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Investigation of a novel structure for 6PolSK-QPSK modulation

Yupeng Li^{1,2*}, Ming Li^{1,2}, Jiawei Han^{1,2} and Tingting Han^{1,2}

Abstract

Benefiting from the high spectrum efficiency and power efficiency, 6PolSK-QPSK (6-ary polarization-shift keying quadrature phase-shift keying) is a promising modulation format in coherent optical communication. We proposed a novel structure to generate the 6PolSK-QPSK with two dual-drive MZMs. Simulation results show that the proposed structure can generate 6PolSK-QPSK effectively and the performance is nearly the same to the traditional one.

Keywords: 6PolSK-QPSK, DDMZM, Coherent optical communication

1 Introduction

In pursuit of the large transmission capacity of optical fiber communication system, advanced multilevel modulation formats are attracting great interests for the high spectral efficiency (SE) [1–3]. In fact, since power efficiency (PE) is related to the transmission distance and BER, PE is another important factor to be considered besides the SE. 6PolSK-QPSK (6-ary polarization-shift keying quadrature phase-shift keying) has attracted large attention of researchers all over the world for its advantages in both SE and PE [4–9]. 6PolSK-QPSK takes advantage of six different polarizations of optical signal without decreasing the Euclidean distance. There have been several different structures of transmitter proposed; Fig. 1 shows the most typically traditional transmitter, which needs two IQ modulators for the optical modulation, one is for I-branch and the other one is for Q-branch modulation. The original data is encoded to the four three-level streams according to the rule proposed in [4], and the final 6PolSK-QPSK is obtained by combing the two branch optical signals. Although the structure in Fig. 1 is straightforward in signal modulation, it is worth mentioning that the IQ modulator is expensive for its complicated structure and then results in the high cost of 6PolSK-QPSK transmitter.

In this paper, we proposed a novel structure for 6PolSK-QPSK modulation based on dual-drive MZM (DDMZM), which can reduce the complexity and cost of the transmitter. Simulation results show that the proposed structure is effective to generate the 6PolSK-QPSK, and the performance is nearly the same to the traditional one.

2 Theoretical analysis

Figure 2 shows the structure of DDMZM; it consists of two phase modulators. The V_{RF1} and V_{RF2} ports are driven by the electrical signal to change the phase of optical signal. V_{d1} and V_{d2} ports are used to set the phase deviation between upper and lower phase modulator. Because RF_1 and RF_2 of the DDMZM can be adjusted independently, the DDMZM has high degree of freedom. In fact, a DDMZM can be used to generate any high-order modulation theoretically [10]. Based on the high degree of freedom of DDMZM, we can utilize it to generate the 6PolSK-QPSK.

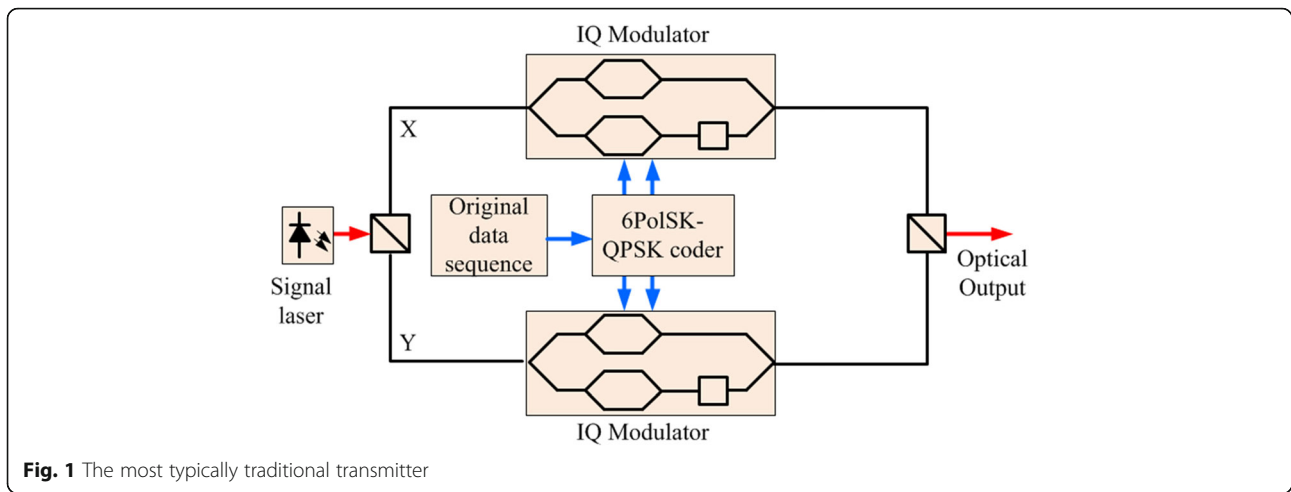
According to the coding rules proposed in [4] (Fig. 3), nine bits are encoded to two consecutive symbols. The 6PolSK-QPSK modulation can be divided into two parts, that is, DP-QPSK and PS-QPSK generation. In other words, the DDMZM is used for DP-QPSK or PS-QPSK generation at a moment.

As showed in Fig. 3, the first step is encoding nine bits to two symbols. When the first bit is 0, the subsequent bits are encoded to two DP-QPSK symbols; if the first bit is 1, the subsequent coding method is

* Correspondence: fx_lyp@163.com

¹Tianjin Key laboratory of Wireless Mobile Communications and Power Transmission, Tianjin Normal University, Tianjin 300387, China

²College of Electronic and Communication Engineering, Tianjin Normal University, Tianjin 300387, China



decided by the second bit and, then, PS-QPSK or DP-QPSK are encoded with different sequence according to the second bit.

Figure 4 shows the I-part constellation of 6PolSK-QPSK; the constellation consists of two parts. The red points represent the points for DP-QPSK, and the blue points represent the points for PS-QPSK. For the DP-QPSK, both polarizations are QPSK modulation, and the phase in each polarization is one of the four red points; then, we obtain 16 cases. For the PS-QPSK, one polarization is QPSK modulation and the other is no light, and forms the other five blue points in the constellation; the PS-QPSK has 8 cases. So we can get 24 different cases in the consecutive two symbols.

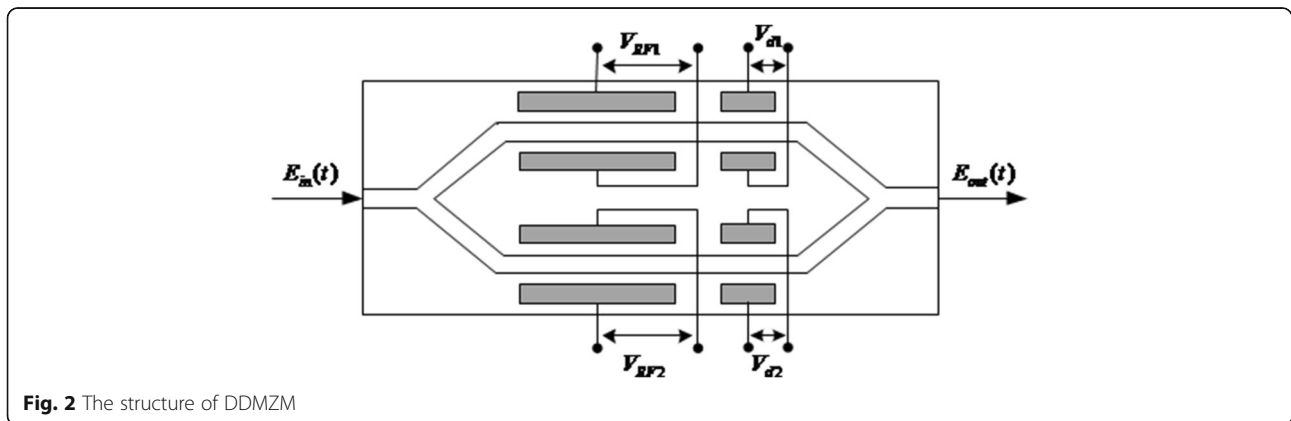
The DDMZM is suitable to generate the points in the constellation above with two independent RF signals.

Here is the transfer function of DDMZM; all the points in the constellation can be generated with different combination of the RF signals.

$$E_{out} = \frac{E_{in}}{2} \left[\exp\left(\frac{jV_{RF1} + V_{d1}}{V_{\pi}\pi}\right) + \exp\left(\frac{jV_{RF2} + V_{d2}}{V_{\pi}\pi}\right) \right] \quad (1)$$

where V_{π} is the voltage to make the optical signal get π phase shift in the phase modulator. We assume four basic phase shift for the modulation, $P = (\pi/4, 3\pi/4, 5\pi/4, 7\pi/4)$, the green arrows in Fig. 4 represent the basic phase shift in P , and all the points in the constellation can be generated with the four basic phase shift. Because the maximum phase difference in P is $3\pi/2$, the V_{pp} of the driven signal is $3V_{\pi}/2$.

The optical signal E_{in} is split into two parts E_1 and E_2 , both initial phases of E_1 and E_2 are 0, and with different V_{RF} the phase of E_1 and E_2 is belong to basic phase shift P . After combing the E_1 and E_2 , the corresponding point is obtained at the output of DDMZM. For example, if the $E_{1_phase} = \pi/4$ and $E_{2_phase} = 3\pi/4$, we can get the upper red point in DP-QPSK, and when $E_{1_phase} = \pi/4$ and $E_{2_phase} = \pi/4$, we can get the upper right blue point in PS-QPSK.



b ₁	b ₂	b ₃	b ₄	b ₅	b ₆	b ₇	b ₈	b ₉
0	DP-QPSK		DP-QPSK					
1	0	PS-QPSK		DP-QPSK				
1	1	DP-QPSK		PS-QPSK				

Fig. 3 Nine bits are encoded to two consecutive symbols

In order to get the four basic phase shift, the driven signals should have four different levels to form the points in DP-QPSK or PS-QPSK. With the coding rules, we can get the 6PolSK-QPSK at the output.

In addition, the DDMZM can work at different bias point, such as peak, null, and quad. For different bias point, the initial phase shift is different between upper branch and lower branch; the coding needs minor adjustment. We take null points for example, which means the two branches in DDMZM have phase difference π , so when the $V_{RF1} = V_{\pi}/4$ and $V_{RF2} = V_{\pi}/4$, with the phase difference π , the output is the zero point in PS-QPSK.

3 Simulation results and discussion

We did some simulations to verify the performance of the proposed structure. Figure 5 is the simulation setup. At the transmitter side, the original data is encoded to four data streams according to the coding principle [4]; two DDMZMs are used for the I- and Q-part modulation respectively. According to the theory analysis above, the driven signals are four-level

signals with $V_{pp} = 3V_{\pi}/2$; the PBS and PBC are used for split and combine the different polarization of the light signal. Both linewidths of lasers used as signal laser and local laser are 0Hz, so the influence of frequency deviation and phase noise can be eliminated. The wavelength of the lasers is 1550 nm. The 6PolSK-QPSK is combined with the ASE (amplified spontaneous emission) noise to adjust the OSNR (optical signal-to-noise ratio) in the back to back simulation system. The simulation symbol rate is 10GBd, because each 6PolSK-QPSK symbol contains 4.5 bits; the total data rate is 45 Gb/s.

Coherent detection is used to recover the signals. The local light is combined with the signal light in two 2×4 90° Hybrids and converted to electrical signals with four balanced photo-diodes (BPD), obtaining I-part and Q-part signals in both X and Y polarization, respectively. The four signals R_{XI} , R_{XQ} , R_{YI} , and R_{YQ} are stored and decoded according to the rules above.

In the simulation, we assume all the parts of the system are ideal, and evaluate the performance of the proposed structure with the EVM and BER.

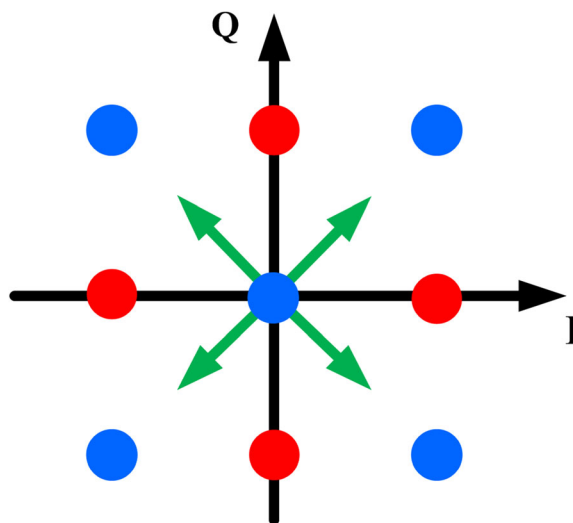


Fig. 4 The I-part constellation of 6PolSK-QPSK

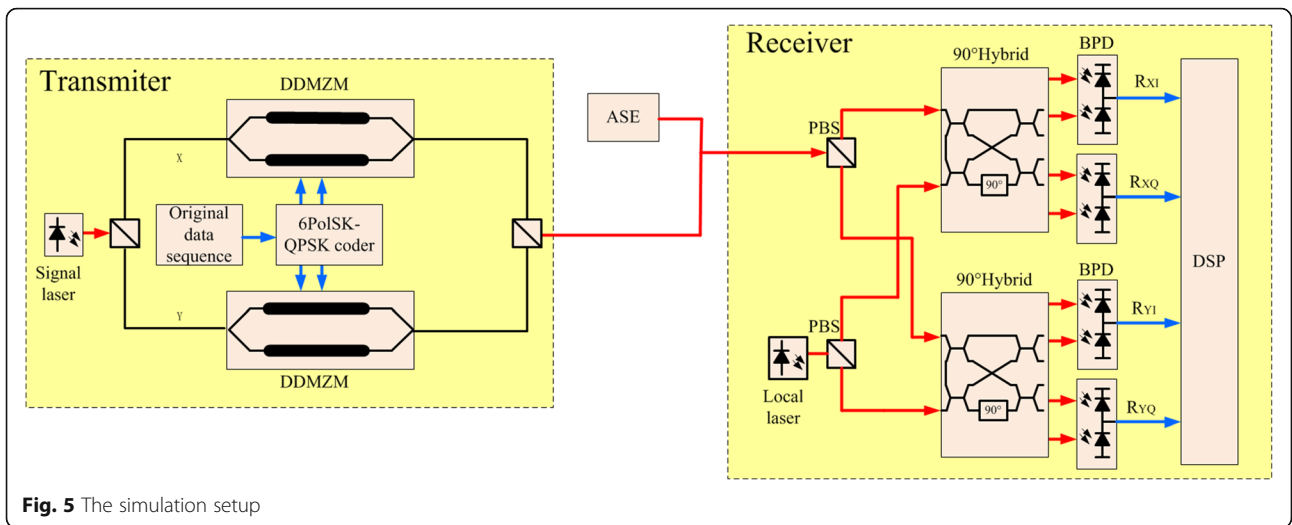


Fig. 5 The simulation setup

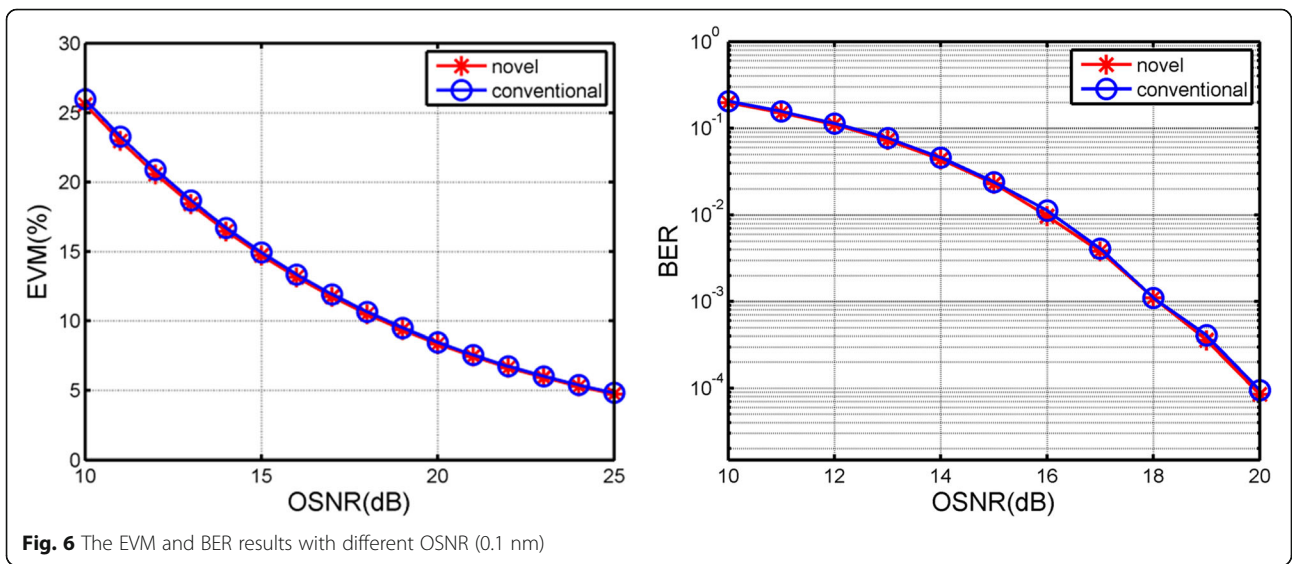


Fig. 6 The EVM and BER results with different OSNR (0.1 nm)

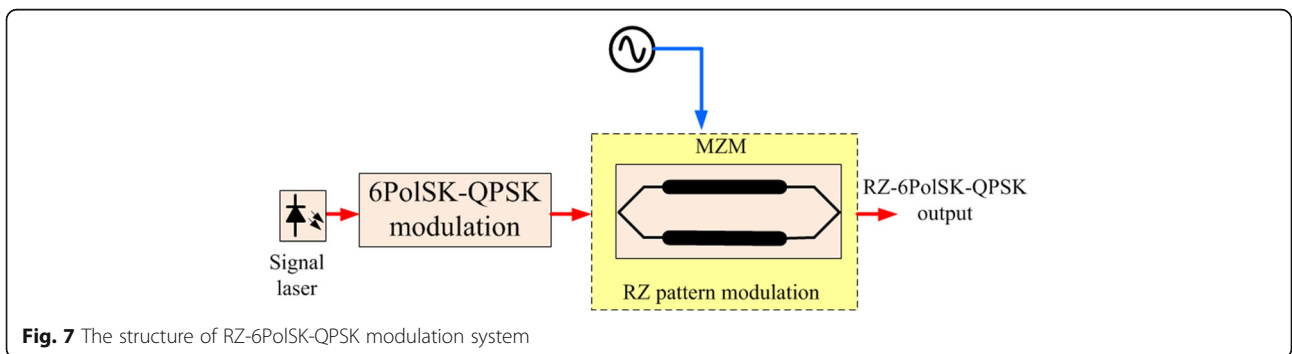


Fig. 7 The structure of RZ-6PoISK-QPSK modulation system

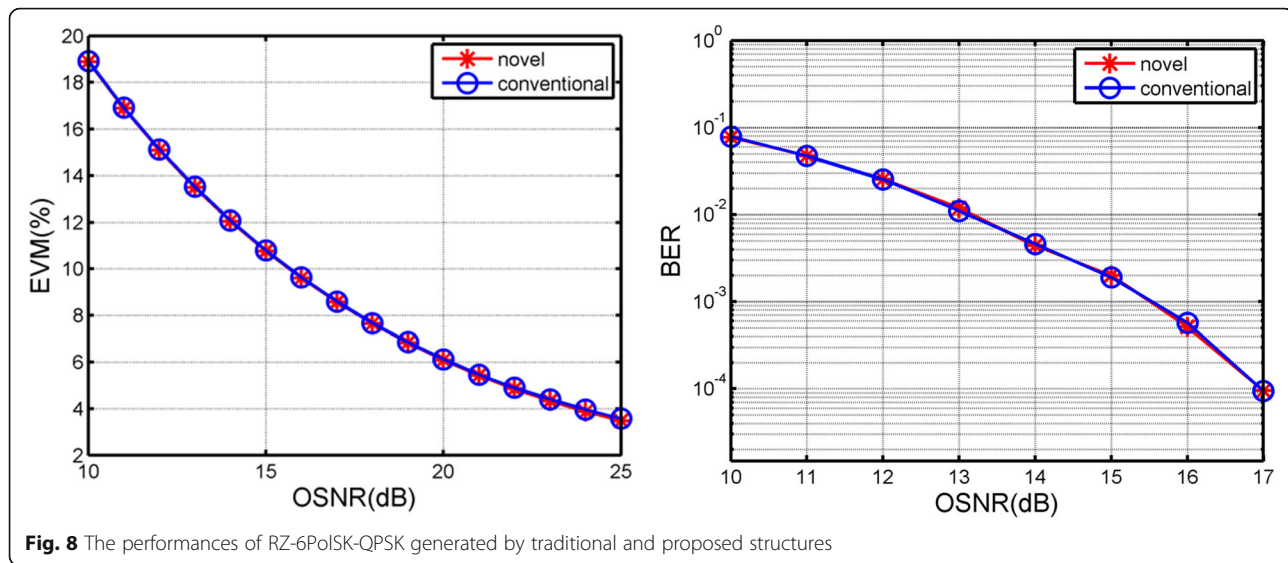


Figure 6 shows the EVM and BER results with different OSNR (0.1 nm); the results of traditional and proposed are shown together as comparisons. As shown in Fig. 6, the EVM decreased as the OSNR increased, the EVM is less than 10% when OSNR >18 dB, and the BER is below 10^{-3} when OSNR >18 dB. The results show the performance of the two transmitters are nearly the same; the proposed structure even has a little better performance.

For long distance transmission, the nonlinear effect of fiber will affect the system performance. Since the nonlinear effect is mainly related to the power of input optical signal, an effectively way to reduce the nonlinear effect is using the RZ pattern to reduce the average power. Figure 7 shows the structure of RZ-6PolSK-QPSK modulation system; a MZM driven by sine RF signal is used for RZ pulse generation. Other parts are the same with NRZ structure.

The performances of RZ-6PolSK-QPSK generated by traditional and proposed structures are shown in Fig. 8; we get the EVM and BER results. The results show that the performance improved significantly with RZ pattern. At the point of OSNR = 18 dB, the EVM is about 8% and the BER is 0. The RZ-6PolSK-QPSK generated by the two different structures have similar performance.

4 Conclusions

We proposed a novel structure to generate the 6PolSK-QPSK, and a series of simulations were taken to show its performance. EVM and BER are measured to evaluate the performance of the proposed 6PolSK-QPSK system. The results show the proposed structure has similar performance with the traditional one. Furthermore, the RZ systems are

researched and the results show that the RZ pattern can improve the system performance significantly. The proposed structure is an effective way to reduce the cost of 6PolSK-QPSK transmitter with the simple modulators.

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Authors' contributions

YL is the main writer of this paper. He proposed the main idea, completed the simulation, and analyzed the result. ML and JH assisted the theory research. TH assisted the simulation. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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