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Alpha lipoic acid diminishes OPEN migration and invasion in hepatocellular carcinoma cells through anAMPK‑p53 axis

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Hepatocellular carcinoma (HCC) associated with viral or metabolic liver diseases is a growing cancer without efective therapy. AMPK is downregulated in HCC and its activation diminishes tumor growth. Alpha lipoic acid (ALA), an indirect AMPK activator that inhibits hepatic steatosis, shows antitumor efects in diferent cancers. We aimed to study its putative action in liver-cancer derived cell lines through AMPK signaling. We performed cytometric studies for apoptosis and cell cycle, and 2D and 3D migration analysis in HepG2/C3A and Hep3B cells. ALA led to signifcant inhibition of cell migration/invasion only in HepG2/C3A cells. We showed that these efects depended on AMPK, and ALA also increased the levels and nuclear compartmentalization of the AMPK target p53. The antiinvasive efect of ALA was abrogated in stable-silenced (shTP53) versus isogenic-TP53 HepG2/C3A cells. Furthermore, ALA inhibited epithelial-mesenchymal transition (EMT) in control HepG2/C3A but not in shTP53 nor in Hep3B cells. Besides, we spotted that in patients from the HCC-TCGA dataset some EMT genes showed diferent expression patterns or survival depending on TP53. ALA emerges as a potent activator of AMPK-p53 axis in HCC cells, and it decreases migration/invasion by reducing EMT which could mitigate the disease in wild-type TP53 patients.

Keywords AMPK, EMT, Hepatocarcinoma, Migration, Signaling, TP53

Hepatocellular carcinoma (HCC) is one of the main causes of death by cancer and current surgical or chemotherapeutic treatments fail in improving survival in most of the patients¹. HCC is generally associated with an underlying chronic liver disease such as viral hepatitis, alcoholic cirrhosis or metabolic associated fatty liver disease. The latter is an increasing cause of pathology in occidental countries^{[2](#page-12-1)}. Carcinogenic transformation develops on these infammatory diseases by producing dysplastic nodules that evolve into neoplastic foci with great molecular heterogeneity, to which a high intra and extra hepatic metastatic capacity is added making HCC a very aggressive cancer^{[3,](#page-12-2)[4](#page-12-3)}. In this regard, to find new treatments that reduce or even prevent the rapid progression of HCC is a pressing matter of research. AMP activated kinase (AMPK) is a hetero trimer constituted by α, β and γ subunits, whose catalytic α subunit, in response to energy stress, is phosphorylated and activated by upstream kinases like LKB1 and it is stabilized by increased levels of AMP that bounds to γ subunit⁵. AMPK activation is both a hierarchical and a compartmentalized process that depends on the stress level, and where diferent isoforms of AMPK subunits form active complexes with diferent proteins in lysosomes, mitochondria and nucleus^{[6,](#page-12-5)[7](#page-12-6)}. Activation of AMPK in different types of cancer cells induces distinct antitumor effects including decrease in cell survival or reduction of invasiveness ${}^{8-11}$, which support it as an eligible target for therapy, at least in the initial states of the transformation process when AMPK would act as a tumor suppressor $12,13$ $12,13$. Moreover, given that during energy stress the efects of the tumor suppressor p53 are intertwined with the LKB1-AMPK axis as both a target and activator, the role of p53 in the therapeutic activation of this pathway should be con-sidered, for a review see^{[14,](#page-12-11)15}.

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In HCC patients, active AMPK is decreased in tumor in comparison to neighboring tissue, and AMPK activation has been suggested as an effective antitumor strategy in mice^{[16](#page-12-13),[17](#page-12-14)}. In previous reports, we found that in HCC-derived cells different ways of activating AMPK, such as glucose restriction¹⁸ and metformin, not only induce cell death but they early inhibit migration and invasion¹⁹.

Alpha lipoic acid (ALA), a natural compound that is a physiological cofactor of mitochondrial pyruvate dehy-drogenase (PDH), was studied as a possible anticancer drug in different types of cancer cells^{[20](#page-12-17),[21](#page-12-18)}. ALA induces mitochondrial dysfunction and cytotoxicity in various tumor cells where it is able to activate AMPK and, in some cases, ALA also decreases invasion through AMPK-p53 axis^{[20](#page-12-17),[22](#page-12-19)}. It is attractive to determine if this AMPK activator leads to any AMPK mediated anticarcinogenic actions in HCC cells, given that former studies show induction of apoptosis by ALA in HCC cell lines without clarifying other possible antitumor effects^{[23](#page-12-20)}. Interest-ingly, ALA activates hepatic AMPK in rat steatosis, and it decreases lipogenesis^{[24](#page-12-21),[25](#page-12-22)}. We hypothesize that ALA can induce diferent antitumor events through AMPK signaling in HCC cells as well. Tis therapeutic scenario would be very promising because of the putative multiple actions of ALA in metabolic or neoplastic liver disease.

The control of epithelial-mesenchymal transition (EMT) in the carcinogenic and pre carcinogenic liver is a potential target to stop HCC progression²⁶. p53 has been postulated as an unequivocal regulator of the stability of EMT transcription factors in diverse tumors^{[27](#page-12-24),[28](#page-12-25)}. Therefore, it is interesting to explore if ALA could modulate these functions in HCC cells via p53.

In this study we tried to analyze the efect of ALA not only in the viability but also in the invasiveness of HCC cells, and to investigate if AMPK and its target p53 could be involved. For this purpose, we studied the response to ALA in diferent liver-cancer derived cell lines with diferent AMPK and p53 status.

Methods

Cell culture and genetic manipulations

Liver cancer cell lines C3A (HepG2/C3A, a clonal derivative of HepG2, ATCC, Manassas, VA), and Hep3B (Hep 3B2.1-7, ATCC) were grown with 4.5 g/L glucose DMEM (Gibco, Thermo Fisher Scientific, Waltham, MA), plus 10% FBS and 100 U/ml penicillin and streptomycin (Invitrogen, Waltham, MA). Cells were cultured in a humidified incubator at 37°°C with 5% $CO₂$ and tested periodically for mycoplasma by PCR.

When indicated, alpha lipoic acid (0.5 or 1 mM, Santa Cruz Biotechnology Inc., Santa Cruz, CA) was added.

For reducing AMPKα1 protein expression in C3A cells, 21 nucleotide duplexes targeting two specifc sequences and a scrambled control were designed and synthesized by Ambion SilencerTMsiRNA (Ambion, Thermo Fisher Scientific). The target sequences were AMPKα1 1842-1864: AACATTTCTGCATATTAGGCT CCTGTCTC and 2659-2681: AAGAGCTGAGTTGCATATACTCCTGTCTC, as we previously reported¹⁹. Experiments with silenced cells were carried out 24-48 h after transfection using siPORT NeoFX (Ambion, Thermo Fisher Scientifc) with the Negative Control siRNA (Ambion) or the synthesized siRNAs against AMPKa1, and decrease (60 to 80%) in AMPKα expression for both duplexes was confrmed by immunoblotting.

For stable knockdown of p53 in C3A cells, transduction with retrovirus-based plasmids harboring the following specifc and control shRNAs were carried out: shp53 (GACUCCAGUGGUAAUCUAC), shp53-3′UTR (GGUGAACCUUAGUACCUAA), and control shLacZ (GUGACCAGCGAAUACCUGU), as we previously described²⁹. Plasmid DNA was prepared using the Wizard DNA purification kit (Promega, Madison, WI). p53 diminution (80–90%) was confrmed by qRT-PCR.

Colony formation assay

Low density cell inoculum (1000 cells) was seeded in 35 mm dishes of 6-well plates and grew up to 15 days to allow the formation of visible colonies. Afer washing the colonies were fxed with cold methanol and toluidine blue staining was performed for 10 min. Afer removing the excess of colorant, the dishes were photographed and the number of colonies were counted and compared.

MTT assay

HCC cells were plated at the same density by triplicate in 96-well microplates grown for 16, 24, 48 and 72 h, and incubated 2 h with methylthiazolyldiphenyl-tetrazolium bromide (MTT, Sigma Chemical Co., St Louis, MO). The metabolite produced from viable cells was dissolved in DMSO and detected at 540 nm in a microplate reader (Beckman Coulter LD400), as we describe[d19](#page-12-16). Results were expressed as percentage of absorbance in cells at 0 time.

Annexin V/propidium iodide assay

Annexin V assay (BD Biosciences, San José, CA) was used following the manufacturer recommendations and the samples were prepared as we previously described¹⁸. Briefly, after 48 h of treatments, homogenization in the medium and harvest, 100,000 cells were gently re-suspended and phosphatidylserine externalization and cell death was detected by staining with Annexin V-FITC and PI, respectively. The stained cells were subjected to fow cytometric analysis (Cell Sorter BD FACSAria II, BD Biosciences).

Cell cycle analysis

The proportion of cells in each cell cycle population at 48 h of culture in different conditions was analyzed by determining the cellular DNA content by fow cytometry (Cell Sorter BD FACSAria II, BD Biosciences), as we previously described^{[18](#page-12-15)}. In brief, 1×10^6 cells were fixed in 70% ethanol, washed and stained with 50 µg/ml propidium iodide (Sigma Chemical Co.) in a solution containing 0.1% sodium citrate, 0.02 mg/ml RNAse, and 0.3% NP-40. WinMDi and Cylchred sofwares were used for data analysis.

Wound healing assay

Collective cell migration was determined by wound healing assay as we previously described 30 , with slight modifications. Briefly, cells were plated (6-well plates) at 1.5×10^6 cells/ml and growth for 24 h. Monolayers were wounded by dragging a 200 μl pipette tip, washed and treated. Captures of microscopy images (Zeiss Axiovert 25) of wounds were obtained at 0 and 24 h afer wounding. Lineal advance of the wound front (μm) was assessed by using ImageJ.

Invasion studies

For invasion assays, transwell chambers (Biofl, Beijing, China) with 8 μm pore size polyester membrane flters were coated with 250 μg/ml Matrigel (Corning, Corning, NY). Suspension of cells (1×10^5) in DMEM containing 1% FBS were plated into the upper chamber, and the lower chamber was flled with complete DMEM medium containing 10% FBS. Cells were treated for 48 h and afer this period those cells that reached the lower chamber were fxed with methanol, stained with 1% toluidine blue-1% borax for 5 min, and counted by microscopy captures, as we previously described 19 .

Immunofuorescence microscopy

Cells were grown on coverslips, treated for 24 h and, at the end of experiments, fxed, permeabilized and blocked with 0.3% Triton X-100-1% albumin. Then, cell samples were incubated with anti p53 (sc-126, Santa Cruz Biotechnology), washed, incubated with anti-mouse secondary antibody (Invitrogen) and then incubated for nuclei staining with 4′,6-diamidino-2-phenylindole (DAPI, Molecular Probes, Eugene, OR), and mounted with ProLong (Molecular Probes). Fluorescence was detected by a confocal microscopy (Nikon C1SiR with inverted microscope Nikon TE200). Nuclear intensity of p53 staining was assessed in the Z-stack projections by using ImageJ tools.

Nuclear and cytosolic subcellular preparations

Nuclear and cytosolic fractionation was carried out from C3A and Hep3B cells afer culture in the indicated conditions by sequential lysis with cytosol and nuclear extraction bufer solutions. Briefy, cells cultured in 10 cm plates were washed with saline and scrapped with 500 μl of a bufer solution containing 10 mM HEPES (pH 7.8), 10 mM KCl, 0.1 mM EDTA, 0.4% NP-40, 1 mM DTT and protease inhibitors. The lysates were centrifuged at 15,000 \times *g* and 4 \degree for 3 min and the supernatants (cytosolic fraction) were stored in new tubes. The pellets were resuspended in 150 μl of a bufer solution containing 20 mM HEPES (pH 7.8), 0.4 M NaCl, 1 mM EDTA, 10% glycerol, 1 mM DTT and protease inhibitors, and incubated at 0° for 2 h with 15 s-cycle of vortexing every 15 min. The resulting lysates were centrifuged at 15,000 \times *g* and 4° for 5 min and the supernatants (nuclear fraction) were stored in new tubes.

Western blotting

Cell lysates were obtained afer scrapping and washing the cultured cells subjected to the indicated treatments during the indicated time. The harvest cells were incubated in 100 μl of ice cold RIPA buffer with protease and phosphatase inhibitors for 30 min and sonicated. Protein concentration was determined by the Lowry's method. Equal amounts of total protein (30–50 μg) were loaded, resolved by electrophoresis on SDS–polyacrylamide gels³¹, and transferred to polyvinylidene difluoride membranes (Perkin Elmer Life Sciences, Boston, MA, USA). Molecular weights were estimated using "rainbow" molecular weight markers. The membranes were blocked with 5% non-fat milk/0.3% Tween/PBS, washed and incubated O.N. with each primary antibody [α tubulin, β actin (AC-74) (T5168, A2228 Sigma Chemical Co.); cyclin D1 (EPR2241) (ab 134175 Abcam, Waltham, MA 02453); p53(DO-1), GAPDH (FL-335), histone H1 (AE-4) (sc-126, sc-25778, sc-8030 Santa Cruz Biotechnology); AMPKα, Phospho-AMPKα (Tr172), SNAIL/C15D3 (2532, 2535, 3879 Cell Signaling Technology); or E-cadherin (610182 BD Biosciences)]. Afer incubation of the membranes with the appropriate secondary antibody, the bands were detected by chemiluminescence (Amersham Pharmacia Biotech, Piscataway, NJ).

Real‑time quantitative PCR

cDNA was obtained from Trizol (Invitrogen) extracts by retrotranscription with retrotranscriptase M-MLV (Promega) following the manufacturer conditions, and real-time PCR was performed using SYBR Green PCR master mix (Promega) according to the following conditions: 2 min at 95º℃ for one cycle; and 30 s at 95º℃, 20 s at 60º℃, 30 s at 72º℃ for 40 cycles. Results were analyzed using the comparative Ct method. Values were normalized to *GAPDH* expression. The following real-time PCR forward and reverse primers were used, respectively: *TP53*: CTCCTCTCCCCAGCCAAAGA, GGAACATCTCGAAGCGCTCA; *CDH1*: AAGAAGCTGGCT GACATGTACGGA, CCACCAGCAACGTGATTTCTGCAT; *VIM*: CCCTGCAATCTTTCAGACAG, ATCTGA GCCTGCAGCTCCT; *SNAI1*: CCAGAGTTTACCTTCCAGCAGCC, CAGGACAGAGTCCCAGATGAGCAT; *GAPDH*: TCTCTGCTCCTCCTGTTC, GCCCAATACGACCAAATCC.

Bioinformatic analyses

RNAseq data from HCC patients (371 tumor and 50 non tumor samples) were obtained from TCGA datasets accessible from [http://gdac.broadinstitute.org/.](http://gdac.broadinstitute.org/) Data were organized and processed for diferential gene expression (DGE) analysis for a selected group of EMT related genes with EDAseq and edgeR, respectively. Other packages from tydiverse were used for data management. Mean log2 fold change (log2FC), p, and false discovery rate (FDR) values were obtained. Values of log2FC>1 or<1, and −log2FDR>1 (FDR<0.01) were set as cutofs. A heatmap plot from the 50 patients with paired tumor and non-tumor samples was performed for the indicated genes using complexHeatmap, and the results were split in two groups by the status of *TP53* gene. In the same dataset a comparison of the distribution of frequencies of log2FC values for each gene was performed between those patients with wild type (n = 37) or mutant *TP53* (n = 13) using the Kolmogorov–Smirnov test. This analysis was performed with SAS Studio, and a p-value <0.05 was considered statistically significant.

Further expression profles of the genes studied from the same TCGA patients were analyzed through the tools of the UALCAN data portal [\(http://ualcan.path.uab.edu](http://ualcan.path.uab.edu)). These tools match expression and clinical data allowing comparison of expression levels in diferent groups of patients, as cancer stages and others. Data processing and statistical analysis were described by the authors³². In addition, expression plus survival assays of these genes from the same dataset of TCGA were also analyzed with the visualization tools of Te Human Protein Atlas [\(www.proteinatlas.org\)](http://www.proteinatlas.org).

For those groups of patients with low or high expression (below or above the median) of the selected genes with wild type or mutant *TP53* survival analysis were performed using survival and survminer R tools. p<0.05 was set as a signifcant diference among the Kaplan-Meier curves. When it was necessary, hazard ratios (HR) were calculated and represented as a forest plot and the p value for HR between the reference group versus each of the others was obtained.

Statistical analysis

In all the studies except for bioinformatics, data were expressed as mean±S.E.M. and statistical comparisons were made by using Student t test. $p < 0.05$ was considered statistically significant.

Results

Changes in cell viability and growth in response to ALA

ALA is well established as an oxidative stress and apoptosis inductor in HCC cells at a dose of 0.5 mM²³, as occurs in different cancer cells^{[20](#page-12-17)}. We explored the effects of this low millimolar level of ALA in HCC derived cells: Both 0.5 and 1 mM concentrations led to decreases in the number of viable cells, but the lower concentration had no signifcant efect below 48 h. At 48 the viability of cells treated with 0.5 mM ALA decreased by 20% compared to untreated cells, as observed by MTT assay in C3A cells (Fig. [1A](#page-4-0)), and similarly in Hep3B cells (data not shown). A marked diminution in colony formation was induced by ALA already at 0.5 mM suggesting a loss in the ability of treated cells to form clonal colonies (Fig. [1B](#page-4-0)). To better characterize these phenomena, we performed cell cycle and cell death analyses in C3A and Hep3B cells. A 48-h treatment with 0.5 mM ALA arrested cell cycle at G0/G1 (Fig. [1](#page-4-0)C), which was in accordance with a signifcant decrease in the levels of cyclin D1 in both cell lines (Fig. [1](#page-4-0)E). In addition, cytometric analysis of apoptotic populations by AnnexinV/PI staining in HCC derived cells showed that total apoptotic cells (Annexin V positive) signifcantly increased by 30 or 80% afer 48 h treatments with 0.5 or 1 mM ALA, respectively. Those cells dead by apoptosis (double positive) reached nearly 8 or 12% of the cells in the presence of 0.5 or 1 mM ALA afer 48 h, respectively (Fig. [1D](#page-4-0)). Altogether, results corroborated that ALA had diverse antitumor efects in HCC cells: a proapoptotic and a considerable cytostatic action refected in the inhibition of anchorage independent growth.

ALA impaired migration and invasion in wild type p53‑HCC cells

When migratory capacity and invasiveness of C3A and Hep3B cells were analyzed at a dose of 0.5 mM, which showed minor efects in viability, we found that, in HepG2/C3A cells (wild type TP53), 2D migration in the wound front along 24 h decreased by 40% in ALA treated versus control cells. Conversely, migration in Hep3B cells (TP53 deletion) was the same irrespectively of ALA treatment (Fig. [2](#page-5-0)A). In addition, invasion studies showed that invasion through matrigel coated transwells was signifcantly diminished by a half of the control values in C3A cells treated with 0.5 mM ALA, whilst under this treatment invasion of Hep3B cells was maintained without significant changes (Fig. [2B](#page-5-0)). These results suggested that a distinct response of the migratory function to ALA could be attributed to the diferent genetic background of the cells.

The anti‑migratory efect of ALA was completely dependent on AMPK activation

In order to deepen in the mechanism of the striking efect of ALA in migration and invasion of HCC cells that express the AMPK target p53*,* we evaluated the role of AMPK signaling in the decrease of these migratory functions. First, we detected AMPK activation in these HCC cells subjected to ALA treatment. ALA increased the levels of pAMPK in both HepG2/C3A and Hep3B cells (Fig. [3A](#page-8-0)), and this in the case of cells with wild type p53 was accompanied by a prominent augment of total p53 protein levels and also of its nuclear localization (Fig. [3A](#page-8-0),[B](#page-8-0)). In fact, nuclear p53, detected by fuorescent microscopy or biochemically, increased afer ALA treatment around ffy percent, in contrast to its cytosolic diminution, as depicted in Fig. [3](#page-8-0)B (right), this suggesting an augment of the activation and translocation of the protein.

In turn, we analyzed the efect of the knockdown of the catalytic subunit of AMPK in HepG2/C3A cells (Fig. [3](#page-8-0)C, upper lef) on the migratory response and observed that this signifcantly blocked the anti-migratory efect of 0.5 mM ALA in C3A cells (Fig. [3](#page-8-0)C). In association with this, the silencing of AMPKa abrogated the increase in total p53 levels in ALA treated cells (Fig. [3D](#page-8-0)).

Migration‑Invasion in cell lines with diminished expression of p53

To determine the precise contribution of wild type p53 in the reduction of migration/invasion in HCC cells by ALA, we constructed from HepG2/C3A cells a control (shLacZ) and two stable retroviral clones with impaired expression of p53 (shTP53 and shTP53-3′UTR). Te silenced cell lines showed more than 80%-reduction of *TP53* transcription checked by qPCR (Supp. Fig. 1A). ALA (0.5 mM) efect was analyzed in these cells at the level of migration in wound healing assay and invasion through matrigel coated transwells. ALA treatment decreased by 60% migration in control cells, and the knockdown of p53 partially blocked this anti migratory efect reducing

E

0

C

ALA C ALA C ALA

Fig. 1. Viability and cell cycle progression in HCC cells during ALA treatment. (**A**) MTT assay was performed, as detailed in Methods, in HepG2/C3A cells cultured in DMEM medium alone (**C**) or in the presence of 0.5 or 1 mM ALA for 0, 16, 24, 48 and 72 h. Results were expressed as % of the absorbance at 0 time. The plots represent mean values of three-four independent experiments±S.E.M. * p<0.05 vs. (**C**) (**B**) Captures of stained wells afer typical experiments of colony formation assay for HepG2/ C3A cells in DMEM medium (control, C), or treated with 0.5 or 1 mM ALA, respectively (*upper panel*). The bars represent mean values of the number of colonies for three independent experiments ± S.E.M. * p<0.05 vs. control. (**D**) Cytometric analysis of PI-stained cells for cell cycle evaluation was performed in Hep3B cells afer 48 h cultures in DMEM alone (control, C) or with 0.5 mM ALA. Bars represent the mean percentages of each cell population from three independent experiments \pm S.E.M. * p < 0.05 vs. control. D. Annexin V and propidium iodide (PI) staining were performed in HepG2/C3A cells afer 48 h culture in DMEM alone (control, C) or with ALA, and the cells were prepared for fow cytometry assay. Single Annexin V stained and Annexin V plus PI-stained cells were analyzed. Representative outputs of the cytometric assay are shown (*upper panel*). The percentage of each stained population was obtained. Bars represent mean values ± S.E.M of three independent experiments for Annexin V stained cells (total apoptosis) in control, 0.5 mM and 1 mM ALA treated cells as a percentage of the control group. * p<0.05 vs. control. (**E**) Cell lysates were obtained afer 24 h treatment from HepG2/C3A or Hep2B cells cultured in DMEM (control, C) or in the presence of 0.5 mM (0.5) or 1 mM (1) ALA, and 30 μg of protein were loaded in mini-gels for immunodetection of cyclin D1. β Actin was used as loading control. Immunoblots show an experiment representative of three independent ones. Selected lanes for each detection are in their original order and correspond to the same gel, and they are shown afer cropping, aligning and separating them by white space. Fulllength blots are available in Supplementary Dataset. Bars represent mean values of band density relativized to load and expressed as percentage of the control from three independent experiments \pm S.E.M. * p < 0.05 vs. control.

B

Fig. 2. Efect of ALA on migration and invasion in HepG2/C3A and Hep3B cells. (**A**) Wound healing assays were performed in HCC cells in DMEM medium alone (control, C) or with 0.5 mM ALA (ALA), and the distance migrated by the front cells, i.e. the diference of the respective wound widths in micra, was calculated through image analysis from captures at 0 and 24 h. Bars represent mean values±S.E.M of three independent experiments expressed as percentages of the controls in HepG2/C3A and Hep3B cells, respectively. (**B**) Invasion in matrigel coated transwells was compared for control (C) and 0.5 mM ALA treated (ALA) HepG2/C3A and Hep3B cells afer 48 h. Afer staining, images were obtained and cells were quantifed. Captures of typical experiments are shown (*right panel*). Bars represent mean values (as a percentage of the control groups) of three independent experiments \pm S.E.M. $*$ p < 0.05 vs. control.

migration in 30%. Besides, ALA reduced invasion around 50% in control cells and this efect was completely blocked in shTP53 cells (Fig. [4A](#page-9-0),B), and in shpTP53-3′UTR cells (data not shown), thus confrming that p53 expression is needed for ALA anti migratory and anti-invasive actions.

Analysis of EMT markers in HCC cells treated with ALA: role of wild type TP53

Migration and invasion in cancer cells depend mostly on the acquisition of mesenchymal properties which take place with the epithelial mesenchymal transition. Given that ALA reduced migration-invasion only in HCC cells that express wild type p53, a possibility existed that one or more proteins involved in EMT were afected via p53 in these cells thus inhibiting EMT and migration-invasion.

When we analyzed some of these EMT markers afer ALA treatment, we detected that the epithelial protein E-cadherin signifcantly increased its levels in ALA treated C3A cells but remained unchanged in Hep3B, in opposition with the levels of its negative regulator SNAIL which showed signifcant reduction in ALA treated C3A cells (Fig. [5](#page-10-0)A). We confrmed similar ALA efects dependent on *TP53* expression in the constructed cell clones: In fact, *CDH1* mRNA levels increased afer ALA treatment in control but the opposite occurred in shTP53 cells (Fig. [5B](#page-10-0)). Conversely, *VIM* decreased afer ALA treatment in control cells only, although *SNAI1* mRNA levels showed no signifcant changes at the time analyzed (Fig. [5B](#page-10-0)). Altogether, these data pointed out that ALA inhibited EMT in HCC cells mostly via p53.

Variations in the expression of EMT related genes putatively regulated by p53 and the impact in survival in HCC patients

We then determined if in fact some EMT actors had diferent expressions and could conditionate the outcome of HCC patients depending on p53. We frst evaluated if HCC-TCGA patients presented changes in the expression of EMT related genes putatively regulated by p53. We focused on a set of genes including the EMT markers *CDH1*, *CDH2* and *VIM*; as well as EMT transcription factors demonstrated to be negatively regulated by p53 in vitro: *ZEB1/2*, *TWIST1/2* and *SNAI1/2*[26](#page-12-23)[,33.](#page-12-30) In addition, we included genes involved in ECM degradation as the metalloproteinases *MMP2* and *MMP9*, also regulated by p5[334](#page-12-31). DGE analysis carried out in the complete dataset of HCC-TCGA patients showed that, of the selected genes, only *VIM, MMP2, SNAI2, MMP9* and *TWIST1* were diferentially expressed and increased their expression in tumor versus non tumor samples, and no decreases (log2FC<1) with statistically signifcance were detected (Fig. [6](#page-11-0)A). A heatmap representation of gene expression in those 50 patients with paired control and tumor samples was performed and the plots were fltered and split in two groups of patients by *TP53* status: although the clustering of genes was discretely diferent depending on *TP53,* most of the patients had increased expression of *MMP9* and *SNAI2*, and, in the opposite side, most of them presented a decreased expression of *CDH1,* as *SNAI1* and *ZEB2* which seemed counter-regulated with respect to their related transcription factors (Fig. [6](#page-11-0)B). In the same group we compared the values of relative expression levels between patients with wild type *TP53* and patients with mutant *TP53*, and we observed that only in the case of *CDH1* the distribution of values was signifcantly diferent in both populations: Patients with wild type *TP53* had more preserved levels of *CDH1* than those with mutant *TP53* (Fig. [6](#page-11-0)C). We also analyzed this set of genes in the same HCC-TCGA patients using the data analysis tools accessible from UALCAN and we compared gene expression levels among each cancer stage: Signifcant decreases in *CDH1*, and increases in *VIM, SNAI2, TWIST1, ZEB1* and *MMPs* in diferent stages were observed (Fig. [6](#page-11-0)D). Again, *SNAI1, ZEB2* and *TWIST2* had decreased expressions (omitted in the fgure), which were non-signifcant in the case of *SNAI1*.

In turn, we studied if these EMT related genes which are potential p53 targets led to any change in survival depending on the *TP53* mutation status. In fact, *TP53* expression levels were increased in most HCC patients from TCGA, which did not afect survival, however, survival did depend on mutation status: WT-*TP53* patients showed a risk of death 65% lower than MUT-*TP53* patients (Supp. Fig. 1B). We then analyzed if low or high levels of each selected gene in combination with the status of *TP53*, wild type or mutant, led to any change in survival. Neither *CDH1* (Fig. [6E](#page-11-0), lef) nor *VIM* nor the EMT transcription factors expression levels in the presence of any of the two *TP53* status were associated with signifcant changes in patient survival. Only in the case of *MMP9* a signifcant diference among survival curves was found: In patients with low *MMP9* expression and WT *TP53* the longest median survival was registered, and the risk of death was less than half of the risk of those with high *MMP9* plus mutant *TP53* (Fig. [6E](#page-11-0), right).

Discussion

The uncontrolled growth and proliferation of tumor cells are very dependent on their increased glycolytic rate^{[35](#page-13-0)}. PDH catalyzes the decarboxylation of pyruvate in the TCA cycle, and prevention of pyruvate entry into the TCA cycle favors glycolysis and would promote tumor metabolic phenotype and survival of cancer cells, which is associated with high expression of the kinases that negatively regulate PDH, PDKs^{36[,37](#page-13-2)}. In this connection, recent studies in HCC cells demonstrate that PDH activation through downregulation of PDK4 leads to energy stress, increased ROS production and apoptosis^{[38](#page-13-3)}. The drug we analyze in this work, ALA, acts as a *bonafide* PDK inhibitor that is an effective anticarcinogenic drug in different tumor cells^{[39](#page-13-4)}, however its actions in HCC cells are not completely studied, especially the putative activation of AMPK and p53 as a mediator of antitumor efects.

Considering this perspective, in this report we frst tried to determine the efect of ALA in the whole viability and capacity of growth of liver-cancer derived cells, and to further evaluate ALA efect on the metastatic ability through migration and invasion studies. We used the HepG2/C3A subclone, derived from the widely studied HepG2 cell line, which harbors wild-type *TP53*. This subclone retains certain features related to energy metabolism $40-42$, making it suitable for testing liver antitumor and metabolic disease drugs, as we previously reporte[d18](#page-12-15)[,19.](#page-12-16) Additionally, we employed Hep3B cells, which lack *TP53*. We demonstrated that ALA had a cytotoxic efect, due to a well described pro apoptotic action. In addition, ALA treatment led to inhibition of cell cycle at G0 in the two diferent cell lines assayed. However, we observed that the anti-migratory and anti-invasive efects of ALA were signifcant in HepG2/C3A, but not appreciable in Hep3B cells. Given that the last cell line has a distinctive genetic background because of HBV gene inserts and p53 gene deletion, we aimed to better defne the role of p53 in this response to ALA.

ALA induces AMPK activation in different tumor cells where it may mediate many anticarcinogenic effects^{[21](#page-12-18)}, so we determined AMPKa phosphorylation (T172) levels in HepG2/C3A and Hep3B cells treated with ALA: p-AMPK levels were signifcantly increased by ALA at low millimolar concentration in both cell lines. Tese results indicated that ALA's cytostatic efects in Hep3B, also observed in HepG2/C3A cells, could be attributed to AMPK signaling. Notably, in Hep3B cells, these efects appeared to be p53-independent. In fact, AMPK regulates the cell cycle and apoptosis in cancer cells through p27 phosphorylation with ulterior cyclins downregulation without relying on p5[343](#page-13-7)[–45.](#page-13-8) Besides, we demonstrated that ALA increased total and nuclear levels of the target of AMPK p53 in HepG2/C3A cells, which could be associated with the activation of p53. Tese results agreed strongly with recent evidence describing both stabilization and nuclear translocation of p53 in HepG2 cells during energy stress by fastin[g46,](#page-13-9)[47](#page-13-10). Furthermore, we observed that interfering with the expression of the catalytic subunit of AMPK resulted in a complete blockage in both p53 increase and migration reduction afer ALA treatment in C3A cells. These effects occurred despite an apparently higher basal level of p53 in cells with silenced AMPKa expression. In fact, p53 regulation is complex, and numerous interactions exist that could explain in our setting transient p53 changes through feedback loops and counter-regulations^{[48](#page-13-11)}. One proposed disbalanced loop -not

 $\overline{\mathsf{A}}$

B

20

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SC

ALA

explored here– could involve AMPK and mTOR stabilization of $p53⁴⁹$, two opposing kinase activities that are altered in HCC^{16,50}. Altogether, these findings indicated that ALA antimigratory effect is dependent on AMPK signaling and that p53 activation is a putative downstream event leading to this antitumor action.

100

 $\mathbf 0$

 $\overline{\text{c}}$

ALA

Pioneer genomic studies in HCC patients show by the frst that survival in patients with mutations in the tumor suppressor p53 is diminished⁵¹. In fact, mutation of *TP53* occurs early and it is a driver of HCC progression[52,](#page-13-15) whilst the conservation of wild type *TP53* could represent a signifcant beneft in the outcome of HCC, and a possibility to stabilize or activate p53 through novel strategies with antitumor results^{53–55}. Wild type p53 is involved in the negative regulation of different EMT transcription factors in HCC^{33[,56](#page-13-18)[,57](#page-13-19)}, hence we postulate that the status of p53 could be a strong determinant of the migratory ability of HCC cells and that the increase of WT p53 could lead to anti migratory efects. In the results presented herein, the stable silencing of

 $\mathbf C$ ALA

si-AMPKa1

ALA

 $\overline{\text{c}}$

Fig. 3. Activation of AMPK and p53 during ALA treatment in wild type p53-HCC cells signals migration/ ◂invasion processes. (**A**) HepG2/C3A or Hep3B cells cultured in DMEM (C, control) or in DMEM with 0.5 mM ALA (ALA) and afer 24 h cell lysates were obtained, as stated in Methods, and 20–30 μg of protein were loaded in mini-gels for immunodetection of p-AMPK, AMPK catalytic subunit, and p53 (HepG2/C3A cells). α-tubulin (α tub) was used as loading control. Immunoblots show an experiment representative of three independent ones. Selected lanes for each detection are in their original order and correspond to the same gel, and they are shown afer cropping, aligning and separating them by white space. Full-length blots are available in Supplementary Dataset. Band densities were quantifed relativized to AMPK or loading and represented in bar charts. Bars represent the mean values, expressed as a percentage of the control group,±S.E.M from three independent experiments. # p<0.05 vs. control, # # p<0.005 vs. control. (**B**) Lef: C3A cells were incubated for 24 h in control DMEM (C, control) or in the presence of 0.5 mM ALA (ALA). Aferwards, cells were fxed and stained to visualize p53 (green) and nuclei (blue), red staining with Mitotracker Red was used for obtaining a signal of cell integrity. p53 intensity in the nucleus was relativized to total p53 signal. Bars represent the mean values, expressed as a percentage of the control group,±S.E.M from 10 felds for three independent experiments. Right: Cell nuclear and cytosolic fractions of the same experimental groups were obtained as described in Methods, and 30 μg were loaded and subjected to electrophoresis in mini-gels followed by immunodetection of p53. For the assessment of nuclear p53 histone H1 was used as loading control, whereas β actin was used for cytosolic p53. Immunoblots show an experiment representative of three independent ones with the same considerations stated above in 3A. Nuclear and cytosolic p53 protein levels in the indicated groups were calculated and graphed in bar charts. Bars represent mean values of band density relativized to load and expressed as percentage of the control from three independent experiments ± S.E.M. * p < 0.05 vs. control. C and D. HepG2/C3A cells were transfected with AMPKα1 targeted (siAMPKa1) or scrambled siRNAs (sc) cultured for 48 h and subjected to additional 48 h (C) or 24 h (D) treatments. (**C**) Cell lysates were processed as stated in Methods and AMPK silencing was corroborated by detection of total AMPK by the same procedure and with the same considerations stated above in 3A. A capture of a typical blotting is shown (*upper right*). Wound healing assays were performed and data of cell migration were obtained as indicated in Fig. [2A](#page-5-0). * p<0.05 vs. control. (**D**) Cell lysates were obtained and processed for immunodetection of p53 as in 3A, with the same considerations. p53 protein levels in the indicated groups were calculated and graphed in bar charts. Bars represent the mean values, expressed as a percentage of the control group, \pm S.E.M from three independent experiments. ## p <0.005 vs. control.

WT p53 in HepG2/C3A cells impeded the reduction of migration and invasion afer ALA treatment attributed to AMPK activation. These findings corroborated those we obtained in the p53-null cell line Hep3B, and they indicated that the activity of p53 is necessary in the anti migratory efect of ALA in HCC cells.

We then tried to determine if EMT was inhibited by this axis afer ALA treatment in HCC cells, thus explaining the reduction in migration and invasion observed. We evaluated the efect of ALA on EMT in C3A and Hep3B cells and in control and shTP53-C3A cells, and we found that ALA reduced EMT in cells with wild type p53. Of note, the expression patterns of EMT markers *CDH1* and *VIM* in shTP53 cells treated with ALA were opposite to isogenic controls. Tis potential induction of EMT by ALA in shTP53 cells was not signifcant in Hep3B cells, although a tendency existed. In contrast, functional analyses showed ALA had a signifcantly lower anti-migratory efect in shTP53 cells, and no efect on migration in Hep3B cells, nor on invasion in both shTP53 and Hep3B cells, compared to their controls. In fact, the trends of ALA actions on migration, invasion and EMT markers in shTP53-C3A and Hep3B cells were comparable and their diferences could be attributed to the diferent genetic background of these cells. Further study is needed to understand the curious ALA actions on some EMT mediators when p53 is suppressed. Nonetheless, our results strongly demonstrated that ALA reduced migratory and invasive functions in a p53-dependent manner, associated with EMT inhibition. Regarding the potential clinical considerations of these results, it must keep in mind that *TP53* mutations occur in less than one-third of HCC patients, missense mutations conserve mRNA levels and only truncated mutations decrease expression compared with WT-*TP53* patients^{[58](#page-13-20)}. Given most HCC patients maintain *TP53* expression levels, our fndings support ALA as a therapy to induce a p53-regulated reduction of EMT in these patients. Overall, this set of results demonstrated that ALA increases E-cadherin, probably via SNAIL reduction, in cells expressing wild type p53 only, which is in the vein with the anti-migratory effect of ALA in these cells. The results also suggested the gravitation of E-cadherin in HCC biology depending on the presence of wild type p53. In this connection, several findings support that E-cadherin expression diminishes in more metastatic HCC forms $59-61$ $59-61$, and that SNAIL or TWIST roles in E-cadherin downregulation and metastasis are crucial⁶². However, the impact of the variations of these EMT markers in relation with other tumor regulators as p53 is understudied in HCC patients. For these reasons, we analyzed if some of these actors of the EMT process potentially regulated by p53 changed its gene expression and conditioned survival in HCC patients depending on *TP53* status. Of the genes selected, *CDH1* signifcantly decreased its expression, whilst *SNAI2*, *TWIST1*, *ZEB1*, *VIM*, *MMP2* and *MMP9* signifcantly increased in tumors of HCC-TCGA patients in one or more analyses. Furthermore, *CDH1* had a distinct pattern of change in the expression: lower diminutions in patients with wild type *TP53* compared with patients with mutated *TP53* was observed. Among all these genes only *MMP9* resulted in signifcant survival increase depending on p53. Given that p53 may regulate not only gene expression but also protein stability of EMT transcription factors like SNAIL and TWIST1 in HCC and in diverse tumor cells^{56[,63](#page-13-24),[64](#page-13-25)}, and considering that protein escindition of E-cadherin by metalloproteinases like MMP9 is also a way of EMT regulation in dif-ferent cancers^{[65](#page-13-26)[–67](#page-13-27)}, the complete picture is missing in transcriptomic analyses. Proteomic analyses from patients organized in databases are still scarce, but further studies would be necessary in HCC to fnd actual EMT determinants of the disease progression.

Fig. 4. ALA treatment does not affect migration/invasion capacity of cells with stable p53 knock down. Transformed cell lines were constructed from HepG2/C3A cells transduced with retroviral plasmids containing specific shRNAs targeted to TP53 or control sequences, named shTP53 and shLacZ cells, respectively. These cell lines were cultured in DMEM without (C) or with 0.5 mM ALA (ALA) for 24 h or 48 h to analyze (**A**) cell migration, or (**B**) cell invasion. Data from three independent experiments were obtained and expressed as indicated in Fig. [2A](#page-5-0),B, respectively. (A) The mean absolute values in control shLacZ cells were 189.0 and 73.5 μm in C and cells, respectively. Yellow lines illustrate the widths measured in each capture. (**B**) The mean absolute values in control shLacZ cells were 11.3 and 6.3 cells in C and ALA cells, respectively. * p<0.05 vs. each untreated group (C); $# p < 0.05$ vs. ALA treated shLacZ cells.

In sum, in this study we showed strong evidence of ALA antitumor actions in HCC cells from cytotoxic to never described cytostatic and antimigratory efects. We found that the reduction of migration and invasion by ALA treatment were dependent on AMPK and p53 activations which reduced EMT. Our results confrmed that core genes of EMT regulable by p53 variate their expression in HCC patients, sometimes in a progressive stage-dependent or p53 status-dependent way. Our results constitute promising data on the putative therapeutic efect of ALA or similar mitochondrial drugs in HCC, specially by reducing EMT and cell migration in those patients with wild type p53.

B

Fig. 5. ALA reduces EMT activation in HCC cells with wild type p53 expression. (**A**) Cell lysates were obtained afer 24 h treatment from HepG2/C3A or Hep2B cells cultured in DMEM (control, C) or in the indicated conditions, and 20–30 μg of protein were loaded in minigels for immunodetection of E-cadherin (E-cadh) and SNAIL. GAPDH was used as loading control. Immunoblots show an experiment representative of three independent ones. Selected lanes for each detection are in their original order and correspond to the same gel, and they are shown afer cropping, aligning and separating them by white space. Full-length blots are available in Supplementary Dataset. Bars represent mean values of band density relativized to load and expressed as percentage of the control from three independent experiments±S.E.M. * p<0.05 vs. control. (**B**) shLacZ (control) and shTP53 cells obtained from HepG2/C3A cells were cultured in DMEM alone (untreated) or with 0.5 mM ALA for 24 h. Afer that, Trizol extracts and total cDNA from each group were generated by retrotranscription for use as qPCR samples. Transcript levels from *CDH1*, *VIM* and *SNAI1* were estimated by Ct calculation from duplicate assays. *GAPDH* was used as a housekeeping gene. Bars represent mean values of Ct from three independent experiments±S.E.M expressed as fold change of each untreated group. # $p < 5 \times 10^{-3}$ vs. untreated control, # # $p < 5 \times 10^{-4}$ vs. untreated control, * $p < 5 \times 10^{-2}$ vs. untreated control, ** $p < 1 \times 10^{-3}$ vs. untreated control.

Fig. 6. Evaluation of selected EMT related genes in HCC-TCGA patients: expression and survival analyses of groups with diferent mutation status of p53. (**A**) Diferential gene expression analysis was performed in the complete HCC-TCGA dataset (tumor samples $N = 371$, non-tumor samples $N = 50$) for a selected group of EMT related genes. Tose genes with signifcantly increased expression (log2FC>1) are enlisted. Mean log2FC, p and FDR values are indicated. (**B**) Heatmaps for the diferential gene expression of the studied genes (columns) in the 50 HCC-TCGA patients with paired tumor and control non tumor samples (rows), fltered and split by their *TP53* status: wild type (WT, left) or mutant (MUT, right). *CDH1* gene column in each group is underlined. (C) The distribution of the relative expression levels of *CDH1* was compared by K-S test between patients with wild type (WT) *TP53* (N=37, blue line) and patients with mutant (MUT) *TP53* (N=13, red line) from the 50 HCC-TGCA patients. Te densities of patients for the variable log2FC are graphed. Vertical lines indicate the median values in each population. p=0.0497. (**D**) Comparison of the expression levels in TPM of the indicated genes from HCC-TCGA patients in non-tumor (NT, $N = 50$) and in the four cancer stages, S1 ($N = 168$), S2 $(N=84)$, S3 (N = 82), S4 (N = 6) obtained from UALCAN data portal. Figures were constructed by adapting box and whisker plots of gene expression in non-tumor and in the four cancer stages from UALCAN web. Red lines represent the median values. Signifcant p values are indicated. (**E**) Kaplan–Meier survival analyses for *CDH1* (left) and *MMP9* (right) in HCC-TCGA patients with low or high expression levels of each gene studied and wild type (WT) or mutant (MUT) *TP53*. In the case of *MMP9* a forest tree illustrating hazard ratios (HR) in each group is added. p values are indicated.

Data availability

The full-length blots used for the figures during this study are included in this published article [and its supplementary information fles]. Te datasets generated and/or analyzed during the current study are available in <http://gdac.broadinstitute.org/>and [http://ualcan.path.uab.edu.](http://ualcan.path.uab.edu) All other data are available from the corresponding author on reasonable request.

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Author contributions

FH and ACF equally contributed to the study. Conceived and designed the experiments: FH, ACF, CF. Performed the experiments or data analysis: FH, ACF, CBE, EB, APP, TRM and JB. Supervised the experiments: MCL,

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Competing interests

The authors declare no competing interests.

Additional information

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