

## On the Distribution of Zero Sets of Holomorphic Functions: III. Converse Theorems

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**ABSTRACT.** Let  $M$  be a subharmonic function in a domain  $D \subset \mathbb{C}^n$  with Riesz measure  $\nu_M$ , and let  $Z \subset D$ . As was shown in the first of the preceding papers, if there exists a holomorphic function  $f \neq 0$  in  $D$  such that  $f(Z) = 0$  and  $|f| \leq \exp M$  on  $D$ , then one has a *scale* of integral uniform upper bounds for the distribution of the set  $Z$  via  $\nu_M$ . The present paper shows that for  $n = 1$  this result “almost has a converse.” Namely, it follows from such a *scale* of estimates for the distribution of points of the sequence  $Z := \{z_k\}_{k=1,2,\dots} \subset D \subset \mathbb{C}$  via  $\nu_M$  that there exists a nonzero holomorphic function  $f$  in  $D$  such that  $f(Z) = 0$  and  $|f| \leq \exp M^{\uparrow r}$  on  $D$ , where the function  $M^{\uparrow r} \geq M$  on  $D$  is constructed from the averages of  $M$  over circles rapidly narrowing when approaching the boundary of  $D$  with a possible additive logarithmic term associated with the rate of narrowing of these circles.

**KEY WORDS:** holomorphic function, sequence of zeros, subharmonic function, Jensen measure, test function, balayage.

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### 1. Introduction

The present paper uses the notation, definitions and conventions in [1] and [2] with their natural adaptations for the *complex plane*  $\mathbb{C}$  and its *Aleksandrov one-point compactification*  $\mathbb{C}_\infty := \mathbb{C} \cup \{\infty\}$ . The main goal is to give the converse of the results in the original paper [1] for domains  $D \subset \mathbb{C}_\infty$  in the form of a criterion in the subharmonic version and also in a form close to a criterion when holomorphic functions in  $D$  are considered.

**1.1. Notation, definitions, conventions.** Throughout the paper,  $\mathbb{N} := \{1, 2, \dots\}$  stands for positive integers,  $\mathbb{R} \subset \mathbb{C}$  is the *real line*,  $\mathbb{R}^+ := \{x \in \mathbb{R} : x \geq 0\}$  is the *positive semiaxis*, and

$$\mathbb{R}_*^+ := \mathbb{R}^+ \setminus \{0\}, \quad \mathbb{R}_{\pm\infty} := \{-\infty\} \cup \mathbb{R} \cup \{+\infty\}, \quad \mathbb{R}_{+\infty}^+ := \mathbb{R}^+ \cup \{+\infty\}, \quad (1.1)$$

where the order on  $\mathbb{R}$  is supplemented with the natural inequalities  $-\infty \leq x \leq +\infty$  for any  $x \in \mathbb{R}_{\pm\infty}$ . For  $r \in \mathbb{R}_*^+$  and  $z \in \mathbb{C}$ , let  $D(z, r) := \{z' \in \mathbb{C} : |z' - z| < r\}$  be the *open disk with center  $z$  and radius  $r$* , let  $D(r) := D(0, r)$ , let  $\mathbb{D} := D(1)$ , and let  $D(z, +\infty) := \mathbb{C}$ . For  $z = \infty$ , it is convenient for us to set  $D(\infty, r) := \{z \in \mathbb{C}_\infty : |z| > 1/r\}$ ,  $|\infty| := +\infty$ , and  $D(\infty, +\infty) := \mathbb{C}_\infty \setminus \{0\}$ . The open disks  $D(z, r)$ ,  $r \in \mathbb{R}_*^+$ , form a base of open neighborhoods of a point  $z \in \mathbb{C}_\infty$ . For  $S \subset \mathbb{C}_\infty$ , by  $\text{int } S$ ,  $\text{clos } S$ , and  $\partial S$  we denote the *interior*, *closure*, and *boundary* of  $S$  in  $\mathbb{C}_\infty$ . For  $S \subset S' \subset \mathbb{C}_\infty$ , we write  $S \Subset S'$  if  $S$  is a relatively compact subset of  $S'$ . A *(sub)domain* in  $\mathbb{C}_\infty$  is an open connected subset of  $\mathbb{C}_\infty$ . Throughout the following,

$$D \neq \emptyset \text{ is a proper subdomain of } \mathbb{C}_\infty \neq D. \quad (1.2)$$

Just as in [1], by  $\text{har}(S)$ ,  $\text{sbh}(S)$ ,  $\delta\text{-sbh}(S)$ ,  $\text{Hol}(S)$ , and  $C^k(S)$ ,  $k \in \mathbb{N} \cup \{\infty\}$ , we denote the classes of *harmonic*, *subharmonic* ([3], [4]),  $\delta$ -*subharmonic* [1, 3.1], *holomorphic*, and  *$k$  times continuously differentiable* functions, respectively, on open subsets of  $\mathbb{C}_\infty$  containing  $S \subset \mathbb{C}_\infty$ ; however,  $C(S)$  is the class of *continuous* functions on  $S$ . By  $-\infty$  and  $+\infty$  we denote the functions identically equal to  $-\infty$  and  $+\infty$ , respectively. In this notation,

$$\begin{aligned} \text{sbh}_*(S) &:= \text{sbh}(S) \setminus \{-\infty\}, & \delta\text{-sbh}_*(S) &:= \delta\text{-sbh}(S) \setminus \{\pm\infty\}, \\ \text{Hol}_*(S) &:= \text{Hol}(S) \setminus \{0\}. \end{aligned} \quad (1.3)$$

The symbol  $0$  stands for the zero vector or the origin in a vector or an affine space. Positivity in an ordered vector space  $X$  is everywhere understood as  $\geq 0$ ;  $+\infty \geq 0$  in  ${}^* \mathbb{R}_{+\infty}^+ \stackrel{(1.1)}{\subset} \mathbb{R}_{\pm\infty}$ . For  $A \subset X$ , by  $A^+$  we denote the set of positive elements in  $A$ . The class of all functions  $f: X \rightarrow Y$  is denoted by  $Y^X$ . If  $F(S) \subset \mathbb{R}_{\pm\infty}^S := (\mathbb{R}_{\pm\infty})^S$  is any class of *extended real functions*, then  $F^+(S) \subset (\mathbb{R}_{+\infty}^+)^S$  is the subclass of all positive functions in  $F(S)$ .

Further,  $\text{Meas}(S)$  is the class<sup>\*\*</sup> of *real Borel measures*, also called *charges* [4], on Borel subsets of a set  $S \subset \mathbb{C}_\infty$ ;  $\text{Meas}_c(S)$  is the subclass of measures  $\nu \in \text{Meas}(S)$  with *compact support*  $\text{supp } \nu \Subset S$ ;  $\text{Meas}^+(S)$  is the subset of positive charges, i.e., just *measures*;  $\lambda$  is the *Lebesgue measure* in  $\mathbb{C}$ ; and  $\delta_z$  is the *Dirac measure* at a point  $z \in \mathbb{C}_\infty$ .

Let  $f \in \stackrel{(1.3)}{\text{Hol}}_*(D)$ . We say that a function  $f$  *vanishes on* a sequence  $Z = \{z_k\}_{k=1,2,\dots}$  of points lying in  $D$  (we write  $Z \subset D$ ) if the multiplicity of zero, or root, of  $f$  at each point  $z \in D$  is not less than the number of occurrences of this point in the sequence  $Z$  (we write  $f(Z) = 0$ ). To a sequence  $Z = \{z_k\}_{k=1,2,\dots} \subset D$  *without limit points in  $D$* , we assign

[div] The *divisor of the sequence  $Z$*  on  $D$ , that is, the function (denoted by the same symbol)  $Z: D \rightarrow \mathbb{N}_0 := \{0\} \cup \mathbb{N}$  that takes each point  $z \in D$  to the number of occurrences of  $z$  in  $Z$ ; namely,

$$Z(z) := \sum_{z_k=z} 1 = \sum_k \delta_{z_k}(\{z\}), \quad z \in D. \quad (1.4)$$

[cm] The *counting measure*

$$n_Z(S) := \sum_{z_k \in S} 1 = \sum_k \delta_{z_k}(S), \quad S \subset D, \quad (1.5)$$

i.e., the number of points of  $Z$  that lie in  $S$ . It is obvious that  $Z(z) \stackrel{(1.4)}{=} n_Z(\{z\})$ ,  $z \in D$ .

Departing from the traditional interpretation of a sequence as a function of a positive integer or integer argument, we say that two sequences are equal if their divisors (or, equivalently, their counting measures) coincide. See [5, 1.1] and [6, 0.1.2] for more detail.

The *sequence of zeros*, or roots, of a function  $f \in \text{Hol}_*(D)$ , renumbered in some way counting multiplicities, is denoted by  $\text{Zero}_f$ . Here  $\ln|f| \in \text{sbh}_*(D)$ , and for  $f \neq 0$  the relationship between the Riesz measure  $\nu_{\ln|f|}$  of the function  $\ln|f|$  and the counting measure  $n_{\text{Zero}_f}$  of the sequence of zeros of  $f$  is given by the formula ([3, Theorem 3.7.8], [1, 1.2.4])

$$\nu_{\ln|f|} = \frac{1}{2\pi} \Delta \ln|f| \stackrel{(1.5)}{=} n_{\text{Zero}_f} \in \text{Meas}^+(D), \quad \text{where } \Delta \text{ is the Laplace operator.} \quad (1.6)$$

Obviously,  $f(Z) = 0$  if and only if  $n_Z \leq n_{\text{Zero}_f}$  for  $n_Z, n_{\text{Zero}_f} \in \text{Meas}^+(D)$  on  $D$ .

## 1.2. Main Results.

**Definition 1** (a version of the notion of *balayage* ([3], [4], [7])). Let  $S \Subset D$ , and let  $F \stackrel{(1.1)}{\subset} \mathbb{R}_{\pm\infty}^{D \setminus S}$  be some class of extended real functions on  $D \setminus S$ . A charge  $\mu \in \text{Meas}(D)$  is called an *affine balayage* of a charge  $\nu \in \text{Meas}(D)$  for  $D$  outside  $S \Subset D$  relative to  $F$  (and we write  $\nu \prec_{S,F} \mu$ ) if there exists a number  $C \in \mathbb{R}$  such that

$$\int_{D \setminus S} v \, d\nu \leq \int_{D \setminus S} v \, d\mu + C \quad \text{for all } v \in F, \quad (1.7)$$

where the integrals in (1.7) are, generally speaking, upper integrals [8]. In particular, for a sequence  $Z = \{z_k\}_{k=1,2,\dots}$  with counting measure  $n_Z$  defined in (1.5), a charge  $\mu \in \text{Meas}(D)$  is called an

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\* A reference over a relation sign ((in)equality, inclusion, etc.) means that this relation is somehow connected with the object being referenced.

\*\* The notation  $\mathcal{M}(S)$  was used in [1].

affine balayage of the sequence  $Z$  for  $D$  outside  $S \Subset D$  with respect to the class  $F$  (and we write  $Z \preceq_{S,F} \mu$ ) if  $n_Z \preceq_{S,F} \mu$ , i.e., if there exists a number  $C \in \mathbb{R}$  such that

$$\sum_{z_k \in D \setminus S} v(z_k) \stackrel{(1.5)}{:=} \int_{D \setminus S} v dn_Z \stackrel{(1.7)}{\leq} \int_{D \setminus S} v d\mu + C \quad \text{for all } v \in F. \quad (1.8)$$

Obviously, the *preorder* relation  $\preceq_{S,F}$  on  $\text{Meas}(D)$  with  $F = F^+$  is weaker than the standard order relation  $\nu \leq \mu$  on  $\text{Meas}(D)$ . For a function  $v: D \setminus S \rightarrow \mathbb{R}_{\pm\infty}$  with  $S \Subset D$ , set

$$\lim_{\partial D} v := \lim_{D \ni z' \rightarrow z} v(z') \in \mathbb{R}, \quad z \in \partial D, \quad (1.9)$$

if the limit on the right-hand side exists and if it is the same for any point  $z \in \partial D$ .

To avoid some purely technical complications associated with the need to apply inversion of the complex plane and the Kelvin transform of functions [1, 1.2.2], for the time being we only consider domains  $D \subset \mathbb{C}$ ; i.e.,  $\infty \notin D$ .

**Theorem 1** (a criterion for subharmonic functions). *Let  $D \subset \mathbb{C}$  be a domain with a nonpolar boundary  $\partial D \subset \mathbb{C}_\infty$ , let  $M$  be a function in  $\text{sbh}_*(D) \cap C(D)$  with Riesz measure  $\mu \in \text{Meas}^+(D)$ , let  $\nu \in \text{Meas}^+(D)$ , and let  $b \in \mathbb{R}_*^+$ . Then the following three assertions are equivalent.*

- s1. *There exists a function  $u \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq \nu$  such that  $u \leq M$  on  $D$ .*
- s2. *For any subset  $S \Subset D$  satisfying the conditions*

$$\emptyset \neq \text{int } S \subset S = \text{clos } S \Subset D, \quad (1.10)$$

*the measure  $\mu$  is an affine balayage of the measure  $\nu$  for  $D$  outside  $S$  with respect to the class of test\* subharmonic positive functions*

$$\text{sbh}_0^+(D \setminus S; \leq b) := \left\{ v \in \text{sbh}^+(D \setminus S) : \lim_{\partial D} v \stackrel{(1.9)}{=} 0, \sup_{D \setminus S} v \leq b \right\}. \quad (1.11)$$

s3. *There exists a subset  $S \Subset D$  satisfying condition (1.10) for which the measure  $\mu$  is an affine balayage of  $\nu$  for  $D$  outside  $S$  with respect to the class  $\text{sbh}_{00}^+(D \setminus S; \leq b) \cap C^\infty(D \setminus S)$ , where*

$$\text{sbh}_{00}^+(D \setminus S; \leq b) := \left\{ v \in \text{sbh}_0^+(D \setminus S; \leq b) : \exists D_v \Subset D, v \equiv 0 \text{ on } D \setminus D_v \right\} \quad (1.12)$$

*is the class of test subharmonic positive compactly supported functions.*

**Remark 1.** The implication s2  $\Rightarrow$  s3 is obvious for any domain  $D \subset \mathbb{C}_\infty$  and any measure  $\mu \in \text{Meas}^+(D)$  without the condition of continuity of the function  $M$  on  $D$ . The same, as shown in Sec. 2.1, is true for the implication s1  $\Rightarrow$  s2. Only the proof of the implication s3  $\Rightarrow$  s1 uses both the continuity of the function  $M$  and the fact that the boundary  $\partial D \subset \mathbb{C}_\infty$  is a nonpolar set. Recall that the latter is equivalent to the existence of a *Green function*  $g_D$  for the domain  $D$  ([3, 4.4], [12, 3.7, 5.7.4]). The boundary  $\partial D$  is nonpolar, say, if at least one of its connected components contains more than one point [3, Corollary 3.6.4] or if the Hausdorff dimension of  $\partial D$  is greater than zero [12, 5.4.1].

The implication s3  $\Rightarrow$  s1 is a special case of Theorem 3 in Sec. 2.2.

Let us proceed to the holomorphic version of Theorem 1, in which there arises a gap between necessary and sufficient conditions. This gap, which is often insignificant, can hardly be bridged even for the disk  $D = \mathbb{D}$  in the general situation considered here. We denote by  $\text{dist}(\cdot, \cdot)$  the *Euclidean distance* between two points, between a point and a set, and between two sets in  $\mathbb{C}$ . By definition, we set  $\text{dist}(\cdot, \emptyset) := \text{dist}(\emptyset, \cdot) := \inf \emptyset := +\infty := \text{dist}(z, \infty) := \text{dist}(\infty, z)$  for  $z \in \mathbb{C}$ .

1.2.1. *The choice of lift of the function  $M$ .* In what follows,  $r: D \rightarrow \mathbb{R}^+$  is an arbitrary continuous function satisfying the condition

$$0 < r(z) < \min\{\text{dist}(z, \partial D), 1\} \quad \text{for all } z \in D. \quad (1.13)$$

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\*Similar classes of test subharmonic functions were studied and used in [1], [2], and [9]–[11].

To a function  $M \in L_{\text{loc}}^1(D)$ , where  $L_{\text{loc}}^1(D) \subset \mathbb{R}_{\pm\infty}^D$  is the class of functions *locally integrable with respect to the Lebesgue measure*  $\lambda$ , we assign its *variable means over disks*,

$$\begin{aligned} M^{*r}(z) &:= \frac{1}{\lambda(D(z, r(z)))} \int_{D(z, r(z))} M \, d\lambda \\ &= \frac{1}{\pi r^2(z)} \int_0^{2\pi} \int_0^{r(z)} M(z + te^{i\theta}) t \, dt \, d\theta, \quad D(z, r(z)) \subset D, \end{aligned} \quad (1.14)$$

and also, following [13] and [14], its *lift*  $M^{\uparrow r}$ , defined as follows:

(i) In the general case of  $D \subset \mathbb{C}$ , we set

$$M^{\uparrow r}(z) := M^{*r}(z) + \ln \frac{1}{r(z)} + (1 + \varepsilon) \ln(1 + |z|) \quad \text{for all } z \in D, \quad (1.15)$$

where the number  $\varepsilon \in \mathbb{R}_*^+$  can be chosen to be arbitrarily small.

(ii) If  $\mathbb{C}_\infty \setminus \text{clos } D \neq \emptyset$  or the domain  $D \subset \mathbb{C}$  is simply connected in  $\mathbb{C}_\infty$ , then

$$M^{\uparrow r}(z) := M^{*r}(z) + \ln \frac{1}{r(z)} \quad \text{for all } z \in D. \quad (1.16)$$

(iii) If  $D = \mathbb{C}$ , then for an arbitrarily large number  $P > 0$  we can set

$$M^{\uparrow r}(z) := M^{*r}(z), \quad r(z) := \frac{1}{(1 + |z|)^P} \quad \text{for all } z \in \mathbb{C} = D. \quad (1.17)$$

**Theorem 2** (necessary/sufficient conditions for holomorphic functions). *Let  $D$  be a domain in  $\mathbb{C}$ , let  $M$  be a function in  $\text{sbh}_*(D)$  with Riesz measure  $\mu \in \text{Meas}^+(D)$ , let  $Z = \{z_k\}_{k=1,2,\dots} \subset D$ , and let  $b \in \mathbb{R}_*^+$ . Each of the following three assertions h1–h3 follows from the preceding one.*

h1. *There exists a function  $f \in \text{Hol}_*(D)$  such that  $f(Z) = 0$  and  $|f| \leq \exp M$  on  $D$ .*

h2. *For any set  $S$  satisfying condition (1.10), the measure  $\mu$  is an affine balayage of the sequence  $Z$  for  $D$  outside  $S$  with respect to the class of test subharmonic functions (1.11).*

h3. *There exists a set  $S$  satisfying condition (1.10) such that  $\mu$  is an affine balayage of the sequence  $Z$  for  $D$  outside  $S$  with respect to the class  $\text{sbh}_{00}^+(D \setminus S; \leq b) \cap C^\infty(D \setminus S)$ .*

*Conversely, if, in addition, the boundary  $\partial D$  is a nonpolar set in  $\mathbb{C}_\infty$  and  $M \in C(D)$ , then assertion h3 implies the existence of a function  $f \in \text{Hol}_*(D)$  vanishing on  $Z$  and satisfying the inequality  $|f| \leq \exp M^{\uparrow r}$  on  $D$  with lifts  $M^{\uparrow r}$  in (i)–(iii) defined by formulas (1.15)–(1.17).*

**Remark 2.** The implication h2  $\Rightarrow$  h3 is obvious. Sections 3 and 4 contain the converse Theorems 4 and 5 exclusively in terms of affine balayage with respect to the classes of Green functions and certain logarithmic potentials of analytic disks, respectively. Corollaries 1 and 2 of Theorems 4 and 5 give other versions of the implication h3  $\Rightarrow$  h1.

## 2. Proofs of Theorems 1 and 2

**2.1. Proof of the implications s1  $\Rightarrow$  s2 and h1  $\Rightarrow$  h2.** This section does not assume that the function  $M$  is continuous. The nonempty domain  $D \subset \mathbb{C}$  is arbitrary.

Take a  $z_0 \in D$  such that  $u(z_0) \neq -\infty$  and  $M(z_0) \neq -\infty$ . The choice of the domain  $\tilde{D}$ ,  $S \Subset \tilde{D} \Subset D$ , regular for the Dirichlet problem in the statement of the main theorem in [1] is arbitrary. Then, by condition s1 of the main theorem in [1], there exist numbers  $C, \overline{C}_M \in \mathbb{R}^+$  such that [1, (3.3)]

$$Cu(x_0) + \int_{D \setminus S} v \, d\nu_u \leq \int_{D \setminus S} v \, d\mu + C\overline{C}_M \quad \text{for all } v \in \text{sbh}_0^+(D \setminus S; \leq b).$$

Since  $\nu \leq \nu_u$ , this shows by Definition 1 that  $\nu \preceq_{S,F} \nu_u \preceq_{S,F} \mu$  for the affine balayage operation  $\preceq_{S,F}$  for  $D$  outside  $S$  with respect to the class  $F \stackrel{(1.11)}{=} \text{sbh}_0^+(D \setminus S; \leq b)$ .

The implication  $h1 \Rightarrow h2$  is a special case of the implication  $s1 \Rightarrow s2$  for  $u := \ln |f|$  with the Riesz measure  $n_{\text{zero}_f} \geq n_Z$  in the framework of Definition (1.8) of the affine balayage  $\mu$  of the sequence  $Z$ .

**2.2. Proof of the implication  $s3 \Rightarrow s1$ .** Let us prove a more general assertion.

**Theorem 3.** *Let  $D \subset \mathbb{C}_\infty$  be a domain with nonpolar boundary  $\partial D$ , let  $S \Subset D$  be a subset satisfying condition (1.10), let  $M \stackrel{(1.3)}{\in} \delta\text{-sbh}_*(D)$  be a  $\delta$ -subharmonic function with Riesz charge  $\nu_M \in \text{Meas}(D)$ , let  $\nu \in \text{Meas}^+(D)$ , and let  $b \in \mathbb{R}^+$ . If the charge  $\nu_M$  is an affine balayage of the measure  $\nu$  for  $D$  outside  $S$  with respect to the class  $\text{sbh}_{00}^+(D \setminus S; \leq b) \cap C^\infty(D \setminus S)$  defined according to formula (1.12), i.e., if there exists a number  $C \in \mathbb{R}$  such that*

$$\int_{D \setminus S} v \, d\nu \leq \int_{D \setminus S} v \, d\nu_M + C \quad \text{for all } v \stackrel{(1.12)}{\in} \text{sbh}_{00}^+(D \setminus S; \leq b) \cap C^\infty(D \setminus S), \quad (2.1)$$

then for each continuous function  $r: D \rightarrow \mathbb{R}^+$  satisfying condition (1.13) there exists a function  $u \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq \nu$  such that

$$u(z) \stackrel{(1.14)}{\leq} M^{*r}(z) \quad \text{for all } z \in D. \quad (2.2)$$

If  $M \in \delta\text{-sbh}_*(D) \cap C(D)$ , i.e., if the function  $M$  is also continuous, then under condition (2.1) there exists a function  $u \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq \nu$  such that  $u \leq M$  on  $D$ .

**Proof.** First, assume that, instead of condition (2.1), in addition to condition (1.10), for some nonempty subdomain

$$D_0 \Subset \text{int } S \quad (2.3)$$

and some number  $C \in \mathbb{R}$  one has the inequality

$$\int_{D \setminus D_0} v \, d\nu \leq \int_{D \setminus D_0} v \, d\nu_M + C \quad \text{for all } v \stackrel{(1.12)}{\in} \text{sbh}_{00}^+(D \setminus D_0; \leq b); \quad (2.4)$$

i.e., the compactly supported test functions  $v$  are not necessarily differentiable, and  $S \Subset D$  is somewhat narrowed to a subdomain  $D_0 \Subset D$ . For the measure  $\nu$  on  $D \supset D_0$ , there always exists a point  $z_0 \in D_0$  such that the value  $M(z_0) \neq \infty$  is well defined; i.e.,  $z_0 \in D_0 \cap \text{dom } M$  in the notation of [1, 3.1], and the equivalent conditions

$$\left( \int_0^{r_0} \frac{\nu(z_0, t)}{t} \, dt < +\infty \right) \iff \left( \int_{D(z_0, r_0)} \ln |z' - z_0| \, d\nu(z') > -\infty \right), \quad D(z_0, 3r_0) \Subset D_0, \quad (2.5)$$

hold for some number  $r_0 > 0$ . Conditions (2.5), in particular, ensure the existence of a function  $u_0 \in \text{sbh}_*(D)$  with Riesz measure  $\nu_{u_0} = \nu$  and with the property  $u_0(z_0) \neq -\infty$  [1, 3.1].

In what follows, we temporarily need the boundedness of the function  $M$  in a neighborhood of the point  $z_0$ . To this end, we so far transform it locally while preserving condition (2.4). Using (2.5) and the representation  $M = u_+ - u_-$  of  $M$  as a difference of subharmonic functions  $u_+, u_- \in \text{sbh}_*(D)$ , one can locally change the values of  $M$  in  $D(z_0, 2r_0) \Subset D_0$ , namely, continue the functions  $u_+$  and  $u_-$  into  $D(z_0, 2r_0)$  harmonically by the Poisson integral. We denote them by  $u_+^\circ$  and  $u_-^\circ$ , respectively. Then  $M^\circ := u_+^\circ - u_-^\circ \in \delta\text{-sbh}_*(D)$  is a bounded function in a neighborhood of the closed disk  $\text{clos } D(z_0, r_0)$ , and (2.4) is still true for all  $v \in \text{sbh}_{00}^+(D \setminus D_0; \leq b)$ . For now, we denote the function  $M^\circ$  by the same symbol  $M$ . By  $J_{z_0}(D)$ , as in [1], we denote the class of all Jensen measures  $\mu \in \text{Meas}_c^+(D)$  satisfying the condition  $u(z_0) \leq \int u \, d\mu$  for all  $u \in \text{sbh}(D)$ . We need the following theorem.

**Theorem A** (a special case of Theorem 6 in [5]). *Assume that  $M \in L_{\text{loc}}^1(D)$ ,  $z_0 \in D$ ,  $u_0 \in \text{sbh}(D)$ , and  $u_0(z_0) \neq -\infty$ . If the function  $M$  is bounded in an open neighborhood of the closure  $\text{clos } D_1$  of some subdomain  $D_1 \Subset D$  containing  $z_0$  and there exists a number  $C_0 \in \mathbb{R}$  such that*

$$\int_D u_0 \, d\mu \leq \int_D M \, d\mu + C_0 \quad \text{for any Jensen measure } \mu \in J_{z_0}(D), \quad (2.6)$$

then for each continuous function  $r: D \rightarrow \mathbb{R}^+$  satisfying condition (1.13) there exists a function  $w \in \text{sbh}_*(D)$  such that

$$u_0 + w \stackrel{(1.14)}{\leq} M^{*r} \quad \text{on } D. \quad (2.7)$$

In our case, the role of the domain  $D_1$  will be played by the disk  $D(z_0, r_0)$ . In addition, the following notion is required.

**2.2.1. Jensen potentials.** A function  $V \in \text{sbh}^+(\mathbb{C}_\infty \setminus \{z_0\})$  is called a *Jensen potential inside  $D$  with pole at  $z_0 \in D$*  [1, Definition 3] if the following two conditions are satisfied:

- (1) There exists a domain  $D_V \Subset D$  containing  $z_0 \in D_V$  such that  $V(z) \equiv 0$  for  $z \in \mathbb{C}_\infty \setminus D_V$ .
- (2) One has a *logarithmic seminormalization at  $z_0$* ; namely,

$$\limsup_{z_0 \neq z \rightarrow z_0} \frac{V(z)}{l_{z_0}(z)} \leq 1, \quad (2.8o)$$

$$\text{where } l_{z_0}(z) := \begin{cases} \ln \frac{1}{|z-z_0|} & \text{for } z_0 \neq \infty, \\ \ln |z| & \text{for } z_0 = \infty. \end{cases} \quad (2.8l)$$

The class of all such Jensen potentials will be denoted by  $PJ_{z_0}(D)$ .

The *logarithmic potential of genus 0 of a probability measure  $\mu \in \text{Meas}_c^+(\mathbb{C}_\infty)$  with a pole at  $z_0 \in \mathbb{C}_\infty$*  is defined for all  $w \in \mathbb{C}_\infty \setminus \{z_0\}$  as the function

$$V_\mu(w) := \int_D \ln \left| \frac{w-z}{w-z_0} \right| d\mu(z) = \int_D \ln \left| 1 - \frac{z-z_0}{w-z_0} \right| d\mu(z) \quad \text{for } z_0 \neq \infty, \quad (2.9o)$$

where for  $w = \infty$  the integrands are defined to be 0;

$$V_\mu(w) := \int_D \ln \left| \frac{w-z}{z} \right| d\mu(z) = \int_D \ln \left| 1 - \frac{w}{z} \right| d\mu(z) \quad \text{for } z_0 = \infty, \quad (2.9oo)$$

where for  $z = \infty$  the integrands are defined to be 0.

Recall the main relationships between the classes  $J_{z_0}(D)$  and  $PJ_{z_0}(D)$ . The first is the following duality statement.

**Proposition 1** [15, Proposition 1.4, duality theorem]. *The mapping*

$$\mathcal{P}: J_{z_0}(D) \rightarrow PJ_{z_0}(D), \quad \mathcal{P}(\mu) \stackrel{(2.9)}{:=} V_\mu, \quad \mu \in J_{z_0}(D),$$

is a bijection for which  $\mathcal{P}(t\mu_1 + (1-t)\mu_2) = t\mathcal{P}(\mu_1) + (1-t)\mathcal{P}(\mu_2)$  for all  $t \in [0, 1]$  (affinity), and the inverse bijection  $\mathcal{P}^{-1}$  is defined by the formula

$$\mathcal{P}^{-1}(V) \stackrel{(2.8l)}{=} \frac{1}{2\pi} \Delta V \Big|_{D \setminus \{z_0\}} + \left( 1 - \limsup_{z_0 \neq z \rightarrow z_0} \frac{V(z)}{l_{z_0}(z)} \right) \cdot \delta_{z_0}, \quad V \in PJ_{z_0}(D). \quad (2.10)$$

The second is the extended *Poisson–Jensen formula* (2.11).

**Proposition 2** [15, Proposition 1.2]. *Let  $\mu \in J_{z_0}(D)$ . Then for any function  $u \in \text{sbh}(D)$  with Riesz measure  $\nu_u$  and with  $u(z_0) \neq -\infty$  one has*

$$u(z_0) + \int_{D \setminus \{z_0\}} V_\mu d\nu_u = \int_D u d\mu. \quad (2.11)$$

**Lemma 1.** *Assume that  $M \in \delta\text{-sbh}_*(D)$  with Riesz charge  $\nu_M$ ,  $z_0 \in \text{dom } M$ ,  $u_0 \in \text{sbh}(D)$  with Riesz measure  $\nu$ ,  $u_0(z_0) \neq -\infty$ ,  $V \in PJ_{z_0}(D)$  is a Jensen potential, and  $C_1 \in \mathbb{R}$ . If*

$$\int_{D \setminus \{z_0\}} V d\nu \leq \int_{D \setminus \{z_0\}} V d\nu_M + C_1, \quad (2.12)$$

then for the Jensen measure  $\mu \stackrel{(2.10)}{=} \mathcal{P}^{-1}(V) \in J_{z_0}(D)$  one has the inequality

$$\int u_0 d\mu \leq \int M d\mu + C_0, \quad \text{where } C_0 = C_1 - M(z_0) + u_0(z_0). \quad (2.13)$$

**Proof of Lemma 1.** Under the condition  $z_0 \in \text{dom } M$ , the function  $M$  can be represented by the difference  $M = u_+ - u_-$  of functions  $u_{\pm} \in \text{sbh}_*(D)$  with respective Riesz measures  $\nu_M^{\pm} \in \text{Meas}^+(D)$  such that  $u_{\pm}(z_0) \neq -\infty$ . The extended Poisson–Jensen formula in Proposition 2 applies to each of the functions  $u_{\pm}$  and hence to the function  $M$ . Thus, for the Jensen measure  $\mu \stackrel{(2.10)}{:=} \mathcal{P}^{-1}(V)$  we obtain

$$\begin{aligned} \int_D u_0 \, d\mu &\stackrel{(2.11)}{=} \int_{D \setminus \{z_0\}} V \, d\nu + u_0(z_0) \stackrel{(2.12)}{\leq} \int_{D \setminus \{z_0\}} V \, d\nu_M + C_1 + u_0(z_0) \\ &\stackrel{(2.11)}{=} \int M \, d\mu - M(z_0) + C_1 + u_0(z_0), \end{aligned}$$

which proves the desired inequality (2.13).  $\square$

Let us return directly to the proof of Theorem 3. For a domain  $D$  with nonpolar boundary  $\partial D \subset \mathbb{C}_{\infty}$ , there always exists a Green function  $g_D(\cdot, z_0)$  with a pole at  $z_0$ . Throughout the subsequent proof, for brevity we write

$$g := g_D(\cdot, z_0) \text{ is the Green function for } D \text{ with a pole at } z_0 \in D_0.$$

Here only the following properties (see [3, 4.4] and [12, 3.7, 5.7]) of the function  $g$  are important.

g1. The normalization condition  $\lim_{z_0 \neq z \rightarrow z_0} \frac{g(z)}{l_{z_0}(z)} \stackrel{(2.81)}{=} 1$  at the point  $z_0$ , which is stronger than (2.80).

g2.  $g \in \text{har}^+(D \setminus \{z_0\})$ : the harmonicity and positivity in  $D \setminus \{z_0\}$ .

In particular, it follows from the maximum principle owing to inclusion  $z_0 \in D_0 \Subset D$  that

$$0 < \text{const}_{z_0, D_0, D} := B_0 := \sup_{z \in \partial D_0} g(z) < +\infty. \quad (2.14)$$

Let  $V \in PJ_{z_0}(D)$  be an arbitrary Jensen potential. Then, in view of properties g1–g2,

$$\limsup_{D \ni z \rightarrow z_0} \frac{(V - g)(z)}{l_{z_0}(z)} \stackrel{\text{g1}}{\leq} 0, \quad V - g \in \text{sbh}_*(D \setminus \{z_0\}). \stackrel{\text{g2}}{}$$

It follows that the point  $z_0$  is a removable singularity of the function  $V - g \in \text{sbh}_*(D \setminus \{z_0\})$ , and since

$$\limsup_{D \ni z' \rightarrow z} (V - g)(z') \leq \limsup_{D \ni z' \rightarrow z} V(z') = 0, \quad z \in \partial D,$$

it follows by the maximum principle that the inequality  $V - g \leq 0$  is satisfied on  $D$  for the function  $V - g \in \text{sbh}_*(D)$ ; i.e.

$$V \leq g \quad \text{on } D, \quad V \leq B_0 \quad \text{on } \partial D_0. \quad (2.15)$$

Consequently, inequality (2.4) holds for the function

$$v := \frac{b}{B_0} V \in \text{sbh}_{00}^+(D \setminus D_0; \leq b)$$

considered in the open neighborhood  $D \setminus D_0$ . Multiplying both sides by  $B_0/b$ , we obtain

$$\int_{D \setminus D_0} V \, d\nu \leq \int_{D \setminus D_0} V \, d\nu_M + \frac{B_0}{b} C, \quad V \in PJ_{z_0}(D).$$

This inequality can be rewritten as

$$\begin{aligned} \int_{D \setminus \{z_0\}} V \, d\nu &\leq \int_{D \setminus \{z_0\}} V \, d\nu_M + \frac{B_0}{b} C + \int_{D_0 \setminus \{z_0\}} V \, d\nu + \int_{D_0 \setminus \{z_0\}} V \, d\nu_M^- \\ &\stackrel{(2.15)}{\leq} \int_{D \setminus \{z_0\}} V \, d\nu_M + \left( \frac{B_0}{b} C + \int_{D_0 \setminus \{z_0\}} (g \, d\nu + g \, d\nu_M^-) \right), \quad V \in PJ_{z_0}(D). \end{aligned} \quad (2.16)$$

Here the last integral is finite in view of (2.5) and the fact that  $z_0 \in D_0 \cap \text{dom } M$  and also does not depend on  $V \in PJ_{z_0}(D)$ . Thus, inequality (2.12) holds with a constant  $C_1$  equal to the value of the “big” bracket on the right-hand side in formula (2.16) for any potential  $V \in PJ_{z_0}(D)$ . Then, by Lemma 1, (2.13) holds for any Jensen measure  $\mu \in J_{z_0}(D)$ . Therefore, for any potential  $V \in PJ_{z_0}(D)$ , condition (2.6) of Theorem A is satisfied and there exists a function  $w \in \text{sbh}_*(D)$  for which inequality (2.7) holds. Further, the inequality  $\nu + \nu_w \geq \nu$  on  $D$  obviously holds with the Riesz measure  $\nu_w$  of the function  $w$ . Therefore, the function  $u^\circ := u_0 + w$  with the Riesz measure  $\nu_{u^\circ} = \nu + \nu_w$  is the function needed in (2.2), so far for the function  $M^\circ$ , which is different from  $M$  in the disk  $D(z_0, 2r_0)$ . Consider  $M \leq M^\circ$ . For a *continuous* function  $r$ , the functions  $M^{*r}$  and  $(M^\circ)^{*r}$  are continuous in  $D$  as well, since both lie in the class  $L^1_{\text{loc}}(D)$ . At the same time, the subharmonic function  $u^\circ \neq -\infty$  is bounded above in  $D(z_0, 3r_0) \Subset D$ . Therefore, there exists a sufficiently large constant  $C_2 \geq 0$  such that  $u_0 := u^\circ - C_2 \leq (M^\circ)^{*r}$  on  $D$  with Riesz measure  $\nu_{u_0} = \nu_{u^\circ} \geq \nu$ . Under the conditions on the function  $r$ , there exists a subdomain  $D_2 \Subset D$  that includes  $D(z_0, r_0)$  and for which, by the construction of the function  $M^\circ$  and the definition of averaging on  $D \setminus D_2$ , one has  $(M^\circ)^{*r} = M^{*r}$  and hence the inequality  $u_0 \leq M^{*r}$  on  $D \setminus D_2$ . Since the function  $M^{*r}$  is continuous on  $D$  and  $u_0$  is bounded above on  $D_1$ , it follows that there exists a sufficiently large number  $C_3 \geq 0$  such that  $u := u_0 - C_3 \leq M^{*r}$  on  $D$  with Riesz measure  $\nu_u = \nu_{u_0} \geq \nu$ , which gives (2.2).

If the function  $M \in \delta\text{-sbh}_*(D)$  is continuous, then it is necessarily locally bounded below, which allows avoiding the intermediate use of the function  $M^\circ$  in the proof. In addition, the continuous function  $M$  is locally uniformly continuous, which allows choosing a continuous function  $r$  satisfying condition (1.13) for which  $M^{*r} \leq M + 1$  on  $D$ . This permits one to replace the right-hand side  $M^{*r}$  in (2.2) with  $M$ .

Now assume that condition (2.1) of Theorem 3 is satisfied. From this condition, we derive condition (2.4), under which all the conclusions of Theorem 3 have already been proved.

Let  $v \in \text{sbh}_{00}^+(D \setminus D_0; \leq b)$  be an *arbitrary compactly supported* test function, where the subdomain  $D_0 \Subset \text{int } S$  is chosen as in (2.3). Since the function  $v$  is compactly supported, it follows that there exists a subdomain  $D_v \Subset D$  such that  $D_0 \Subset D_v$  and the function  $v$  is subharmonic on  $D \setminus D_0$  and identically zero on  $D \setminus D_v$ . In this case, we set

$$\varepsilon_0 := \frac{1}{2} \min\{\text{dist}(D_v, \partial D), \text{dist}(D_0, D \setminus S)\} > 0. \quad (2.17)$$

Consider an infinitely differentiable function  $a: \mathbb{R}^+ \rightarrow \mathbb{R}^+$

$$\text{with support } \text{supp } a \subset (0, 1) \text{ and normalization } 2\pi \int_0^{+\infty} a(x)x \, dx = 1, \quad (2.18)$$

and also the measures  $\alpha_\varepsilon \in \text{Meas}^+(\mathbb{C})$  determined by the densities

$$d\alpha_\varepsilon(z) \stackrel{(2.18)}{:=} \frac{1}{\varepsilon^2} a\left(\frac{|z|}{\varepsilon}\right) d\lambda(z), \quad 0 < \varepsilon < \varepsilon_0, \quad z \in \mathbb{C}. \quad (2.19)$$

It is well known ([3, 2.7], [12, 3.4.1]) that, for a decreasing sequence of numbers  $0 < \varepsilon_n \xrightarrow{n \rightarrow \infty} 0$ ,

$\varepsilon_n \leq \varepsilon_0$ , the sequence of subharmonic infinitely differentiable convolution functions  $v_n := v * \alpha_{\varepsilon_n}$  decreases in  $n \in \mathbb{N}$  and is pointwise convergent to the function  $v$  on  $D \setminus S$ . In particular, according to (2.17),  $v \leq v_n$  on  $D \setminus S$  and  $v_n \leq b$  on  $D \setminus S$  as the averages over the measures (2.19), which are *probability measures* in view of (2.18). By construction, all the functions  $v_n$  belong to  $\text{sbh}_{00}^+(D \setminus S; \leq b) \cap C^\infty(D \setminus S)$ . By condition (2.1), there exists a number  $C$  such that

$$\int_{D \setminus S} v_n \, d\nu \leq \int_{D \setminus S} v_n \, d\nu_M + C$$



for all functions  $v_n$  constructed above for all  $n \in \mathbb{N}$ . Hence, by the Hahn–Jordan decomposition for the Riesz charge  $\nu_M = \nu_M^+ - \nu_M^-$ ,  $\nu_M^\pm \in \text{Meas}^+(D)$ , we have

$$\int_{D \setminus S} v_n d(\nu + \nu_M^-) \leq \int_{D \setminus S} v_n d\nu_M^+ + C', \quad n \in \mathbb{N},$$

which, since  $v \leq v_n$  on  $D \setminus S$ , gives

$$\int_{D \setminus S} v d\nu \leq \int_{D \setminus S} v_n d\nu_M^+ - \int_{D \setminus S} v d\nu_M^- + C, \quad n \in \mathbb{N}.$$

By letting  $n \rightarrow +\infty$  in the first integral on the right-hand side, since the sequence of compactly supported infinitely differentiable test functions  $v_n$  decreases to  $v \in \text{sbh}_{00}^+(D \setminus D_0; \leq b)$ , we obtain

$$\int_{D \setminus S} v d\nu \leq \int_{D \setminus S} v d\nu_M^+ - \int_{D \setminus S} v d\nu_M^- + C = \int_{D \setminus S} v d\nu_M + C. \quad (2.20)$$

Let us define constants  $C_4, C_5 \in \mathbb{R}^+$  independent of  $v$  by the formulas

$$\begin{aligned} 0 &\leq \int_{D_0 \setminus S} v d\nu \leq b\nu(D_0 \setminus S) =: C_4 < +\infty, \\ 0 &\leq \int_{D_0 \setminus S} v d\nu_M^- \leq b\nu_M^-(D_0 \setminus S) =: C_5 < +\infty. \end{aligned}$$

Then inequality (2.20) without the intermediate difference of integrals remains valid if the integration over  $D \setminus S$  is replaced with the integration over  $D \setminus D_0$  and the constant  $C$  is replaced with the constant  $C + C_4 + C_5$ . By virtue of the arbitrariness in the choice of the compactly supported test function  $v \in \text{sbh}_{00}^+(D \setminus D_0; \leq b)$ , inequality (2.4) with the new constant  $C + C_4 + C_5$  instead of  $C$  is satisfied for all such  $v$ . This completes the proof of Theorem 3.  $\square$

**Remark 3.** In the case of a function  $M \in \text{sbh}_*(D)$ , it suffices to require in Theorem 3 that the function  $r$  with property (1.13) be only locally separated from zero below in the sense that for each  $z \in D$  there exists a number  $t_z > 0$  such that

$$D(z, t_z) \Subset D, \quad \sup_{z' \in D(z, t_z)} r(z') > 0.$$

Indeed, elementary geometric considerations using compactness (for example, exhaustion of the domain  $D$  by a sequence of relatively compact subdomains) permit one to prove the following assertion.

**Lemma 2.** *For the function  $r$  separated below from zero on  $D$  and satisfying condition (1.13), there exists a continuous and even infinitely differentiable function  $\hat{r} \leq r$  that still satisfies condition (1.13).*

By applying Theorem 3 with  $\hat{r} \in C(D)$  instead of  $r$ , we construct the desired function  $u \leq M^{*\hat{r}} \leq M^{*r}$ , where (2.2) and the fact that the means (1.14) increase with respect to  $r$  for  $M \in \text{sbh}_*(D)$  has been used.

**2.3. Proof of the implication  $\mathbf{h3} \Rightarrow \mathbf{h1}$  with the lift  $M^{\uparrow r}$ .** Under the assumptions of the “Conversely, . . .” part of Theorem 2, claim  $\mathbf{h3}$  and the implication  $\mathbf{s3} \Rightarrow \mathbf{s1}$  in Theorem 1 imply the existence of a subharmonic function  $u \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq n_Z$  satisfying the inequality  $u \leq M$  on  $D$ . Since there always exists a holomorphic function  $f_Z \in \text{Hol}_*(D)$  with the sequence of zeros  $\text{Zero}_{f_Z} = Z$  by the Weierstrass theorem, we see that the latter means that there exists a function  $s \in \text{sbh}_*(D)$  with Riesz measure  $\nu_s := \nu_u - n_Z \in \text{Meas}^+(D)$  such that

$$u = \ln |f_Z| + s \leq M \quad \text{on } D. \quad (2.21)$$

The following Lemma 3 does not assume that the boundary  $\partial D$  is a nonpolar set.

**Lemma 3.** Assume that  $D \subset \mathbb{C}_\infty$  is an arbitrary proper subdomain,  $u_0, s, M \in \text{sbh}_*(D)$ , and

$$u_0 + s \leq M \quad \text{on } D. \quad (2.22)$$

Then there exists a function  $g \in \text{Hol}_*(D)$  such that

$$u_0 + \ln |g| \leq M^{\uparrow r} \quad \text{on } D, \quad (2.23)$$

where the lift  $M^{\uparrow r}$  is defined in Sec. 1.2.1 depending on the type of domain  $D$  in (i)-(iii) based on the arbitrary choice of a continuous function  $r: D \rightarrow \mathbb{R}^+$  satisfying condition (1.13) in (1.15) and (1.16), the number  $\varepsilon > 0$  in (1.15), and the number  $P > 0$  in (1.17).

**Proof.** Case (i): Eq. (1.15). Since the function  $u_0$  is subharmonic, we obtain, by averaging both sides of inequality (2.22) over the circles  $D(z, r)$  with the Lebesgue measure  $\lambda$ ,

$$u_0 + s^{*r} \leq u_0^{*r} + s^{*r} \leq M^{*r} \quad \text{on } D. \quad (2.24)$$

By [16, Theorem 3], there exists a function  $g \in \text{Hol}_*(D)$  such that

$$\ln |g(z)| \leq s^{*r}(z) + \ln \frac{1}{r(z)} + (1 + \varepsilon) \ln(1 + |z|), \quad z \in D, \quad (2.25)$$

whence, according to (2.24) and definition (1.15), we obtain (2.23).

Case (ii): Eq. (1.16). The case of  $\mathbb{C}_\infty \setminus \text{clos } D \neq \emptyset$  is covered by [14, Theorem 1] and partly by [13, Theorem 1]. For a domain  $D \subset \mathbb{C}$  that is simply connected in  $\mathbb{C}_\infty$ , the proof follows the same scheme as in the previous case but with the use of the inequality

$$\ln |g(z)| \leq s^{*r}(z) + \ln \frac{1}{r(z)}, \quad z \in D,$$

based on [16, Corollary 3(iii)], instead of (2.25).

Case (iii): Eq. (1.17). The case of  $D = \mathbb{C}$  was analyzed in [14, Theorem 1] and partly in [13, Theorem 1].  $\square$

By Lemma 3, inequality (2.21) written in the form (2.22) with  $u_0 := \ln |f|$  implies the conclusion (2.23), which means that  $\ln |fzg| = \ln |fz| + \ln |g| \leq M^{\uparrow r}$ . Thus, the function  $f := fzg \in \text{Hol}_*(D)$ , which vanishes on  $Z$ , is the desired one.

### 3. Converse Theorem with Green Functions

The converse theorem in this section only uses the Green function [12], extended by zero, of a special system of relatively compact subdomains regular for the Dirichlet problem in  $D$  and containing a given subdomain  $D_0 \Subset D$  with a fixed pole  $z_0 \in D_0$ . Note that *each such Green function is a subharmonic compactly supported test function for the region  $D$  outside the subdomain  $D_0$* . Here, unlike Theorems 1–3, the proper subdomain  $D \subset \mathbb{C}_\infty$  is arbitrary. Throughout Sec. 3, in addition to (1.2), we assume that  $z_0 \in D_0 \Subset D \subset \mathbb{C}_\infty \neq D$ , where  $D_0$  is a domain.

**Definition 2** (see [5, Definition 1], [17, Definition 11]). A system of domains  $\mathcal{U}_{D_0}(D) \subset \{D' \Subset D: D_0 \subset D'\}$  regular for the Dirichlet problem is called a *regular optimally exhausting system of domains in  $D$  with center  $D_0$*  if  $\bigcup \{D': D' \in \mathcal{U}_{D_0}(D)\} = D$  and the following two conditions hold for any domains  $D_1$  and  $D_2$  satisfying the inclusions  $D_0 \subset D_1 \Subset D_2 \subset D$ :

(1) There exists a domain  $D' \in \mathcal{U}_{D_0}(D)$  such that  $D_1 \Subset D' \Subset D_2$  and each nonempty bounded connected component of the set  $\mathbb{C}_\infty \setminus D'$  has a nonempty intersection with  $\mathbb{C}_\infty \setminus D_2$ .

(2) For any domain  $D \in \mathcal{U}_{D_0}(D)$ , there exists a domain  $D'' \in \mathcal{U}_{D_0}(D)$  such that  $D_1 \Subset D'' \Subset D_2$  and the union  $D'' \cup D'$  lies in  $\mathcal{U}_{D_0}(D)$  as well.

Finally, the system  $\mathcal{U}_{D_0}(D)$  is assumed to be *conditionally invariant with respect to the shift in  $D$* ; i.e., the conditions  $D' \in \mathcal{U}_{D_0}(D)$ ,  $z \in \mathbb{C}$ , and  $D_0 \subset D' + z \Subset D$  imply that  $D' + z \in \mathcal{U}_{D_0}(D)$ .

**Example 1.** A simple example of a regular optimally exhausting system of domains is given by the *special system of all possible connected unions  $D' \supset D_0$  of finitely many disks  $D(z, t) \Subset D$  excluding those domains  $D'$  whose complements  $\mathbb{C}_\infty \setminus D'$  have isolated points*. With the same

exceptions, the disks in this example can be replaced with all possible  $n$ -gons relatively compact in  $D$  or, more generally, with simply connected subdomains ([3, Theorems 4.2.1 and 4.2.2], [12, 2.6.3]) of some special kind.

**Theorem 4.** Let  $M = M_+ - M_- \stackrel{(1.3)}{\in} \delta\text{-sbh}_*(D)$  with Riesz charge  $\nu_M \in \text{Meas}(D)$ , where  $M_+ \in \text{sbh}_*(D) \cap C(D)$  and  $M_- \in \text{sbh}_*(D)$ , and let  $z_0 \in D_0 \cap \text{dom } M \Subset D$ . Suppose that (2.5) holds for the measure  $\nu \in \text{Meas}^+(D)$  for some  $r_0 \in \mathbb{R}_+^*$ . Let  $\mathcal{U}_{D_0}(D)$  be a regular optimally exhausting system of domains in  $D$  with center  $D_0$  for which the inequalities

$$\int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu \leq \int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu_M + C, \quad D' \in \mathcal{U}_{D_0}(D), \quad (3.1)$$

hold with some constant  $C \in \mathbb{R}$ ; i.e., the charge  $\nu_M$  is an affine balayage of the measure  $\nu$  for  $D$  outside  $z_0$  with respect to the class of Green functions  $g_{D'}(\cdot, z_0)$  with  $D' \in \mathcal{U}_{D_0}(D)$ . Then there exists a function  $u \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq \nu$  satisfying the inequality  $u \leq M$  on  $D$ .

**Proof.** Let  $\nu_{M_+}$  and  $\nu_{M_-}$  be the Riesz measures of the functions  $M_+$  and  $M_-$ , respectively. Then a series of inequalities (3.1) uniform in the constant  $C$  can be written, setting  $\nu_1 := \nu + \nu_{M_-}$ , in the form

$$\int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu_1 \leq \int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu_{M_+} + C, \quad D' \in \mathcal{U}_{D_0}(D), \quad (3.2)$$

where  $\nu_1, \nu_{M_+} \in \text{Meas}^+(D)$  are already *positive measures*. Take some subharmonic function  $u_1 \in \text{sbh}_*(D)$  in  $D$  with Riesz measure  $\nu_1$ . In view of the condition  $z_0 \in \text{dom } M$  and also the equivalent conditions (2.5) on  $z_0$ , the Riesz measure  $\nu_1$  satisfies conditions (2.5) with  $\nu$  replaced with  $\nu_1$ . Therefore,  $M_-(z_0) \neq -\infty$  and necessarily  $u_1(z_0) \neq -\infty$ . Further, we need the following variations of the assertions in [5, Main Theorem, Theorem 6]:

**Theorem B** (a special case of [17, Theorem (main)]). Let a function  $M \in \text{sbh}_*(D)$  with Riesz measure  $\nu_M$  be bounded below in some open neighborhood of the closure  $\text{clos } D_0$ , let  $u \in \text{sbh}_*(D)$  be a function with Riesz measure  $\nu$  on  $D$ , let  $u(z_0) \neq -\infty$ , and let  $\mathcal{U}_{D_0}(D)$  be a regular optimally exhausting system of domains for  $D$  with center  $D_0 \ni z_0$ . If\*

$$-\infty < \inf_{D' \in \mathcal{U}_{D_0}(D)} \left( - \int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu_u + \int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu_M \right), \quad (3.3)$$

then for any continuous function  $r: D \rightarrow \mathbb{R}^+$  satisfying condition (1.13) there exists a function  $v \in \text{sbh}_*(D)$  harmonic in an open neighborhood of the point  $z_0$  such that  $u + v \leq M^{*r}$  on  $D$  with the averaging in (1.14). Moreover, if, in addition,  $M \in C(D)$ , then the variable averaging  $M^{*r}$  on the right-hand side in the last inequality can be replaced with  $M$ .

By Theorem B applied to the function  $u_1$  and the *continuous* function  $M_+$  instead of  $u$  and  $M$ , respectively, owing to inequality (3.2) corresponding to condition (3.3), there exists a function  $v \in \text{sbh}_*(D)$  harmonic in a neighborhood of  $z_0$  such that  $u_1 + v \leq M_+$  on  $D$ . By construction,  $u_1 \in \text{sbh}_*(D)$  with Riesz measure  $\nu_1 := \nu + \nu_{M_-}$ . Consequently, the Riesz measure of the function  $u_0 := u_1 - M_-$  is the measure  $\nu$ ; i.e., there exists a function  $u := u_0 + v \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq \nu$  such that  $u \leq M_+ - M_- = M$  on  $D$ , which completes the proof of Theorem 4.  $\square$

**Corollary 1.** Suppose that, under the assumptions of Theorem 4  $D \subset \mathbb{C}$ ,  $M \in \text{sbh}_*(D) \cap C(D)$  and the measure  $\nu_M$  is the affine balayage of the sequence  $Z = \{z_k\}_{k=1,2,\dots} \subset D$ ,  $z_0 \notin Z$ , for  $D$  outside the singleton  $S := \{z_0\}$  with respect to the class of Green functions  $g_{D'}(\cdot, z_0)$  with  $D' \in \mathcal{U}_{D_0}(D)$ ; i.e., for some number  $C \in \mathbb{R}$ , according to (1.6)–(1.8), condition (3.1) is satisfied

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\*Unfortunately, in the statement of the main theorem from our work [5], on the intermediate stage of whose proof [17, Theorem (Main)] is based, an annoying typo in the  $\pm$  signs crept in. Thus, the ratio used in its statement [5, item (h1), (2.11)] must look exactly like (3.3). Further comment can be found in the footnote to [17, Main Theorem].

in the following form:

$$\sum_{z_k \in D'} g_{D'}(z_k, z_0) \leq \int_{D \setminus \{z_0\}} g_{D'}(\cdot, z_0) d\nu_M + C, \quad D' \in \mathcal{U}_{D_0}(D).$$

Then there exists a function  $f \in \text{Hol}_*(D)$  such that  $f(Z) = 0$  and the inequality  $|f| \leq \exp M^{\uparrow r}$  holds on  $D$ , where the lift  $M^{\uparrow r}$  is defined in Sec. 1.2.1 depending on the type of domain  $D$  in (i)-(iii), based on an arbitrary choice of the continuous function  $r: D \rightarrow \mathbb{R}^+$  satisfying condition (1.13) in (1.15), (1.16), the number  $\varepsilon > 0$  in (1.15) and the numbers  $P > 0$  in (1.17).

This corollary can be derived from Theorem 4 in the same way as the proof of the implication  $\text{h3} \Rightarrow \text{h1}$  with lift  $M^{\uparrow r}$  can be derived from Theorem 3 in section 2.3.

**Remark 4.** Based on the analysis of the subtle results of Hansen and Netuka [18] about the approximation of Jensen measures by harmonic measures, the regular optimally exhausting system of domains  $\mathcal{U}_{D_0}(D)$  with center  $D_0 \subset D$  in Theorem 4 and Corollary 1 can be replaced by a system of domains  $D' \Subset D$  that include the domain  $D_0 \Subset D$  and are obtained from a sequence, exhausting  $D$ , of domains  $D_n \Subset D$ ,  $n \in \mathbb{N}$ , regular for the Dirichlet problem and having analytic, or piecewise linear, or another “good” boundary by removing various finite sets of pairwise disjoint closed disks from the domains  $D_n$ . In addition, for the resulting system of domains it is nevertheless necessary to require conditional invariance with respect to the shift in  $D$  in Definition 2.

#### 4. Converse Theorem with Analytic and Polynomial Disks

An important subclass of Jensen measures in the class  $J_{z_0}(D)$  is generated by analytic disks in  $D$  with center  $z_0$ . An *analytic closed disk in the domain  $D$  with center  $z_0 \in D$*  is a function  $g: \text{clos } \mathbb{D} \rightarrow D$  continuous on  $\text{clos } \mathbb{D}$  whose restriction to  $\mathbb{D}$  is holomorphic and for which  $g(0) = z_0$  ([19, Ch. 3], [20]–[23]). In particular,  $g(\text{clos } \mathbb{D}) \Subset D$ . For any such analytic closed disk  $g$ , one can readily show that the function  $w \in \mathbb{C}_\infty \setminus \{z_0\}$  given by

$$\frac{1}{2\pi} \int_0^{2\pi} \ln \left| \frac{w - g(e^{i\theta})}{w - z_0} \right| d\theta = \frac{1}{2\pi} \int_0^{2\pi} \ln \left| 1 - \frac{g(e^{i\theta}) - z_0}{w - z_0} \right| d\theta \quad \text{for } z_0 \neq \infty, \quad (4.1o)$$

where, by analogy with (2.9o), the integrands are defined to be 0 at  $w = \infty$ , and

$$\frac{1}{2\pi} \int_0^{2\pi} \ln \left| \frac{w - g(e^{i\theta})}{g(e^{i\theta})} \right| d\theta = \frac{1}{2\pi} \int_0^{2\pi} \ln \left| 1 - \frac{w}{g(e^{i\theta})} \right| d\theta \quad \text{for } z_0 = \infty, \quad (4.1\infty)$$

where, by analogy with (2.9 $\infty$ ), the integrands are defined to be 0 for  $g(e^{i\theta}) = \infty$ , is a Jensen potential inside  $D$  with a pole at  $z_0$ . In particular, (4.1) defines a subharmonic positive compactly supported test function for  $D$  outside  $\{z_0\}$ . In Remark 2, we dubbed the functions (4.1) the logarithmic potentials of analytic disks. If an analytic closed disk  $g$  in a domain  $D$  with center  $z_0 \in D$  is a *polynomial* in the complex variable, then it is natural to call it a *polynomial disk in  $D$  centered at  $z_0 \in D$* .

**Theorem 5.** Let the function  $M \stackrel{(1.3)}{\in} \delta\text{-sbh}_*(D)$  with a Riesz charge  $\nu_M$ ,  $z_0 \neq \infty$  and the measure  $\nu \in \text{Meas}^+(D)$  be the same as in Theorem 4. If the charge  $\nu_M$  is an affine balayage of the measure  $\nu$  for  $D$  outside  $S := \{z_0\}$  with respect to the function class (4.1o), i.e., if there exists a constant  $C \in \mathbb{R}$  such that

$$\begin{aligned} & \int_D \frac{1}{2\pi} \int_0^{2\pi} \ln \left| 1 - \frac{g(e^{i\theta}) - z_0}{z - z_0} \right| d\theta d\nu(z) \\ & \leq \int_D \frac{1}{2\pi} \int_0^{2\pi} \ln \left| 1 - \frac{g(e^{i\theta}) - z_0}{z - z_0} \right| d\theta d\nu_M(z) + C \end{aligned}$$

for all analytic closed or only polynomial disks  $g$  in  $D$  with center  $z_0$ , then there exists a function  $u \in \text{sbh}_*(D)$  with Riesz measure  $\nu_u \geq \nu$  such that  $u \leq M$  on  $D$ .

In the case of a subharmonic function  $M$ , a discussion of the scheme of proof of Theorem 5 is contained in [6, 1.2.1–2, Suppl. 1.2.3, 1.2.4]. This is one of the reasons why we omit the proof of Theorem 5 here. Another reason is that the multidimensional version of Theorem 5 in  $\mathbb{C}^n$  is more natural and will be considered together with applications elsewhere.

Arguing in almost the same way as in the proof of the implication  $h3 \Rightarrow h1$  with the lift  $M^{\uparrow r}$  in Sec. 2.3, by analogy with Corollary 1 of Theorem 5, one can derive the following assertion.

**Corollary 2.** *Under the conditions of Theorem 5, consider a sequence of points  $Z = \{z_k\}_{k=1,2,\dots} \subset D \subset \mathbb{C}$ ,  $z_0 \in D \setminus Z$ , instead of the measure  $\nu$  and assume that  $M \in \text{sbh}_*(D) \cap C(D)$ . If the measure  $\nu_M$  is an affine balayage of the sequence  $Z$  for  $D$  outside  $S := \{z_0\}$  with respect to the function class (4.1o), i.e., there exists a constant  $C \in \mathbb{R}$  such that the inequality*

$$\sum_{z_k \in D} \int_0^{2\pi} \log \left| 1 - \frac{g(e^{i\theta}) - z_0}{z_k - z_0} \right| d\theta \leq \int_D \int_0^{2\pi} \log \left| 1 - \frac{g(e^{i\theta}) - z_0}{z - z_0} \right| d\theta d\nu_M(z) + C$$

holds for all analytic closed or only polynomial disks  $g$  in  $D$  with center  $z_0$ , then there exists a function  $f \in \text{Hol}_*(D)$  for which  $f(Z) = 0$  and  $|f| \leq \exp M^{\uparrow r}$  on  $D$ , where the lift  $M^{\uparrow r}$  is defined in Sec. 1.2.1 with the same refinements as in the conclusion of Corollary 1.

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