10117	24	1.31	6151	100	17	30	15	175	3	11	6	30	S	-	DF-LRF, #w, ☉?	medicine, food	○	○	
Cl <i>Garcinia cochinchinensis</i>	11116	23	0.50	2679	1350	14	25	11	900	8	13	4	15	40	-	RF, ☉?	fruits, medicine	○	○	
Fg <i>Castanopsis</i> sp. (~ <i>fissa</i> ?)	12116	21	1.43	6540	175	14	44	35	25	1	0	3	20	N	✓	C. f. LRF, #, ms, ♣, ☉?	building, liquor, fodder	○	○	
Fg <i>Lithocarpus amygdalifolius</i>	14115	20	1.47	8221	0	3	31	8	0	6	25	23	30	N	-	RF, w, ms, ♣, ☉?	construction	○	○	
Mr <i>Syzygium syzygioides</i>	13116	23	0.30	1162	500	13	41	3	250	5	4	0	30	12	-	RF, w, ms, ♣, ☉?	timber, tannin, dyes	○	○	
Mr <i>Syzygium</i> sp.	24111	16	0.31	1637	125	15	14	9	0	4	3	3	?	?	?	?	?	?	?	
Mr <i>Syzygium jambos</i>	2819	14	0.49	1763	0	8	5	4	0	5	5	6	15	40	✓	LRF, w, ms, ♣, ☉?	timber, fruit, medicine	○	○	
An <i>Melanorrhoea laccifera</i>	17114	21	0.25	1018	350	29	5	4	675	5	8	1	15	40	-	OF-LRF, ♣, ☉?	frames, arts, resin	○	○	
Sr <i>Scaphium macropodium</i>	18114	21	1.56	9102	525	5	4	8	250	4	8	20	25	S	-	RF, ☉?	furniture, food, med.	○	○	
La <i>Litsea glutinosa</i>	19113	19	0.25	754	100	8	5	3	125	18	19	1	15	7	✓	RF-dF, w, ♣, ☉?	furniture, medic., fruit	○	○	
La <i>Cinnamomum obtusifolium</i>	21112	18	0.13	371	325	16	13	1	75	3	4	0	30	10	-	LRF-dF, #, ms, ♣, ☉?	construction, oils	○	○	
Eu <i>Antidesma hainanense</i>	20113	19	0.18	552	225	6	1	0	725	26	11	3	4	6	-	RF	fruits	○	○	
Eu <i>Endospermum chinense</i>	26110	14	1.80	6646	175	4	11	29	0	0	0	1	35	10	-	LRF, ms, ♣, ☉?	furniture, var. items	○	○	
Eu <i>Glochidion eriocarpum</i>	27110	14	0.18	869	425	10	4	0	700	18	10	3	8	10	-	DF-dF, #w	medicine	○	○	
Eu <i>Croton tiglium</i>	37*17	11	0.03	46	675	9	0	0	475	8	3	0	10	16	-	DF-dF, #w, ms, ♣	medicine, poison	○	○	
Aa <i>Schefflera octophylla</i>	22112	17	0.40	732	250	19	15	0	200	9	9	4	22	4	✓	SRF, w, ms, ♣	wood carving, medicine	○	○	
Sa <i>Pometia pinnata</i>	23111	17	0.35	1463	675	4	6	1	875	8	9	11	35	40	✓	SLF, w, ms, ♣, ☉?	timber, fruit, medicine	○	○	
Sa <i>Pometia</i> sp.	32*18	12	0.09	382	1100	1	8	0	600	4	8	3	?	?	?	?	?	?	?	
Di <i>Dillenia scabrella</i>	25111	16	0.07	159	450	19	1	0	475	13	6	0	20	90	✓	RF, w, ms, ♣	edible fruits	○	○	
Si <i>Eurycoma longifolia</i>	2919	14	0.05	102	600	15	4	0	25	1	4	0	10	15	-	LRF, ⊕	medicine, decoction	○	○	
Rt <i>Melicope pteleifolia</i>	39*17	10	0.08	218	825	5	10	0	300	1	5	0	14	7	-	RF-dF	medicine, appetizer	○	○	

Information is provided on the species' importance (IVIR/IVI), plot frequency, total basal area (TBA), and the total estimated above-ground dry woody biomass (TeAGB). For each main vegetation type (R and B) the average number of seedlings, as well as the number of small (biomass eAGB smaller than 10 kg), medium (10–100 kg), and large (>100 kg) trees is listed. Further information is provided from the literature (cf. Additional file 2)

higher-lying eastern and southern hill ridges (west-/ southwest-facing slopes); R2-plots were mostly located at mid-elevation on northern ridges (northwest-facing slopes). Another type, termed 'hill bottom' (B) forest (20 plots), was found mostly at lower elevations. It could be split into sub-type B1 (10 plots), predominantly located on moist (soil pH 6.5 ± 0.3) and mild slopes (mean inclination 43° ± 18°), and B2 (10 plots), commonly on steeper (63° ± 9°) wet slopes close to creeks (soil pH 6.9 ± 0.2) (Fig. 4). Further divisions into vegetation subtypes were possible (Fig. 3, Table 2).

The vegetation types were determined by species distributions (Tables 1 and 3; cf. Discussion Section); no distributional gradients within the vegetation were discernible in regards to entire plant families (Additional file 2: Table S1). Compared to R-forest, B-forest was characterized by significantly lower densities of trees, saplings and seedlings. Correspondingly, various tree growth parameters (mean DBH and height, maximum height, TBA, dead biomass) differed between R- and B-forest (Table 4, Fig. 4). Soil N and herbal ground cover were slightly higher in B-forest, but other soil parameters did not differ significantly (Table 4).

Tree species richness was significantly higher in R-forest as compared to B-forest. Model calculations indicated that around 99.0 tree species (thereof ~70.2 with DBH ≥ 10 cm) and 84.4 species (~62.9 with DBH ≥ 10 cm) would be found on average on one contiguous hectare of R- and B-forest, respectively (Fig. 5b). Correspondingly, plot-level species richness as well as Shannon and (marginally) Simpson diversity indices were higher in R-forest as compared to B-forest (Table 4).

**Utilities and conservation values of forest tree species as inferred from literature**

Of the trees (DBH ≥ 6 cm) present on plots 35% were in harvestable timber range with a DBH of ≥ 15 cm, and 8% with DBH ≥ 30 cm (Fig. 6). Among the 172 woody species recorded 12 species (7%) were assessed to provide timber of 'excellent' value, 32 (19%) of fairly 'good', 44 (25%) of 'moderate', 41 (24%) of 'insignificant' (or undescribed), and 43 (25%) of unknown value. Only 4.7% of the biomass (TeAGB) stock on the plots was in 'excellent' harvestable timbers. The biomass of 'good' timber was slightly higher in B-forest compared to R-forest (Table 4). In between 2010 and 2015 larger portions of



**Table 4** Summary of data (mean ± standard deviation) on terrain and soil parameters, forest structure and diversity for R- and B-forest types (20 plots each)

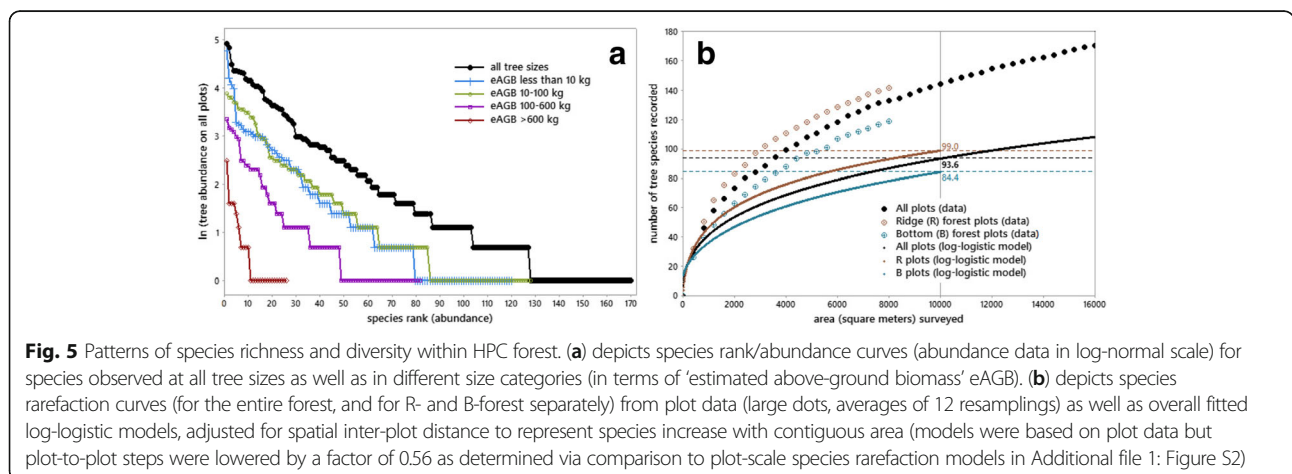
Variables (plot mean)	Ridge R	Bottom B	Variables (plot mean)	Ridge R	Bottom B
plot elevation (m)	245*** ± 62	176 ± 42	herbal ground cover (%)	44.8 ± 12.4	54.5* ± 12.3
plot steepness (% grade)	44 ± 17	53 ± 17	species richness (plots)	26.9*** ± 5.3	20.3 ± 4.6
soil organic matter (%)	4.61 ± 0.73	4.79 ± 0.84	Shannon diversity index	3.04** ± 0.2	2.78 ± 0.24
soil nitrogen (‰)	1.46 ± 0.34	1.75' ± 0.61	Inverse Simpson index	25.3' ± 9.1	20.5 ± 7.3
soil phosphorus (‰)	0.46 ± 0.11	0.50 ± 0.08	Shannon evenness	0.93 ± 0.03	0.93 ± 0.02
soil pH	6.72 ± 0.27	6.71 ± 0.33	timber		
soil water content (%)	30.2 ± 5.5	30.3 ± 6.9	excellent timber (%)	4.4 ± 7.7	5.0 ± 11.0
tree (Ø ≥ 6 cm) count ha <sup>-1</sup>	1169* ± 251	989 ± 254	good timber (%)	35.0 ± 18.5	47.1' ± 23.1
sapling count ha <sup>-1</sup>	515** ± 285	308 ± 94	moderate timber (%)	42.9* ± 18.7	31.6 ± 14.3
dicot tree seedlings m <sup>-1</sup>	1.42* ± 0.61	1.04 ± 0.47	unknown value (%)	11.9 ± 12.9	10.5 ± 11.6
mean dicot tree dbh (cm)	12.7 ± 1.8	14.2* ± 1.7	<i>(only dicot trees with DBH ≥ 15 cm; percentage of TeAGB in category)</i>		
mean (all) tree height (m)	7.9' ± 0.8	7.4 ± 1.0	NTPF		
mean max. tree height (m)	19.6* ± 3.2	17.6 ± 3.1	excellent NTFP (%)	9.9 ± 9.2	19.5 ± 17.4
TBA (m <sup>2</sup> ·ha <sup>-1</sup> )	30.1' ± 11.1	24.8 ± 7.9	good NTFP (%)	24.8 ± 12.9	23.7 ± 15.3
TeAGB (tons·ha <sup>-1</sup> )	126.4 ± 54.2	107.7 ± 45.7	moderate NTFP (%)	30.6' ± 13.1	23.6 ± 12.3
dead biomass (% TeAGB)	6.7 ± 8.4	10.8' ± 11.1	unknown value (%)	11.8 ± 10.4	11.0 ± 10.3
			<i>(all trees, saplings and palms; percentage of TeAGB in categories)</i>		
			plots cut (%) 2010-2015	45%	70%

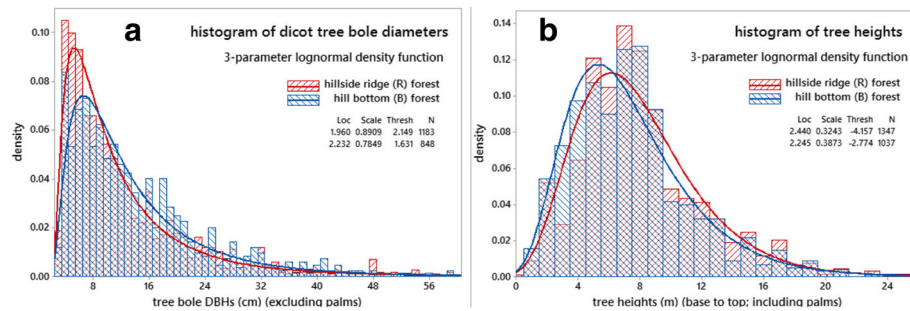
Differences between forest types were significant at levels of  $p < 0.01$ ,  $p < 0.05$ ,  $p < 0.005$ \*\*, and  $p < 0.0005$ \*\*\*

B-forest (70% of plots) were converted to acacia as compared to R-forest (45%; Tables 1 and 4), but cut and non-cut plots did not differ significantly in terms of TeAGB of ‘excellent’ and/or ‘good’ timber.

Most identified species were known to provide some potentially useful non-timber forest products (NTFPs) ranging from edible fruits and nuts, saps used for medicine, to materials (e.g. rattan rods, dies, leaves) useful for handicrafts (Table 3). Eight species (5%) could provide NTFPs assessed as ‘excellent’ value, 35 species (20%) of ‘good’, 41 (24%) of ‘moderate’, 43 (25%) of ‘insignificant’ (or undescribed), and 45 (26%) of unknown value. The

TeAGB of tree species providing ‘excellent’ NTFPs was relatively high (14.7%). Compared to R-forest, B-forest was characterized by higher ratios of trees (in terms of TeAGB) providing ‘excellent’ NTFPs, and lower ratios of trees with ‘moderate’ NTFPs, but due to high variability among plots the differences between vegetation types were only marginally significant (Table 4). The plots which were cut in between 2010 and 2015 were, however, characterized by a significantly (*T*-Test:  $t = 2.96$ ,  $p = 0.005$ ) higher biomass ratio (20.0% ± 16.0%) of ‘excellent’ NTFP species compared to the non-cut plots (7.4% ± 8.4%) (other differences being insignificant).





**Fig. 6** Tree size frequency distributions in terms of (a) tree DBH (showing the total sample of 2031 dicot trees), and (b) tree heights (including palms, 2384 trees)

## Discussion

### Examining the 'natural history' and ecology of tree species diversity within HPC-forest

Species richness in Indochinese rainforests, and especially from Yunnan southward along the Trùng Sơn Range, is very high, with up to ~200 woody species per ha recorded in southern Yunnan (Lü and Tang 2010). Often >100 tree species (with DBH  $\geq 10$  cm) per ha are found, but at this scale of assessment the region's diversity cannot compare to the most diverse forests in South America and insular Southeast Asia where often >250 species·ha<sup>-1</sup> are recorded (Wikramanayake et al. 2002; Corlett and Primack 2011; Corlett 2014). HPC-forest has been significantly disturbed and modified by human activities. Nonetheless, with an estimated average of ~70 tree species (DBH  $\geq 10$  cm) per contiguous hectare, the forest contained about 1.0–3.0 times as many species as were found on comparably-sized and often better-protected sites of evergreen broadleaf forests in locations in Northern Vietnam, 0.7–1.8 times the species recorded on plots in the Central Coast, 0.8–2.0 times species richness in the Central Highlands and in Southern Vietnam, and 0.7–2.7 times the richness in southern tropical Yunnan, China (Cao and Zhang 1997; Blanc et al. 2000; Wode 2000; Tran et al. 2013a; 2010a; 2015; Corlett 2014; Thinh et al. 2015). At slightly higher elevations (280–380 m a.s.l.; Fig. 1) maximally 48 species·ha<sup>-1</sup> were recorded by Ha (2015) in Bạch Mã National Park. Even though species such as *Gironniera subaequalis*, *Palaquium annamense* and *Scaphium macropodum* were abundant in both studies, only 26 of 63 species recorded by Ha (2015) were also found in HPC-forest. This points at appreciable changes in floristic composition along relatively short spatial gradients - as was itself observable within HPC-forest (Table 2).

The floristic composition and associated structure of HPC-forest is an outcome of inherent longer-term (evolutionary/biogeographic) and shorter-term (ecological/anthropogenic) processes. The composition largely reflects the region's intersection of southern wet-tropical (Southern

Indochinese, Malesian) and more northern sub-tropical mountain-associated (Sino-Himalayan, Indo-Burmese) floras (Wikramanayake et al. 2002; Averyanov et al. 2003). Like in other parts of Southeast Asia (Ashton 2003; Culmsee et al. 2011; Culmsee and Leuschner 2013) the vegetation in the montane and sub-montane parts of the Trùng Sơn Ranges is characterized by species from plant families of temperate northern origin, and partly of southern or Ancient Asian origin (Rundel 1999; Kuznetsov and Guigue 2000; Averyanov et al. 2003; Sterling et al. 2006; Huynh et al. 2016). In HPC-forest, tree species with a mostly submontane-colline distribution (*Castanopsis* sp., *Syzygium* spp., *Cinnamomum* spp., *Canarium album*, *Cratoxylum pruniflorum*, *Illicium griffithii*, *Engelhardtia spicata*, *Melicope pteleifolia*, *Breynia fruticosa*, *Artocarpus styracifolius*) were common along the higher-lying ridges of the hill (R-forest), and especially on the hill top (R1t). In contrast, species with a wide distribution in Southeast Asian (including Malesia) wet-tropical lowland forests (e.g. *Gironniera subaequalis*, *Artocarpus rigidus*, *Morinda citrifolia*, *Garuga pinnata*, *Knema globularia*, *Litsea glutinosa*, *Schefflera octophylla*, *Pometia pinnata*, *Elaeocarpus griffithii*, *Plectocomia elongata*, *Mimusops elengi*) were mostly either distributed throughout the forest or on more moist plots on lower slopes at the hill bottom (B-forest) (Tables 2 and 3).

In addition to many widely-distributed species, a strong endemic Vietnamese-Laotian (Annamite) floristic component was recognizable (Table 1). Several important tree species (e.g. *Palaquium annamense*, *Schefflera violea*, *Wrightia annamensis*, *Hopea pierrei*, *Madhuca pasquieri*) were Vietnamese endemic representatives of widespread tropical genera. Thừa Thiên-Huế and adjacent provinces are a hotspot of palm diversity and endemism (Henderson 2009), and 40% of the palm species in HPC-forest were indeed endemics. Some tree and palm species could not be clearly identified due to the absence of distinguishing plant parts. Some of these unidentified species could possibly represent more than one species: for example, in nearby Bạch Mã National

Park several species of *Castanopsis* (16), *Syzygium* (19) and *Ficus* (35) have so far been recorded (Huynh et al. 2016). Furthermore, it is not unlikely that some unidentified species represented local endemics not yet described by botanists at the time of the study. For example, the abundant (IVIR 3) *Licuala* sp. was possibly the only recently described *Licuala dakrongensis* (Henderson et al. 2010), and *Hopea* sp. was perhaps *Hopea vietnamensis* (Hoang et al. 2013). The taxonomy of several species is still contested. For example, *Knema globularia* was listed in other sources (FIPI 1996; Ha 2015; Flora of China 2015; Huynh et al. 2016) as either *K. corticosa*, *K. conferta* or *K. tonkinensis*. Following closer genetic analyses new 'cryptic' species may be discovered among the long list of currently described taxa (Bickford et al. 2006).

Several hypotheses have been proposed to explain the high diversity and endemism (respectively 'pseudo-endemism', Turvey et al. 2016) in forests along the Trùng Sơn Ranges (Wikramanayake et al. 2002; Sterling et al. 2006). The floristic composition of Indochina is partly a result of ancient plate tectonic collisions, and resulting terrains and associated local climates (Hua 2013; Corlett 2014). More recent processes during the climatically variable quaternary period, however, appear most important to explain today's biogeographic patterns; such processes include sea level changes, cool/dry periods when forests retreated and were isolated, and climatic shifts along elevational gradients (Woodruff 2010). Evidence suggests that the Trùng Sơn Ranges served as a refugium for wet-tropical forest species during cool/dry periods in the quaternary, but the region was itself also a center of species diversification (Sterling and Hurley 2005; Sterling et al. 2006; Corlett 2014). The 'refugium hypothesis' is supported by the fact that some species found in lowland Central Vietnam (e.g. *Knema pierrei*, *Elaeocarpus apiculatus*, *Ficus glandulifera* in HPC-forest) also occur in Peninsular Malaysia but are not found in lowland forests in between.

High species richness reflects the many rare species in HPC-forest. Numerous hypotheses have been proposed to explain co-existence of many tree species within a small forest area; these mostly consider the range of ecological niches available along small environmental gradients and the spatial impacts (often density-dependent) of a vast diversity of pests and diseases (often host-specific) at different plant life stages (Wright 2002; Freckleton and Lewis 2006; Ashton 2014). Within HPC-forest, species' habitat descriptions from the literature largely fitted their distributions, with many species described as typically occurring on hill slopes and/or on humid soils (Table 3; Additional file 2: Table S2). The boundaries of vegetation types were however blurry (Table 2). In accordance with findings of other regional

studies (Potts et al. 2002; Cannon and Leighton 2004; Noguchi et al. 2007; Du et al. 2013), this suggests that tree species distributions were strongly influenced by a mixture of relatively stochastic (e.g. propagule dispersal, forest disturbance) and more deterministic (e.g. factors relating to habitat) processes across environmental gradients (in HPC-forest largely governed by elevation and terrain, and corresponding soils; Fig. 4). In a study by Nguyen et al. (2016) in nearby A Lưới District 16 out of the 18 most abundant species on a two-hectare study plot (elevation 625–660 m a.s.l.) showed an aggregated distribution in the range of up to 15 m. Furthermore, many significant spatial associations were observed between different tree species. The authors mainly interpreted the patterns as evidence for the species' dispersal limitations and inter-specific processes of herd protection and/or facilitation. Patterns within the 'late successional' forest were, however, barely discussed in regards to micro-topography, soils and potential earlier disturbances. In HPC-forest anthropogenic disturbances in the vegetation were fairly evident.

#### Anthropogenic influences on forest composition and natural processes

One can only guess what HPC-forest may have looked like before the influence of humans. The forest probably contained many more upper-canopy (20–30 m) and emergent (up to >40 m) trees of 'excellent' (hardwood) timber quality, e.g. dipterocarps (*Hopea* and *Parashorea* spp.), legumes (*Erythrophloeum fordii*, *Sindora* and *Peltophorum* spp.), and representatives of other plant families (*Madhuca pasquieri*, *Mimusops elengi*, *Tarrietia javanica*, *Elaeocarpus* spp.). These species (except *E. fordii*) were still found in HPC-forest, however at low numbers (0.6–6.3 trees·ha<sup>-1</sup>) and small sizes (Additional file 2: Table S1). The only common species with 'excellent' timber quality was a slow-growing undergrowth tree (*Melanorrhoea laccifera*, IVIR 17). Due to its small timber volume it was probably not a target during SFE logging operations; in addition, it was characterised by good regeneration (Tables 2 and 3). In a study in three communes in Nam Đông District (Ngo and Webb 2008; 2016), several excellent timber species (in particular *Hopea pierrei*, *Parashorea stellata*, *E. fordii*, *M. pasquieri* and *Sindora tonkinensis*) were mentioned by villagers as species indicating 'relatively intact forest'. Only *H. pierrei* and *M. pasquieri* were however found on field plots, which supports the presumption that the forests have significantly changed due to selective logging.

Logging-out of high-value timber was probably most severe during the 1970s to late 1980s, and some selective extraction by villagers or 'wood poachers' may have continued thereafter. Nonetheless, in 2010 the overall per-hectare standing biomass (TeAGB) of harvestable timber

(DBH  $\geq 15$  cm) was sizeable, with 96 Mg (equivalent to 169 m<sup>3</sup>) in B-forest and 109 Mg ( $\sim 212$  m<sup>3</sup>) in R-forest. Per-hectare timber stock of larger trees (DBH  $\geq 30$  cm) was 56 Mg ( $\sim 101$  m<sup>3</sup>) in B-forest and 61 Mg ( $\sim 125$  m<sup>3</sup>) in R-forest. HPC-forest would thus be classified in Vietnam mostly within the range of ‘average’ forest (100–200 m<sup>3</sup>·ha<sup>-1</sup>). This is however still markedly lower than primary rainforests which can reach harvestable timber stocks of considerably more than 300 m<sup>3</sup>·ha<sup>-1</sup> (‘very rich’ forest; MARD 2009), with above-ground biomass exceeding 350 Mg·ha<sup>-1</sup> (Hai et al. 2005, and literature cited therein).

Relatively large trees of species with lesser timber quality were found on many plots in the forest (Table 3). *Gironniera subaequalis* and *Artocarpus rigidus* (IVIR 1 and 2; with ‘good’ timber quality) were reportedly not a main target for logging by SFEs, but are occasionally used by villagers for house building and furniture (D.T. Ngo, pers. comm.). These species’ high abundance appeared to be partly explained by their dispersal and recruitment capacity under canopy shade (300–2050 seedlings per ha), and associated persistence during and after selective logging operations (including coppicing in the case of *G. subaequalis*, and tenacity in steep terrain in the case of *A. rigidus*; Tables 2 and 3; Raich and Khoon 1990; Ding et al. 2012). *A. rigidus* was possibly also promoted by local villagers because of the tree’s valued sweet fruits and timber (Wetterwald 2003; Lim 2012). In contrast, the success of *G. subaequalis* may be explained by ecological competitiveness under post-logging conditions. *G. subaequalis* has the capacity to enrich available N in its tissues (roots and leaves) and use it to produce acid phosphatase to efficiently exploit the more limited levels of available soil P – possibly at the expense of other trees which cannot compete for P in its presence (Huang et al. 2013).

Ngo and Webb (2008, 2016) listed *G. subaequalis*, *Palaquium annamense*, *Syzygium* spp. and *Canarium album* as indicators of ‘relatively intact forest’, whereas *A. rigidus* and other species (including *Horsfieldia amygdalina*, *Garcinia cochinchinensis*, *Knema pierrei*, *Castanopsis* spp., *Pometia* spp., *Gonocaryum maclurei*) were rather associated with ‘selectively logged forest’. Yet, prime indicators of impacted forest are probably species that do not regenerate under canopy shade. Such species include *Garuga pinnata*, *Lithocarpus amygdalifolius*, *H. amygdalina* and possibly *Syzygium jambos* – species which in HPC-forest were common in the upper tree size strata but lacked representation by seedlings (Table 3). *G. pinnata* and *H. amygdalina* were possibly dispersed by birds into forest gaps and may have profited from the aftermath of logging, but *L. amygdalifolius* and *S. jambos* (both with ‘good’ timber qualities and presumably more locally dispersed propagules) were probably

mainly promoted after logging via active seeding/planting by villagers. The fact that these species were primarily found in B-forest (and sub-types R1t and R1b; Table 2) suggests that this was indeed the most heavily impacted part of the forest. The elevated herbal ground cover, lower seedling and sapling densities, higher tree mortality and associated lower tree density, and lower species richness and diversity were further indications that B-forest had been more disturbed than R-forest, including effects such as compaction and erosion of soils (Table 4, Fig. 4; Sidle et al. 2006; Clarke and Walsh 2006; Chazdon 2014). Wood collection by villagers and other on-going impacts in better-accessible B-forest may also partly explain the low sapling densities (Hoang et al. 2011; Popradit et al. 2015).

The ‘restoration history’ of HPC-forest is not known in detail. In Vietnam, Thailand and other tropical countries various species of *Lithocarpus*, *Castanopsis*, *Syzygium*, *Artocarpus*, *Ficus*, *Cinnamomum*, *Horsfieldia*, *Garuga*, *Canarium* and *Garcinia* have, however, been used for more or less successful direct seeding of degraded forests (Woods and Elliott 2004; Cole et al. 2011; Tunjai 2012) and/or as framework trees for forest restoration (Blakesley et al. 2002; Elliott et al. 2003; Khopai and Elliot 2003; FSIV 2003; Acharya and Kafle 2009). Various species of these and other genera (some with capacity to coppice; Table 3) were most probably also promoted in HPC-forest by villagers during initiatives to upgrade and ‘enrich’ local logged-out forests, whereby species selection/promotion was a mixture of practical ecological considerations (what can grow readily under specific conditions?) and the potential prospective utility of planted trees (van Kuijk 2008; next Section). Human influences thereby interacted with natural processes. In a study by Khopai and Elliot (2003) in Northern Thailand *G. pinnata*, *Glochidion eriocarpum* and species of the genera *Artocarpus*, *Ficus*, *Litsea*, *Antidesma*, *Dillenia*, *Castanopsis*, *Scaphium* and *Cratoxylum* were readily dispersed by animals to forest restoration sites where framework species had previously been planted.

#### NTFPs and associated values of HPC-forest

The potential utility of many species for NTFPs (in addition to timber) largely explained their presence and/or relative abundance within HPC-forest. Native species which were valued for food (fruits, nuts or seeds) were cultivated and/or promoted by local villagers since time immemorial, and were additionally favoured during government-sponsored forest restoration activities in the 1980s and 1990s. Amongst the thirty most important species were the highly valued fruit tree species *Morinda citrifolia* (Indian mulberry or noni), *Garcinia cochinchinensis* (false mangosteen), *Scaphium macropodum* (Vietnamese malva nut), *Syzygium jambos* (Malabar



plum), *Artocarpus rigidus* (monkey jackfruit), *Canarium album* (Chinese olive), *Litsea glutinosa* (Indian laurel), and *Pometia pinnata* (island lychee) (Table 3). The three non-native species found in HPC-forest were economically valuable either for fruits (*Morus alba* - white mulberry, native to China; fairly common, IVIR 35; *Nephelium lappaceum* - rambutan, native to Malesia; one tree recorded) or camphor wax (*Cinnamomum camphora* - camphor laurel, native to Taiwan; two trees). The presence of valuable trees does obviously not entail that nearby communities actually collected and used all potentially available NTFPs, nor that they still tended the tree resources in sustainable ways. For example, malva nut trees (*S. macropodium*; IVIR 18, with highest woody biomass; Table 3), often appeared to be damaged, presumably because the nuts were harvested by cutting entire branches (YT Van, pers. obs.; Huy 2012).

Earlier data from Wetterwald (2003, 2004) gathered in Katu communities in Nam Đông indicated that for 14% of households collection of NTFPs was a mainstay for their livelihoods, 48% collected NTFPs part-time, whereas 38% occasionally or never collected NTFPs. More than half of the households interviewed (mostly those engaged in collecting) traded with NTFPs, whereby the commercially most valuable plant products (besides honey and mushrooms) were cane and baskets from rattans, bamboo shoots, malva nuts and other fruits (e.g. rambutan and rainforest figs), and bark from *Litsea* spp. (used for incense and medicine). On the plots in HPC-forest no bamboo species and none of the traded rattan species listed by Wetterwald (2003), McElwee (2010) or Polesny et al. (2014) were recorded. The most valued rattan species found in HPC-forest (i.e. *Calamus nambariensis* and *C. rhabdocladus*; Henderson 2009) were also the rarest (one seedling each; IVIR 171 and 172). Analogous to valuable timber species, important NTFP which were harvested as whole plants (or substantial plant parts) were therefore largely depleted in HPC-forest. Correspondingly, respondents in a study by Tran et al. (2010b) reported that availability of tradeable NTFPs has markedly declined in Nam Đông during the preceding decade (associated incomes have about halved), except for 'broom grass' (*Thysanolaema maxima*) which prolifically grows in gaps created in forests. Over-exploitation of rattan has become a major problem in the buffer zones of Bạch Mã National Park where new schemes for participative forest protection and resource monitoring have recently been initiated (Ha et al. 2016).

The vanishing of many commercially valuable wild species coincides with changes in local people's livelihoods and socio-economic interactions during the past two decades. Soon after forest land allocation (FLA) during 1999-2005, home gardens and orchards were

expanded and planted with fruit trees (from *Artocarpus* to *Nephelium* spp.) and acacia woodlots (for fuel and timber); these covered many household needs and diminished dependencies on fluctuating or declining forest resources (Wetterwald et al. 2004; Tran et al. 2013b). Cash income from expanding larger-scale commercial acacia plantations has become ever more important, however with an income gap opening between rich landholders (making good profits from acacias) and poor households (becoming more marginalized and dependent on casual/subsidiary labor) (Tran et al. 2013b; Bayrak et al. 2015). In accordance with expanding cash crops, the collection of wild products has also become more commercialized, with rich households often maintaining controls over collection/trade of key products (McElwee 2008; Sikor and To 2011; Tran et al. 2013b). Programs of community-based forest management (CFM) were launched in 2006 and piloted in Nam Đông (Tran et al. 2010b), but strong socio-economic dynamics linked to market forces and emerging cash crops outweigh many efforts directed at the conservation and sustainable use of remaining communally managed natural forests. Households in Nam Đông now increasingly use alternative materials to forest products, such as concrete and plastic for house construction, synthetic medicines instead of medicinal plants, and gas for fuel (Tran et al. 2013b). Fewer and fewer people know about traditional forest products, especially the vast diversity of medicinal plants, i.e. 432+ species that were formerly collected in forests around Bạch Mã National Park (Tran and Ziegler 2001; Tran et al. 2013b).

#### **Losing economic value, losing ground: considerations on forest biodiversity conservation**

The decreasing appreciation and use of traditional forest products relative to highly-priced merchandises (acacia wood, rubber, meat products) largely explains the demise of natural forests in the lowlands. Today virtually no rainforests remain in the plains and low-lying hills (below ~200 m elevation) in between Nam Đông and the coast, and acacia plantations continue to encroach into rainforest areas in colline and sub-montane rim-zones (Cochard et al. 2017). It is not unlikely that some specialized endemic lowland species have already become extinct. Within the 'Northern Vietnam Lowland Rain Forests Ecoregion' few forests remain (almost all affected by logging) in nine small protected areas and numerous fragments. Most of these forests have not been scientifically surveyed. The protected areas (covering ~3.9% of the ecoregion) barely embrace the entire ecoregion's high biodiversity and endemism; hence, any remaining forest fragments – whatever their small size – are likely of considerable conservation value (Wikramanayake et al. 2002; McElwee 2016).

While extensive intact forestlands are needed to safeguard key biodiversity of rainforest ecosystems, considerable numbers of endangered plant and animal species (especially smaller species) can persist in forest fragments for decades (Turner and Corlett 1996; Hernández-Ruedas et al. 2014). Forest fragments can be important refugia for economically valuable species, and provide a biological store and nucleus from where forest regeneration of surrounding areas can be re-seeded under favorable conditions (Chazdon 2014; Sloan et al. 2016). Besides the presence of little known or even undescribed species, several species found in HPC-forest were listed in the IUCN Red List (IUCN 2016) or the Vietnam Red Data Book (2007) as 'endangered' (*Hopea pierrei*, *Sindora tonkinensis*, *Tarrieta javanica*) or 'vulnerable' (*Knema pierrei*, *Mangifera minutifolia*, *Elaeocarpus apiculatus*, *Madhuca pasquieri*). This underlines the potential of the remaining forest fragments to maintain threatened species, especially species restricted to lowland forests.

To what degree (and in what ways) forest fragments can maintain assemblages of historically occurring species depends on many factors. Fragments only retain a 'sample' of the species present within the original non-fragmented forest, and the maximum number of species is related to fragment size (Laurance et al. 2011). For HPC-forest the extrapolative application of the log-logistic model equation (Fig. 5b) indicated that in total perhaps 255 tree species would have been found in the entire HPC-forest fragment (76 ha) in 2010. From simple loss of area this number would have decreased to ~237 species in 2014 (46 ha) and ~231 species in 2015 (39 ha). In contrast, ~319 species were possibly present in the larger connected forest in 2003 (742 ha) and ~287 species may still be found in the total forest area remaining in 2015 (203 ha, including another larger fragment; Additional file 1: Figure S1). The calculations suggest that species losses may be moderate (-28%, 2003–2016) relative to the substantial (-95%) decrease in area (considering HPC-forest alone). Calculations focusing on area alone, however, disregard potential longer-term impacts of fragmentation. Deforestation and reduction of HPC-forest has occurred over a period of just a few years. Hence, in 2010 many slow fragmentation-associated degradation processes were not yet distinguishable from effects associated with other impacts (logging, forest uses). Fragmentation effects will however become more obvious – provided the remaining fragment actually persists.

Tree communities in forest fragments that are initially diverse may biologically simplify as a result of isolation and reduced populations of many species. Given that pollinators may become functionally extinct within the fragment (Brosi et al. 2008) and can often not traverse the surrounding matrix (Kormann et al. 2016), rare plant species may eventually disappear from the fragment due to failing pollination and/or inbreeding. Ha (2015), for

example, found that allelic diversity of seedlings of the dipterocarp *Parashorea stellata* was significantly reduced in forest fragments as compared to seedlings in contiguous forest in Nam Đông - despite observed inter-fragment genetic exchange. In several studies (e.g. Lopes et al. 2009; Breed et al. 2012; Zambrano and Salguero-Gómez 2014; Lowe et al. 2015 and studies cited therein) lowered tree fecundity was important to explain differences in tree seedling establishment between fragments and contiguous rainforest. In HPC-forest a majority of species was rare (Fig. 5a). In the fragment remaining in 2016 (39 ha) species recorded by three (9% of all species), two (14%) or merely one tree (25%) may in the fragment on average be represented by 73, 49, or 24 trees, respectively. Seedlings were recorded for only 14% of these rare tree species, and seedling frequencies of species with 1–5 trees·ha<sup>-1</sup> were on average (3.5 seedlings/tree) almost three times lower than for species with more trees (10.1 seedlings/tree). This indicates that there was an on-going fragmentation-related trend towards losses of certain rare species.

Introductions of seeds from outside the fragment may keep small tree populations viable and/or change the species composition in the fragment. The most important seed dispersal agents in Indochinese rainforests are birds; accordingly, fruit sizes of many rainforest trees are relatively small (Corlett 2014; Table 3). In HPC-forest some common passerines such as babblers, thrushes and white-eyes were observed, and these may have brought in seeds from species such as *Melicope pteleifolia*, *Glochidion eriocarpum*, *Eurycoma longifolia*, *Knema pierrei* and *Schefflera octophylla* which were represented by widely-scattered seedlings (Tables 2 and 3; Datta and Rawatt 2008; Snow 1981; Kitamura et al. 2002; Wang et al. 2010). Tree species with larger fruits (>25 mm diameter), such as *Pometia* spp., *Garcinia cochinchinensis*, *Artocarpus rigidus*, *Dillenia scabrella*, *Gonocaryum maclurei* and palms were more likely dispersed by civets and/or rodents (especially squirrels), and probably just within the fragment (Kitamura et al. 2002; Corlett 2014). Species with winged seeds (*Cratoxylum pruniflorum* and *Tarrieta javanica*, but probably not dipterocarps; Au et al. 2005; Smith et al. 2015) may also be partly sourced from far-away areas by wind (Table 2). No connected lower-lying forests persisted near HPC-forest; the nearest contiguous forest (1.5 km east of HPC-forest) ranged from 300–1,100 m elevation. Hence, while many remaining lowland species within HPC-forest were presumably about to decline, there was possibly an increasingly higher colonization chance by more generalist-montane species. Several species recorded in HPC-forest (120–330 m elevation) had an altitude distribution range up to well over 1,000 m, and according to the literature some bird-dispersed species

(e.g. *Disepalum plagioneurum*, several *Litsea* spp., *Archidendron clypearia*, *Turpinia cochinchinensis*, *Eurya nitida*; Kitamura et al. 2002) would not be expected below ~500 m.

Data described by Ha (2015) from older forest fragments (estimated 30 years since fragmentation) in Nam Đông indicated that tree species richness in fragments larger than 50 ha was not different to the richness within comparable contiguous forest (~40–48 species-ha<sup>-1</sup>), but smaller fragments were relatively impoverished (i.e. 28–32 species-ha<sup>-1</sup> in 10–23 ha-sized fragments, and 14–21 species-ha<sup>-1</sup> in 1–5 ha fragments). Ha (2015) attributed this mostly to fragment edge-effects such as lowered humidity, higher temperatures, and increased light and wind – i.e. effects which may increase mortality of sensitive trees and seedlings, and simplify the vegetation by promoting generalist species (Laurance 1997; Benitez-Malvido and Martínez-Ramos 2003; Bennet and Saunders 2010; Cochard 2011). Edge-effects in small fragments are disproportionally higher than in large fragments; simultaneously, anthropogenic impacts may increase in smaller fragments (Arroyo-Rodríguez et al. 2015).

In 2010 the plots were still located well inside HPC-forest, and edge-effects *per se* were thus barely obvious. The fragment boundary was, however, pushed back 2010–2015, and more than half of the plots were cut. Clearance was highest in the most accessible lower-lying forest parts; these parts were also relatively rich in harvestable ‘good timber’ (e.g. *Lithocarpus amygdalifolius*, *Scaphium macropodum*, *Pometia* spp. and *Syzygium jambos*; Tables 2 and 3). The destruction mostly affected the already previously highly impacted and modified B-forest, but also species-rich parts in R2- and R1b-forest (Table 2, Fig. 4). Judging from plot data a large fraction of trees with ‘excellent’ NTFPs was destroyed (77% in terms of TeAGB), including most (91%) of the commercially valuable malva nut trees (*S. macropodum*). This lends support to the note that the boom of acacia wood production currently outcompetes the economic values of many other commercially tradeable forest products.

## Conclusions

Lowland forests in North-Central Vietnam are rich in biodiversity and endemic species, but most of the few remaining forests have been and are being anthropogenically modified. Repeated cycles of selective logging by state forest enterprises (SFEs) and other actors have caused once-dominant tree species to become rare and endangered. Logged-out forests were subsequently ‘enriched’ with economically valuable tree species, especially during forest restoration initiatives. Such impacts and changes were noticeable in the species composition of the studied forest near Hương Phú Commune (HPC). Nonetheless – or perhaps partly

because of this – the HPC-forest was characterized by a tree species richness which rivals the diversity found in many better-protected forests in Vietnam.

HPC-forest is one of the last rainforest remnants below ~350 m a.s.l. in Thừa Thiên-Huế Province. Tree species assemblages in this hillside forest were transient between lowland and colline/sub-montane rainforest. As this fragment was connected to a much larger forest only a few years ago, few signs of fragmentation-induced plant community degradation were as yet evident, but rare species (and a few common species) were already disproportionately under-represented by seedlings. Furthermore, on-going extraction of certain types of timber and non-timber forest products (NTFPs, especially rattan) by villagers probably explained the rarity/absence of certain commercially important species. The decreasing value of the forest for villagers (due to resource over-uses and changing economic activities/livelihoods) currently coincides with a boom in industrial plantation forestry. This caused the forest fragment to contract by half its size in between 2010 and 2015. One may expect that HPC-forest will be replaced entirely by plantations within coming years, but it may not yet be too late to safeguard parts of a larger fragment located nearby to the north.

Particularly in view of projected climate change, conservation and management of remaining lowland forests should be strengthened. Under global warming species assemblages are expected to shift upwards in altitude, whereby lowland species will be important to sustain natural forests at mid-altitudes (Colwell et al. 2008; Feeley and Silman 2010). Lower-lying forest fragments should be conserved and possibly connected via corridor strips to nearby protected contiguous forest areas – to facilitate movement of pollinators and seed dispersal agents (Hilty et al. 2006). Conservation programs should be fostered in collaboration with local residents, and may be financially sourced from newly emerging ‘payments for ecosystem services’ (PFES) and/or international funding schemes (Sharma et al. 2016; Thang and Duong 2016). Such programs should contribute to the maintenance/revival of the ethno-botanical cultural heritage; alliance with ecotourism could generate new incomes for local villagers and help preserve ‘spice-garden’ forests (Joliffe 2014). Inventories and exploration of new medicines from traditional recipes could contribute to developing new marketable products as well as maintain ethno-botanical knowledge (Tran and Ziegler 2001; Hoang et al. 2008), and the safeguarding of natural forests on hillside catchments would help alleviate water shortages (for agricultural irrigation) during dry winter seasons (Tran et al. 2013b; Cochard 2013, 2016). As noted by Woodruff (2010, p. 935) “biogeographers and conservationists must act as if their efforts in the next 20 years will affect the quality of life in this region for at least a thousand years.”

## Additional files

**Additional file 1:** Additional figures and tables. (PDF 2324 kb)

**Additional file 2:** Detailed summary of plant species data of the HPC-forest. (PDF 1843 kb)

## Abbreviations

AIT: Asian Institute of Technology; BA: Tree bole basal area (1.3 m above ground); CORENARM: Consultative and research center on natural resource management; DBH: Diameter at breast height (1.3 m above ground); GPS: Geographical positioning system; *H'*: Shannon diversity index; HPC-forest: Studied forest fragment near HƯƠNG Phú Commune; HUAF: Huế University of Agriculture and Forestry; eAGB: Estimated (using allometric formulae) above-ground dry tree woody biomass; IV: Importance value index; IVIR: Importance value index rank; *J'*: Shannon Evenness; N: Total Kjehldahl soil nitrogen; NTFP: Non-timber forest product; P: Total Kjehldahl soil phosphorus; OM: Soil organic matter content (weight loss on ignition); RGB values: Red, green, blue soil chromaticity values; TeAGB: Total estimated dry above-ground woody biomass; TBA: Total tree basal area; UTM coordinates: Universal transverse mercator system coordinates; 1/D: Inverse Simpson diversity index

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## Availability of data and materials

A full list of species recorded in this survey, including summary statistics and additional information, is provided in Additional file 2: Tables S1 and S2. Additional information on the study site (Additional file 1: Figure S1), HPC-forest composition (Additional file 1: Table S1), and forest structure (Additional file 1: Figure S2) is provided in Additional file 1.

## Authors' contributions

VTY conducted the field survey and species identifications. Data analysis and paper write-up was done by RC and VTY collaboratively. Both authors read and approved the final manuscript.

## Competing interests

The authors declare that they have no competing interests.

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