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Abnormal coordination of upper OPEN extremity during target reaching in persons post stroke

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Understanding abnormal synergy of the upper extremity (UE) in stroke survivors is critical for better identifcation of motor impairment. Here, we investigated to what extent stroke survivors retain the ability to coordinate multiple joints of the arm during a reaching task. Using an exoskeleton robot, 37 stroke survivors' arm joint angles (θ) and torques (τ) during hand reaching in the horizontal plane was compared to that of 13 healthy controls. Kinematic and kinetic coordination patterns were quantifed as variances of the multiple-joint angles and multiple-joint torques across trials, respectively, that were partitioned into task-irrelevant variance (*TIVθ* **and** *TIVτ***) and task-relevant variance (***TRVθ* **and** *TRVτ***).** *TIVθ* **and** *TRVθ* **(or** *TIVτ* **and** *TRVτ***) led to consistent and inconsistent hand position (or force), respectively. The index of synergy (***IS***₀ and** *IS***_t) was determined as** $IS_\theta = (TIV_\theta - TRV_\theta) / (TIV_\theta + TRV_\theta)$ and $IS_{\tau} = (TIV_{\tau} - TRV_{\tau})/(TIV_{\tau} + TRV_{\tau})$ for kinematic and kinetic coordination patterns, **respectively. Both kinematic** IS_{θ} **and kinetic** IS_{τ} **in the stroke group were significantly lower than that of the control group, indicating stroke survivors had impaired reaching abilities in utilizing the multiple joints of the UE for successful completion of a reaching task. The reduction of kinematic** *IS*^{θ} in the stroke group was mainly attributed to the lower *TIV*^{*θ*} as compared to the control group, **while the reduction of kinetic** IS **_{***r***} was mainly due to the higher** TRV **_{***T***} as well as lower** TIV **_{***r***}. Our results also indicated that stroke may lead to motor defcits in formation of abnormal kinetic synergistic movement of UE, especially during outward movement. The fndings in abnormal synergy patterns provides a better understanding of motor impairment, suggesting that impairment-specifc treatment could be identifed to help improve UE synergies, focusing on outward movements.**

Humans are capable of robust control of the upper extremity (UE) in which dynamic precision control of multiple body segments is required. To achieve this remarkable control, the central nervous system (CNS) is required to coordinate multiple body segments for the stabilization of the overall action of certain tasks. Motor synergy has been proposed as a control mechanism of the CNS^{[1](#page-8-0)}, referred to as specific patterns of the multi-degrees of freedoms (DoF) movement in completing motor tasks^{2-[4](#page-8-2)}. Cumulating evidence on postural control indicates that the CNS is able to synergistically control multiple DoFs such as multiple segments, multiple muscles, and multiple fingers for the stabilization of their combined actions such as controlling the body center of mass 5.6 5.6 , multiple muscle activations^{[7,](#page-9-2)[8](#page-9-3)}, and multi-finger grasping^{9-[11](#page-9-5)}.

Afer neurological disorders such as stroke, the synergistic patterns of upper extremity (UE) movements are known to be altered¹²⁻¹⁴. While the term "synergy" has traditionally been used clinically to describe coordination defcits characterized by abnormal muscle co-activation following stroke, recent research in the feld of neuroscience has indicated that motor synergy refers to task-specifc coordinated patterns of movement involving multiple degrees of freedom $(DoFs)^{2,3,15}$ $(DoFs)^{2,3,15}$ $(DoFs)^{2,3,15}$ $(DoFs)^{2,3,15}$ $(DoFs)^{2,3,15}$. These synergistic patterns are believed to be fundamental aspects of the CNS's control mechanisms for coordinating multiple DoFs in a way that stabilizes or improves performance during specifc tasks.

Although several studies have investigated changes in kinematic motor synergies of upper extremity move-ments in individuals with stroke, the findings have been inconsistent^{[16](#page-9-9)[–18](#page-9-10)}. Gera, et al.¹⁶ reported diminished interjoint coordination in stroke survivors, which could afect hand path consistency. On the other hand, Reisman and Scholz¹⁷ found that some aspects of joint coordination were preserved during pointing tasks in individuals

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with post-stroke hemiparesis. However, the inconsistent fndings across these studies underscore the need for further systematic investigations to examine the efects of stroke on both the kinematics and kinetics of motor synergies during upper extremity movements. Understanding these changes is crucial for accurate identifcation of motor impairments and the development of efective rehabilitation strategies.

Recently, advanced rehabilitation robotics have emerged as a valuable tool for high-intensity, repetitive, and task-specifc treatment of impaired upper limbs, as well as for the systematic assessment of multi-joint movements and synerg[y19](#page-9-12)[–22.](#page-9-13) In line with this, our previous work 23–2 focused on the development of a multi-joint rehabilitation robot named IntelliArm. Tis innovative system was specifcally designed to assess the dynamics of the shoulder, elbow, and wrist individually and simultaneously. By utilizing the IntelliArm, we were able to achieve quantitative and systematic characterizations of neuromuscular changes across multiple joints. The integration of robotics in rehabilitation not only provides a controlled and standardized environment but also enables objective measurements of kinetic and kinematic variables, enhancing our understanding of motor synergies and facilitating tailored interventions for stroke survivors.

Here, we investigated how stroke afects synergistic patterns during a target reaching task. Two targets were presented: one that can be reached with inward motion including shoulder horizontal adduction, elbow fexion, and wrist fexion, and a second target that can be reached with outward motion including shoulder horizontal abduction, elbow extension, and wrist extension. We hypothesized that (1) individuals post stroke would demonstrate impaired synergistic patterns both in kinematics and kinetics as compared to healthy controls, and that (2) the expected impairment of synergy in stroke survivors would be more severe during outward movement (i.e., away from the body) as compared to inward movement (i.e., toward the body) considering stroke is ofen accompanied by fexor hypertonia of UE.

Methods

Participants. Thirty-seven stroke survivors and thirteen age-matched control subjects were recruited for this study. All the control subjects were right-handed (6 males and 7 females, mean age 48.5 years, SD 15.8). Twenty-one stroke survivors were right hemiparetic, and the other 16 survivors were lef hemiparetic (27 males and 10 females, mean age 59.1 years, SD 12.7). The difference in sample sizes between the control and stroke groups was due to the specific characteristics of our study population. The larger sample size in the post-stroke group helped account for the increased variability commonly observed in stroke survivors, which can be infuenced by factors such as lesion location, severity, and individual recovery trajectories. This increased variability in the post-stroke group warranted a larger sample size to ensure the robustness and generalizability of our fndings. It is important to note that the primary focus of our study was to investigate the efects of stroke on upper limb motor function, and the control group served as a reference for comparison. The inclusion criteria of the stroke patients were (1) frst focal unilateral lesion, ischemic or hemorrhagic; (2) at least six months post stroke; (3) had cognitive ability to follow simple instructions; (4) ability to provide informed consent. Individuals were excluded if they had: (1) apraxia; (2) severe cardiovascular conditions; (3) unrelated musculoskeletal injuries. Prior to the experiment, each participant gave a written informed consent, and the study is approved by the institutional review board of the University of Maryland, Baltimore. All methods were carried out in accordance with relevant guidelines and regulations.

Assessment of upper limb motor function. Assessment of upper limb motor function was conducted using the Fugl-Meyer Assessment of upper limb (FMA-UE)^{[23](#page-9-14)}. The FMA-UE is a widely used and reliable measure of upper limb motor function, with a higher score indicating better motor function. It comprises various subsections, including a separate section for hand function. In this study, FMA-UE was administered by trained assessors who were blinded to the participants' group assignments.

Experiments. Prior to the measurement trial, all subjects performed a practice session consisting of reaching movements to each target. This practice session aimed to familiarize the subjects with the task requirements and minimize any learning efects during the actual measurement. During the practice session, subjects were instructed to perform the reaching movements at a self-selected comfortable pace. They were encouraged to focus on accuracy and consistency of the movement while maintaining a natural and fuid motion. Instructions regarding the movement duration were provided to ensure that subjects understood the task requirements but without imposing strict timing constraints. Specifcally, subjects were instructed to perform the reaching movements without rushing or delaying the movement, aiming for a smooth and continuous motion from the starting position to the target.

In order to assess UE function, we used a robot for neurorehabilitation of the shoulder elbow, and wrist joints we had previously developed. The robot called IntelliArm was designed to perform a two-dimensional motion on the horizontal plane with gravity support of UE. All subjects were asked to sit upright comfortably, and to mount the upper arm, forearm and hand to the IntelliArm (Fig. [1\)](#page-2-0). The robot arm lengths for the upper arm and forearm were then adjusted to align mechanical axes for shoulder horizontal adduction/abduction, and elbow and wrist flexion/extension with the corresponding subject's anatomical joint axes. The subject's upper arm and forearm were strapped to the corresponding braces to ensure proper alignment throughout the experiment. Initial position was at shoulder horizontal adduction of 70°, elbow fexion of 60° and wrist fexion of 0°, respectively.

The IntelliArm was made backdrivable by realizing a low impedance through robust impedance control so that subjects can move the arm with the IntelliArm while only feeling a low inertia²⁴. The subject's virtual UE and two target points were displayed on a 50" TV located about one meter from the subject. The subject was asked to move the hand from the initial position to Target 1 and to Target [2](#page-2-1) (Fig. 2a). The subject was asked to follow this sequence for 10 repetitions. A circle in the virtual hand needs to overlap the green-dot target for a

2

Figure 1. A multi-joint rehabilitation robot, called IntelliArm, controls the shoulder, elbow, and wrist movement simultaneously. The shoulder horizontal abduction/adduction, and elbow and wrist flexion/extension are controlled by the shoulder, elbow, and wrist motor, respectively. The robotic arm is mounted on a large X-Y table, keeping the robot shoulder axis aligned with the shoulder horizontal adduction axis of the subject.

Figure 2. Virtual display of subject's upper extremity with the multiple targets (**a**). Joint angles and torques of representative subjects of the control (blue) and the stroke (red) (**b**). Te mean (solid line) and one standard deviation (shaded area) of trajectories of the end-efector positions, joint angles and torques across trials were presented during a target-reaching task (c). The green circles represent the inward and outward targets displayed during the task.

successful match (Fig. [2a](#page-2-1)). Final position error was calculated as the distance between the center of circle and the target position when the circle is closest to target.

Uncontrolled manifold analysis. We employed the uncontrolled manifold analysis (UCM) to quantify how the subject utilizes multiple degrees of freedom of their upper extremity (UE) during a reaching target

task. It has been extensively used to investigate kinematic synergies, such as joint angles, during various motor tasks $25,26$ $25,26$ $25,26$. The UCM framework allows for the quantification of joint angle variability across multiple trials rela-tive to the desired task outcome, such as fingertip trajectories during reaching movements^{[16](#page-9-9)-18}. Previous studies utilizing UCM have revealed coordinated patterns of upper limb joints that contribute to consistent and stable performance during motor tasks. To investigate kinetic synergies, which have received limited attention in previous studies, we incorporated a mathematical model based on the Jacobian matrix. The Jacobian matrix enables us to explore the relationship between joint torques and end-effector forces²⁷, providing insights into the kinetic aspects of motor synergies. By combining both kinematic and kinetic assessments, our study provides a comprehensive understanding of motor synergies in individuals with stroke.

A forward-kinematics model was developed using modifed Denavit–Hartenberg (DH) parameters defned in Table [1](#page-3-0), where the three joints rotations are shoulder horizontal adduction (θ_s) , elbow flexion (θ_e) , and wrist flexion (θ_w), and the segment lengths are a_{UA} , a_{FA} and a_H for upper arm (from the shoulder joint center to the elbow joint center), forearm (from the elbow joint center to the wrist joint center) and the hand (from the wrist joint center to the middle fnger tip), respectively shown as Fig. [2](#page-2-1)b. Based on the modifed DH parameters, the transformation matrix is given by

$$
T_{i-1,i} = \begin{bmatrix} \cos\theta_i & -\sin\theta_i & 0 & a_{i-1} \\ \sin\theta_i\cos\alpha_{i-1} & \cos\theta_i\cos\alpha_{i-1} & -\sin\alpha_{i-1} & -d_i\sin\alpha_{i-1} \\ \sin\theta_i\sin\alpha_{i-1} & \cos\theta_i\sin\alpha_{i-1} & \cos\alpha_{i-1} & d_i\cos\alpha_{i-1} \\ 0 & 0 & 0 & 1 \end{bmatrix}
$$
 (1)

The forward kinematics is obtained by computing the overall matrix of transformation from the base frame to the tip of the end-efector.

A forward-kinematics model of the end-efector position as a function of joint angles was obtained from frst two elements in the last column of $T_{0,4}$:

$$
\begin{bmatrix} P_x \\ P_y \end{bmatrix} = \begin{bmatrix} f_1(\theta_s, \theta_e, \theta_w) \\ f_2(\theta_s, \theta_e, \theta_w) \end{bmatrix}
$$
\n(2)

where $f_1 = a_{UA}\cos(\theta_s) + a_{FA}\cos(\theta_e) + a_{H}\cos(\theta_w)$ and $f_2 = a_{UA}\sin(\theta_s) + a_{FA}\sin(\theta_e) + a_{H}\sin(\theta_w)$, and P_x and P_y represent the coordinates of the end-effector position.

The transformation matrix T in Eq. (1) represents the homogeneous transformation between two consecutive frames based on the modifed DH parameters. It captures both the rotation and translation between the $(i-1)$ -th and i-th coordinate frames. The forward kinematics model, as given in Eq. ([2\)](#page-3-2), utilizes the elements of the transformation matrix T to obtain the end-efector position as a function of the joint angles.

Using the Jacobian matrix (J), a linearized task equation, position of the end-efector as a function of joint angles was computed. The null space of J represents the changes in elemental variables that do not lead to a change in the performance variable, referred to as task-irrelevant space, whereas the orthogonal component of J represents the changes in elemental variables that do lead to a change in the performance variable, referred to as task-relevant space. The basis vectors, ε , for the null space was calculated such that $J\varepsilon = 0$.

Two types of variability, the deviations from the average trajectories in joint space were calculated as a variance of joint angles projected onto task-irrelevant space, θ_{TIR} , and task-relevant space, θ_{TR} , respectively.

$$
TIV_{\theta} = \frac{1}{n \cdot dim_{TIR}} \sum_{i=1}^{n} |\theta_{TIR}|^2
$$
\n(3)

and

$$
TRV_{\theta} = \frac{1}{n \cdot dim_{TR}} \sum_{i=1}^{n} |\theta_{TR}|^2
$$
 (4)

where $\theta_{TIR}=\epsilon\epsilon^T\big(\theta-\overline\theta\big),\theta_{TR}=\big(\theta-\overline\theta\big)-\theta_{TIR},n$ is the number of trials (n = 10) , dim_{TIR} and dim_{TR} are dimensions of task-irrelevant space ($dim_{TIR} = dim_{joint} - dim_{task}$), and task-relevant space ($dim_{TR} = dim_{joint} - dim_{TIR}$), respectively. Note that dim_{joint} and dim_{task} are the number of joints (=3) and number of tasks (=2), respectively.

We also quantifed kinetic synergistic patterns of UE. Using the Jacobian matrix calculated above, a linearized task equation, force of the end-efector as a function of joint torques was computed.

Joint	α_{i-1}	a_{i-1}	d_i	θ_i
	Ω	Ω	θ	$\theta_{\rm s}$
2	Ω	a _{UA}	$\mathbf{0}$	θ_e
3	$\overline{0}$	a_{FA}	$\mathbf{0}$	θ_w
	$\overline{0}$	a_H	$\overline{0}$	Ω

Table 1. Modifed Denavit–Hartenberg parameters.

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$$
\begin{bmatrix} F_x \\ F_y \end{bmatrix} = J^+ \begin{bmatrix} \tau_s \\ \tau_e \\ \tau_w \end{bmatrix}
$$
 (5)

where J^+ is a pseudoinverse, $J^+ = \left(J\cdot J^T\right)^{-1}\cdot J$ and $\tau_s,\tau_e,$ and τ_w represent the joint torques associated with the shoulder, elbow, and wrist, respectively.

The basis vectors, ε , for the null space of J^+ was calculated such that $J^+\varepsilon = 0$. The variabilities, the deviations from the average trajectories in joint space are calculated as a variance of joint torques projected onto task-irrelevant space, τ_{TIR} , and task-relevant space, τ_{TR} , respectively.

$$
TIV_{\tau} = \frac{1}{n \cdot dim_{TIR}} \sum_{i=1}^{n} |\tau_{TIR}|^2
$$
 (6)

and

$$
TRV_{\tau} = \frac{1}{n \cdot dim_{TIR}} \sum_{i=1}^{n} |\tau_{TR}|^2 \tag{7}
$$

where $\tau_{TR} = \varepsilon \varepsilon^T (\tau - \overline{\tau})$ and $\tau_{TR} = (\tau - \overline{\tau}) - \tau_{TR}$, dim_{TIR} and dim_{TR} are dimensions of task-irrelevant space $(dim_{TIR} = dim_{ioint} - dim_{task})$, and task-relevant space $(dim_{TR} = dim_{ioint} - dim_{TIR})$, respectively.

In the UCM analysis^{[16–](#page-9-9)18}, it was necessary to normalize the movement trajectories in time between trials. To achieve this, we normalized the data by expressing the time-course of movement data as a percentage of the total duration of each trial through resampling. This normalization approach allowed us to align and compare the movement trajectories across trials, even when they were not performed in the exact same duration.

The index of synergy (*IS*) was computed to quantify the degree of coordination and synergy among the joint variables in maintaining the target trajectory in task space. *IS* provides a measure of how efectively the joint vari-ables work together to achieve the desired movement^{[18](#page-9-10),[25](#page-9-16),28}. Specifically, IS_θ represents the coordination of joint angles, while IS_{τ} represents the coordination of joint torques. The calculation of IS is based on the ratio between the variances of joint variables. We define TRV_θ and TRV_τ as the variances of joint variables that affect changes in the end-effector (i.e., fingertip) trajectories of position and force, respectively. On the other hand, TIV_θ and TIV_{τ} represent the variances of joint variables that do not affect the end-effector trajectories. To calculate the index of synergy for joint angles (IS_{θ}) , we use the following formula:

$$
IS_{\theta} = \frac{TIV_{\theta} - TRV_{\theta}}{TIV_{\theta} + TRV_{\theta}}
$$
\n
$$
(8)
$$

Similarly, the index of synergy for joint torques (IS_{τ}) is calculated using:

$$
IS_{\tau} = \frac{TIV_{\tau} - TRV_{\tau}}{TIV_{\tau} + TRV_{\tau}}
$$
\n(9)

Higher *IS* values, where TIV_{θ} or TIV_{τ} are greater than TRV_{θ} or TRV_{τ} respectively, indicate stronger coordination and synergy among the joint variables. This suggests that the variances of joint angles or torques are coordinated in such a way as to minimize the variability of the end-efector trajectories across trials. Conversely, lower *IS* values suggest less effective coordination. By analyzing the index of synergy, we gain valuable insights into the coordination and synergy of joint variables during upper limb movements, providing a quantitative measure of motor synergy. Tis information helps us better understand the underlying mechanisms and control strategies involved in the execution of motor tasks.

Statistical analysis. Statistical analysis was conducted using two-way repeated measures ANOVA with the main factors of Group (control group versus stroke group) and Movement (inward versus outward). The dependent variables, including TIV_{θ} , TV_{θ} , TV_{τ} , and TV_{τ} , were log-transformed to correct for a non-normal distribution, as suggested by previous studies^{10,29}. The level of statistical significance was set at $p = 0.05$.

Results

Figure [2c](#page-2-1) depicts the end-efector trajectories as well as individual joint angles and torques over 10 repetitions during the reaching task from a representative subject in each group. This visualization allows for a comprehensive examination of the variations in shoulder, elbow, and wrist angles and torques throughout the 10 repetitions. The observed joint angle and torque patterns provide insights into the motor control strategies employed by the participants in both the control and post-stroke groups. Notably, the fndings reveal distinct patterns of joint coordination and motor output between the two groups, indicating potential alterations in motor control mechanisms following stroke. The detailed analysis of individual joint angles and torques, in conjunction with the end-efector trajectories, contributes to our understanding of the motor impairments and adaptations observed in post-stroke individuals during reaching movements. All statistical results for the 2-way ANOVA of kinematic and kinetic synergies, as well as the fnal position error, were provided in Table [2.](#page-5-0)

Kinematic synergy. IS₀, TIV₀ and TRV₀ were depicted in Fig. [3.](#page-5-1) The stroke group exhibited significantly lower IS_{θ} compared to the control group. This finding was supported by a 2-way repeated measures ANOVA, showing a significant main effect of Group (F_{1,48} = 18.365; p < 0.001), no significant main effect of Movement

Measure		Group	Movement/Target	Interaction
Kinematics	ISe	$F_{1,48} = 18.365, p < 0.001$	$F_{1,48} = 0.244$, $p = 0.624$	$F_{1.48} = 0.001, p = 0.971$
	TIV_{θ}	$F_{1,48} = 5.321, p = 0.002$	$F_{1.48} = 0.485$, p = 0.490,	$F_{1.48} = 0.002$, $p = 0.964$,
	TRV_{θ}	$F_{1.48} = 0.074, p = 0.787$	$F_{1.48} = 0.008$, $p = 0.931$	$F_{1.48}$ < 0.001, $p = 0.994$
Kinetics	IS_{τ}	$F_{1.48} = 11.566, p = 0.001$	$F_{1.48} = 0.244$, p = 0.623	$F_{1.48} = 4.224, p = 0.045$
	TIV_{τ}	$F_{1.48} = 26.181, p < 0.001$	$F_{1.48} = 0.188$, $p = 0.667$	$F_{1.48} = 2.992, p = 0.090$
	TRV_{τ}	$F_{1.48} = 14.037, p < 0.001$	$F_{1.48} = 0.016$, p = 0.899	$F_{1.48} = 0.001, p = 0.974$
Final position error		$F_{1,48} = 5.063, p = 0.029$	$F_{1.48} = 8.132$, $p = 0.07$	$F_{1.48} = 4.664, p = 0.036$

Table 2. Statistical results for synergy and fnal position error. Signifcant values are in [bold].

Figure 3. Index of synergy (*IS*) (**a**), logarithm of the task-relevant variability (TIV_{θ}) (**b**) and task-irrelevant variability (*TRVθ*) (**c**) of joint angle were compared between the stroke group and the control group. *IS* of the stroke group was significantly lower than that of the control group. The decreased IS in Stroke group was main attributed to the decreased *TIV*^{*a*} as compared to the control group. The asterisk indicates a significant difference (*<0.05, **<0.001) between groups. Error bars represent standard error of the mean (SEM) across subjects.

 $(F_{1,48}=0.244; p=0.624)$, and no significant interaction Group × Movement ($F_{1,48}=0.001; p=0.971$). Furthermore, the stroke group had significantly lower TIV_{θ} compared to the control group, while TRV_{θ} did not differ between the two groups. These results were supported by a 2-way repeated measures ANOVA, revealing a main effect of Group (TIV_θ: F_{1,48} = 5.321; $p = 0.002$, TRV_θ: F_{1,48} = 0.074; $p = 0.787$), a main effect of Movement (TIV_θ: $F_{1,48}=0.485; p=0.490, TRV_{\theta}$: $F_{1,48}=0.008; p=0.931$), and no significant interaction Group×Movement (TIV $_{\theta}$: $F_{1,48}=0.002$; $p=0.964$, TRV_{θ} : $F_{1,48}<0.001$; $p=0.994$). These results suggest that post-stroke patients exhibited lower variabilities in task-irrelevant joint angles without signifcantly afecting changes in end-efector trajectories compared to control subjects. Moreover, the variability of task-relevant joint angles, which is mathematically equivalent to the variability of end-efector trajectories across trials and afects end-efector trajectories, was found to be similar between the stroke and control groups.

Kinetic synergy. Figure [4](#page-6-0) presents IS_{τ} , TIV_{τ} and TRV_{τ} . A 2-way repeated measures ANOVA was conducted to examine the effects of Group and Movement on IS_{τ} . The analysis revealed a significant main effect of Group $(F_{1,48} = 11.566; p = 0.001)$, indicating that IS_τ of joint torques in the stroke group was significantly lower than that of the control group. However, there was no significant main effect of Movement ($F_{1,48}$ =0.244; p =0.623). Importantly, a significant interaction effect of Group and Movement was observed (F_{1,48} = 4.224; p = 0.045). Regarding TIV_{τ} and TRV_{τ} , both measures showed significant main effects of Group (TIV_{τ} : F_{1,48} = 26.181, *p* < 0.001; TRV_{τ} : $F_{1,48}$ =14.037, *p*<0.001), indicating that the stroke group exhibited significantly lower TIV_τ and TRV_τ compared to the control group. However, there was no significant main effect of Movement for either TIV_{τ} (F_{1,48}=0.188, $p=0.667$) or TRV_τ (F_{1,48}=0.016, $p=0.899$). Additionally, the interaction effect of Group and Movement was not significant for both TIV_τ (F_{1,48} = 2.992, *p* = 0.090) and TRV_τ (F_{1,48} = 0.001, *p* = 0.974). Overall, the results indicate

Figure 4. Index of synergy (*IS*) (**a**), logarithm of the task-relevant variability (*TIVτ*) (**b**) and task-irrelevant variability (*TRVτ*) (**c**) of joint angle were compared between groups during inward and outward movements. *IS, TIV_r* and *TRV_r* of the stroke group was significantly lower as compared to the control group. In addition, *IS* of the stroke group in outward movement was signifcantly lower as compared to inward movement while *IS* of the control group remained unchanged between movements. The asterisk $(*)$ and the sharp $(*)$ indicate a significant difference (*<0.05, #<0.05) between groups, and movement directions, respectively. Error bars represent SEM across subjects.

that stroke patients exhibited a lower degree of synergy in joint torque production compared to the control subjects, as evidenced by lower IS_{τ} values. Moreover, the deterioration in synergy was more pronounced during the outward movement in the stroke group. Additionally, both TIV_{τ} and TRV_{τ} were significantly lower in the stroke group, refecting reduced variability in joint torques compared to the control group.

Final position error. The final position error, representing the distance between the hand position and the target, was calculated and illustrated in Fig. [5.](#page-6-1) It was observed that the stroke group encountered more difculty in reaching the Inward Target compared to the Outward Target. These results were substantiated by a 2-way repeated measures ANOVA, which revealed a significant main effect of Group ($F_{1.48}$ =5.063; p =0.029), a significant main effect of Target (F_{1,48} = 8.132; $p=0.07$), and a significant Group × Target interaction (F_{1,48} = 4.664;

Figure 5. Comparison of fnal position errors for the Inward Target and Outward Target between the stroke group and the control group. There was no significant difference between groups for the Inward Target. However, a significant interaction was observed between Group and Target. The final position error in the stroke group was significantly higher for the Outward Target compared to the control group ($* p < 0.05$). Error bars represent the standard error of the mean (SEM) across subjects."

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 $p=0.036$). Specifically, the final position error for the Inward Target was significantly higher in the stroke group compared to the control group, while no signifcant diference was found in the fnal position error for the Outward Target compared to the control group. These findings highlight the specific challenges faced by the stroke group in reaching the Inward Target.

Correlation with clinical score. We analyzed relationship of coordination patterns quantified as *IS*, to the UE function clinically evaluated with FMA-UE. We tested these correlations for kinematic and kinetic aspects during inward and outward movements, for four total correlations (Fig. [6\)](#page-7-0). Kinematics *ISθ* during both inward and outward movements were positively correlated with the FMA-UE score, with higher correlation coefficient (inward: $r = 0.384$, outward: $r = 0.401$) during outward movements. Kinetic $I S_r$ was positively correlated with the FMA-UE during outward movements. However, during inward movements, it was not signifcantly correlated with the FMA-UE.

Discussion

In this study, we investigated whether stroke survivors retain the ability to coordinate multiple joints of the arm during a reaching task. We hypothesized that individuals post stroke would demonstrate deteriorated synergistic patterns both in kinematics and kinetics as compared to healthy control. We found decreased indices of kinematic and kinetic synergies in stroke survivors as compared to the control group, supporting the hypothesis. The reduction of synergy indices of joint angles was mainly attributed to the lower *TIV*_θ as compared to the control group, while the reduction of synergy of joint torque was due to the lower TIV_τ and higher TRV_τ . These results suggest that stroke induces abnormal coordination patterns of UE movements with altered structure of variabilities in the multiple-joint movements and torques.

We also hypothesized that the expected impairment of synergy in stroke survivors would be more severe during outward movement as compared to inward movement. We found that kinetic synergy in the stroke group during outward movement was lower as compared to inward movement while kinetic synergy in the control group remained unchanged between movement directions. Our fndings were consistent with a previous study³⁰ that found stroke survivors tend to lean their body forward to extend the reach of the arm instead of using elbow extension. Tis compensative trunk movement during arm reaching task, along with our fndings is possibly tied to the increased coupling in spastic upper extremity^{[31,](#page-9-23)32}. Thus, increased hyperexcitability of the

Figure 6. Scatter plot and correlation for IS and FMA-UE. During inward and outward movements, IS of joint angles were signifcantly correlated with FMA-UE (upper panel). R-scores during inward and outward were 0.384 and 0.401, respectively. IS of joint torques were signifcantly correlated with FMA-UE during outward movement but it was not signifcantly correlated during inward movement (lower panel). R-scores during inward and outward were 0.241 and 0.446, respectively.

stretch refex afer stroke may play a role in the formation of abnormal coordination patterns especially during UE outward movements.

We found that the stroke group had lower index of both kinematic and kinetic synergies as compared to the control group. Tis result demonstrates that individuals with stroke have a lesser ability to utilize synergic joint combinations to accomplish the reaching task. Our fnding of decreased kinematic synergy is consistent with the clinical observation on individuals with stroke who ofen demonstrates fewer and less fexible patterns of joint coupling leading to difficulty in isolating joint motions³³. In addition, the finding of the decreased kinetic synergy indicates that the stroke group had abnormal kinetic coordination across the multiple joints across the arm, possibly tied to the increased coupling in spastic upper extremity^{[31,](#page-9-23)32}. Taken together, our results confirm the common clinical observation that stroke survivors have limited, and diferent patterns of joint couplings compared with age-matched control persons. In accordance with previous conclusions that individuals with stroke demonstrate `disrupted inter-joint coordination' during reaching³⁴, our results in the current study suggested that stroke leads to defcits on the CNS's ability in utilizing the multiple DoFs of the UE for successful completion of a reaching task.

We found increased *TRV* of joint torques in the stroke group compared to the control group, indicating greater variability in hand force throughout the reaching movement for individuals with stroke. Tis fnding suggests that the increased coupling in the spastic upper extremity may disrupt the inter-joint coordination of the UE, leading to higher variability in force production. However, there was no signifcant diference in *TRV* of joint angles between the two groups, indicating similar variability in hand trajectories. Tis result contradicts the initial statement regarding increased *TRV* of joint angles. It is important to note that previous studies on changes in kinematic motor synergies in individuals with stroke have yielded inconsistent findings^{16–18}. This inconsistency suggests that kinematic quantifcation may be less sensitive in detecting motor defcits related to motor synergies compared to kinetic quantifcation. Additionally, we observed a decreased *TIV* of joint angles in the stroke group, indicating that individuals with stroke had less variability in individual joint angles, resulting in a more consistent hand position throughout the reaching movements. Previous studies have suggested that higher *TIV* values are beneficial in dealing with unexpected perturbations³⁵, fatigue³⁶, and secondary tasks³⁷, indicating greater fexibility in utilizing degrees of freedom to accomplish a task. Our results align with the idea that stroke diminishes such fexibility in UE movement, supporting the notion of impaired inter-joint coordination.

In addition to this, we found that abnormal coordination patterns in the stroke group positively correlated with the FMA-UE, indicating that the worse coordination patterns are, the weaker the UE function measured by FMA-UE. The positive correlation was stronger during outward movement as compared to during inward movement. These results suggest that the ability to accomplish the UE reaching task with abundant movement solutions (i.e., greater *TIV*) may be closely related to motor deficits of UE motor functions. The findings in the current study were consistent with the previous fndings that shows stroke survivors with weaker motor synergy tend to have severe motor deficits measured in FMA scores^{3,38}.

Analysis of joint coordination patterns using the UCM may provide a foundation for the development of better identifcation of motor impairment and individualized therapeutic strategies of impaired motor coordination of UE. Previous studies found that abnormal kinematic joint coupling¹³ and kinetic coupling³⁹ can be improved by targeted motor training. Therefore, it may be possible to develop training protocols that more directly focus on abnormal kinematic and kinetic synergistic patterns. In addition, assistive approaches, such as functional electrical stimulation and cortically driven prosthetic devices, are potentially useful for restoring motor synergies. Finally, it may be possible to design longitudinal studies to track the development of abnormal motor synergies during recovery. When combined with functional brain imaging, these studies may provide new insight to neural reorganization following stroke and help shape the nature and timing of acute and subacute therapeutic interventions.

In summary, the results of the present study demonstrated that individual with stroke had impaired ability in utilizing the multiple DoFs of the UE for successful completion of a reaching task as compared to age-matched control group. This impaired ability leads to kinematic and kinetic abnormal coordination patterns of the UE during a target-reaching task. Kinematically, individual with stroke showed higher trial-to-trial variability of hand's trajectories and decreased fexibility of joint confgurations as compared to age-matched control group. Kinetically, individual with stroke had higher trial-to-trial variability of hand's force. Our results suggested that stroke may lead to motor defcits in formation of synergistic patterns of UE. Our fndings in abnormal synergistic patterns of UE would provide better identifcation of motor impairment and planning for impairment-specifc treatment.

Data availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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Author contributions

K.K. and LQ.Z., designed the research; K.K., G.O., G.K. and LQ.Z. performed the experiments; K.K., and LQ.Z., analyzed data; K.K., and LQ.Z., wrote the paper. K.K and G.O., prepared fgures 1-2. All authors reviewed the manuscript.

Competing interests

Zhang has an equity position in Rehabtek LLC, which received U.S. federal funding in developing the IntelliArm rehabilitation robot used in this study. Other authors have no competing interests as defned by Nature Research, or other interests that might be perceived to infuence the results and/or discussion reported in this paper.

Additional information

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