









# Visualizing chaperone-mediated multistep assembly of the human 20S proteasome

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
Dedicated assembly factors orchestrate the stepwise production of many molecular machines, including the 28-subunit proteasome core particle (CP) that mediates protein degradation. Here we report cryo-electron microscopy reconstructions of seven recombinant human subcomplexes that visualize all five chaperones and the three active site propeptides across a wide swath of the assembly pathway. Comparison of these chaperone-bound intermediates and a matching mature CP reveals molecular mechanisms determining the order of successive subunit additions, as well as how proteasome subcomplexes and assembly factors structurally adapt upon progressive subunit incorporation to stabilize intermediates, facilitate the formation of subsequent intermediates and ultimately rearrange to coordinate proteolytic activation with gated access to active sites. This work establishes a methodologic approach for structural analysis of multiprotein complex assembly intermediates, illuminates specific functions of assembly factors and reveals conceptual principles underlying human proteasome biogenesis, thus providing an explanation for many previous biochemical and genetic observations.

Numerous cellular functions are performed by large multisubunit complexes. However, because of their size and complexity, many complexes cannot assemble spontaneously. Instead, they are built through ordered multistep pathways orchestrated by dedicated chaperones and assembly factors, which are excluded from the mature complex. One example is the proteasome, which mediates regulated intracellular protein degradation and degrades misfolded or aggregation-prone proteins associated with neurodegenerative and other diseases.

The proteasome's proteolytic active sites are centrally located inside its 700-kDa barrel-shaped core particle (CP). The CP is composed

of four stacked  $\alpha$ -rings and  $\beta$ -rings, each containing seven distinct  $\alpha$  or  $\beta$  subunits<sup>1,2</sup>. The active sites are sequestered within the CP interior and are, therefore, accessible only through a narrow-gated pore formed by the  $\alpha$ -ring at either end<sup>3</sup>.

Unlike conventional proteases, the proteasome harbors three different types of active site ( $\beta$ 1 or caspase-like,  $\beta$ 2 or trypsin-like and  $\beta$ 5 or chymotrypsin-like), each present twice<sup>2,4,5</sup>. This arrangement allows the proteasome to be both comprehensive in its substrate repertoire and processive. The proteasome's exceptional substrate specificity is not inherent in the CP but is provided through regulators that bind the  $\alpha$ -ring to open the CP gates and facilitate substrate entry.

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The best-characterized CP regulator is the 19S regulatory particle (RP), which recognizes ubiquitylated substrates<sup>6</sup>. Meanwhile, various PA28 isoforms form homohexamers or heterohexamers that enable the immunoproteasome to process peptides for antigen presentation<sup>7–9</sup>. Gate opening is achieved through C-terminal HbYX motifs (Hb, hydrophobic; Y, tyrosine; X, any residue) present in various CP regulators, which insert into the pockets between adjacent  $\alpha$  subunits<sup>10–17</sup>. PA200 also possesses an HbYX motif, although its cellular role remains unclear<sup>18–21</sup>.

Approximately 3,000 CPs are generated per minute in proliferating HeLa cells (copy number  $\sim 4 \times 10^6$ )<sup>22</sup>, with quantities increased in response to stress<sup>23</sup>. Given the abundance and complexity of CPs, it stands to reason that proteasome biogenesis depends on dedicated chaperones that facilitate assembly but are not subunits of mature proteasomes<sup>24–28</sup>. Previous work identified five CP chaperones: proteasome maturation protein (POMP, also called hUmp1)<sup>29–34</sup> and proteasome assembly chaperones 1–4 (PAC1–PAC4)<sup>35–38</sup>. Moreover, five of the seven  $\beta$  subunits ( $\beta 1$ ,  $\beta 2$  and  $\beta 5$ – $\beta 7$ ) are synthesized as inactive precursors with N-terminal propeptides, some of which contribute to CP biogenesis<sup>39–42</sup>. The importance of proper proteasome biogenesis is underscored by a group of human diseases caused by variations in these chaperones or regions of CP subunits critical for assembly<sup>43–45</sup>, and by the early embryonic lethality observed in mice with knockout of *Dusp2* (also known as *Pac1*)<sup>46</sup>.

CP biogenesis is thought to begin with the formation of a complete  $\alpha$ -ring in a process that requires PAC1–PAC2 (hereafter PAC1/PAC2) and PAC3–PAC4 (hereafter PAC3/PAC4)<sup>35–37,47–51</sup>, with the two heterodimers bound to opposite sides of the  $\alpha$ -ring. Next, the  $\beta$  subunits are thought to incorporate sequentially onto the  $\alpha$ -ring<sup>42</sup>, ultimately resulting in a half-CP. Two half-CPs then fuse to create the complete CP barrel. However, the CP remains inactive until cleavage of the  $\beta$  subunit propeptides, which occurs through a poorly understood autocatalytic mechanism for the active site subunits. Once activation occurs, POMP is destroyed, and PAC1/PAC2 binding is also eliminated, resulting in mature, active CP<sup>35,52,53</sup>.

In contrast to PAC1/PAC2, PAC3/PAC4 are released early during CP biogenesis, although the timing and trigger for release have remained unclear. PAC3/PAC4 are not present in the best-characterized intermediate, the 13S complex, which has been identified even in wild-type mammalian cells and consists of PAC1/PAC2, the complete  $\alpha$ -ring and  $\beta 2$ – $\beta 4$  (refs. 33,39,54,55). Although no structural information is yet available for PAC3/PAC4-containing intermediates, modeling of the corresponding yeast  $\alpha 5$ –Pba3–Pba4 (hereafter Pba3/Pba4) crystal structure onto a mature CP suggested steric clash between Pba3/Pba4 and the  $\beta 4$  subunit, suggesting that insertion of  $\beta 4$  might induce release of Pba3/Pba4 (ref. 47). Alternatively, knockdown of  $\beta 3$  in human cells resulted in accumulation of an intermediate containing all five chaperones<sup>42</sup>, raising the possibility that PAC3/PAC4 release is coordinated with insertion of  $\beta 3$ , despite the lack of obvious steric clash. Yet another possibility was suggested by steric clash between Pba3/Pba4 and Ump1 in high-resolution structures of yeast 13S and pre-15S intermediates<sup>56</sup>.

In addition to the uncertainty regarding PAC3/PAC4, other major aspects of CP biogenesis remain poorly understood. These include the mechanisms underlying the regimented stepwise production of the  $\beta$ -ring and the coupling of CP assembly with peptidase activation<sup>16,40,52,53,57</sup>. Here, we used cryo-electron microscopy (cryo-EM) to obtain and characterize structures of human assembly chaperone-containing proteasome CP subcomplexes. We report the structure for the PAC3/PAC4-containing CP intermediate and a series of structures containing increasing numbers of  $\beta$  subunits, yielding a detailed understanding of the stepwise assembly of the  $\beta$ -ring. This study greatly advances our understanding of proteasome assembly, with implications for the broader field of chaperone-mediated multi-protein complex biogenesis.

## Results

### Recombinant human CP expression

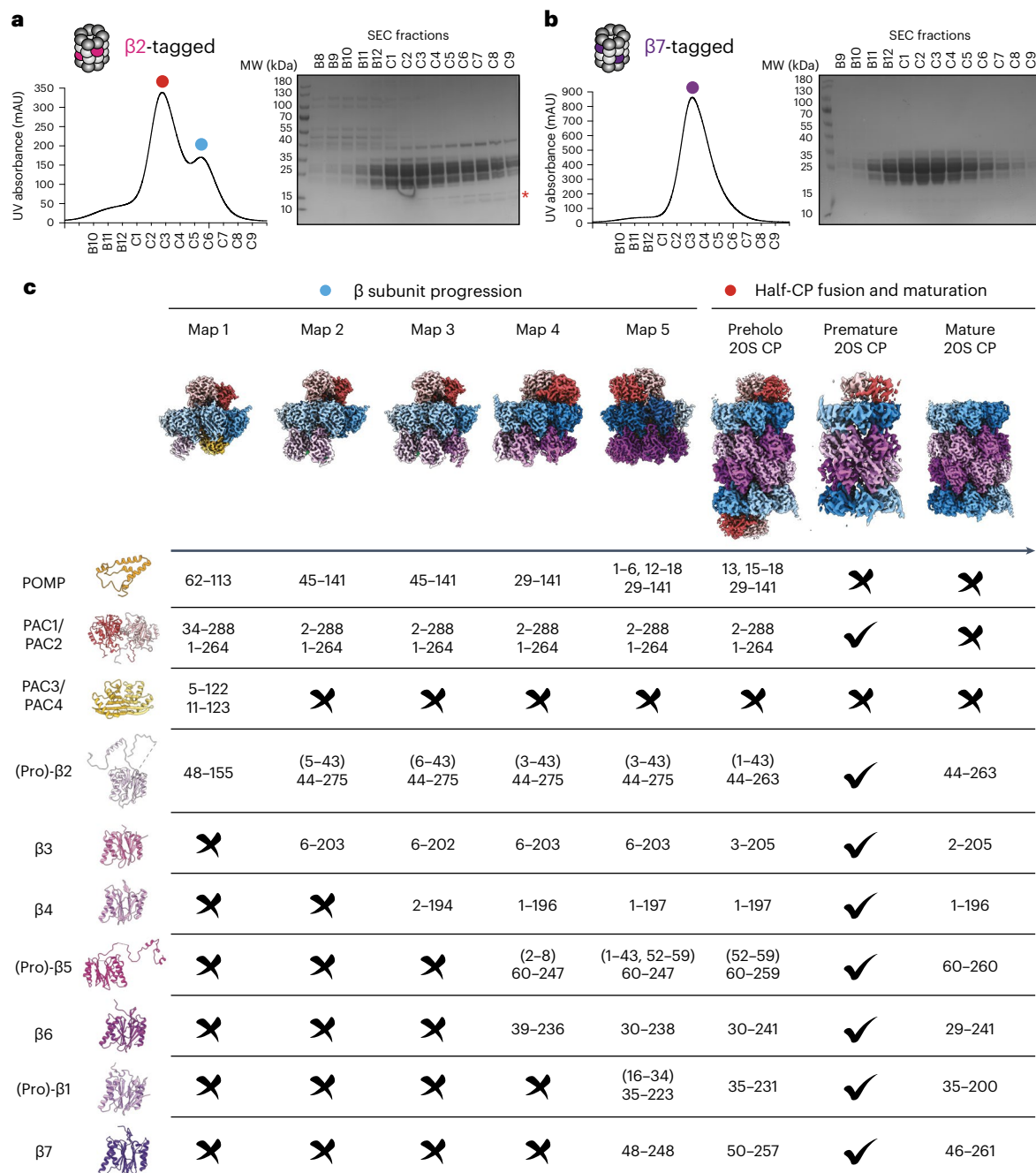
Recombinant systems have enabled the study of mature proteasome complexes<sup>20,58–60</sup>. To express human CP in insect cells, we used a combination of biGbac<sup>61</sup> and MultiBac<sup>62</sup> systems to generate baculovirus transfer vectors: one with all seven  $\alpha$  subunits, another with all seven  $\beta$  subunits and a third with the five CP assembly chaperones. For affinity purification, we appended a C-terminal twin-Strep tag to either  $\beta 2$  or  $\beta 7$ , the first and last CP subunits, respectively, to incorporate. We performed affinity purification on CP, followed by size-exclusion chromatography (SEC) (Fig. 1a,b). Both preparations showed the expected electrophoretic properties for mature CP. Furthermore, cryo-EM analyses of the peak fractions from both preparations yielded high-resolution structures that superimposed with native human 20S CP (root-mean-square deviation (r.m.s.d.) 0.703 Å) (Fig. 1c, right, and Extended Data Figs. 1a–e and 2).

The SEC profile for the  $\beta 2$ -tag purification revealed a shoulder peak consistent with lower-molecular-weight subcomplexes (Fig. 1a). SDS–PAGE analysis revealed additional proteins with a size of 12–15 kDa. Some assembly chaperones migrate in this size range, suggesting that these complexes might represent immature CP species. Cryo-EM analysis of these fractions yielded structures of five distinct immature CP subcomplexes with overall resolutions ranging from 2.67 to 2.95 Å (Fig. 1c, left, Extended Data Figs. 3 and 4 and Table 1). We order these structures on the basis of the number of visible  $\beta$  subunits, with their compositions indicated in Fig. 1c. We present the structures with  $\alpha$  subunits in blue and  $\beta$  subunits in purple, increasing in shade by subunit number ( $\alpha$ -ring) or order of incorporation ( $\beta$ -ring). These structures are consistent with the ordered, sequential addition of  $\beta$  subunits proposed from genetic knockdown studies in human cells<sup>42</sup>.

Structure 1 represents an early CP subcomplex and consists of the  $\alpha$ -ring, all five chaperones and  $\beta 2$  (Fig. 1c and Supplementary Video 1). Structures 2–5 consist of the  $\alpha$ -ring, chaperones POMP and PAC1/PAC2, and increasing numbers of  $\beta$  subunits (Fig. 1c and Supplementary Videos 2–5). In addition to mature CP, detailed analysis of the cryo-EM data from the higher-molecular-weight fraction revealed two supra-20S structures, referred to as ‘preholo 20S CP’ and ‘premature 20S CP’ (Fig. 1c, right, and Extended Data Fig. 1d–f). Structures 3 and 4 are analogous to recent structures of yeast 13S and pre-15S intermediates, respectively<sup>56</sup>. Meanwhile, cryo-EM maps of various resolutions have also shown constituents of yeast preholo 20S and premature 20S CP complexes<sup>63,64</sup>. Thus, the structural progression of human complexes lies within a conserved proteasome assembly pathway. Comparing the seven different structures to each other and to matched mature CP allows visualizing the conformational progression across the assembly pathway (Supplementary Video 6) and the specific molecular interactions occurring at each step, as described below.

### Chaperones coordinate $\alpha$ -ring formation and $\beta$ -ring initiation

Structure 1 shows PAC1/PAC2 and PAC3/PAC4 within the same complex and illustrates POMP-dependent initiation of  $\beta$ -ring assembly through its binding of both the  $\alpha$ -ring and  $\beta 2$  (Supplementary Video 1). Together, PAC1/PAC2 and PAC3/PAC4 bind all seven  $\alpha$  subunits (Fig. 2a,b, zoomed-out views). PAC1/PAC2 is perched atop the  $\alpha$ -ring (Fig. 2a), on the surface recognized by proteasome activators. This placement appears to serve two main purposes. First, it likely helps coordinate  $\alpha$ -ring configuration because PAC1/PAC2 contact all  $\alpha$  subunits except  $\alpha 3$  (Fig. 2c). Second, as observed for the yeast counterpart Pba1/Pba2 in later proteasome assembly intermediates<sup>27</sup>, PAC1/PAC2 directly bind the N termini from multiple  $\alpha$  subunits (Fig. 2a, close-up) and, through numerous interactions, orchestrate an open-gate conformation (Fig. 2d–g). Perhaps most dramatically, PAC1/PAC2 bind  $\alpha 5$ 's N terminus more than 20 Å away from the  $\alpha$ -ring (Fig. 2a and Supplementary Video 1). This interaction likely contributes to PAC1/PAC2's reported ability to bind  $\alpha 5$  in isolation<sup>35</sup>.



**Fig. 1 | Recombinant expression of human 20S proteasome in insect cells.**

**a**, Purification of recombinant  $\beta$ 2-tagged (PSMB7) 20S CP. Eluates were subjected to SEC, and the fractions were analyzed by SDS-PAGE, followed by Coomassie staining. The asterisk indicates bands in the later fractions (corresponding to complexes smaller than a mature 20S CP) of lower molecular weight than proteasome subunits that would correspond to the assembly chaperones PAC1-PAC4 and POMP. Red, blue and purple dots indicate fractions used for cryo-EM, with the later-migrating fraction (blue dot) yielding high-resolution structures 1–5 of 20S CP assembly intermediates and the early fraction (red dot)

yielding structures of  $\beta$ 2-tagged preholo, premature and mature 20S CP. MW, molecular weight; mAU, milli-absorbance units. **b**, Purification of recombinant  $\beta$ 7-tagged (PSMB4) 20S CP. The single-peak fraction (purple dot) yielded a high-resolution structure of  $\beta$ 7-tagged 20S CP. **c**, Cryo-EM maps of eight distinct CP subcomplexes. Their subunits, the chaperone configurations and the resolved region in each map are indicated. Check marks and cross marks indicate subunits that are visible or absent in the corresponding maps, respectively. Similar results for **a** and **b** were obtained in three independent experiments.

The visualized N termini from  $\alpha$  subunits have features in common with those in substrate-engaged 26S proteasome<sup>65</sup> (Supplementary Video 7), which shows an open gate. This arrangement sharply contrasts with the mature CP, wherein the N termini from  $\alpha$  subunits extend into and block access to the central pore<sup>2</sup>. Similar to known proteasome activators such as the RP (Fig. 2d)<sup>12,15,19–21,66</sup>, PAC1 contains a canonical HbYX motif (Ile-Tyr-Thr)<sup>48,56</sup>, which inserts into the  $\alpha$ 5– $\alpha$ 6

pocket (Fig. 2e). PAC2 contains a variant sequence (Leu-Phe), with Phe positioned in the  $\alpha$ 6– $\alpha$ 7 pocket similarly to conventional interactions with the Tyr residue from the HbYX motif (Fig. 2f).

PAC3/PAC4 sit on the opposite face of the  $\alpha$ -ring (that is, the future site of  $\beta$ 4– $\beta$ 7 subunits later during assembly) (Fig. 2a,b and Supplementary Videos 1 and 6). Consistent with the structure of the orthologous isolated yeast Pba3/Pba4– $\alpha$ 5 complex (Extended Data Fig. 5a)<sup>47,67,68</sup>,

**Table 1 | Cryo-EM data collection, refinement and validation statistics**

Map	1	2	3	4	5	5 <sup>B7</sup>	Preholo 20S CP	Premature 20S CP	20S CP	20S CP <sup>B7</sup>
<b>Data acquisition</b>										
PDB	8QYJ	8QYL	8QYM	8QZ9	8QYN	–	8QYS	–	8QYO	–
EMDB	EMD-18755	EMD-18757	EMD-18758	EMD-18773	EMD-18759	EMD-19342	EMD-18761	EMD-18762	EMD-18760	EMD-19343
<b>Data collection</b>										
Microscope	TFS Titan Krios	TFS Titan Krios	TFS Titan Krios	TFS Titan Krios	TFS Titan Krios	TFS Glacios	TFS Glacios	TFS Glacios	TFS Glacios	TFS Glacios
Voltage (kV)	300	300	300	300	300	200	200	200	200	200
Exposure navigation	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift	Stage movement and beamshift
Automation software	SerialEM	SerialEM	SerialEM	SerialEM	SerialEM	SerialEM	SerialEM	SerialEM	SerialEM	SerialEM
Detector	Gatan K3	Gatan K3	Gatan K3	Gatan K3	Gatan K3	Gatan K2 Summit	Gatan K2 Summit	Gatan K2 Summit	Gatan K2 Summit	Gatan K2 Summit
Energy filter	10eV	10eV	10eV	10eV	10eV	None	None	None	None	None
Nominal magnification	×105,000	×105,000	×105,000	×105,000	×105,000	×36,000	×22,000	×22,000	×36,000	×36,000
Pixel size (Å)	0.8512	0.8512	0.8512	0.8512	0.8512	1.181	1.885	1.885	1.181	1.181
Exposure time (s), frames	3/30	3/30	3/30	3/30	3/30	17.2/40	16/40	16/40	14/40	17.2/40
Exposure rate (e <sup>−</sup> Å <sup>−2</sup> s <sup>−1</sup> )	22.30	22.30	22.30	22.30	22.30	3.48	3.75	3.75	4.025	3.48
Electron exposure (e <sup>−</sup> Å <sup>−2</sup> )	66.90	66.90	66.90	66.90	66.90	60.00	60.00	60.00	56.35	60.00
Defocus range (μm)	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6	−1.0 to −2.6
Micrographs collected	19,310	19,310	19,310	19,310	19,310	2,573	6,560	6,560	2,327	2,573
<b>Reconstruction</b>										
Software	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2	cryoSPARC version 4.2
Micrographs used	18,006	18,006	18,006	18,006	18,006	2,171	4,429	4,429	1,804	2,171
Particles used in refinement	318,973	322,874	217,491	41,421	45,700	46,953	59,535	51,699	569,831	186,363
Symmetry	C1	C1	C1	C1	C1	C1	C2	C1	C2	C2
Overall resolution (Å) gold-standard FSC (masked)	2.73	2.67	2.73	2.95	2.88	4.11	3.89	3.93	2.84	3.13
Map local resolution range (Å)	2.38–6.09	1.84–5.88	1.84–6.13	1.83–7.41	1.83–7.41	3.63–9.36	3.89–7.43	3.93–8.97	2.645–4.99	2.78–6.38
Map sharpening B factor (Å <sup>2</sup> )	−102.1	−101.3	−98.1	−79.0	−76.2	−75	−92.8	−76.9	−93.8	−91.9
<b>Model refinement</b>										
Software	Phenix	Phenix	Phenix	Phenix	Phenix	–	Phenix	–	Phenix	–
Non-hydrogen atoms	19,402	20,997	22,235	24,217	29,115	–	57,862	–	48,036	–
Protein residues	2,498	2,675	2,848	3,213	3,731	–	7,588	–	6,184	–
Average B factors (Å <sup>2</sup> )	63.37	82.89	67.25	99.85	92.38	–	85.22	–	48.57	–
R.m.s.d.										
Bond lengths (Å)	0.002	0.003	0.002	0.003	0.004	–	0.003	–	0.005	–
Bond angles (°)	0.443	0.508	0.454	0.484	0.491	–	0.558	–	0.579	–
Ramachandran outliers (%)	0	0	0	0	0	–	0	–	0	–
<b>Validation</b>										
MolProbity score	1.05	1.24	1.20	1.44	1.33	–	2.03	–	1.26	–
Clashscore	2.60	3.83	2.86	3.64	3.20	–	8.98	–	3.39	–
Poor rotamers (%)	0	0	0	0	0	–	0	–	0	–
Model vs. map FSC=0.143 (masked, Å)	2.5	2.5	2.5	2.8	2.7	–	3.8	–	2.8	–
Model local resolution range (Å)	2.38–6.09	1.84–5.88	1.84–6.13	1.83–7.41	1.83–7.41	3.63–9.36	3.89–7.43	3.93–8.97	2.645–4.99	2.78–6.38



PAC3/PAC4 occupy the  $\alpha 3$ – $\alpha 7$  side of the ring, with  $\alpha 5$  binding at the PAC3/PAC4 interface (Fig. 2b). By occupying these sites, PAC3/PAC4 not only stabilize the  $\alpha$ -ring to provide a platform for  $\beta$ -ring assembly, but also preclude incorporation of the late  $\beta$  subunits, helping to order the incorporation of  $\beta$  subunits.

Unexpectedly, PAC3/PAC4 and the  $\beta$ -ring chaperone POMP directly interact (Fig. 2a,b). The same site in POMP bound by PAC3 is recognized later during assembly by the  $\beta 5$  propeptide (Extended Data Fig. 5b and Supplementary Videos 6 and 8). POMP consists of conserved helices and loops that become progressively more resolved upon the successive addition of  $\beta$  subunits, with POMP ultimately winding back and forth between the  $\alpha$ -rings and  $\beta$ -rings (Extended Data Fig. 5c and Supplementary Video 8). In structure 1, one end of POMP is enclosed by PAC3,  $\alpha 7$  and  $\alpha 1$  (Fig. 2h), with the other end enclosed by PAC4,  $\alpha 3$  and  $\alpha 4$  (Fig. 2i). These contacts rationalize previous observations of POMP's direct interaction with the  $\alpha$ -ring in isolation and with  $\alpha 3$ ,  $\alpha 4$  and  $\alpha 7$  according to a yeast two-hybrid assay<sup>42,69</sup>.

Lastly, structure 1 reveals  $\beta$ -ring initiation with  $\beta 2$ ; a PAC3/PAC4-bound complete  $\alpha$ -ring engages POMP, which co-recruits  $\beta 2$  (Supplementary Video 1). The well-resolved portion of  $\beta 2$  is that inserted between  $\alpha 1$  and  $\alpha 2$  and in contact with POMP (Fig. 2h). The distal regions of  $\beta 2$  are poorly resolved, presumably due to flexibility without constraint from adjacent  $\beta$  subunits.

### The $\beta 2$ propeptide and POMP secure $\beta 3$ and $\beta 4$

Structure 2 is distinguished by the loss of PAC3/PAC4 and addition of  $\beta 3$  (Fig. 2j,k and Supplementary Videos 2 and 6), and it explains previous observations from genetically engineered mammalian cells that  $\beta 3$  is the second  $\beta$  subunit to enter and this requires prior  $\beta 2$  addition<sup>42</sup>. The  $\beta 2$  subunit grasps  $\beta 3$  with both a C-terminal extension (CTE) and the  $\beta 2$  propeptide (Fig. 2j), rationalizing why  $\beta 3$  incorporation in mammalian cells requires these  $\beta 2$  elements<sup>42</sup>. Extending from the  $\beta 2$  active site Thr, the propeptide meanders, forms a helix crossing  $\beta 3$ 's interior surface and extends to secure newly visible regions of POMP that co-fasten  $\beta 3$  in the groove between  $\alpha 2$  and  $\alpha 3$  (Fig. 2j, bottom left). Meanwhile, part of  $\beta 2$ 's CTE forms a strand that extends a  $\beta$ -sheet from the adjacent  $\beta 3$ , similar to the arrangement seen in mature CP<sup>2,70</sup>.

$\beta 3$  is stabilized by newly visible residues of POMP (residues 45–61 and 114–141) in structure 2 compared to structure 1 (Fig. 2j). Residues 114–141 directly clamp  $\beta 3$  against the  $\alpha$ -ring through an interaction that is also buttressed by part of the  $\beta 2$  propeptide (Fig. 2j, bottom). POMP residues 45–61 buttress part of the  $\beta 2$  propeptide that secures the interior surface of  $\beta 3$  (Fig. 2j, bottom). Notably, the regions of the  $\beta 2$  propeptide and POMP that clamp  $\beta 3$  in place bind the same  $\alpha 3$  and  $\alpha 4$  surfaces as PAC3/PAC4 did in structure 1 (Fig. 2a,j, zoomed-out views, and Supplementary Video 6). This suggests that PAC3/PAC4 eviction may coincide with the stabilization of those regions of POMP and the  $\beta 2$  propeptide upon incorporation of  $\beta 3$ . This could explain why  $\beta 3$  knockdown causes accumulation of the five-chaperone-containing intermediate<sup>42</sup> even though  $\beta 3$  does not directly overlap with PAC3/PAC4.

Upon departure of PAC3/PAC4,  $\alpha$ -ring stability may be alternatively supported by POMP bridging  $\beta 2$ – $\beta 3$  and the  $\alpha$ -ring, as well as changes at the  $\alpha$ -ring pore. The N termini of  $\alpha 1$  and PAC1 are newly observed in structure 2, passing through the gate toward POMP (Fig. 2k and Supplementary Video 2). PAC1's N terminus runs alongside  $\alpha 1$ 's N terminus through the center of the  $\alpha$ -ring until PAC1 contacts a newly ordered region of POMP and makes a U-turn to terminate in the center of the  $\alpha$ -ring pore (Fig. 2k,l and Supplementary Video 2). Concomitantly, additional N-terminal residues of  $\alpha 2$ – $\alpha 4$  become visible, stabilized in an open conformation by a collar of Tyr residues between  $\alpha$ -ring subunits rather than by direct, extensive interactions with PAC1/PAC2, as occurs for  $\alpha 5$  (Extended Data Fig. 5d). This open-gate but occluded pore arrangement is maintained throughout the other assemblies we describe, including the preholo 20S CP.

Key differences between structures 1 and 2 set the stage for the  $\beta 4$  incorporation seen in structure 3 (Fig. 3a and Supplementary Video 3). One side of  $\beta 4$  binds a composite surface from  $\beta 3$  and the  $\beta 2$  propeptide, while its other side binds the  $\alpha 4$  region that was bound to PAC4 in structure 1 (Fig. 2a,m and Supplementary Video 6). Importantly, structure 3 corresponds to the well-recognized 13S precursor<sup>39,55</sup> and is largely superimposable on yeast 13S<sup>56</sup>, although there are notable differences accommodating the divergent PAC1 and yeast Pba1 N termini. First, while the N termini of both PAC1 and Pba1 thread through the open gate into the CP interior, in yeast, the N terminus of  $\alpha 2$  (ref. 66), not  $\alpha 1$ , runs alongside Pba1's N terminus (Extended Data Fig. 5e,f). Second, PAC1's longer N terminus loops back upward toward the  $\alpha$ -ring gate, whereas Pba1's N terminus ends in contact with Ump1 and the  $\beta 5$  propeptide<sup>56</sup> (Extended Data Fig. 5g).

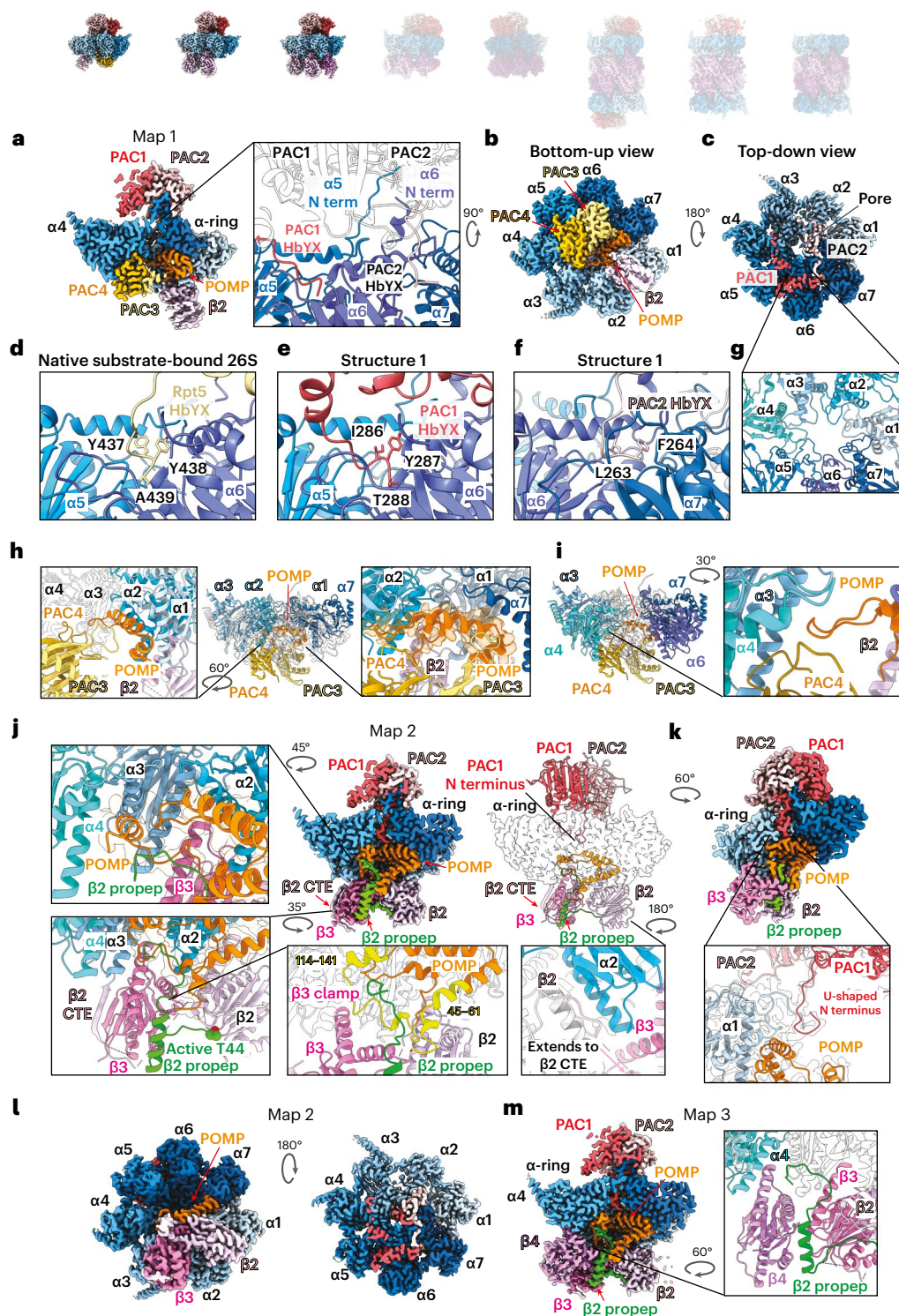
### A POMP–propeptide network internally scaffolds the $\beta$ -ring

Structure 4 corresponds to the yeast pre-15S intermediate<sup>56</sup> and contains the  $\alpha$ -ring,  $\beta 2$ – $\beta 6$ , PAC1/PAC2 and POMP, while structure 5 also contains  $\beta 1$  and  $\beta 7$  and shows better resolution of  $\beta 6$  (Supplementary Videos 4 and 5). These structures illustrate how portions of assembly factors become progressively structured as they secure the remaining  $\beta$  subunits (Supplementary Video 8 and Extended Data Figs. 6 and 7). Insertion of  $\beta 5$  and  $\beta 6$  is accompanied by increased visualization of POMP's N terminus (residues 29–44) (Fig. 3a,b, left) accompanied by redirection of the  $\beta 2$  propeptide's N terminus to fill a newly formed pocket at the confluence of POMP,  $\beta 4$ – $\beta 5$  and  $\alpha 3$ – $\alpha 4$  (Fig. 3a,b, right).

In structure 4, only the extreme N terminus (residues 2–8) of the  $\beta 5$  propeptide is visible, at the junction of  $\alpha 6$ ,  $\alpha 7$  and POMP. This resembles a harpoon, anchored 60 Å away from the rest of  $\beta 5$  (Fig. 3c,d and Supplementary Video 4). Structure 5 shows the assembly factors within a completed  $\beta$ -ring just before half-CP fusion (Supplementary Video 5). Additional elements in the  $\beta 5$  propeptide and POMP form a belt supporting the  $\beta 5$ – $\beta 1$  subunits (Fig. 4a,c). Broader regions of the  $\beta 5$  propeptide are newly visible in structure 5 compared to structure 4, suggesting that its folding is coordinated with the progressive addition of  $\beta$  subunits (Fig. 4a,b and Supplementary Videos 6 and 8). After emerging from mature  $\beta 5$ , the  $\beta 5$  propeptide winds back and forth between the  $\beta$ -ring and  $\alpha$ -ring to secure  $\beta 6$ ,  $\beta 7$  and  $\beta 1$  and then crosses POMP before terminating with the interactions already observed in structure 4 (Fig. 4b,c). The  $\beta 5$  propeptide's interactions at  $\beta 6$ 's interface with the  $\alpha$  subunits clarify observations that the  $\beta 5$  propeptide is essential for the incorporation of  $\beta 6$ , while  $\beta 5$  does not require its own propeptide for incorporation<sup>42</sup>. Meanwhile, newly visible N-terminal regions of POMP in structure 5 support the  $\beta 6$ – $\beta 5$  and  $\beta 1$ – $\beta 2$  pairs of subunits (Fig. 4b, right). A portion of the  $\beta 1$  propeptide (residues 16–34), visualized in structure 5, resembles a staple extending from  $\beta 1$  to  $\beta 7$  (Fig. 4d).

### Half-CP fusion and proteolytic activation

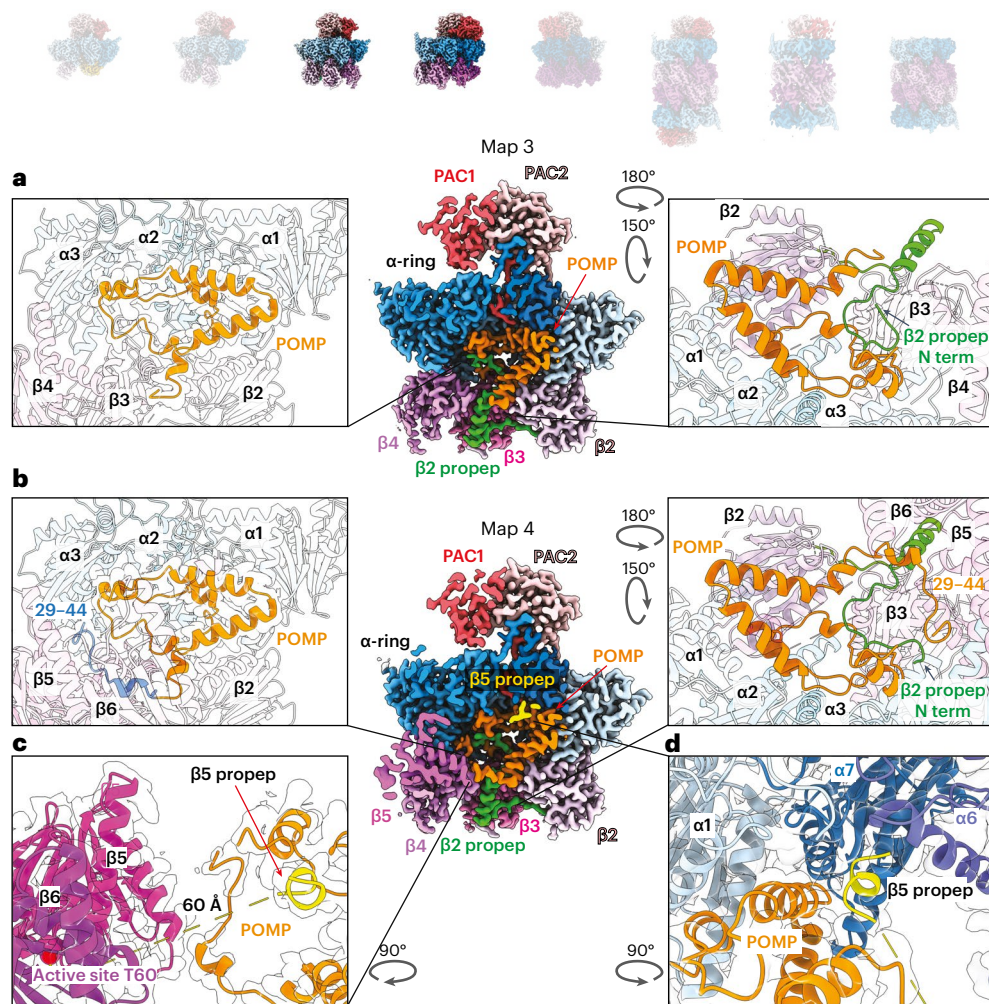
Comparing the half-CP in structure 5 and the preholo, premature and mature 20S CP structures reveals conformational changes accompanying half-CP fusion and eventual loss of propeptides, POMP and PAC1/PAC2 during 20S proteasome maturation (Fig. 5a and Supplementary Video 9). The most striking differences between structure 5 and preholo 20S CP are in the conformations of the  $\beta$  subunits. Fusion of two half-CPs coaxes the proteases toward active conformations (Fig. 5b–e). In particular, two key elements from each subunit along the  $\beta$ -ring– $\beta$ -ring interface are a  $\beta$ -hairpin (for example, residues 61–75 of  $\beta 2$ ) and adjacent loop (for example, residues 205–215 of  $\beta 2$ ) (Fig. 5c). We refer to these as 'fusion hairpins' and 'fusion loops', respectively, because they interlock in a zipper-like structure with those from the opposing subunit across the  $\beta$ -ring– $\beta$ -ring interface (Extended Data Fig. 8a–h). However, in the half-CP (structure 5), these elements are exposed, and either the majority are not visible and presumably dynamic ( $\beta 2$ ,  $\beta 3$ ,  $\beta 5$  and  $\beta 1$ ) or the  $\beta$ -hairpin is splayed outward or inward relative to



**Fig. 2 | Structural progression of early assembly intermediates.** Cryo-EM maps of eight distinct CP subcomplexes; opaque images represent complexes described in the figure. **a**, Cross-section side view of cryo-EM map of structure 1 showing all five assembly chaperones. PAC1/PAC2 are perched atop the  $\alpha$ -ring and interact with all  $\alpha$  subunits except for  $\alpha 3$ . term, terminus. **b**, Bottom-up view of cryo-EM map of structure 1 showing PAC3/PAC4 in the groove between  $\alpha 4$ – $\alpha 5$  and  $\alpha 5$ – $\alpha 6$  and  $\beta 2$  in the groove between  $\alpha 1$  and  $\alpha 2$ . **c**, Top-down view of map 1 showing the interaction of PAC1/PAC2 with the  $\alpha$ -ring; the central pore is open. **d**, Close-up view of the HbYX motif of Rpt5 inserted in between  $\alpha 5$  and  $\alpha 6$  in native substrate-bound 26S proteasome (PDB 6MSJ). **e**, Close-up view of the HbYX motif of PAC1 inserted between  $\alpha 5$  and  $\alpha 6$  in structure 1. **f**, Close-up view of the HbYX motif of PAC2 inserted between  $\alpha 6$  and  $\alpha 7$  in structure 1. **g**, Top-down view of structure 1 (PAC1/PAC2 not shown) showing that the  $\alpha$ -ring ‘gate’ is open.

**h**, Side view of structure 1 showing that PAC3/PAC4 interact with  $\alpha 3$ – $\alpha 7$  of the  $\alpha$ -ring. **i**, Side view of structure 1 showing that POMP interacts with  $\alpha 1$ – $\alpha 3$  and  $\alpha 7$  of the  $\alpha$ -ring. **j**, Cross-section view of cryo-EM map of structure 2 showing that the incorporation of  $\beta 3$  is mutually stabilized by the  $\beta 2$  propeptide (propep) and  $\beta 2$  CTE. Close-up view: the additional POMP N-terminal region interacting with the  $\beta 2$  propeptide and  $\beta 3$ . The  $\beta 2$  propeptide is colored green. Most of POMP is colored orange, but the designated regions are yellow. **k**, Close-up view of the PAC1 N terminus of structure 2 showing its interaction with  $\alpha 1$  and POMP. **l**, Left: bottom-up view of cryo-EM map of structure 2 showing that the release of PAC3/PAC4 liberates grooves between  $\alpha 4$ – $\alpha 5$  and  $\alpha 5$ – $\alpha 6$  and that  $\beta 3$  occupies the  $\alpha 2$ – $\alpha 3$  groove. Right: top-down view showing that the pore is closed. **m**, Cross-section view of cryo-EM map of structure 3 showing the incorporation of  $\beta 4$  and its interaction with  $\beta 3$  and the  $\alpha$ -ring.





**Fig. 3 | Distinct structural features upon  $\beta 5$  and  $\beta 6$  incorporation.** Cryo-EM maps of eight distinct CP subcomplexes; opaque images represent complexes described in the figure. **a**, Cross-section view of cryo-EM map of structure 3 showing PAC1/PAC2, POMP and  $\beta 2$ – $\beta 4$ . Left: close-up view of POMP showing its helix–turn–helix configuration and its interactions with the  $\alpha$ -ring and  $\beta$  subunits. Right: close-up view revealing the interaction between POMP and the  $\beta 2$  propeptide. **b**, Cross-section view of cryo-EM map of structure 4 showing PAC1/PAC2, POMP and  $\beta 2$ – $\beta 5$  upon  $\beta 5$  and  $\beta 6$  incorporation ( $\beta 6$  is cropped in the

cross-section). Left: close-up view of POMP showing that the additional POMP N-terminal region (blue) is resolved and stabilizes  $\beta 5$  and  $\beta 6$  incorporation. Right: close-up view of the  $\beta 2$  propeptide (green) revealing that the additional resolved POMP N-terminal region interacts with and reorients the N terminus of the  $\beta 2$  propeptide. **c**, Close-up view of  $\beta 5$ ,  $\beta 6$  and POMP showing that the additional resolved N-terminal region of POMP interacts with the  $\beta 5$  loop. The  $\beta 5$  propeptide is colored yellow. **d**, Close-up view of the  $\beta 5$  propeptide showing its interaction with  $\alpha 6$ ,  $\alpha 7$ ,  $\alpha 1$  and POMP.

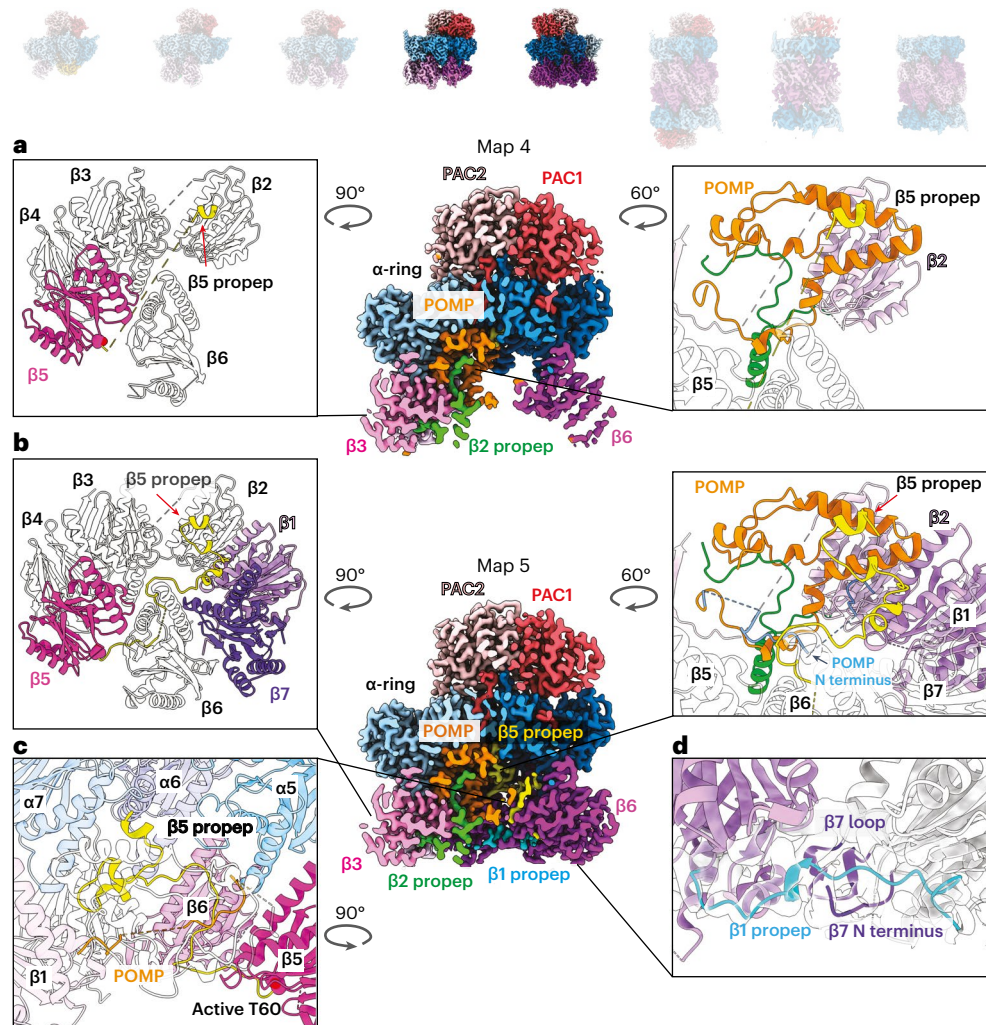
the subunit center ( $\beta 4$  and  $\beta 7$ ) (Extended Data Fig. 8b–h). The fusion hairpins and fusion loops become visible and/or are reoriented upon fusion, as seen in the preholo intermediate, where their conformations resemble the mature CP (Extended Data Fig. 8i–k).

Overall, the structural similarity of  $\beta 1$ ,  $\beta 2$  and  $\beta 5$  between the preholo 20S intermediate and mature 20S CP is consistent, with fusion having a major role in driving autocatalytic activation. When ordered after fusion, the fusion hairpins and fusion loops about the catalytic Thr residues of  $\beta 1$ ,  $\beta 2$  and  $\beta 5$ , and they shape the active sites of the protease subunits (Fig. 5c–e). Furthermore, the fusion loop contains key residues required for autocatalytic protease activation<sup>53</sup>.

For the  $\beta 2$  subunit, there is additional restructuring of the protease domain. In structures 3–5,  $\beta 2$ 's residues 225–237 face the  $\alpha$ -ring and cling to the adjacent  $\alpha 2$  subunit (Fig. 5c). This interaction was not observed in proteasome assembly intermediates from yeast because of this  $\alpha 2$  region's different sequence and structure (Extended Data Fig. 8l). For human  $\beta 2$ , the post-fusion structures show residues 225–237 making a U-turn and proceeding toward the other half-CP (Fig. 5c, middle). This structural remodeling, commensurate with the fusion of

two half-CPs, completes  $\beta 2$ 's protease fold. This conformational change also directs subsequent  $\beta 2$  residues (237–247) toward the opposite half-CP. These  $\beta 2$  residues were not visible and presumably dynamic in the structures across  $\beta$ -ring assembly, but, after fusion, they formed a belt engaging  $\beta 6$  from the opposite half-CP (Fig. 5c, bottom).

Interestingly, in the preholo 20S CP structure, density corresponding to the propeptides continues from the  $\beta 2$  and  $\beta 5$  active sites (Extended Data Fig. 8m,n). Meanwhile, prior crystallographic and functional analyses of yeast proteasomes harboring variations in the propeptides and residues adjacent to the active site suggested that the rate of propeptide cleavage is influenced by even subtle perturbation of (1) backbone conformation at the junction between the active site and propeptide; (2) adjacent side chains including a critical Lys; and (3) the constellation of surrounding water molecules<sup>40,53</sup>. Although the resolution of our structures precludes precise placement of atoms and visualization of water molecules, the preholo 20S CP intermediate structure shows features poised to impact these facets of propeptide maturation. First, the  $\beta 2$  and  $\beta 5$  propeptides make numerous contacts that impact their orientation. For the  $\beta 2$  propeptide, these



**Fig. 4 | Incorporation of  $\beta 7$  and  $\beta 1$  completes the  $\beta$ -ring and reveals propeptides from all proteolytic subunits.** Cryo-EM maps of eight distinct CP subcomplexes; opaque images represent complexes described in the figure. **a**, Cross-section view of cryo-EM map of structure 4 showing PAC1/PAC2, POMP,  $\beta 3$  and  $\beta 6$  upon  $\beta 5$  and  $\beta 6$  incorporation ( $\beta 2$  is hidden behind, and  $\beta 4$ – $\beta 5$  are cropped in the cross-section). Left: close-up view of  $\beta$ -ring. Right: interaction between  $\beta 5$  propeptide and POMP. The  $\beta 2$  propeptide is colored green, and the  $\beta 5$  propeptide is colored yellow. **b**, Cross-section view of cryo-EM map of structure 5 showing PAC1/PAC2, POMP,  $\beta 3$  and  $\beta 6$  upon  $\beta 7$  and  $\beta 1$  incorporation ( $\beta 7$  and  $\beta 1$ – $\beta 2$  are hidden behind, and  $\beta 4$ – $\beta 5$  are cropped in the cross-section).

Left: close-up view showing that the additional  $\beta 5$  propeptide is resolved upon completion of the  $\beta$ -ring compared to  $\beta 5$  in structure 4. Right: close-up view of the  $\beta 5$  propeptide's interaction with POMP,  $\beta 1$  and  $\beta 2$  showing that POMP's N terminus (blue) is resolved upon completion of the  $\beta$ -ring and interacts with the  $\beta 5$  propeptide. The  $\beta 2$  propeptide is colored green, and the  $\beta 5$  propeptide is colored yellow. **c**, Close-up view of the  $\beta 5$  propeptide's interaction with  $\alpha 5$ – $\alpha 7$ ,  $\beta 5$ – $\beta 6$  and  $\beta 1$ . **d**, Close-up view of newly incorporated  $\beta 1$  and  $\beta 7$  revealing the  $\beta 1$  propeptide and its interaction with the  $\beta 7$  N terminus and loop. The  $\beta 1$  propeptide is colored cyan.

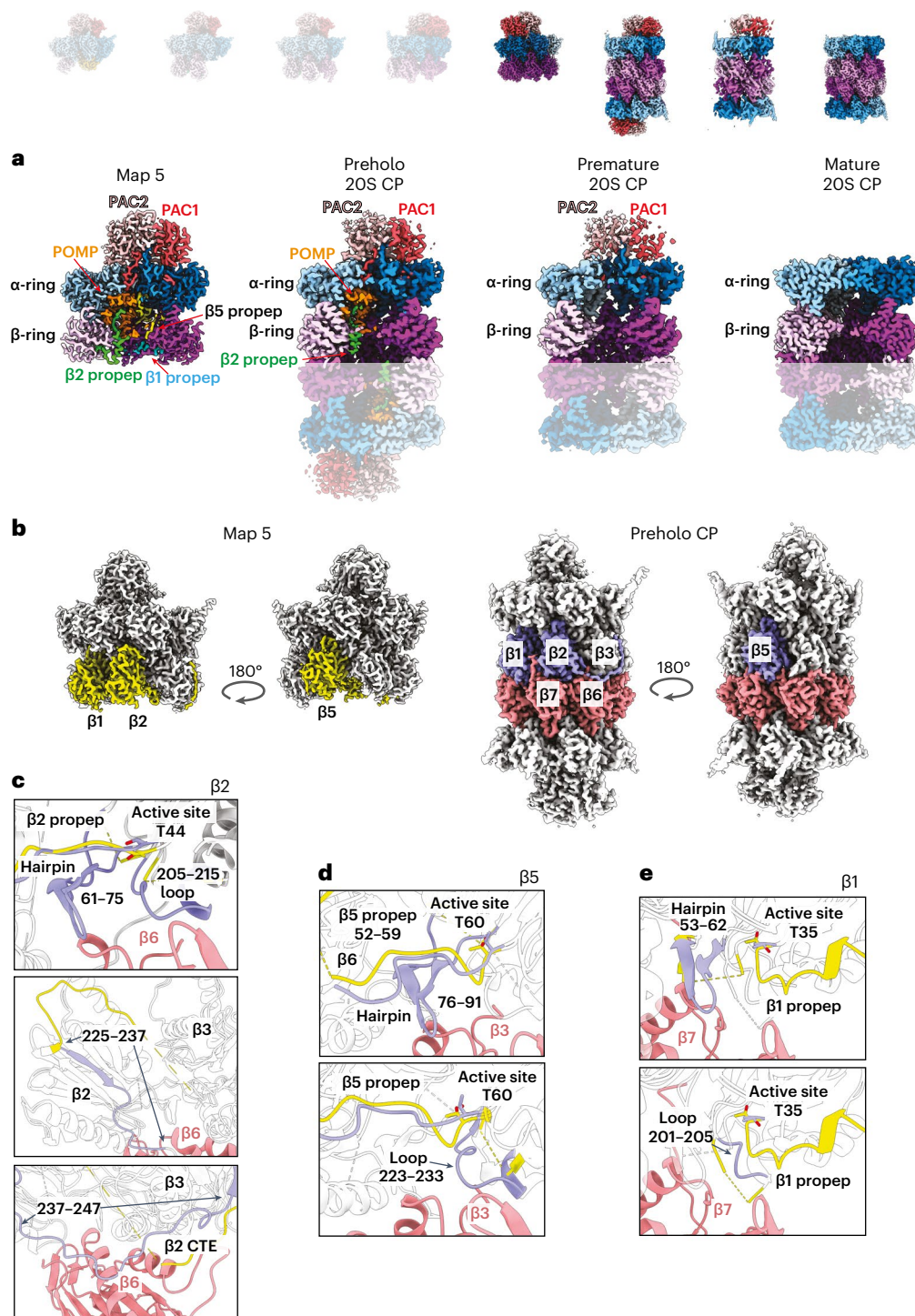
mirror those in structure 5 and include interactions with  $\beta 3$ ,  $\beta 4$ ,  $\alpha 3$  and POMP (Extended Data Fig. 8m). Meanwhile, residues 52–59 of the  $\beta 5$  propeptide retain contacts with  $\beta 6$  (Fig. 5d). Second, the propeptides align their active site and adjacent residues critical for their cleavage<sup>53</sup> (Fig. 5c–e).

Although the human preholo 20S CP exhibits two-fold symmetry and maintains much of the assembly factor scaffold, only a single PAC1/PAC2 is visible in the premature complex (Fig. 5a). Notably, this premature configuration was proposed in the original description of PAC1/PAC2-mediated assembly<sup>35</sup> and observed, albeit at  $>20$  Å resolution, for yeast<sup>63</sup>. We presume that an intermediate with double capping of the 20S CP by PAC1/PAC2 and with POMP already released exists but has not been observed. We speculate that the combination of a relatively lower abundance than for the preholo intermediate and a larger size than for the mature 20S CP limited the number of particles in the chromatography fraction we examined by cryo-EM. Nonetheless, the premature

20S CP structure suggests that PAC1/PAC2 elimination follows POMP degradation. Although the  $\sim 5$  Å local resolution at the two ends of the premature 20S CP precludes definitive mechanistic conclusions, we note that the PAC1/PAC2-bound side superimposes well with the corresponding portion of structure 1 (Supplementary Video 10) and with a crystal structure of yeast Pba1/Pba2-bound mature CP<sup>49</sup>.

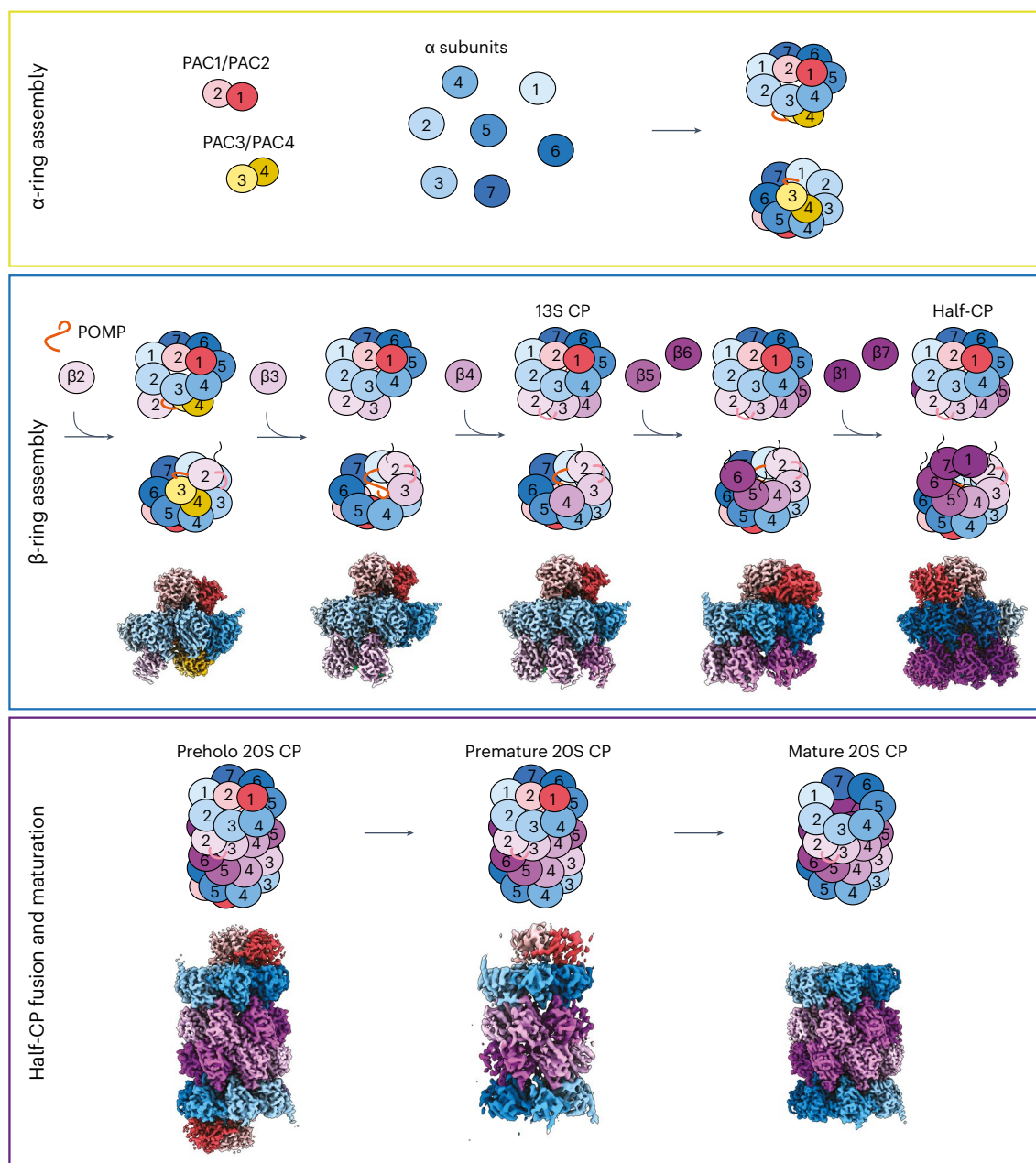
The preholo, premature and mature 20S CP complexes also show differences in the  $\alpha$ -ring, which primarily impact gating and accessibility of the central pore (Supplementary Video 10). The  $\alpha$ -ring is similar across structures 2–5 and the preholo 20S CP structure (Supplementary Video 7), where it is largely occluded by elements coming from both directions (Extended Data Fig. 5g). The  $\alpha 1$  and PAC1 N termini point from the outside toward the inside of the barrel. From the inside, POMP binds PAC1's N terminus to further occupy the pore. These elements are not visible in the premature 20S CP structure, but the majority of the N termini from  $\alpha$  subunits are still directed outward due to engagement





**Fig. 5 | Half-CP fusion and 20S CP maturation.** Cryo-EM maps of eight distinct CP subcomplexes; opaque images represent complexes described in the figure. **a**, Cross-section view of cryo-EM map of structure 5, preholo 20S CP, premature 20S CP and mature 20S CP showing the earlier presence and subsequent absence of assembly chaperones and  $\beta$  propeptides during the 20S CP fusion and maturation process. **b**, Cryo-EM map of structure 5 with  $\beta 1$ ,  $\beta 2$  and  $\beta 5$  colored in yellow. Cryo-EM map of preholo 20S CP with  $\beta 1$ ,  $\beta 2$  and  $\beta 5$  of one half-CP colored in purple and the other half-CP colored in salmon. **c**, Close-up view of  $\beta 2$ 's structural changes ( $\beta 2$  from structure 5 colored in yellow and  $\beta 2$  from preholo 20S CP colored in purple) and interactions with  $\beta 6$  (salmon) from the opposite half-CP upon CP fusion. The active site position is shown and fusion hairpin and

fusion loop elements are labeled 'hairpin' and 'loop', respectively. **d**, Close-up view of  $\beta 5$ 's structural changes ( $\beta 5$  from structure 5 colored in yellow and  $\beta 5$  from preholo 20S CP colored in purple) and interactions with  $\beta 3$  (salmon) from the opposite half-CP upon CP fusion. The active site position is shown and fusion hairpin and fusion loop elements are labeled 'hairpin' and 'loop', respectively. **e**, Close-up view of  $\beta 1$ 's structural changes ( $\beta 1$  from structure 5 colored in yellow and  $\beta 1$  from preholo 20S CP colored in purple) and interactions with  $\beta 7$  (salmon) from the opposite half-CP upon CP fusion. The active site position is shown and fusion hairpin and fusion loop elements are labeled 'hairpin' and 'loop', respectively.



**Fig. 6 | Schematic representation of chaperone-mediated stepwise assembly of the 20S CP and summary of high-resolution structures of human assembly intermediates.** Top: assembly of the 20S CP through  $\alpha$ -ring assembly (yellow outline). Middle:  $\beta$ -ring assembly (blue outline). Bottom: half-CP fusion and

maturation (purple outline). High-resolution maps of human CP assembly intermediates presented in this study are indicated below their schematic representations.

of PAC1/PAC2. PAC1/PAC2, thus, enforce the open-gate conformation until the very end of CP maturation. The premature 20S CP would set the stage for the N termini of  $\alpha$ 2– $\alpha$ 5 to fill the pore from the opposite side of PAC1/PAC2's anchor, thereby adopting the closed-gate conformation of the mature 20S CP (Supplementary Video 10).

## Discussion

Given their central cellular roles, it is important to understand how proteasomes are generated (Fig. 6). The seven cryo-EM reconstructions of recombinant human CP assembly complexes reported here include structures not previously observed for several proteasome assembly intermediates, including an intermediate containing PAC3/PAC4. Structure 1 reveals how the  $\beta$ -ring is initiated and why  $\beta$ 2 is the first subunit to incorporate. This structure also reveals two probable

and unexpected contributions of PAC3/PAC4 to the  $\beta$ -ring. First, PAC3/PAC4 directly bind POMP. Second, PAC3/PAC4 may help to order the incorporation of  $\beta$  subunits by preventing the untimely incorporation of later subunits through occlusion of their binding sites. Structure 2, when compared to structures 1 and 3, shows progressive steps by which individual subunits are added. Structure 5, the half-CP, reveals the function of the  $\beta$ 5 propeptide in completing  $\beta$ -ring assembly and its collaboration with POMP in this process; structure 5 also shows how the proteasome active sites are restrained before half-CP fusion.

Structures 3 and 4, as well as the human preholo intermediate, also allow comparison to previously determined structures of the corresponding yeast 13S, pre-15S and preholo intermediates<sup>56,64</sup>, both validating our approach and revealing conserved or species-specific aspects of assembly. A striking feature was the overall high degree

of structural conservation of POMP and the  $\beta 2$  and  $\beta 5$  propeptides, despite relatively low sequence similarity between species.

The structures also reveal key conceptual principles concerning the directionality of CP biogenesis, which may have relevance for other molecular machines. In principle, the assembly of any 28-subunit complex could proceed through an almost infinite number of distinct pathways. In mature CP, each subunit is engaged in lateral, vertical and even diagonal interactions with its neighbors. These interactions are reflected in the exceptional stability of the CP, which can withstand harsh purification conditions (for example, 0.5 M NaCl)<sup>56,71</sup>. These interfaces cannot be fully occupied during CP assembly. Many unpartnered regions of proteasome subunits and assembly factors appear flexible, as reflected in the lack of density in the cryo-EM maps. Each incoming subunit 'structures' or rigidifies its neighboring subunit or chaperone in a templating-like manner. Because each interface is unique, binding affinity should naturally be highest for true neighbors, which should drive the pathway forward through energetic favorability. Insertion of each new subunit not only stabilizes the existing assembly intermediate, but also promotes the formation of the next intermediate until the  $\beta$ -ring is complete. By reducing the number of available assembly pathways, this should increase the fidelity of biogenesis. Here, this ensures sequestration of the proteasome's destructive capabilities inside the gated CP.

Untimely incorporation of subunits may also be prevented by a series of previously unknown structural checkpoints. For example, the chaperones initiating  $\beta$ -ring assembly—PAC3/PAC4 and POMP—together bind all  $\alpha$  subunits, ensuring that  $\beta$ -ring assembly is initiated only after completion of the  $\alpha$ -ring. As another example, several structural features require proper incorporation of  $\beta 2$ – $\beta 4$  before  $\beta 5$ . In turn, the  $\beta 6$ ,  $\beta 1$  and  $\beta 7$  subunits, which are secured by the  $\beta 5$  propeptide, are stabilized only after  $\beta 5$  insertion.

We demonstrate roles for the active site subunit propeptides in helping to recruit and stabilize their neighboring subunits, which are achieved not in isolation but through a complex network of interactions with POMP. Indeed, one can conceive of CP assembly as a process of progressively building an internal structured scaffold within the growing complex (Supplementary Video 8) and then self-disassembling that scaffold once proteolytic activation has occurred (Supplementary Video 9).

Interestingly, higher organisms encode additional  $\beta$  subunits that are incorporated into immune-specific proteasomes<sup>28</sup>. Differences between the canonical sequences used here and the immunoproteasome mapped on structures 1–5 show sequence variations in propeptides and several other residues making contacts along the assembly process but that are exposed in the mature complex (Extended Data Fig. 9a,b). Thus, future studies may reveal distinct assembly features of specialized proteasomes.

Similar to  $\beta$ -ring assembly, but on a larger scale, complementary protein segments not observed in the chaperone-bound half-CP (structure 5) simultaneously become visible in the preholo intermediate. These include what we refer to as fusion hairpins and fusion loops, which contribute to the active site configuration in  $\beta 1$ ,  $\beta 2$  and  $\beta 5$  and have been implicated in autocatalytic propeptide processing<sup>53</sup>. Interestingly, the one subunit that shows little change between the half-CP and preholo CP is  $\beta 6$ . In contrast, its midline partner,  $\beta 2$ , shows the most large-scale remodeling during half-CP fusion.  $\beta 6$  may assist in templating this process, with its preformed fusion hairpin and fusion loop capturing those from the opposing  $\beta 2$ . It is possible that  $\beta 6$  ensnaring the opposite  $\beta 2$  CTE loop acts as a lever that redirects the preceding sequence and promotes completion of the adjacent protease fold.

Meanwhile, structural progression from preholo to premature to mature 20S CP illuminates the final stages of assembly. As neither POMP nor the propeptides are visible in premature 20S, propeptide cleavage might also destabilize the internal assembly factor scaffold, allowing for its degradation. PAC1/PAC2 binding, in turn, might be destabilized

by loss of contacts between PAC1's N terminus and POMP. Future studies will be required to determine whether PAC1/PAC2 are degraded during assembly or in isolation after liberation from mature CP.

Defects in CP assembly are responsible for a growing family of related diseases characterized by immune dysregulation<sup>27,43–45</sup>. Our study provides a system to determine the functional consequences of patient-derived variations for CP assembly. In the long term, a more complete understanding of CP assembly in normal and diseased states might lead to therapeutic interventions to enhance or impair the efficiency of CP biogenesis. Lastly, the strategy used here to visualize sequential intermediates along complex assembly pathways could be applied to other molecular machines, which in turn could be helpful in understanding diseases characterized by defects in multiprotein complex biogenesis.

## Online content

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41594-024-01268-9>.

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## Methods

### Strategy to visualize human 20S proteasome assembly intermediates

**Considerations.** To date, structural knowledge of chaperone-bound eukaryotic 20S CP assembly intermediates has largely relied on strategies applied to budding yeast<sup>47,49,56,63,64</sup>, including (1) the use of mutant strains that stall the assembly and, thus, increase the cellular abundance of such intermediates; (2) affinity tagging a chaperone (Ump1) to purify its associated proteins; (3) mixing separately purified chaperone and CP complexes; and (4) coexpression and purification of subcomplexes for X-ray crystallography. Meanwhile, it would seem that the roughly tenfold-lower cellular concentrations of assembly chaperones compared to  $\alpha$  subunits and  $\beta$  subunits<sup>72</sup>, as well as the purification procedures to enrich for fully assembled complexes, would pose challenges for structurally characterizing the intermediates along human 20S CP assembly. However, ever since earlier reports of PAC1/PAC2 and PAC3, there has been evidence of intermediate chaperone assemblies in mammalian cells<sup>35,36</sup>. More recent proteome-wide interactome studies performed by immunoprecipitation with mass spectrometry of C-terminally tagged  $\beta$  subunits indicated interaction with not only other stable proteasome components, but also the chaperones PAC1–PAC4 (PSMG1–PSMG4) and POMP. Endogenously tagged 20S CP components were shown to interact not only with proteasome subunits, but also with chaperones at substantially lower stoichiometry<sup>72</sup>. Moreover, purifications based on exogenously expressed affinity-tagged  $\beta 2$  (PSMB7)—but not  $\beta 7$  (PSMB4)—showed the early-departing assembly factors PAC3 and PAC4 (PSMG3 and PSMG4). Thus, it stands to reason that the challenges when enriching human 20S CP intermediates could in principle be overcome by (1) increasing the expression of assembly chaperones; (2) affinity purification based on tagging the first  $\beta$  subunit ( $\beta 2$ ) to be incorporated; and (3) size fractionation such that complexes within a sample would be of relatively more similar mass. To achieve this, we used systems for high-level expression of protein complexes in insect cells.

**Cloning.** The complementary DNA (cDNA) encoding 20S CP subunits and assembly chaperones was either obtained from an in-house human cDNA library (Max Planck Institute of Biochemistry) or ordered as synthetic cDNA from Eurofins or IDT, if multiple restriction sites were to be occluded. Baculovirus transfer vectors were assembled using a combination of the biGbac system<sup>61</sup> and third-generation MultiBac system<sup>62</sup>. All primers used are listed in Supplementary Table 1. In short, all subunits were first cloned by Gibson assembly into the vector pACEBac1, which was used as a library vector. For  $\beta 2$  (PSMB7) and  $\beta 7$  (PSMB4), both untagged and tobacco etch virus (TEV)-cleavable C-terminal twin-Strep tag constructs were generated. Primers for step 1 assembly of the biGbac system were modified to prime before the *polh* promoter and SV40pA site within pACEBac1 (ref. 62). Step 1 assembly reactions were set up to obtain the vectors pACEBac1-POMP-PSMG1-PSMG2-PSMG3-PSMG4 (to express POMP, PAC1, PAC2, PAC3 and PAC4; Addgene plasmid 214137, Research Resource Identifier (RRID) Addgene\_214137), pACEBac1-PSMA1-PSMA2-PSMA3-PSMA4 (Addgene plasmid 217893, RRID Addgene\_217893), pACEBac1-PSMA5-PSMA6-PSMA7 (Addgene plasmid 217894, RRID Addgene\_217894), pACEBac1-PSMB1-PSMB2-PSMB3-PSMB4 (Addgene plasmid 217895, RRID Addgene\_217895), pACEBac1-PSMB5-PSMB6-PSMB7-TEV-2xSTII (Addgene plasmid 217896, RRID Addgene\_217893217896), pACEBac1-PSMB1-PSMB2-PSMB3-PSMB4-TEV-2xSTII (Addgene plasmid 217897, RRID Addgene\_217897) and pACEBac1-PSMB5-PSMB6-PSMB7 (Addgene plasmid 217898, RRID Addgene\_217898). Subsequently, the final vectors pACEBac1-PSMA1-PSMA2-PSMA3-PSMA4-PSMA5-PSMA6-PSMA7 (to express  $\alpha 6$ ,  $\alpha 2$ ,  $\alpha 7$ ,  $\alpha 3$ ,  $\alpha 5$ ,  $\alpha 1$  and  $\alpha 4$ ; Addgene plasmid 214138, RRID Addgene\_214138), pACEBac1-PSMB1-PSMB2-PSMB3-PSMB4-PSMB5-PSMB6-PSMB7-TEV-2xSTII (to express  $\beta 6$ ,  $\beta 4$ ,  $\beta 3$ ,  $\beta 7$ ,  $\beta 5$ ,  $\beta 1$  and  $\beta 2$  with C-terminal twin-Strep tag; Addgene plasmid 214139, RRID

Addgene\_214139) and pACEBac1-PSMB1-PSMB2-PSMB3-PSMB4-TEV-2xSTII-PSMB5-PSMB6-PSMB7 (to express  $\beta 6$ ,  $\beta 4$ ,  $\beta 3$ ,  $\beta 7$  with C-terminal twin-Strep tag,  $\beta 5$ ,  $\beta 1$  and  $\beta 2$ ; Addgene plasmid 214140, RRID Addgene\_214140) were assembled by restriction cloning using the MultiBac multiplication module.

**Protein expression and purification.** *Sf9* insect cells (Thermo Fisher Scientific, catalogue number 11496015, RRID CVCL\_0549) were cultured in serum-free EX-CELL 420 medium (Sigma-Aldrich, catalogue number 24420C), and *Trichoplusia ni* High Five cells (Thermo Fisher Scientific, catalogue number B85502, RRID CVCL\_C190) were cultured in protein-free ESF 921 insect cell culture medium (Expression Systems, catalogue number NC903611). Bacmid preparations, virus amplification in *Sf9* cells and protein expression in *T. ni* High Five insect cells were conducted according to standard protocols<sup>73,74</sup>.

Mature 20S CPs, together with their assembly intermediates, were purified by standard affinity purification on StrepTactin Sepharose High Performance (Cytiva, catalogue number GE28-9355-99) and subsequent SEC on a Superose6 Increase 10/300 (Cytiva, catalogue number GE29-0915-96) column in 25 mM HEPES pH 7.5 (KOH), 150 mM NaCl and 1 mM dithiothreitol.

### Structure determination by transmission cryo-EM

**Sample preparation.** Cryo-EM grids were prepared for three samples. From the  $\beta 2$ -tagged and  $\beta 7$ -tagged affinity purifications, the peak fractions (C2) were diluted to a concentration between 0.5 and 0.7 mg ml<sup>-1</sup>. From the  $\beta 2$ -tagged affinity purification, SEC fractions containing 20S CP assembly intermediates (C4–C7) were pooled and concentrated to 5 mg ml<sup>-1</sup>; then, Fos-Choline-8 was added to a final concentration of 0.25 mM. Quantifoil R1.2/1.3 Cu-200 grids (Quantifoil Micro Tools) were glow-discharged for 30 s in a PDC-32G-2 (Harrick Plasma); 3.5  $\mu$ l samples were applied and plunge-frozen in a liquid ethane–propane mix with a Vitrobot Mark IV (Thermo Fisher Scientific) at 4 °C and 100% humidity.

**Data acquisition and processing.** For cryo-EM data acquisition, all grids were prescreened for optimal particle distribution and ice thickness on a Glacios cryo-transmission EM (cryo-TEM) instrument (Thermo Fisher Scientific) operated at 200 kV, equipped with a K2 Summit direct electron detector (DED) camera (Gatan). Data collection for the samples from the peak fractions was carried out using a Glacios cryo-TEM microscope. Data collection was set up with SerialEM (RRID SCR\_017293, <http://bio3d.colorado.edu/SerialEM/>) version 4.1 (ref. 75) using coma-corrected beam-image shift. One movie per hole was recorded in counting mode with a 3  $\times$  3 multihole record acquisition scheme at a pixel size of 1.181 Å per pixel with a nominal magnification of  $\times 36,000$  for the  $\beta 2$ -tagged and  $\beta 7$ -tagged peak fraction datasets or at a pixel size of 1.885 Å per pixel with a nominal magnification of  $\times 22,000$  for the preholo and premature 20S CP datasets. A total dose of 56–60 e<sup>-</sup> per Å<sup>2</sup> was fractionated over 40 frames, with a target defocus range of  $-1.0 \mu\text{m}$  to  $-2.6 \mu\text{m}$ .

The dataset yielding the 20S CP assembly intermediate structures was collected on a Titan Krios G2 cryo-TEM (Thermo Fisher Scientific) operated at 300 kV equipped with a Bio Quantum post-column energy filter (Gatan; 10 eV) and K3 DED camera (Gatan). Data collection was set up with SerialEM version 4.1 using coma-corrected beam-image shift. Three movies per hole were recorded with a 5  $\times$  5 multihole record acquisition scheme at a pixel size of 0.8512 Å per pixel with a nominal magnification of  $\times 105,000$  in counting mode (no correlated double sampling). A total dose of 67 e<sup>-</sup> per Å<sup>2</sup> was fractionated over 30 frames, with a target defocus range of  $-1.0 \mu\text{m}$  to  $-2.6 \mu\text{m}$ .

Data processing was performed as follows. The Glacios K2 dataset was processed with cryoSPARC version 4.2 (ref. 76) (RRID SCR\_016501; for details, see Extended Data Fig. 1). The raw movies of the Titan Krios K3 dataset were motion-corrected on the fly with Focus<sup>77</sup>

(RRID SCR\_014462), and motion-corrected micrographs were imported into cryoSPARC for all subsequent processing steps (including patch contrast transfer function estimation, blob picking, template picking, two-dimensional classification, heterogenous refinement, nonuniform refinement, manual sharpening, local resolution estimation, local filtering and final postprocessing with DeepEMhancer<sup>78</sup> (<https://github.com/rsanchezgarca/deepEMhancer>); for details, see Extended Data Fig. 2).

Importantly, the cryo-EM data showed that the peak fractions from both the complex based on the  $\beta 2$  tag and the complex based on the  $\beta 7$  tag were dominated by fully assembled, mature 20S CPs (Extended Data Figs. 1 and 2). In accordance with the expression and purification strategy, map 5 (termed  $S^7$  for the  $\beta 7$ -tagged complex) was refined for both purifications. Map 5 for the  $\beta 2$ -tagged complex was superior, presumably because of the purification enriching for complexes in this molecular weight range, as well as the quality and quantity of data collected on the Titan Krios. Meanwhile, the refinement of maps 1–4 correlates with the purification strategy based on the  $\beta 2$  tag and enrichment of complexes in their molecular weight range.

**Model building and refinement.** Model building and refinement were performed as follows. AlphaFold2 (refs. 79,80) (RRID SCR\_023662, <http://github.com/google-deeppmind/alphafold>) models of PAC1–PAC4 and POMP, along with corresponding chains from a published model of the 20S CP (PDB 5LE5), were manually docked with ChimeraX version 1.5 (ref. 81) (RRID SCR\_015872) in all maps. Atomic models were locally adjusted in Coot (RRID SCR\_014222, <http://www2.mrc-lmb.cam.ac.uk/personal/pemsley/cool/>) version 0.9.8.7 (ref. 82) and iteratively refined with Phenix version 1.19.2 (ref. 83) (RRID SCR\_014224). We modeled into density when the trajectory of the backbone was unambiguous, and side chains were placed when density was observed in manually sharpened or automatically sharpened maps. There are additional regions of density around  $\beta 2$  in structure 1,  $\beta 3$  in structure 2,  $\beta 4$  in structure 3,  $\beta 5$  in structure 4 and  $\beta 1$  or  $\beta 7$  in structure 5, for which coordinates were not modeled because of the ambiguity of these regions. The unmodeled density presumably corresponds to regions of these subunits and/or their neighbors that become ordered upon additional interactions formed during assembly. For structures 1–5, the density for the  $\beta 2$  CTE strand that grasps  $\beta 3$  partially overlaps with this element in the mature CP. However, due to a different trajectory for the preceding residues, we could not independently unambiguously assign the sequence. Thus, this was modeled on the basis of the sequence in the mature 20S CP. Final refinement was performed using ISOLDE<sup>84</sup> (<https://isolde.cimr.cam.ac.uk/>) followed by Phenix refinement. Sequence alignments were performed with Clustal Omega<sup>85</sup> (RRID SCR\_001591). For visualization, figures were generated with UCSF ChimeraX and Adobe Illustrator 2023 (RRID SCR\_010279). Details of data acquisition, data collection and structure refinement are provided in Table 1.

### Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

### Data availability

Cryo-EM maps were deposited to the EMDB with the following accession codes: for  $\beta 2$  affinity purification, map 1: EMD-18755, map 2: EMD-18757, map 3: EMD-18758, map 4: EMD-18773, map 5: EMD-18759, preholo 20S CP: EMD-18761, premature 20S CP: EMD-18762 and 20S CP: EMD-18760; for  $\beta 7$  affinity purification, map 5: EMD-19342 and 20S CP: EMD-19343. Atomic coordinates were deposited to the Research Collaboratory for Structural Bioinformatics (RCSB) PDB with the following accession codes: structure 1, PDB 8QYJ; structure 2, PDB 8QYL; structure 3, PDB 8QYM; structure 4, PDB 8QZ9; structure 5, PDB 8QYN; preholo 20S CP, PDB 8QYS; 20S CP, PDB 8QYO. Baculovirus transfer vectors for recombinant production of 20S CPs were submitted

to Addgene (214137, 214138, 214139, 214140, 217893, 217894, 217895, 217896, 217897 and 217898). Detailed protocols associated with this work are available on protocols.io (<https://doi.org/10.17504/protocols.io.e6nvwdrozlmk/v1>) (ref. 86).

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### Author contributions

F.A. and B.A.S. designed the research; F.A. contributed new reagents; F.A. and J.D. performed the research; F.A., J.D., S.v.G., S.R., R.M.W.,

E.A.G. and J.W.H. analyzed the data; J.W.H., J.H. and B.A.S. acquired funding; F.A., J.D., E.A.G., J.H. and B.A.S. wrote the paper with input from all authors.

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### Competing interests

J.W.H. is a founder and consultant for Caraway Therapeutics. B.A.S. is on the scientific advisory boards of Biotheryx and Proxygen. The other authors declare no competing interests.

### Additional information

**Extended data** is available for this paper at <https://doi.org/10.1038/s41594-024-01268-9>.

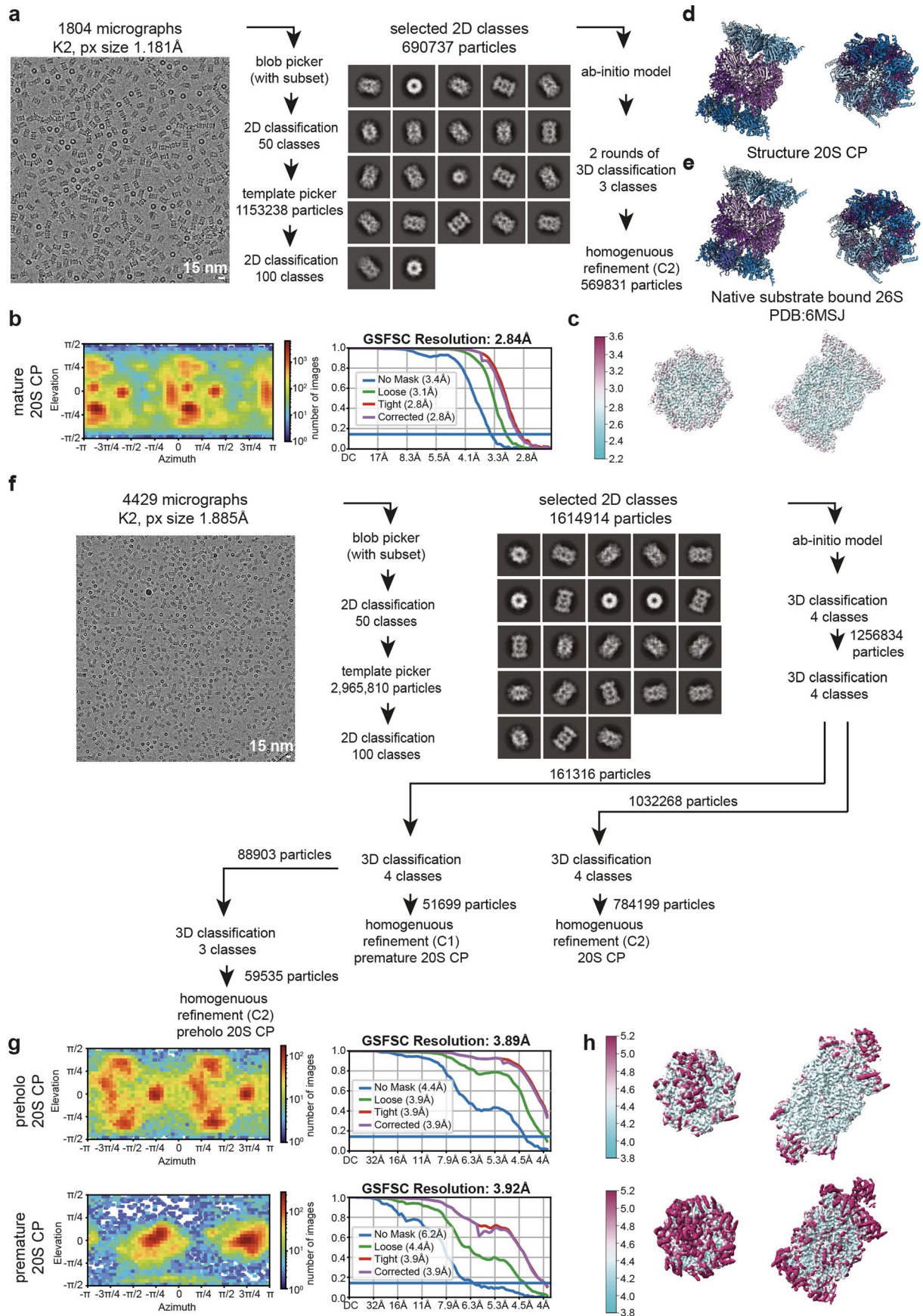
**Supplementary information** The online version contains supplementary material available at <https://doi.org/10.1038/s41594-024-01268-9>.

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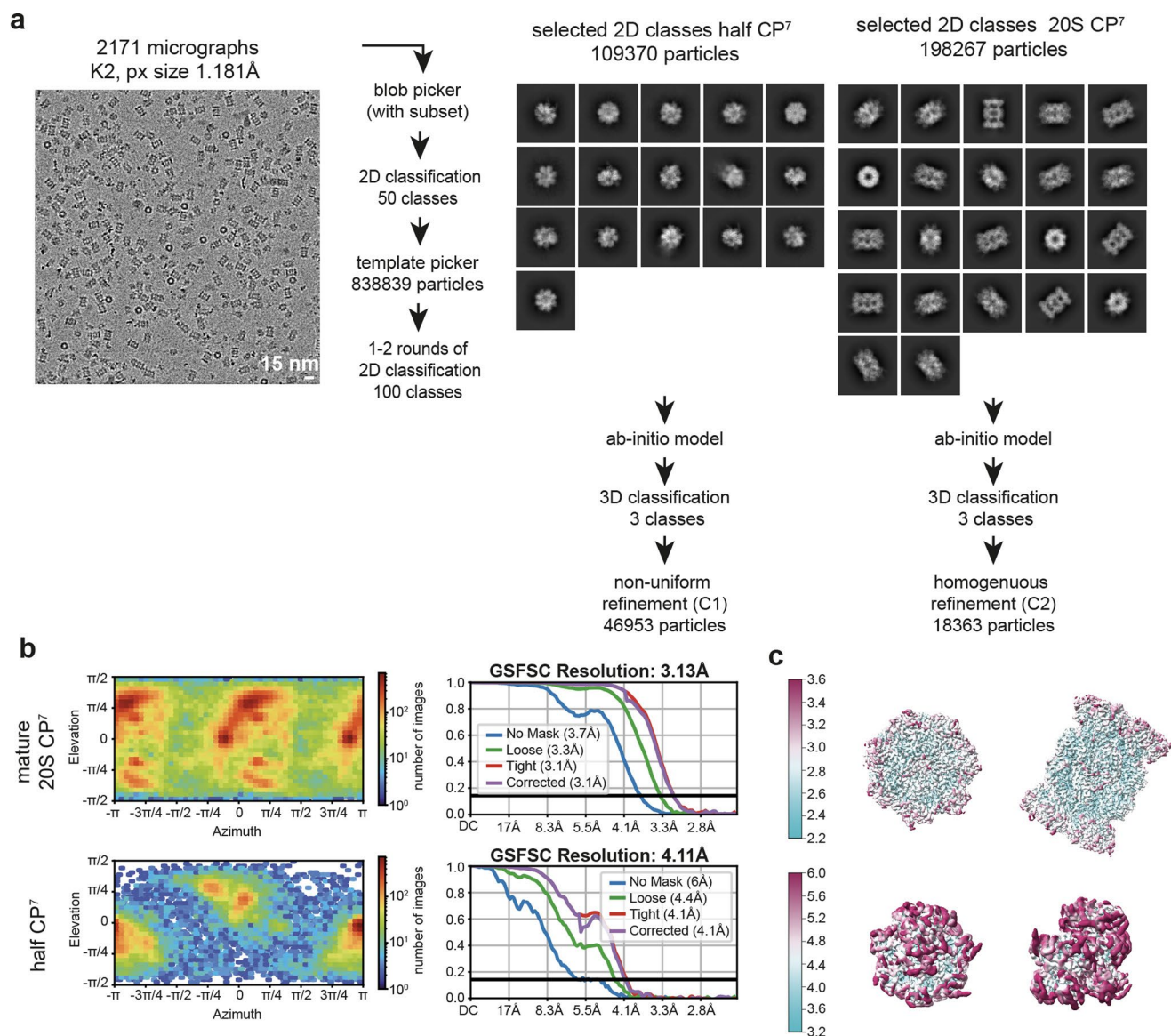




Extended Data Fig. 1 | See next page for caption.

**Extended Data Fig. 1 | Structure determination of recombinant  $\beta$ 2-tagged human 20S proteasome.** **a**, Cryo-EM image processing scheme for  $\beta$ 2-tagged mature human 20S proteasome. **b**, Angular distribution and GSFSC curves of human 20S proteasome map. **c**, Local resolution of the cryo-EM map of human 20S proteasome map. **d**, Structure of recombinant human 20S CP with tag on  $\beta$ 2 C terminus. **e**, Structure of substrate-bound native human 26S proteasome (PDB:

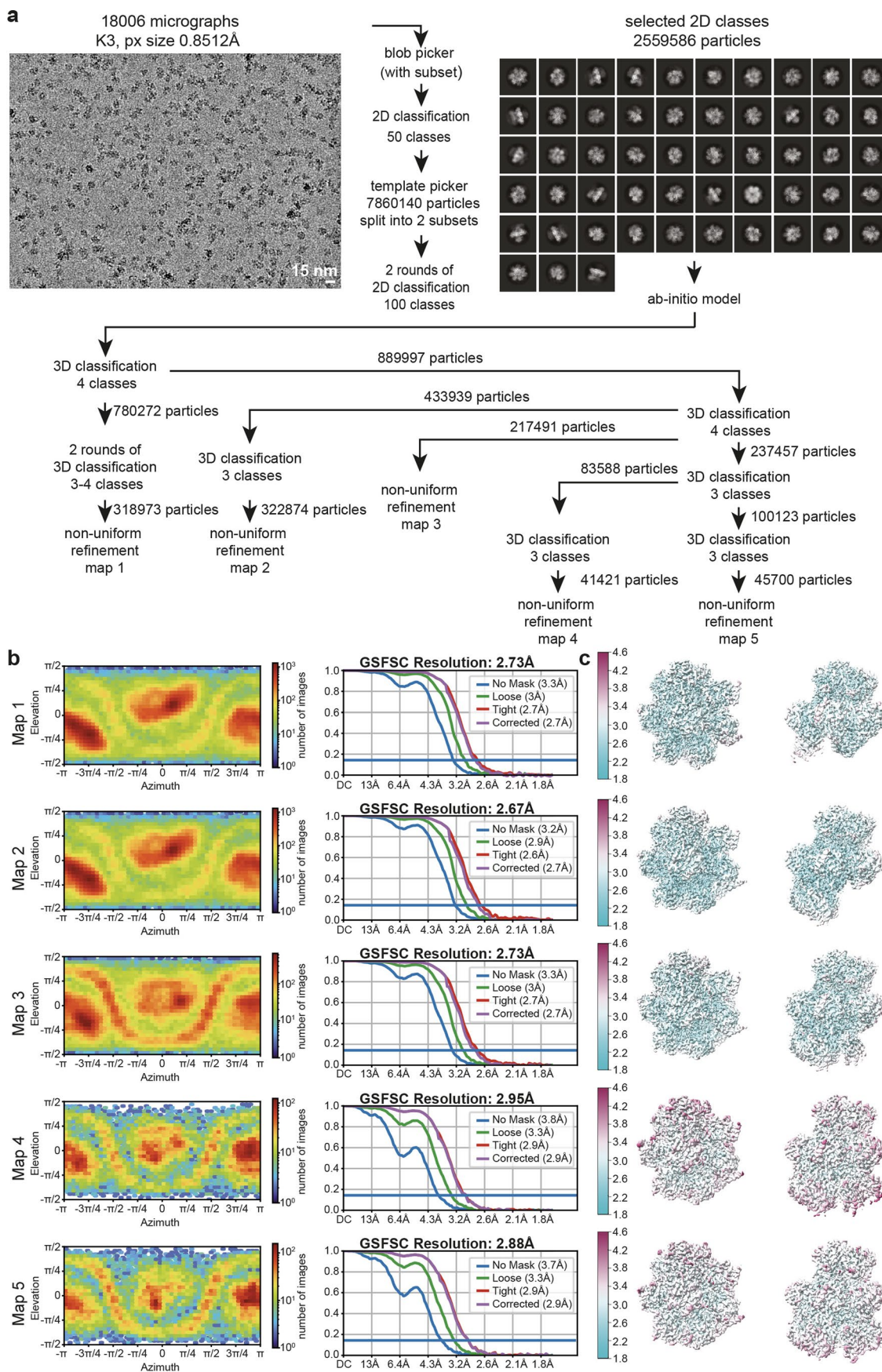
6MSJ, only 20S core particle is shown). **f**, Cryo-EM image processing scheme for preholo 20S CP and premature 20S CP. **g**, Angular distribution and GSFSC curves of preholo 20S CP (top) and premature 20S CP (bottom) maps **h**, Local resolution of the cryo-EM maps of preholo 20S CP (top) and premature 20S CP (bottom). Scale bar in all micrographs represents 15 nm.



**Extended Data Fig. 2 | Structure determination of recombinant human 20S proteasome and half proteasome with tag on  $\beta 7$ .** **a**, Cryo-EM image processing scheme for human 20S CP and half CP with tag on  $\beta 7$  (indicated by "7" in

superscript). **b**, Angular distribution and GSFSC curves of human 20S CP and half CP with tag on  $\beta 7$ . **c**, Local resolution of the cryo-EM maps of human 20S CP and half CP with tag on  $\beta 7$ . Scale bar in all micrographs represents 15 nm.

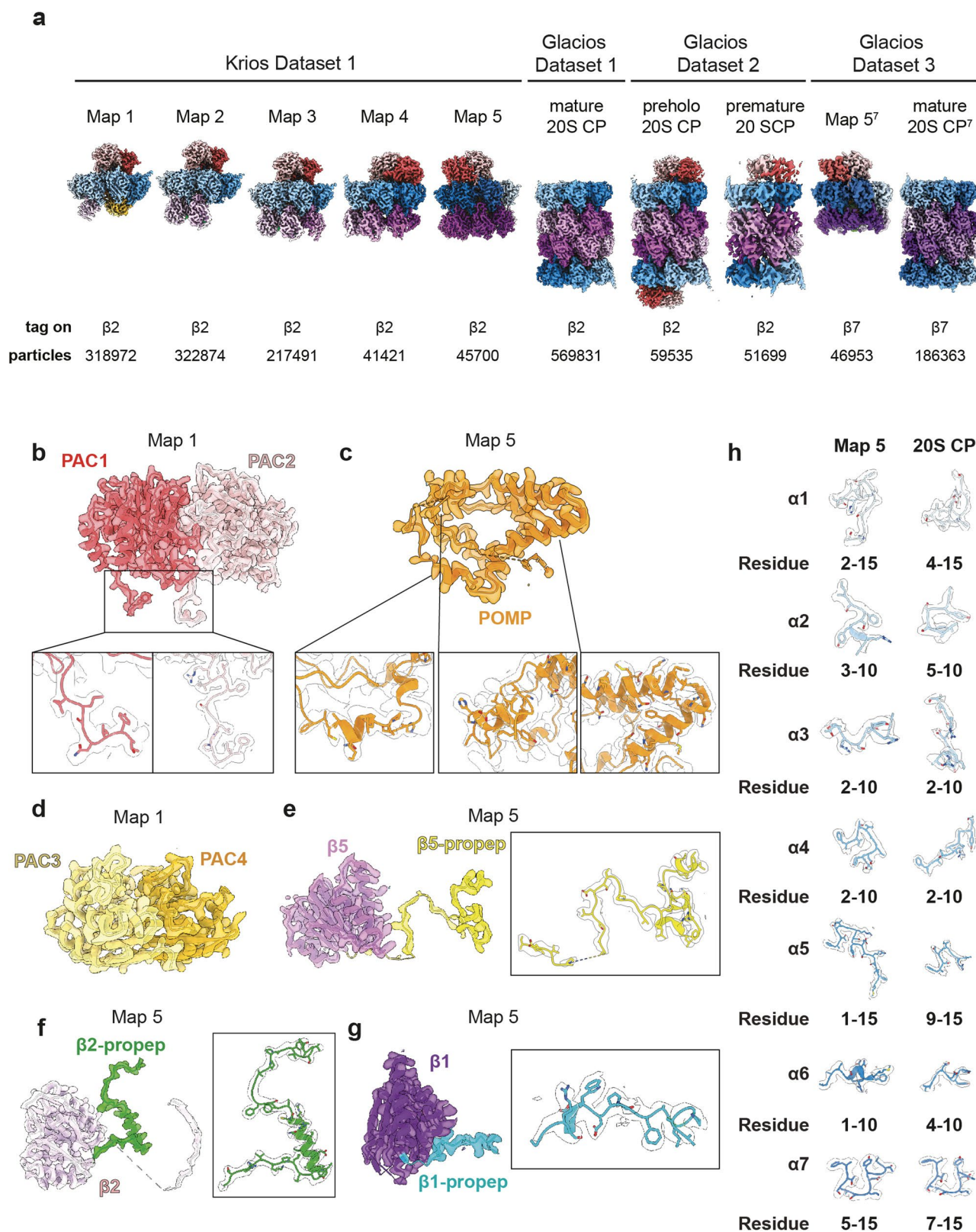




**Extended Data Fig. 3 | Structure determination of recombinant human proteasome assembly intermediates. a,** Cryo-EM image processing scheme for the human proteasome assembly intermediates. **b,** Angular distribution and

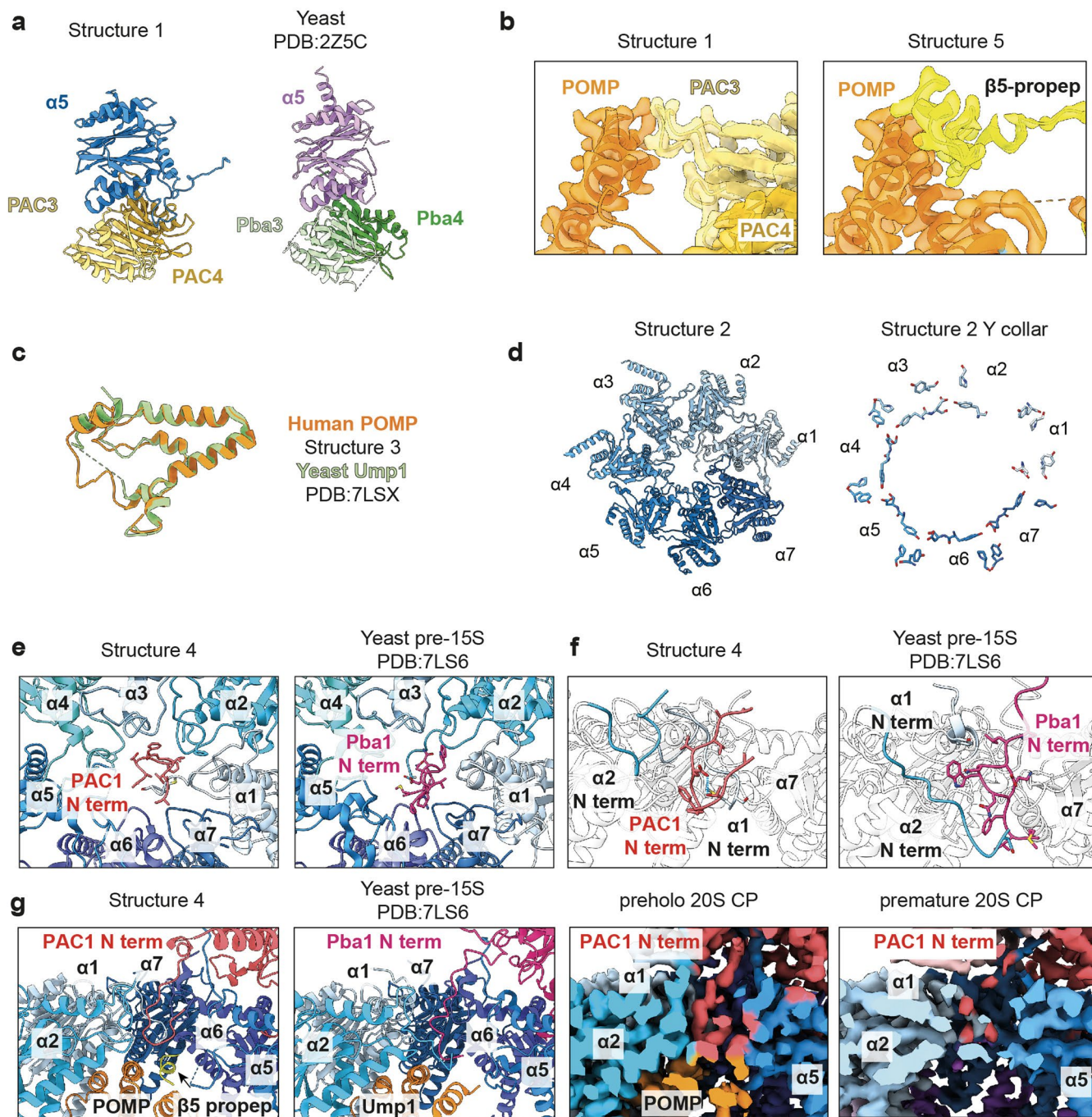
GSFSC curves of human proteasome assembly intermediates. **c,** Local resolution of the cryo-EM maps of human proteasome assembly intermediates. Scale bar in all micrographs represents 15 nm.





**Extended Data Fig. 4 | Particle proportion of 20S assembly intermediates and 20S CP and EM density of assembly chaperones and  $\beta$  propeptides.** **a**, Particle distribution of 20S assembly intermediates and 20S CP obtained in 4 datasets. **b**, Model-fitted EM densities of PAC1/2 HbYX motifs. **c**, Model-fitted EM densities of POMP. **d**, Model-fitted EM densities of PAC3/4. **e**, Model-fitted EM densities of

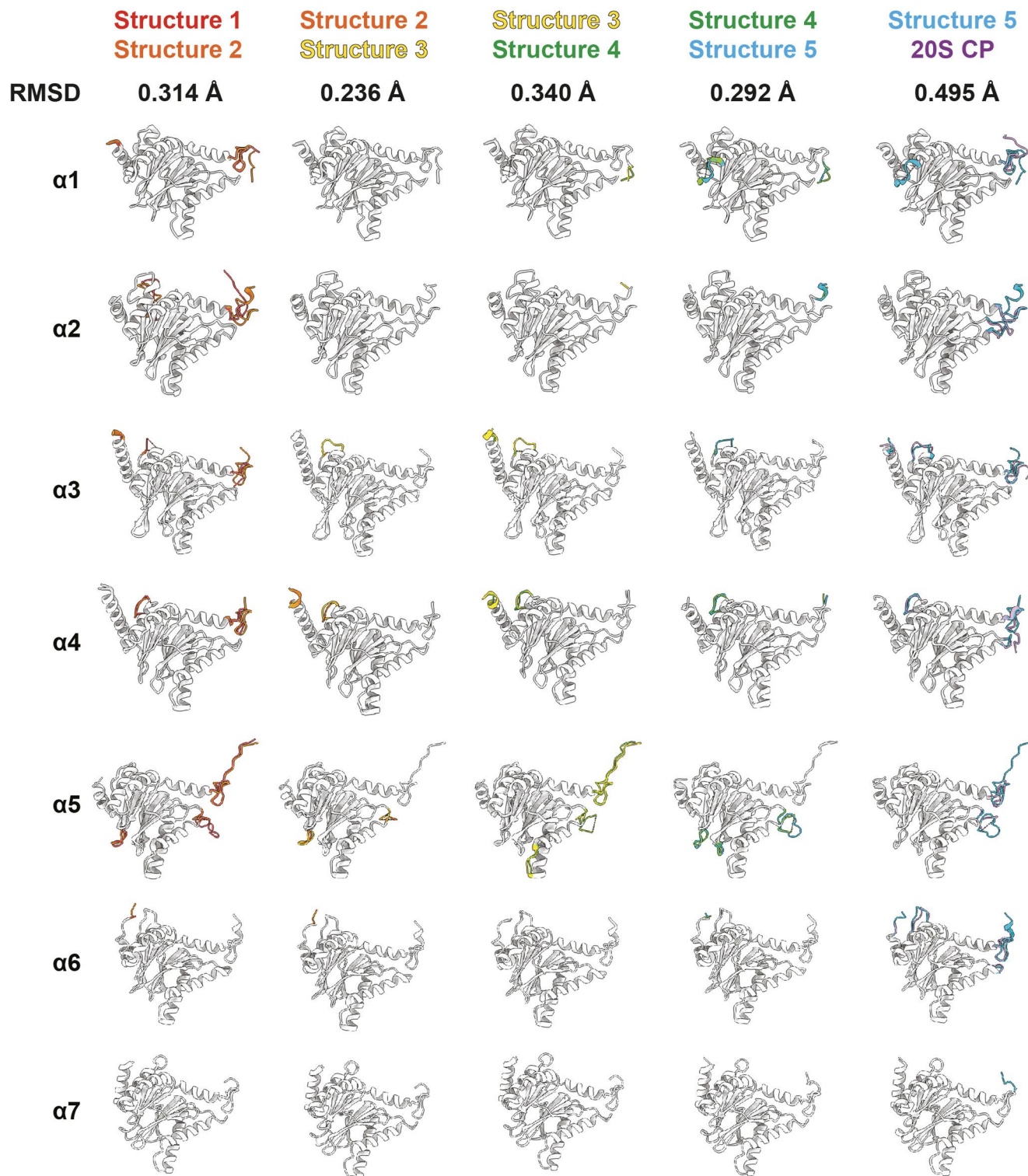
$\beta 5$ -propeptide. **f**, Model-fitted EM densities of  $\beta 2$ -propeptide **g**, Model-fitted EM densities of  $\beta 1$ -propeptide. **h**, Model-fitted EM densities of  $\alpha$  subunit N-termini in Map5 and 20S CP. Each pair is globally aligned to show conformational changes at N-termini.



**Extended Data Fig. 5 | Structural comparison of human 20S CP assembly intermediates with yeast orthologs and 20S CP with 26S proteasome. a,** Comparison of human PAC3/4 (yellow) with yeast Pba3/4 (green, PDB:2Z5C) reveals their structural similarity. **b,** Comparison of Structure 1 and Structure 5 shows the position of PAC3/4 in Structure 1 is occupied by  $\beta 4$ -7 later in Structure 5. **c,** Comparison of human POMP (orange) with yeast Ump1 (green, PDB:7LSX) reveals their structural similarity. **d,** Y collar of  $\alpha$ -ring in Structure 1 and 2 shows collar of Tyr residues between  $\alpha$ -ring subunits stabilizing the open gate conformation. **e,** Top-down view of Structure 4 and yeast pre-15S structure

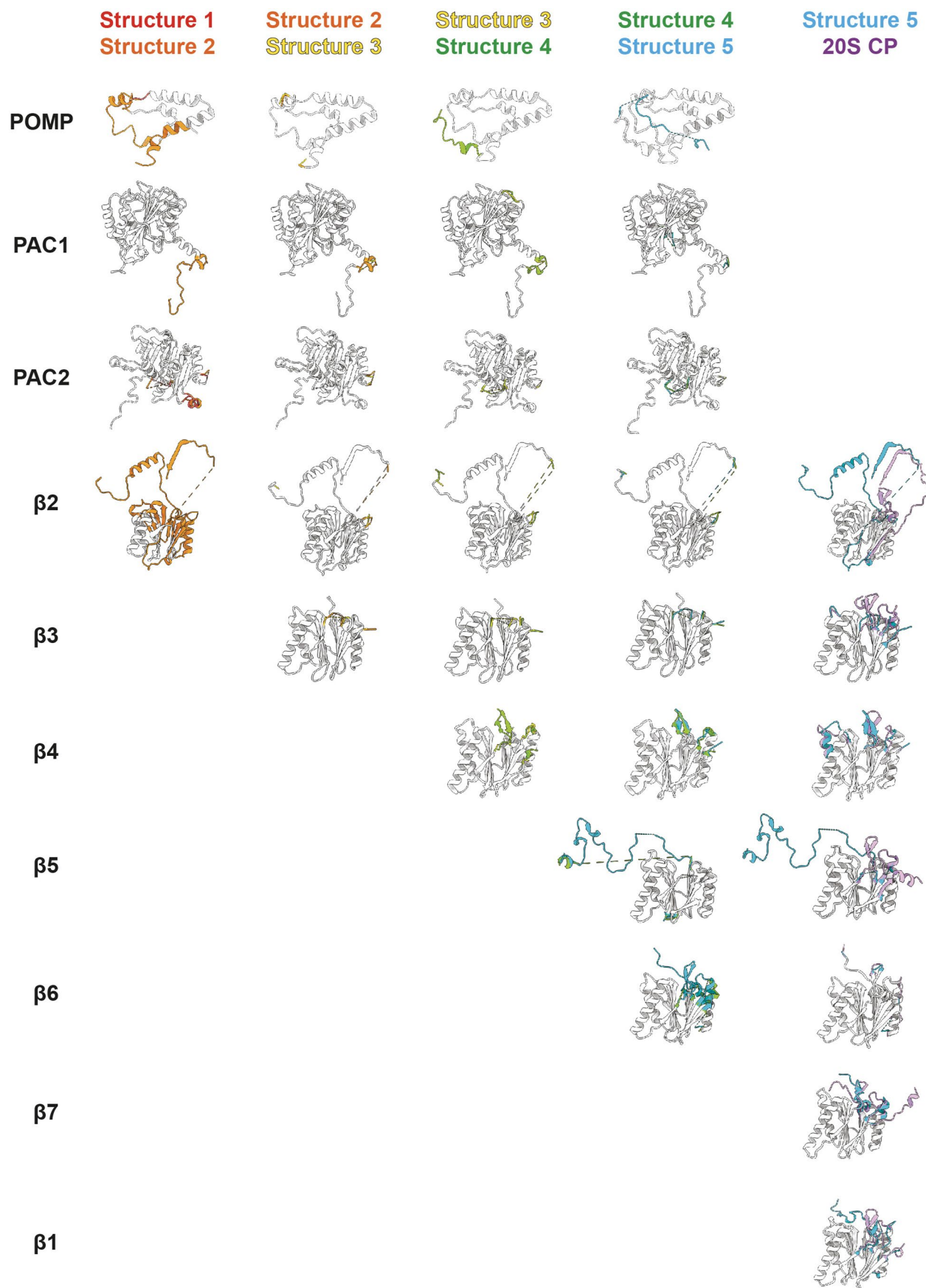
(PDB:7LS6) shows the N-terminus of PAC1 (red) and Pba1 (hot pink) interacting with  $\alpha$ -ring subunits. **f,** Close-up view of Structure 4 and yeast pre-15S structure (PDB:7LS6) shows the N-terminus of PAC1 (red) interacting with  $\alpha 1$  N-terminus and Pba1 (hot pink) interacting with  $\alpha 2$  N-terminus. **g,** Close-up view of Structure 4 and yeast pre-15S structure (PDB:7LS6) shows the N-terminus of PAC1 (red) and Pba1 (hot pink) making close contacts with POMP/Ump1, and  $\beta 5$ -propeptide. Close-up cut view of preholo and premature maps shows PAC1 N-terminus visible after half CP fusion.





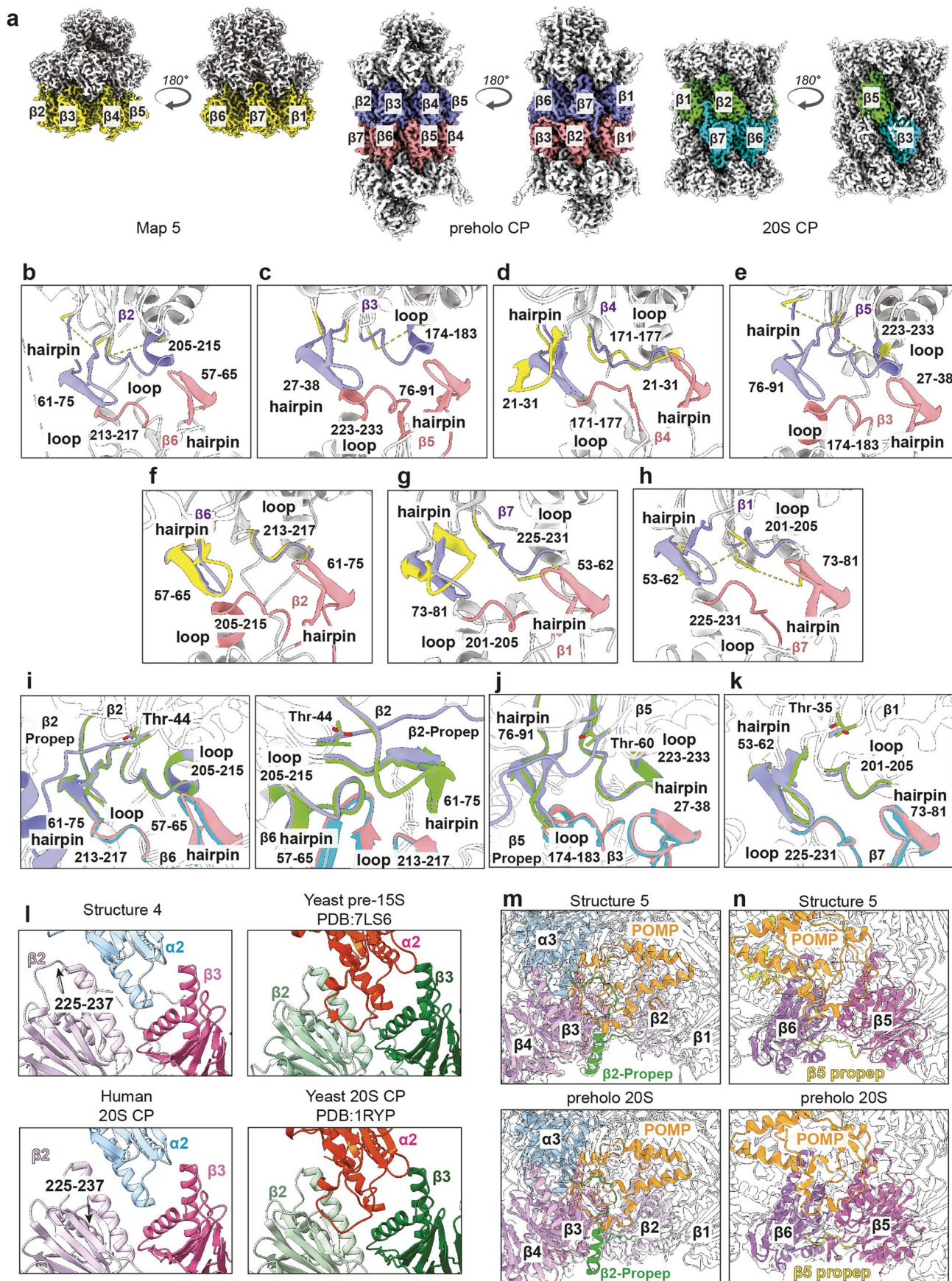
**Extended Data Fig. 6 | Structural comparison of  $\alpha$  subunits across  $\beta$ -ring formation.** Pairwise structural comparison of  $\alpha$  subunits between Structure 1 (red), Structure 2 (orange), Structure 3 (yellow), Structure 4 (green), Structure 5 (blue) and 20S CP (purple). Each subunit is individually aligned for each pair of comparison and only the difference between structures is colored.





**Extended Data Fig. 7 | Structures of assembly chaperones and  $\beta$  subunits across  $\beta$ -ring formation.** Pairwise structural comparison of assembly factors POMP, PAC1/2 and  $\beta$  subunits between Structure 1 (red), Structure 2 (orange),

Structure 3 (yellow), Structure 4 (green), Structure 5 (blue) and 20S CP (purple). Each subunit is individually aligned for each pair of comparison and only the difference between structures is colored.

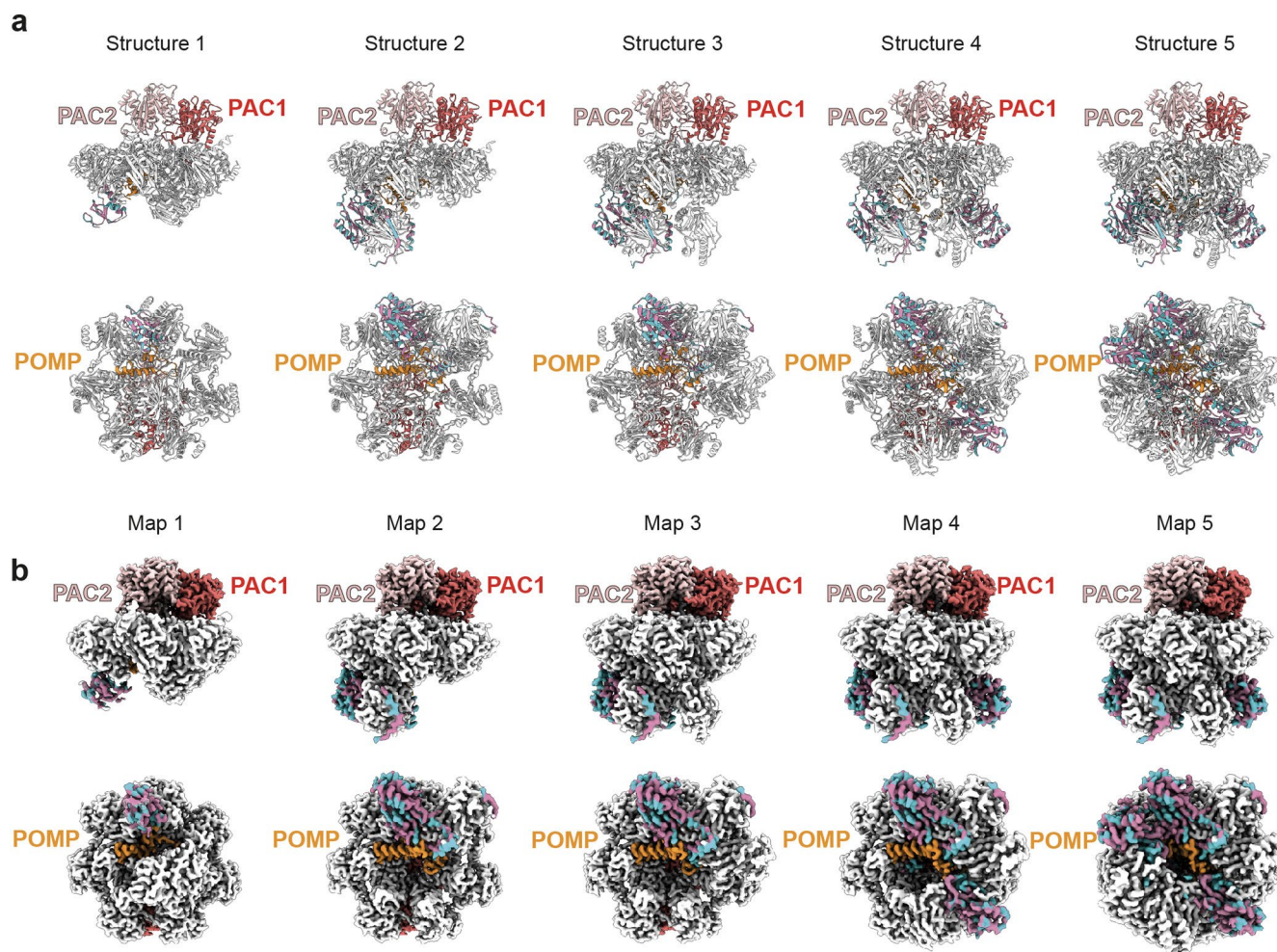


Extended Data Fig. 8 | See next page for caption.

**Extended Data Fig. 8 | Fusion-tetrad formed by the fusion hairpins and loops from opposing  $\beta$  subunits upon half CP fusion.** **a**, Cryo-EM maps of Structure 5, preholo CP, and mature 20S CP with  $\beta$ -subunits colored in yellow, in purple and salmon in the two halves, or in green and cyan in the two halves, respectively.  $\beta$ -subunit coloring is maintained in panels **b-k**. **b**, Close-up comparing fusion "hairpin" and "loop" in  $\beta 2$  between Structure 5 and preholo CP where these interlock with fusion "hairpin" and "loop" from  $\beta 6$  from the opposite half-CP. **c**, Close-up comparing fusion "hairpin" and "loop" in  $\beta 3$  between Structure 5 and preholo CP where these interlock with  $\beta 5$  from the opposite half-CP. **d**, Close-up comparing fusion "hairpin" and "loop" in  $\beta 4$  between Structure 5 and preholo CP where these interlock with  $\beta 4$  from the opposite half-CP. **e**, Close-up comparing fusion "hairpin" and "loop" in  $\beta 5$  between Structure 5 and preholo CP where these interlock with  $\beta 3$  from the opposite half-CP. **f**, Close-up comparing fusion "hairpin" and "loop" in  $\beta 6$  between Structure 5 and preholo CP where these interlock with  $\beta 2$  from the opposite half-CP. **g**, Close-up comparing

fusion "hairpin" and "loop" in  $\beta 7$  between Structure 5 and preholo CP where these interlock with  $\beta 1$  from the opposite half-CP. **h**, Close-up comparing fusion "hairpin" and "loop" in  $\beta 1$  between Structure 5 and preholo CP where these interlock with  $\beta 7$  from the opposite half-CP. **i**, Close-up comparing preholo CP and mature 20S CP fusion "hairpins" and "loops" interlocking between  $\beta 2$  in one half CP and  $\beta 6$  from the opposite half. **j**, Close-up comparing preholo CP and mature 20S CP fusion "hairpins" and "loops" interlocking between  $\beta 5$  in one half CP and  $\beta 3$  from the opposite half. **k**, Close-up comparing preholo CP and mature 20S CP fusion "hairpins" and "loops" interlocking between  $\beta 1$  in one half CP and  $\beta 7$  from the opposite half. **l**, Close-ups showing distinct  $\alpha 2$  loop and trajectory of  $\beta 2$  C-terminal loop between human and yeast, as shown in Structure 4 and yeast pre-15S (PDB:7LS6), respectively. **m**, Close-ups comparing  $\beta 2$ -propeptide interactions in Structure 5 and in preholo-20S CP. **n**, Close-ups comparing  $\beta 5$ -propeptide interactions in Structure 5 and preholo-20S CP.





**Extended Data Fig. 9 | Sequence conservation between constitutive proteasome and immunoproteasome subunits mapped on Structures and Maps 1 to 5. a**, Structures 1 through 5 are shown from left to right in two views.  $\beta 2$ ,  $\beta 5$ , and  $\beta 1$  subunits are colored based on sequence conservation with

their counterparts in the immunoproteasome (sequence identity in maroon, divergence in cyan). PAC1/2 and POMP are colored brick red, salmon, and orange, respectively. **b**, Same as **a**, except showing the cryo-EM maps.

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Data analysis Cryo-EM: CryoSparr v4.2.0; Structure Analysis and Visualization: Chimera v1.15, ChimeraX v1.4, DeepEMhancer (<https://github.com/rsanchezgarc/deepEMhancer>); Model Building: COOT v0.9.6, Phenix.refine v1.19.2-4158, AlphaFold2, ISOLDE 1.4, Clustal Omega

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The atomic coordinates and electron microscopy maps have been deposited in the PDB with accession code 8QYJ, 8QYL, 8QYM, 8QZ9, 8QYN, 8QYS and 8QYO and in the Electron Microscopy Data Bank with codes EMD-18755 for 20S assembly intermediate map 1, EMD-18757 for 20S assembly intermediate map 2, EMD-18758 for 20S assembly intermediate map 3, EMD-18773 for 20S assembly intermediate map 4, EMD-18759 for 20S assembly intermediate map 5, EMD-18761 for

preholo-20S core particle, EMD-18762 for premature-20S core particle, EMD-18760 for mature 20S core particle, EMD-19342 for beta 7 tagged half 20S core particle and EMD-19343 for beta 7 tagged mature 20S core particle. All other reagents and data (for example, raw gels of experiments and raw movie electron microscopy data) are available from the corresponding authors upon request.

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