

U-shaped correlation of lymphocyte count with all-cause hospital mortality in sepsis and septic shock patients: a MIMIC-IV and eICU-CRD database study

Guyu Zhang¹, Tao Wang¹, Le An¹, ChenChen Hang¹, XingSheng Wang¹, Fei Shao¹, Rui Shao¹ and Ziren Tang^{1*}

Abstract

Background In sepsis, the relationship between lymphocyte counts and patient outcomes is complex. Lymphocytopenia and lymphocytosis signifcantly infuence survival, illustrating the dual functionality of lymphocytes in responding to infections. This study investigates this complex interaction, focusing on how variations in lymphocyte counts correlate with all-cause hospital mortality among sepsis patients.

Methods This retrospective cohort study analyzed data from two extensive critical care databases: the Medical Information Mart for Intensive Care IV 2.0 (MIMIC-IV 2.0) from Beth Israel Deaconess Medical Center, Boston, Massachusetts, and the eICU Collaborative Research Database (eICU-CRD), which was Multi-center database from over 200 hospitals across the United States conducted by Philips eICU Research Institute. We included adult patients aged 18 years and older who met the Sepsis-3 criteria, characterized by documented or suspected infection and a Sequential Organ Failure Assessment (SOFA) score of 2 or higher. Sepsis patients were categorized into quartiles based on lymphocyte counts. The primary outcome was all-cause mortality in the hospital, with 90 and 60-day all-cause mortality as the secondary outcomes. Univariable and multivariable Cox proportional hazard regressions were utilized to assess lymphocyte counts' impact on hospital mortality. An adjusted restricted cubic spline (RCS) analysis was performed to elucidate this relationship further. Subgroup analyses were also conducted to explore the association across various comorbidity groups among sepsis and septic shock patients.

Results Our study included 37,054 patients, with an observed in-hospital mortality rate of 16.6%. Univariable and multivariable Cox proportional hazard regression models showed that lymphocyte counts were independently associated with in-hospital mortality (HR=1.04, *P*<0.01; HR=1.06, *P*<0.01). RCS regression analysis revealed a U-shaped relationship between lymphocyte levels and hospital mortality risk in sepsis and septic shock patients (*P* for overall<0.001, *P* for nonliner<0.01; *P* for overall=0.002, *P* for nonliner=0.014). Subgroup analyses revealed that elevated lymphocyte counts correlated with increased hospital mortality among sepsis patients with liver disease and requiring renal replacement therapy (*P* for overall = 0.021, *P* for nonliner = 0.158; *P* for overall = 0.025, *P* for nonliner=0.759). These fndings suggest that lymphocytes may have enhanced prognostic value in specifc subsets of critically ill sepsis patients.

*Correspondence: Ziren Tang tangziren1970@126.com Full list of author information is available at the end of the article

© The Author(s) 2024. **Open Access** This article is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License, which permits any non-commercial use, sharing, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if you modifed the licensed material. You do not have permission under this licence to share adapted material derived from this article or parts of it. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit<http://creativecommons.org/licenses/by-nc-nd/4.0/>.

Conclusion Our fndings demonstrate that lymphocyte counts are a signifcant independent predictor of hospital mortality in sepsis and septic shock patients. We observed a U-shaped association between lymphocyte levels and mortality risk, indicating that high and low counts are linked to increased mortality. This result highlights the complex role of lymphocytes in sepsis outcomes and suggests the need for further investigation into the underlying mechanisms and potential therapeutic approaches. Integrating lymphocyte count assessment into risk stratifcation algorithms and clinical decision support tools could enhance the early identifcation of high-risk sepsis patients.

Keywords Sepsis, Lymphocyte counts, Prediction, Restricted cubic spline, Intensive care unit

Introduction

Sepsis is a potentially fatal clinical syndrome triggered by various infectious syndromes and characterized by a dysregulated systemic infammatory response. Despite substantial progress in medical interventions and an improved comprehension of its underlying pathophysiological mechanisms, sepsis remains a leading cause of intensive care unit (ICU) admissions. The persistent high incidence of sepsis-related ICU admissions highlights the urgent need for continued research to reduce its clinical burden $[1-3]$ $[1-3]$. Septic shock and sepsis rapidly advance infammatory states marked by immunosuppression [\[4\]](#page-13-2). Lymphocytes, a critical subset of white blood cells (WBC), comprise 20–40% of the total WBC count [[5\]](#page-13-3). Sepsis-induced lymphocyte apoptosis, associated with adverse outcomes, involves increased cell death and impaired lymphocyte proliferation, signifcantly reducing circulating lymphocyte percentages [\[6](#page-13-4), [7](#page-13-5)]. Sepsis triggers the release of pro-apoptotic factors such as glucocorticoids, reactive oxygen species, and pro-infammatory cytokines, which promote lymphocyte apoptosis [\[8](#page-13-6)]. The depletion of lymphocytes, mainly T, B, and natural killer cells, compromises innate and adaptive immune responses. [[9](#page-13-7), [10\]](#page-13-8). Sepsis-associated lymphopenia makes patients susceptible to secondary infections, often resulting in prolonged hospital stays and increased mortality rates [[11](#page-13-9), [12\]](#page-13-10). Recent studies have explored the relationship between lymphocyte counts and patient outcomes. Kazan et al. found that among COVID-19 patients admitted to the ICU, those with higher lymphocyte levels had better survival rates than individuals with decreased lymphocyte counts, while lower lymphocyte counts on the ffth day of hospitalization correlated with increased mortality [[13](#page-13-11)]. Despite advances in sepsis research, our understanding of the relationship between lymphocyte counts and mortality risk in critically ill sepsis patients remains incomplete [\[14](#page-13-12)[–16\]](#page-13-13). Furthermore, lymphocytosis is recognized as an indicator of poor clinical outcomes under specifc conditions [[17\]](#page-13-14).

We hypothesized that a non-linear relationship exists between lymphocyte counts and hospital mortality risk in critically ill sepsis patients. To investigate this, we analyzed the association between lymphocyte counts and all-cause hospital mortality in sepsis patients using data from two large intensive care databases: the Medical Information Mart for Intensive Care IV 2.0 (MIMIC-IV 2.0) and the eICU Collaborative Research Database 2.0 (eICU-CRD 2.0). We aimed to provide a comprehensive understanding of the prognostic signifcance of lymphocyte counts in sepsis. This research could inform clinical decision-making and improve risk stratifcation strategies in critical care settings.

Methods

Data source

This retrospective study utilized data from two comprehensive, publicly accessible critical care databases: the Medical Information Mart for Intensive Care IV 2.0 (MIMIC-IV 2.0) and the eICU Collaborative Research Database 2.0 (eICU-CRD 2.0).

The MIMIC-IV 2.0 is a publicly accessible database containing adult (\geq 18 years) medical data from Beth Israel Deaconess Medical Center in Boston, Massachusetts. It covers admissions to medical, surgical, coronary, and cardiac surgery intensive care units from 2008 to 2019. The database provides extensive information, including demographic data, vital sign measurements, comprehensive laboratory test results, detailed medication records, procedural information, ICD coding, and hospital length of stay [[18\]](#page-13-15).

The eICU-CRD 2.0, conducted by the Philips eICU Research Institute, is a multi-center database representing over 200 hospitals across the United States from 2014 to 2015. This telehealth archive focuses exclusively on adult ICU patients and includes continuous and intermittent vital signs, laboratory measurements, pharmaceutical records, detailed care plan information, admission diagnoses, and treatment information [\[19](#page-13-16)].

Participants

This study enrolled sepsis participants aged 18 or older from the MIMIC-IV 2.0 and eICU-CRD 2.0 databases. Inclusion criteria were as follows:1) Patients with confrmed infections and a Sequential Organ Failure Assessment (SOFA) score of 2 or greater, meeting the

Sepsis-3.0 diagnostic criteria, were eligible for inclusion [[4\]](#page-13-2). 2) Complete peripheral blood counts recorded within twenty-four hours of ICU admission.

Exclusion criteria were as follows: 1) ICU stay of less than twenty-four hours; 2) presence of immunodefciency virus (HIV), rheumatism, malignancies, metastatic neoplasms, or hematological disorders including aplastic anemia; 3) the absence of lymphocyte data on the day of admission; 4) Only the frst ICU admission was considered in cases of recurrent hospitalizations.

Data extraction

Clinical data were extracted using SQL queries from the MIMIC-IV 2.0 and eICU-CRD 2.0 databases. The extracted data, primarily from the frst 24 h of ICU admission, included: 1) Laboratory assessments: Platelets, monocytes, neutrophil count, lymphocytes, WBC, blood glucose, hemoglobin, albumin, blood urea nitrogen (BUN), creatinine, sodium, potassium, alanine aminotransferase (ALT), aspartate aminotransferase (AST), high-density lipoprotein, low-density lipoprotein, total cholesterol, and triglycerides. 2) Demographic details and vital signs: sex, age, heart rate, systolic and diastolic, temperature, blood pressure, and SOFA score. 3) Blood gas analysis: Lactate, PH, PaO₂fio₂ratio, Fio₂, Paco₂, Pao₂. 4) ICU-related data: Length of ICU stay and survival status at discharge. 5) Comorbidities and Treatments: congestive heart failure, myocardial infarction, renal disease, chronic pulmonary or liver disease, mechanical ventilatory support, and renal replacement therapy. Average values were employed for variables documented multiple times in the frst twenty-four hours post-ICU admission.

Data extraction was performed by our research team member Guyu Zhang, who has extensive experience working with these databases and obtained approval certifcation number (55,849,941). Before data extraction, all team members underwent training on the structure and content of the MIMIC-IV 2.0 and eICU-CRD 2.0 databases.

Outcome measures

The primary endpoint was all-cause hospital mortality. Secondary outcomes included 60-day and 90-day allcause hospital mortality, allowing for assessment of both short-term and intermediate-term mortality risks associated with lymphocyte levels.

Statistical analysis

Clinical data were extracted using SQL queries. Continuous variables were presented as mean±standard deviation for normally distributed data or median and interquartile range for non-normally distributed data. Continuous variables were analyzed using either the Student's t-test for normally distributed data or the Mann–Whitney U test for non-normally distributed data. We employed the Chi-square test or Fisher's exact test as appropriate for categorical variables, presented as proportions.

To explore the independent prognostic signifcance of lymphocytes on hospital mortality, we performed univariable and multivariable analyses using Cox proportional hazard models facilitated by the 'survival' package in R. Results were reported as hazard ratios (HR) with 95% confdence intervals (CI). Spearman correlation analyses using the R package 'ggpur' were performed to prevent overftting due to multicollinearity. Clinically relevant and prognosis-associated variables such as WBC, gender, age, albumin, creatinine, potassium, temperature, frst-day sofa score, and respiratory rate were included in the restricted cubic spline (RCS) model to investigate the associations between lymphocytes and hospital mortality by R package 'rms'. Patients were categorized into quartiles based on lymphocyte counts. Kaplan–Meier survival analysis was conducted to evaluate the incidence rate of hospital mortality across lymphocyte level groups, with group discrepancies assessed by log-rank tests using the R package 'Survminer'. Analyses were further stratifed according to diabetes, congestive heart failure, renal disease, liver disease, cerebrovascular disease, invasive ventilation, renal replacement therapy, and chronic pulmonary disease to verify the robustness of the prognostic efect of lymphocytes on hospital mortality. Interactions between lymphocytes and stratifcation variables were examined using likelihood ratio tests.

Variables with more than 30% missing data, such as lactate, PaO2/FiO2 ratio, pH, FiO2, PaCO2, PaO2, highdensity lipoprotein, low-density lipoprotein, total cholesterol, and triglycerides, were excluded from the analysis (Figure S1). The remaining 33 predictor variables, identifed at ICU admission, were included. Missing values among these selected variables were imputed using predictive mean matching (PMM) through the 'mice' package. Data analysis was performed with R software, version 4.1.3 (Beijing, China), and statistical signifcance was established at a two-tailed *P*-value threshold of <0.05 for all analyses conducted in the study.

Results

Demographics and clinical characteristics

Based on the inclusion criteria, our study included 37,054 sepsis patients (Fig. [1](#page-3-0)). Table [1](#page-4-0) reveals that in the survival group, levels of white blood cells (WBC), neutrophils, monocytes, platelet count, blood urea nitrogen (BUN), creatinine, glucose, potassium, alanine aminotransferase (ALT), aspartate aminotransferase (AST), heart rate, respiratory rate, and age were signifcantly lower compared

Fig. 1 A flow chart illustrating the regulatory model of patient enrollment and analysis workflow

to those in the non-survival group. Conversely, levels of lymphocytes, albumin, calcium, and hemoglobin were higher in the survival group. Comorbidities were less prevalent in the survival group than in the non-survival group. Specifcally, we observed lower rates of congestive heart failure (24.3% vs. 29.8%, *P*<0.001), chronic pulmonary disease (22.5% vs. 24.1%, *P*<0.008), myocardial infarction (10.3% vs. 13.5%, *P*<0.001), renal diseases (19.9% vs. 25.3%, *P*<0.001), liver disease (7.49% vs. 15.7%, *P*<0.001), and cerebrovascular disease (12.6% vs 16.3%, $P < 0.001$). The non-survival group had a higher need for invasive ventilation (55.1% vs 74.6%, *P*<0.001) and renal treatment (19.9% vs 25.3%, *P*<0.001). Patients were categorized into four groups based on their lymphocyte count during the frst twenty-four hours of admission, using quartile for classifcation (Q1: 0.05–0.81; Q2: 0.81–1.3; Q3: 1.3–2; Q4: 2–8). As shown in Table [2](#page-5-0), the third quartile (Q3) group exhibited the highest survival rate (87.6%, *P*<0.001). Furthermore, the incidence rate of myocardial infarction was not statistically signifcant across diferent groups. A similar pattern was observed in patients with septic shock (TableS1).

Lymphocyte counts and hospital mortality risk

A univariable Cox regression analysis was conducted to identify variables infuencing hospital mortality. As shown in Table [3](#page-6-0), WBC, neutrophils, monocytes, gender, age, albumin, creatinine, potassium, temperature, respiratory rate, and the frst-day sofa score were signifcantly associated with hospital mortality. Conversely, ALT, AST, heart rate, blood pressure components, platelets, glucose, and sodium were found to have limited clinical signifcance,with hazard ratios close to 1. We conducted a correlation analysis to address potential collinearity among the remaining continuous variables. Figure [2](#page-7-0)A demonstrates strong correlations among WBC,

Table 1 Baseline characteristics of sepsis patients in MIMIC-IV and eICU-CRD

	Survival	Non-Survival	P value	
	$N = 31,835$	$N = 5219$		
Age (Years)	64.2 (16.6%)	69.7 (15.0%)	< 0.001	
WBC (10 ⁹ /L)	15.6 ± 8.06	17.6 ± 9.77	< 0.001	
Lymphocytes (10 ⁹ /L)	1.63 ± 1.19	1.55 ± 1.29	< 0.001	
Neutrophils (10 ⁹ /L)	12.5 ± 7.18	14.3 ± 8.44	< 0.001	
Monocytes (10 ⁹ /L)	0.99 ± 0.72	1.09 ± 0.87	< 0.001	
Platelet (10 ⁹ /L)	230 ± 106	221 ± 114	< 0.001	
Hemoglobin (g/dl)	11.7 ± 2.27	11.4 ± 2.30	< 0.001	
Albumin (g/dl)	3.11 ± 0.68	2.86 ± 0.70	< 0.001	
Bun (mg/dl)	33.2 ± 22.9	42.1 ± 24.4	< 0.001	
Calcium (mg/dl)	8.64 ± 0.78	8.59 ± 0.84	< 0.001	
Creatinine (mg/dl)	1.89 ± 1.68	2.26 ± 1.58	< 0.001	
Glucose (mg/dl)	175 ± 85.5	191 ± 88.7	< 0.001	
Sodium (mEq/L)	140 ± 4.89	140 ± 5.97	< 0.001	
Potassium (mEq/L)	4.52 ± 0.76	4.70 ± 0.83	< 0.001	
ALT (IU/L)	27.0 [17.0-50.0]	34.0 [19.0-84.0]	< 0.001	
AST (IU/L)	34.0 [22.0-67.0]	55.0 [29.0-150]	< 0.001	
Heart rate	109 ± 21.5	114 ± 22.9	< 0.001	
Systolic blood pressure (mmHq)	145 [130-163]	143 [127-161]	< 0.001	
Diastolic blood pressure (mmHg)	86.0 [74.0-99.0]	87.0 [73.0-100]	0.842	
Mean blood pressure (mmHg)	144 [109–172]	140 [107-170]	< 0.001	
Respiratory Rate	29.3 ± 7.44	31.4 ± 7.72	< 0.001	
Temperature (°C)	37.6 ± 0.86	37.5 ± 0.89	< 0.001	
Spo2 (%)	99.5 ± 0.98	99.6 ± 0.92	< 0.001	
Gender			0.992	
Female	14,462 (45.4%)	2372 (45.4%)		
Male	17,371 (54.6%)	2847 (54.6%)		
Renal replacement therapy			< 0.001	
No	28,717 (90.2%)	4097 (78.5%)		
Yes	3118 (9.79%)	1122 (21.5%)		
Invasive ventilation			< 0.001	
Nο	14,303 (44.9%)	1328 (25.4%)		
Yes	17,532 (55.1%)	3891 (74.6%)		
Myocardial infarct			< 0.001	
No	28,570 (89.7%)	4517 (86.5%)		
Yes	3265 (10.3%)	702 (13.5%)		
Congestive heart failure			< 0.001	
No	24,102 (75.7%)	3663 (70.2%)		
Yes	7733 (24.3%)	1556 (29.8%)		
Chronic pulmonary disease			0.008	
No	24,684 (77.5%)	3959 (75.9%)		
Yes	7151 (22.5%)	1260 (24.1%)		
Renal disease			< 0.001	
No	25,496 (80.1%)	3901 (74.7%)		
Yes	6339 (19.9%)	1318 (25.3%)		
Diabetes			0.150	

Table 1 (continued)

WBC hite Blood count, *ALT* alanine aminotransferase, *AST* aspartate aminotransferase

neutrophils, and monocytes. Based on these fndings, WBC was selected as the adjusted variable. A multivariable Cox regression analysis was then utilized to assess the independent prognostic signifcance of lymphocytes (Table [3\)](#page-6-0). After adjusting for WBC, gender, age, albumin, creatinine, potassium, temperature, respiratory rate, and the frst-day sofa score, the RCS model revealed a U-shaped relationship between lymphocytes and hospital mortality (Fig. [2](#page-7-0)B). The optimal lymphocyte level associated with the lowest in-hospital mortality was identified as 1.85×10^9 /L, within the Q3 interval. Both lower and higher lymphocyte counts were signifcantly associated with increased hospital mortality rates. This pattern remained consistent in patients with and without septic shock (Fig. [3\)](#page-8-0).

Survival outcomes and subgroup analyses

The Kaplan–Meier survival analysis curve was employed to illustrate the hospital mortality rates at 60 and 90 days, revealing signifcant diferences across various groups. The second $(Q2)$ and third $(Q3)$ quartile groups demonstrated longer median survival time of 50.5 and 57.6 days, respectively, compared to the frst quartile (Q1) group $(P<0.05)$. In contrast, the Q1 and fourth quartile $(Q4)$ groups exhibit similar median survival times of 40.5 and 41 days, respectively, with no signifcant diference $(P>0.05)$ (Fig. [4A](#page-9-0)). This pattern remained consistent for 60-day hospital mortality (Fig. [4](#page-9-0)B) and septic patients (FigureS2). As shown in Fig. [5,](#page-10-0) the subgroup analysis further elucidated the relationship between lymphocyte counts and hospital mortality across various patient subgroups. A U-shaped relationship was observed in patients receiving invasive ventilation therapy and those with congestive heart failure, diabetes, or renal disease(Fig. [5](#page-10-0)A-D), indicating that low and high lymphocyte counts were

Q1 Q2 Q3 Q4 *P* **value** *N***=9405** *N***=9020** *N***=8765** *N***=9864** Age (Years) 66.8±15.6 66.8±15.6 65.9±16.1 64.6±16.8 62.7±17.1 64.6±16.8 62.7±17.1 $WBC (10^9/1)$ /L) 13.1±7.61 14.8±7.62 16.1±7.75 19.1±9.03 <0.001 Lymphocytes (10⁹/L) /L) 0.53±0.19 1.05±0.14 1.61±0.20 3.19±1.20 <0.001 Neutrophils (10⁹/L) /L) 11.0±6.91 12.2±6.88 12.8±7.11 14.8±8.01 <0.001 Monocytes (10⁹/L) /L) 0.65±0.51 0.90±0.59 1.06±0.68 1.38±0.92 <0.001 Platelet (10⁹/L) /L) 193±99.4 222±101 238±106 260±110 <0.001 Hemoglobin (g/dl) 11.2±2.18 11.5±2.27 11.7±2.24 12.1±2.31 <0.001 Albumin (g/dl) 2.99±0.66 3.05±0.67 3.09±0.69 3.16±0.71 <0.001 Bun (mg/dl) 37.6±24.3 35.6±23.8 32.7±22.5 31.9±22.1 <0.001 Calcium (mg/dl) 8.50±0.80 8.60±0.77 8.66±0.78 8.77±0.78 <0.001 Creatinine (mg/dl) 2.04±1.73 2.02±1.74 1.87±1.60 1.85±1.58 <0.001 Glucose (mg/dl) 173±78.3 174±81.9 173±84.4 189±96.9 <0.001 Sodium (mEq/L) 139 [136–142] 140 [137–143] 140 [137–143] 140 [138–143] <0.001 Potassium (mEq/L) 4.51±0.77 4.52±0.78 4.54±0.76 4.61±0.77 <0.001 ALT (IU/L) 28.0 [17.0–55.0] 27.0 [17.0–51.0] 27.0 [17.0–50.0] 28.0 [17.0–53.0] <0.001 AST (IU/L) 38.0 [23.0–81.0] 36.0 [22.0–73.0] 35.0 [22.0–70.0] 36.0 [22.0–76.0] <0.001 Heart rate 109±21.5 109±21.7 109±21.6 111±22.1 <0.001 Systolic blood pressure (mmHg) 143 [128–160] 145 [129–163] 144 [130–163] 146 [130–165] <0.001 Diastolic blood pressure (mmHg) 85.0 [74.0–98.0] 86.0 [75.0–99.0] 86.0 [74.0–99.0] 87.0 [74.0–101] <0.001 Mean blood pressure (mmHg) 134 [103–163] 142 [107–170] 145 [108–172] 152 [120–180] <0.001 Respiratory Rate 29.9±7.39 29.6±7.44 29.3±7.56 29.6±7.66 <0.001 Temperature (℃) 37.6±0.89 37.6±0.85 37.6±0.85 37.6±0.84 37.6±0.86 37.6±0.86 37.6±0.86 37.6±0.86 37.6 Spo2 (%) 99.5±1.04 99.5±1.00 99.6±0.95 99.6±0.88 <0.001 Gender <0.001 Female 4079 (43.4%) 4003 (44.4%) 3958 (45.2%) 4794 (48.6%) Male 5325 (56.6%) 5016 (55.6%) 4807 (54.8%) 5070 (51.4%) Renal replacement therapy < 0.001 No 8124 (86.4%) 7916 (87.8%) 7858 (89.7%) 8916 (90.4%) Yes 1281 (13.6%) 1104 (12.2%) 907 (10.3%) 948 (9.61%) Invasive ventilation <0.001 No 4293 (45.6%) 3924 (43.5%) 3687 (42.1%) 3727 (37.8%) Yes 5112 (54.4%) 5096 (56.5%) 5078 (57.9%) 6137 (62.2%) Myocardial infarct 0.253 No 8362 (88.9%) 8031 (89.0%) 7852 (89.6%) 8842 (89.6%) Yes 1043 (11.1%) 989 (11.0%) 913 (10.4%) 1022 (10.4%) Congestive heart failure < 0.001 No 6670 (70.9%) 6622 (73.4%) 6659 (76.0%) 7814 (79.2%) Yes 2735 (29.1%) 2398 (26.6%) 2106 (24.0%) 2050 (20.8%) Chronic pulmonary disease <0.001 No 6812 (72.4%) 6927 (76.8%) 6916 (78.9%) 7988 (81.0%) Yes 2593 (27.6%) 2093 (23.2%) 1849 (21.1%) 1876 (19.0%) Renal disease <0.001 No 7090 (75.4%) 6979 (77.4%) 7086 (80.8%) 8242 (83.6%) Yes 2315 (24.6%) 2041 (22.6%) 1679 (19.2%) 1622 (16.4%) Diabetes <0.001 No 6411 (68.2%) 5866 (65.0%) 5858 (66.8%) 6266 (63.5%) Yes 2994 (31.8%) 3154 (35.0%) 2907 (33.2%) 3598 (36.5%) Liver disease <0.001

Table 2 Baseline characteristics of sepsis patients according to lymphocytes quartile

Table 2 (continued)

	Q1 $N = 9405$	Q ₂ $N = 9020$	Q3	O4 $N = 9864$	P value
			$N = 8765$		
No.	8271 (87.9%)	8190 (90.8%)	8118 (92.6%)	9271 (94.0%)	
Yes	1134 (12.1%)	830 (9.20%)	647 (7.38%)	593 (6.01%)	
Cerebrovascular disease					0.011
No.	8229 (87.5%)	7877 (87.3%)	7547 (86.1%)	8525 (86.4%)	
Yes	1176 (12.5%)	1143 (12.7%)	1218 (13.9%)	1339 (13.6%)	
The length of ICU	5.21 $[2.62 - 11.0]$	4.67 [2.38-9.58]	4.38 [2.25-8.71]	4.04 [2.08-7.71]	< 0.001
Survival status					< 0.001
Dead	1626(17.3%)	1243(13.8%)	1085(12.4%)	1265(12.8%)	
Live	7779(82.7%)	7777(86.2%)	7680(87.6%)	8599(87.2%)	

Table 3 Cox regression analysis of the variables

WBC White Blood count, *ALT* alanine aminotransferase, *AST* aspartate aminotransferase

associated with increased mortality in these groups. In contrast, no signifcant relationship between lymphocyte counts and hospital mortality was found in patients with cerebrovascular or chronic pulmonary disease (Fig. [5](#page-10-0)G-H). Patients with liver disease and undergoing renal replacement therapy exhibited a linear correlation

Fig. 2 Analysis of the correlation between clinical variables (**A**) and Restricted cubic spline: lymphocyte count and hospital mortality risk (**B**)

between lymphocyte counts and hospital mortality (*P* for overall=0.021, *P* for nonliner=0.158, *P* for overall=0.025, *P* for nonliner=0.759) (Fig. $5E-F$ $5E-F$). Notably, signifcant interactions were observed in the third (Q3) quartile groups of patients with liver disease (*P* for inter $action < 0.01$) and undergoing renal replacement therapy (P for interaction < 0.01), suggesting the relationship between lymphocyte counts and mortality may difer in these specifc patients (Fig. [6\)](#page-11-0).

For patients with septic shock, a similar pattern was observed among those receiving invasive ventilation therapy and those with congestive heart failure or diabetes. However, no signifcant relationship was found between lymphocyte counts and hospital mortality in patients with cerebrovascular disease, chronic pulmonary disease, liver, or renal replacement therapy (Figure S3-4).

Discussion

Sepsis is a life-threatening condition that triggers systemic organ dysfunction and an exaggerated immune response to infection, leading to metabolic disturbances

Fig. 3 Restricted cubic spline: lymphocyte count and hospital mortality risk in patients with septic shock (**A**) and without septic shock (**B**)

and severe immunosuppression [\[20](#page-13-17)]. Lymphocytes, crucial for adaptive and innate immune systems, are essential for an efective defense against sepsis [\[21](#page-13-18)]. Studies by Cillion's [[22\]](#page-13-19) and Ceccato's [\[23](#page-13-20)] have demonstrated that lymphocytopenia can independently predict ICU mortality. The underlying pathophysiological mechanism may involve early-stage impairment of B lymphocytes during sepsis onset, resulting in decreased IgM levels of natural antibodies against bacterial infection [[24\]](#page-13-21). Furthermore, T lymphocytes, when continuously exposed to antigens, upregulate the expression of checkpoint inhibitors like CTLA-4, PD-1, LAG-3, and TIM-3, which weakens the host's capacity to mount a robust cellular immune response to subsequent infections [[25](#page-13-22), [26\]](#page-13-23). Clinical observational studies have shown a strong correlation between sepsis-induced lymphopenia and poor prognosis [\[27–](#page-13-24)[29\]](#page-13-25). Persistent lymphopenia on the fourth day after sepsis diagnosis has emerged as a reliable biomarker for predicting 28-day and 1-year survival [[30\]](#page-13-26). Lower lymphocyte counts and sustained lymphopenia are associated with elevated risks of ICU-acquired infections and 28-day mortality [[31](#page-13-27)]. Our fndings reveal a U-shaped relationship between lymphocyte count and hospital mortality risk in sepsis patients. The potential mechanisms behind this relationship may involve a delicate balance between the protective and harmful efects

Fig. 4 Kaplan–Meier survival analysis curves for all-cause mortality in sepsis patients. Footnote lymphocyte count quartiles: Q1 (0.05–0.81), Q2 (0.81–1.3), Q3 (1.3–2), Q4 (2–8). Kaplan–Meier curves showing cumulative probability of all-cause mortality according to groups at ninety days (**a**), and sixty days (**b**)

of lymphocytes in sepsis. Low lymphocyte counts likely signify impaired immune function and an inability to efectively respond to infections, while high counts could suggest uncontrolled infammation causing organ damage [[32,](#page-13-28) [33](#page-13-29)]. While lymphocytopenia has been considered a predictor of poor outcomes in sepsis, our study suggests that lymphocytosis also warrants equal attention. Recognizing both extremes in lymphocyte counts

Fig. 5 Restricted cubic spline curve for diferent subgroups among sepsis patients (**A**) Invasive ventilation therapy (**B**) Congestive heart failure (**C**) Diabetes (**D**) Renal disease (**E**) Liver disease (**F**) Renal replacement therapy (**G**) Cerebral disease (**H**) Chronic pulmonary disease

as indicators of poor prognosis represents a signifcant advancement in the feld, pointing toward a more balanced approach to evaluating immune status beyond the simplistic association of low lymphocyte counts with higher mortality risk. The subgroup analyses reveal a linear association between higher lymphocyte counts and improved prognosis in sepsis patients with liver disease or requiring renal replacement therapy, highlighting the importance of robust cell-mediated immunity for survival in these cohorts [[34](#page-13-30)]. In contrast, no signifcant association was found between lymphocyte counts and outcomes in patients with chronic pulmonary disease or cerebrovascular disease, suggesting disease-specifc diferences in the prognostic value of lymphopenia that merit further investigation.

Fig. 6 Forest plot for the primary outcome in different subgroup. HR, hazard ratio; CI, confidence interval

Importantly, our study also explored the relationship between lymphocyte counts and outcomes in septic shock patients. Subgroup analysis revealed that septic shock patients exhibited similar patterns to those observed in the overall sepsis cohort. Specifcally, we found a U-shaped association between lymphocyte counts and hospital mortality in septic shock patients with congestive heart failure, diabetes, renal disease, and receiving invasive ventilation therapy (Figure S3-4). This finding suggests that the complex interplay between lymphocyte levels and mortality risk persists even in the more severe septic shock. However, it's noteworthy that no signifcant relationship was found between lymphocyte counts and hospital mortality in septic shock patients undergoing renal replacement therapy. This divergence from the overall sepsis cohort could indicate that in septic shock, the impact of extreme organ dysfunction might outweigh the prognostic value of lymphocyte counts in specifc subgroups. Alternatively, It may suggest that the immune dysregulation in septic shock is more complex than in sepsis conditions.

Our study underscores the role of lymphocytes as a potent biomarker for risk stratifcation and prognosis in sepsis and septic shock. The complex interplay between alterations in lymphocyte distribution, functionality, and infammatory response underlines the need for personalized management approaches tailored to specifc sepsis phenotypes. These findings have significant clinical implications, indicating that regular monitoring of lymphocyte counts could be a crucial aspect of sepsis management. Such monitoring can inform therapeutic decisions and enhance patient risk assessment, potentially improving outcomes.

This study's retrospective design and reliance on observational databases inherently introduce potential confounding factors. While our fndings underscore the signifcance of lymphocytes in infuencing mortality rates in sepsis patients, these results should be interpreted with caution and verifed through prospective trials that meticulously control pertinent clinical variables. Our analysis is constrained by the limitations inherent in the MIMIC-IV 2.0 and eICU-CRD 2.0 databases. Notably, the inadequate documentation of specifc critical data, such as infammatory biomarkers and the dynamic changes in lymphocyte counts over time, precludes their comprehensive analysis. It is essential to acknowledge that, given the observational nature of our study, the association identifed between lymphocyte counts and mortality does not imply causation. Future research is needed through prospective studies or randomized controlled trials to elucidate this relationship. Subsequent research should evaluate the implications of sustained lymphopenia during the ICU rather than focusing solely on initial lymphocyte counts. This approach could provide a more comprehensive understanding of the dynamic role of lymphocytes in sepsis progression and outcomes.

Conclusion

Our fndings demonstrate a U-shaped association between lymphocyte counts and hospital mortality risk in sepsis patients, with the lowest risk observed at moderately elevated levels around 1.85×10^9 /L. Both lymphocyte defciency and extreme lymphocytosis are associated with a poorer prognosis, underscoring the delicate balance between impaired immunity and hyperinfammation in influencing outcomes. This finding suggests that an optimal range of lymphocyte counts may be crucial for better patient outcomes.

Supplementary Information

The online version contains supplementary material available at [https://doi.](https://doi.org/10.1186/s12245-024-00682-6) [org/10.1186/s12245-024-00682-6](https://doi.org/10.1186/s12245-024-00682-6).

Supplementary Material 1: Figure S1 The proportion of missing data for all included variables.

Supplementary Material 2: Figure S2 Kaplan–Meier survival analysis curves for all-cause mortality in septic shock patients. Footnote lymphocyte count quartiles: Q1 (0.05–0.81), Q2 (0.81–1.3), Q3 (1.3–2), Q4 (2–8). Kaplan– Meier curves showing cumulative probability of all-cause mortality according to groups at ninety days (a), and sixty days (b)

Supplementary Material 3: Figure S3 Restricted cubic spline curve for diferent subgroups among septic shock patients (A) Invasive ventilation therapy (B) Congestive heart failure (C) Diabetes (D) Renal disease (E) Liver disease (F) Renal replacement therapy (G) Cerebral disease (H) Chronic pulmonary disease.

Supplementary Material 4: Figure S4 Forest plot for the primary outcome in diferent subgroup among patients with septic shock. HR, hazard ratio; CI, confdence interval

Supplementary Material 5.

Acknowledgements

We express our sincere gratitude to all the participants from the Emergency Medicine Clinical Research Center at Beijing Chao-Yang Hospital, Capital Medical University, for their invaluable contributions to this study.

Authors' contributions

GZ was instrumental in collecting and analyzing data, as well as drafting the manuscript. TW, LA, FS, and CCH were pivotal in data extraction and played a significant role in the study's design. XSW and RS dedicated their efforts to conducting literature research. ZT, who oversaw the entire project, contributed by reviewing and designing the study, in addition to providing supervision. All authors actively participated in the development of the article and gave their approval for the fnal version to be submitted.

Funding

High-Level Public Health Technical Talent Building Program (Discipline Leader-01–01).

Availability of data and materials

All the data available in our articles has been saved in the MIMIC-IV 2.0 ([https://](https://mimic.mit.edu/) [mimic.mit.edu/\)](https://mimic.mit.edu/) and eICU-CRD 2.0 (<https://eicu-crd.mit.edu/>) database, which is freely accessible for analysis and downloading. Access to the MIMIC-IV 2.0

and eICU-CRD 2.0 databases required passing a qualifying test and obtaining approval (certifcation number: 55849941).The associated code and the extracted data will be available from the corresponding author on reasonable request.

Data availability

No datasets were generated or analysed during the current study.

Declarations

Ethics approval and consent to participate

This study was conducted in strict compliance with the ethical principles outlined in the 1964 Declaration of Helsinki and its subsequent updates, or equivalent ethical standards. In line with local laws and institutional guidelines, ethical review and approval for research involving human participants were deemed not necessary. Similarly, the requirement for written informed consent from participants was waived under national regulations and institutional policies.

Consent for publication

Not applicable.

Competing interests

The authors declare no competing interests.

Author details

¹ Emergency Medicine Clinical Research Center, Beijing Key Laboratory of Cardiopulmonary Cerebral Resuscitation, Beijing Chaoyang Hospital, Capital Medical University, Beijing 100020, China.

Received: 5 January 2024 Accepted: 18 August 2024 Published online: 26 August 2024

References

- 1. Denstaedt SJ, Singer BH, Standiford TJ. Sepsis and nosocomial infection: patient characteristics, mechanisms, and modulation. Front Immunol. 2018;9:2446.
- 2. Gameiro J, Fonseca JA, Jorge S, Gouveia J, Lopes JA. Neutrophil, lymphocyte and platelet ratio as a predictor of mortality in septic-acute kidney injury patients. Nefrologia (Engl Ed). 2020;40(4):461–8.
- 3. Hajj J, Blaine N, Salavaci J, Jacoby D. The, "centrality of sepsis": a review on incidence, mortality, and cost of care. Healthcare (Basel). 2018;6(3):90.
- 4. Singer M, Deutschman CS, Seymour CW, Shankar-Hari M, Annane D, Bauer M, Bellomo R, Bernard GR, Chiche JD, Coopersmith CM, et al. The third international consensus defnitions for sepsis and septic shock (Sepsis-3). JAMA. 2016;315(8):801–10.
- 5. de Pablo R, Monserrat J, Prieto A, Alvarez-Mon M. Role of circulating lymphocytes in patients with sepsis. Biomed Res Int. 2014;2014:671870.
- 6. Girardot T, Rimmelé T, Venet F, Monneret G. Apoptosis-induced lymphopenia in sepsis and other severe injuries. Apoptosis. 2017;22(2):295–305.
- 7. Jiang W, Zhong W, Deng Y, Chen C, Wang Q, Zhou M, Li X, Sun C, Zeng H. Evaluation of a combination "lymphocyte apoptosis model" to predict survival of sepsis patients in an intensive care unit. BMC Anesthesiol. 2018;18(1):89.
- 8. Bantel H, Schulze-Osthoff K. Cell death in sepsis: a matter of how, when, and where. Crit Care. 2009;13(4):173.
- 9. Liu D, Huang SY, Sun JH, Zhang HC, Cai QL, Gao C, Li L, Cao J, Xu F, Zhou Y, et al. Sepsis-induced immunosuppression: mechanisms, diagnosis and current treatment options. Mil Med Res. 2022;9(1):56.
- 10. Cao C, Yu M, Chai Y. Pathological alteration and therapeutic implications of sepsis-induced immune cell apoptosis. Cell Death Dis. 2019;10(10):782.
- 11. Finfer S, Venkatesh B, Hotchkiss RS, Sasson SC. Lymphopenia in sepsis-an acquired immunodefciency. Immunol Cell Biol. 2022;101(6):35–544.
- 12. Liu B, Du H, Zhang J, Jiang J, Zhang X, He F, Niu B. Developing a new sepsis screening tool based on lymphocyte count, international normalized ratio and procalcitonin (LIP score). Sci Rep. 2022;12(1):20002.
- 13. Dizen Kazan E, Orhan S, Korkmaz D, Sari A, Kazan S. The effect of lymphocyte blood levels on mortality of COVID-19 patients under intensive care unit follow-up. Eur Rev Med Pharmacol Sci. 2022;26(19):7290–6.
- 14. Shi Y, Yang C, Chen L, Cheng M, Xie W. Predictive value of neutrophil-to-lymphocyte and platelet ratio in in-hospital mortality in septic patients. Heliyon. 2022;8(11): e11498.
- 15. Russell CD, Parajuli A, Gale HJ, Bulteel NS, Schuetz P, de Jager CPC, Loonen AJM, Merekoulias GI, Baillie JK. The utility of peripheral blood leucocyte ratios as biomarkers in infectious diseases: A systematic review and metaanalysis. J Infect. 2019;78(5):339–48.
- 16. Huang Z, Fu Z, Huang W, Huang K. Prognostic value of neutrophil-to-lymphocyte ratio in sepsis: A meta-analysis. Am J Emerg Med. 2020;38(3):641–7.
- 17. Martinez-Camacho A, Khaoustov V, Adam E, Lewis D, Tavakoli-Tabasi S, Yofe B. Lymphocytosis as a predictor of poor response to treatment of hepatitis C. Clin Res Hepatol Gastroenterol. 2011;35(1):34–40.
- 18. Johnson AEW, Bulgarelli L, Shen L, Gayles A, Shammout A, Horng S, Pollard TJ, Hao S, Moody B, Gow B, et al. MIMIC-IV, a freely accessible electronic health record dataset. Sci Data. 2023;10(1):1.
- 19. Pollard TJ, Johnson AEW, Raffa JD, Celi LA, Mark RG, Badawi O. The eICU Collaborative research database, a freely available multi-center database for critical care research. Sci Data. 2018;5: 180178.
- 20. Venet F, Monneret G. Advances in the understanding and treatment of sepsis-induced immunosuppression. Nat Rev Nephrol. 2018;14(2):121–37.
- 21. Hsieh YC, Athar M, Chaudry IH. When apoptosis meets autophagy: deciding cell fate after trauma and sepsis. Trends Mol Med. 2009;15(3):129–38.
- 22. Cilloniz C, Peroni HJ, Gabarrús A, García-Vidal C, Pericàs JM, Bermejo-Martin J, Torres A. Lymphopenia is associated with poor outcomes of patients with community-acquired pneumonia and sepsis. Open Forum Infect Dis. 2021;8(6):ofab169.
- 23. Ceccato A, Panagiotarakou M, Ranzani OT, Martin-Fernandez M, Almansa-Mora R, Gabarrus A, Bueno L, Cilloniz C, Liapikou A, Ferrer M, et al. Lymphocytopenia as a predictor of mortality in patients with ICU-acquired pneumonia. J Clin Med. 2019;8(6):843.
- 24. Rauch PJ, Chudnovskiy A, Robbins CS, Weber GF, Etzrodt M, Hilgendorf I, Tiglao E, Figueiredo JL, Iwamoto Y, Theurl I, et al. Innate response activator B cells protect against microbial sepsis. Science. 2012;335(6068):597–601.
- 25. Hotchkiss RS, Colston E, Yende S, Angus DC, Moldawer LL, Crouser ED, Martin GS, Coopersmith CM, Brakenridge S, Mayr FB, et al. Immune checkpoint inhibition in sepsis: a phase 1b randomized, placebo-controlled, single ascending dose study of antiprogrammed cell death-ligand 1 antibody (BMS-936559). Crit Care Med. 2019;47(5):632–42.
- 26. McBride MA, Patil TK, Bohannon JK, Hernandez A, Sherwood ER, Patil NK. Immune Checkpoints: Novel Therapeutic Targets to Attenuate Sepsis-Induced Immunosuppression. Front Immunol. 2020;11: 624272.
- 27. Vahedi HSM, Bagheri A, Jahanshir A, Seyedhosseini J, Vahidi E. Association of lymphopenia with short term outcomes of sepsis patients; a brief report. Arch Acad Emerg Med. 2019;7(1):e14.
- 28. Grondman I, de Nooijer AH, Antonakos N, Janssen NAF, Mouktaroudi M, Leventogiannis K, Medici M, Smit JWA, van Herwaarden AE, Joosten LAB, et al. The Association of TSH and Thyroid Hormones With Lymphopenia in Bacterial Sepsis and COVID-19. J Clin Endocrinol Metab. 2021;106(7):1994–2009.
- 29. Jensen IJ, Sjaastad FV, Grifth TS, Badovinac VP. Sepsis-induced T cell immunoparalysis: the ins and outs of impaired T cell immunity. J Immunol. 2018;200(5):1543–53.
- 30. Drewry AM, Samra N, Skrupky LP, Fuller BM, Compton SM, Hotchkiss RS. Persistent lymphopenia after diagnosis of sepsis predicts mortality. Shock. 2014;42(5):383–91.
- 31. Adrie C, Lugosi M, Sonneville R, Souweine B, Ruckly S, Cartier JC, Garrouste-Orgeas M, Schwebel C, Timsit JF. Persistent lymphopenia is a risk factor for ICU-acquired infections and for death in ICU patients with sustained hypotension at admission. Ann Intensive Care. 2017;7(1):30.
- 32. Buonacera A, Stancanelli B, Colaci M, Malatino L. Neutrophil to lymphocyte ratio: an emerging marker of the relationships between the immune system and diseases. Int J Mol Sci. 2022;23(7):3636.
- 33. Aygun U, Yagin FH, Yagin B, Yasar S, Colak C, Ozkan AS, Ardigò LP. Assessment of Sepsis Risk at Admission to the Emergency Department: Clinical Interpretable Prediction Model. Diagnostics (Basel). 2024;14(5):457.
- 34. Li G, Li B, Song B, Liu D, Sun Y, Ju H, Xu X, Mao J, Zhou F. Uplift modeling to predict individual treatment effects of renal replacement therapy in sepsisassociated acute kidney injury patients. Sci Rep. 2023;14(1):5833.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional afliations.