## **RESEARCH ARTICLE**

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# Low expression of *NSD1*, *NSD2*, and *NSD3* define a subset of human papillomavirus-positive oral squamous carcinomas with unfavorable prognosis



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#### **Abstract**

**Background:** Frequent mutations in the nuclear receptor binding SET domain protein 1 (*NSD1*) gene have been observed in head and neck squamous cell carcinomas (HNSCC). *NSD1* encodes a histone 3 lysine-36 methyltransferase. *NSD1* mutations are correlated with improved clinical outcomes and increased sensitivity to platinum-based chemotherapy agents in human papillomavirus-negative (HPV-) tumors, despite weak T-cell infiltration. However, the role of *NSD1* and related family members *NSD2* and *NSD3* in human papillomavirus-positive (HPV+) HNSCC is unclear.

**Methods:** Using data from over 500 HNSCC patients from The Cancer Genome Atlas (TCGA), we compared the relative level of mRNA expression of *NSD1*, *NSD2*, and *NSD3* in HPV+ and HPV- HNSCC. Correlation analyses were performed between T-cell infiltration and the relative level of expression of *NSD1*, *NSD2*, and *NSD3* mRNA in HPV+ and HPV- HNSCC. In addition, overall survival outcomes were compared for both the HPV+ and HPV- subsets of patients based on stratification by *NSD1*, *NSD2*, and *NSD3* expression levels.

**Results:** Expression levels of *NSD1*, *NSD2* or *NSD3* were not correlated with altered lymphocyte infiltration in HPV+ HNSCC. More importantly, low expression of *NSD1*, *NSD2*, or *NSD3* correlated with significantly reduced overall patient survival in HPV+, but not HPV- HNSCC.

**Conclusion:** These results starkly illustrate the contrast in molecular features between HPV+ and HPV- HNSCC tumors and suggest that *NSD1*, *NSD2*, and *NSD3* expression levels should be further investigated as novel clinical metrics for improved prognostication and patient stratification in HPV+ HNSCC.

**Keywords:** Head and neck cancer, Head and neck squamous cell carcinoma, HPV, WHSC1, WHSC1L1, Epigenetics, Histone methyltransferase, The Cancer Genome Atlas

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#### Introduction

Head and neck squamous cell carcinomas (HNSCC) comprise a group of heterogeneous cancers that arise from multiple anatomical subsites in the head and neck region. Collectively, they represent the 7th most common human cancer type [1] and are often characterized by aggressive local invasion and overall poor prognosis [2]. Historically, tobacco use and alcohol consumption are major risk factors for HNSCC [3]. However, infection with human papillomavirus (HPV) has recently emerged as a major cause of tumors located in the oropharynx. Indeed, HPV-positive (HPV+) HNSCC is increasing at an epidemic rate [4, 5]. Numerous studies have confirmed that HPV-negative (HPV-) and HPV+ HNSCC are molecularly distinct [6]. HPV+ HNSCC constitutively express the viral E6 and E7 oncogenes that deregulate cell growth and gene expression [7], at least in part via epigenetic mechanisms [8, 9]. Importantly, clinical outcomes for HPV+ HNSCC are superior to those of HPV- cases [10, 11], allowing for potential stratification of patients into alternative treatment regimens based on HPV status [12].

Histone methylation plays a critical role in the epigenetic control of gene expression. Specialized enzymes methylate/de-methylate individual amino acids that are found on the histone tails H1, H2A, H2B, H3, and H4. The nuclear receptor binding SET domain protein (NSD) family of histone-lysine N-methyltransferases are composed of three paralogous proteins: NSD1, NSD2 (WHSC1), and NSD3 (WHSC1L1). NSD1 mediates the transfer of a methyl group onto H3 lysine-36 (H3K36) and H4 lysine-20 (H4K20) [13]. NSD2 methylates H3 lysine-4 (H3K4) and H4K20, and NSD3 methylates H3K36 [14]. NSD paralogs also methylate non-histone substrates, these include NSD1-mediated methylation of nuclear factor kappa-light-chain-enhancer of activated B cells (NFκΒ) [15]; NSD2-mediated methylation of phosphatase and tensin homolog (PTEN) [16]; and NSD3-mediated methylation of interferon regulatory factor 3 (IRF3) and epidermal growth factor receptor (EGFR) [17, 18]. Furthermore, a functional role for NSD2 in the type-I interferon response has also been reported [19]. Moreover, numerous studies have linked the NSD family of methyltransferases with a variety of different cancers [20].

We and others used hierarchical clustering of DNA methylation data to identify a subset of HPV- HNSCC tumors enriched for mutations in the *NSD1* H3K36 methyltransferase [8, 9]. These cluster with tumors expressing wild-type *NSD1* that contain a substitution of K36 to methionine in H3. Thus, mutation of the substrate of NSD1 phenocopies the methylation signature of direct mutational activation of this enzyme. This signature represents approximately 13% of HPV- HNSCC and identifies *NSD1* inactivation as a mechanism of

epigenome deregulation [8]. Studies using multiple cohorts have demonstrated that HPV- HNSCC patients with NSD1 gene alterations exhibited improved survival compared to patients with wild-type NSD1 tumors [21, 22]. Furthermore, mutation or reduced expression of NSD1 in HPV- HNSCC has been reported to confer increased sensitivity to platinum-based chemotherapy agents in vitro [22, 23], despite leading to an immunologically "cold" phenotype characterized by slightly reduced T-cell infiltration [24]. Another study suggested that mutation of NSD1 or NSD2 leads to significantly better clinical outcomes in HPV- HNSCC of the larynx [25], further supporting an oncogenic role for these methyltransferases in HPV- HNSCC. In contrast, little is known about the role of NSD1, or its paralogs NSD2 and NSD3 in HPV+ HNSCC, except that mutation of these genes appears to occur at a reduced frequency as compared to HPV- HNSCC [6, 26] and NSD1 mutation is correlated with reduced survival [22].

In this study, we used data from over 500 HNSCC patients from the Cancer Genome Atlas (TCGA) to compare the expression levels of mRNA for *NSD1*, *NSD2*, and *NSD3* between HPV+ HNSCC, HPV- HNSCC, or normal control tissues. We also investigated the relationship between the levels of expression of *NSD1*, *NSD2*, or *NSD3* with tumor-infiltrating lymphocytes (TILs) in either the HPV+ or HPV- HNSCC samples and whether expression of *NSD1*, *NSD2*, or *NSD3* correlated with overall survival. Our aim was to determine if there were differences in these molecular features that could serve as novel clinical metrics for improved prognostication and patient stratification in HNSCC.

#### Material and methods

## Data collection

Patient data from the Cancer Genome Atlas (TCGA), including the Merged Clinical data and Level 3 RNA-Seq by Expectation-Maximization (RSEM) normalized Illumina HiSeq RNA expression data for the HNSCC cohort, was downloaded from the Broad Genome Data Analysis Centers Firehose server (https://gdac.broadinstitute.org/). RNA-seq viral read counts for HPV E6 and E7 was extracted from the supplementary data files from Chakravarthy et al. [27]. Patient survival data for the TCGA HNSCC cohort was extracted from the Pan-Cancer Clinical Data Resource [28]. All data utilized in this study can be found in Additional file 1 - Supplementary Table 1 (Table S1).

#### RNA expression comparisons

RSEM normalized expression data was extracted and curated as described [29]. Primary patient samples with known HPV status were grouped as HPV+, HPV-, or normal control tissues. This resulted in 73 HPV+, 442

HPV-, and 43 matched normal-adjacent control samples with data available for the HNSCC gene expression analysis. Boxplot comparisons of gene expression was performed using GraphPad Prism v7.0 (Graphpad Software, Inc., San Diego, California, USA) and assembled into final form using Adobe Illustrator (Adobe Systems Inc., San Jose, CA, USA). For the boxplots, center lines show the medians, box limits indicate the 25th and 75th percentiles as determined by Graphpad Prism and whiskers extend 1.5 times the interquartile range from the 25th and 75th percentiles. Statistical significance was calculated using Graphpad Prism v7.0. The statistical *p* values were assigned using a two-tailed non-parametric Mann—Whitney U test.

#### Correlation matrix

Level 3 RSEM normalized RNA-seq data for *NSD1*, *NSD2*, and *NSD3* were extracted from the TCGA database and processed into HPV+ and HPV- cohorts as detailed above. As mentioned above, HPV *E6* and *E7* RNA expression data was extracted from Chakravarthy et al. [27]. A pairwise Spearman correlation was performed for each of the aforementioned genes. Correlations were performed using RStudio (version 1.2.1335) utilizing the *ggplot2* package [30]. The final correlation matrix figure was assembled using Adobe Illustrator (Adobe Systems Inc., San Jose, CA, USA).

## Survival analyses

RSEM normalized RNA-seq data for each of NSD1, NSD2, and NSD3 were converted into a standard score (zscore) and grouped into high, mid, and low expression based on the following criteria: high = z-score > 0.5, mid = -0.5 < z-score < 0.5, and low = z-score < -0.5. Fiveyear overall survival outcomes were compared in both HPV+ and HPV- subsets of patients grouped by either high, mid, or low expression of NSD1, NSD2, or NSD3. Log-rank statistical analysis was performed using Graph-Pad Prism v7.0 (Graphpad Software, Inc., San Diego, California, USA). Furthermore, log-rank p values were assessed for significance after correcting for false discovery rate (FDR) using the Benjamini-Hochberg method with an FDR threshold of 10%. Figures were assembled into final form using Adobe Illustrator (Adobe Systems Inc., San Jose, CA, USA). Univariate analysis was performed through RStudio (version 1.2.1335) based on a Cox Proportional-Hazards Model with the *survival* package (version 2.41-3). Finally, stepwise bidirectional multivariate analysis was performed with the grouped expression of the NSD paralogs and the following clinical variables: sex, age, subsite, T stage, N stage, overall stage, HPV type, and smoking history. The smoking history clinical variable for the HPV+ cohort was stratified as heavy smokers (>20 pack year history) or nonsmokers based on our previous study that employed a similar stratification approach [21]. Moreover, patients with no smoking history information, patients with between 1 and 20 pack years, and patients who were listed as current or former smokers but with unknown pack year history were excluded. Statistical *p* values were derived from the Wald test on survival coefficients.

#### Correlation analysis for T-cell infiltration status

To estimate T-cell infiltration, we used a previously generated T-cell signature based on mean expression of 13 transcripts [31] as utilized by Brennan et al. [24]. The expression of *NSD1*, *NSD2*, and *NSD3* relative to that of the T-cell infiltration signature were compared in a pairwise fashion and concordance calculated by Spearman's Rho analysis.

### **Results**

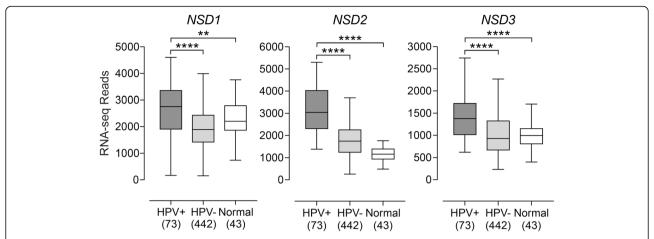
# Expression of NSD1, NSD2, and NSD3 in HNSCC stratified by HPV status

Given that NSD1 and NSD2 have been linked to various aspects of HPV- HNSCC, including epigenetic alterations, immune status of the tumor, and predicting patient outcome at specific subsites [8, 9, 21–26], we investigated the roles of *NSD1* and its two paralogs *NSD2* and *NSD3* in HPV+ HNSCC. Previous work has suggested that *NSD1* RNA expression can serve as a measure of NSD1 proficiency in HNSCC [24]. As such, we analyzed the TCGA Illumina HiSeq RNA expression data from the HNSCC cohort for expression of all three paralogous genes (Fig. 1). Unexpectedly, the HPV+ samples had significantly increased levels of expression of all three paralogs as compared to HPV- tumors and normal control tissues.

To determine if this significant increase in expression of *NSD* paralogs in the HPV+ cohort was due to the constitutive expression of viral oncogenes, we correlated HPV *E6* and *E7* mRNA expression levels with those of *NSD1*, *NSD2*, or *NSD3*. Our analysis revealed a statistically significant positive Spearman correlation coefficient between *NSD2* expression and either *E6* or *E7* (Fig. 2). In contrast, the Spearman correlation coefficients between the HPV viral oncogenes and *NSD1* or *NSD3* were not statistically significant (Fig. 2). Thus, the HPV viral oncogenes and *NSD2* have an increasing monotonic relationship that may explain the high expression observed for *NSD2* in the HPV+ HNSCC cohort compared to its HPV- counterpart and normal control tissues. Alternatively, *E6* and *E7* expression could be regulated by *NSD2*.

# HNSCC tumor samples concordantly express NSD1, NSD2, and NSD3

Given that all three *NSD* paralogs are expressed on average at significantly higher levels in HPV+ HNSCC, but only *NSD2* had a statistically significant positive



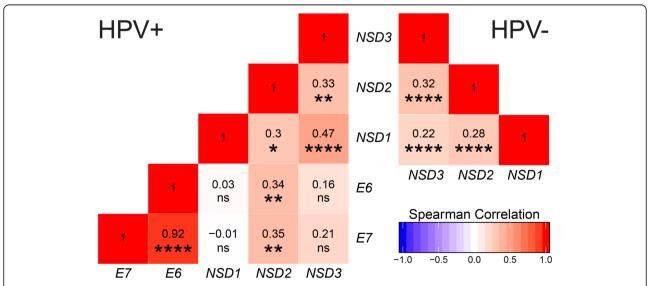
**Fig. 1** Expression of *NSD1*, *NSD2*, and *NSD3* genes in HNSCC stratified by HPV status. Normalized RNA-seq data was extracted from TCGA database for the HNSCC cohort for HPV+, HPV-, and matched normal-adjacent control tissues. Numbers in brackets refer to the number of samples included in each analysis. Statistical p value significance levels are indicated as follows: \* p ≤ 0.05; \*\* p ≤ 0.01; \*\*\*\* p ≤ 0.001; \*\*\*\* p ≤ 0.001; ns – not significant

Spearman correlation coefficient with the HPV oncogenes, we next wanted to determine if individual tumors expressed high levels of a single *NSD* paralog on a mutually exclusive basis, or if their expression might be coordinately regulated. We performed pairwise analysis of RNA expression of each paralog with respect to the others for each sample in the HPV+ and HPV- subsets of this cohort (Fig. 2). This pairwise analysis indicated that HPV+ tumors expressing a high level of one paralog express higher relative levels of the other paralogs. Similarly, HPV+ tumors expressing a low level of one paralog

express lower relative levels of the other paralogs. A similar correlation was observed for HPV- tumors. Thus, coordinately upregulated expression of all three *NSD* paralogs is frequently observed in HNSCC, regardless of HPV status.

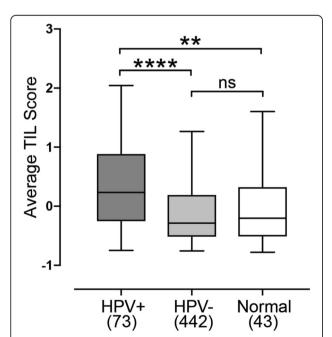
# T-cell infiltration is correlated with *NSD1* expression in HPV-, but not HPV+ HNSCC

Despite improved prognosis, *NSD1* mutation in HPV-HNSCC has been associated with an immunologically "cold" tumor microenvironment associated with slightly



**Fig. 2** Correlation matrix of *NSD* paralogs and viral oncogene expression. Normalized RNA-seq data for *NSD1*, *NSD2*, *NSD3*, HPV *E6*, and HPV *E7* was compared in a pairwise fashion for both the HPV+ (left) and HPV- (right) TCGA HNSCC cohorts. Numbers indicated in the boxes are the calculated Spearman's rank correlation coefficient of the indicated gene pairs. Statistical p value significance levels are indicated as follows: \*  $p \le 0.05$ ; \*\*\*  $p \le 0.001$ ; \*\*\*\*  $p \le 0.001$ ; \*\*\*\*  $p \le 0.0001$ ; \*\*\*\*\*  $p \le 0.0001$ ; \*\*\*\*

low levels of TILs [24]. Furthermore, weak T-cell infiltration was also associated with decreased NSD1 expression in other patient-derived datasets for HPV- tumors and experimental tumor models with HPV- HNSCC cells [24]. Utilizing the same 13 gene T-cell signature defined by Spranger et al. [31], T-cell infiltration was clearly higher in HPV+ HNSCC compared to the HPVcounterparts and normal control tissues (Fig. 3), consistent with the immunologically "hot" phenotype associated with HPV+ HNSCC [32, 33]. We next determined whether NSD1 levels were inversely associated with Tcell infiltration in HNSCC as reported previously for HPV- HNSCC [24]. We found no significant correlation between markers of T-cell infiltration and expression of either NSD1, NSD2, or NSD3 in the HPV+ HNSCC cohort (Fig. 4a). In contrast, a weak but statistically significant positive Spearman correlation coefficient with NSD1 expression was observed for HPV- HNSCC (Fig. 4b), which is in agreement with the aforementioned study by Brennan et al. [24]. However, no statistically significant Spearman correlation coefficients were observed between either NSD2 or NSD3 expression and TIL score in the HPV- HNSCC cohort (Fig. 4b). Thus, a possible connection between NSD1 expression and T-cell infiltration into the tumor microenvironment appears unique to HPV-



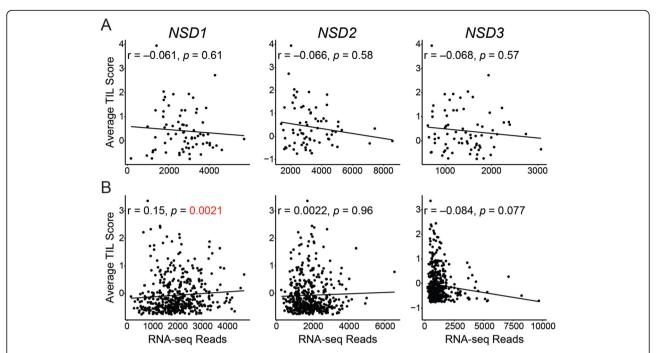
**Fig. 3** T-cell infiltration is higher in HPV+ HNSCC compared to HPV- and normal control counterparts. A previously reported 13 gene T-cell transcript signature was calculated for HPV+, HPV-, and matched normal-adjacent control tissues. Numbers in brackets refer to the number of samples included in each analysis. Statistical p value significance levels are indicated as follows: \* $p \le 0.005$ ; \*\*\*  $p \le 0.001$ ; \*\*\*\*  $p \le 0.001$ ; \*\*\*\*  $p \le 0.001$ ; \*\*\*\*  $p \le 0.0001$ ; \*\*\*\*

HNSCC samples and is not shared with the other *NSD* paralogs.

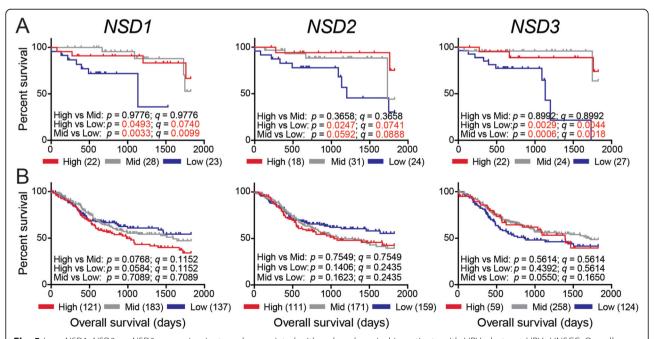
# Low expression levels of either NSD1, NSD2, or NSD3 predict reduced overall survival in HPV+ HNSCC

Our previous study did not observe any significant relationship between the NSD1/H3K36M methylation signature and clinical outcomes in the TCGA HNSCC cohort [8]. More recently, the presence of damaging mutations in NSD1 or NSD2 have been linked to significantly improved patient survival, but only in HPV- tumors [21, 22, 25]. We subdivided the HPV+ and HPV- HNSCC dataset based on high (z-score > 0.5), mid (-0.5 < zscore < 0.5), or low (z-score < -0.5) NSD1, NSD2, or NSD3 RNA expression and calculated the impact of expression on overall patient survival (Fig. 5). Unexpectedly, low expression of either NSD1, NSD2, or NSD3 predicted markedly reduced survival in HPV+ HNSCC over those patients with tumors expressing the NSD paralogs in the mid and high expression groups. Furthermore, this sharp overall decrease in clinical outcome was also statistically significant (Fig. 5a). In contrast, there was no statistically significant impact of either NSD1, NSD2, or NSD3 expression on overall survival for patients with HPV- HNSCC (Fig. 5b).

To determine the extent that each of the NSD family members could influence patient outcomes in the HPV+ HNSCC cohort, we generated a hazard ratio (HR) for each gene by univariate analysis (Table 1). As expected, the HRs for either NSD1 (low vs high expression and low vs mid expression), NSD2 (low vs high expression), and NSD3 (low vs high expression and low vs mid expression) were statistically significant, with NSD2 (low vs mid expression) trending towards significance. Since expression of these genes might not be independent predictors of survival, we analyzed the relationship between survival and our gene expression groups (high, mid, or low expression) for NSD1, NSD2, and NSD3 concurrently by multivariate analysis (Table 1). In addition to the expression of the NSD paralogs, we also included clinical variables that could influence outcome in our multivariate analysis, such as: sex, anatomical subsite, T stage, N stage, overall stage, HPV type, and smoking history (Table 1). The results of our multivariate analysis revealed that the HRs for NSD1 (low vs high expression) and NSD3 (low vs high expression and low vs mid expression) remained significant (HR = 32.88, 95% confidence interval (CI) = 3.23-333.33, p = 0.003; HR = 103.1, 95% CI = 8.55-1.24e03, p = 0.0003; HR = 135.3, 95% CI = 6.62-2.76e03, p = 0.001, respectively), indicating that low expression of NSD1 and NSD3 is a significant, and potentially independent, contributor to overall survival in the HPV+ HNSCC cohort. The final multivariate model also included age (HR = 0.13, 95% CI = 0.023-0.70, p =



**Fig. 4** T-cell infiltration is not correlated with *NSD1* expression in HPV+ HNSCC, but is weakly correlated in HPV- HNSCC. A previously reported 13 gene T-cell transcript signature was calculated for each sample in the TCGA HNSCC cohort and compared pairwise with normalized RNA-seq data for *NSD1*, *NSD2*, and *NSD3* for HPV+ (**a**) and HPV- (**b**) HNSCC samples. For each *NSD* paralog, the correlation with the T-cell transcript signature was calculated by Spearman's Rho analysis



**Fig. 5** Low *NSD1*, *NSD2*, or *NSD3* expression is strongly associated with reduced survival in patients with HPV+ but not HPV- HNSCC. Overall survival of patients grouped by high, mid, or low expression of *NSD1*, *NSD2*, or *NSD3* in the HPV+ (a) and HPV- (b) HNSCC cohorts. Comparison between groups were calculated with the 2-sided log-rank test (*p* value) and multiple comparisons corrected with the Benjamini–Hochberg method utilizing an FDR threshold of 10% (*q* value). Numbers in brackets indicate the number of samples included in each expression group

**Table 1** Univariate and multivariate analysis of *NSD* paralog expression and clinical variables and their association with overall survival in the HPV+ HNSCC cohort

		<b>Univariate Analysis</b>		Multivariate Analysis	
Variables		HR (95% CI)	P value	HR (95% CI)	P value
Sex	Male vs Female	0.81 (0.18-3.62)	0.78		
Age	< 60 vs ≥ 60	0.96 (0.32-2.83)	0.94	0.13 (0.023-0.70)	0.02
Subsite	Oral Cavity vs Oropharynx	2.82 (1.02–7.80)	0.045	2.51 (0.55–11.48)	0.23
	Other vs Oropharynx	1.54e-08 (0 - Inf)	1.00	3.72e-10 (0 - Inf)	1.00
	Oral Cavity vs Other	1.84e08 (0 - Inf)	1.00	6.75e09 (0 - Inf)	1.00
T Stage	T3 - T4 vs T1 - T2	1.03 (0.36–2.91)	0.96		
N Stage	N2b - N3 vs N0 - N2a	0.41 (0.14–1.19)	0.10	0.19 (0.034–1.047)	0.06
Overall Stage	IV vs I - III	0.76 (0.26–2.24)	0.62	0.034 (0.004–0.33)	0.003
HPV Type	Other vs 16	3.33 (1.14–9.78)	0.028	13.03 (2.46–69.13)	0.003
Smoking History	Heavy Smoker vs Non Smoker	1.57 (0.47–5.22)	0.47		
NSD1	Low vs High Expression	6.15 (1.43–26.32)	0.015	32.88 (3.23–333.33)	0.003
	Low vs Mid Expression	5.95 (1.49–23.81)	0.012	4.94 (0.84–29.41)	0.08
	High vs Mid Expression	0.97 (0.24–3.91)	0.96	0.15 (0.018–1.23)	0.08
NSD2	Low vs High Expression	5.08 (1.08–23.88)	0.04		
	Low vs Mid Expression	2.93 (0.89–9.67)	0.08		
	High vs Mid Expression	0.58 (0.10-3.29)	0.54		
NSD3	Low vs High Expression	10.03 (1.98–50.94)	0.005	103.1 (8.55-1.24e03)	0.0003
	Low vs Mid Expression	10.63 (2.03–55.62)	0.005	135.3 (6.62-2.76e03)	0.001
	High vs Mid Expression	1.06 (0.17-6.63)	0.95	1.31 (0.12–14.34)	0.82

P<0.05 are in italic

0.02), overall stage (HR = 0.034, 95% CI = 0.004–0.33, p = 0.003), and HPV type (HR = 13.03, 95% CI = 2.46–69.13, p = 0.003) as statistically significant contributing factors to survival.

## Discussion

In general, the clinical management of HNSCC is complex, often associated with significant treatment induced morbidities and associated with unacceptably low clinical outcomes. HPV-dependent tumors of the oropharynx are a notable exception, as this subset of HNSCC exhibits dramatically better clinical outcomes. As a result, there is an effort to de-intensify treatment for patients with HPV+ disease in an effort to reduce the acute and chronic toxicities associated with the aggressive treatment protocols necessary for treatment of HPV- HNSCC [12]. Nevertheless, approximately 15-20% of patients with HPV+ disease still fail treatment. The cause of their treatment failure is still unknown, although there is some evidence that outcome depends on HPV genotype and clinical parameters such as tumor size and patient smoking history [10, 34, 35]. However, additional prognostic biomarkers that accurately predict the level of therapeutic intensity necessary for effective treatment are urgently needed.

A variety of evidence indicates that the NSD1 methyltransferase gene and its paralogs are actively involved in the development of a subset of HNSCC [8] and that their mutation status can predict clinical outcome in HPV- HNSCC [21, 25]. Using TCGA data, we found that HPV+ tumors expressed statistically significant higher levels of NSD1, NSD2, and NSD3 compared to their HPV- counterparts and normal control tissues (Fig. 1). The unexpected observation that all three paralogs were upregulated in the HPV+ cohort could be related to the constitutive expression of the viral E6 and E7 oncogenes. Correlation of the expression of E6 and E7 mRNA with those of NSD1, NSD2, or NSD3 in a pairwise fashion indicated that only NSD2 had a statistically significant correlation with either E6 or E7 (Fig. 2). We also investigated if individual tumor samples expressed high levels of a single NSD paralog on a mutually exclusive basis, or if their expression might be coordinately regulated. Our pairwise analysis indicated that tumors expressing high levels of one of these methyltransferases expressed high levels of the other two paralogs (Fig. 2). This coordinate expression pattern was observed in both the HPV+ and HPV- HNSCC cohorts. Thus, coordinate upregulation of the NSD paralogs does not appear to be a specific consequence of HPV oncogene expression, as it is observed in both HPV+ and HPV- tumors.

One study reported that mutations in NSD1, or low NSD1 expression leads to an immune "cold" phenotype in HPV- HNSCC [24]. This was characterized using a 13 gene TIL signature developed from melanoma studies [31]. As HPV+ HNSCC are generally considered immune "hot" tumors, with higher immune infiltration and CD8+ T-cell activation compared to HPV- HNSCC [32], we tested whether expression levels of the NSD paralogs was also linked to TIL levels in HPV+ samples. Despite confirming a weak correlation between NSD1 RNA expression and TIL signature in HPV- HNSCC (Fig. 4b), and confirming that the TIL signature is generally higher in HPV+ HNSCC compared to both HPV- HNSCC and normal control tissues (Fig. 3), no significant correlation was observed for NSD1, NSD2, or NSD3 in HPV+ HNSCC (Fig. 4a). Thus, expression of the NSD paralogs is not correlated with the infiltrating T-cell component of the tumor microenvironment in HPV+ HNSCC. This illustrates vet another difference between HPV+ and HPV- HNSCC. Interestingly, no significant relationship between NSD2 or NSD3 expression with the TIL signature was detected in the HPV- HNSCC cohort. This was unexpected, given the positive correlation with NSD1, and the observation that NSD paralogs appear to be expressed in a coordinate fashion regardless of HPV status (Fig. 2).

Damaging mutations in NSD1 and NSD2 were reported to define a subset of stage 3 and 4 laryngeal tumors with favorable prognosis [25]. In addition, mutations in NSD1 are also present more frequently in heavy smokers and correlated with improved overall survival [21]. As mutations of NSD paralogs other than NSD1 are relatively infrequent in the HPV+ TCGA HNSCC cohort [6], we instead chose to assess if NSD1, NSD2, or NSD3 RNA expression was related to overall survival (Fig. 5). A previous report established that NSD1 transcript expression levels are a reasonable estimate of NSD1 proficiency [32]. Therefore, we grouped the HPV+ and HPV- HNSCC cohorts based on high (zscore > 0.5), mid (-0.5 < z-score < 0.5), or low (z-score <- 0.5) expression of each paralog and determined overall survival. Importantly, a dramatic and significant decrease in survival is clearly correlated with reduced NSD1, NSD2, or NSD3 expression in HPV+ samples (Fig. 5a). These survival differences in the NSD1 and NSD3 analyses were independent predictors of survival based on multivariate analysis, with very large effects on relative risk of death (Table 1). It is important to stress that for HPV+ samples, low levels of NSD expression predict poor outcome. In stark contrast, damaging mutations in NSD1 and NSD2 predict a favourable prognosis in HPV-HNSCC. Thus, NSDs could potentially serve as prognostic tools in completely different ways in different HNSC C types. Importantly, despite the obvious potential for using *NSD* gene expression levels as a tool to identify those HPV+ patients with poor prognosis, *NSD* levels could also be used as a guide to select those patients that could benefit from treatment de-intensification. For example, those patients in the upper two-thirds of *NSD* expression exhibit excellent outcomes that may allow less aggressive treatment, while those in the lower third exhibit poor outcomes that may warrant more aggressive treatment.

Given that the NSD paralogs all function as H3K36 methyltransferases, it would seem likely that those HPV+ tumors expressing high NSD levels might exhibit methylation and/or gene expression profiles that differ from those expressing low NSD levels. However, intensive efforts based on hierarchical clustering did not identify HPV+ subsets with different methylomes that predict clinical outcomes [8]. Intriguingly, NSDs also methylate and regulate the activities of other nonhistone substrates, including the proinflammatory NFκB transcription factor [15, 36] and IRF3, a key activator of type-I interferon transcription [17]. As both of these transcription factors play key roles in antiviral immunity, reduced expression in HPV+ HNSCC might limit innate immune responses that help clear these virally induced tumors. This is further supported by the observation that NSD2 knockout impaired the ability of type-I interferon to induce expression of an antiviral gene response [19]. However, NSD levels in HPV+ HNSCC are not correlated with an increased TIL score, suggesting that any potential effects on innate immunity do not correlate with increased infiltration of these already highly infiltrated tumors.

#### **Conclusions**

Taken together, this study provides strong evidence that the NSD methyltransferases play opposite roles within HPV+ and HPV- HNSCC. Most importantly and paradoxically, while mutation/loss of function of *NSD* members predicts improved clinical outcome in HPV- HNSC C, low level expression of these genes is a very strong predictor of poor outcome in HPV+ HNSCC. Thus, further investigation of the expression levels of *NSD1*, *NSD2*, and *NSD3* is warranted, as these could serve as rapidly exploitable prognostic biomarkers in HPV+ HNSCC, providing a metric for appropriate treatment de-intensification.

#### **Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s13027-021-00347-6.

Additional file 1.

#### Abbreviations

EGFR: Epidermal growth factor receptor; FDR: False discovery rate; H3K4: H3 lysine-4; H4K20: H4 lysine-20; H3K36: H3 lysine-36; HR: Hazard ratio; HNSC

C: Head and neck squamous cell carcinomas; HPV: Human papillomavirus; HPV-: HPV-negative; HPV+: HPV-positive; IRF3: Interferon regulatory factor 3; NF-kB: Nuclear factor kappa-light-chain-enhancer of activated B cells; NSD: Nuclear receptor binding SET domain protein; PTEN: Phosphatase and tensin homolog; RSEM: RNA-Seq by Expectation-Maximization; TCGA: The Cancer Genome Atlas; TILs: Tumor-infiltrating lymphocytes

#### Authors' contributions

Writing – Original Draft: S.F.G., F.G., and J.S.M.; Writing – Review and Editing: All authors; Conceptualization: J.S.M., A.C.N, S.F.G., and F.G.; Software, Formal Analysis and Visualization: S.F.G, F.G., P.Y.F.Z., N.M. and P.P.; Supervision: C.J.H., A.C.N., J.S.M.; All authors have read and agreed to the published version of the manuscript.

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#### Availability of data and materials

The following are available online: Additional file 1- Table S1 HNSCC clinical and molecular characteristics.

#### Ethics approval and consent to participate

There was no need for ethical approval as all data in this study were downloaded from public databases (TCGA), and the data processing met the TCGA publication guidelines (https://cancergenome.nih.gov/publications/guidelines).

#### Consent for publication

Not applicable.

#### **Competing interests**

The author reports no conflicts of interest in this work.

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