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# Doxorubicin and paclitaxel enhance the antitumor efficacy of vaccines directed against HER 2/*neu* in a murine mammary carcinoma model

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## Abstract

**Introduction:** The purpose of the present study was to determine whether cytotoxic chemotherapeutic agents administered prior to immunotherapy with gene vaccines could augment the efficacy of the vaccines.

**Methods:** Mice were injected in the mammary fat pad with an aggressive breast tumor cell line that expresses HER2/*neu*. The mice were treated 3 days later with a noncurative dose of either doxorubicin or paclitaxel, and the following day with a gene vaccine to HER2/*neu*. Two more doses of vaccine were given 14 days apart. Two types of gene vaccines were tested: a plasmid vaccine encoding a self-replicating RNA (replicon) of Sindbis virus (SINCP), in which the viral structural proteins were replaced by the gene for *neu*; and a viral replicon particle derived from an attenuated strain of Venezuelan equine encephalitis virus, containing a replicon RNA in which the Venezuelan equine encephalitis virus structural proteins were replaced by the gene for *neu*.

**Keywords:** adjuvant treatment, breast cancer, chemotherapy, gene vaccines, immunotherapy

**Results:** Neither vaccination alone nor chemotherapy alone significantly reduced the growth of the mammary carcinoma. In contrast, chemotherapy followed by vaccination reduced tumor growth by a small, but significant amount. Antigen-specific CD8<sup>+</sup> T lymphocytes were induced by the combined treatment, indicating that the control of tumor growth was most probably due to an immunological mechanism. The results demonstrated that doxorubicin and paclitaxel, commonly used chemotherapeutic agents for the treatment of breast cancer, when used at immunomodulating doses augmented the antitumor efficacy of gene vaccines directed against HER2/*neu*.

**Conclusions:** The combination of chemotherapeutic agents plus vaccine immunotherapy may induce a tumor-specific immune response that could be beneficial for the adjuvant treatment of patients with minimal residual disease. The regimen warrants further evaluation in a clinical setting.

## Introduction

Cancer treatment options directed at specific molecular targets, such as HER2/*neu* in breast cancer and c-kit in chronic myeloid leukemia and gastrointestinal stromal sarcomas, have proven effective [1–4]. HER2/*neu* is amplified in about 20–30% of human breast cancers and is a valuable biologic marker [5]. There is substantial evidence

that overexpression of HER2/*neu* is associated with multiple adverse prognostic factors and aggressive clinical behavior, including early metastatic disease and unresponsiveness to current treatment modalities [6–8]. Immunotherapy could offer potential therapeutic benefit to patients with HER2/*neu*<sup>+</sup> tumors by impairing the downstream molecular signaling pathways, leading to

CTL = cytotoxic T lymphocytes; DOX = doxorubicin; FITC = fluorescein isothiocyanate; HA = hemagglutinin; IFN = interferon; IL = interleukin; PTX = paclitaxel; Th = T helper (Th) cells; VRP = Venezuelan equine encephalitis virus replicon particles.

tumor proliferation and resistance to established cytotoxic agents [9]. In breast cancer patients, trastuzumab has produced remarkable results, providing substantial support for an immunotherapeutic approach to cancer [10].

Vaccination as a form of specific immunotherapy for cancer has been considered for many years [11,12]. Tumors that express specific antigens, such as melanoma and breast cancer, are considered suitable candidates for vaccine therapy [13,14]. Peptide antigens resulting from amplified gene transcription and translation are expressed on the cell surface in the context of class I histocompatibility antigens and constitute a well-defined target for cytotoxic T-cell-mediated destruction [15,16]. Encouraging *in vitro* and animal studies have led to several clinical trials of vaccine therapy for malignant disorders [13,17,18]. Although a few phase I trials and one phase III trial have indicated a possible benefit from vaccine therapy in an adjuvant setting, vaccination remains an experimental modality of cancer treatment [19].

The HER2/*neu* gene encodes a 185 kDa transmembrane growth factor receptor (p185) with tyrosine kinase activity [20]. Although normally expressed in many cells throughout the body, p185 is greatly overexpressed on the plasma membrane of some tumor cells. This overexpression makes the tumor cells recognizable by the immune system [21]. Tumors can circumvent the immune response, however, by downregulating an overexpressed antigen such as p185 or by secreting immune response blocking cytokines such as transforming growth factor beta [19]. Also, since tumor cells are derived from normal cells, host tolerance often extends to the tumor [22].

As demonstrated by several groups [23–27], including our own [28], DNA or gene vaccines directed against HER2/*neu* protected mice from challenge with HER2/*neu*-overexpressing murine mammary tumor cell lines. In addition, treatment with a gene vaccine prolonged the survival of *neu* transgenic mice, although all the mice eventually developed fatal breast tumors [28]. Thus, although vaccination prior to challenge with a breast tumor can prevent tumor growth, stopping the growth of an existing tumor remains to be accomplished in murine models.

In the search for alternatives that could increase the efficacy of vaccine treatment, we focused our efforts on adjunctive chemotherapy. Previous publications note that chemotherapeutic agents administered at low doses increased immune-mediated tumor destruction through stimulation of cytotoxic lymphocytes and induction of mediators that are directly or indirectly involved in cell killing [29–32]. Other publications have contained preclinical and clinical data demonstrating increased tumor control with treatment modalities that combine immunotherapy and chemotherapy [33–40]. Based on

these reports, we tested whether vaccine therapy combined with cytotoxic chemotherapeutic agents could augment the efficacy of either agent used alone in a rapidly growing murine mammary tumor model.

## Materials and methods

### Cell lines

The previously described A2L2 cells were maintained in monolayer culture in Eagle's minimum essential medium supplemented with 5% fetal calf serum, sodium pyruvate, nonessential amino acids, L-glutamine, and vitamins (GIBCO-BRL, Grand Island, NY, USA), incubated in a humidified 5% CO<sub>2</sub>–95% air incubator at 37°C [28].

### Mice

Female BALB/c mice (6–8 weeks of age, weighing approximately 20 g) were purchased from the National Cancer Institute (Frederick, MD, USA). All experiments were performed in accordance with pre-approved institutional protocols and with the guidelines of the Animal Care and Use Committee of the University of Texas MD Anderson Cancer Center.

### Tumor injection and chemotherapeutic agents

The cytotoxic effects of doxorubicin (DOX) and paclitaxel (PTX) on A2L2 cells were determined by *in vitro* growth inhibition assays. For *in vitro* tests, DOX and PTX were purchased in crystalline form (Sigma Chemicals, St Louis, MO, USA). One-use aliquots of PTX (0.01 M in dimethylsulfoxide) were stored at –20°C, and one-use aliquots of DOX (2 mg/ml in sterile normal saline) were stored at 4°C.

For *in vivo* experiments, DOX (Gencia, Irvine, CA, USA) and PTX (Taxol®; Bristol-Myers Squibb, Princeton, NJ, USA) were diluted in 0.9% sterile sodium chloride solution before injection. To determine the *in vivo* efficacy and the optimal dose of each agent that would result in an approximately 50% reduction in tumor growth, preparatory dose-finding experiments were performed. Both chemotherapeutic drugs were administered 3 days after a tumor challenge of  $2.5 \times 10^4$  A2L2 cells injected into the mammary fat pad.

Mice were anesthetized by inhalation of isoflurane using a special apparatus developed by the veterinarians at the University of Texas MD Anderson Cancer Center. A 0.5 cm incision was made above the top-most breast to reveal the underlying fat pad. A suspension of  $2.5 \times 10^4$  A2L2 cells were injected in 0.1 ml normal saline. The incision was closed with a wound clip. These wound clips were removed after 7 days (if they had not fallen off already). Tumor dimensions were measured in perpendicular directions three times a week with microcalipers, and the tumor mass was calculated using the following formula: tumor mass =  $(a \times b^2) / 2$ , where *a* is the largest diameter and *b* is the shortest dimension perpendicular to *a*.

DOX was given intravenously via the tail vein at doses ranging from 2.5 to 10.0 mg/kg, and PTX was given intraperitoneally at dose levels ranging from 10.0 to 30.0 mg/kg. The dose causing a 50% reduction in tumor growth was determined as 5.0 mg/kg for DOX and as 25.0 mg/kg for PTX based upon effectiveness.

To eliminate the possibility that the A2L2 cell line was resistant to DOX and PTX, we performed an *in vitro* viability assay. Both DOX and PTX killed A2L2 cells in a dose-dependent manner (data not shown).

#### Plasmid vaccine

The SINCP- $\beta$ gal plasmid was obtained from Chiron Corp. (Emeryville, CA, USA) and has been described in detail elsewhere [41,42]. The vaccine is designed to generate a self-replicating vector RNA (replicon) based on Sindbis virus [42] and was prepared as follows. The rat *neu* sequence was excised from the plasmid pSV2-*neu* (obtained from Dr M-C Hung, University of Texas MD Anderson Cancer Center) and was inserted into SINCP following excision of the gene for  $\beta$ -gal. This plasmid was designated SINCP-*neu*. Mice were vaccinated in the quadriceps muscle by injections containing 100  $\mu$ g SINCP-*neu* in 100  $\mu$ l of 0.25% bupivacaine (Sigma Chemicals) in normal saline using a 26-gauge needle.

#### Venezuelan equine encephalitis virus replicon particles vaccine

Venezuelan equine encephalitis virus replicon particles (VRP) encoding the same rat *neu* gene were prepared by AlphaVax (Research Triangle Park, NC, USA). VRP vaccines are virus replicon particles comprised of the Venezuelan equine encephalitis virus structural proteins containing a replicon RNA expressing heterologous genes. The preparation of VRP has been described in detail previously [43]. VRP-*neu* or a control vaccine encoding the hemagglutinin (HA) gene of the influenza virus, VRP-HA, were injected into the foot pad of mice in a volume of 10  $\mu$ l containing  $10^6$  infectious units using a 25  $\mu$ l Hamilton syringe with an attached 26-gauge needle (Hamilton Co., Reno, NV, USA).

#### Experimental tumor model and treatment

The 'race model' is an experimental design for investigating the efficacy of sequentially administered chemotherapy followed by the vaccine in a large tumor burden setting. In this model, cytotoxic drugs are administered on the third day following tumor cell injection and the vaccine is administered 1 day later. All immunizations were started on the fourth day after tumor cell injection and were repeated on days 18 and 32. A different foot was injected each time. Appropriate controls, including normal saline for DOX and 10% cremaphor/10% ethanol (Sigma Chemicals) for PTX, were

included in all experiments. Mice with tumors weighing 1 g received euthanasia in a CO<sub>2</sub> chamber.

#### *In vitro* growth inhibition assay

A2L2 cells were suspended in culture medium at a density of  $3 \times 10^4$  cells/ml. Then  $1.5 \times 10^3$  cells in 50  $\mu$ l were plated into 96-well flat-bottom plates. Following incubation for 24 hours at 37°C, drugs, vehicles and controls consisting only of medium and cells were dispensed in 50  $\mu$ l volumes in duplicate into the appropriate wells. DOX was tested at concentrations ranging from 0.0625 to 125  $\mu$ g/ml, and PTX was tested at concentrations ranging from 0.1875 to 375  $\mu$ g/ml.

Cell viability was assessed by the MTS-CellTiter 96<sup>®</sup> aqueous non-radioactive cell proliferation assay (Promega, Madison, WI, USA) according to the manufacturer's protocol at 24 and 48 hours of culture. Because the tetrazolium compound of MTS-CellTiter 96<sup>®</sup> is bio-reduced into a formazan, its metabolism could be assayed by optical density at 490 nm using a photometric plate reader.

#### *In vitro* cell cultures

Spleens from treated mice were removed aseptically and homogenized gently between two frosted slides. The splenocytes were cultured in RPMI 1640 supplemented with 10% fetal bovine serum (HyClone, Logan, UT, USA), 1% nonessential amino acids, 100 mM sodium pyruvate, 2 mM L-glutamine, 100 U/ml penicillin, 100  $\mu$ g/ml streptomycin, and 50  $\mu$ M 2-mercaptoethanol (GIBCO-BRL). Splenocyte cultures were incubated with a specific peptide (described next) for 5 days in a humidified 5% CO<sub>2</sub>-95% air incubator at 37°C before cytokine analysis.

#### Synthetic peptide

The rat HER2/*neu*-derived peptide HER2p780 (amino acids 780-788; PYVSRLGI) was obtained from M Campbell (Peptide Synthesis Facility, University of Texas MD Anderson Cancer Center). This peptide was previously shown to induce specific immunity against HER2/*neu* [44]. HER2p780 in crystalline form was dissolved in complete culture medium and mixed with the splenocyte cultures at a concentration of 70  $\mu$ g/ml.

#### Measurement of intracellular IFN- $\gamma$ levels

To enhance intracellular cytokine levels, peptide-stimulated splenocytes were resuspended at a density of  $(1-2) \times 10^6$  cells/ml, and cells were reactivated by 6-hour incubation at 37°C in the presence of 10 ng/ml phorbol 12-myristate 13-acetate (Sigma Chemicals), 250 ng/ml calcium ionophore (Sigma Chemicals) and 1  $\mu$ l/ml brefeldin A (GolgiPlu<sup>™</sup>; BD Pharmingen Biosciences, San Diego, CA, USA). The cells were then treated with Fc block (BD Pharmingen Biosciences) for 15 min to reduce nonspecific binding.

Fixation, permeabilization, and staining with FITC-labeled anti-IFN- $\gamma$ , with peridinin chlorophyll protein-conjugated anti-CD3 and with phycoerythrin-conjugated anti-CD8 monoclonal antibodies (BD Pharmingen Biosciences) were performed according to the manufacturer's protocol. A positive control using commercially obtained MIC-1 cells (BD Pharmingen Biosciences) and negative controls with nonstimulated splenocytes were also included.

To rule out nonspecific background staining, we used isotype controls (BD Pharmingen Biosciences) for each monoclonal antibody. Samples were analyzed by three-color flow cytometry analysis using a FACS Calibur (BD, Franklin Lakes, NJ, USA), and data were analyzed using WinMDI 2.8 software (freely downloadable from <http://facs.scripps.edu>).

### Statistical analysis

All statistical analyses were performed by two-way analysis of variance using Prism 4.0 software (Graphpad Software, San Diego, CA, USA). The error bars in Figures 1–3 represent the standard error of the mean. Statistical analysis, power analysis and the sample size per group were evaluated and found to be statistically acceptable by Lyle Broemling, PhD, Associated Professor of Biostatistics, University of Texas MD Anderson Cancer Center.

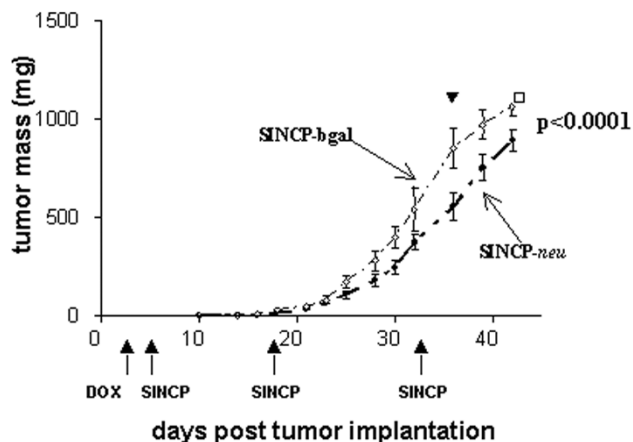
## Results

### The antitumor effect of DOX followed by vaccination with SINCP-*neu*

Using the race model, we investigated the efficacy of chemotherapy followed by vaccination to inhibit tumor growth. The cytotoxic agent DOX was administered 3 days after inoculation of  $2.5 \times 10^4$  A2L2 cells in the mammary fat pad. Either the SINCP-*neu* plasmid gene vaccine or the control plasmid SINCP- $\beta$ gal was administered 1 day later and administered again on days 18 and 32.

Tumor growth over a period of 42 days is shown in Fig. 1. The group of 10 mice treated with DOX and SINCP-*neu* had a significant delay in tumor progression compared with the mice treated with DOX and SINCP- $\beta$ gal ( $P < 0.0001$ ). The mean tumor mass for the SINCP- $\beta$ gal plasmid control group on day 40 was  $1062.8 \pm 44.8$  mg, compared with  $888.5 \pm 51.7$  mg for the SINCP-*neu* group. Control groups of 10 mice receiving treatment with only DOX, SINCP- $\beta$ gal, SINCP-*neu* or normal saline had nearly identical tumor growth to that in the group given DOX followed by SINCP- $\beta$ gal; the mean tumor mass for these four control groups was greater than 1 g by day 42. The day 42 mean value for DOX alone (open square) and the day 36 mean value for normal saline (inverted triangle) are shown in Fig. 1. The only significant difference in any of the control groups was for the mice treated with only normal saline; these mice were sacrificed on day 36 because they were moribund.

Figure 1



Tumor growth in groups of 10 mice treated with doxorubicin (DOX) followed by the plasmid gene vaccine SINCP-*neu* (lower line) containing the gene for *neu*, compared with DOX followed by the negative control plasmid vaccine SINCP- $\beta$ gal lacking the gene for *neu* (upper line). The mean tumor volume for mice treated with only DOX ( $\square$ ) is shown for day 42, and the mean tumor volume for mice treated with only normal saline ( $\blacktriangledown$ ) is shown for day 36.

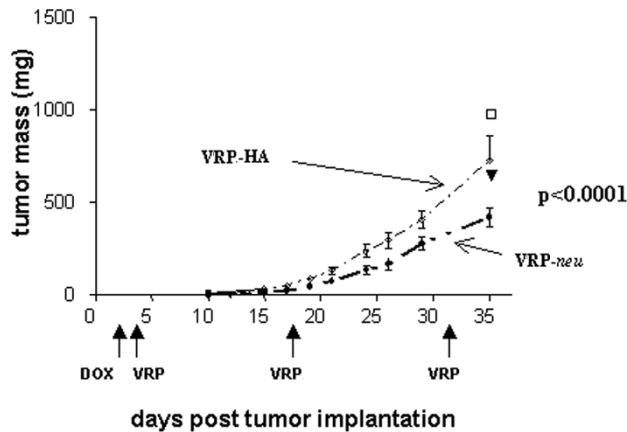
### Absence of an antitumor effect of PTX followed by vaccination with SINCP-*neu*

Again using the race model, we investigated the efficacy of PTX followed by vaccination to inhibit tumor growth. PTX was administered 3 days after inoculation of  $2.5 \times 10^4$  A2L2 cells in the mammary fat pad, and either SINCP-*neu* or SINCP- $\beta$ gal was administered 1 day later and administered again on days 18 and 32. Tumor growth was monitored for a total of 35 days. Unlike the results for DOX (Fig. 1), PTX treatment followed by vaccination did not significantly reduce tumor growth. PTX alone did not reduce tumor growth compared with the vehicle of 10% cremaphor/10% ethanol used to dissolve the PTX, and there was no reduction in tumor growth by either SINCP-*neu* or SINCP- $\beta$ gal used alone (data not shown).

### Antitumor effect of VRP-*neu* with DOX or PTX

In our next experiment, we again used the race model to evaluate the combined effect of either DOX or PTX followed by vaccination. As shown in Fig. 2, tumor progression was significantly delayed in mice treated with DOX followed by VRP-*neu* compared with mice treated with DOX followed by VRP-HA ( $P < 0.0001$ ). The mean tumor mass on day 35 for mice treated with DOX and VRP-*neu* was  $416.3 \pm 47.6$  mg, compared with  $720.6 \pm 133.8$  mg for mice treated with DOX and VRP-HA. The mean for the DOX and VRP-*neu* group was also significantly less than that for mice treated with DOX alone (Fig. 2, open square) or with normal saline (Fig. 2, inverted triangle).



**Figure 2**

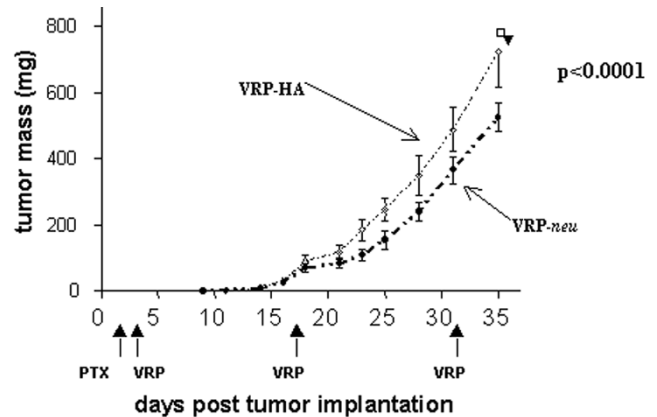
Tumor growth in 10 mice treated with doxorubicin (DOX) and the viral replicon gene vaccine Venezuelan equine encephalitis virus replicon particles (VRP)-*neu* containing the gene for *neu* (lower line), compared with eight mice treated with DOX and the negative control viral replicon gene vaccine VRP-hemagglutinin (HA) lacking the gene for *neu* (upper line). DOX alone (□) or saline alone (▼) were also tested in groups of eight and 10 mice, respectively, and the values are shown for day 35.

When PTX was substituted for DOX in the race model, tumor growth was again significantly delayed for vaccination with VRP-*neu* compared with vaccination with VRP-HA (Fig. 3). In this experiment the mean tumor mass on day 35 for mice treated with PTX and VRP-*neu* was  $525.4 \pm 44.4$  mg, compared with  $723.6 \pm 108.5$  mg for mice treated with PTX and VRP-HA. The mean tumor mass for the PTX and VRP-*neu* group on day 35 was also significantly lower than for groups of control mice treated with only PTX (Fig. 3, open square) or with only the vehicle control (Fig. 3, inverted triangle).

#### Induction of antigen-specific CD8<sup>+</sup>/IFN- $\gamma$ <sup>+</sup> T lymphocytes

Cytotoxic T lymphocytes (CTL) form a distinct CD8<sup>+</sup> T-cell population that is capable of killing antigen-bearing target cells after interacting with the T-cell antigen receptor [45]. The generation of such protective immunity against foreign antigens is coordinated to a large extent by cytokines produced by certain T-cell subsets. IFN- $\gamma$  is a relevant mediator in the activation of CTL populations by Th cells [46]. Furthermore, *in vitro* studies have revealed substantial evidence supporting a direct correlation between IFN- $\gamma$  production and CTL activity [25,47–52].

To determine the underlying mechanism of tumor growth inhibition by our treatment groups, we compared the number of HER2/*neu*-specific CD8<sup>+</sup> cells containing intracellular IFN- $\gamma$  after various treatments. As shown in Fig. 4, PYVSRLGI-stimulated spleen cells from mice treated with DOX and vaccinated with VRP-*neu* had 2.16% CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> cells, compared with 0.29% for mice treated

**Figure 3**

Tumor growth in groups of 10 mice treated with paclitaxel (PTX) and the viral replicon gene vaccine Venezuelan equine encephalitis virus replicon particles (VRP)-*neu* containing the gene for *neu* (lower line), compared with mice treated PTX and the negative control viral replicon gene vaccine VRP-hemagglutinin (HA) lacking the gene for *neu* (upper line). PTX alone (□) or vehicle control (▼) were also tested in groups of 10 mice, and the values are shown for day 35.

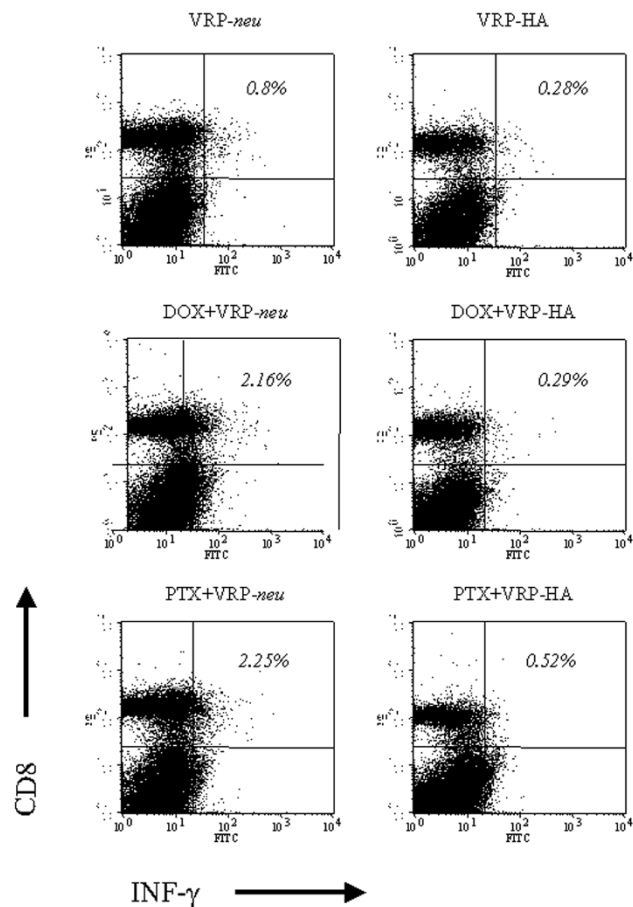
with DOX and vaccinated with VRP-HA. Similarly, PYVSRLGI-stimulated spleen cells from mice treated with PTX and vaccinated with VRP-*neu* had 2.25% CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> cells, compared with 0.52% for mice treated with PTX and vaccinated with VRP-HA.

Treatment with chemotherapy followed by vaccination with VRP-*neu* clearly induced antigen-specific CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> T lymphocytes. This same effect was not evident for mice vaccinated with SINCP-*neu*, implying that VPR-*neu* may be a much more powerful gene vaccine than SINCP-*neu*. As presented in Table 1, treatment with PTX increased the number of CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> cells after PYVSRLGI stimulation compared with untreated mice; however, this effect was not potentiated by vaccination with SINCP-*neu* compared with vaccination with SINCP- $\beta$ gal. Treatment with DOX did not increase the baseline number of CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> after PYVSRLGI stimulation as much as did treatment with PTX. Also, vaccination with SINCP-*neu* compared with vaccination with SINCP- $\beta$ gal was without effect.

#### Discussion

In the present study we demonstrate the synergistic enhancement of the antitumor effect of combined chemotherapy and vaccine immunotherapy on tumor-bearing mice. At the doses used, the chemotherapeutic agents DOX and PTX had a minimal effect on the growth of a pre-existing breast tumor when used alone (data not shown). Similarly, the vaccines SINCP-*neu* and VRP-*neu* were not effective at controlling the growth of a pre-existing tumor (data not shown). These findings are in agreement with

**Figure 4**



Flow cytometric analysis of CD8<sup>+</sup> and intracellular IFN- $\gamma$ <sup>+</sup> lymphocytes following treatment of mice with doxorubicin (DOX) or with paclitaxel (PTX) and vaccination with the viral replicon gene vaccine Venezuelan equine encephalitis virus replicon particles (VRP)-*neu* containing the gene for *neu* or the negative control viral replicon gene vaccine VRP-hemagglutinin (HA) lacking the gene for *neu*.

**Table 1**

**Induction of cytotoxic T lymphocytes following vaccination<sup>a</sup>**

Vaccination	CD8 <sup>+</sup> IFN- $\gamma$ <sup>+</sup> cells/CD8 <sup>+</sup> cells (%)		
	None	Doxorubicin	Paclitaxel
VRP-HA	0.28	0.29	0.52
VRP- <i>neu</i>	0.80	2.16	2.25
SINCP- $\beta$ gal	0.45	0.56	1.44
SINCP- <i>neu</i>	0.39	0.37	1.08

<sup>a</sup> Immune spleen cells from mice treated with either doxorubicin or paclitaxel and vaccinated with SINCP- $\beta$ gal, SINCP-*neu*, Venezuelan equine encephalitis virus replicon particles-hemagglutinin (VRP-HA) or Venezuelan equine encephalitis virus replicon particles-*neu* (VRP-*neu*) were cultured for 5 days with the peptide PYVSRLGI. Dual-positive cells expressing CD8 and intracellular IFN- $\gamma$  were quantified by flow cytometry as described in Materials and methods.

previous data showing that a number of current vaccines developed against tumor-associated antigens have failed to prevent growth and metastasis of established tumors in both animal and human studies, despite documentation of antigen-directed immune activation [12,45,53].

Various approaches to overcoming the low immunogenicity of vaccines or to optimize antigen presentation have yielded promising results, and many preclinical and clinical investigational studies are under way [22,54–57]. Nonetheless, the use of cancer vaccines is at present limited to adjuvant therapy, preferably in the setting of minimal residual disease.

We have previously shown that the plasmid ELVIS-*neu*, an earlier version of the plasmid SINCP-*neu* used in this project, induced antitumor immunity when used to vaccinate mice prior to tumor challenge [28]. Vaccination with ELVIS-*neu* also prolonged the survival of *neu* transgenic mice, although all the mice eventually died [28]. In a similar experiment using *neu* transgenic mice, vaccination with VRP-*neu* produced a much better result: 100% of the mice survived and none had breast tumors on postmortem examination (unpublished result). This result clearly demonstrated that VRP-*neu* was much more effective for this application than ELVIS-*neu* and, by inference, that VRP-*neu* is also better than the slightly modified SINCP-*neu*. However, as already described, both SINCP-*neu* and VRP-*neu* when used as single agents were ineffective in the race model. Based upon these findings, we studied whether combining chemotherapy and vaccine immunotherapy would be more effective in the race model than either agent used alone.

The two chemotherapeutic agents tested in this study, DOX and PTX, are both potent anticancer agents established as standard adjuvant therapy for breast cancer [58]. To eliminate the possibility that the A2L2 cell line was resistant to DOX and PTX [59,60], we performed an *in vitro* viability assay. Both DOX and PTX killed A2L2 cells in a dose-dependent manner (data not shown). We next identified the dose of each agent that would reduce tumor growth by approximately 50%. Many animal studies demonstrated the effectiveness of cyclophosphamide, melphalan and DOX administered at doses as low as 3–10% of the usual cytotoxic level [30,61,62]. The doses of DOX (5 mg/kg) and of PTX (25 mg/kg) used in our investigation are sufficiently low to maintain lymphocyte effector cell activity as demonstrated by the ability of the vaccines to induce antitumor immunity. It has been documented previously that some chemotherapeutic drugs administered at suboptimal doses may have an immunomodulatory activity [37,63].

We demonstrated in the present study that DOX administered at 5 mg/kg prior to vaccination enhanced the

effectiveness of both the SINCP-*neu* gene vaccine (Fig. 1) and the VRP-*neu* gene vaccine (Fig. 2). PTX administered at 25 mg/kg, on the other hand, increased the effectiveness of only the VRP-*neu* vaccine (Fig. 3). The *in vivo* antitumor efficacy of PTX followed by SINCP-*neu* was attributed exclusively to the effect of PTX and not to the effect of the vaccine. The analysis of cellular immune responses induced by combined chemotherapy and VRP-*neu* vaccine therapy was in agreement with the *in vivo* data that both DOX and PTX induced a high level of antigen-specific CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> cells (Fig. 4 and Table 1).

Although DOX and PTX induced *neu*-specific CD8<sup>+</sup> T-cell activity when combined with VRP-*neu*, neither the vaccine alone nor the chemotherapy alone generated an antigen-specific immune response by itself. DOX has been shown to cause monocyte-macrophage functional differentiation, which induces an augmented phagocytic activity as well as an increased secretion of several cytokines such as IL-1, IL-2, or tumor necrosis factor [62]. The immune activity of DOX has therefore been linked to improved antigen targeting and shifting of the immune response from a Th2 type to a Th1 type, as well as an increase in antigen-stimulated CTL activity [30,64–66].

DOX, given 7 days after an autologous vaccine engineered to secrete granulocyte-macrophage colony-stimulating factor, was also shown by Mihich and colleagues to enhance CD8<sup>+</sup> CTL activity [66]. However, this result was not confirmed in a subsequent study by the same group [64]. Although Nigam and colleagues showed substantial CTL activity when DOX was given concomitantly with vaccine, they did not observe a response when chemotherapy was administered 1 week earlier than the vaccine, demonstrating the importance of the schedule of administration [67]. The present data revealed that DOX could induce an antigen-specific CD8<sup>+</sup> T-cell response when given 1 day before the vaccine; however, if given 1 week after the vaccine, no CD8<sup>+</sup> T-cell response was observed (data not shown).

Based on previous findings and data with the VRP-*neu* vaccine described in the present article, it can be postulated that the immunomodulatory effect of DOX and PTX in priming immune cells to the antigen starts within 1 week after the administration of the drug, and it appears to enhance the capability of the vaccine to induce antigen-specific CD8<sup>+</sup> T-cell activity, probably by increasing antigen presentation to effector cells through its augmentation of phagocytic activity. Supporting this hypothesis, Orsini and colleagues demonstrated that an increase in the number of monocytic cells occurs 5 days after DOX administration in the spleens of mice [68].

Although we have identified one mechanism underlying the immune-enhancing effect of cytotoxic agents, other

mechanisms may be involved. This is clearly evident in our data demonstrating that DOX and SINCP-*neu* inhibited tumor growth *in vivo* (Fig. 1) but failed to induce antigen-specific CD8<sup>+</sup> T cells (Table 1). An alternative mechanism is thus probably responsible for the antitumor effect of DOX administration followed by SINCP-*neu*, and may explain why PTX administration followed by SINCP-*neu* was totally ineffective in controlling tumor growth.

Similar to DOX, PTX has been shown to activate macrophages directly, thus augmenting the antitumor effector function and inducing the secretion of relevant cytokines such as tumor necrosis factor, IL-12, and granulocyte-macrophage colony-stimulating factor [37]. When combined with SINCP-*neu* or with SINCP- $\beta$ gal, however, PTX increased the *neu*-specific CD8<sup>+</sup> T-cell response by approximately twofold, implying that PTX is capable of inducing a tumor-specific immune response by itself rather than through a synergistic interaction.

Several studies have reported enhanced antitumor activity of low-dose to moderate-dose chemotherapy combined with autologous cell vaccines [33–35,37,38], some of which have been modified to improve the potency of the vaccine [37,67]. Recently, in a murine model of acute promyelocytic leukemia, the efficacy of a DNA vaccine to the oncogenic promyelocytic leukemia-retinoic acid receptor alpha was significantly increased when combined with the standard all-*trans* retinoic acid [39,40]. In the present study we found enhanced inhibition of tumor growth with VRP vaccine expressing *neu* combined with either DOX or PTX, possibly mediated through generation of an antigen-specific CTL response [48–52]. DOX also yielded an improved antitumor efficacy when combined with a DNA plasmid designed to generate a Sindbis virus replicon expressing *neu*.

Further studies are required to determine whether the combination of chemotherapy and vaccine therapy will prolong the survival of mice in a 'surgical model' in which the tumor is surgically removed prior to treatment.

## Conclusions

The results of this study demonstrate that DOX and PTX have potential immunomodulatory activities that can be used to improve the antitumor efficacy of gene vaccines. The race model utilized in these studies is a very rigorous model employing a rapidly growing tumor. The VRP-*neu* vaccine, the more effective of the two vaccines evaluated, induced CD8<sup>+</sup>IFN- $\gamma$ <sup>+</sup> T-cell responses, demonstrating a potential immunological mechanism for the observed delayed tumor growth. Additional studies are required to identify other possible biological mechanisms, as well as the optimal dosage and schedules of other potential combination regimens using cytotoxic agents and gene vaccines.

## Authors' contributions

The authors' contributions to this research are reflected in the order shown, with the exception of LBL who supervised all aspects of this research and the preparation of this report.

## Competing interests

None declared.

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