

# Structure Changes in Carbon Films Prepared by Electron-Beam-Assisted Deposition

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**Abstract**—Carbon films 50–180 nm thick on nickel substrates are fabricated by the ion sputtering of graphite and the deposition of heavy hydrocarbons from the gas phase with simultaneous electron irradiation. Irradiation results in the formation of bonds in carbon films due to the  $sp$  and  $sp^3$  hybridization of orbitals ( $sp$  and  $sp^3$  bonds), mainly,  $sp^3$  bonds. A fraction of these bonds does not change with growth in the electron energy; it increases three-fold with a reduction in the temperature and an increase in the electron current density. Electron irradiation enhances the film microhardness which exceeds 12 GPa. The films, prepared by heavy hydrocarbon deposition, contain  $CH_n$  bonds and a small fraction of  $sp^3$  bonds. The maximum value of the microhardness of the hydrocarbon films is no more than 4.5 GPa. The analysis of the proposed model of the kinetics of forming different allotropic phases in a carbon film to be deposited shows that a temperature reduction changes the specific volume of an atom in the lattice, while under conditions of simultaneous electron irradiation, it appreciably increases the content of the phase with  $sp^3$  bonds. The effect of  $sp^i$ -bond breakage during electron-beam-assisted deposition weakly depends on the electron energy. The weak excitations of electrons of carbon atoms can also result in the formation of  $sp^3$  bonds and increases their concentration with growth in the electron current density.

**Keywords:** carbon-film deposition, ion surface sputtering, photoelectron spectroscopy, carbyne, diamond, bond hybridization

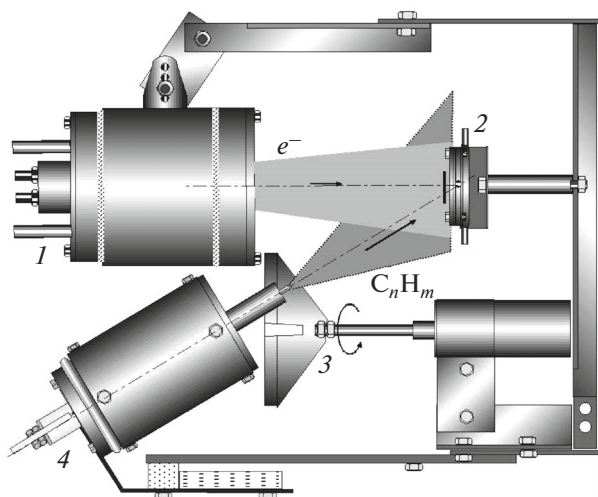
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## INTRODUCTION

The application of coatings is an efficient method for creating new materials with specified operating properties. Among the techniques for application, material deposition with concurrent ion-beam processing is widely used. Ion irradiation makes it possible to considerably improve the properties of coatings; in particular, increase their density. These processes are caused mainly by elastic collisions in the coating under ion irradiation [1]. At present, of considerable interest are coatings in the form of thin carbon films of various structural modifications with different ratios of carbon bonds, arising due to  $sp$ ,  $sp^2$ , and  $sp^3$  hybridization of orbitals [2–6]. Interest in films with a high content of carbon atoms with  $sp^3$  bonds is explained by the unconventional combination of such physicochemical properties as high hardness, wear resistance, chemical inertness, a wide band gap, low friction factor, biocompatibility, etc. Significant advances in the growth and search for the optimal formation modes of carbon films of specified structural modifications are

achieved with the use of vacuum growth processes, based on the sputtering of graphite by an ion beam and on exposure of the carbon-condensate structure to either an ion or high-power electron beam [7, 8].

In [9] it was found that with the simultaneous irradiation of nickel by 30-keV  $C^+$  ions and 1–5-keV electrons, a carbon film with a thickness of a few tens of nanometers, mainly consisting of amorphous diamond with  $sp^3$  bonds, grows on its surface. Studies of carbon films [10], applied on nickel substrates during the ion sputtering of graphite under conditions of concurrent electron irradiation and subsequent ion irradiation, have shown that ion irradiation, following film deposition, induces the formation of  $sp$  bonds in them, while the concurrent electron irradiation contributes to a growth in the number of  $sp^3$  bonds. Thus it has been shown that the electron irradiation of a carbon film to be deposited must shift the equilibrium concentrations of allotropic phases in the direction of an increase in the concentration of phases with  $sp^3$  bonds. The ion beam impacts in a similar manner. Ion irradi-



**Fig. 1.** Schematic of film deposition during heavy hydrocarbon evaporation and simultaneous electron irradiation: (1) electron gun, (2) receiver with the sample holder, (3) collimator, and (4) evaporator.

ation of an already formed film enhances its density [1], increasing the probability of the formation of a shorter allotropic *sp* bond.

Based on these concepts and for the purpose of optimizing the parameters of the electron-beam-assisted process, it is decided that the processes of carbon structure modification under electron irradiation and at different deposition temperatures, electron energy, and electron density will be studied. In addition, of certain interest is investigation of the structure of hydrocarbon films, deposited from the gas phase with heavy hydrocarbon evaporation under conditions of concurrent electron irradiation, and their comparison with carbon films prepared by the sputtering of graphite.

## EXPERIMENTAL

Deposition of films, electron irradiation of samples, and direct ion implantation were carried out at the ILU ion-beam accelerator with the separation of ions by mass [11], in the receiving chamber of which an electron gun was arranged. The electron gun was fastened to the flange of the ion receiver at an angle of  $30^\circ$  to the ion-beam axis, perpendicular to the sample surface. The substrate samples were installed in the holder, which was attached directly to the furnace device with an ohmic heater. The ion-current density was recorded using a special reference plate, located on a diaphragm in front of the sample, while the electron current density was recorded using a Faraday cup, located near the sample. The sample temperature was measured using a platinum–rhodium thermocouple within the range of 470–1270 K. A carbon film was

deposited due to the sputtering a graphite target, which was set to an angle of  $60^\circ$  with respect to the sample surface, by a narrow beam of  $C^+$  ions [10]. In the experiments for carbon-film deposition using ion sputtering of the graphite target with simultaneous electron irradiation, the following combination of electron and ion beams was used: preliminary implantation of  $C^+$  ions ( $E = 40$  keV,  $f = 2 \times 10^{21}$   $m^{-2}$ ,  $j = 0.5$   $A/m^2$ ) into the substrate, then the sputtering of graphite by  $C^+$  ions ( $E = 40$  keV,  $f = (4-8) \times 10^{22}$   $m^{-2}$ ,  $j = 2$   $A/m^2$ ), and simultaneous irradiation by electrons ( $E = 1-5$  keV,  $f = (1-6) \times 10^{23}$   $m^{-2}$ ,  $j = 5-30$   $A/m^2$ ).

A schematic of film deposition from the gas phase using the electron-beam-assisted evaporation of heavy hydrocarbons is presented in Fig. 1. As the working medium, heavy hydrocarbons of two types—naphthalene  $C_{10}H_8$  and polyethylene  $(C_2H_4)_n$ —were used, which were loaded into the evaporator crucible and heated up to temperatures of 405 and 498 K, respectively. Metallic substrate samples were installed on a copper receiver, cooled with water. During film deposition ( $t_{\text{depos}} = 40$  min), the substrate temperature, measured by a platinum–rhodium thermocouple, did not exceed 345 K. To separate the droplet phase and decrease the flow of particles of the material to be deposited, a collimator in the form of a disc with a slot is used, which has a collimation coefficient of 1/100. To prevent the deposited substance from being overheated by the electron beam, an insignificant current density of 0.5-keV electrons was chosen which was varied from 1 to 3  $A/m^2$ .

For the deposition of carbon films, planar samples with a size of  $15 \times 15 \times 0.5$  mm made of Ni of the NP-1 trademark (99.9%) were used as substrates after preannealing (1170 K, 1 h) in a vacuum chamber with a residual pressure of  $10^{-3}$  Pa. The surface of the Ni substrates was prepared using standard techniques of mechanical and electrolytic polishing.

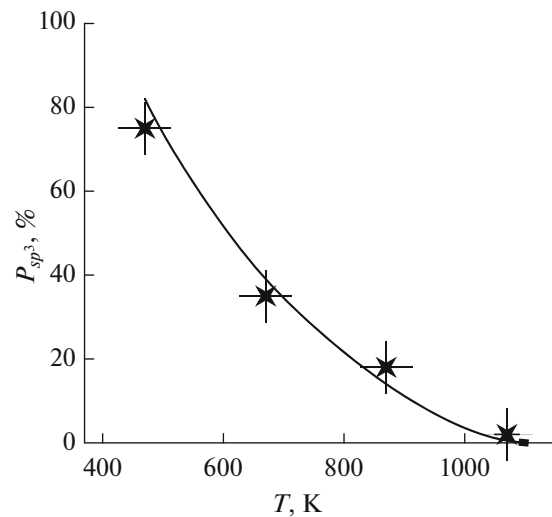
During studies of carbon films, techniques of profilometry (Alpha-Step-200 profilometer), the optical (Nikon MA100) and electron (JSM-35CF) microscopy, and X-ray photoelectron spectroscopy (XPS) were used [12, 13]. The XPS measurements (panoramic spectrum, the  $C1s$ ,  $O1s$ ,  $N1s$ , and  $Ni2p$  spectra) of thin carbon films were performed on the ESCA module (SPECs company) at the NANOPhES station on the Siberia-2 synchrotron-radiation source. The ESCA module includes an  $AlK_\alpha$  source of 100-W power (1486.6 eV), from which the focused X-ray beam exits (after the monochromator) at an angle of  $45^\circ$  with respect to the sample, and the PHOIBOS-150 electron analyzer which records the photoelectron yield along the normal to the sample. The size of the monochromator input slot is  $1 \times 25$  mm, the beam illumination region on the sample is  $3 \times 1$  mm, the calibration is implemented along the  $Au4f$  line (84.02 eV); the full width at half maximum is 0.62 eV with the analyzer pass

energy  $PE = 120$  eV. In the module a provision is made for layer-by-layer surface etching by  $\text{Ar}^+$  ions ( $E = 1.5$  keV,  $I = 0.01$  mA), incident at an angle of  $45^\circ$  to the surface. The etching area amounts to  $4 \times 4$  mm, the etching rate with 1.5 keV is about 0.4–0.5 nm/min. The processing of experimental XPS spectra is performed using the UNIFIT 2006 software, allowing different hybridization fractions to be determined in the observed peaks [9]. To study the hardening of deposited carbon films, microhardness tests were conducted, as a result of which profiles of the microhardness variation over depth were obtained. The microhardness tests were carried out using a PMT-3 microhardness tester using a Vickers pyramid and the load  $P = 0.005$ – $2$  N. The microhardness of the thin (to  $10^{-6}$  m) near-surface layer was determined under indentation loads of 0.005–0.05 N. The microhardness was calculated from the formula  $HV = 1.854 \times 10^3 P/d^2$ , where  $P$  is the indentation load,  $d$  is the diagonal of the impression. The depth of indenter penetration in the material was calculated from the relation  $h = d/7$ . The spread of the values did not exceed 5% [14].

## DISCUSSION OF RESULTS

The carbon films on nickel substrates, prepared by depositing carbon atoms via the ion sputtering of graphite targets and simultaneous electron irradiation, had a thickness from 50 to 150 nm. The thickness of the hydrocarbon films on nickel, deposited from the gas phase with the evaporation of heavy hydrocarbons, varied within the range of 140–180 nm. The XPS spectra presented in this work demonstrate diamond-like structures in the films, deposited during the electron-beam-assisted ion sputtering of graphite and show that different modes of electron irradiation stipulate different fractions of diamond- and graphite-like bonds. It should be noted that the initial carbon film, fabricated only by carbon deposition without concurrent electron irradiation, is characterized exclusively by graphite  $sp^2$  bonds and by an insignificant fraction of C–O bonds.

The specific features of the structural modification of carbon films deposited in the course of the ion sputtering of graphite and concurrent electron irradiation are presented in Fig. 2 as functions of the substrate temperature. An increase in the fraction of  $sp^3$  bonds ( $P_{sp^3} = N_{sp^3} / (N_{sp^3} + N_{sp^2} + N_{sp})$ ) is observed with a decrease in the substrate temperature from 1070 to 470 K. At high temperatures ( $T \geq 1070$  K), a carbon film with  $sp$ ,  $sp^2$ , and  $sp^3$  bonds is not formed. The carbide ( $\text{Ni}_n\text{C}$ ) bonds and the C–O-, C=O-bonds reveal themselves well in the C1s XPS spectra. It is likely that the carbon atoms deposited in the process of ion sputtering remain for too long on the nickel-substrate surface: one part of the atoms evaporates from the substrate surface; another part diffuses to the surface



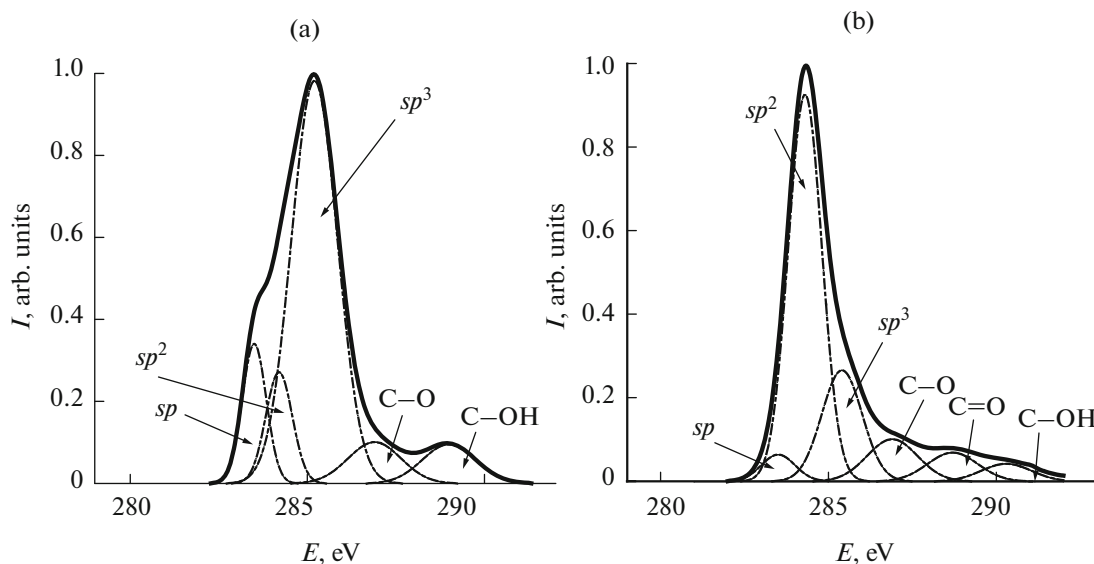
**Fig. 2.** Temperature dependence of the  $sp^3$ -bond fraction in the carbon film, deposited due to the ion sputtering of graphite by 40-keV  $\text{C}^+$  ions and the simultaneous irradiation by 4-keV electrons with a current density of  $10 \text{ A/m}^2$ .

layer, creating chemical compounds with substrate atoms which are stable at the given temperatures. With temperatures of  $T < 870$  K, peaks, corresponding to the  $sp$ ,  $sp^2$ , and  $sp^3$  bonds, start to manifest themselves.

So, the fraction of  $sp^3$  bonds ( $P_{sp^3}$ ) increases from 24 to 75% with a temperature reduction from 870 to 470 K, respectively. For example, Fig. 3 presents the experimental C1s spectra of the carbon films, formed via sputtering of a graphite target by 40-keV  $\text{C}^+$  ions and with concurrent electron irradiation ( $E = 4$  keV,  $j = 10 \text{ A/m}^2$ ) at temperatures of 870 and 470 K. The carbon bonds, forming as a result of the  $sp$ ,  $sp^2$ , and  $sp^3$  hybridization of orbitals, are characterized by an energy of 283.5, 284.4, and 285.2 eV, respectively [15]. Apart from the  $sp$ ,  $sp^2$ , and  $sp^3$  bonds, extended “tails”, corresponding to the C–O, C=O, and C–OH bonds, are seen in the spectra. Oxygen is present in all films, while a fraction of its bonds may reach 15% of the entire number of all detected bonds, especially at high temperatures.

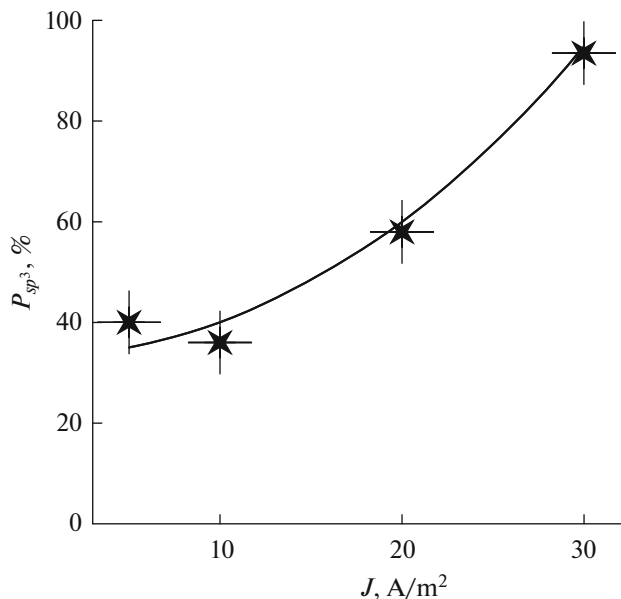
A variation in the energy of concurrent electrons barely changes the degree of structural modification of the carbon films deposited via ion sputtering. With an electron-energy variation from 1 to 4 keV, the average fraction of  $sp^3$  bonds ( $P_{sp^3}$ ) is  $70 \pm 5\%$  for fixed values of the electron current density of  $10 \text{ A/m}^2$  and a substrate temperature of 470 K.

The impact of the electron current density (i.e., density of the flow of concurrent electrons) on the degree of carbon-film modification was studied under the following conditions: the electron energy was 3 keV, the electron current density was varied from 5 to  $30 \text{ A/m}^2$ , and the substrate temperature was main-



**Fig. 3.** Decomposition of the C1s spectrum (experiment) into components, corresponding to  $sp$ ,  $sp^2$ ,  $sp^3$ , C–O, C=O, and C–OH bonds (calculation), for a nickel substrate with a carbon film, deposited due to the sputtering of graphite by 40-keV  $C^+$  ions and simultaneous irradiation by 4-keV electrons with current density of 10 A/m<sup>2</sup> at temperatures of (a) 470 and (b) 870 K.

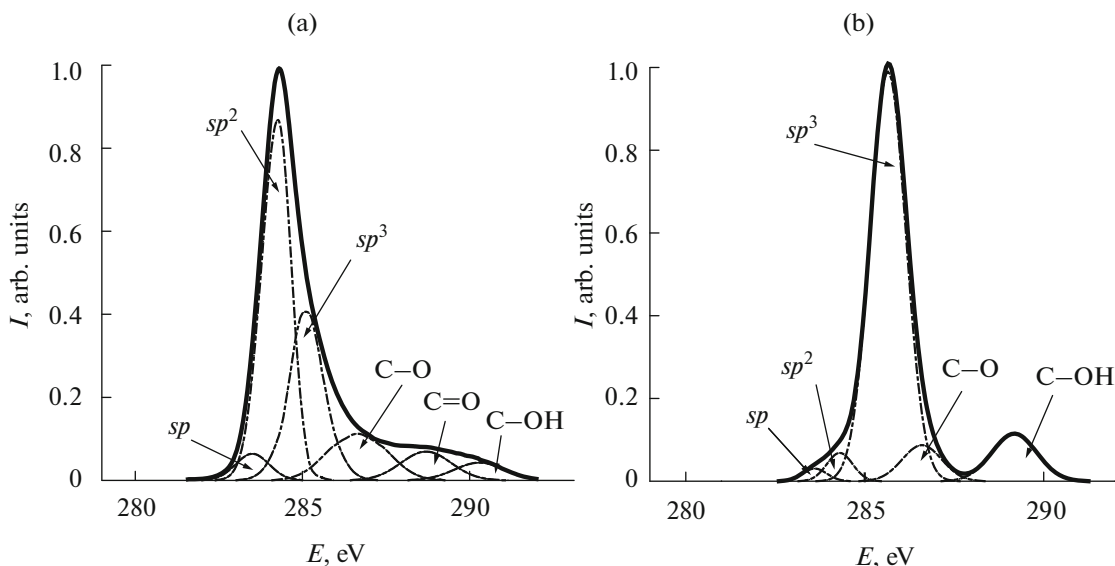
tained at a level of 670 K. It is found that the fraction of  $sp^3$  bonds almost continuously increases from 35 to 94% with a change in the electron current density from 5 to 30 A/m<sup>2</sup> (Fig. 4). Only within the range of low electron current densities of 5–10 A/m<sup>2</sup> does the  $P_{sp^3}$  value remain unchanged within the limits of measure-



**Fig. 4.** Fraction of  $sp^3$  bonds in the carbon film, deposited by the 40-keV  $C^+$ -ion sputtering of graphite and simultaneous irradiation by 4-keV electrons at a temperature of 670 K, as a function of the electron current density.

ment error. Some of the experimental C1s spectra of the carbon films deposited with sputtering of the graphite target by 40-keV  $C^+$  ions and with simultaneous electron irradiation ( $E = 3$  keV,  $j = 10$  and 30 A/m<sup>2</sup>) at a temperature of 670 K are shown in Fig. 5. Comparison of the C1s spectrum components, corresponding to the  $sp$ ,  $sp^2$ ,  $sp^3$ , C–O, C=O, and C–OH bonds, with electron current densities of 10 and 30 A/m<sup>2</sup> clearly demonstrates the transformation of peaks, related to the  $sp$ ,  $sp^2$ , and  $sp^3$  bonds. With an electron current density of 10 A/m<sup>2</sup>, a structure with  $sp^2$  bonds noticeably prevails in the film (the area under the  $sp^2$  peak more than twice exceeds the total area under the  $sp$  and  $sp^3$  peaks). With an electron current density of 30 A/m<sup>2</sup>, a structure with  $sp^3$  bonds dominates in the film (the relative area under the  $sp^3$  peak approaches 100%). The “tails” of the distributions corresponding to C–O, C=O, and C–OH bonds are clearly seen in the spectra.

The effect of structural modification, substantiated by a growth in the fraction of diamond-like structures ( $P_{sp^3}$ ) in the carbon films, deposited and simultaneously irradiated by electrons, is confirmed indirectly by the results of studying the microhardness of the thin-film–substrate system. Profiles of the microhardness variation over the depth of nickel with the applied carbon films, prepared as a result of the ion sputtering of graphite and electron irradiation at different substrate temperatures and electron current densities, are presented in Fig. 6. The test results show that noticeable material hardening is observed in a



**Fig. 5.** Decomposition of the C1s spectrum (experiment) into components, corresponding to  $sp$ ,  $sp^2$ ,  $sp^3$ , C–O, C=O, and C–OH bonds (calculation), for the nickel substrate with a carbon film, deposited due to the sputtering of graphite by 40-keV  $C^+$  ions and simultaneous irradiation by 4-keV electrons with a current density of 10 A/m<sup>2</sup> at temperatures of (a) 470 and (b) 870 K.

layer with a thickness of up to 0.4  $\mu\text{m}$ , while the maximum hardening is observed in a layer less than 0.2  $\mu\text{m}$  thick. As can be seen from Fig. 6a, in a layer with a depth of less than 0.2  $\mu\text{m}$ , the microhardness values of the carbon films, deposited simultaneously with electron irradiation at temperatures of 870, 670, and 470 K, reach 4, 6, and 12 GPa, respectively. These values correlate well with  $sp^3$ -bond fractions  $P_{sp^3}$  [ of 24, 35, and 75% and considerably exceed the microhardness of the substrate material. The maximum increase in the microhardness (almost by an order of magnitude) is detected in the surface layer of all nickel substrates with an applied carbon film at 470 K regardless of the energy of concurrent electrons. Figure 6b presents profiles of the microhardness variation over the depth of nickel with deposited carbon films, prepared as a result of the ion sputtering of graphite and simultaneous electron irradiation ( $E = 3$  keV) at a temperature of 670 K and electron current densities of 5–30 A/m<sup>2</sup>. The maximum microhardness values of the nickel substrate with a carbon film continuously increase from 4.7 to 12.3 GPa as the electron current density grows from 5 to 30 A/m<sup>2</sup>, respectively.

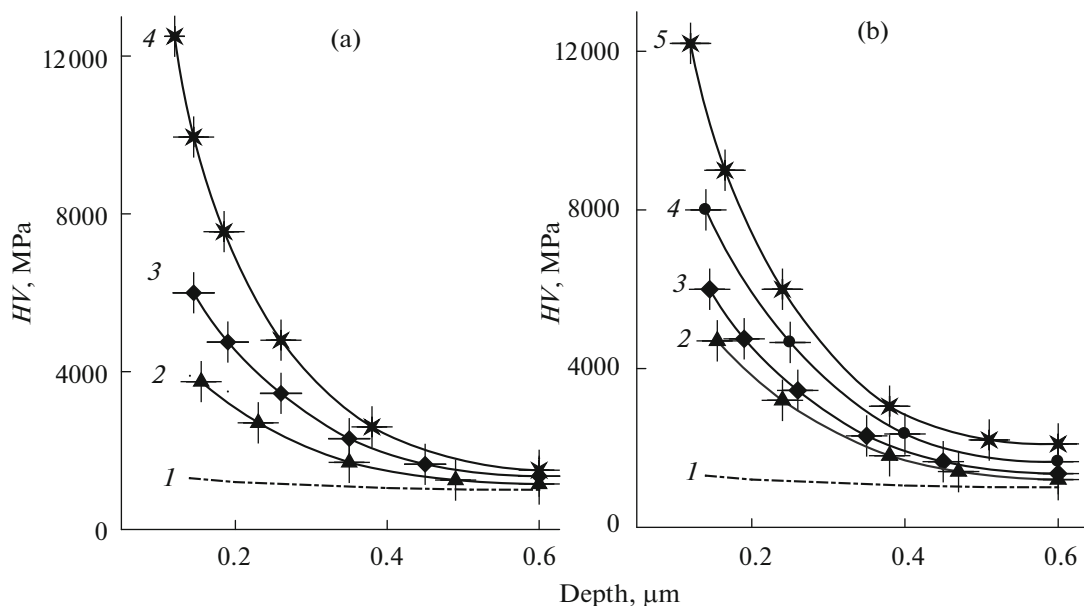
Thus, studying the microhardness of the surface layers of the film–substrate system provides important information on structural changes in the deposited carbon films, in particular, on the development of diamond-like structures with  $sp^3$  bonds in them.

The preparation of films from the gas phase due to the evaporation of heavy hydrocarbons—naphthalene  $C_{10}H_8$  or polyethylene  $(C_2H_4)_n$ —differs from the

method of film application with the ion sputtering of graphite in that large molecules ( $C_{10}H_8$  or  $(C_2H_4)_n$ ) or their conglomerates predominate in the flow of the deposited substance. The deposition of these molecules or their conglomerates onto the substrate leads to the formation of “soft” hydrocarbon films. Concurrent electron irradiation may destroy the large hydrocarbon molecules deposited and contribute to the creation of hard carbon films with diamond-like structures.

The typical experimental C1s spectra of hydrocarbon films, prepared from the gas phase due to the evaporation of heavy hydrocarbons (naphthalene  $C_{10}H_8$  or polyethylene  $(C_2H_4)_n$ ) with simultaneous electron irradiation at a temperature of 345 K, are given in Fig. 7. For all investigated films, decomposition into components of C1s XPS-spectra showed discernible peaks, corresponding to the  $sp$ ,  $sp^2$ ,  $sp^3$ , C–O, C=O, and C–OH bonds. The fraction of  $sp^3$  bonds  $P_{sp^3}$  has a maximum value and varies within the range from 87 to 95%. The maximum energy of the photoelectron  $sp^3$  bond,  $E_{\text{bond}}$ , is within the limits of 284.9–285.4 eV, i.e., the spread is about 0.5 eV. It should be noted that aliphatic or aromatic carbon ( $-CH_n-$ ) and different polymer groups  $(C_mH_n)$  [16], which cannot be excluded during the deposition of large molecules  $C_{10}H_8$ ,  $(C_2H_4)_n$  or their conglomerates, fall into this range of energies  $E_{\text{bond}}$ . It turns to be impossible to separate the  $sp^3$  peak of diamond-like carbon with an energy of  $E_{\text{bond}} = 285.2$  eV and the above indicated





**Fig. 6.** Microhardness variation over the depth of a nickel substrate with a carbon film, deposited due to the sputtering of graphite by 40-keV  $C^+$  ions and simultaneous irradiation by 4-keV electrons: (a) with a current density of  $10 \text{ A/m}^2$  at a temperature of (1) the initial substrate, (2) 870, (3) 670, and (4) 470 K; (b) at a temperature of 670 K and with an electron current density of (1) the initial substrate, (2) 5, (3) 10, (4) 20, and (5)  $30 \text{ A/m}^2$ .

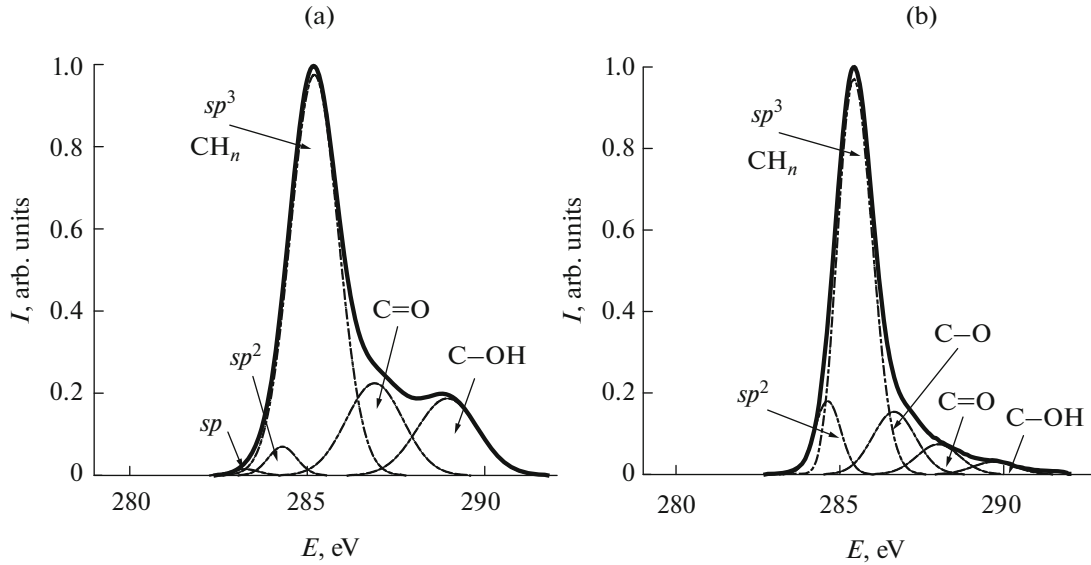
peaks of  $CH_n$  and  $C_mH_n$ . Since hardening is ensured due to the presence of diamond-like  $sp^3$  bonds, then measuring the microhardness of films may be helpful in revealing these bonds and even in qualitatively estimating their relative fraction. Figure 8 shows profiles of the microhardness variation over the depth of the nickel substrate with a hydrocarbon film, deposited from the gas phase due to the evaporation of polyethylene  $(C_2H_4)_n$  and naphthalene  $C_{10}H_8$  with concurrent irradiation by 0.5-keV electrons at 345 K. It can be seen that with identical fractions of  $sp^3$  bonds ( $P_{sp^3} \sim 90\%$ ), the hydrocarbon films have a much smaller (3–8 times smaller) microhardness in comparison to the carbon films prepared with the sputtering of graphite. Probably, films, fabricated by heavy hydrocarbon deposition, contain, mainly, hydrocarbon  $CH_n$  bonds and a small fraction of diamond-like bonds. Based on the above detected correlation between the relative amount of diamond-like  $sp^3$  bonds and the microhardness value of the films, the fraction of diamond-like bonds in the hydrocarbon films can be assumed to vary from 5 to 40%. The films prepared during naphthalene evaporation are almost twice harder than the films of polyethylene, which can be due to a difference in the H/C ratios in these substances: H/C = 2 for polyethylene while for naphthalene, H/C = 0.8.

The previously proposed model [10] of the kinetics of creating allotropic forms of carbon in the film deposited considers the formation and breakage of

bonds with the given type of hybridization of orbitals. From the system of equations for the equilibrium concentration of the  $sp$ ,  $sp^2$ , and  $sp^3$  bonds, the following ratios of  $sp^i$  bond fractions result:

$$n_1 : n_2 : n_3 = k_1\tau_1 : k_2\tau_2 : k_3\tau_3,$$

where  $n_i$  is the relative concentration of  $sp^i$  bonds,  $k_i$  is the probability of the formation of  $i$  bonds,  $\tau_i$  is the time in which they break. Hybridization of one kind or another is induced by neighboring atoms,  $k_i$  depends on the carbon-material density and is the even function  $f(v - v_i)$ , where  $v_i = M/\rho_i$  is the specific volume of an atom in the lattice of the film and in the  $i$ th modification, and  $M$  is the mass of a carbon atom. Concurrent electron irradiation breaks, first and foremost, the low-energy bonds and leads to an increase in the diamond phase and a decrease in the graphite phase. The effect of breaking bonds by electrons slightly (logarithmically) depends on the current density and energy of electrons [10]. However the bond-formation probability  $k_i$  also depends on electron irradiation. For example, weak excitations of carbon-atom electrons can lead to a change in the type of hybridization of orbitals rather than to a breakage of bonds (ionization). In this case, it can be assumed that  $k_i = k_{i0}(1 + B_j)$ , where  $B_j$  is the constant,  $j$  is the electron current density. Since  $v_3 < v_2$  (Table 1) and this excitation is more probable for denser packing, then  $B_2 < B_3$  and the ratio  $P_{32} = sp^3/sp^2 = k_3\tau_3/k_2\tau_2$  grows with an increase in the elec-



**Fig. 7.** Decomposition of the C1s spectrum (experiment) into components, corresponding to the  $sp$ ,  $sp^2$ ,  $sp^3$ , C–O, C=O, and C–OH bonds (calculation), for the nickel substrate with the hydrocarbon film, deposited from the gas phase due to the evaporation of (a) naphthalene  $C_{10}H_8$  and (b) polyethylene  $(C_2H_4)_n$  and simultaneous irradiation with 0.5-keV electrons with an current density of  $10 \text{ A/m}^2$  at a temperature of 345 K.

tron current density  $j$ :  $dP_{32}/dj \sim (B_3 - B_2) > 0$ . An increase in the density of the deposited film increases the probability of shorter-bond formation. Cooling of the film during deposition contributes to forming the denser phase. Indeed, the ratio  $P_{32} = k_3\tau_3/k_2\tau_2$  depends on the thermal expansion of the deposited film. However, it should be emphasized that the issue here is the distance between atoms in the film beyond the pores rather than the average film density, which can decrease with decreasing temperature due to degradation of the mobility of deposited atoms and by virtue of pore formation in the film. In accordance with the previous assumption, the probability of  $i$ th-bond creation depends on the volume  $v_i = M/\rho_i$  as

$$k_i = k_{i0} - A_i(\Delta v)^2, \Delta v = v - v_i,$$

where  $v_i$  is the volume, corresponding to a single atom in the  $i$ th phase, and  $A_i$  is a constant. Considering that the volume  $v$  depends on the temperature as  $v = v_0(1 + 3\alpha T)$ ,

where  $\alpha$  is the coefficient of linear thermal expansion, we derive

$$dP_{32}/dT = (t_3/t_2)(6av/k_2)(-A_3\Delta v_3 + A_2\Delta v_2k_3/k_2).$$

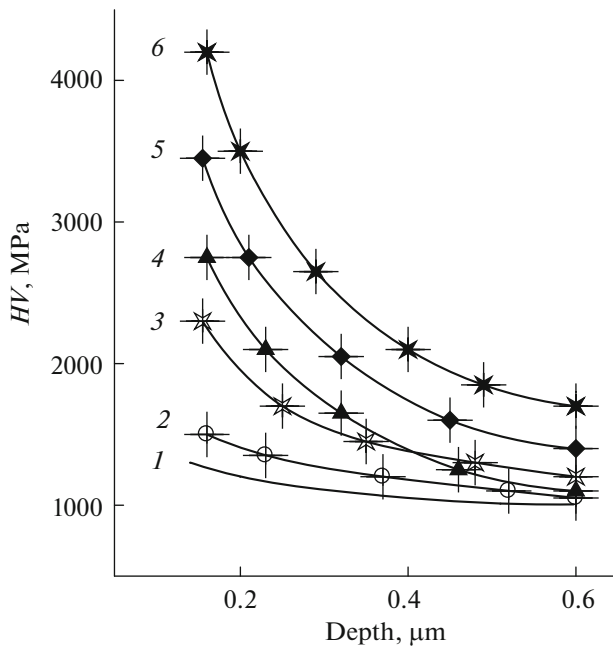
Based on the graphite–diamond diagram, it can be assumed that  $k_3/k_2 \ll 1$ , while  $A_2 \approx A_3$ . At the same time, the specific volume  $v$  of the film is larger than the specific volumes  $v_2$  and  $v_3$ , while  $v_2 > v_3$  (see Table 1) and  $\Delta v_3 > \Delta v_2$ , therefore  $dP_{32}/dT < 0$ . The ratio  $P_{32}$  increases with cooling. The effect value can be judged by comparing a reduction in the specific volume with cooling by  $\Delta T$  and with compression under the pressure  $P$ . The pressure  $P$ , which is equivalent to cooling by  $\Delta T$ , is written as

$$P = K3\alpha\Delta T,$$

where  $K$  is the compression modulus,  $K = 10.3 \text{ GPa}$  for graphite, while for diamond,  $K = 435 \text{ GPa}$ . Thus, cooling by  $\Delta T = 100 \text{ K}$  is equivalent to compression under a pressure of  $P \approx 100 \text{ atm}$ . This pressure is sig-

**Table 1.** Characteristics of some allotropic phases of carbon films [4]

	$sp$ , C–C carbyne	$sp$ , C=C carbyne	$sp$ , C≡C carbyne	$sp^2$	$sp^3$
$a$ , nm	0.1543	0.1353	0.118	0.141	0.15
$\rho$ , g/cm <sup>3</sup>	–	–	–	2.2	3.47–3.55
$E$ (per chemical bond), kJ/mol	331	587	822	167.6 (1.8 eV)	356 (3.7 eV)



**Fig. 8.** Microhardness variation over the depth of a nickel substrate with a hydrocarbon film, deposited from the gas phase due to heavy hydrocarbon evaporation and simultaneous irradiation by 0.5-keV electrons: at a temperature of (1) the initial substrate, (2)  $(C_2H_4)_n$ ,  $j_e = 1 \text{ A/m}^2$ ; (3)  $(C_2H_4)_n$ ,  $j_e = 2 \text{ A/m}^2$ ; (4)  $C_{10}H_8$ ,  $j_e = 1 \text{ A/m}^2$ ; (5)  $C_{10}H_8$ ,  $j_e = 2 \text{ A/m}^2$ ; (6)  $C_{10}H_8$ ,  $j_e = 3 \text{ A/m}^2$ .

nificantly smaller than the pressure needed for the phase transition of graphite to diamond without electron irradiation. The quantity  $\partial(k_3/k_2)/\partial T$  is small, but the quantity  $\partial(k_3\tau_3/k_2\tau_2)/\partial T$  is no longer small. This implies that the electron irradiation increases  $P_{32}$  due to the predominant breakage of  $sp^2$  bonds. So, a change in the specific volume of an atom in the lattice of the film with cooling by  $\Delta T \sim 100 \text{ K}$  under conditions of simultaneous electron irradiation leads to an appreciable increase in the concentration of the phase with  $sp^3$  bonds.

## CONCLUSIONS

Studies of carbon films, applied on nickel substrates in the process of the ion sputtering of graphite under conditions of concurrent electron irradiation, have shown that electron irradiation induces the formation of  $sp^3$  bonds in the films. The fraction of  $sp^3$  bonds ( $P_{sp^3}$ ) grows from 24 to 75% with a decrease in the nickel-substrate temperature from 870 to 470 K and grows from 35 to 94% with an increase in the electron current density from 5 to 30  $\text{A/m}^2$ . The variation in the electron energies from 1 to 4 keV barely changes the degree of structural modification of the deposited

carbon films ( $P_{sp^3} \approx 70\%$ ) at a substrate temperature of 470 K. A decrease in temperature and increase in the electron current density enhance the microhardness of the substrate with the applied film (the greatest hardening is observed in a layer up to 0.2  $\mu\text{m}$  deep). The maximum microhardness, exceeding a value of 12 GPa, is detected at a minimum deposition temperature of 470 K and with a maximum electron current density of 30  $\text{A/m}^2$ . The microhardness value of the film–substrate system can serve as a good indicator for the fraction of diamond-like  $sp^3$  bonds.

The films, prepared by the electron-beam-assisted (0.5 keV) deposition of heavy hydrocarbons  $(C_2H_4)_n$  and  $C_{10}H_8$  at 345 K, contain predominantly the hydrocarbon  $CH_n$  bonds and a small fraction of diamond-like  $sp^3$  bonds. The hydrocarbon-film microhardness is 3–8 times smaller in comparison with the films deposited due to the sputtering of graphite. The films produced during  $C_{10}H_8$  evaporation are almost twice as hard as the films prepared from  $(C_2H_4)_n$ , since the ratio H/C for  $(C_2H_4)_n$  is 2.5 times larger than that for  $C_{10}H_8$ . The maximum microhardness value of the hydrocarbon films is no more than 4.5 GPa.

The analysis of the kinetics of forming different allotropic phases in the deposited carbon film showed that concurrent electron irradiation must lead to an increase in the diamond-phase concentration and to a decrease in the graphite-phase fraction. As a result of lowering the temperature of film deposition, the specific atom volume in the lattice of the film changes, while under the condition of simultaneous electron irradiation, the concentration of the phase with  $sp^3$  hybridization increases appreciably. The effect of the breakage of bonds with  $i$ -type hybridization during electron-beam-assisted deposition weakly depends on the current density and energy of electrons. Weak excitations of electrons of carbon atoms may lead to a change in the type of hybridization, increasing the probability of  $sp^3$  bond formation and augmenting the relative concentration of  $sp^3$  bonds with a growth in the electron current density.

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