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Efficient organic dyes based on perpendicular 6,12-diphenyl substituted indolo[3,2-*b*]carbazole donor

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Three novel indolo[3,2-*b*]carbazole-based dyes have been designed and synthesized using a 6,12-diphenyl substituted indolo[3,2-*b*]carbazole core as a π -conjugated donor, a thiophene cyanoacrylic acid moiety as electron acceptor and anchoring group, together with triphenylamine, 3,4,5-trimethoxybenzene and bromine as a second donor group. The photophysical and electrochemical properties of the dyes have been investigated by UV spectroscopy and cyclic voltammetry (CV). Our study indicates that the second donor plays the important role of improving dye aggregation as well as tuning the photo-electronic properties. These indolo[3,2-*b*]carbazole based dyes show good performances with high V_{oc} of 0.75 V, FF of 0.72, and a moderate PCE of 3.11% under AM 1.5 irradiation.

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Introduction

Dye sensitized solar cells (DSSCs) have attracted extensive attention in recent years due to the efficient conversion of solar energy to electricity at low fabrication cost and with a relatively simple assemble technology.^{1,2} In typical DSSCs, dye molecules play the key role as the light-harvesting component anchored to the surface of semiconducting TiO₂ nanocrystals. Excitation of the dye leads to the injection of electrons from the excited dye to the conduction band of the TiO₂.

To date, the most efficient class of dyes for DSSCs are ruthenium polypyridyl complexes (such as N719 and N3), which have exhibited a high energy conversion efficiency of about 11% under air mass (AM) 1.5 radiation.^{3,4} However, these compounds contain expensive ruthenium metal and require careful synthesis and tricky purification steps.⁵⁻⁷ Therefore, there is a strong need for substituted dyes for DSSCs containing no noble metals.

Recently, metal-free organic dyes commonly constructed with a donor- π -bridge-acceptor (D- π -A) have made great progress⁸⁻¹¹ due to the advantage of superior molar extinction coefficients, lower cost, and large diversity of molecular structures as well as the wide availability of their raw materials and no concern about the limited resource of noble metal ruthenium. However, the lower stability and conversion efficiency of

DSSCs based on metal-free organic dyes have still to be resolved. There is an urgent need to explore new types of sensitizers with the aim of obtaining higher efficiencies of DSSCs.

In D- π -A organic dyes, intramolecular charge transfer occurs from D to A through the π -bridge unit when light is absorbed by the dyes. A crucial strategy of enhancing the efficiency of the dyes is to retain the charge separation which takes place at the interface of the dye and TiO₂. This has been demonstrated by adding hole-donating segments, such as triphenylamine and tetraphenylbenzidine, onto the ruthenium polypyridyl complexes to enhance charge separation.^{12,13} To improve the absorption and charge-separation, a concept of D-D- π -A organic dyes was proposed for designing new types of sensitizers.¹⁴⁻²¹ In these D-D- π -A structures, an additive donor unit was introduced into the dye molecule to facilitate electron transfer from the donor to the acceptor. Among various donors, triphenylamine, carbazole and their derivatives have been utilized extensively in DSSCs due to their high open-circuit photovoltage and power conversion efficiencies (PCE).²²⁻²⁷

Indolo[3,2-*b*]carbazole could be an excellent hole-donating candidate for organic dyes because of its relatively high hole mobility. In addition, compared to triphenylamine and carbazole, indolo[3,2-*b*]carbazole has a longer conjugated structure as well as higher thermal and chemical stability.²⁸⁻³⁰ So far, few organic dyes containing an indolo[3,2-*b*]carbazole unit have been reported for DSSCs application. In this article we develop two types of organic D-D- π -A dyes bearing 6,12-diphenyl substituted indolo[3,2-*b*]carbazole, and then evaluate the potential of the indolo[3,2-*b*]carbazole core for the π -conjugated donor of metal-free organic D-D- π -A dyes. Inserting phenylene rings on the 6,12-positions of indolo[3,2-*b*]

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carbazole has the advantage of suppressing the dye's aggregation. Finally, these novel dyes have been successfully used as sensitizers to the nanocrystalline TiO₂ based DSSCs and their corresponding photovoltaic characteristics are also presented.

Experimental

Materials

Indole and 4-bromobenzaldehyde were purchased from J&K Chemical, Ltd and used as received. Other commercially available chemical reagents were used as received without further purification. Organic solvents were purified using standard processes. All reactions were carried out under the protection of inert atmosphere.

Characterization and device fabrication

¹H NMR spectra and ¹³C NMR spectra were recorded on a Bruker 500 MHz spectrometer in deuterated chloroform and DMSO solution, with tetramethylsilane as the internal reference. High-resolution mass spectrometry spectra were obtained on a Bruker microTOF-Q instrument. UV-visible absorption spectra were recorded on an HP 8453 spectrophotometer. Fluorescence spectra were measured by using a Hitachi F-4500 fluorescence spectrophotometer with the excitation at 380 nm. Cyclic voltammetry (CV) data were measured on a CHI604D electrochemical workstation, using tetrabutylammonium hexafluorophosphate (Bu₄NPF₆, 0.1 M in tetrahydrofuran) as electrolyte with scan rate of 50 mV s⁻¹ at room temperature under the protection of argon. A platinum electrode was used as the working electrode, Pt wire as the counter electrode, and Ag wire as the reference electrode. At the end of the measurement, the ferrocene/ferrocium potential was measured and used as the reference.

Device fabrication followed the process employed in the literature. A transparent conductive glass, fluorine-doped SnO₂ (FTO, 2.2 mm thick, transmission >90% in the visible, sheet resistance 15 Ω per square) was used as the substrate for the fabrication of DSSCs. Mesoporous TiO₂ films were deposited by screen printing the TiO₂ nanoparticles (20 nm) over the conductive side of the FTO. The film was sintered at 500 °C for 30 min, followed by cooling to 80 °C and immersing into a dye solution of 0.3 mM in THF for 12 h at room temperature. The dye-coated TiO₂ films were used as electrodes and placed on the top of Pt-sputtered FTO glass counter electrodes. The redox electrolyte composed of 0.6 M BMII (1-butyl-3-methylimidazolium iodide), 0.05 M LiI, 0.03 M I₂, 0.5 M 4-*tert*-butylpyridine, and 0.1 M guanidinium thiocyanate in a mixture of acetonitrile–valeronitrile (85 : 15, v/v) was introduced into the interelectrode space by capillary force.

Current–voltage (*J*–*V*) characteristics of DSSCs under illumination were measured by a Keithley source meter and solar simulator coupled with a 150 W xenon lamp and an AM optical filter to give 100 mW cm⁻² illumination at the DSSCs surface. Electrochemical Impedance spectra (EIS) in the dark were recorded using an electrochemical workstation

(CHI660C) with a frequency response analyzer. Electrochemical impedance spectroscopy data were analyzed using Z-View software with an appropriate equivalent circuit. Incident photon to current conversion efficiency (IPCE) data were obtained as a function of different wavelengths by using a xenon lamp, a monochromator, and a Keithley source meter under constant illumination intensity at each wavelength. Intensity calibration for IPCE data was performed using a standard silicon photodiode.

Synthesis

6,12-Bis(4-bromophenyl)-5,11-dihydroindolo[3,2-*b*]carbazole (1). To a solution of indole (0.35 g, 3 mmol) and 4-bromobenzaldehyde (0.56 g, 3 mmol) in acetonitrile (15 mL), HI (57%) (0.26 mL, 2.0 mmol) was added at room temperature. The reaction mixture was heated at 80 °C for 14 hours and the formed precipitated was filtered off and washed with cold acetonitrile (10 mL) and carefully dried *in vacuo*. To the suspension of the mixture in acetonitrile (10 mL), iodine (0.09 g, 0.36 mmol) was added. The reaction mixture was heated at 80 °C for 14 hours. After the organic solvent was concentrated to 15 mL, the precipitate was filtered off, washed with cold acetonitrile (10 mL) and carefully dried *in vacuo*. Compound **1** was isolated by silica gel column chromatography with petroleum ether and dichloromethane (1/1, v/v) as the eluent (yield 80%). ¹H NMR (500 MHz, DMSO, δ, ppm): 10.63(s, 2H), 7.89(d, 4H, *J* = 8.34 Hz), 7.63(d, 4H, *J* = 8.30 Hz), 7.40(d, 2H, *J* = 8.07 Hz), 7.24–7.27(t, 2H, *J* = 7.62 Hz), 7.10(d, 2H, *J* = 7.90 Hz), 6.85–6.88(t, 2H, *J* = 7.60 Hz). ¹³C NMR (125 MHz, CDCl₃, δ ppm): 141.6, 136.4, 133.9, 132.1, 125.3, 122.4, 121.5, 120.0, 117.7, 115.7, 110.7. HRMS: *m/z* calculated for C₃₀H₁₈Br₂N₂, 563.9837; found 563.9841. Elemental analysis calculated for C₃₀H₁₈Br₂N₂: C 63.63; H 3.20; N 4.95; found: C 63.42; H 3.37; N 4.73.

6,12-Bis(4-bromophenyl)-5,11-bis(2-ethylhexyl)-5,11-dihydroindolo[3,2-*b*]carbazole (2). To a solution of compound **1** (0.5 g, 0.91 mmol) in DMSO (20 mL), benzyltriethylammonium chloride (63 mg, 0.28 mmol) and 2-ethylhexyl bromide (0.20 g, 1.09 mmol) were added. Then the NaOH aqueous solution (50%) (1.5 mL) was dropped into the reaction mixture at room temperature. The reaction mixture was heated at 50 °C overnight under argon. Water was added to the reaction and the product isolated by filtration. The product was then washed with 50 mL water and carefully dried *in vacuo*. Compound **2** was isolated by silica gel column chromatography with petroleum ether and dichloromethane (10/1, v/v) as the eluent, yield 80%. ¹H NMR (500 MHz, DMSO, δ, ppm): 7.91(t, 4H, *J* = 7.22 Hz), 7.62–7.67(m, 4H), 7.49(d, 2H, *J* = 8.22 Hz), 7.32(t, 2H, *J* = 7.67 Hz), 6.83(t, 2H, *J* = 7.59 Hz), 6.40(d, 2H, *J* = 8.03 Hz), 3.86(dd, 4H, *J* = 5.6 Hz), 1.57–1.63(m, 2H), 0.80–1.09(m, 16H), 0.72–0.75(m, 6H), 0.59(t, 6H, *J* = 7.54 Hz). ¹³C NMR (125 MHz, CDCl₃, δ ppm): 143.3, 137.8, 133.2, 133.0, 132.2, 132.1, 125.3, 122.4, 118.1, 116.9, 109.4, 48.4, 39.3, 30.1, 28.2, 23.1, 14.0, 10.5. HRMS: *m/z* calculated for C₄₆H₅₀Br₂N₂, 788.2341; found 788.2325. Elemental analysis calculated for C₄₆H₅₀Br₂N₂: C 69.87; H 6.37; N 3.54; found: C 69.51; H 6.48; N 3.49.

5-(4-(12-(4-Bromophenyl)-5,11-bis(2-ethylhexyl)-5,11-dihydroindolo[3,2-*b*]carbazol-6-yl)phenyl)thiophene-2-carbaldehyde (3). Under an argon atmosphere, a mixture of compound 2 (0.53 g, 0.73 mmol), 5-bromothiophene-2-carbaldehyde (0.14 g, 0.88 mmol), Pd(PPh₃)₄ (0.08 g, 0.073 mmol), Na₂CO₃ (0.35 g, 3.29 mmol), THF (20 mL), and water (2 mL) was stirred and heated at 70 °C for 15 h. When the reaction was complete, the mixture was extracted with CH₂Cl₂ three times. The combined organic solution was dried with anhydrous sodium sulfate. The solvent was removed with a rotary evaporator, and the residue was isolated by silica gel column chromatography with petroleum ether and ethyl acetate (10/1, v/v) as the eluent to give compound 3, yield 50%. ¹H NMR (500 MHz, DMSO, δ, ppm): 9.99(s, 1H), 8.16(d, 3H, *J* = 4.25 Hz), 8.00(d, 1H, *J* = 4.15 Hz), 7.89–7.91(m, 2H), 7.76–7.8(m, 2H), 7.62–7.67(m, 2H), 7.48(d, 2H, *J* = 8.38 Hz), 7.28–7.33(m, 2H), 6.77–6.84(m, 2H), 6.50(d, 1H, *J* = 8.21 Hz), 6.40(d, 1H, *J* = 8.02 Hz), 3.88(dd, *J* = 7.69 Hz, 4H), 1.60–1.65(m, 2H), 0.82–1.11(m, 16H), 0.74–0.79(m, 6H), 0.60(t, 6H, *J* = 7.54 Hz). ¹³C NMR (125 MHz, CDCl₃, δ ppm): 182.7, 153.9, 143.4, 142.7, 140.8, 138.8, 137.5, 133.1, 132.8, 132.6, 131.4, 129.0, 128.3, 126.6, 125.1, 124.4, 122.8, 122.1, 118.6, 117.8, 116.8, 109.4, 109.2, 48.4, 39.1, 30.0, 28.1, 23.0, 14.0, 10.5. HRMS: *m/z* calculated for C₅₁H₅₃BrN₂OS, 820.3062; found 820.3048. Elemental analysis calculated for C₅₁H₅₃BrN₂OS: C 74.52; H 6.50; N 3.41; S 3.90; found: C 74.18; H 6.59; N 3.37; S 3.82.

5-(4-(5,11-Bis(2-ethylhexyl)-12-(3',4',5'-trimethoxy-[1,1'-biphenyl]-4-yl)-5,11-dihydroindolo[3,2-*b*]carbazol-6-yl)phenyl)thiophene-2-carbaldehyde (4). Under argon atmosphere, to a mixture of compound 3 (0.15 g, 0.18 mmol), (3,4,5-trimethoxyphenyl)boronic acid (0.05 g, 0.22 mmol), Pd(PPh₃)₄ (0.02 g, 0.018 mmol) and Na₂CO₃ (0.09 g, 0.81 mmol), a mixed solution of toluene (5 mL), ethanol (2 mL) and water (0.4 mL) was added. The mixture was then stirred and heated at 70 °C for 15 h. When the reaction was complete, the mixture was extracted with CH₂Cl₂ three times. The combined organic solution was dried with anhydrous sodium sulfate. The solvent was removed with a rotary evaporator, and the residue was isolated by silica gel column chromatography with petroleum ether and ethyl acetate (5/1, v/v) as the eluent to give compound 4, yield 65%. ¹H NMR (500 MHz, DMSO, δ, ppm): 10.00(s, 1H), 8.16–8.18(m, 3H), 8.08–8.09(d, 2H, *J* = 7.91 Hz), 8.00–8.01(d, 1H, *J* = 3.86 Hz), 7.78–7.82(m, 2H), 7.71–7.76(m, 2H), 7.46–7.49(m, 2H), 7.31(t, 2H, *J* = 7.33 Hz), 7.17(s, 2H), 6.76–6.80(m, 2H), 6.52–6.56(m, 2H), 3.95(s, 6H), 3.91(t, 4H, *J* = 6.41 Hz), 3.76(s, 3H), 1.65–1.71(m, 2H), 0.79–0.96(m, 16H), 0.55–0.63(m, 12H). ¹³C NMR (125 MHz, CDCl₃, δ ppm): 182.5, 156.4, 155.2, 153.3, 147.7, 143.3, 141.0, 139.3, 137.5, 136.8, 135.3, 132.2, 131.8, 129.7, 127.4, 126.3, 125.5, 109.4, 104.4, 61.3, 56.5, 48.4, 39.2, 30.4, 28.4, 23.2, 14.8, 10.5. HRMS: *m/z* calculated for C₆₀H₆₄N₂O₄S, 908.4587; found 908.4593. Elemental analysis calculated for C₆₀H₆₄N₂O₄S: C 79.26; H 7.09; N 3.08; S 3.53; found: C 78.95; H 7.15; N 3.01; S 3.44.

5-(4-(12-(4-(Diphenylamino)-[1,1'-biphenyl]-4-yl)-5,11-bis(2-ethylhexyl)-5,11-dihydroindolo[3,2-*b*]carbazol-6-yl)phenyl)thiophene-2-carbaldehyde (5). Under an argon atmosphere, a

mixture of compound 3 (0.14 g, 0.17 mmol), (4-(diphenylamino)phenyl)boronic acid (0.06 g, 0.2 mmol), Pd(PPh₃)₄ (0.02 g, 0.017 mmol) and Na₂CO₃ (0.08 g, 0.77 mmol) in a mixed solution of toluene (5 mL), ethanol (2 mL) and water (0.4 mL) was stirred and heated at 70 °C for 15 h. When the reaction was complete, the mixture was extracted with CH₂Cl₂ three times. The combined organic solution was dried with anhydrous sodium sulfate. The solvent was removed with a rotary evaporator, and the residue was isolated by silica gel column chromatography with petroleum ether and ethyl acetate (5/1, v/v) as the eluent to give compound 5, yield 63%. ¹H NMR (500 MHz, DMSO, δ, ppm): 10.00(s, 1H), 8.16–8.18(m, 2H), 8.00–8.01(m, 3H), 7.85(d, 2H, *J* = 8.68 Hz), 7.79–7.82(m, 2H), 7.71(t, 2H, *J* = 8.20 Hz), 7.48(d, 2H, *J* = 8.44 Hz), 7.36–7.39(m, 4H), 7.30(m, 2H, *J* = 7.24 Hz), 7.10–7.17(m, 8H), 6.76–6.81(m, 2H), 6.53(t, 2H, *J* = 7.24 Hz), 3.91(t, 4H, *J* = 8.40 Hz), 1.64–1.71(m, 2H), 0.76–0.95(m, 16H), 0.55–0.62(m, 12H). ¹³C NMR (125 MHz, CDCl₃, δ ppm): 182.8, 156.2, 154.7, 147.8, 147.5, 143.4, 140.8, 140.5, 139.2, 138.6, 137.1, 135.6, 134.1, 133.5, 133.0, 132.3, 132.1, 131.3, 129.3, 127.4, 126.8, 125.6, 124.5, 124.8, 123.1, 122.8, 122.3, 122.1, 48.4, 39.2, 30.1, 28.6, 23.4, 14.0, 10.5. HRMS: *m/z* calculated for C₆₉H₆₇N₃OS, 985.5005; found 985.4992. Elemental analysis calculated for C₆₉H₆₇N₃OS: C 84.02; H 6.85; N 4.26; S 3.25; found: C 83.77; H 6.96; N 4.22; S 3.17.

Compound ICZ-TMOP. To a stirred solution of compound 4 (0.11 g, 0.12 mmol), cyanoacetic acid (0.33 g, 0.36 mmol) and chloroform (5 mL), piperidine (0.77 g, 0.84 mmol) were added. The reaction mixture was refluxed under argon for 12 h and then acidified with 2 M hydrochloric acid aqueous solution (10 mL). The crude product was extracted into chloroform, washed with water, and dried over anhydrous sodium sulfate. After removal of the solvent under reduced pressure, the residue was purified by flash chromatography with chloroform and methanol/chloroform (1/10, v/v) in turn as eluent to yield a purple powder, yield 85% (103 mg). ¹H NMR (500 MHz, DMSO, δ, ppm): 10.01(s, 1H), 8.27(s, 1H), 8.10(d, 4H, *J* = 7.93 Hz), 7.91(t, 2H, *J* = 3.78 Hz), 7.71–7.80(m, 4H), 7.48(d, 2H, *J* = 7.12 Hz), 7.31(t, 2H, *J* = 7.59 Hz), 7.17(s, 2H), 6.77–6.82(m, 2H), 6.56(s, 2H), 3.96(s, 6H), 3.91–3.93(m, 4H), 3.76(s, 3H), 1.66–1.72(m, 2H), 0.56–0.64(m, 12H). ¹³C NMR (125 MHz, CDCl₃, δ ppm): 167.1, 155.1, 153.7, 147.7, 143.3, 141.0, 139.9, 137.8, 136.8, 135.1, 133.1, 132.5, 131.8, 129.9, 127.4, 126.8, 125.1, 109.4, 104.4, 61.1, 56.3, 48.4, 39.3, 30.2, 28.2, 23.4, 14.0, 10.5. HRMS: *m/z* calculated for C₆₃H₆₅N₃O₅S, 975.4645; found 975.4657. Elemental analysis calculated for C₆₃H₆₅N₃O₅S: C 77.51; H 6.71; N 4.30; S 3.28; found: C 77.18; H 6.93; N 4.33; S 3.20.

Compound ICZ-TPA. Compound ICZ-TPA was synthesized from compound 5 similarly to that described for compound ICZ-TMOP, yield 88% (100 mg). ¹H NMR (500 MHz, DMSO, δ, ppm): 10.01(s, 1H), 8.30(s, 1H), 8.08(d, 2H, *J* = 7.51 Hz), 7.99(d, 2H, *J* = 8.41 Hz), 7.92(s, 1H), 7.84(d, 2H, *J* = 8.65 Hz), 7.75–7.78(m, 2H), 7.70(t, 2H, *J* = 8.35 Hz), 7.46–7.48(m, 2H), 7.36–7.39(m, 4H), 7.30(t, 2H, *J* = 7.31 Hz), 7.10–7.16(m, 8H), 6.75–6.81(m, 2H), 6.51–6.56(m, 2H), 3.90(t, 4H, *J* = 7.95 Hz),

1.60–1.65(m, 2H), 0.76–0.96(m, 16H), 0.55–0.62(m, 12H). ^{13}C NMR (125 MHz, CDCl_3 , δ ppm): 167.6, 154.7, 147.6, 147.5, 143.3, 140.8, 140.2, 139.8, 137.1, 135.2, 134.5, 133.2, 133.0, 132.6, 132.4, 131.8, 129.3, 127.8, 126.8, 125.2, 124.5, 124.7, 123.1, 122.8, 122.5, 122.1, 48.4, 39.3, 30.1, 28.2, 23.1, 14.0, 10.5. HRMS: m/z calculated for $\text{C}_{72}\text{H}_{68}\text{N}_4\text{O}_2\text{S}$, 1052.5063; found 1052.5087. Elemental analysis calculated for $\text{C}_{72}\text{H}_{68}\text{N}_4\text{O}_2\text{S}$: C 82.09; H 6.51; N 5.32; S 3.04; found: C 81.68; H 6.73; N 5.22; S 3.11.

Compound ICZ-Br. Compound **ICZ-Br** was synthesized from compound **3** similarly to that described for compound **ICZ-TMOP**, yield 83% (107 mg). ^1H NMR (500 MHz, DMSO, δ , ppm): 10.01(s, 1H), 8.47(s, 1H), 8.05(d, 2H, $J = 7.51$ Hz), 7.95(d, 1H, $J = 8.41$ Hz), 7.92–7.84(m, 2H), 7.67–7.70(m, 7H), 7.75–7.78(m, 2H), 7.35(t, 4H, $J = 8.35$ Hz), 6.68(d, 1H, $J = 7.83$), 6.48(d, 1H, $J = 7.75$), 3.91(t, 4H, $J = 7.97$ Hz), 1.61–1.67(m, 2H), 0.73–0.98(m, 16H), 0.56–0.65(m, 12H). ^{13}C NMR (125 MHz, CDCl_3 , δ ppm): 166.4, 154.9, 147.6, 143.3, 140.9, 139.8, 138.7, 135.1, 133.1, 132.6, 132.4, 131.4, 129.4, 128.9, 128.2, 126.8, 125.1, 124.8, 122.8, 122.0, 117.8, 116.7, 115.8, 48.4, 39.1, 29.3, 28.1, 23.0, 14.0, 10.5. HRMS: m/z calculated for $\text{C}_{54}\text{H}_{54}\text{BrN}_3\text{O}_2\text{S}$, 887.3120; found 887.3147. Elemental analysis calculated for $\text{C}_{54}\text{H}_{54}\text{BrN}_3\text{O}_2\text{S}$: C 72.96; H 6.12; N 4.73; S 3.61; found: C 72.58; H 6.34; N 4.66; S 3.51.

Results and discussion

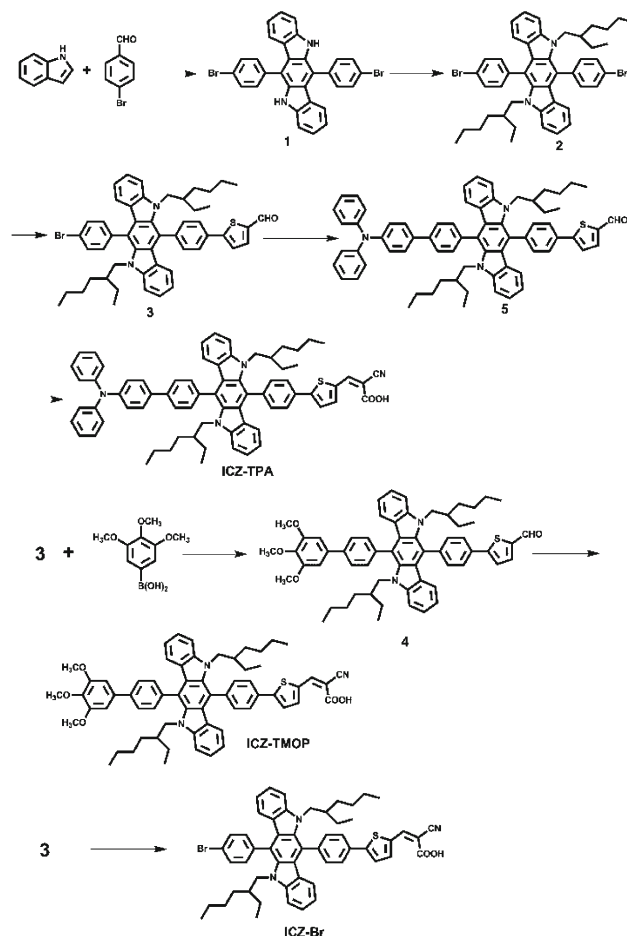
Design and synthesis of dyes

The synthetic routes of dyes are shown in Scheme 1. Compound **1** was synthesized according to the literature. Compounds **3**, **4** and **5** were synthesized by the Suzuki-type aryl–aryl coupling reaction between the aryl boronic acid and the aryl halides.

UV-vis absorption spectra

The UV-vis absorption and fluorescence emission spectra for the dyes and the reference compound **2** were measured in DCM solutions at a concentration of 1×10^{-5} M, as presented in Fig. 1. The corresponding data were presented in Table 1. The reference compound **2** shows two major absorption bands at about 338 nm and 420 nm, which can be attributed to the localized π – π^* transition of the conjugated aromatic rings. The chance of formation of intramolecular charge transfer (ICT) in compound **2** is nearly zero because of its symmetrical structure, without an obvious electron-withdrawing group. As shown in Fig. 1, the introduction of electron-withdrawing and electron-rich groups in the dyes obviously enhances the absorption of the π – π^* transition bands, and produces a red-shift transition around 450 nm with a molar extinction coefficient of about $10^3 \text{ M}^{-1} \text{ cm}^{-1}$, which may be attributed to the ICT transition. The band gaps of the dyes for **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** estimated from the onset of the absorption spectra are 2.78, 2.66 and 2.74 eV, respectively.

In a comparison of the spectra of the dyes, **ICZ-TPA** shows the strongest absorption that can be attributed to the increase



Scheme 1 Synthetic routes for the dyes.

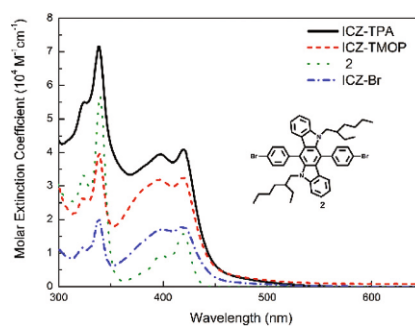


Fig. 1 UV-vis absorption and of dyes **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** in DCM.

in the number of phenylene rings in the triphenylamine unit. This is also consistent with the result of the stronger electron-donating ability of triphenylamine than trimethoxyphenyl and bromine, which increases the light absorption intensity. Although the structure of the second donor group changed, these dyes show a little difference for the ICT absorption band. This suggests that the enhanced light absorption stems mainly from the π – π^* transition absorption, not from the ICT effect.

Table 1 UV-vis absorption and electrochemical properties of the dyes

Dye	Absorption λ_{\max}^a nm/ ϵ^a M ⁻¹ cm ⁻¹	E_{0-0}^b eV	E_{ox}^c V	E_{red}^d V	G_{inj}^e eV	G_{reg}^f eV
ICZ-Br	339(2.02×10^4), 399(1.71×10^4), 420(1.8×10^4)	2.78	1.09	-1.69	-1.19	-0.69
ICZ-TPA	339(7.15×10^4), 397(3.94×10^4), 419(4.1×10^4)	2.66	1.07	-1.59	-1.09	-0.67
ICZ-TMOP	339(3.96×10^4), 397(3.19×10^4), 419(3.3×10^4)	2.74	1.12	-1.62	-1.12	-0.72

^a Absorption peaks (λ_{\max}) and molar extinction coefficients (ϵ) were measured in DCM solutions (1×10^{-5} M). ^b E_{0-0} was estimated from the onset of the absorption spectra in DCM solution. ^c The onset oxidative potential *versus* the normal hydrogen electrode $E_{\text{ox}} [\text{V}] = E_{\text{ox}} (\text{vs. Fc/Fc}^+) + 0.63$. ^d $E_{\text{red}} [\text{V}] = E_{\text{ox}} - E_{0-0}$. ^e Driving force for electron injection from the dye excited singlet state into the CB of TiO₂ (-0.5 V vs. NHE). ^f Driving force for dye regeneration by the I⁻/I₃⁻ redox shuttle (+0.4 V vs. NHE).

That may be due to the large steric hindrance between the indolo[3,2-*b*]carbazole group and the adjacent phenylene rings, which largely destroys the molecular conjugation, thus decreasing the efficiency of the ICT process.

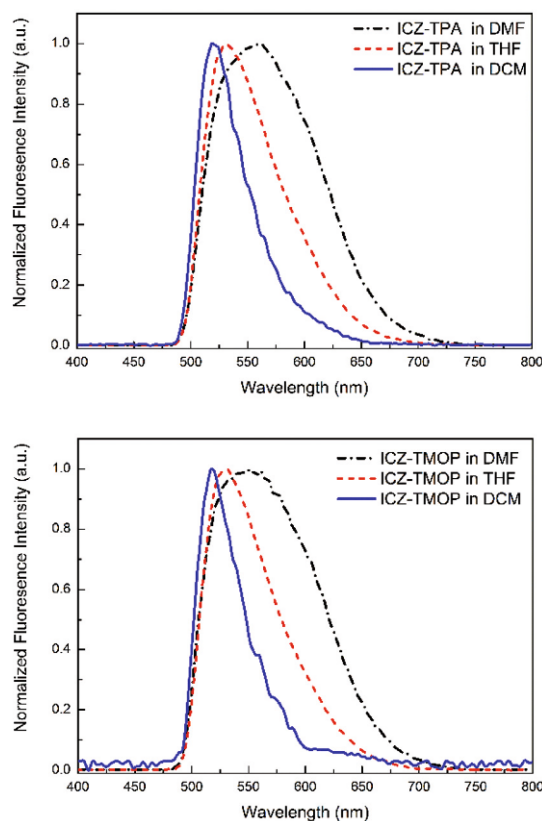
The maximal molar extinction coefficients of **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** are about 1.8×10^4 M⁻¹ cm⁻¹, 4.1×10^4 M⁻¹ cm⁻¹ and 3.3×10^4 M⁻¹ cm⁻¹, respectively, which are higher than that of the standard N719 dye 1.4×10^4 M⁻¹ cm⁻¹.^{31,32} The greater molar extinction coefficients of the organic dyes allow a thinner TiO₂ film, which benefits the electrolyte diffusion in the film and reduces the recombination of the light-induced charges during transportation. The high molar extinction coefficient of our dyes suggests that the incorporation of indolo[3,2-*b*]carbazole as a π -conjugated donor in D- π -A organic dyes could be a good approach to improve the light absorption ability.

The fluorescence spectra of the dyes show similar behaviour (Fig. 2). Compared with **ICZ-TMOP**, **ICZ-TPA** displays a broader and red-shift emission in DMF, suggesting that the stronger electron-donating ability of triphenylamine reflects the dye conjugation. In addition, the fluorescence spectra of **ICZ-TPA** and **ICZ-TMOP** significantly red-shift with increasing solvent polarity, indicative of the CT character of the fluorescent state.

The absorption spectra of the dyes on TiO₂ films were also measured (Fig. 3). The dyes show transition absorption peaks at 416 nm, a slight blue-shift with respect to the solution, which is indicative of partial deprotonation of the carboxylic acid acceptor because of the interaction between the dye molecules and the TiO₂ film.³³ In comparison with **ICZ-TMOP** and **ICZ-TPA**, the absorption spectra of **ICZ-Br** are broader, suggesting severe dye aggregation on the TiO₂ film, which might be caused by the smaller steric hindrance of the bromine substituent in **ICZ-Br**.

Electrochemical properties

Cyclic voltammetry (CV) was performed to measure the ground state oxidation potential (E_{ox}) of the dyes in THF solution with 0.1 M Bu₄NPF₆ as the electrolyte (Fig. 4). As can be seen, the voltammograms of all dyes show reversible oxidation waves, indicating stable electrochemical properties. The onset oxidative potential of ground state **ICZ-TPA** (1.07 V *versus* the normal hydrogen electrode (NHE)) is shifted in the negative

**Fig. 2** Fluorescence spectra of **ICZ-TPA** and **ICZ-TMOP** in different solutions.

direction by 0.05 V with respect to that of **ICZ-TMOP** (1.12 V), suggesting the higher electron-donating ability of triphenylamine as compared to that of trimethoxyphenylene. The oxidative potentials of the dyes in the ground states are higher than the iodine redox potential (+0.4 V),³⁴ which ensures fast dye regeneration and avoids the charge recombination between the oxidized dye molecules and photoinjected electrons in the TiO₂ film.

The oxidation potential levels of the excited state dyes **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** are about 1.09, 1.07 and 1.12 V (vs. NHE), respectively, which are more positive than the iodine/iodide redox potential value (+0.4 V vs. NHE). This

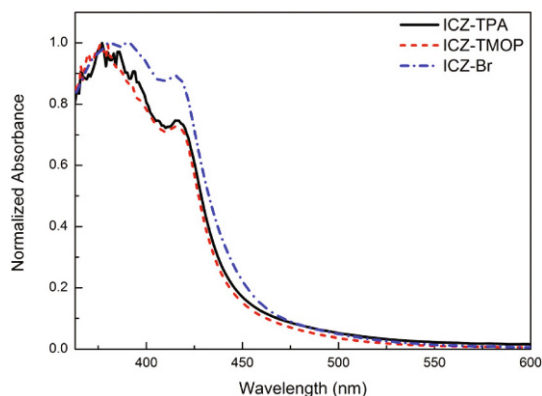


Fig. 3 UV-vis absorption spectra of ICZ-Br, ICZ-TPA and ICZ-TMOP adsorbed on TiO₂ films.

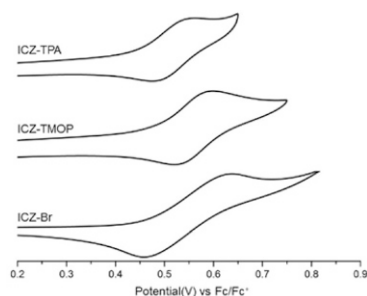


Fig. 4 Cyclic voltammograms of ICZ-Br, ICZ-TPA and ICZ-TMOP in THF solutions.

proves that the oxidized dyes are produced upon electron injection to TiO₂ and could therefore accept electrons from the iodide ions thermodynamically. The reductive potentials of **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** are -1.69 , -1.59 and -1.62 V (vs. NHE), respectively, and are more negative than the conduction-band edge of TiO₂. The driving forces for electron injection (G_{inj}) from the dye's excited singlet state into the conduction band (CB) of TiO₂ (-0.5 V vs. NHE) and for reduction of the dye's radical cation (G_{reg}) by the I^-/I_3^- redox couple ($+0.4$ V vs. NHE) were also calculated according to the literature.^{35,36} Since these driving forces are more negative than -0.3 eV, the electron injection and dye-regeneration processes are energetically viable and sufficient (Table 1).³⁵ The above results clearly show that the dyes will be potentially efficient sensitizers for DSSCs. The schematic energy levels of **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** based on absorption and electrochemical data are shown in Fig. 5.

Theoretical calculation

In order to visualize the effect of molecular structure and the electron distribution of **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** on the performances of DSSCs, their geometries and energies were optimized by density functional theory (DFT) calculations at the B3LYP/6-31G(d) level. The molecular geometries and electronic distribution of the frontier molecular orbitals (HOMOs

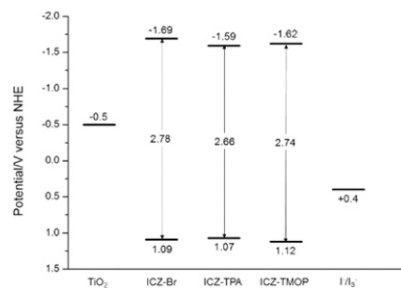


Fig. 5 Schematic energy levels of ICZ-Br, ICZ-TPA and ICZ-TMOP based on absorption and electrochemical data.

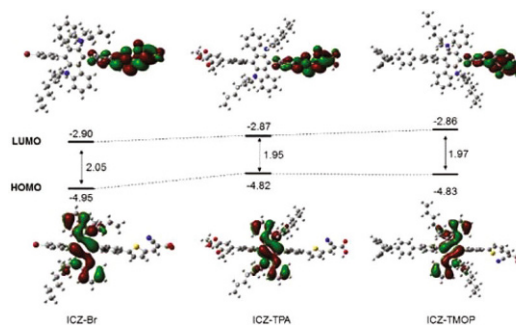


Fig. 6 Electron density distribution in the molecular orbitals of ICZ-Br, ICZ-TPA and ICZ-TMOP.

and LUMOs) of the presented dyes were computed and the results are displayed in Fig. 6. The HOMO of **ICZ-TPA** resides mainly on the π -conjugated indolo[3,2-*b*]carbazole donor, while the LUMO resides over the π -spacer thiophene and cyanoacrylic acid anchoring group, which leads to a strong electronic coupling with the TiO₂ surface and thus improves the electron injection efficiency. The calculated energy gaps (LUMO–HOMO) of the dyes **ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP** are 2.05, 1.95 and 1.97 eV, respectively. The similar energy gaps are due to their similar electronic structure, which is in agreement with their similar absorption spectra and electrochemical results.

There is almost no HOMO delocalized on the second donor in dyes, which may be caused by the large dihedral angles between the indolo[3,2-*b*]carbazole plane and the adjacent phenylene plane. The phenylene units on the 6,12-positions of indolo[3,2-*b*]carbazole directly cause the coplanarity of the structure to be more twisted due to the high repulsion of charges between the H atom on the 2-ethylhexyl and the H atom on the adjacent phenylene. This twisted molecule configuration may help suppress the dye aggregation and improve their photovoltaic performances. However, it also destroys the conjugation between the second donor and the indolo[3,2-*b*]carbazole unit, and thus may affect the effective electron transfer in the dyes.

Photovoltaic properties

To optimize the DSSCs' performances, we first studied the effects of dye-adsorption solvent based on the dye **ICZ-TPA**.

The photovoltaic parameters in terms of short-circuit photocurrent density (J_{sc}), open circuit voltage (V_{oc}), fill factor (FF), and overall photoconversion efficiency (PCE) for each DSSC are summarized in Table 2. Although the V_{oc} based on different solvents change a little, the J_{sc} and PCEs values of the dye both increase in the order of DCM < DMF < toluene < THF. The dye uptake amounts of **ICZ-TPA** in DCM, DMF, toluene and THF solution are 3.18×10^{-7} , 3.32×10^{-7} , 3.25×10^{-7} , and 3.99×10^{-7} M cm⁻², respectively. Thus the higher dye uptake amount produces the larger J_{sc} . The best performances are achieved with the V_{oc} of 0.74 V, J_{sc} of 5.3 mA cm⁻², FF of 0.7 and PCE of 2.72%, by using THF as the dye-adsorption solvent.

By fixing THF as the dye-adsorption solvent, the DSSCs based on three dyes were prepared and characterized under standard AM 1.5 illumination (Fig. 7). The DSSCs based on **ICZ-TPA** show better performances than the other dyes, with higher J_{sc} and PCE, which may be attributed to the difference in the second donor unit in the dyes. **ICZ-TPA** contains a triphenylamine donor unit which has a stronger electron-rich ability than that of trimethoxyphenyl (**ICZ-TMOP**) and bromine (**ICZ-Br**), leading to stronger UV-vis absorption and larger photocurrent density. That also can evolve from the incident photo-to-electron conversion efficiency (IPCE) of the dyes.

As can be seen, the IPCE spectra of the DSSCs based on dyes exhibit photocurrent generations from 350 nm to 600 nm (Fig. 8) and the maximum IPCE values are all found at 420 nm, 65.4% for **ICZ-TPA**, 62.7% for **ICZ-TMOP** and 47.4% for **ICZ-Br**. Compared to **ICZ-Br**, the dyes **ICZ-TPA** and **ICZ-TMOP** show enhanced IPCE in the visible light range, reflecting higher light-harvesting ability and effective electron-injection from the excited dyes to the TiO₂ conduction band.

Table 2 Effects of dye-adsorption solvent on the photovoltaic performances of DSSCs employing **ICZ-TPA** as sensitizer

Solvent	V_{oc} (V)	J_{sc} (mA cm ⁻²)	FF	PCE (%)
DCM	0.74	4.50	0.74	2.47
DMF	0.72	5.01	0.69	2.48
Toluene	0.74	5.07	0.68	2.53
THF	0.74	5.30	0.70	2.72

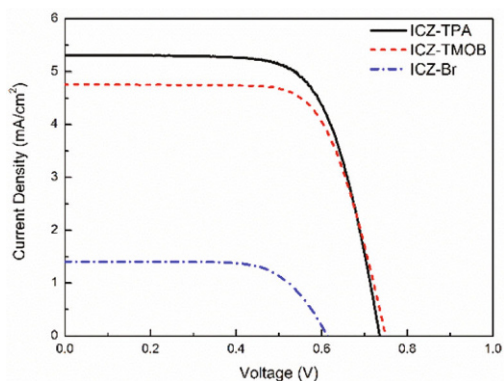


Fig. 7 J–V characteristics of DSSCs based on different dyes.

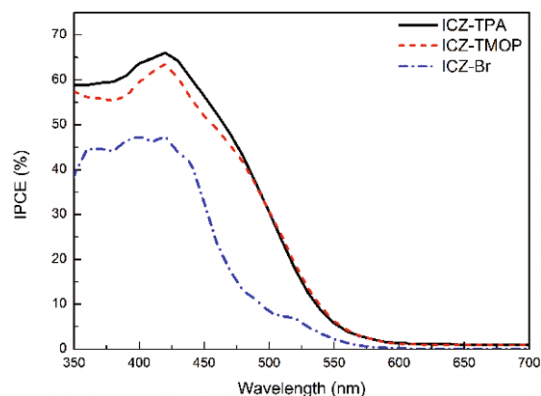


Fig. 8 IPCE spectra of DSSCs.

To further understand the electron transport properties, electrochemical impedance spectra (EIS) analysis was applied at an applied bias of about 0.7 V (equivalent to the open circuit voltage) (Fig. 9). The Nyquist plots usually show three semicircles located in high, middle and low frequency regions (from left to right), representing the impedances of the charge transfer (R_{ct}) on the Pt counter electrode, the charge recombination (R_r) on the interface of the TiO₂/dye/electrolyte, and the electrolyte diffusion, respectively.^{37,38} As can be seen, the smaller semicircle in the low frequency region related to the electrolyte diffusion is not observed and overlapped by the larger semicircle in the middle frequency region. Fig. 9 revealed that the semicircle of the **ICZ-TMOP** electrode in the middle frequency region is larger than that of the **ICZ-TPA** and **ICZ-Br** electrodes, revealing an improvement in the retardation of charge recombination since that back electron recombination with I₃⁻ in the electrolyte is suppressed. This is in good agreement with the slightly high open circuit voltage of **ICZ-TMOP**. This indicates that the methoxyl units in the second donor of **ICZ-TMOP** are helpful for the suppression of dark current and improve the photovoltage.

In general, the utilization of chenodeoxycholic acid (CDCA) as co-adsorbent (simultaneously adsorbed on the TiO₂ electrode during the dye adsorption process) has been proved to

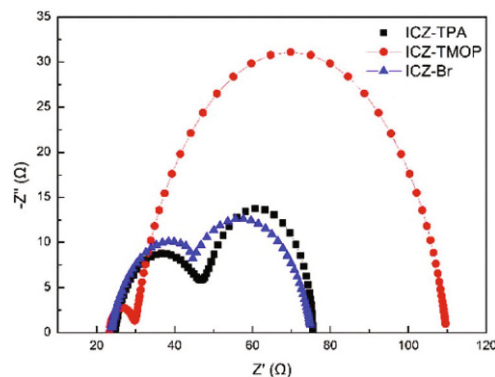


Fig. 9 EIS spectra of DSSCs.

Table 3 Photovoltaic performances of DSSCs based on ICZ-TPA and ICZ-TMOP with different concentration of CDCA as co-adsorbent

ICZ-Br (M)	ICZ-TPA (M)	ICZ-TMOB (M)	CDCA (mM)	V_{oc} (V)	J_{sc} (mA cm ⁻²)	FF	PCE (%)
0	5×10^{-4}	0	0	0.74	5.3	0.70	2.72
			0.5	0.75	5.06	0.73	2.77
			1	0.75	5.69	0.72	3.11
			2	0.76	5.54	0.73	3.05
			4	0.75	5.22	0.74	2.91
			5	0.76	5.21	0.73	2.86
0	0	5×10^{-4}	0	0.75	4.76	0.70	2.5
			0.5	0.78	4.74	0.70	2.56
			1	0.75	5.09	0.74	2.83
			2	0.75	5.0	0.75	2.81
			4	0.75	4.95	0.71	2.64
			5	0.76	4.64	0.73	2.58
5×10^{-4}	0	0	0	0.61	3.89	0.69	1.65

be an efficient method to improve the DSSCs' performances, due to the suppression of dye aggregation and prevention of the backward electron transfer. Therefore, the effect of coadsorption of dye and CDCA was investigated by mixing various concentrations of CDCA with respective **ICZ-TPA** and **ICZ-TMOP** in the THF dye bath for fabricating sensitized TiO₂ films (Table 3).

As the concentration of CDCA increase from 0 to 1 mM, the J_{sc} of the dyes both increase, from 5.3 to 5.69 mA cm⁻² for **ICZ-TPA**, and from 4.76 to 5.09 mA cm⁻² for **ICA-TMOB**. The increased J_{sc} obviously stems from the coadsorption of CDCA, which weakens the intermolecular interactions and thus reduces the charge recombination rate in the DSSCs. The highest PCE values (3.11% for **ICZ-TPA**, and 2.83% for **ICA-TMOB**) are achieved at the CDCA concentration of only 1 mM. This CDCA concentration is particularly low compared with the values reported in literature,^{14,39-41} suggesting that undesirable dye aggregates are effectively suppressed in the present DSSCs, presumably due to the strong steric hindrance caused by the 6,12-diphenyl substituted indolo[3,2-*b*]carbazole core. As the CDCA concentration increases to 5 mM, the J_{sc} and PCE values of the dyes both decrease to some extent, since the coadsorbed CDCA molecules occupy the binding sites on the TiO₂ film, leading to a decrease in the amount of organic dyes loaded on TiO₂. At the same CDCA concentration, the J_{sc} and PCE of **ICZ-TPA** are higher than that of **ICA-TMOB**, which can be mainly attributed to the good light-harvesting ability and electron injection efficiency. The **ICZ-TPA** dye has higher IPCE values from 350 nm to 500 nm, which ensure a high photocurrent. The difference of these dyes is the structure of the second donor. It is clear that the triphenylamine unit has stronger electron-donating ability and larger steric hindrance than trimethoxyphenylene and bromine. As a result, **ICZ-TPA** displays a higher HOMO, a narrower band gap, a broader IPCE, and higher J_{sc} and PCE.

Despite the considerable high open circuit voltages (V_{oc}) and fill factors (FF) for the present DSSCs, the low short-circuit photocurrent density (J_{sc}) results in the low photoconversion efficiency (PCE), which is probably due to the narrow absorption spectra. The results presented here suggest that indolo

[3,2-*b*]carbazole could be a promising group to construct highly efficient organic dyes. Further work on the change in the substituted positions on indolo[3,2-*b*]carbazole or cosensitization with other dyes is also in progress for improving the photocurrent density.

Conclusions

In summary, we have designed and synthesized three types of novel D-D- π -A dyes (**ICZ-Br**, **ICZ-TPA** and **ICZ-TMOP**) by utilizing indolo[3,2-*b*]carbazole as first donor and bromine, triphenylamine and 3,4,5-trimethoxybenzene as second donor group, respectively, perpendicularly attached on the first donor. The second donor plays important roles in improving dye aggregation as well as tuning the photoelectronic properties. Results from absorption and photovoltaic properties demonstrate that these indolo[3,2-*b*]carbazole based dyes show good performances with high V_{oc} of 0.75 V, FF of 0.72, and a moderate PCE of 3.11% under AM 1.5 irradiation. Our study indicates that the incorporation of indolo[3,2-*b*]carbazole as a π -conjugated core into the dye backbone is a promising approach to constructing highly efficient D-D- π -A metal-free organic dyes.

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