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Genetic interplay between transcription factor Pou4f1/Brn3a and neurotrophin receptor Ret in retinal ganglion cell type specification

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Abstract

Background: While the transcriptional code governing retinal ganglion cell (RGC) type specification begins to be understood, its interplay with neurotrophic signaling is largely unexplored. In mice, the transcription factor Brn3a/Pou4f1 is expressed in most RGCs, and is required for the specification of RGCs with small dendritic arbors. The Glial Derived Neurotrophic Factor (GDNF) receptor Ret is expressed in a subset of RGCs, including some expressing Brn3a, but its role in RGC development is not defined.

Methods: Here we use combinatorial genetic experiments using conditional knock-in reporter alleles at the Brn3a and Ret loci, in combination with retina- or Ret specific Cre drivers, to generate complete or mosaic genetic ablations of either Brn3a or Ret in RGCs. We then use sparse labelling to investigate Brn3a and Ret gene dosage effects on RGC dendritic arbor morphology. In addition, we use immunostaining and/or gene expression profiling by RNASeq to identify transcriptional targets relevant for the potential Brn3a-Ret interaction in RGC development.

Results: We find that mosaic gene dosage manipulation of the transcription factor Brn3a/Pou4f1 in neurotrophic receptor Ret heterozygote RGCs results in altered cell fate decisions and/or morphological dendritic defects. Specific RGC types are lost if Brn3a is ablated during embryogenesis and only mildly affected by postnatal Brn3a ablation. Sparse but not complete Brn3a heterozygosity combined with complete Ret heterozygosity has striking effects on RGC type distribution. Brn3a only mildly modulates Ret transcription, while Ret knockouts exhibit slightly skewed Brn3a and Brn3b expression during development that is corrected by adult age. Brn3a loss of function modestly but significantly affects distribution of Ret co-receptors GFR α 1-3, and neurotrophin receptors TrkA and TrkC in RGCs.

Conclusions: Based on these observations, we propose that Brn3a and Ret converge onto developmental pathways that control RGC type specification, potentially through a competitive mechanism requiring signaling from the surrounding tissue.

Keywords: Cell type specification, Retinal Ganglion Cell, Neurotrophic Signal, Transcription, Pou4f1, Ret

Introduction

Retinal Ganglion Cells (RGCs) – the output neurons of the vertebrate retina – relay visual information to distinct projection areas in the brain. Currently, mouse RGCs are subdivided into about 50 types based on classification criteria including morphological, functional, and molecular parameters [7, 8, 11, 12, 27, 40, 75, 87, 92, 96]. The developmental mechanisms orchestrating the differentiation of RGC types involve transcription factors

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(TFs) in combination with extracellular signaling. Within the retina, *Atoh7/Math5* [16, 64, 103] is required but not sufficient for neuronal precursors to commit to the RGC fate. Downstream of *Atoh7*, postmitotic TFs determine general traits of neuronal morphology and functional characteristics – in RGCs this group includes the three members of the *Pou4f* family, namely *Pou4f1/Brn3a*, *Pou4f2/Brn3b*, and *Pou4f3/Brn3c* [5, 7, 35, 38, 93, 105–107]. *Brn3a* transcription is initiated after that of *Brn3b* (embryonic day 13.5 – E13.5 vs embryonic day 11.5 – E11.5), acts downstream of *Brn3b* in the developmental transcriptional program, and was initially considered to function redundantly with *Brn3b* [83].

Deletion of *Brn3a* in mice leads to early postnatal lethality caused by somatosensory system and brainstem nuclei abnormalities, with no gross perturbations in the retina at this stage of development [76, 106]. Using a conditional alkaline phosphatase (AP) reporter allele knocked-in to the *Brn3a* locus (*Brn3a^{CKOAP}*) we demonstrated that *Brn3a*-expressing RGCs laminate in the outer strata (~70%) of the inner plexiform layer (IPL) of the retina. In this allele, the coding exons of the *Brn3a* gene can be removed using Cre recombination and replaced with the AP reporter, resulting in a loss of function (KO) knock-in allele (*Brn3a^{AP}*). Loss of *Brn3a* before the actual onset of locus expression results in a shift towards bistratified arbor morphologies and general decrease of RGC numbers by approximately 30% [5, 7, 93]. This reduction is mostly explained by a loss of RGCs with small dendritic arbor areas and dense multistratified lamination pattern – ON and OFF β RGCs. Comparison of wild type and *Brn3a^{AP/WT}* RGCs (effectively *Brn3a* het = *Brn3a^{KO/WT}*), show that removal of one copy of *Brn3a* either early in development or in the adult, does not significantly impact RGC cell type distribution of *Brn3a⁺* RGCs [6, 7, 85, 93]. RNA deep sequencing of affinity-purified early postnatal *Brn3a^{AP/KO}* (effectively *Brn3a* null = *Brn3a^{KO/KO}*) RGCs revealed potential transcriptional targets for *Brn3a* regulation of cell type development [78, 92]. However, the developmental stage at which *Brn3a* is necessary for specification of ON and OFF β RGCs is not known.

Amongst the neurotrophic cues required for neuronal development and specification, target derived neurotrophin (NT) and glial derived neurotrophic factor (GDNF) families of ligands play a major role. Components of receptor complexes for NTs contain members of distinct molecular families such as *Trk*, *p75^{NTR}* and *sortilin* [19, 37, 42, 43]. Neurotrophin receptors are also expressed in rodent RGCs during development [66, 92] and development of dendrites and axons and physiological maturation of RGC is modulated by neurotrophin-3 (NT-3), brain derived neurotrophic factor (BDNF), and neurotrophin receptors, *TrkB* and *p75^{NTR}* [17, 24, 25, 62,

65, 71–73, 89]. Not much is known about the effects of Glial family ligands (GFLs) and their receptors in RGC development and specification. The GDNF family of neurotrophins contains four members – GDNF, artemin, neurturin, and persephin. Four co-receptors, “GDNF family receptor- α ” (GFR α 1–4) attached to the cell membrane through a Glycosyl Phosphatidylinositol (GPI) anchor have selective affinity to the four ligands [2, 33, 80, 99]. The tyrosine kinase *Ret* co-receptor is required for downstream signaling through GFR α . *Ret* ablation phenotype is characterized by dramatic abnormalities of kidney formation, severely affected sympathetic ganglia, and defects in specific pain and touch somatosensory receptor cells, and megacolon (Hirschsprung disease) due to defects in the specification and migration of cells of the enteric nervous system [34, 39, 67, 68, 81, 100]. GFR α 1, GFR α 2 and *Ret* are expressed in RGC subpopulations, however, no RGC phenotypes were reported in *Ret* or GFR mutants. *Ret* and Neurturin mutants affect photoreceptor light responses by impairing synapses between photoreceptors, bipolar and horizontal cells [15]. *Ret* is dynamically expressed in specific retinal cell populations, beginning with RGCs (E13 – 14.5), followed by Horizontal (E17) and Amacrine (P1) cells [4, 82, 85]. In the adult retina, *Ret* is co-expressed with *Brn3a* predominantly in three mono- and two bistratified subtypes of RGCs, with *Brn3b* – in four mono- and two bistratified subtypes, and with *Brn3c* – in a single monostratified subpopulation. Of interest, *Brn3a* and *Ret* are co-expressed in ON and OFF β RGCs [85].

In the current study, we use a *Ret^{CreERT2}* allele to induce sparse random recombination in Cre-dependent histochemical reporters targeted at the *Brn3a* and *Rosa26* loci, to visualize RGC dendritic arbor morphologies in sparse or complete double heterozygote (*Ret^{KO/WT}*; *Brn3a^{KO/WT}*) retinas at different developmental stages. In addition, we reveal the effects of knocking out *Brn3a* at important developmental timepoints on RGC subtype distribution. Finally, using immunostaining in *Ret* or *Brn3a* complete retinal knock-outs, we assess the potential crosstalk between transcriptional and neurotrophic mechanisms in RGCs. We find that *Brn3a* is required for the development of at least two monostratified RGC subtypes during embryonic and perinatal stages. However, the most striking finding is the specific effect of embryonic sparse double-heterozygosity on cell type specification in mono- and bistratified RGCs and on dendritic morphology in a subset of bistratified RGCs.

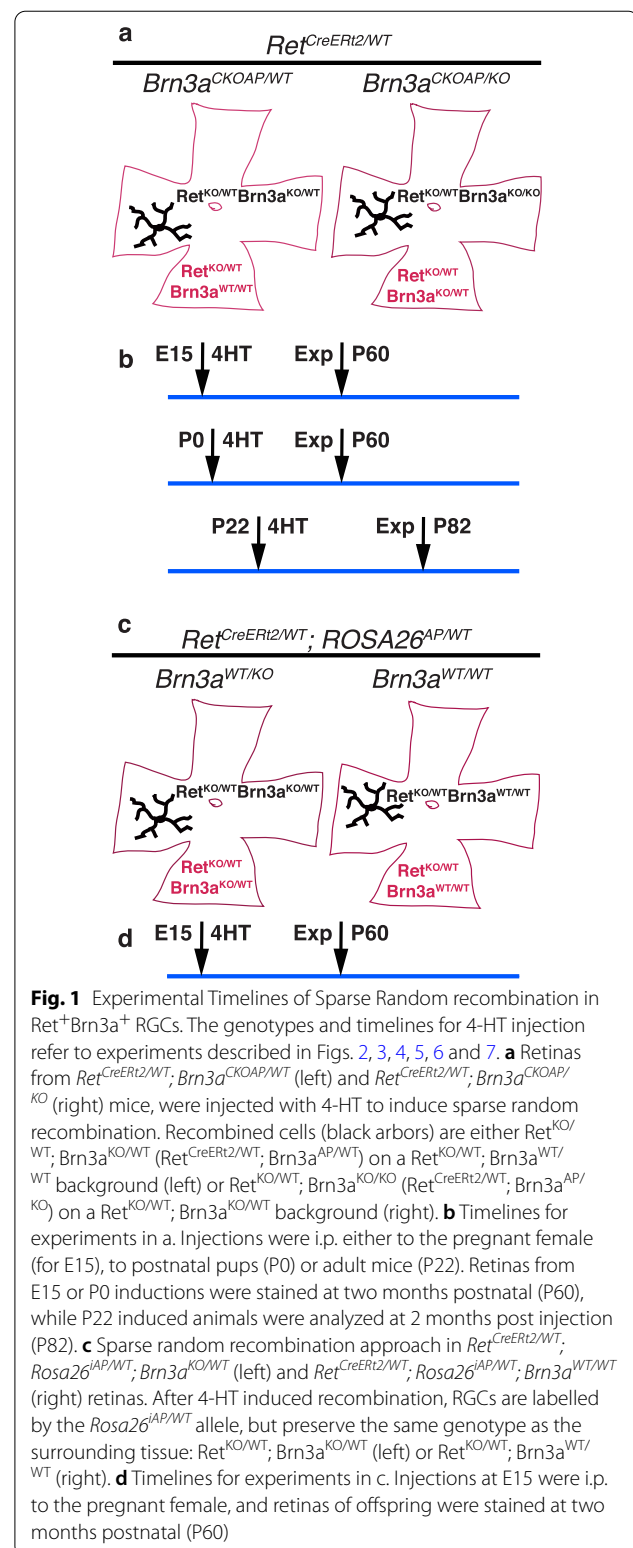
Materials and methods

Mouse lines and crosses

Mouse lines carrying *Rosa26^{iAP}* [6], *Brn3a^{KO}* [106], *Brn3a^{CKOAP}* [5], *Ret^{CreERT2}* [67], *Rax:Cre* [59] and

Ret^{CKCFP} [100] alleles were previously described. In the *Brn3a*^{KO} allele, the entire open reading frame of *Brn3a* is deleted and replaced with a Neo cassette. *Brn3a*^{KO/KO} mice are perinatal lethal, while *Brn3a*^{KO/WT} mice are viable and breed normally [106]. In the *Brn3a*^{CKOAP} allele the two coding exons of *Brn3a* are appended with a (3 × SV40) transcriptional STOP and flanked by loxP sites [5] and the cDNA of the histochemical reporter Alkaline Phosphatase (AP) is inserted after the 3' loxP site. Cre mediated recombination results in ablation of the *Brn3a* open reading frame (ORF) coupled with expression of the AP cDNA under the control of the endogenous regulatory elements of the *Brn3a* locus (*Brn3a*^{AP}). The *Rosa26*^{iAP} reporter locus expresses AP ubiquitously in a Cre dependent manner [6]. The *Ret*^{CKCFP} conditional minigene allele includes the complete human *Ret* cDNA flanked by loxP sites knocked-in into exon 1 of the mouse *Ret* gene and followed by the Cyan Fluorescent Protein (CFP) cDNA [100]. The unrecombined locus expresses the full human *Ret* ORF, while Cre mediated recombination ablates *Ret*, and replaces it with CFP, generating a *Ret* null allele. The BAC transgenic mouse line *Rax:Cre* contains Cre recombinase controlled by the mouse *Rax* gene locus [59], and expresses Cre in the anterior eye field, beginning with E9. The *Ret*^{CreErt2} allele contains the CreErt2 (tamoxifen-dependent Cre activity) coding sequence knocked-in in the first exon of the *Ret* gene [67], resulting in a *Ret* null allele.

To understand the cell-autonomous effects of losing one or both copies of *Brn3a* at different time points from *Ret*⁺ RGCs, we have crossed *Ret*^{CreErt2/WT}; *Brn3a*^{KO/WT} males x *Brn3a*^{CKOAP/CKOAP} females resulting in *Ret*^{CreErt2/WT}; *Brn3a*^{CKOAP/WT} and *Ret*^{CreErt2/WT}; *Brn3a*^{CKOAP/KO} pups. To achieve random sparse recombination and AP expression, we induced Cre recombinase by intraperitoneal (i.p.) injection of 4-hydroxytamoxifen (4-HT) at postnatal day 22 (P22 – adult, 50–100 µg 4-HT), postnatal day 0 (P0 pups, 2.5–5 µg 4-HT), or at embryonic day 15 (E15 embryos, delivered i.p. to the mother, 250 µg 4-HT) (Fig. 1 a, b). To study the effects of complete double-heterozygosity (*Brn3a*^{KO/WT}; *Ret*^{KO/WT}) on RGC dendritic morphology, we crossed *Ret*^{CreErt2/WT}; *Brn3a*^{KO/WT} males x *Rosa26*^{AP/AP} females to get *Ret*^{CreErt2/WT}; *Brn3a*^{KO/WT}; *Rosa26*^{AP/WT} and *Ret*^{CreErt2/WT}; *Brn3a*^{WT/WT}; *Rosa26*^{AP/WT} pups. Cre recombination and AP expression were induced by i.p. injections of 4-HT to the mother at E15 (250 µg 4-HT, Fig. 1 c, d). For each genotype, and age of 4HT treatment, retinas from at least three animals of either sex were analyzed at two months after injection, with the exception of P0 induced *Ret*^{CreErt2/WT}; *Brn3a*^{CKOAP/WT} mice, where only two animals were analyzed.



To study potential transcriptional regulation of *Brn3a* via *Ret* signaling we crossed *Rax:Cre*; *Ret*^{CKCFP/WT} males x *Ret*^{CKCFP/CKCFP} females resulting in *Rax:Cre*;

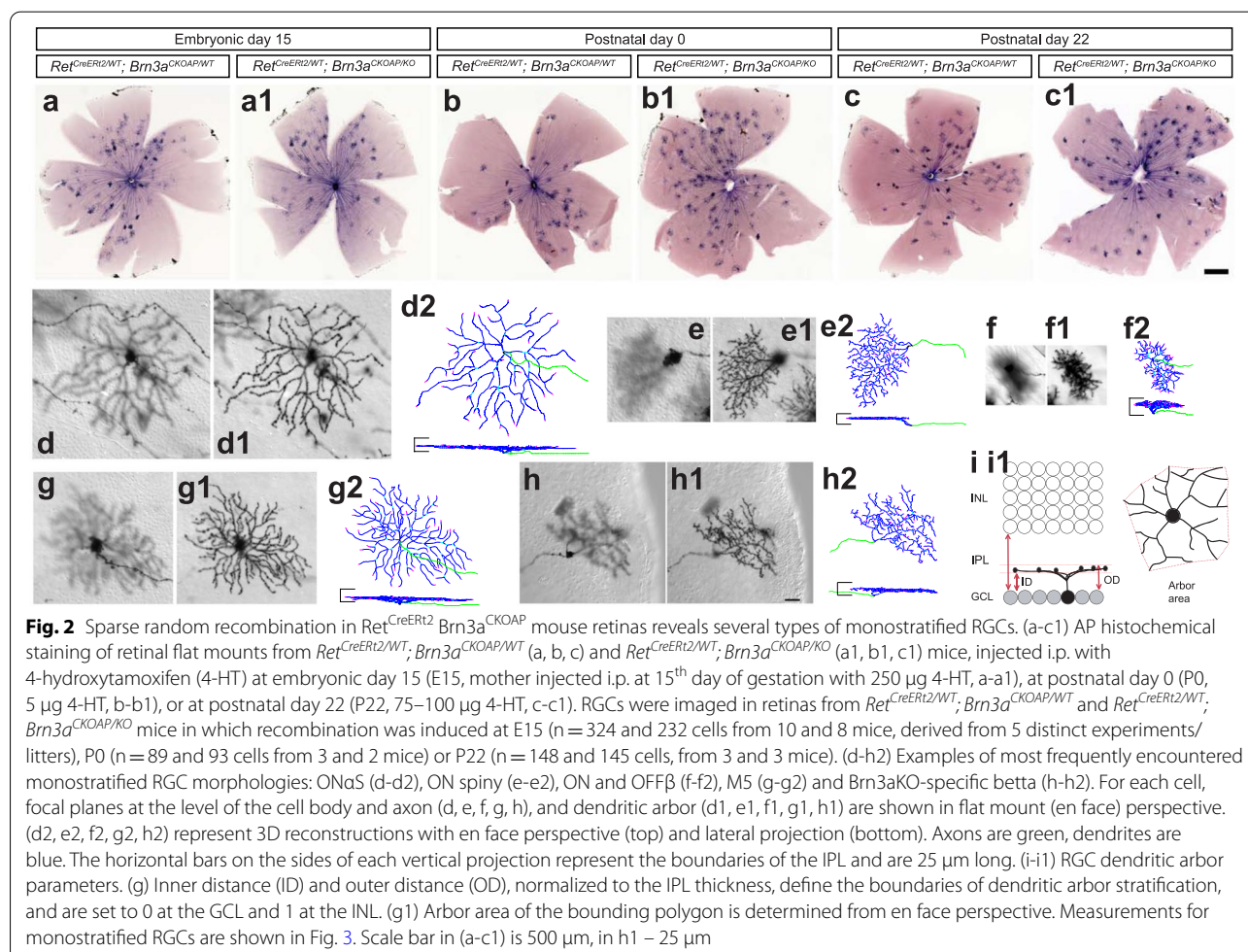
Ret^{CKCFP/WT} (full-retina *Ret*-heterozygote) and *Rax:Cre; Ret*^{CKCFP/CKCFP} (full-retina *Ret*-knockout) offspring. To study potential *Brn3a* transcriptional regulation of *Ret*, *GFRα* and *Trk* receptors, we crossed *Rax:Cre; Brn3a*^{KO/WT} males x *Brn3a*^{CKOAP/CKOAP} females to get *Rax:Cre; Brn3a*^{CKOAP/WT} (full-retina *Brn3a*-heterozygote) and *Rax:Cre; Brn3a*^{CKOAP/KO} (full-retina *Brn3a*-knockout) offspring. For these experiments, tissues were harvested from mice of both sexes, at E15, P0, and between two and four months of age.

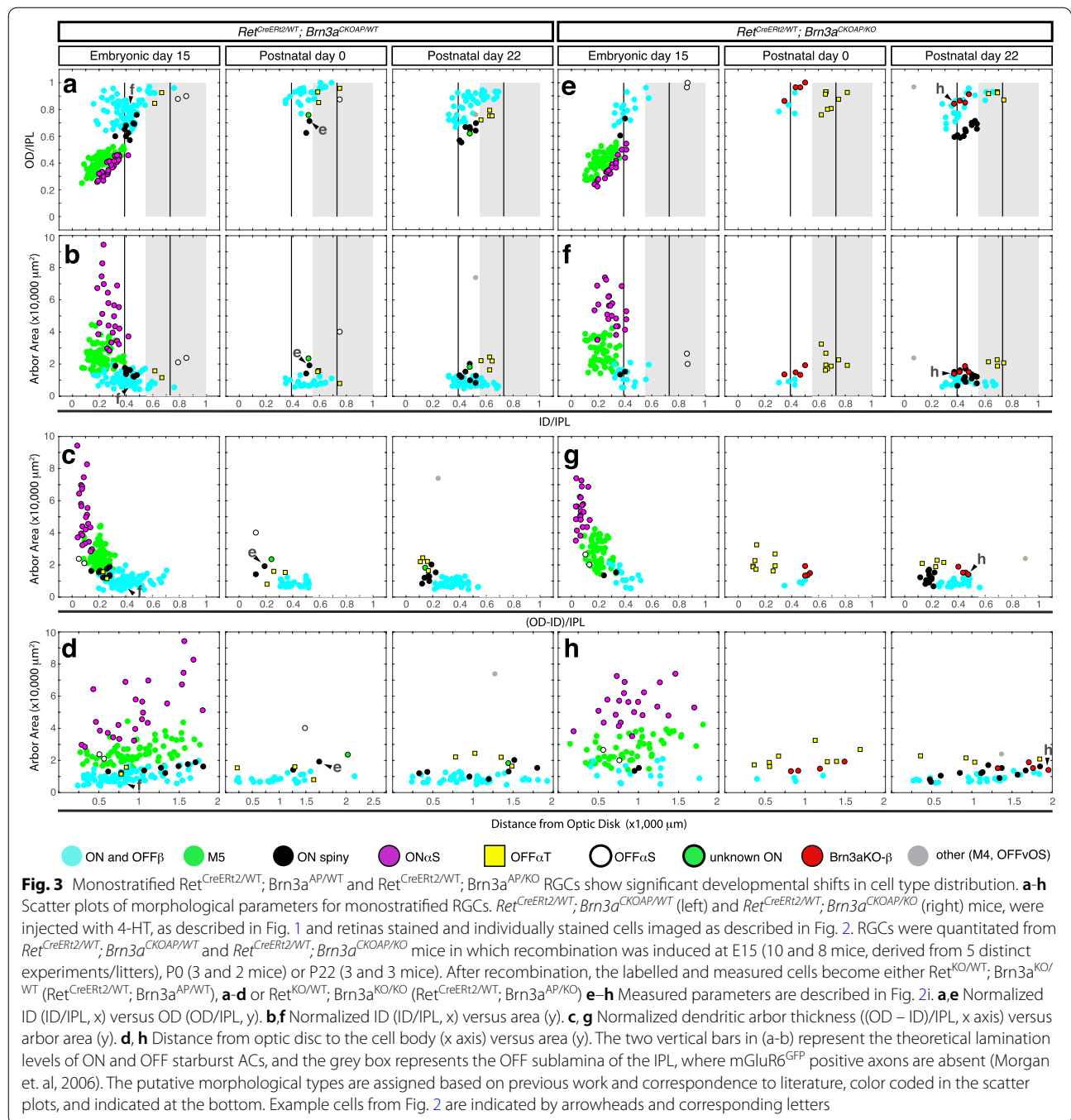
All mice were on C57/Bl6-SV129 mixed background. All animal procedures were approved by the National Eye Institute (NEI) Animal Care and Use Committee under protocol NEI640.

AP histochemistry and morphometric analysis

Mouse retinas were stained, processed, and imaged, and RGC dendritic arbors were traced and quantified as described previously [8, 9, 85]. Animals were anesthetized and fixed by intracardiac perfusion with 4%

Paraformaldehyde (PFA). Retinas were dissected and flat mounted, heat inactivated in a water bath at 65 °C for one hour, and then AP histochemical stain developed. Color images of retina whole mounts and DIC grayscale image stacks (at 1 μm z step) of individual RGC dendritic arbors were captured with a Zeiss Imager.Z2. Morphological characteristics were measured using ImageJ software as described in Fig. 2 and references [8, 85]. Relative lamination levels of dendritic arbors in the IPL were described by the lamination measurements in Figs. 3, 5 and 6, and oriented by the previously reported stratification levels of ON and OFF Starburst Amacrine Cells (SACs) and the borderline between ON and OFF sublaminae of the IPL, as inferred from the lamination of axon terminals of ON bipolar cells [77]. Neuronal reconstructions were made using Neuromantic (Darren Myat, <http://www.reading.ac.uk/neuromantic>) and projections were generated using a Matlab (Mathworks, Inc.) script [93]. Numbers of cells, mice and litters analyzed for each genotype combination and condition, are indicated in the legend to Fig. 7.





Indirect immunofluorescence

Retina vertical sections were processed and immunostained as previously described [5, 85, 93]. In brief, adult and postnatal day 0 (P0) retinas were fixed for 30 min in 2% paraformaldehyde, cryoprotected in OCT, and sectioned at 14 μm thickness on a cryostat. For embryonic day E14.5 experiments, pregnant females from timed matings were anesthetized

with ketamine-xylazine, embryos anesthetized on ice and decapitated, and whole heads were fixed for 3.5 h at 4 °C, and cryoprotected in OCT. For each genotype and age, retinas from at least three different animals were sectioned and stained together on the same slide. Images (40x) were acquired using a Zeiss LSM700 confocal microscope and Zen software, or a Zeiss Imager. Z2 fitted with an apotome. Number of analyzed retinas

and collected images are indicated in figure legends. Antibodies and dilutions used for analysis: 1:50 rabbit polyclonal anti-Brn3b generated in our lab [85], validated by western blotting and lack of reaction with KO tissues; 1:20 mouse monoclonal anti-Brn3a (Millipore, MAB1585, RRID: AB_94166, clone 5A3.2; [107], validated by western blot and lack of reactivity against KO tissues; 1:25 rabbit polyclonal anti-Ret (IBL, cat # R787); 1:50 mouse monoclonal anti-alkaline phosphatase (VEB Gent, Belgium, E6 clone). 1:1000 chicken anti-GFP (used for detection of CFP protein; Abcam, ab13970, RRID: AB_300798). Alexa-Fluor conjugated Donkey polyclonal secondary antibodies were from Molecular Probes/Life Sciences and used at 1:300 dilution. TrkA: 1:40 Anti-TrkA antibody (R&D Systems; AF1056, RRID: AB_2283049) was directed against mouse myeloma cell line NS0-derived recombinant rat TrkA Ala33- Pro418. The antibody shows <5% cross reactivity with recombined human TrkA and <1% cross reactivity with recombined mouse TrkB and TrkC. On Western blotting with rat striatum lysate, the antibody recognizes a 140- kDa band specific for TrkA (Manufacturers information). TrkB 1:40 Anti-TrkB antibody (R&D Systems; AF1494, RRID: AB_2155264) was produced against the extracellular domain (Cys32-His429) of recombinant mouse TrkB and detects mouse TrkB in direct ELISA and Western blotting and shows 25% cross reactivity with human TrkB and <2% cross reactivity with recombined rat TrkA and TrkC (Manufacturers information). TrkC 1:40 Anti-TrkC antibody (R&D Systems; AF1404, RRID: AB_2155412) was raised in goat against the extracellular domain (Cys32-Thr429) of recombinant mouse TrkC. The antibody has <2% cross reactivity with recombinant TrkB or TrkA, as tested by ELISA and Western blotting with recombinant proteins. On Western blotting with mouse DRG lysate, it recognizes two bands of 100 kDa and 145 kDa, the predicted molecular weight of TrkC (Manufacturers information). GFR α 1 1:20 Anti- GFR α 1 antibody (R&D Systems; AF560, RRID:AB_2110307) is a polyclonal goat antibody raised against NS0 derived recombinant rat GFR α 1/ GDNF R α 1 (aminoacids Asp25—Leu445), and affinity purified with the target antigen. Detects rat GFR α 1/GDNF R α 1 in direct ELISAs and 52 kD band on western blots of rat brain. In direct ELISAs, approximately 20% crossreactivity with recombinant human GFR α 1 is observed and less than 1% crossreactivity with recombinant mouse GFR α 2 is observed (Manufacturers information. See also extensive reference list in RRID). GFR α 2 1:100 Anti- GFR α 2 antibody (R&D Systems; AF429, RRID: AB_2294621) is a polyclonal goat antibody raised against NS0-derived recombinant mouse GFR α -2/GDNF R α -2 (aminoacids

Ser22-Ser441), and affinity purified with the target antigen. Detects human and mouse GFR α -2/GDNF R α -2 in direct ELISAs and Western blots. In direct ELISAs and Western blots, less than 5% cross-reactivity with recombinant human GFR α -3 is observed. (Manufacturers information. See also extensive reference list in RRID). GFR α 3 1:40 Anti- GFR α 3 antibody (R&D Systems; AF2645, RRID: AB_2110295) is a polyclonal goat antibody raised against Sf-21derived recombinant mouse GFR α 3/GDNF R α 3 (aminoacids Glu34-Arg379), and affinity purified with the target antigen. Detects mouse GFR α 3/GDNF R α 3 in direct ELISAs and Western blots. In direct ELISAs, approximately 10% cross-reactivity with recombinant human GFR α 3 is observed and less than 2% crossreactivity with recombinant mouse (rm) GFR α 2, rmGFR α 4, and recombinant rat GFR α 1 is observed. (Manufacturers information. See also extensive reference list in RRID).

Statistical methods

For RGC type distributions (Figs. 2, 3, 4, 5 and 6), data was collected from retinas from multiple animals (summarized in Fig. 7) for each treatment and genotype. Total numbers of measured cells are indicated in Fig. 7 legend, and ranged from 90 to more than 300. Differences in cell type distribution were assessed using the Chi-square method, and Chi Statistics and P values indicated in Supplementary Table 1. For Indirect Immunofluorescence Experiments (Figs. 8, 9 and 10, Supplementary Figs. 2 and 5), data was collected from at least three animals, and cells were counted in 6 – 20 images for each genotype. Total numbers of measured cells are indicated in pie charts. Individual comparisons between groups of interest were performed using the Kolmogorov–Smirnov (KS2) test, and comparisons of marker distributions between different genotypes were assessed with the Chi-square method. All statistical parameters are indicated in Supplementary Table 2.

Results

Sparse random recombination in mice carrying *Ret*^{CreERT2} and *Brn3a*^{CKOAP} alleles induces mosaic gene dosage manipulations in RGCs

We had previously demonstrated that ablation of *Brn3a* before the onset of its expression (E12), results in essentially complete loss of RGC types with small, dense dendritic arbors (ON and OFF β or “midget-like” RGCs), while other *Brn3a*⁺ RGC types are only modestly affected [5, 7, 93]. ON and OFF β RGCs, alongside several other cell types, are labelled when sparse random recombination is induced in adult *Ret*^{CreERT2/WT}; *Brn3a*^{CKOAP/WT} mice [85]. To explore the time points at which *Brn3a* is required for beta RGC development, we induced

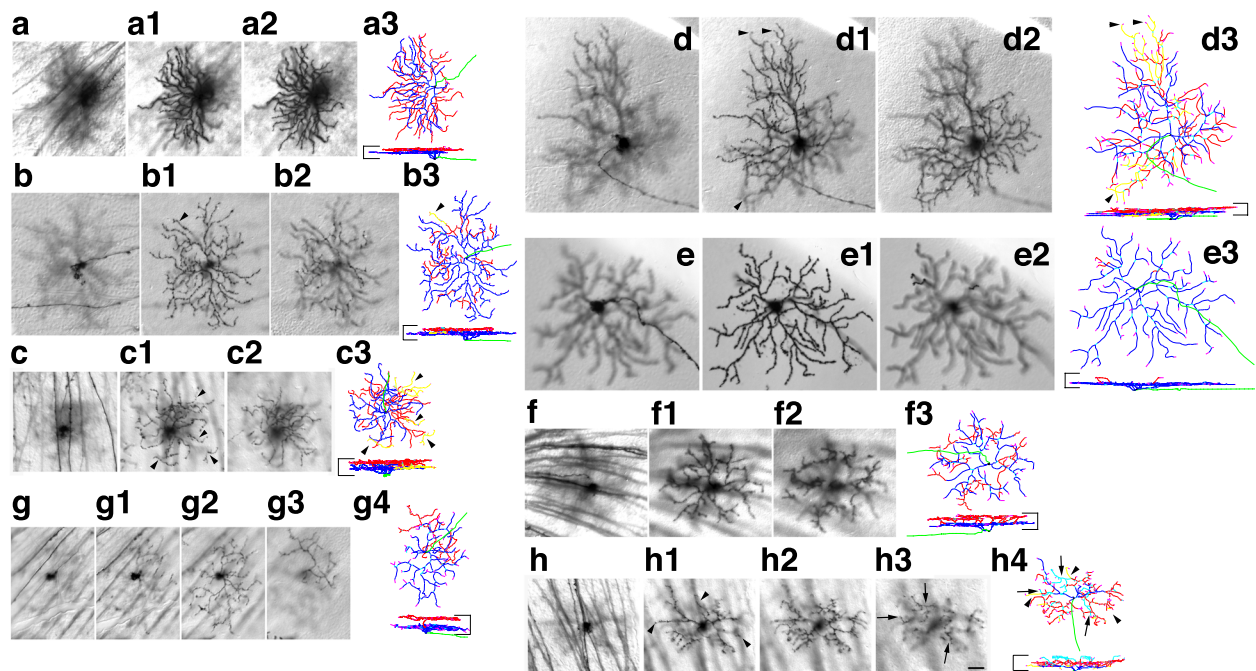
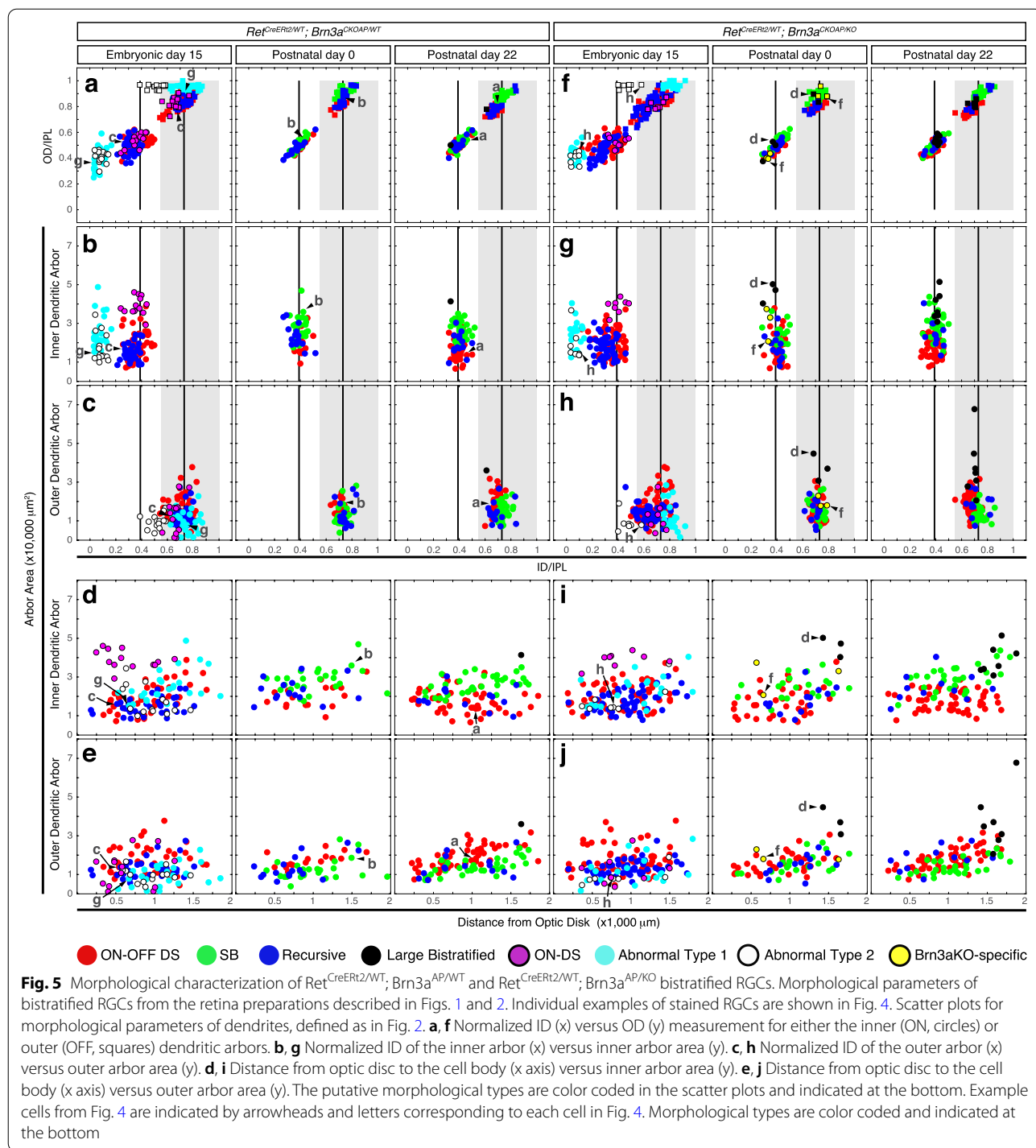


Fig. 4 E15 sparse recombination induces significant morphological changes in bistratified RGCs of $Ret^{CreErt2}; Brn3a^{CKOAP}$ retinas. a-h represent example bistratified morphologies from the same experiments as shown in Fig. 2. RGCs were quantitated from $Ret^{CreErt2/WT}; Brn3a^{CKOAP/WT}$ and $Ret^{CreErt2/WT}; Brn3a^{CKOAP/KO}$ mice in which recombination was induced at E15 (10 and 8 mice, derived from 5 distinct experiments/litters), P0 (3 and 2 mice) or P22 (3 and 3 mice). The most frequently encountered bistratified RGC morphologies were ON-OFF DS (a-a3), SB (b-b3), Recursive (c-c3), Large bistratified (d-d3), ON-DS (e-e3), $Brn3a^{KO}$ -specific bistratified (f-f3), Abnormal type 1 (g-g4), and Abnormal type 2 (h-h4). For each cell focal planes through the cell body and axon (a, b, c, d, e, f, g, h), and the ON (a1, b1, c1, d1, e1, f1, g1-g2, h1) and OFF (a2, b2, c2, d2, e2, f2, g3, h2-h3) dendritic arbors are shown. Reconstructions, using the same conventions as in Fig. 2, are shown in (a3, b3, c3, d3, e3, f3, g4, h4). Scale bar in (h3) and horizontal bars delineating the IPL in reconstructions are 25 μ m. Axons are green, vitreal (ON) dendrites are blue, scleral (OFF) dendrites are red, second (scleral) sub-layer of OFF dendrites in Abnormal bistratified type 2 cell is cyan (arrows in h3-h4). Recursive dendrites laminating in the ON arbor but branching from the OFF arbor, are labeled in yellow in b3, c3, d3 and h4 (arrowheads in b1 and b3, c1 and c3, d1 and d3, and h1 and h4). Morphological measurements for all bistratified RGCs are presented in Fig. 5

random sparse recombination in $Ret^{CreErt2/WT}; Brn3a^{CKOAP/WT}$ and $Ret^{CreErt2/WT}; Brn3a^{CKOAP/KO}$ mice at E15, P0 and P22. Sparse recombination of the $Brn3a^{CKOAP}$ allele results in loss of one copy of the $Brn3a$ gene by replacement with the AP reporter cDNA ($Brn3a^{AP}$, effectively a loss of function allele, $Brn3a^{KO}$), which permits visualization of the recombined cells. This then means that AP positive cells (either $Ret^{CreErt2/WT}; Brn3a^{AP/WT}$ or $Ret^{CreErt2/WT}; Brn3a^{AP/KO}$) carry one $Brn3a$ gene copy less than the surrounding tissue. Given that the $Ret^{CreErt2}$ allele is a constitutive knock-in allele (the CreErt2 replaces the Ret endogenous transcription), sparsely labelled RGCs and surrounding tissue are both Ret heterozygotes ($Ret^{KO/WT}$). Therefore, upon recombination, sparsely labelled RGCs become either $Ret^{KO/WT}; Brn3a^{KO/KO}$ RGCs in a $Ret^{KO/WT}; Brn3a^{KO/WT}$ retinal background or $Ret^{KO/WT}; Brn3a^{KO/WT}$ RGCs in a $Ret^{KO/WT}; Brn3a^{WT/WT}$ retinal background (Fig. 1 a, b, Figs. 2, 3, 4 and 5). In these experiments, the $Brn3a$ gene dosages of labelled RGCs are different from the surrounding tissue. In order

to study the effects of complete, homogenous double heterozygosity of Ret and $Brn3a$ ($Ret^{KO/WT}; Brn3a^{KO/WT}$) on RGC development, we induced random sparse recombination in either $Ret^{CreErt2/WT}; Rosa26^{AP/WT}; Brn3a^{KO/WT}$ or $Ret^{CreErt2/WT}; Rosa26^{AP/WT}; Brn3a^{WT/WT}$ mice. In these experiments, labelled RGCs and surrounding retina have the same genotype (either $Ret^{KO/WT}; Brn3a^{KO/WT}$ or $Ret^{KO/WT}; Brn3a^{WT/WT}$, Fig. 1 c, d, Fig. 6). The study of adult RGC type distribution in full $Brn3a^{KO/KO}$ mutants is impossible, as they are dying at birth.

Although molecular characterizations of RGC type distributions are making great progress, neuroanatomically classifications are still the gold standard for RGC type classification. Classifications based on dendritic arbor morphology typically distinguish monostратified RGCs (whose dendrites constitute a contiguous arbor with respect to the vertical dimension of the retina “z dimension”) and bistratified RGCs (in which dendrites laminate in clearly separable, distinct sublaminae). We therefore present separately



the distributions of monostратified (Figs. 2, 3) and bistratified RGCs (Figs. 4, 5) observed in the various genetic backgrounds, in order to better illustrate the morphological parameters that distinguish them. All

samples were analyzed in the adult, when morphological criteria for individual cell types are clearly distinguishable, regardless of timing of induction of recombination. It should be noted that sparse random

recombination will inherently result in varying numbers and cell type distributions of RGCs recombined in the individual animals. However, the RGC types dominating the cell types at each age and genotype are proportionally represented in each preparation (Supplementary Table 3).

Cell type distribution of monostratified Ret^+Brn3a^+ RGCs is significantly affected by developmental $Brn3a$ gene dosage manipulations

Sparse random recombination induced at E15, P0 or P22 results in labeling of RGCs at low densities permitting the clear visualization of individual dendritic arbors (Fig. 2 a-c1). As previously shown [85], $Brn3a$ expression in adult retina (P22) predominantly intersects with Ret expression in three monostratified morphological RGC types (ON and OFF β —“midget-like”, Fig. 2 f-f2, and ON spiny, Fig. 2 e-e2, Fig. 3a-d, right hand panels). These morphologies are characterized by lamination ranging from the inner 30% of the IPL to the INL (Fig. 3a, b) and thick dendritic arbors spanning multiple lamina (Fig. 3c). Dendritic arbor areas in the flat mount perspective are amongst the smallest RGC arbors (Fig. 3b, c). In addition, isolated instances of several other monostratified cells were observed (Fig. 3a-d, right panel). A similar range of RGC types was observed when recombination was induced in $Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}$ mice at P0 (Fig. 3a-d, compare middle to right panels). However, the overall monostratified RGC type distribution changed dramatically when recombination was induced at E15. Two cell types, On-Alpha-Sustained=ON α S and Pixel Detectors (M5) (Fig. 2 d-d2, g-g2) made up more than 50% of monostratified cells (Fig. 3 a-d, left panel-E15, Table 2). These RGC types are characterized by dendritic arbors with large area and sharp lamination (Fig. 3b, c), that stratify between the GCL and the “ON” ChAT band of the IPL (Fig. 3a, b). Of note, ON α S and M5 RGC morphologies are not observed in samples induced at P0 and P22, and have never been reported in sparse recombination sets of $Brn3a^+$ RGCs in our previous studies [5, 7, 85, 93]. Thus, these two morphologies appear unique to the $Ret^{CreERT2/WT}; Brn3a^{AP/WT}$ RGC dataset with E15 induction.

Embryonic and perinatal Cre activation results in loss of ON and OFF beta and ON spiny RGCs in $Brn3a^{CKOAP/KO}$ retinas

We then analyzed subpopulations of $Brn3a^{KO/KO}$ RGCs generated by inducing sparse recombination at E15, P0, and P22 (adult). When recombination was induced in the adult, type distribution of $Ret^{CreERT2/WT}; Brn3a^{AP/KO}$ (= $Ret^{KO/WT}; Brn3a^{KO/KO}$) monostratified RGCs was indistinguishable from that of $Ret^{CreERT2/WT}; Brn3a^{AP/WT}$ (= $Ret^{KO/WT}; Brn3a^{KO/WT}$) cells (Fig. 3 e-h vs. a-d, right hand panels, Table 2). However, when recombination was induced at P0—resulting in random sparse $Brn3a$ loss of function soon after birth, monostratified $Ret^{CreERT2/WT}; Brn3a^{AP/KO}$ RGCs were clearly distinct from $Ret^{CreERT2/WT}; Brn3a^{AP/WT}$ RGC controls (Compare Fig. 3 e-h to a-d, middle panels, Table 2). The relative abundance of ON and OFF β RGCs decreased considerably, and ON-Spiny neurons were completely missing. Additionally, we identified amongst $Ret^{CreERT2/WT}; Brn3a^{AP/KO}$ RGCs induced at either P22 or P0 a minor subpopulation of RGCs with morphologies reminiscent of beta RGCs, but exhibiting somewhat larger areas and sparser dendritic arbors ($Brn3a^{KO}$ -specific beta RGC = $Brn3a^{KO}$ - β , Fig. 2 h-h2, Fig. 3e-h, middle and right scatter plots). RGCs with ON and OFF β and ON Spiny morphologies are also underrepresented amongst $Ret^{CreERT2/WT}; Brn3a^{AP/KO}$ RGCs induced at E15, when compared to $Ret^{CreERT2/WT}; Brn3a^{AP/WT}$ control RGCs while ON α S and Pixel Detector (M5) RGCs are present in both backgrounds at similar levels (Fig. 3 e-h vs. a-d, left hand panels, Table 2).

Dramatic shifts in bistratified RGC type distribution in $Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}$ retinas with E15, vs. P0/P22 inductions

In keeps with previously published data, the distribution of bistratified RGCs in $Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}$ retinas with adult (P22) or perinatal (P0) induction of recombination consisted mostly of ON-OFF direction selective RGCs (ON-OFF-DS, Fig. 4 a-a3), Small Bistratified, (henceforth SB, Fig. 4 b-b3), and a significant number of bistratified RGCs with recursive dendrites (Fig. 4, c-c3, Fig. 5 a-c, middle and right panel). The inner

(See figure on next page.)

Fig. 6 Morphological characterization of RGCs from $Ret^{CreERT2/WT}; Brn3a^{KO/WT}; ROSA26^{AP/WT}$ and $Ret^{CreERT2/WT}; Brn3a^{WT/WT}; ROSA26^{AP/WT}$ retinas. Cre was activated by i.p. administration of 250 μ g 4-HT to mothers of the pups at gestational day 15 (E15 for pups), and RGCs visualized by recombination of the $ROSA26^{AP}$ reporter. Labelled RGCs have the same Ret and $Brn3a$ gene copy numbers as their respective retinas (either $Ret^{KO/WT}; Brn3a^{KO/WT}$ —left panels, or $Ret^{KO/WT}; Brn3a^{WT/WT}$ —right panels). RGCs were labelled in retinas from $Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{KO/WT}$ (gray, n = 169 cells from 6 mice, derived from 3 distinct experiments/litters) and $Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{WT/WT}$ (white, n = 138 cells from 3 mice, derived from 2 distinct experiments/litters) mice. **a** Retinal flat mounts from $Ret^{CreERT2/WT}; Brn3a^{KO/WT}; ROSA26^{AP/WT}$ (left) and $Ret^{CreERT2/WT}; Brn3a^{WT/WT}; ROSA26^{AP/WT}$ (right) mice, processed for AP histochemistry. Scale bar 500 μ m. **b-e** Scatter plots for parameters of monostratified RGCs, defined and presented as in Figs. 2 and 3. **f-j** Scatter plots for parameters of bistratified RGCs as defined in Fig. 5. Example cells from Figs. 2 and 4 are indicated by arrowheads and letters corresponding to each cell in Fig. 2 – for monostratified RGCs, and in Fig. 4 – for bistratified RGCs

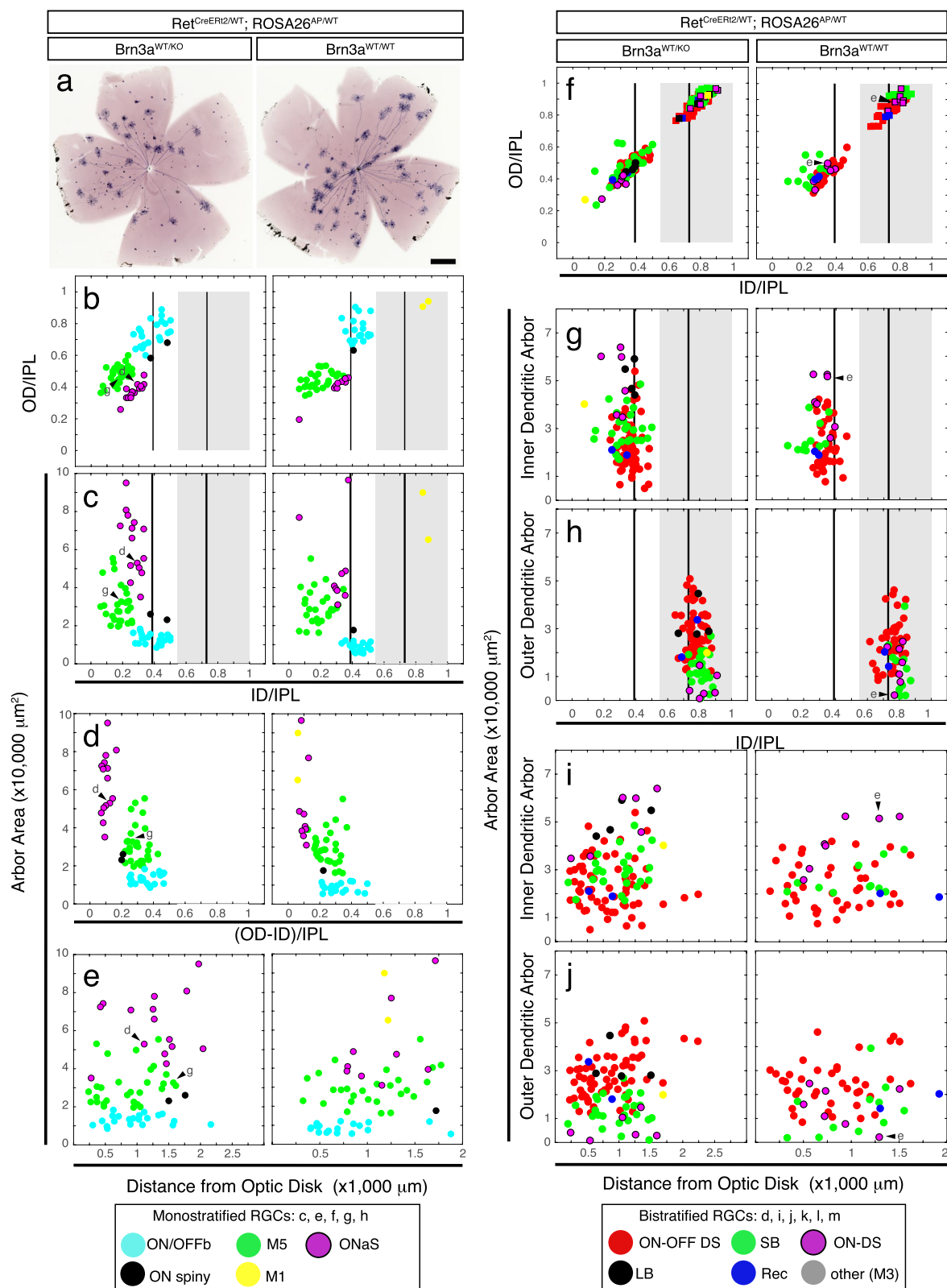
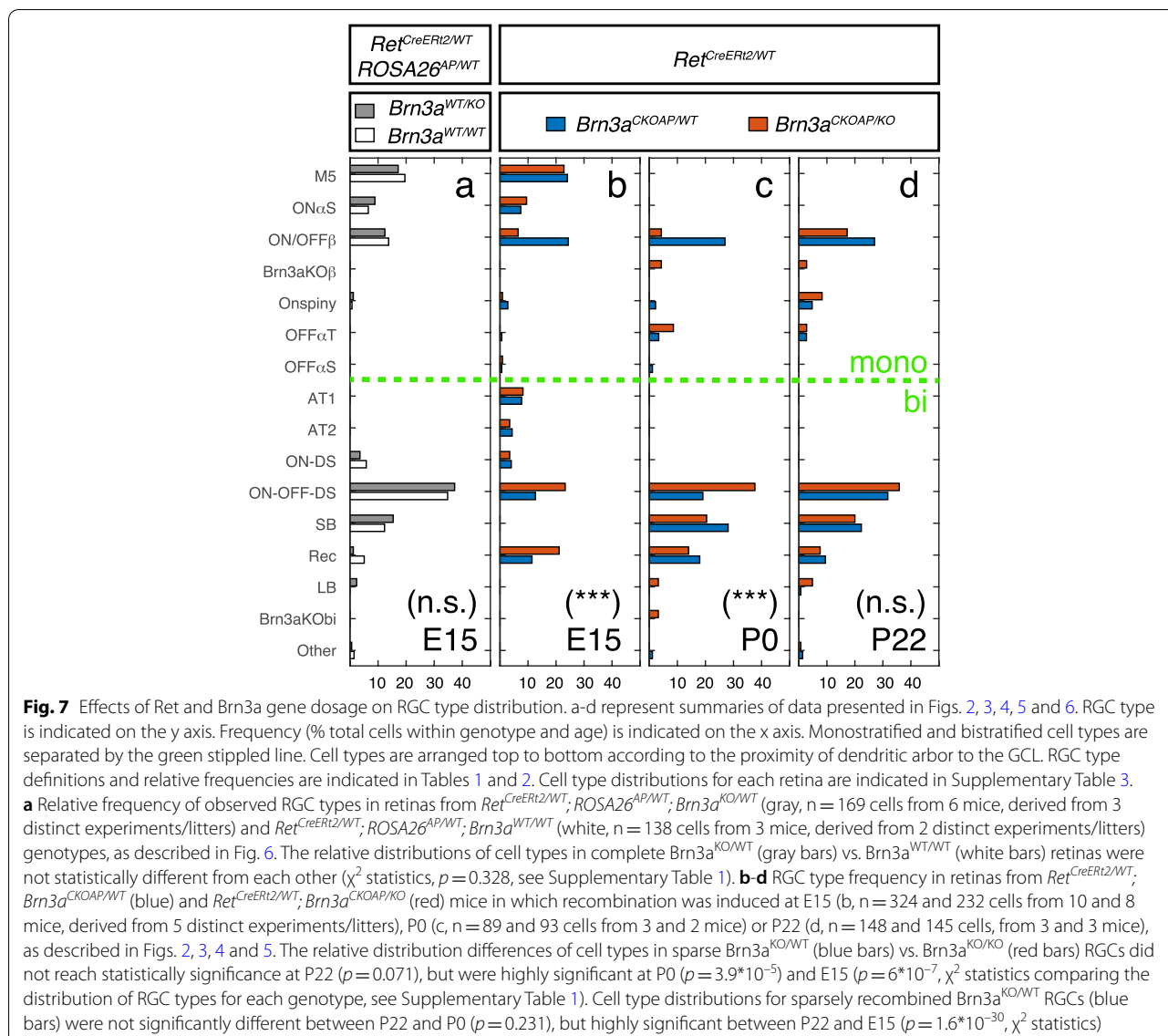


Fig. 6 (See legend on previous page.)



and outer dendritic arbor of these three cell populations are centered respectively on the ON and OFF starburst laminae (Fig. 5a-c), however they can be distinguished by smaller outer dendritic arbor areas for the SBs (Fig. 4 b-b3, Fig. 5a-c) and a high degree of recursive dendrites for recursive RGCs (Fig. 4 c3, yellow dendrites, originating in the outer dendritic arbor and returning to the inner arbor). In addition, distance between inner and outer arbor are larger for SB and recursive RGCs compared to the ON-OFF DS RGCs (Fig. 4a-c3, Fig. 5a-c, middle and right panel). SB morphologies were essentially missing, amongst E15-induced bistratified neurons, while three distinct morphological types, not observed in the samples induced at P0 and P22 made up sizeable fractions of bistratified neurons (AT1, AT2, ON-Direction

Selective = ON-DS, Fig. 4 e-e3, g-g4, h-h4, Fig. 5 a-e left panels, Table 2). AT1 and AT2 are two unusual bistratified morphologies characterized by ON dendritic arbors which stratify in apposition to the GCL (normalized ID index is between ~0 and 0.2, where 0 is GCL level, Fig. 5 a, b, left panels), while their OFF dendritic arbors laminate close to the INL (Fig. 5 a, c, left panels). In the case of AT1, the OFF arbor is relatively simple and derives in most cases via a single branch straight from the cell body. However, AT2 bistratified neurons have a thicker OFF dendritic arbor (in z dimension) (Fig. 5 a, c left panels), which can be occasionally resolved into two sub-arbors, creating the impression of a tri-stratified neuron (Fig. 4 h-h4). Morphologies similar to AT2 were recovered by random sparse recombination in wild type retina

Table 1 RGC subtypes and their possible matches from EyeWire Museum. For each type we provide the closest correspondence in the EyeWire museum and physiology or anatomy literature, if available

RGC subtype	#-s of RGCs from EyeWire Museum	References
<i>Monostratified RGCs</i>		
ON alpha sustained	8w (ONaS)	[61, 84, 101]
M5	8n, 9n	[32, 56, 94, 95]
ON spiny	6sn,	[13, 55, 86, 90]
ON β	63 (F-mini ^{On}), 5ti, 5si	[55, 90, 111]
OFF β	2an (F-mini ^{Off})	[55, 90, 111]
OFF transient	4i, 4on, 4ow, 3o	[61, 84]
OFF sustained	1no, 1ni, 1wt	[61, 84]
<i>Bistratified RGCs</i>		
ON–OFF DS	37c, 37d, 37r, 37v (On–Off DS)	[3, 46, 88, 104]
Small Bistratified (Supressed by contrast ?)	7o(tOn DS), 81i, 82n	[28, 70, 98]
Recursive	72, 73	[7]
Large Bistratified	n/a	[7, 85]
ON—DS	7id, 7ir, 7iv (sOn DS)	[108, 109]
Abnormal type 1	n/a	n/a
Tristratified (Abnormal Type 2)	85	[8]

(Badea et al. 2004 [8], Fig. 15 a), and resemble cell type 85 in the serially reconstructed dataset in the Eyewire Museum (<http://www.museum.eyewire.org>). However, we are not aware of any instances of AT1 morphologies in either repositories or previous literature. The ON-DS RGC, is, based on dendritic arbor areas and lamination, most likely the ON direction selective RGC, which is known to occasionally have smaller branches co-stratifying with the OFF ChAT band, and has been recorded in early embryonic induced *Brn3a*^{CKOAP/WT} samples ([5, 7, 93], Fig. 4 e-e3, Fig. 5a-c, left side). Thus, analogous to monostratified RGCs, several unique bistratified morphologies appear in *Ret*^{CreERT2/WT}; *Brn3a*^{CKOAP/WT} retinas with E15 induced recombination, when compared to those recombined at adult (P22) or perinatal (P0) ages.

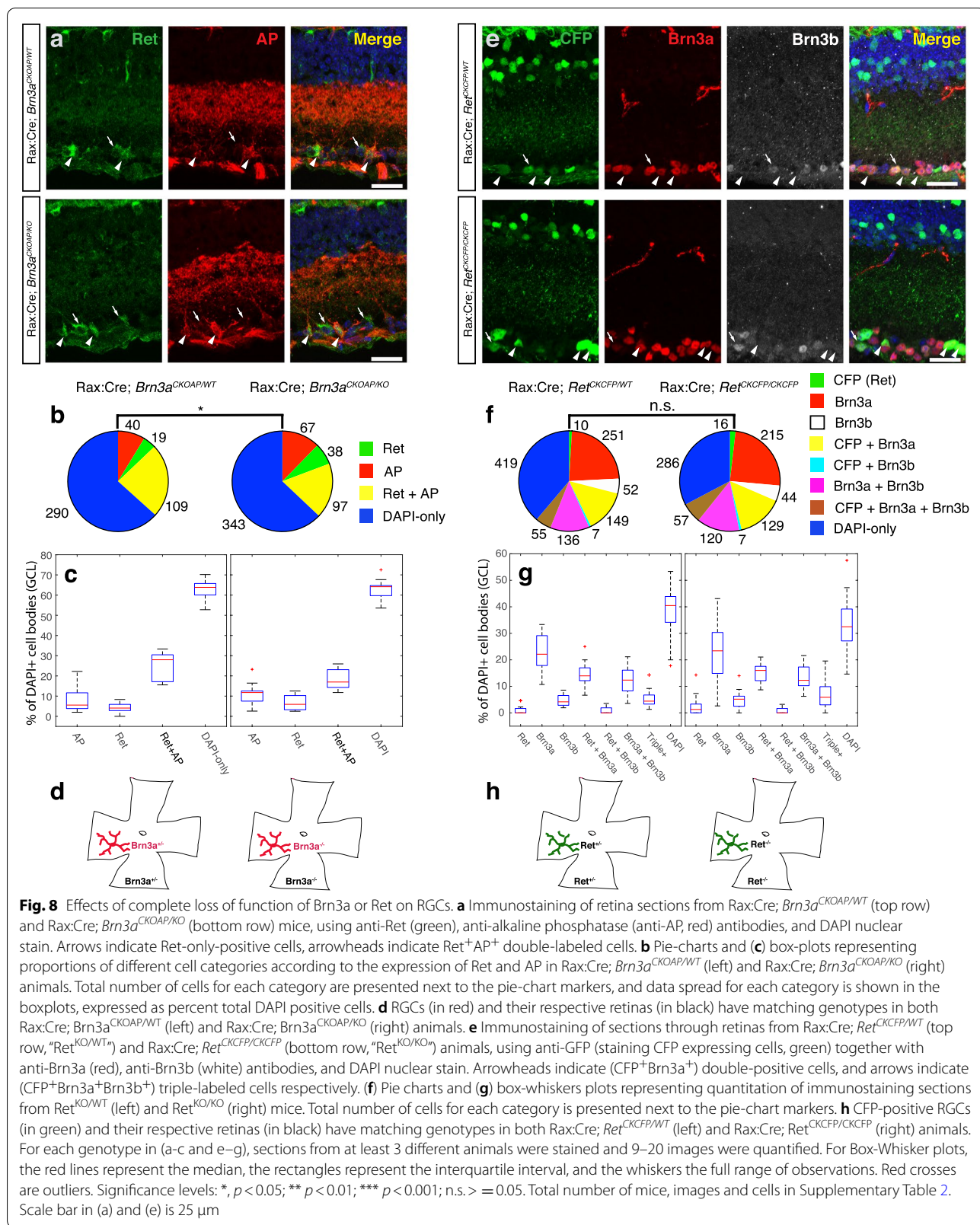
Brn3a loss of function induces subtle effects in bistratified RGCs

In our previous work, we had reported a moderate effect of *Brn3a* ablation on bistratified RGC morphology. Here we use sparse random recombination in the *Ret*^{CreERT2}; *Brn3a*^{CKOAP} intersection to study the effect of *Brn3a* ablation on bistratified RGCs at several developmental stages. Bistratified *Ret*^{CreERT2/WT}; *Brn3a*^{AP/WT} and *Ret*^{CreERT2/WT}; *Brn3a*^{AP/KO} RGC types did not differ significantly when sparse random recombination was induced at P22, while only modest differences were observed in the P0 induced data set (Fig. 5, a-c vs f-h, middle and right plots, Table 2). The majority of bistratified RGCs labeled belonged to the ON–OFF DS,

SB, and Recursive Bistratified types. A few examples of Large Bistratified RGCs (LB, Fig. 4 d-d3) were observed specifically amongst P0 and P22 *Ret*^{CreERT2/WT}; *Brn3a*^{AP/KO} RGCs (Fig. 5 f–h, middle and right panels). In addition, in P0 induced recombinations, a few instances of a *Brn3a*KO-specific subpopulation were recovered. These cells exhibit a simplified ON arbor from which relatively simple straight branches descend and form small tufts into the OFF lamina (Fig. 4 f-f3, Fig. 5 f–h middle panels), and resemble the ones previously described in early sparse recombination experiments [7]. The AT1 and AT2 morphologies are observed in the dataset induced at E15 in both *Ret*^{CreERT2/WT}; *Brn3a*^{AP/WT} and *Ret*^{CreERT2/WT}; *Brn3a*^{AP/KO} RGCs with comparable frequencies (Fig. 5, f–h, left panels, Table 2).

Early Complete (*Ret*^{KO/WT}; *Brn3a*^{KO/WT}) double heterozygosity does not affect *Ret*⁺RGC type distribution

Ret expression in the retina changes significantly between embryonic, postnatal and adult stages of development [85]. We had previously described the RGC type distribution in *Ret*^{CreERT2/WT}; *ROSA26*^{AP/WT} mice in which random sparse recombination was induced at P14 and adult [85]. Is the dramatic shift in *Ret*⁺ *Brn3a*⁺ RGC types observed in E15 random sparse recombination experiments due to developmental differences of expression intrinsic to the *Ret* locus? Alternatively, are the shifts in RGC types due to a genetic interaction between *Brn3a* and *Ret* in the double heterozygote mice? To further explore these questions, we induced random sparse recombination in *Ret*^{CreERT2/WT};



ROSA26^{AP/WT}; Brn3a^{KO/WT} and *Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{WT/WT}* mice at E15 and analyzed RGC type distribution in adult (>P60) mice (Fig. 1 c, d, Fig. 6a). The profiles of mono- and bistratified RGCs is similar in complete *Brn3a^{WT/WT}* and complete *Brn3a^{KO/WT}* retinas (Fig. 6) and the distribution of monostратified RGC types induced at E15 in *Ret^{CreERT2/WT}; ROSA26^{AP/WT}* mice was not affected by *Brn3a* dosage and resembled the RGC types identified in *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* mice induced at the same age, with small variations in M5 and beta cell numbers (Fig. 6 b-e, Table 2). However, bistratified RGCs induced at E15 in either *Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{WT/WT}* or *Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{KO/WT}* backgrounds were restricted to the previously described major types (ON-OFF DS, SB, Recursive, ON-DS and LB), and no instances of AT1 and AT2 RGCs were observed (Fig. 6 f-j). This distribution is consistent with the one seen for *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* or *Ret^{CreERT2/WT}; ROSA26^{AP/WT}* mice upon adult inductions. Thus, complete heterozygous *Brn3a* loss did not affect the pattern of *Ret* expression in RGCs at E15. Moreover, the expression profile of *Ret* amongst RGC types, as measured by induction of *Ret^{CreERT2/WT}* in the background of the *ROSA26^{AP/WT}* allele, does not change dramatically from E15 to adult (compare Fig. 6 to Parmhans 2018, [85], Figs. 6 h and 7 c-d).

Brn3a gene dosage and dynamic *Ret* expression distinctly affect RGC development

We summarize the RGC type distribution changes produced by *Brn3a* gene dosage manipulations in *Ret^{KO/WT}* neurons across all ages and genotypes in Fig. 7 (statistical comparisons in Supplementary Table 1). For each recombination age, relative frequencies for all cell types are plotted side by side for *Ret^{KO/WT}; Brn3a^{KO/WT}* (blue) and *Ret^{KO/WT}; Brn3a^{KO/KO}* (red) RGCs (Fig. 7b-d, summarized from data presented in Figs. 2, 3, 4 and 5), or *Ret^{KO/WT}; Brn3a^{KO/WT}* (gray) and *Ret^{KO/WT}; Brn3a^{WT/WT}* (white) RGCs (Fig. 7a, based on data in Fig. 6). These cell type distributions are based on the adult patterns of dendritic arbor anatomies, as it is essentially impossible to identify the RGC types at earlier, immature stages of development.

Dynamic developmental effect of random sparse heterozygosity

Sparse random *Brn3a* heterozygosity induced by P0 recombination in *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* retinas does not produce significant changes when compared to the adult pattern (compare blue bars in Fig. 7c and d, $p = 0.231$, χ^2 statistics, Supplementary Table 1), and both distributions are indeed in good agreement with previously published sparsely labelled *Ret^{CreERT2/WT}; Brn3a^{AP/WT}* or *Brn3a^{AP/WT}* RGCs [5, 7, 85, 93]. However, E15 recombination induced in *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* retinas produces a dramatic shift in RGC type distribution relative to P0 and P22 (compare blue bars in Fig. 7b-d, $p = 1.6 \times 10^{-30}$, χ^2 statistics, Supplementary Table 1). The major differential is produced by the presence in the E15-induced dataset of five RGC types that are not observed in the P0 or P22-induced samples: M5, ONaS, ON-DS, AT1 and AT2. In addition, SB RGCs, that represent 22–28% of RGCs in the P0 and P22 datasets, are absent from the E15 sample (Fig. 7b-d, Table 2). While ON-DS RGCs have previously been shown to be *Brn3a* positive, four of the five cell types which are unique to the *Ret^{CreERT2/WT}; Brn3a^{AP/WT}* RGC population induced at E15 (ONaS, M5, AT1, AT2) were never previously observed in sparsely labelled *Brn3a^{AP/WT}* RGCs [5, 7, 85, 93]. In addition, the ON dendritic arbors of these 4 cell types are laminated within the IPL in close proximity to the GCL, a sublamina that is typically not labelled when dendritic arbors of the entire *Brn3a^{AP/WT}* RGC population are labelled by E9.5 recombination ([5, 78, 93] and Fig. 8a). Thus, it appears that a subset of E15 induced *Ret^{CreERT2/WT}; Brn3a^{AP/WT}* RGCs (M5, ONaS, AT1 and AT2) constitute a distinct RGC subpopulation that typically does not express *Brn3a* or exhibits morphological differences from previously described *Brn3a⁺* RGCs.

Developmental dynamics of *Ret* expression in RGCs

The complete expression profile of *Ret* in RGCs at E15 can be derived from the *Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{WT/WT}* experiments, in which recombination was induced at E15 (Figs. 6 and 7 a, white bars).

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Fig. 9 Full retinal *Brn3a* KO significantly affects distribution of GFRa *Ret* co-receptors in RGCs. Retinal sections from *Rax:Cre; Brn3a^{CKOAP/WT}* (a, c, e) and *Rax:Cre; Brn3a^{CKOAP/KO}* (b, d, f) mice, were stained using antibodies against GFRa1 (a, b), aGFRa2 (c, d) or aGFRa3 (e, f) (green, left panels) in conjunction with AP (red, middle panels) and DAPI (right panels show three-color merged image). Arrowheads indicate single labelled cells (AP or GFRa) and arrows point to double labeled cells (GFRa⁺AP⁺). Total numbers of quantified cells are represented as pie charts in g, i and k and indicated next to each sector. Significance levels for the comparison between the distributions for *Rax:Cre; Brn3a^{CKOAP/WT}* (left) and *Rax:Cre; Brn3a^{CKOAP/KO}* mice are calculated using the Chi-square statistic. Chi statistic, p -values and degrees of freedom are indicated in Supplementary Table 2. Box-plots representing proportions of different cell categories according to the expression of each GFRa and AP are shown in h, j and l, expressed as percent total DAPI positive cells in the GCL. Significance levels for differences between *Rax:Cre; Brn3a^{CKOAP/WT}* (left) and *Rax:Cre; Brn3a^{CKOAP/KO}* (right) retinas were calculated using Kolmogorov-Smirnoff 2 test. For each genotype and antibody, sections from 2–3 different animals were stained and 8–10 images were quantified (Supplementary Table 2). For Box-Whisker plots, the red lines represent the median, the rectangles represent the interquartile interval, and the whiskers the full range of observations. Outliers are indicated by red crosses. Scale bar in (f) is 25 μ m

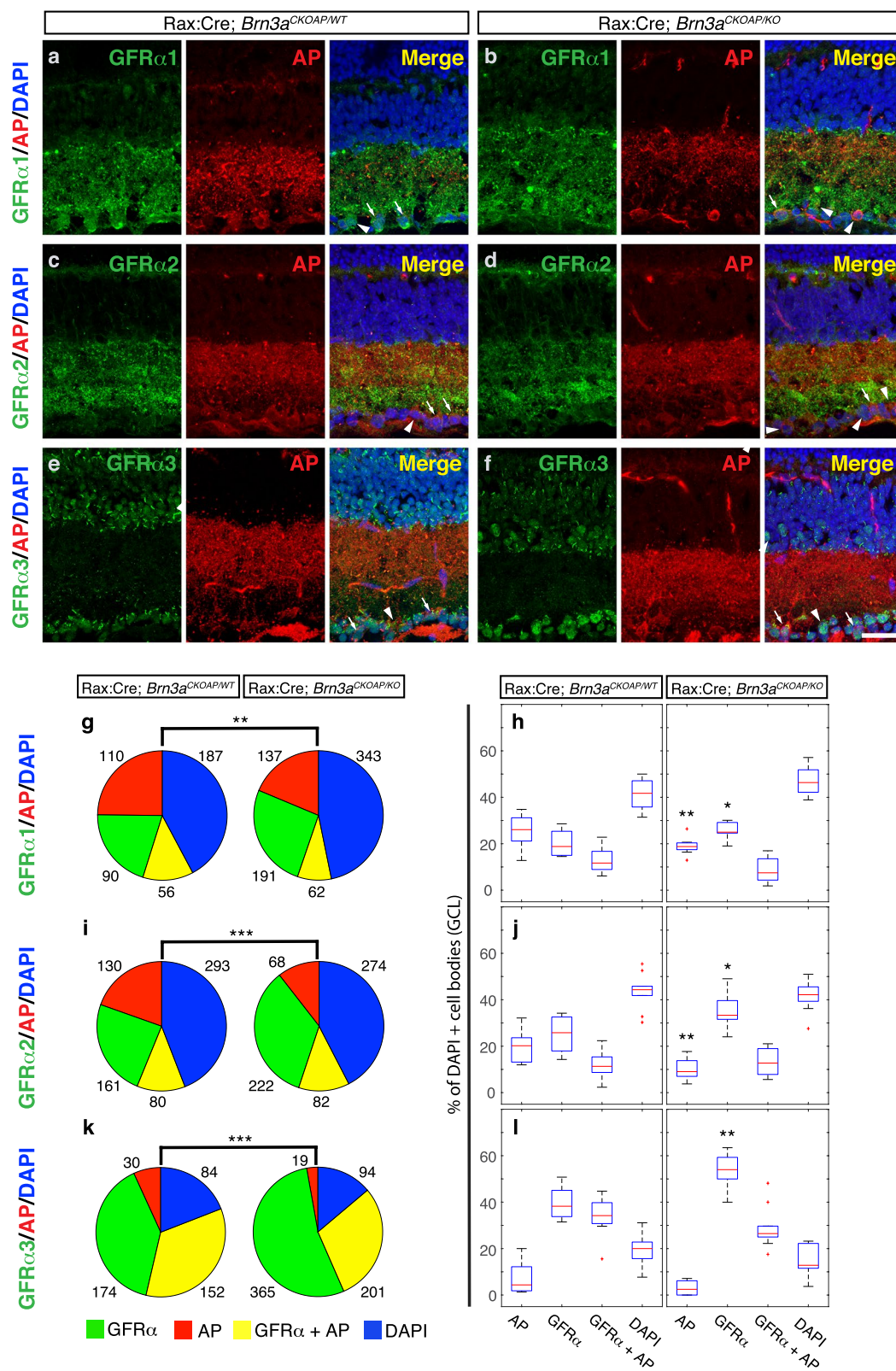


Fig. 9 (See legend on previous page.)

This distribution closely resembles the one described for adult retinas of *Ret^{CreERT2/WT}; ROSA26^{AP/WT}* mice in which recombination was induced in the adult or P14 [85], with the notable addition of ON-DS and Recursive RGCs in the E15 dataset. Thus, the selective presence of ON-DS RGCs in the E15 -induced *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* data (but not in P0 or P22 induced samples of the same genotype), could be explained by the differential expression of Ret in ON-DS RGCs at E15 vs. P22. Interestingly, removing one Brn3a copy from the whole tissue (germline heterozygosity), in *Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{KO/WT}* mice (Fig. 7a, gray bars), does not affect the cell type distribution when compared to the *Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{WT/WT}* controls (Fig. 7a, white bars, $p = 0.328$, χ^2 statistics, Supplementary Table 1).

Complete vs. Sparse double heterozygosity

The sparse removal of one Brn3a copy from *Ret^{KO/WT}* RGCs at E15 in *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* retinas (Fig. 7b, blue bars), results in a RGC type distribution that is distinct from the one observed in complete Brn3a heterozygotes (*Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{KO/WT}*, Fig. 7a, gray bars) or Brn3a wild types (*Ret^{CreERT2/WT}; ROSA26^{AP/WT}; Brn3a^{WT/WT}*, Fig. 7a, white bars). AT1 and AT2 RGCs are present and SB RGCs are absent in sparse Brn3a heterozygotes in contrast to complete heterozygotes or wild types. Thus, at least four RGC types that appear in the E15-induced in *Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}* retinas (Fig. 7b, blue bars) compared to P0 or P22 (Fig. 7c, d, blue bars) cannot be explained by a dynamic shift in Ret expression between embryonic and postnatal ages: based on previous studies, M5 and ON α S are not part of the Brn3a⁺ RGC repertoire, regardless of induction age, while AT1 and AT2 are not seen amongst any of the other Ret⁺, Brn3a⁺ or Ret⁺Brn3a⁺ RGCs datasets reported here or in previous publications. In addition, although SB RGCs are part of the Ret⁺ expression profile at

E15 (Fig. 7a), and the Ret⁺Brn3a⁺ datasets at P0 and P22 (Fig. 7c and 7d), they are selectively missing from *Ret^{KO/WT}; Brn3a^{KO/WT}* RGCs sparsely recombined at E15 (Fig. 7b).

Effects of embryonic, postnatal and adult Brn3a ablation

The distributions of RGC types in retinas from which one or both copies of Brn3a have been removed at adult stage (P22) in a sparse manner are not significantly different from each other (Fig. 7d blue vs. red bars, $p = 0.071$, χ^2 statistics, Supplementary Table 1), and only a few instances of abnormal beta cells—Brn3aKO- β and large bistratifieds—LB were observed in the *Ret^{CreERT2/WT}; Brn3a^{AP/KO}* dataset. Thus, Brn3a loss of function at the adult age has only minor effects on RGC anatomy. However, perinatal removal of Brn3a results in a significant drop in ON and OFF β RGCs (from 27% to 4.5%) and essentially absent ON Spiny RGCs, in addition to the presence of some Brn3aKO- β and LB RGCs, resulting in a significant change in RGC type distribution relative to the heterozygote control (Table 2, Fig. 7c, blue vs. red bars, $p = 3.9 \times 10^{-5}$, χ^2 statistics, Supplementary Table 1). A large reduction in ON and OFF β RGCs (from 24.4% to 6.5%) and relative enrichments in ON-OFF DS RGCs (from 12.7% to 23%) and recursive RGCs (from 11.4% to 21%) result in significantly altered RGC distribution in E15 induced *Ret^{CreERT2/WT}; Brn3a^{AP/KO}* vs. *Ret^{CreERT2/WT}; Brn3a^{AP/WT}* samples (Table 2, Fig. 7b, red vs. blue bars, $p = 6 \times 10^{-7}$, χ^2 statistics, Supplementary Table 1). These phenotypic changes are in keeps with the previously published depletion of ON and OFF β RGCs and relative enrichment in bistratified RGCs that was previously reported for early embryonic loss of function of Brn3a (either sparse or complete). Thus, Brn3a is critical for specification of ON and OFF β and ON Spiny RGCs at both E15 and P0, but is less important for their maintenance. Of note, E15 sparse random recombination in *Ret^{CreERT2/WT}; Brn3a^{CKOAP/KO}* retinas results in the specification of

(See figure on next page.)

Fig. 10 Dynamic co-expression and cross-regulation of Ret and Brn3a during embryonic and postnatal development. **a,b** Immunostaining of retina sections from E15 (**a**) and P0 (**b**) *Rax:Cre; Brn3a^{CKOAP/WT}* (top row) and *Rax:Cre; Brn3a^{CKOAP/KO}* (bottom row) mice, using anti-Ret (white), anti-GFRa1 (green) and anti-alkaline phosphatase (anti-AP, red) antibodies, and DAPI nuclear stain. **(c,d)** Pie-charts and **(e,f)** box-plots representing proportions of different cell categories according to the expression of Ret, GFRa1 and AP in the GCL of retinas from *Rax:Cre; Brn3a^{CKOAP/WT}* (left) and *Rax:Cre; Brn3a^{CKOAP/KO}* (right) animals at E15 (**c,e**) and P0 (**d,f**). Total number of cells for each category are presented next to the pie-chart markers, and data spread for each category is shown in the boxplots, expressed as percent total DAPI positive cells in the GCL. **(g,h)** Immunostaining of retina sections from E15 (**g**) and P0 (**h**) *Rax:Cre; Ret^{CKCFP/WT}* (top row) and *Rax:Cre; Ret^{CKCFP/CKCFP}* (bottom row) mice, using anti-GFP (staining CFP expressing cells, green) together with anti-Brn3a (red), anti-Brn3b (white) antibodies, and DAPI nuclear stain. **i,j** Pie-charts and **(k,l)** box-plots representing proportions of different cell categories according to the expression of Ret, Brn3a and Brn3b in the GCL of retinas from *Rax:Cre; Ret^{CKCFP/WT}* (left) and *Rax:Cre; Ret^{CKCFP/CKCFP}* (right) animals at E15 (**i,k**) and P0 (**j,l**). For each genotype and age, sections from at least 3–5 different animals were stained and 6–13 images were quantified (Supplementary Table 2). Scale bar (h) is 25 μ m. Total number of cells, medians and statistics for pie charts and box plots are indicated in Supplementary Table 2

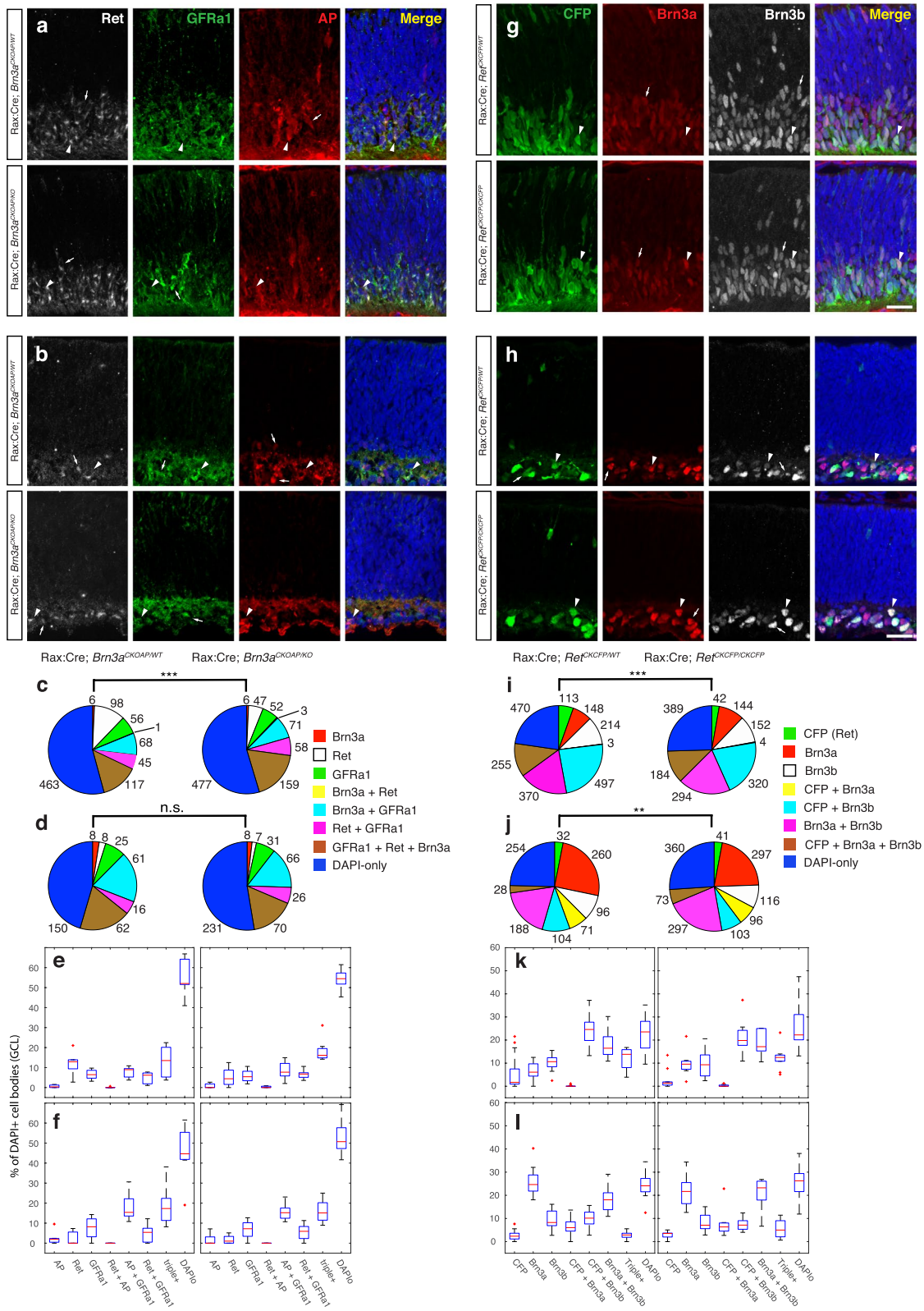


Fig. 10 (See legend on previous page.)

Table 2 RGC subtype frequencies in different experimental ages and groups (relative to the total number of RGCs in an experimental group)

RGC subtype	ROSA Brn3aHET	ROSA Brn3aWT	E15 Brn3aHET	E15 Brn3aKO	P0 Brn3aHET	P0 Brn3aKO	P22 Brn3aHET	P22 Brn3aKO
ON alpha	8.9	6.5	7.4	9.5	none	none	none	none
M5	17.2	19.6	24.1	22.8	none	none	none	none
ON spiny	1.2	0.7	2.8	0.9	2.2	none	4.7	8.3
ON and OFF β	12.4	13.8	24.4	6.5	27	4.5	27	17.2
OFF transient	none	none	0.6	none	3.4	9	2.7	2.8
OFF sustained	none	none	0.6	0.9	1.1	none	none	none
M1	none	1.4	none	none	none	none	none	none
Brn3aKO β	none	none	none	none	none	4.5	none	2.8
Mono Total	39.6	42	59.9	40.5	34.8	18	35.8	31.7
ON-OFF DS	37.3	34.8	12.7	23.3	19.1	39.3	31.8	35.8
SB	15.4	12.3	none	none	28.1	21.3	22.3	20
Recursive	1.2	5.1	11.4	21.1	18	14.6	9.5	7.6
Large Bistratified	2.4	none	none	none	none	3.4	0.7	4.8
ON DS	3.6	5.8	4	3.4	none	none	none	none
Abnormal type 1	none	none	7.7	8.2	none	none	none	none
Abnormal type 2	none	none	4.3	3.4	none	none	none	none
Brn3aKO Bistr	none	none	none	none	none	3.4	none	none
Bi Total	60.4	58	40.1	59.5	65.2	82	64.2	68.3

similar numbers of ON-DS, M5, ON α S, AT1 and AT2 RGCs as are seen in the E15 *Ret*^{CreERT2/WT}; *Brn3a*^{CKOAP/WT} controls (Fig. 7b, red vs blue bars, Table 2), while SB RGCs are missing from both samples. These E15 specific changes point to the possibility that the random sparse Brn3a copy number deficit induced in *Ret*^{CreERT2/WT} RGCs relative to the surrounding retina (*Brn3a*^{KO/KO} RGCs vs *Brn3a*^{KO/WT} retina OR *Brn3a*^{KO/WT} RGCs vs *Brn3a*^{WT/WT} retina) may be responsible for this subset of phenotypes.

Regulatory crosstalk between Ret and Brn3a

The distinct effects of global versus sparse random manipulation of Brn3a dosage on RGC type distribution suggest a genetic interaction between Ret and Brn3a and prompted us to ask whether they regulate each other at transcriptional level. We therefore compared co-expression of Ret protein and the Alkaline phosphatase (AP) reporter in full-retina Brn3a-heterozygote (*Rax:Cre; Brn3a*^{CKOAP/WT}) and full-retina Brn3a-knockout (*Rax:Cre; Brn3a*^{CKOAP/KO}, Fig. 8 a-d) sections. The distribution of Ret⁺, AP⁺ and Ret⁺AP⁺ (double-positive) cells is similar regardless of Brn3a dosage, with a modest (statistically insignificant) decrease of double-positive cells in *Rax:Cre; Brn3a*^{CKOAP/KO} (Fig. 8 b, c, Supplementary Table 2). Nevertheless, the overall shift from AP⁺Ret⁺ double positive cells to single AP⁺ or Ret⁺

positive cells in *Brn3a*^{KO} retinas is statistically significant (χ^2 ChiStat = 10.81, $p = 0.013$) potentially suggesting that Brn3a controls Ret in a subset of RGC types.

We then asked whether Ret signaling can regulate Brn3a or Brn3b transcription. Co-expression of the conditional knock-in reporter CFP with either Brn3a or Brn3b was compared in full-retina Ret-heterozygotes (*Rax:Cre; Ret*^{CKCFP/WT}) and full-retina Ret-knockouts (*Rax:Cre Ret*^{CKCFP/CKCFP}, Fig. 8 e-h). In this line, the CFP reporter is expressed from the Ret locus after the removal of the Ret cDNA by Cre recombination [100]. We observed RGCs expressing Ret either alone or in combination with Brn3a, Brn3b or both. The distribution of single, double and triple labelled cells is conserved in the two backgrounds (Fig. 8 f, g, Supplementary Table 2), suggesting that Ret is not required for Brn3a or Brn3b expression in RGCs. Thus it is unlikely that the genetic interaction observed in sparsely recombined RGCs is mediated by reciprocal transcriptional control of Ret and Brn3a.

Brn3a modulates GDNF ligand signaling to RGCs by regulating GFR α Ret co-receptors

We next asked whether GFR α Ret co-receptors are expressed in *Brn3a*⁺ RGCs and/or regulated by Brn3a. Data from a deep sequencing analysis screen of Brn3a transcriptional targets expressed in RGCs [78, 92]

shows that Ret and GFR α 's are expressed in RGCs at E15 and P3 (Supplementary Fig. 1). While Ret expression levels are relatively high (around 20 FPKM), the co-receptors are expressed at much lower levels. The major GFR α 1 transcript is mostly expressed in Brn3b^{AP} RGCs at both E15 and P3 (around 5 FPKM), and somewhat less in Brn3a^{AP/WT} RGCs at P3 (1.2 FPKM), and its expression is nearly doubled in Brn3a^{AP/KO} RGCs, suggesting negative regulation by Brn3a. GFR α 2 is homogeneously expressed across all Brn3^{AP} RGCs at both E15 and P3 (about 4–6 FPKM), but is not regulated by Brn3a. GFR α 3 is and GFR α 4 are expressed at less than 1 FPKM in P3 Brn3^{AP} RGCs and do not appear regulated by either Brn3 transcription factor (Sajgo 2017 and Supplementary Fig. 1). Since adult GFR α expression had been previously reported in RGCs [15], we stained adult *Rax:Cre; Brn3a^{CKOAP/WT}* and *Rax:Cre; Brn3a^{CKOAP/KO}* retina sections with anti-GFR α 1, GFR α 2 and GFR α 3 antibodies (Fig. 9, Supplementary Table 2). When comparing *Brn3a^{AP/WT}* to *Brn3a^{AP/KO}* retinas (Fig. 9, g-h, i-j, k-l Supplementary Table 2), loss of Brn3a results in significant increases in GFR α 1⁺–GFR α 3⁺ GCL cells (18.8 to 25, 25.8 to 33.33 and 38.2 to 54% DAPI⁺ cells in GCL, respectively). Consistent with previous reports, Brn3a^{AP} RGCs numbers are reduced as a result of Brn3a ablation (26 to 18.8, 20.18 to 9 and 4.35 to 2.4% DAPI⁺ cells in GCL, respectively), however GFR α 1⁺ Brn3a^{AP} and GFR α 2⁺ Brn3a^{AP} double positive ratios are not significantly affected (GFR α 1⁺ Brn3a^{AP}: 7.5 vs. 11.7 and GFR α 2⁺ Brn3a^{AP}: 12.7 vs 11.3% DAPI⁺ cells in GCL). There is also a sizable but statistically insignificant decrease in GFR α 3⁺ Brn3a^{AP} RGCs. Overall, the partial overlap between all three GFR α receptors and Brn3a^{AP} is significantly altered by Brn3a ablation (Fig. 9 g, i, k), resulting in a shift away from Brn3a^{AP} and towards GFR α expression. This shift could be caused by a fate change of Brn3a^{AP} RGCs towards GFR α ⁺ RGCs (or displaced amacrine cells) in *Brn3a^{AP/KO}* retinas, since the ratios of GFR α ⁺ Brn3a^{AP} RGCs are not significantly changed. We note that the cumulative number of positive cells for GFR α 3⁺ and Brn3a^{AP} greatly exceeds 40–50% of the DAPI positive cells in the GCL, signaling that a good number of GFR α 3⁺ cells are amacrine neurons, as Brn3a labels some 90% of all RGCs in the adult. Interestingly, the antibody staining for both GFR α 1⁺ and GFR α 2⁺ reveals increased dendritic arbor labelling in close proximity to the GCL, suggesting that most GFR α 1⁺ and GFR α 2⁺ RGCs are laminating in the sublamina which is populated by the unusual Ret^{CreERT2/WT}; Brn3a^{AP/WT} or Ret^{CreERT2/WT}; Brn3a^{AP/KO} RGC types induced at E15 (ON α S, M5, AT1, AT2).

Ret and its GFR α co-receptors are expressed in Brn3a⁺RGCs during development

RGC cell type distribution appears to be affected by Ret and Brn3a gene dosage manipulations at embryonic and early postnatal ages, but not in the adult. We therefore investigated the co-expression of Ret and Brn3a at E15 and P0 (Fig. 10), using the same conditional knock-in strategies employed in Fig. 8 and 9. At both E15 and P0, essentially all Brn3a⁺ RGCs in *Rax:Cre; Brn3a^{CKOAP/WT}* retinas fall in either the Brn3a^{AP}Ret⁺GFR α 1⁺ (13.5 and 17.3% of DAPI⁺ GCL cells) or Brn3a^{AP}GFR α 1⁺ (8.9 and 15.3% of DAPI⁺ GCL cells), with essentially no Brn3a^{AP} or Brn3a^{AP}Ret⁺ RGCs present (Fig. 10a-f, Supplementary Table 2). Removing both copies of Brn3a (in *Rax:Cre; Brn3a^{CKOAP/KO}* retinas), does not produce statistically significant alterations of individual single, double or triple stained populations in either E15 or P0 retinas (Fig. 10e, f). In E15 retinas, a modest increase of Brn3a^{AP}Ret⁺GFR α 1⁺ cells and decrease of Ret⁺ cells results in a statistically shifted overall cell population distribution, which is “corrected” by P0. Thus, Brn3a has a large overlap of expression with Ret and GFR α 1⁺ in both embryonic and early postnatal development, but does not play a major role in their transcriptional regulation, at least at population level. Both GFR α 2⁺ and GFR α 3⁺ are expressed in Brn3a^{AP} RGCs at E15 (Supplementary Fig. 2) and P0 (data not shown), mostly in conjunction with Ret (as Brn3a^{AP}Ret⁺GFR α 2⁺ and Brn3a^{AP}Ret⁺GFR α 3⁺ triple positive cells).

When staining for Brn3a and Brn3b in E15 and P0 whole retina Ret heterozygotes (*Rax:Cre; Ret^{CKCFP/WT}*, Fig. 10g-l, Supplementary Table 2), nearly all possible subpopulations of single double and triple positive cells can be observed. However, as previously reported in many studies, E15 retinas contain much larger relative numbers of Brn3b⁺ RGCs (either Brn3b⁺, Brn3b⁺Brn3a⁺, Brn3b⁺Ret⁺ or Brn3b⁺Brn3a⁺Ret⁺) than P0 retinas (64.5% at E15 vs. 40% at P0, overall percent Brn3b⁺ cells in GCL), while Brn3a⁺ RGCs increase in numbers at P0 relative to E15 (37.5% at E15 vs. 53% at P0, overall percent Brn3a⁺ cells in GCL). Interestingly co-expression of Brn3a and Ret at E15 is seen only as triple positive RGCs (Brn3b⁺Brn3a⁺Ret⁺, 13.8% of DAPI= cells in GCL), and Brn3a⁺Ret⁺ RGCs are essentially non-existent (Fig. 10g, i, k). At P0, Brn3a⁺Ret⁺ RGCs make up 6% of the GCL, while Brn3b⁺Brn3a⁺Ret⁺ RGCs are diminishing to 2.9% (Fig. 10h, j, l), beginning to resemble the adult distribution (compare to Fig. 8e-f). Like in the adult, removing both copies of Ret (*Rax:Cre; Ret^{CKCFP/CKCFP}*) results in minor (statistically not significant) shifts of several populations relative to the controls, (Fig. 10k,

l) but the overall cell type distributions are significantly shifted at both E15 and P0 (Fig. 10i, j).

In summary, Ret and Brn3a exhibit significant expression overlap at both E15 and P0, but no dramatic transcriptional regulatory effects in either direction can be documented.

Expression of some downstream Ret signaling components is affected by Brn3a loss of function in RGCs

Since transcriptional effects of Brn3a loss on Ret or its coreceptors is modest, we sought to identify further potential targets of Brn3a that could explain its genetic interaction with the Ret pathway. Several signaling cascades (MAPK, SRC-GRB, PI3K, Akt, NFkB and apoptotic pathways), are known to play a role in neurotrophic signaling downstream of the Ret receptor [2, 19, 26, 58]. We determined the representation of members of these signaling cascades amongst Brn3a⁺ RGCs and Brn3a target genes [78, 92], and identified a subset of genes that are enriched in Brn3a⁺ and/or Brn3b⁺ RGCs relative to the retina (cluster branches highlighted in red in Supplementary Fig. 3a, and isolated in 3b). Of these, 12 are also expressed beginning with E15 in RGCs, and most are under transcriptional control of Brn3b (compare vertical branches 3 and 4 of the hierarchical clustering map in Fig. 3a). One isoform of Rapgef1 and two isoforms of Camk2d were upregulated and one isoform of Prkc2 downregulated in Brn3a^{AP/KO} RGCs when compared to Brn3a^{AP/WT} RGCs (Supplementary Fig. 3c). These could represent potential targets for the mechanisms by which Brn3a interferes in Ret downstream signaling.

Modest reduction of TrkA and TrkC expression in Brn3a^{AP/KO} RGCs

Brn3a regulation of Trk neurotrophin receptors is believed to play a major role in cell type specification of projection sensory neurons of the somatosensory (DRG and TGG), auditory and vestibular pathways, and the GDNF—GFR α and NGF – Trk neurotrophic signaling axes interact in cell type specification [37, 42, 74]. We therefore asked whether Trk receptor expression in RGCs is regulated by Brn3a. Our RNAseq data predicted that all three Trk receptors (TrkA/Ntrk1, TrkB/Ntrk2 and TrkC/Ntrk3) and p75/NGFr are expressed in RGCs at E15 and P3, and that Brn3a is positively regulating TrkA and TrkC and negatively regulating TrkB ([78, 92] and Supplementary Fig. 4), while TrkB expression in adult mouse RGCs had been previously reported [18]. Using antibody staining in the adult retina, we find that TrkB is expressed in a large fraction of GCL cells, and a majority of Brn3a^{AP} RGCs in both Brn3a^{AP/WT} or Brn3a^{AP/KO} retinas (Supplementary Fig. 5 e–h,

Supplementary Table 2). In contrast, TrkA is partially co-expressed with Brn3a in a small fraction of RGCs, and the fraction of TrkA⁺ Brn3a^{AP} RGCs is somewhat reduced in Brn3a^{AP/KO} retinas (from 6.8 to 1.8% DAPI⁺ cells in GCL, Supplementary Fig. 5 a – d, Supplementary Table 2). A majority of Brn3a^{AP} RGCs expressed TrkC, and the number of TrkC⁺Brn3a^{AP} RGCs was mildly reduced by Brn3a ablation (from 34.6 to 28.5% DAPI⁺ cells in GCL, Supplementary Fig. 5 i–l, Supplementary Table 2). While none of the individual cell population changes were statistically significant using the KS2 test, TrkA and TrkC vs. Brn3a^{AP} populations were significantly shifted in Brn3a^{AP/WT} vs Brn3a^{AP/KO} retinas, as judged by the Chi-Square distribution test. These losses in TrkA⁺ Brn3a^{AP} and TrkC⁺ Brn3a^{AP} RGCs may be due either to direct transcriptional regulation of the two Trk receptors by Brn3a or by the loss of specific Brn3a^{AP} RGC subpopulations due to Brn3a ablation. It is worth pointing out that TrkA dendritic arbors were distributed in three sharp lamina across the IPL, with the most intense one being apposed against the GCL, as seen for GFR α 1⁺ and GFR α 2⁺, while TrkC exhibited lamination in the OFF sublaminae of the IPL. All three Trk receptors are expressed in the GCL and the proximal INL, suggesting expression in Amacrine cells in addition to RGCs.

Discussion

Our results show that altering the dosage of Brn3a in a sparse mosaic fashion early (E15) in the development of Ret heterozygote (Ret^{CreERT2/WT}) RGCs can change the cell type distribution and/or morphologies of heterozygote (Brn3a^{AP/WT}) and knockout (Brn3a^{AP/KO}) RGCs. RGCs are not affected when Brn3a is removed in the adult, and mildly affected by Brn3a removal immediately after birth. E15 or P0 removal of both copies of Brn3a results in dramatic losses in ON and OFF β and ON spiny RGCs, suggesting a significant role for Brn3a in the development of these cell populations. Germline double heterozygosity (Ret^{CreERT2/WT}; Brn3a^{KO/WT}) does not phenocopy the results obtained with the mosaic heterozygotes and results in normal RGC type specification. Immunohistochemical evidence collected in whole retina knockouts of either Ret or Brn3a shows a modest transcriptional control of Ret by Brn3a while neither Brn3a nor Brn3b are affected by complete retinal loss of Ret. However full retinal loss of Brn3a results in modest, but significant shifts in expression of Ret co-receptors GFR α 1–3 in the GCL and mildly reduces neurotrophin receptors TrkA and TrkC expression in Brn3a^{AP} RGCs. Thus, the simplest explanation of our data is that Ret and Brn3a participate in converging developmental pathways

that control RGC type specification at the early stages of postmitotic development, and potentially use a competitive mechanism based on gene dosage. The range of RGC types reported in this study was largely similar with previous reports, and equivalencies to previously reported anatomies, including a serial EM dataset (Eye-Wire museum) [12] are provided in Table 1.

Brn3a requirement in early versus late RGC development

Brn3a is required for the development of ON and OFF β RGCs and some bistratified RGC types, and Brn3a KO animals have a net RGC loss of about 30% [5, 7, 85, 93]. Ablating either Brn3a, Brn3b or both in adult mice does not affect RGC numbers up to six months post ablation [45]. We now show that adult ablation of Brn3a does not significantly alter the cell type distribution of Ret⁺ Brn3a⁺ RGCs, while complete loss of Brn3a at P0 and E15 produces a significant overall shift in RGC type distribution between Ret^{CreERT2/WT} Brn3a^{AP/WT} and Ret^{CreERT2/WT} Brn3a^{AP/KO} RGCs, largely based on the dramatic loss of ON and OFF β RGCs (ratios in Het vs. KO are 27% vs. 17.2% at P22, 27% vs. 4.5% at P0 and 24.4% vs. 6.5% at E15). Thus, Brn3a is required for the development of these cells but not for maintenance in the adult. In P0 and P22 induced Brn3a^{AP/KO} RGCs we also detected an unusual dendritic arbor morphology reminiscent of ON β RGCs, but distinguishable by larger areas and less dense dendritic coverage potentially representing abnormal ON beta RGCs resulting from relatively late loss of Brn3a. ON spiny RGCs are also selectively reduced in E15 and P0 but not adult inductions, suggesting an early dependency on Brn3a. Furthermore, two types of bistratified RGCs (LB and Brn3aKO – bistratifieds) are observed specifically in P0 and P22 induced Brn3a^{AP/KO} RGCs. LB (Large Bistratified) RGCs have been previously seen in WT circumstances [7, 85, 93], especially in Brn3b⁺ RGCs, and therefore could represent another shift of cell type specificity, induced only in Ret^{CreERT2/WT} Brn3a^{AP/KO} RGCs. However, the simplified arbors of Brn3aKO-bistratifieds point to a developmental defect as a result of Brn3a loss, and is independent of Ret, since they were observed in Brn3a ablations using other Cre drivers [5, 7].

Ret and Brn3a: Genetic interaction versus developmental dynamic shift of expression

Is it possible that the shift in RGC morphologies seen upon embryonic recombination reflects the dynamic expression pattern of Ret or Brn3a in RGCs? The dynamic expression pattern of Ret was shown to be important for specification of subpopulations of DRG neurons [67, 68] and we have documented the dynamic expression of Ret in RGCs, Horizontal cells and Amacrine during

embryonic and postnatal retinal development. However, the distribution of RGC types in Ret^{CreERT2/WT}; ROSA26^{AP/WT} mice seems to be relatively stable from E15 through P14 to adult, (data in Fig. 6 in this study and Figs. 6 and 7 in [85]), arguing that the expression profile of Ret^{CreERT2} is relatively unchanged during RGC development. In addition, for all experiments involving the Brn3a^{CKOAP/WT} allele, the cell type distribution is collected and analyzed in adult mice regardless of induction time, using AP expressed under the control of the Brn3a locus (Brn3a^{AP}), thus reflecting only the adult expression profile of Brn3a.

The RGC morphologies observed when recombination is induced in adult Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT} mice are in agreement with our previously published data regarding Brn3a expression in various RGC types. In previous studies, recombination was achieved using either transgenic elements (Pax6 α :Cre or Rax:Cre) that are activated at E9.5 to 10.5, or sparse random recombination induced using alleles with no known biological effects or preferential expression patterns, under the control of the ROSA26 locus or CAG promoter, induced at a variety of ages, from E8 to adult. The repertoire of RGC types positive for Brn3a revealed by sparse labelling using general promoters (ROSA26, Pax6 α :Cre) shows a dendrite lamination pattern typically excluding the innermost 20–30% of the IPL. This pattern is confirmed by immunofluorescent staining in sections where the totality of Brn3a^{AP} RGC dendrites is revealed using whole retina Cre drivers such as Pax6 α :Cre and Rax:Cre ([5, 78, 93] and Fig. 8a in this study). It is therefore likely that P0 and adult-induced Ret^{CreERT2/WT}; Brn3a^{AP/WT} RGCs accurately reflect the expression overlap of Ret and Brn3a in RGCs.

Overall, five cell types are unique to the E15 induced Ret^{CreERT2/WT}; Brn3a^{AP/WT} RGCs population as contrasted to P0/adult induced RGCs: ON α S, M5, ON-DS, AT1 and AT2 (Fig. 7b). They make up a sizeable fraction (47%) of all E15 induced RGCs. Of these, only ON-DS cells are known to be Brn3a positive in the adult and are also present in the general E15 Ret RGC expression profile (Ret^{CreRt2}; Rosa26^{iAP} data, Fig. 7a), and thus could be explained by a shift of expression of Ret between E15 and P0/adult. The other four cell types have not been previously reported to be Brn3a positive. Furthermore, while ON α S, M5, and ON-DS cells are present in E15 and adult induced Ret^{CreRt2}; Rosa26^{iAP} mice, AT1 and AT2 are completely missing from these data sets. Since ON α S and M5 can be reliably matched to Ret⁺ RGC types (compare top of Fig. 7a and b), their appearance in E15 induced Ret-Brn3a double heterozygotes could be interpreted as an induction of Brn3a expression in these cell types, or a cell type shift caused by the genetic interaction between Ret and Brn3a. In contrast AT2 and AT1 could be derived from a faulty cell type specification decision, separating

them from the normal Ret^+Brn3a^+ fate and resulted from the combined heterozygote loss of *Brn3a* and *Ret*. AT2 is tentatively matched morphologically to cell type 85 in the EyeWire museum, and we described one instance in a general RGC description in 2004 [8], and AT1 does appear to be a novel morphology. It is possible that AT1 and AT2 morphologies result from developmental changes in SB cells, which are absent from E15 specified $Ret^{CreERT2} Brn3a^{AP}$ RGCs, but are present in large numbers in E15 induced $Ret^{CreERT2}; Rosa26^{iAP}$ RGCs (compare bottom of Fig. 7a and b). We conclude that labelling of ON α , M5, AT1 and AT2 RGCs in $Ret^{CreERT2/WT}; Brn3a^{AP/WT}$ RGCs induced at E15 but not at P0 or P22 is a result of genetic interactions between *Ret* and *Brn3a*, rather than a reflection of a normal change in expression patterns of *Ret*, *Brn3a* or both throughout development. Presumably, cell type specificity and/or *Ret* and *Brn3a* expression are determined by P0, such that after a loss of one or even both alleles of *Brn3a* in conjunction with the heterozygous state of *Ret*, the distribution of Ret^+Brn3a^+ RGC types is relatively unaffected.

Sparse versus Complete double heterozygosity

In $Ret^{CreERT2/WT}; Brn3a^{CKOAP/WT}$ and $Ret^{CreERT2/WT}; Brn3a^{CKOAP/KO}$ mice, as sparse recombination is induced at either E15, P0 or adult, labelled RGCs lose one *Brn3a* allele in comparison to the surrounding tissue ($Brn3a^{AP/WT}$ = "Het" RGC in a $Brn3a^{CKOAP/WT}$ = "WT" territory, or $Brn3a^{AP/KO}$ = "KO" RGC in a $Brn3a^{CKOAP/KO}$ = "Het" territory, see Fig. 1). If, as argued above, E15 induced $Ret^{CreERT2/WT}; Brn3a^{AP/WT}$ RGCs reveal a significant cell type distribution shift from the expected Ret^+Brn3a^+ fate, this would imply that the $Ret^{KO/WT}; Brn3a^{KO/WT}$ double heterozygote state is sufficient to alter developmental choices in RGCs. We therefore compared RGCs from $Ret^{CreERT2/WT}; Brn3a^{KO/WT}; Rosa26^{iAP/WT}$ and $Ret^{CreERT2/WT}; Brn3a^{WT/WT}; Rosa26^{iAP/WT}$ adult mice, in which recombination had been induced at E15. In this case, labelled RGCs are either double heterozygotes ($Ret^{CreERT2/WT}; Brn3a^{KO/WT}$) or single *Ret* heterozygotes ($Ret^{CreERT2/WT}; Brn3a^{WT/WT}$), respectively, and carry the same number of *Ret* and *Brn3a* alleles as the surrounding retinal tissue from conception into adulthood. Interestingly, we found that all RGC morphologies are consistent with the previously reported *Ret* expression domain, regardless of *Brn3a* dosage. Moreover, $Ret^{CreERT2/WT}; Brn3a^{AP/KO}$ RGCs labelled by P0 or P22 induction are effectively germline double heterozygotes before recombination, from conception to P0 or P22 respectively, since they carry one wild type allele (unrecombined $Brn3a^{CKOAP}$), and one constitutive *Brn3a* KO allele ($Brn3a^{KO}$) up to the time of recombination. These populations resemble the expected Ret^+Brn3a^+

expression domain (with the mentioned exceptions of ON and OFF β and ON Spiny RGCs), but are quite distinct from the E15 induced $Ret^{CreERT2/WT}; Brn3a^{AP}$ RGCs, regardless of *Brn3a* dosage. Combined, these observations strongly suggest that only early sparse but not complete $Ret^{KO/WT}; Brn3a^{KO/WT}$ combined heterozygosity can induce significant shifts in cell type distribution or morphological changes in RGCs. Potentially, *Ret* signaling in combination with information provided through *Brn3a* transcriptional control acts as a competitive factor in cell type specification of RGCs. Sparse double heterozygotes receive altered signals compared to the surrounding tissue, and therefore adopt novel cell fates or acquire altered morphologies. In the germline double or single heterozygotes, labelled RGCs and surrounding tissue have the same dosage of *Ret* and *Brn3a*, resulting in unaltered RGC type specification decisions. A similar phenomenon is observed in Purkinje neurons, which exhibit defects in dendrite morphogenesis in the presence of sparse but not germline knockout of the *TrkC* neurotrophin receptor [57].

What are the molecular mechanisms of cross-talk between *Ret* and *Brn3a*?

A previous characterization of *Ret* function in the retina [15], and our own analysis (Fig. 8e-h) do not suggest a role for *Ret* in RGC survival. Conversely, in previous work [5, 7, 93], we had shown that the loss of ON/OFF beta RGCs in $Brn3a^{AP/KO}$ retinas is mirrored by a 20–30% reduction in total RGC numbers (reproduced in this study in Fig. 8a-d), and it is as of yet unclear whether that is a result of increased apoptosis or cell fate shifts. However it should be emphasized that both *Ret* and *Brn3a* are expressed in postmitotic neurons. Thus any developmental shifts we see in our system would be operating on the early stages of RGC type differentiation, after the last cell cycle. In the somatosensory system there is a well-established role for neurotrophic signaling in neuronal specification, survival, differentiation, and neurite growth and branching [2, 19, 36, 37, 42, 43, 74]. *TrkA/B/C* as well as *Ret* and its *GFR α* co-receptors are necessary for the specification of classes of nociceptors, mechanoreceptors and proprioceptors [39, 67, 68]. Transcriptional regulation of neurotrophic receptors plays a major role in cell type specification of other projection sensory neurons [21, 22, 60]. *Brn3a* and its family members are important regulators of development and specification of projection neurons in the Trigeminal Ganglion (TG), Spiral Ganglion (SG) and Dorsal Root Ganglion (DRG) [10, 30, 31, 41, 44, 74, 76, 91, 106, 112], and their functions are mediated at least in part through regulation of neurotrophic receptors. In the $Brn3a^{KO/KO}$ TG, *TrkC*

expression is essentially lost from onset (E10.5), while TrkA and TrkB are initially (E10.5) expressed but turn off at E15.5, followed by extensive cell death in the TG. By E17, only 30% of *Brn3a*^{KO/KO} TG neurons survive, a majority of which express the Ret receptor [44]. These changes in neurotrophin receptors are accompanied by significant shifts in cell type distribution [48, 50–52]. In the spiral (acoustic) ganglion of *Brn3a*^{KO/KO} mice TrkC is downregulated resulting in dendritic arbor abnormalities [41]. Brn3a loss of function also affects cell type distributions in a variety of DRG cell types [47, 49, 53, 54], accompanied by dynamic changes in numbers of TrkA, TrkB and TrkC receptors, and increase in Ret⁺ cell numbers [112]. Significantly, in DRGs, Brn3a is a direct transcriptional regulator of TrkA [69], while in RGCs Brn3a loss may modestly affect TrkA expression levels [78, 92]. In the retina, some TFs are shown to modulate neurotrophic signaling components. For instance, Dlx2, a known activator of Brn3b [110], also directly regulates TrkB receptor expression in RGCs [29]. Conversely, instances of control of TFs by neurotrophins were documented in motor and sensory systems. Dendritic branching and connectivity of a subset of motor neurons in spinal cord is controlled by a TF encoded by the Pea3 gene, which is in turn induced by target derived GDNF signaling [102]. In DRGs, GDNF activates a transcriptional program repressing neurite growth of sensory neurons [63].

Surprisingly, not much is known about Ret and Trk control of cell type specification and dendrite formation in RGCs. Gain and loss of function manipulations of the BDNF/NT4 – TrkB axis in mice and frogs did not result in RGC loss, however produced a range of phenotypes including changes in dendritic arbor formation and RGC axon shifts towards small diameter fibers [17, 25, 89].

We now show that Ret, GFR α and Trk receptors expression in RGCs is partially overlapping and can be modulated by Brn3 transcription factors ([78, 92] Figs. 8, 9 and 10, and Supplementary Figs. 1, 2, 3, 4 and 5). In our hands, the numbers of Ret^{CFP}, Brn3a and Brn3b-expressing RGCs are transiently altered during development but largely unaffected in *Ret*^{KO/KO} (*Ret*^{CFP/CFP}) mice relative to control animals. While our previous RNAseq experiments did not show a significant Brn3a-dependency of Ret gene expression in early postnatal age [85, 92], we now find that in adults, Ret expression in the retina-specific Brn3a knockout is moderately but significantly altered. The partial redistribution of double positive (Ret⁺AP⁺) in controls to single (Ret⁺) cells in *Brn3a*^{KO/KO} retinas may be due to the loss of some (Brn3a⁺Ret⁺)—expressing neurons such as ON and OFF β and ON spiny RGCs. When compared to the wild

type, *Brn3a*^{AP/KO} retinas exhibit a significant increase in GFR α 1⁺, GFR α 2⁺ and GFR α 3⁺ cells in the GCL, at the expense of Brn3a^{AP} RGCs, potentially indicating that Brn3a expression suppresses Ret-GFR α expression in certain RGC types (or displaced amacrine cells), leading to choices in cell type specification or morphological features of dendritic arbors. On the contrary, numbers of TrkA⁺ Brn3a^{AP} and TrkC⁺ Brn3a^{AP} RGCs are somewhat reduced in *Brn3a*^{AP/KO} compared to *Brn3a*^{AP/WT} retinas, while TrkA⁺ and TrkC⁺ cell numbers in the GCL are increased. By analogy with the TGG and DRG systems, these shifts could induce alternative RGC cell type decisions.

Conclusions

Taken together, our data suggest that, in the sparsely recombined Ret^{CreERT2/WT}; Brn3a^{AP/WT} and Ret^{CreERT2/WT}; Brn3a^{AP/KO} RGCs, the dosage reduction of Brn3a affects the expression of signaling components of the Ret (Ret, GFR α 1-3 or downstream signaling molecules) and/or Trk pathway. These subtle transcriptional effects combined with Ret heterozygosity result in shifts in cell type specification or morphological defects. Ret can function as a competitive coreceptor for ligands involved in neuronal arbor formation and axon guidance, such as ephrin and p75-NTR [14] and Plexin / NCAMs [20], some of which are under transcriptional control of Brn3a [78, 92]. Thus, it is possible that the reduced Brn3a dosage in Ret^{CreERT2/WT}; Brn3a^{AP/WT} and Ret^{CreERT2/WT}; Brn3a^{AP/KO} RGCs results in partial loss of these co-receptors, and consequentially in morphological defects.

The proposed competitive nature of the Ret – Brn3a genetic interaction in the context of RGC specification could read out signals necessary to specify the appropriate numbers and spacing of distinct RGC types. Since both Ret and Brn3a are post-mitotically expressed in RGCs, this could mean that RGC type fate is still plastic after exiting the cell cycle, as has been proposed for photoreceptors [1, 23, 79, 97]. This mechanism could then explain how individual retinal clones originating early in retinal development can adjust their composition to accommodate the diversity of RGC type distribution and density, by shifting cell type specificity according to local neurotrophic signaling originating from other already specified RGC types. Alternatively, target derived neurotrophic support could help eliminate excess RGCs, by engaging either Ret-GFR α or TrkA-C signaling in an activity and/or Brn3a dependent manner. Intriguingly, Ret ligands GDNF and Neurturin, and Trk ligands BDNF, NGF and NT3 are differentially expressed at relevant developmental

stages in other retinal neurons, RGCs themselves and/or retinorecipient brain areas (Sajgo, 2017 and Supplementary Figs. 1 and 4). It will remain to explore which of these sources are relevant in the competitive mechanism we propose.

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s13064-021-00155-z>.

Additional file 1: Supplementary Figure 1 Expression of Glial Derived Neurotrophin Ligands and their receptors in E15 and P3 RGCs and major retinorecipient areas of the brain.

Additional file 2: Supplementary Figure 2. Significant developmental overlap of Brn3a, Ret, and its coreceptors GFRa2 and GFRa3.

Additional file 3: Supplementary Figure 3. Ret signaling downstream targets are expressed in Brn3a⁺ RGCs.

Additional file 4: Supplementary Figure 4. Expression of Target Derived Neurotrophin Ligands and their receptors in E15 and P3 RGCs and major retinorecipient areas of the brain.

Additional file 5: Supplementary Figure 5. Full retinal Brn3a KO mildly affects distribution of Trk receptors in RGCs.

Additional file 6: Supplementary Table 1. χ^2 statistics for pair-wise comparisons between different sparse random recombination experimental groups (age and genotype) considering RGC subtype distribution

Additional file 7: Supplementary Table 2.

Additional file 8: Supplementary Table 3.

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Authors' contributions

V.M. and T.C.B. designed experiments, prepared figures and wrote Manuscript. V.M. performed all experiments and collected all data. The author(s) read and approved the final manuscript.

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Availability of data and materials

All data related to this manuscript will be made available upon request from the corresponding authors.

Declarations

Ethics approval and consent to participate

Not applicable (animal procedure approval is stated in the relevant section of material and methods).

Consent for publication

All authors consent to publication.

Competing interests

The authors declare no competing interests.

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