

<https://doi.org/10.1038/s43246-024-00634-w>

Exploring rare-earth Kitaev magnets by massive-scale computational analysis

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The Kitaev honeycomb model plays a pivotal role in the quest for quantum spin liquids, in which fractional quasiparticles would provide applications in decoherence-free topological quantum computing. The key ingredient is the bond-dependent Ising-type interactions, dubbed the Kitaev interactions, which require strong entanglement between spin and orbital degrees of freedom. Here we investigate the identification and design of rare-earth materials displaying robust Kitaev interactions. We scrutinize all possible $4f$ electron configurations, which require up to 6+ million intermediate states in the perturbation processes, by developing a parallel computational program designed for massive-scale calculations. Our analysis reveals a predominant interplay between the isotropic Heisenberg J and anisotropic Kitaev K interactions across all realizations of the Kramers doublets. Remarkably, instances featuring $4f^3$ and $4f^{11}$ configurations showcase the prevalence of K over J , presenting unexpected prospects for exploring the Kitaev quantum spin liquids in compounds, including Nd^{3+} and Er^{3+} , respectively.

In the realm of quantum spin liquids (QSLs), quantum fluctuations prevent localized magnetic moments from establishing conventional magnetic order, wherein excited nonlocal quasiparticles hold promise for applications in decoherence-free topological quantum computing^{1–7}. The Kitaev model, exhibiting exchange frustration between localized magnetic moments residing on a honeycomb lattice, is one viable model for the QSL since it is exactly solvable through the introduction of Majorana fermions³. The Hamiltonian is given by $\mathcal{H}_{\text{Kitaev}} = \sum_{\mu} \sum_{\langle i, i' \rangle_{\mu}} K S_i^{\mu} S_{i'}^{\mu}$, where K represents the coupling constant for the bond-dependent Ising-type interactions on three-type μ (x , y , and z) bonds on the honeycomb lattice, while S_i^{μ} ($S_{i'}^{\mu}$) signifies the μ component of the spin-1/2 operator at site i (its nearest-neighbor site i' on the μ bond). The realization of Kitaev-type interactions has been achieved in spin-orbit coupled Mott insulators, where the interplay of electron correlation and spin-orbit coupling (SOC) is pivotal^{8,9}. This is notably evident in the spin-orbital entangled Kramers doublet Γ_7 , described by $j_{\text{eff}} = 1/2$ pseudospins, which typically originates from the low-spin d^5 electron configuration under the octahedral crystal field (OCF). Indeed, the presence of dominant Kitaev interactions has been unveiled for several quasi-two-dimensional honeycomb compounds, such as $A_2\text{IrO}_3$ ($A = \text{Na}, \text{Li}$), $\alpha\text{-RuCl}_3$, and other related materials^{9–21}. In these compounds, the Kitaev interactions stem from second-order perturbation processes with respect to the electron hopping mediated by ligands in edge-sharing MX_6 octahedra, where M represents a transition metal cation, and X denotes a ligand ion (see Fig. 1a).

Besides the d -electron transition metal compounds, rare-earth materials with f electrons meet the requirements for the Kitaev interactions:

cooperation of electron correlation and SOC. There exist rare-earth quasi-two-dimensional honeycomb materials, which would be deemed as intriguing platforms for realizing the Kitaev model, e.g. Na_2PrO_3 ^{22,23}, SmI_3 ²⁴, DyCl_3 ²⁵, ErX_3 ($X = \text{Cl}, \text{Br}, \text{I}$)^{26,27}, and YbCl_3 ²⁸. Notably, the expectation is that antiferromagnetic (AFM) Kitaev interactions would manifest in the $4f^1$ electron configuration in $A_2\text{PrO}_3$ including Na_2PrO_3 , in contrast to the ferromagnetic (FM) ones that typically dominate in d -electron systems^{20,29,30}. Nonetheless, the design and discovery of f -electron materials with strong Kitaev-type interactions remain elusive. This is mainly because the formulation of low-energy effective models for $4f$ -electron systems remains a formidable challenge, as it requires significant computational efforts for second-order perturbation calculations (see Fig. 1b). Indeed, the number of the intermediate states in the perturbation processes becomes 182,182 and 6,012,006 for $4f^3$ ($4f^{11}$) and $4f^5$ ($4f^9$), respectively, in stark contrast to only 30 for the low-spin d^5 electron configurations (see Fig. 1c).

To address this issue, we develop a highly parallel computational program capable of exhaustively performing second-order perturbation calculations on a massive scale. The program comprises three key steps. First, the eigenvectors $|4f^n\rangle$ and the eigenvalues E_{4f^n} for all the many-electron states with $4f^n$ electron configurations are prepared. In this step, the Coulomb interaction \mathcal{H}_{int} between $4f$ electrons is taken into account, along with the subsequent SOC \mathcal{H}_{SOC} , based on the Russell-Saunders coupling scheme³¹. Second, upon using these many-electron states, the initial and final $4f^n$ - $4f^n$ states for neighboring sites (the tensor products $|4f^n\rangle \otimes |4f^n\rangle$ of $4f^n$ state pairs) and all the possible $4f^{n-1}$ - $4f^{n+1}$ intermediate states (the

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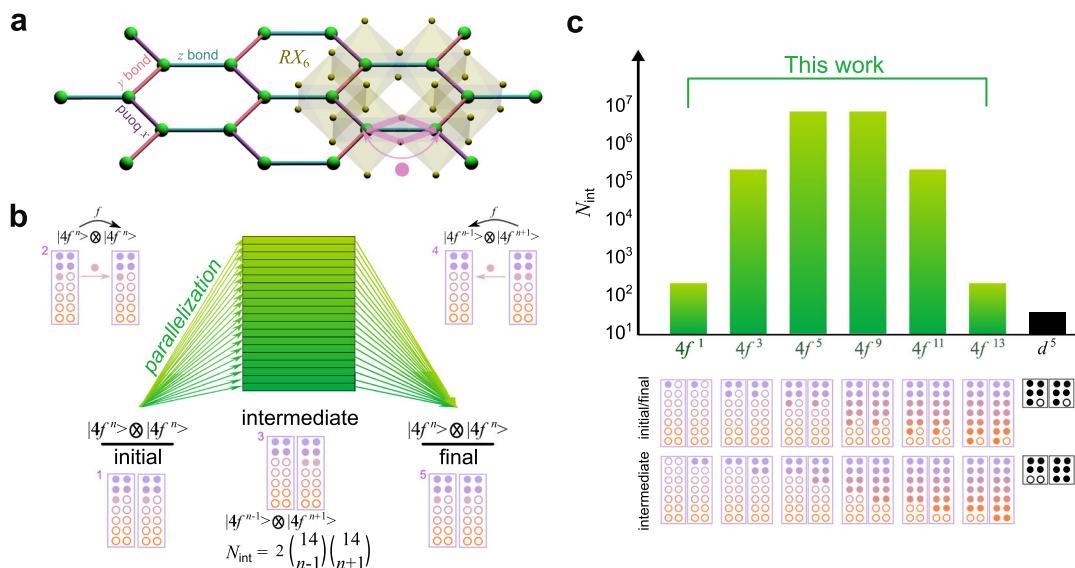


Fig. 1 | Challenge in the derivation of effective exchange interactions by second-order perturbation calculations for 4f-electron systems. **a** Schematic of the Kitaev model realized in an edge-sharing network of RX_6 octahedra. Three-type μ ($x, y,$ and z) bonds on the honeycomb lattice are distinguished. The hopping paths R - X - R on a z bond are represented by the purple lines. **b** Schematic of the calculations. We successfully cover the second-order perturbation calculations on a massive scale by employing a parallelization scheme spanning all the possible intermediate states $|4f^{n-1}\rangle \otimes |4f^{n+1}\rangle$, given the initial and final states $|4f^n\rangle \otimes |4f^n\rangle$. The sequence of perturbation processes is schematically depicted for the case of $n = 5$: 1 represents the

initial state $|4f^5\rangle \otimes |4f^5\rangle$, 2 denotes an f -electron hopping from one $|4f^5\rangle$ to the other $|4f^5\rangle$, 3 represents an intermediate state $|4f^4\rangle \otimes |4f^6\rangle$, 4 denotes an f -electron hopping from $|4f^6\rangle$ to $|4f^4\rangle$, and 5 represents the final state $|4f^5\rangle \otimes |4f^5\rangle$ that is the same as the initial state. **c** The number of the intermediate states, N_{int} , for the $4f^n$ - $4f^n$ states: $N_{\text{int}} = 182$ for $n = 1$ and $n = 13$, $N_{\text{int}} = 182,182$ for $n = 3$ and $n = 11$, and $N_{\text{int}} = 6,012,006$ for $n = 5$ and $n = 9$. The low-spin d^5 case with $N_{\text{int}} = 30$ is shown for comparison. The initial/final and the intermediate states are schematically depicted for each electron configuration.

tensor products $|4f^{n-1}\rangle \otimes |4f^{n+1}\rangle$ of $4f^{n-1}$ and $4f^{n+1}$ state pairs) are automatically generated. Third, effective magnetic couplings are estimated based on the second-order perturbation expansion with respect to the $4f$ electron hoppings \mathcal{H}_{hop} . It is worth noting that the program can be flexibly extended beyond the second-order perturbation; it is capable of computing higher-order contributions, including multiple-spin interactions. We emphasize that even the second-order perturbation calculations are impracticable without efficient parallel computation (Fig. 1a) since the number of the intermediate states exceeds 6+ million for the $4f^3$ and $4f^9$ cases (Fig. 1b). This parallelization is achieved by implementing the Message Passing Interface in the C++ programming language.

In this study, we employ the program for the design of rare-earth Kitaev-type materials. For the $4f^n$ - $4f^n$ states with $n = 1, 3, 5, 9, 11,$ and 13 , we assume a perfect OCF \mathcal{H}_{OCF} within the edge-sharing RX_6 octahedra (R = rare-earth ions), along with \mathcal{H}_{int} and \mathcal{H}_{SOC} . This results in the formation of spin-orbital entangled Kramers doublet for all n , depending on the crystal field parameters. In the perturbation, we take into account the indirect $4f$ - p - $4f$ electron hoppings \mathcal{H}_{hop} via p orbitals of ligand X with the use of the Slater-Koster transfer integrals $t_{p\pi}$ and $t_{p\sigma}$ ³², and the p - $4f$ energy difference Δ_{p-f} in the intermediate states. Our analysis reveals that in all cases the low-energy Hamiltonian can be effectively described by two predominant exchange interactions between the pseudospins for the Kramers doublet: the bond-independent isotropic Heisenberg interaction denoted as J [given in $\mathcal{H}_{\text{Heisenberg}} = \sum_{\langle i,i'\rangle} JS_i \cdot S_{i'}$, where $S_i = (S_i^x, S_i^y, S_i^z)^T$] and the bond-dependent anisotropic Kitaev interaction K . In most instances, both J and K exhibit AFM behavior. Notably, in the cases of $4f^3$ (as exemplified in Nd^{3+}) and the electron-hole counterpart $4f^{11}$ (Er^{3+}), we find that K largely dominates over J , which realizes situations close to the pure Kitaev model. This finding opens up unexpected opportunities for investigating the Kitaev QSLs in $4f$ -electron systems. Furthermore, beyond the scope of the Kitaev model, our computational program can also be applied to a wide range of $4f$ -electron magnets, which would contribute to future exploration of exotic rare-earth magnetism.

Results

Ground-state Kramers doublets

Let us begin with the analysis of the crystal field splitting of the ground-state multiplets given by the Russell-Saunders coupling scheme, focusing on the $4f^n$ electron configurations with odd n ²⁰. In $4f^1$ electron configuration (Fig. 2a), the Coulomb interaction \mathcal{H}_{int} is irrelevant, leaving 14-fold 2F manifold. This is split by \mathcal{H}_{SOC} into the ${}^2F_{5/2}$ sextet and the ${}^2F_{7/2}$ octet. The ground-state ${}^2F_{5/2}$ sextet is further split by \mathcal{H}_{OCF} into the Γ_7 doublet and Γ_8 quartet. Since the Γ_7 doublet has lower energy than the Γ_8 quartet, the $4f^1$ case gives the Γ_7 Kramers doublet in the ground state. In the $4f^3$ electron configuration (Fig. 2b), \mathcal{H}_{int} gives 52-fold 4I manifold in the ground state, which is split by \mathcal{H}_{SOC} into four multiplets ${}^4I_{9/2}, {}^4I_{11/2}, {}^4I_{13/2},$ and ${}^4I_{15/2}$. The lowest-energy ${}^4I_{9/2}$ decet is further split by \mathcal{H}_{OCF} into the Γ_6 doublet and two Γ_8 quartets. The ground state depends on the crystal field parameters B_{40} and B_{60} (see Methods), and the Γ_6 Kramers doublet is selected when B_{40} is predominant. In the $4f^5$ electron configuration (Fig. 2c), the lowest-energy ${}^6H_{5/2}$ sextet selected by \mathcal{H}_{int} and \mathcal{H}_{SOC} is split by \mathcal{H}_{OCF} into the Γ_7 doublet and the Γ_8 quartet, and the ground state is given by the lower-energy Γ_7 Kramers doublet, similar to the $4f^1$ case. In the $4f^7$ electron configuration (Fig. 2d), the lowest-energy ${}^6H_{15/2}$ sextet selected by \mathcal{H}_{int} and \mathcal{H}_{SOC} is split by \mathcal{H}_{OCF} into the Γ_6 doublet, the Γ_7 doublet, and the three Γ_8 quartets. The ground state is either the Γ_6 doublet or the Γ_7 doublet depending on the crystal field parameters. In the $4f^{11}$ electron configuration (Fig. 2e), the ground state is given by the Γ_7 doublet when B_{60} is predominant. Finally, in the $4f^{13}$ electron configuration (Fig. 2f), the ground state is given by the Γ_6 doublet, irrespective of the crystal field parameters. Thus, all $4f^n$ cases considered here can offer the Kramers doublet in the ground state of an isolated ion.

Table 1 explicitly enumerates all the accessible ground-state Kramers doublets characterized by the pseudospin $j_{\text{eff}} = 1/2$. In this table, each $j_{\text{eff}} = 1/2$ state is described with j and \tilde{j} representations; j (\tilde{j}) is the (secondary) total angular momentum quantum number. For the pseudospin $j_{\text{eff}} = 1/2$ degree

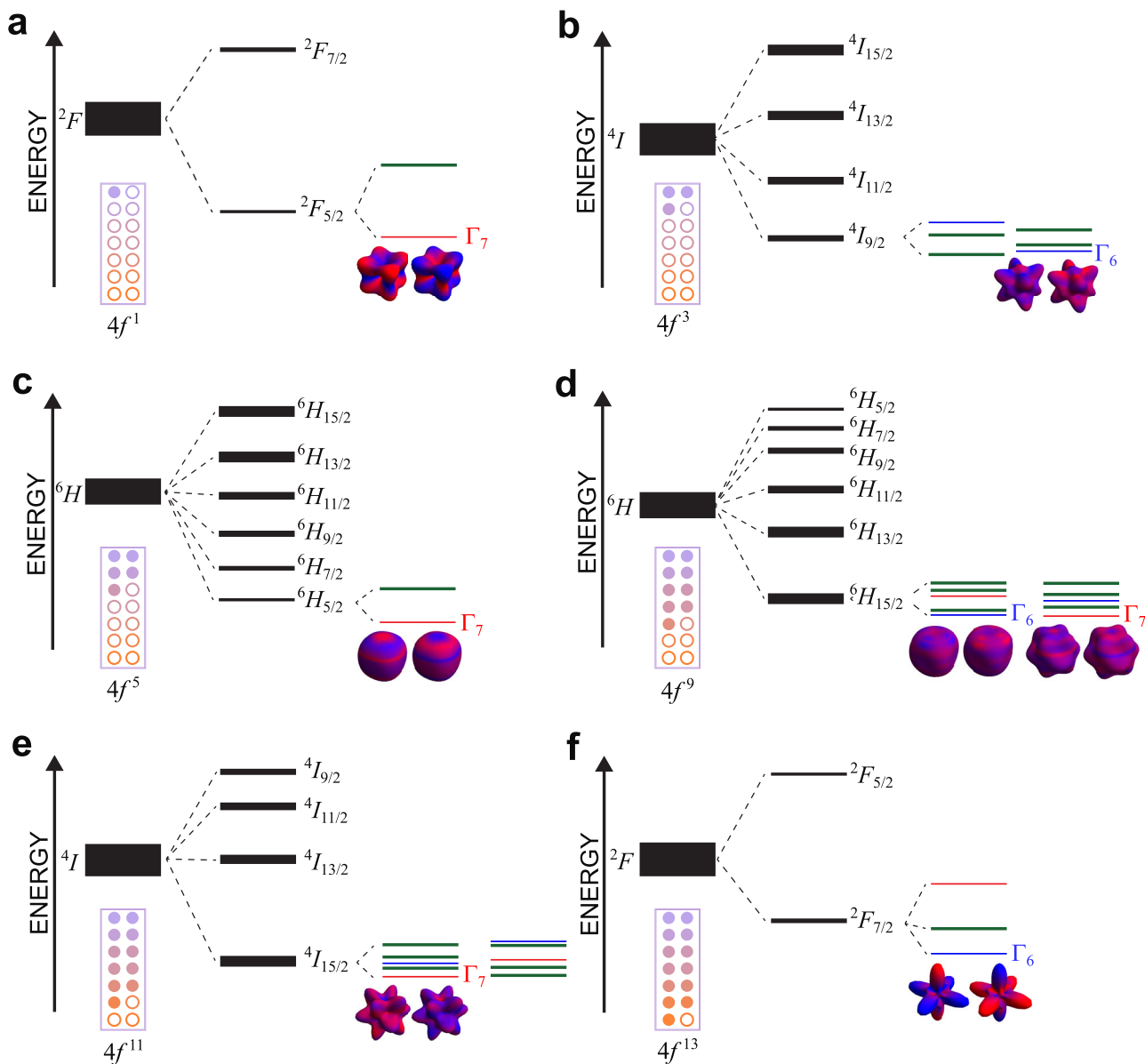


Fig. 2 | Schematic representation of multiplet splittings for $4f^n$ electron configurations with odd integers n (except for $n = 7$). a $4f^1$, b $4f^3$, c $4f^5$, d $4f^7$, e $4f^9$, and f $4f^{11}$ cases are represented. In each configuration, the ground-state multiplet $^{2S+1}L_J$, initially determined by the Coulomb interaction \mathcal{H}_{int} (left), undergoes splitting by the spin-orbit coupling \mathcal{H}_{SOC} , resulting in the ground-state multiplet $^{2S+1}L_J$ (middle). Subsequently, $^{2S+1}L_J$ is further split by the octahedral crystal field \mathcal{H}_{OCF} , leading to the formation of ground-state Kramer's doublets Γ_7 (in red) or Γ_6 (in blue) or

quartets Γ_6 (in thick green). In the cases of $4f^3$ in (b), $4f^9$ in (d), and $4f^{11}$ in (e), the ground state is contingent upon the parameters B_{40} and B_{60} governing \mathcal{H}_{OCF} ; we present two extreme cases of $B_{40} = 0$ (left) and $B_{60} = 0$ (right). The $4f^7$ case is not shown as the orbital is quenched. The corresponding wave functions for the Kramer's doublets are also depicted, with red and blue denoting spin-up and spin-down density profiles, respectively.

of freedom, one can introduce the operator $\mathbf{S} = (S^x, S^y, S^z)^T$ defined by

$$S^{\mu} = \mathbb{S} \begin{pmatrix} \langle +|j^{\mu}|+ \rangle & \langle +|j^{\mu}|- \rangle \\ \langle -|j^{\mu}|+ \rangle & \langle -|j^{\mu}|- \rangle \end{pmatrix} = \frac{1}{2} \sigma^{\mu}, \quad (1)$$

where $\mathbf{j} = (j^x, j^y, j^z)$ and $\boldsymbol{\sigma} = (\sigma^x, \sigma^y, \sigma^z)$ are the vectors of the total angular momentum operators and the Pauli matrices, respectively, and \mathbb{S} is a real scalar.

Effective exchange couplings

Subsequently, the program proceeds to determine the second-quantized representations with multiple f -orbital bases for $|4f^n\rangle \otimes |4f^n\rangle$ by the aforementioned Kramer's doublets, which is commonly used for the initial and final states of the perturbation. Additionally, it constructs the

representations for all conceivable intermediate states $|4f^{n-1}\rangle \otimes |4f^{n+1}\rangle$. The energy difference between the initial/final states and the intermediate states is determined by two key parameters in the Hamiltonian \mathcal{H}_{int} , namely, the onsite Coulomb interaction U and the Hund's-rule coupling J_H , as well as another in \mathcal{H}_{SOC} , namely, the SOC coefficient λ . For obtaining the values of U and λ , the Herbst-Wilkins table³³ and the Freeman-Watson table³⁴ are consulted, respectively. The parameter J_H is adjusted to achieve the alignment of energy differences between different multiplets according to the Dieke diagram³⁵; see Supplementary Note 1.

Given the representations and the excitation energies described above, J and K are calculated by employing the parallelization scheme for perturbation calculations spanning the intermediate states. For the hopping parameters, we adopt the Slater-Koster transfer integrals³², while changing the ratio $|t_{pfn}|/t_{pfo}$ between 0 and 1; we take $t_{pfn}/t_{pfo} < 0$. The results are

Table 1 | Ground-state multiplets and possible Kramers doublets for $4f^n$ electron configurations with odd integers n (except for $n = 7$)

$4f^n$	$2S+1L_J$	possible Kramers doublet	S
$4f^1$ (Ce ³⁺)	$^2F_{5/2}$	$\Gamma_7: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{\sqrt{6}}(-\sqrt{5} j = \frac{5}{2}, j^z = \mp \frac{3}{2}\rangle + j = \frac{5}{2}, j^z = \pm \frac{5}{2}\rangle)$	-3/5
$4f^3$ (Nd ³⁺)	$^4I_{9/2}$	$\Gamma_6: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{\sqrt{2}}(\sqrt{6} j = \frac{9}{2}, j^z = \mp \frac{7}{2}\rangle + 2\sqrt{21} j = \frac{9}{2}, j^z = \pm \frac{1}{2}\rangle + 3\sqrt{6} j = \frac{9}{2}, j^z = \pm \frac{9}{2}\rangle)$	3/11
$4f^5$ (Sm ³⁺)	$^6H_{5/2}$	$\Gamma_7: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{\sqrt{6}}(-\sqrt{5} j = \frac{5}{2}, j^z = \mp \frac{3}{2}\rangle + j = \frac{5}{2}, j^z = \pm \frac{5}{2}\rangle)$	-3/5
$4f^7$ (Dy ³⁺)	$^6H_{15/2}$	$\Gamma_6: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{24}(\pm\sqrt{195} j = \frac{15}{2}, j^z = \mp \frac{13}{2}\rangle \pm 3\sqrt{7} j = \frac{15}{2}, j^z = \mp \frac{7}{2}\rangle \pm 3\sqrt{33} j = \frac{15}{2}, j^z = \pm \frac{1}{2}\rangle \pm \sqrt{21} j = \frac{15}{2}, j^z = \pm \frac{9}{2}\rangle)$ $\Gamma_7: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{24}(\pm\sqrt{33} j = \frac{15}{2}, j^z = \mp \frac{11}{2}\rangle \pm 3\sqrt{13} j = \frac{15}{2}, j^z = \mp \frac{3}{2}\rangle \mp \sqrt{195} j = \frac{15}{2}, j^z = \pm \frac{5}{2}\rangle \mp \sqrt{231} j = \frac{15}{2}, j^z = \pm \frac{13}{2}\rangle)$	-1/5 3/17
$4f^{11}$ (Er ³⁺)	$^4I_{15/2}$	$\Gamma_7: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{24}(\pm\sqrt{33} j = \frac{15}{2}, j^z = \mp \frac{11}{2}\rangle \pm 3\sqrt{13} j = \frac{15}{2}, j^z = \mp \frac{3}{2}\rangle \mp \sqrt{195} j = \frac{15}{2}, j^z = \pm \frac{5}{2}\rangle \mp \sqrt{231} j = \frac{15}{2}, j^z = \pm \frac{13}{2}\rangle)$	3/17
$4f^{13}$ (Yb ³⁺)	$^2F_{7/2}$	$\Gamma_6: j_{\text{eff}} = \pm \frac{1}{2}\rangle = \frac{1}{6}(\mp\sqrt{15} j = \frac{7}{2}, j^z = \mp \frac{5}{2}\rangle \mp \sqrt{21} j = \frac{7}{2}, j^z = \pm \frac{1}{2}\rangle)$	-3/7

The ground-state multiplets $2S+1L_J$ are given by the Russell-Saunders coupling scheme, and the Kramers doublet Γ_7 or Γ_6 is further selected by the OCF \mathcal{H}_{OCF} . In the $4f^7$ case, the ground state can be either Γ_6 or Γ_7 depending on the crystal field parameters. See also Fig. 2. The exemplary ion is also presented for each case. For each Kramers doublet, the $j_{\text{eff}} = 1/2$ pseudospin state, where j (j^z) is the (secondary) total angular momentum quantum number, and the coefficient S in Eq. (1) are explicitly shown.

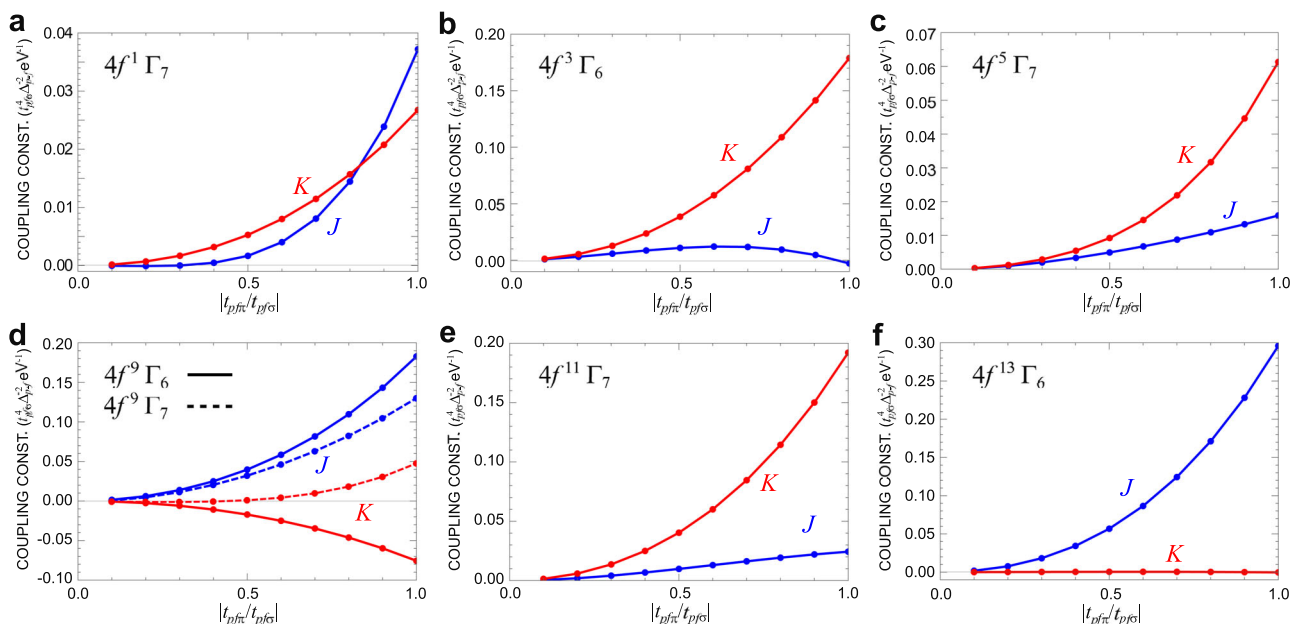


Fig. 3 | Two coupling constants, isotropic Heisenberg interaction J and anisotropic Kitaev interaction K , derived by the second-order perturbation for $4f^n$ - $4f^n$ electron configurations with odd integers n (except for $n = 7$). a $4f^1 \Gamma_7$, b $4f^3 \Gamma_6$, c $4f^5 \Gamma_7$, d $4f^9 \Gamma_6$ and Γ_7 , e $4f^{11} \Gamma_7$, and f $4f^{13} \Gamma_6$ cases are represented. The data are plotted for $|t_{pfn}|/|t_{pfo}|$; we take $t_{pfn}/t_{pfo} < 0$.

summarized in Fig. 3 for all $4f^n$ cases. In the $4f^1 \Gamma_7$ case (Fig. 3a), which includes 182 $4f^0$ - $4f^2$ intermediate states, our results emphasize the dominance of the AFM K over compatibly the subdominant AFM J in the wide range of $0 < |t_{pfn}|/|t_{pfo}| \leq 0.8$. This behavior aligns with the findings based on the first-principles calculations in refs. 30,31. The magnitudes of K and J both monotonically increase with $|t_{pfn}|/|t_{pfo}|$, which is a general trend seen also for most of the other cases below. In the $4f^3 \Gamma_6$ case with 182,182 $4f^2$ - $4f^4$ intermediate states (Fig. 3b), the intriguing scenario arises in which the AFM K overwhelmingly outweighs non-negligible AFM J ; this is particularly pronounced at $|t_{pfn}|/|t_{pfo}| \simeq 1.0$, where J almost vanishes. We also emphasize that K is one order of magnitude larger than that in the $4f^1$ case. Note that the coupling constants K and J are given in unit of $t_{pfn}^4 / t_{pfo}^2 \Delta_{p-f}^{-2} \text{ eV}^{-1}$; we will discuss the actual values later. In the $4f^5 \Gamma_7$ case with 6,012,006 $4f^4$ - $4f^6$ intermediate states (Fig. 3c), the AFM K becomes predominant compared to the subdominant AFM J in the entire region of $|t_{pfn}|/|t_{pfo}|$. In the $4f^9$ case (Fig. 3d), there are two cases, Γ_6 and Γ_7 , depending on the crystal field parameters,

both of which include 6,012,006 $4f^8$ - $4f^{10}$ intermediate states. In the Γ_6 case, K turns to be FM, while J remains AFM and predominant compared to K . Meanwhile, in the Γ_7 case, both K and J are AFM, while J is again predominant. In the case of $4f^{11} \Gamma_7$ (Fig. 3e), the trends mirror the electron-hole counterpart, the $4f^3 \Gamma_6$ case; the AFM K becomes far predominant compared to the AFM J , while J does not decrease for large $|t_{pfn}|/|t_{pfo}|$. We note that the magnitude of K is also large comparable to the $4f^3$ case. Finally, in the $4f^{13} \Gamma_6$ case (Fig. 3f), the result is quite different from the electron-hole counterpart, the $4f^1$ case; K is notably suppressed compared to the predominant AFM J . This is, however, consistent with the prior findings in ref. 36. It should be noted that the off-diagonal terms, referred to as Γ and Γ' , were found to be zero across all the cases since we omit the direct $4f$ - $4f$ electron hoppings in the present analyses.

The results in Fig. 3 highlight that, in the majority of instances, aside from the $4f^9$ and $4f^{13}$ cases, the AFM K prevails over the subdominant AFM J . This suggests a heightened propensity for robust Kitaev interactions within

diverse 4f-electron systems. This is more clearly demonstrated by plotting the ratio of $|K/J|$ in Fig. 4. Except for the $4f^6$ and $4f^{13}$ cases (and the $4f^7$ case for large $|t_{pfn}/t_{pfo}|$), $|K/J|$ is greater than 1, indicating the predominant Kitaev interactions. Interestingly, besides the $4f^7$ case, $|K/J|$ consistently exhibits monotonic increases with $|t_{pfn}/t_{pfo}|$. It is noteworthy that the substantial predominance of AFM K over AFM J is particularly viable, especially in the cases of $4f^6 \Gamma_6$ and $4f^{11} \Gamma_7$. In both scenarios, it is observed that $|K/J| > 4$ for $|t_{pfn}/t_{pfo}| \gtrsim 0.6$, which includes the realistic range of the parameters³⁷. In addition, the magnitude of K is considerably larger than in the other cases. To emphasize these prominent properties, we show the estimates of J , K , and $|K/J|$ in Table 2, assuming the typical values of the parameters as $t_{pfo} = 0.35$ eV, $t_{pfn}/t_{pfo} = -0.7$ ³⁷, and $\Delta_{p-f} = 1$ eV. Notably, for the $4f^6 \Gamma_6$ and $4f^{11} \Gamma_7$ configurations, it is demonstrated that $K = 1.21$ meV and 1.27 meV, respectively, which are one order of magnitude larger than the other cases, and furthermore, $K/J = 6.89$ and 5.21, signifying the substantial AFM K prevalence over the AFM J .

Candidate materials

Let us finally discuss candidate materials for the $4f^7$, $4f^6$, $4f^8$, and $4f^{11}$ cases where K dominates J in our calculations. First, for $4f^7$, the authors and their collaborators previously identified $A_2\text{PrO}_3$ ($A = \text{alkali metals}$) as potential Kitaev-type magnets with the conventional assumption in the Russell-Saunders coupling scheme whereby the ordering of energy scales is given as $\mathcal{H}_{\text{int}} > \mathcal{H}_{\text{SOC}} \gg \mathcal{H}_{\text{OCF}}$ ^{29,30}. However, the tetravalent Pr^{4+} ion is recently recognized to reside in the intermediate coupling regime $\mathcal{H}_{\text{SOC}} \sim \mathcal{H}_{\text{OCF}}$ ³⁸⁻⁴⁰. We have verified that in this regime the AFM K is reduced to be

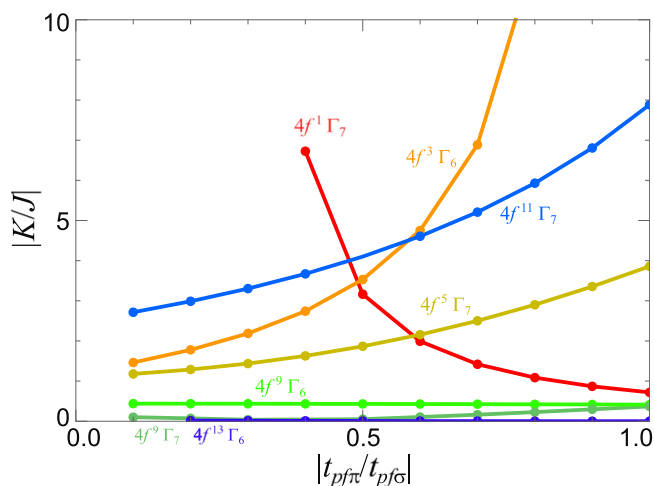


Fig. 4 | Ratio between the Kitaev and Heisenberg interactions, $|K/J|$, for different $4f^n$ cases. We exclude the data when $|K|$ or $|J|$ are extremely small: $|K| < 10^{-5} t_{pfo}^4 \Delta_{p-f}^{-2}$ eV⁻¹ and $|J| < 10^{-5} t_{pfo}^4 \Delta_{p-f}^{-2}$ eV⁻¹.

Table 2 | Plausible estimates of the isotropic Heisenberg interaction J , anisotropic Kitaev interaction K , and their ratio $|K/J|$ for various Kramers doublets in 4f-electron systems

	J (meV)	K (meV)	$ K/J $
$4f^7 \Gamma_7$	0.121	0.172	1.42
$4f^6 \Gamma_6$	0.176	1.21	6.89
$4f^8 \Gamma_7$	0.131	0.328	2.50
$4f^8 \Gamma_6$	1.22	-0.521	0.425
$4f^8 \Gamma_7$	0.954	0.156	0.164
$4f^{11} \Gamma_7$	0.244	1.27	5.21
$4f^{13} \Gamma_6$	1.87	0.00566	0.00303

We take $t_{pfo} = 0.35$ eV, $t_{pfn}/t_{pfo} = -0.7$ ³⁸, and $\Delta_{p-f} = 1$ eV.

subdominant, while the AFM J prevails⁴¹. Second, for $4f^6$, Nd³⁺-based materials are considered promising, although honeycomb lattice compounds with Nd³⁺ have not yet been identified to the best of our knowledge. This observation suggests avenues for additional materials design in the exploration of Nd³⁺-based Kitaev-type magnets. Third, for $4f^8$, SmI₃ has recently undergone experimental scrutiny as a potential host for the Kitaev QSL, given its absence of long-range spin magnetic order down to 0.1 K²⁴. Further experiments are awaited to identify the relevant magnetic interactions. Finally, for $4f^{11}$, Er³⁺-based van der Waals magnets ErX₃ ($X = \text{Cl, Br, I}$) were studied^{26,27}. These materials have similar lattice structures to that of the prime candidate for the Kitaev QSL, $\alpha\text{-RuCl}_3$, and were shown to exhibit noncollinear vortex-type magnetic orders. A recent experiment for ErBr₃ discussed the relevance of long-range dipolar interactions⁴². However, note that similar vortex-like magnetic orders were also found in extensions of the Kitaev model^{30,43,44}. It would be intriguing to revisit the Er³⁺-based materials by using ab initio approaches.

Discussion

Our comprehensive approach, leveraging a parallel computational program capable of massive-scale second-order perturbation calculations, has provided insights into the nature of exchange interactions in rare-earth quasi-two-dimensional honeycomb lattices. The observed dominance of the anisotropic Kitaev interaction over the isotropic Heisenberg interaction in certain cases, particularly for $4f^6$ and $4f^{11}$ configurations, opens new avenues for investigating the Kitaev-type QSL. In particular, our results highlight Nd³⁺ and Er³⁺-based magnets as plausible candidates for the Kitaev QSL. The developed computational program extends its utility beyond the Kitaev model, which would address a wide range of exchange interactions in 4f-electron systems. Particularly when used in conjunction with ab initio calculations, which allow for an in-depth numerical analysis of parameters for crystal fields and electron hoppings, the program will more accurately describe spin systems in 4f-electron systems, including the effects of not only direct 4f-4f direct hopping but also charge-transfer processes and cyclic exchanges^{14,45}, which will be explored in subsequent researches. We also note that, although we demonstrated the simplest cases of OCF in this study, the program is applicable to any perturbation problem for various types of crystal fields with lower symmetry. This work not only contributes to advancing our understanding of rare-earth Kitaev-type materials but also lays the groundwork for future exploration of exotic magnetism in this intriguing field of research.

We note that computational speed could be significantly enhanced by separating $c_{im\sigma}^\dagger$ and $c_{i'm'\sigma}$ in \mathcal{H}_{hop} ⁴⁶, given that the site indices i and i' pertain exclusively to subspaces with $4f^{n+1}$ and $4f^{n-1}$ configurations, respectively [see equations (12) and (13)]. This strategy would reduce the size of the perturbation calculations from $2 \binom{14}{n-1} \binom{14}{n+1}$ (considering $|4f^{n-1}\rangle \otimes |4f^{n+1}\rangle$) to $14 \left(\binom{14}{n-1} + \binom{14}{n+1} \right)$ (considering $|4f^{n-1}\rangle$ and $|4f^{n+1}\rangle$ separately). For example, at $n = 6$, this approach would decrease the size from 6,012,066 to 76,076, demonstrating a substantial reduction that warrants testing in future studies.

Methods

Coulomb interactions

The Hamiltonian \mathcal{H}_{int} describing the Coulomb interactions between f electrons is given by

$$\mathcal{H}_{\text{int}} = \sum_i \sum_{m_1, m_2, m_3, m_4} \sum_{\sigma_1, \sigma_2} \delta_{m_1+m_2, m_3+m_4} \sum_{k=0,2,4,6} F^k C^{(k)}(m_1, m_4) C^{(k)}(m_2, m_3) c_{im_1\sigma_1}^\dagger c_{im_2\sigma_2}^\dagger c_{im_3\sigma_2} c_{im_4\sigma_1}, \quad (2)$$

where F^k and $C^{(k)}$ denote the Slater-Condon parameters and the Gunt coefficients, respectively ($k = 0, 2, 4, 6$); δ is the Kronecker delta; $c_{im\sigma}^\dagger$ and $c_{im\sigma}$ represent creation and annihilation operators of an electron at site i in the spherical harmonics basis, respectively (m and $\sigma = \pm 1$ denote the magnetic and spin quantum numbers, respectively). Here, the Slater-Condon

parameters are related with the onsite Coulomb interaction U and the Hund's-rule coupling J_H as^{47,48}

$$U = F^0, \tag{3}$$

$$J_H = \frac{1}{6435} (286F^2 + 195F^4 + 250F^6). \tag{4}$$

\mathcal{H}_{int} is diagonalized using the lowering operators of orbital and spin angular momenta, L^- and S^- , respectively, both of which commute with \mathcal{H}_{int} :

$$L^- = \sum_i \sum_{m_1, m_2} \sum_{\sigma} \delta_{m_1, m_2+1} \sqrt{(\ell + m + 1)(\ell - m)} c_{im_1\sigma}^\dagger c_{im_2\sigma}, \tag{5}$$

where ℓ is the orbital quantum number taken as $\ell = 3$ for the f -orbital manifold, and

$$S^- = \sum_i \sum_m \sum_{\sigma_1, \sigma_2} \delta_{\sigma_1, \sigma_2+1} \sqrt{\left(\frac{1}{2} + \frac{\sigma_2}{2} + 1\right) \left(\frac{1}{2} - \frac{\sigma_2}{2}\right)} c_{im\sigma_1}^\dagger c_{im\sigma_2}. \tag{6}$$

A leading eigenvector of the ground-state multiplet $^{2S_1+1}L_1$ with L_1 (S_1) being the largest L (S) for $4f^n$ electron configuration is given as $\underbrace{c_{i,\ell+1}^\dagger \cdots c_{i,\ell-n+1}^\dagger}_{n} |0\rangle$, where $|0\rangle$ denotes the vacuum state. Given this

leading eigenvector, all the eigenvectors expanded within the $^{2S_1+1}L_1$ subspace can be derived by successively applying either L^- or S^- to the leading eigenvector. Then, the leading eigenvector for the multiplet $^{2S_1+1}L_2$, where L_2 is the second-largest L , is constructed as a vector orthogonal to the eigenvector within the $^{2S_1+1}L_1$ subspace with the expectation values of L^z and S^z being L_2 and S_1 , respectively:

$$L^z = \sum_i \sum_m \sum_{\sigma} m c_{im\sigma}^\dagger c_{im\sigma}, \tag{7}$$

$$S^z = \sum_i \sum_m \sum_{\sigma} \frac{\sigma}{2} c_{im\sigma}^\dagger c_{im\sigma}. \tag{8}$$

Similarly, all the eigenvectors within the $^{2S_1+1}L_2$ subspace can be derived by successively applying either L^- or S^- to the leading eigenvector. This process, involving the application of either L^- or S^- to the leading eigenvector with orthogonality, is repeated for the remaining subspaces as well. Finally, we assess the numerical validity of the derived eigenvectors by examining their orthogonality and verifying the absence of nonzero off-diagonal elements in \mathcal{H}_{int} .

Spin-orbit coupling

The Hamiltonian \mathcal{H}_{SOC} describing the effect of the SOC is given by

$$\mathcal{H}_{\text{SOC}} = \sum_i \mathcal{H}_{\text{SOC},i}, \tag{9}$$

where

$$\mathcal{H}_{\text{SOC},i} = \frac{\lambda}{2} \sum_{m=-\ell}^{\ell} \sum_{\sigma} m \sigma c_{im\sigma}^\dagger c_{im\sigma} + \frac{\lambda}{2} \sum_{m=-\ell}^{\ell-1} \sqrt{\ell + m + 1} \sqrt{\ell - m} (c_{im+1}^\dagger c_{im+} + c_{im+}^\dagger c_{im+1-}), \tag{10}$$

where $\lambda > 0$ is the SOC coefficient.

\mathcal{H}_{SOC} is diagonalized using the lowering operator of total angular momentum, F^- , which commutes with \mathcal{H}_{SOC} : $F^- = L^- + S^-$. A leading eigenvector of the $^{2S+1}L_{J_1}$ with J_1 being the largest $J (= L + S)$ is given as the

eigenvector with the expectation value of $F^z (= L^z + S^z)$ being J_1 . All the eigenvectors within the $^{2S+1}L_{J_1}$ subspace can be derived by successively applying either F^- to the leading eigenvector. Then, the leading eigenvector of the $^{2S+1}L_{J_2}$, where J_2 is the second-largest $J_2 (= L + S - 1)$, is constructed as a vector orthogonal to the eigenvector within the $^{2S+1}L_{J_1}$ subspace with the expectation values of F^z being J_2 . This process, involving the application of F^- to the leading eigenvector with orthogonality, is repeated for the remaining subspaces as well. Finally, we assess the numerical validity of the derived eigenvectors by examining their orthogonality and verifying the absence of nonzero off-diagonal elements in \mathcal{H}_{SOC} . We also confirm that this approach using L^- and S^- for \mathcal{H}_{int} and F^- for \mathcal{H}_{SOC} yields the same eigenvectors and eigenvalues for the $4f^2$ electron configuration as those obtained using the Wigner 3- j symbols³⁰.

Octahedral crystal field

The Hamiltonian \mathcal{H}_{OCF} describing the octahedral crystal field is given by

$$\mathcal{H}_{\text{OCF}} = B_{40} O_4 + B_{60} O_6, \tag{11}$$

where $O_4 = O_{40} + 5O_{44}$ and $O_6 = O_{60} - 21O_{64}$. O_{rs} ($s = -r, -r + 1, \dots, r$) are the rank- r Stevens operators⁴⁹, and B_{40} and B_{60} are the coefficients.

Electron hopping

The Hamiltonian \mathcal{H}_{hop} describing the kinetic energy of electron hopping via indirect $4f$ - p - $4f$ hopping processes is given by

$$\mathcal{H}_{\text{hop}} = \sum_{\mu} \sum_{(i,i')_{\mu}} \mathcal{H}_{\text{hop},i,i'}^{(\mu)}, \tag{12}$$

where $\mathcal{H}_{\text{hop},i,i'}^{(\mu)}$ denotes the electron hopping between nearest-neighbor sites i and i' on the μ bond ($\mu = x, y$, and z) as

$$\mathcal{H}_{\text{hop},i,i'}^{(\mu)} = \sum_{m,m'} \sum_{\sigma=\pm} \left(\sum_{o,p} \frac{t_{im,op,\sigma} t_{i'm',op,\sigma}}{\Delta_{p-f}} c_{im\sigma}^\dagger c_{i'm'\sigma} + \text{h.c.} \right). \tag{13}$$

$t_{iu,op,\sigma}$ is the transfer integral for spin σ between $4f$ orbital u at site i and p orbital p ($= x, y$, and z) at one of two ligand sites o ($= 1$ and 2) shared by two RX_6 octahedra for the sites i and i' , and Δ_{p-f} is the energy difference between p and $4f$ orbitals. For $t_{im,op,\sigma}$ and $t_{i'm',op,\sigma}$, we refer to ref. 33.

Perturbation expansion

The effective Hamiltonian for a pair of $j_{\text{eff}} = 1/2$ pseudospins for nearest-neighbor sites i and i' on a μ bond is calculated by

$$h_{i,i'}^{(\mu)} = \sum_{a,b,c,d=\pm} \sum_n \frac{\langle c, d | \mathcal{H}_{\text{hop},i,i'}^{(\mu)} | n \rangle \langle n | \mathcal{H}_{\text{hop},i,i'}^{(\mu)} | a, b \rangle}{E_0 - E_n} |c, d\rangle \langle a, b|. \tag{14}$$

where $|a, b\rangle$ and $|c, d\rangle$ are the initial and final two-site states with $4f^n$ - $4f^n$ electron configurations described in Table 1 at each site, and $|n\rangle$ is the intermediate states with $4f^{n+1}$ - $4f^{n-1}$ electron configurations; E_0 is the energy for the initial and final states, while E_n is for the intermediate state $|n\rangle$.

Data availability

The data that support the findings of this study are available at https://github.com/JerryGarcia1995/SQPerturbation/blob/main/results_raw_data.zip.

Code availability

The code that supports the findings of this study is available at <https://github.com/JerryGarcia1995/SQPerturbation>.

Received: 3 April 2024; Accepted: 7 September 2024;

Published online: 18 September 2024

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Acknowledgements

The authors thank S. Bae and R. Okuma for informative discussions. Parts of the numerical calculations have been done using the facilities of the Supercomputer Center, the Institute for Solid State Physics, University of Tokyo. This work was supported by JST CREST (Grant No. JP-MJCR18T2), and JSPS KAKENHI Grant Nos. 19H05825 and 20H00122.

Author contributions

Seong-Hoon Jang designed the study and wrote the code and the manuscript. Yukitoshi Motome supervised the project and revised the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information The online version contains supplementary material available at <https://doi.org/10.1038/s43246-024-00634-w>.

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Peer review information *Communications Materials* thanks the anonymous reviewer(s) for their contribution to the peer review of this work. A

peer review file is available. Primary handling editors: Oleksandr Pylypovskiy and Aldo Isidori.

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