



Diversity of *KIRs* in invasive breast cancer patients and healthy controls along with the clinical significance in ER/PR/HER2+ patients

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Abstract

Killer cell immunoglobulin-like receptors (*KIR*) consists of activating and inhibitory genes are essential for natural killer cell education. To determine the association of *KIRs* with susceptibility to invasive Breast cancer (BC), genotyping of 16 *KIRs* was performed by sequence-specific primers-polymerase chain reaction in 226 confirmed cases of BC with defined estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor 2 (HER2) status and 226 healthy controls (CNs). We observed a lower frequency of *2DL1* and *2DS4del* along with increased frequency of *2DS4fl* in cases compared to CNs. Further analysis revealed a higher frequency of *KIR2DL2*, *2DS1*, *2DS2,3DS1* in ER+ cases, *2DL2*, *2DL5* in PR+ and *2DL1* in HER2+ cases compared to CNs. The detrimental role of *KIR2DS4fl* was observed in ER+ and PR+ cases whereas *2DS4del* confers protection against ER+, PR+, and HER2+ cases. We noted the predisposing role of Bx genotype, *KIR2DS1*, *2DS2*, *2DS5*, *2DL2*, *2DL5* for lymphatic invasion in ER+ cases along with a higher rate of lymph node metastasis (LNM) in carriers of Bx genotype and *KIR2DS1* in ER+ cases. We suggest a link between B haplotype associated genes with the increased risk of lymphatic invasion and LNM, particularly in ER+ cases of BC.

Introduction

According to the World Health Organization report, “Breast cancer (BC) is the most commonly diagnosed cancer affecting 2.1 million of females annually, and it causes the largest number of cancer-related deaths among women” [1]. There are many factors which can influence the risk of breast cancer in women and can be categorized as intrinsic factor like age, gender, race, genetic, family history of

cancer (FHC), benign breast conditions and extrinsic factors including lifestyle, dietary habits, medical interventions such as oral contraceptives and hormone replacement therapy over a long period of time [2].

The most frequent diagnosed cases of breast cancers among women are invasive/infiltrating ductal carcinoma (IDC) invading into glands, surrounding tissues and distant areas as well while the second type is in situ breast cancer also known as lobular neoplasia which is classified as lobular carcinoma in situ and ductal carcinoma in situ [3].

BC is heterogeneous tumor with several molecular characteristics which are defined based on immunohistochemistry (IHC) profile of hormone receptors for either estrogen receptor (ER), progesterone receptor (PR) aside from human epidermal growth factor 2 (HER2) which is considered as an important prognostic biomarker for breast cancer [4]. In addition to ER/PR/HER2 status, the tumor size, lymphatic and vascular invasion, lymph node involvement along with tumor grade and stage can affect treatment strategies and overall survival in patients with breast tumors [5]. Breast tumor microenvironment contains of cancer associated fibroblasts, myeloid derived stromal cells macrophages lymphocytes and NK cells in cooperation with soluble factors and extracellular matrix [6].

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Table 1 Comparison of the frequencies of *KIR* genes in Breast cancer patients and healthy controls.

KIR gene	BC (<i>n</i> = 226)	CN (<i>n</i> = 226)	BC vs. CN	
	N (%F)	N (%F)	<i>P</i> value	OR (95% CI)
A haplotype associated genes				
<i>2DL1</i>	216 (95.6%)	224 (99.1%)	0.0406 ^a	0.193 (0.04–0.89)
<i>2DL3</i>	184 (81.4%)	197 (87.2%)	NS	
<i>3DL1</i>	217 (96%)	215 (95.1%)	NS	
<i>2DS4</i>	214 (94.7%)	214 (94.7%)	NS	
<i>2DS4fl</i>	93 (41.2%)	63 (27.9%)	0.0041 ^a	1.8 (1.22–2.68)
<i>2DS4del</i>	155 (68.6%)	192 (85%)	0.0001 ^a	0.39 (0.24–0.61)
<i>2DS4fl, del</i>	33 (14.6%)	42 (18.6%)	NS	
<i>2DP1</i>	217 (96%)	224 (99.1%)	NS	
B haplotype associated genes				
<i>2DS1</i>	104 (46%)	89 (39.4%)	NS	
<i>2DS2</i>	142 (62.8%)	126 (55.8%)	NS	
<i>2DS3</i>	94 (41.6%)	80 (35.4%)	NS	
<i>2DS5</i>	88 (38.9%)	80 (35.4%)	NS	
<i>3DS1</i>	99 (43.8%)	86 (38.1%)	NS	
<i>2DL2</i>	147 (65%)	127 (56.2%)	NS	
<i>2DL5</i>	146 (64.6%)	134 (59.3%)	NS	

2DS4fl: *KIR2DS4* full-length variant, 2DS4del: *KIR2DS4* deleted variant, 2DS4fl, del: both *KIR2DS4* full-length and deleted variant.

OR odds ratio, CI confidence interval, NS non-significant.

^a*P* < 0.05 was considered as statistically significant based on two-tailed Chi-square with Yates' correction.

Natural killer (NK) cells are an essential part of innate immunity and play a key role in the immune surveillance and elimination of malignant/virally infected cells [7]. They act directly against tumors through cytolytic activity by releasing perforin, granzymes, or inflammatory cytokines. Indirectly, they can modulate the adaptive immune response using different mechanisms [8]. The activity of NK cell is influenced by a balance of the inhibitory and activating signals derived from a variety of cell surface receptors [9]. Among them, Killer cell Immunoglobulin-like Receptors (KIRs) which distinguish and bind to certain HLA-I ligands, have essential role in NK cell development, education and activation. *KIR* gene family consists of activating and inhibitory genes that encoded cell surface KIRs on mature NK cells and certain subset of T lymphocytes [10–12]. The exceptional diversity of *KIR* genes and number is the result of nucleotide sequence polymorphisms and different number of *KIRs* in individuals [13]. Based on individual gene content, two groups of haplotype A (fixed gene content; predominantly inhibitory) and B (variable gene content; predominantly activating) has been reported in previous researches [14]. Individuals can be grouped into AA genotype (homozygous for KIR A haplotype) or Bx genotype (homozygous for KIR BB and heterozygous for A/B) [15]. There are also framework genes (*KIR3DL3*, *3DP1*, *2DL4*,

3DL2) exist in all haplotypes which divide each haplotype into two regions: *3DL3* to *3DP1* delimit centromeric region and *2DL4* to *3DL2* delimit telomeric half. The *KIR* locus can also be classified as centromeric (Cen) and telomeric (Tel) gene motifs including Cen-A/A/BB, AB or Tel-A/A, B/B,A/B [15, 16].

NK cell expresses inhibitory KIRs which recognize the Class I HLA ligands by a process known as education or licensing in order to distinguish unhealthy cells which contribute to missing-self recognition of tumoral cells and self-tolerance of NK cells [17]. The lacking of inhibitory KIR receptor or absence of its cognate ligand, leads to hyporesponsiveness of NK cell and failure in the elimination of target cells [17, 18]. Various expression of inhibitory and activating KIRs create different kind of educated NK cells with distinct ability to react against target cells with diverse expression levels of HLA, which plays a prominent role in the pathogenesis of various diseases [19]. Several studies previously reported associations of *KIR* genes with risk of BC [20–22].

This study aimed to investigate the genetic diversity of 16 *KIR* genes and determine the haplotypes, genotypes, clusters and Cen/Tel gene motifs in 226 confirmed cases of invasive breast cancer with determined ER, PR, and HER2 status along with 226 healthy controls (CNs). The specific objective of this research was to identify the KIRs impact on genetic predisposition or resistance to invasive breast cancer in Iranians and assess the clinical significance of *KIRs* in ER/PR/HER2 + breast cancer.

Results

Predisposing role of *KIR2DS4fl* and protecting role of *KIR2DL1* and *2DS4del*

The frequency of 16 *KIR* genes was compared between 226 BC patients and 226 CNs. Framework genes (*KIR3DL3/3DL2/3DP1/2DL4*) were presented in 100% of cases and CNs. A significantly lower frequency of *2DL1* (*p* = 0.0406, OR = 0.193, CI = 0.04–0.89) was observed in BC patients compared to CNs. Regarding *KIR2DS4* variants, we found highly significant decrease of *2DS4del* (*p* = 0.0001, OR = 0.39, CI = 0.24–0.61) and increased frequency of *2DS4fl* (*p* = 0.0041, OR = 1.8, CI = 1.22–2.68) in BC group compared to CNs. These comparisons imply that *KIR2DL1* and *2DS4del* variant were associated with protection against BC, while *2DS4fl* showed an increased risk of BC. In spite of the higher frequency of B haplotype associated genes in BC group and A haplotype associated genes in CNs, we did not observe any significant differences in other *KIRs* frequencies between cases with breast cancer and CNs (Table 1).

Table 2 Comparison of the frequencies of KIR genotypes and haplotypes in breast cancer patients and healthy controls.

KIR genotypes, haplogroups, and clusters	BC (<i>n</i> = 226) <i>N</i> (%F)	CN (<i>n</i> = 226) <i>N</i> (%F)	BC vs. CN	
			<i>P</i> value	OR (95% CI)
AA genotype	44 (19.5%)	63 (27.9%)	0.0464 ^a	0.62 (0.4–0.97)
Bx genotype	182 (80.5%)	163 (72.1%)	0.0464 ^a	1.6 (1.03–2.48)
C4Tx genotype	53 (23.5%)	55 (24.3%)	NS	
CxT4 genotype	44 (19.5%)	47 (20.8%)	NS	
C4T4 genotype	35 (15.5%)	20 (8.8%)	0.044 ^a	1.89 (1.05–3.38)
CxTx genotype	50 (22.1%)	41 (18.1%)	NS	
A haplotype	219 (48.45%)	250 (55.3%)	NS	
B haplotype	233 (51.55%)	202 (44.7%)	NS	
C4 gene cluster	88 (38.94%)	75 (33.18%)	NS	
T4 gene cluster	79 (34.95%)	67 (29.65%)	NS	

Frequencies of A and B haplotype were defined by the following formulas: Haplotype A: $2nAA + nAB/2N$, Haplotype B: $2nBB + nAB/2N$. (nAA: number of AA genotype, nAB: number of AB genotype, nBB: number of BB genotype).

OR odds ratio, CI confidence interval, NS non-significant.

^a $P < 0.05$ was considered as statistically significant based on two-tailed Chi square with Yates' correction.

Table 3 Distribution of KIR centromeric and telomeric motifs in breast cancer patients and healthy controls.

KIR Cen/Tel motifs	BC (<i>n</i> = 226) <i>N</i> (%F)	CN (<i>n</i> = 226) <i>N</i> (%F)	BC vs. CN	
			<i>P</i> value	OR (95% CI)
c A/A	74 (32.7%)	96 (42.5%)	0.041 ^a	0.66 (0.45–0.96)
c A/B	110 (48.7%)	101 (44.7%)	NS	
c B/B	42 (18.6%)	29 (12.8%)	NS	
t A/A	119 (52.7%)	129 (57.1%)	NS	
t A/B	97 (42.9%)	85 (37.6%)	NS	
t B/B	10 (4.4%)	12 (5.3%)	NS	
Centromeric Bx	152 (67.3%)	130 (57.5%)	0.041 ^a	1.52 (1.03–2.22)
Telomeric Bx	107 (47.3%)	97 (42.9%)	NS	

c: Centromeric motif, t: Telomeric motif.

OR odds ratio, CI confidence interval, NS non-significant.

^a $P < 0.05$ was considered as statistically significant, based on two-tailed Chi-square with Yates' correction.

Increased frequency of Bx genotype and C4T4 subset in patients with breast cancer

According to the presence or absence of certain *KIR* genes, we defined AA and Bx genotypes. Bx genotypes were segregated into 4 genotypes based on C4/T4 gene clusters. To determine association of KIR haplotypes and genotypes with BC development, we compared their frequencies between cases and controls. We observed a significant decrease in carriers of AA genotype ($p = 0.0464$, OR = 0.62, CI = 0.4–0.97) and increased frequency of Bx genotype ($p = 0.0464$, OR = 1.6, CI = 1.03–2.48) in BC patients compared to controls. Moreover, BC patients had a significantly higher frequency of C4T4 genotype ($p = 0.044$, OR = 1.89, CI = 1.05–3.38) compared to CNs. Our results showed protective effect of AA genotype is associated with

protection against BC whereas Bx and C4T4 genotypes is associated with increased susceptibility to BC (Table 2).

Overall, 48 different genotypes were identified in 452 participants (Supplementary Table 1) based on data from allele frequency database (<http://www.allelefrequencies.net>). 31 common genotypes were found in both BC patients and CNs and 17 genotypes were observed only in one individual.

Susceptibility of Cen-Bx and resistance of Cen-A/A carrier to breast cancer

Comparing the distribution of Cen/Tel gene motifs in cases with breast cancer and controls (Table 3), displayed a lower frequency of Cen-A/A carrier in cases than controls ($p = 0.041$, OR = 0.66, CI = 0.45–0.96) which conferred

protection against breast cancer. On the contrary, we found that having Cen-Bx motif (Cen-A/B and Cen-B/B together) was associated with BC risk ($p = 0.041$, OR = 1.52, CI = 1.03–2.22). We found no significant association between Cen-A/B or Cen-B/B and telomeric motifs with BC risk.

Expression of ER/PR/HER2 alter the association levels of *KIRs* with breast carcinoma

To examine the role of *KIRs* in breast tumor development, we assessed the distribution of histological and clinical features such as ER/PR/HER2 status, invasion (lymphatic/vascular/perineural), LNM, histological grade and clinical stage among 226 breast cancer cases with Invasive Ductal Carcinoma (IDC) (Supplementary Table 2). For the purpose of assessing the impact of ER/PR/HER2 status on the association levels between *KIRs* and the risk of breast cancer, the cases were classified to several groups considering the IHC-based classification of ER/PR/HER2 expression (Table 4).

In addition to the predisposing and protecting role of *KIR2DS4fl* and *2DS4del* respectively which was observed in comparison of whole cases with controls, we found a higher frequency of *KIR2DL2*, *2DS1*, *2DS2*, and *3DS1* in cases with ER-positive and *2DL2*, *2DL5* in PR-positive and *2DL1* in HER2 positive breast cancer compared to controls. Whilst the associations of certain *KIRs* varied among other groups (ER+ PR+, ER+ PR+ HER2+, ER+ HER2+, PR+ HER2+), there was one thing common to all which was the protective role of *2DS4del* and AA genotype against all subtypes of breast carcinoma (Table 4). In contrast some *KIRs* were unique to each of ER, PR or HER2 positive groups. For example, the *KIR2DS1* ($p = 0.036$, OR = 1.89, CI = 1.2–2.9), *2DS2* ($p = 0.036$, OR = 2.12, CI = 1.3–3.3) and *3DS1* ($p = 0.046$, OR = 1.55, CI = 1.1–2.3) occurred more frequently in ER+ and the *2DL5* ($p = 0.036$, OR = 1.64, CI = 1.05–2.5) in PR+ cases while *2DL1* carriers ($p = 0.038$, OR = 0.15, CI = 0.03–0.8) displayed lower frequency in HER2+ patients with breast cancer compared to controls. Furthermore, comparing the carrier frequencies of *KIRs* in ER-PR-HER2- group (triple-negative breast cancer), we found that *2DL5* occurred less frequently in this group compared to others ($p = 0.015$, OR = 0.14, CI = 0.03–0.7), however, considering the low sample size of this group ($n = 10$), the observed finding may not be generalized and more sample size is needed for validation of this result.

The detrimental role of B haplotype associated genes (*KIR2DS1*, *2DS2*, *2DS5*, *2DL2*, *2DL5*) in lymphatic invasion and lymph node metastasis

To assess the clinical significance of *KIR* genes and genotypes in pathogenesis of breast cancer, we identified their

association with histological features as lymphatic, vascular and perineural invasion as well as lymph node metastasis (LNM) in patients with breast cancer. We found that lymphatic invasion occurred more frequently in carriers of Bx genotype ($p = 0.0056$, OR = 2.93, CI = 1.4–6.1) along with *KIR2DS1* ($p = 0.032$, OR = 1.9, CI = 1.09–3.32), *2DS2* ($p = 0.032$, OR = 1.95, CI = 1.09–3.32), *2DS5* ($p = 0.049$, OR = 1.84, CI = 1.04–3.26), *2DL2* ($p = 0.023$, OR = 2.03, CI = 1.4–3.62), and *2DL5* ($p = 0.022$, OR = 2.03, CI = 1.14–3.6); besides, the carriers of *KIR2DS1* ($p = 0.0118$, OR = 2.2, CI = 1.22–3.9) had higher rate of LNM compared to cases lacking these certain *KIRs*.

To explore the impact of ER, PR, and HER2 expression on the occurrence of lymphatic/vascular invasion and LNM, we compared these histopathologic features among ER+, PR+ and HER2+ cases with breast cancer as displayed in Table 5. The most striking observation to emerge from the data comparison was that possessing Bx genotype increased the risk of lymphatic invasion for 16.1 times ($p < 0.0001$, OR = 16.1, CI = 3.6–71.6) in ER+ cases and for 9.36 ($p = 0.0002$, OR = 9.36, CI = 2.6–33.5) in PR+ breast cancer while there was no observed difference in HER2 cases with breast tumor.

Interestingly, the similar results were revealed among ER+ cases and whole patients with BC as well which was a positive association of carrying *KIR2DS1*, *2DS2*, *2DS5*, *2DL2*, *2DL5* with lymphatic invasion occurrence while in the case of PR expression, these associations were limited to *KIR2DS2*, *2DL2*, *2DL5*, and once again there was no significant difference in the expression of HER2+ cases. Consequently, we noted a higher rate of LNM in carriers of Bx genotype and particularly *KIR2DS1* in just ER+ cases as can be seen from the Table 5.

The results obtained from the further analysis on vascular and perineural invasion indicated the protecting role of Bx genotype against vascular invasion among ER+ cases whilst no significant association were found between *KIRs* and invasions.

Discussion

In the present study, we examined the association of *KIR* genes, genotypes and the centromeric/telomeric gene motifs on the susceptibility or resistance to breast cancer. According to our results, it is assumed that *KIR2DL1* was associated with protection against breast cancer, which is in line with decreased frequency of *KIR2DL1* in BC patients in Turkish population [20] and protecting role of *KIR2DL1* combined with HLA-C2 in Saudi Arabian patients with BC [21]. These data suggest that *2DL1* gene may protect individuals against breast cancer development. In another study conducted by Alomar et al., a lower BC risk was shown in

Table 4 Comparison of the *KIRs* frequencies in ER/PR/HER2 subtypes of BC and healthy controls.

KIRs	ER+n = 147		PR+n = 136		HER2+ n = 90		CNn = 226		ER+ vs. CN		PR+ vs. CN		HER2+ vs. CN		ER+ PR+ HER2+ vs. CN		ER+ HER2+ vs. CN		PR+ HER2+ vs. CN		ER-PR -HER2 vs. CN	
	N (%F)	N (%F)	N (%F)	N (%F)	P value	OR CI	P value	OR CI	P value	OR CI	P value	OR CI	P value	OR CI	P value	OR CI	P value	OR CI	P value	OR CI	P value	OR CI
AA genotype	25 15.6	25 16.8	14 15.1	63 27.9	0.006 ^a 2.1 1.2-3.5	0.018 ^a 1.92 1.1-3.2	0.022 ^a 2.18 0.2-0.9	0.014 ^a 2.1 1.2-3.6	0.0103 ^a 4.1 1.4-11.8	0.0072 ^a 3.8 1.4-9.9	0.0194 ^a 3.03 1.2-7.4	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
Bx genotype	135 84.4	124 83.2	79 84.9	163 72.1																		
A haplotype associated genes																						
<i>2DL1</i>	155 96.9	144 96.6	88 94.6	224 99.1	NS	NS	0.038 ^a 0.15 0.03-0.8	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DL3</i>	132 82.5	121 81.2	77 82.8	197 87.2	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>3DL1</i>	155 96.9	144 96.6	89 95.7	215 95.1	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS4</i>	214 94.7	142 95.3	86 92.5	214 94.7	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS4fl</i>	72 45	63 42.3	36 38.7	63 27.9	0.0008 ^a 2.12 1.4-3.2	0.005 ^a 1.89 1.2-2.9	NS	0.0029 ^a 2.04 1.3-3.2	NS	0.027 ^a 2.07 1.1-3.8	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS4del</i>	106 66.2	104 69.8	63 67.7	192 85	<0.0001 ^a 0.34 0.2-0.6	0.0007 ^a 0.41 0.2-0.7	0.0009 ^a 0.37 0.2-0.6	0.0008 ^a 0.4 0.2-0.7	0.0033 ^a 0.33 0.2-0.7	0.0005 ^a 0.3 0.1-0.6	0.0071 ^a 0.37 0.2-0.7	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS4</i>	25 15.6	25 16.8	12 12.9	42 18.6	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DP1 fl,del</i>	155 96.9	144 96.6	89 95.7	224 99.1	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
B haplotype associated genes																						
<i>2DS1</i>	81 50.6	72 48.3	47 50.5	89 39.4	0.036 ^a 1.89 1.2-2.9	NS	NS	0.042 ^a 1.63 1.1-2.5	0.047 ^a 2.1 1.1-3.7	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS2</i>	107 66.9	98 65.8	62 66.7	126 55.8	0.036 ^a 2.12 1.3-3.3	NS	NS	NS	NS	0.039 ^a 2.06 1.1-3.9	0.026 ^a 2.2 1.1-4.3	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS3</i>	71 44.4	68 45.6	38 40.9	80 35.4	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DS5</i>	67 41.9	60 40.3	41 44.1	80 35.4	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>3DS1</i>	78 48.8	68 45.6	43 46.2	86 38.1	0.046 ^a 1.55 1.1-2.3	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DL2</i>	110 68.8	101 67.8	62 66.7	127 56.2	0.016 ^a 1.71 1.1-2.6	0.032 ^a 1.64 1.06-2.5	NS	0.044 ^a 1.6 1.1-2.5	0.039 ^a 2.21 1.1-4.5	0.045 ^a 2.03 1.1-3.9	0.0303 ^a 2.2 1.1-4.2	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
<i>2DL5</i>	110 68.8	105 70.5	63 67.7	134 59.3	NS	0.036 ^a 1.64 1.05-2.5	NS	0.0402 ^a 1.67 1.1-2.6	0.024 ^a 2.47 1.2-5.2	0.022 ^a 2.35 1.2-4.7	0.015 ^a 0.14 0.03-0.7	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS

2DS4fl: KIR2DS4 full-length variant, 2DS4del: KIR2DS4 deleted variant, 2DS4fl, del: both KIR2DS4 full-length and deleted variant.

OR odds ratio, CI confidence interval, NS non-significant.

^aP < 0.05 was considered as statistically significant, based on two-tailed Chi-square with Yates' correction.

Table 5 *KIRs* association with lymphatic/vascular invasion and lymph node metastasis (LNM) in breast cancer.

KIRs	Lymphatic Invasion				Vascular Invasion				LNM			
	BC <i>n</i> = 209 <i>P</i> value OR CI	ER+ <i>n</i> = 147 <i>P</i> value OR CI	PR+ <i>n</i> = 136 <i>P</i> value OR CI	HER2+ <i>n</i> = 90 <i>P</i> value OR CI	BC <i>n</i> = 209 <i>P</i> value OR CI	ER+ <i>n</i> = 147 <i>P</i> value OR CI	PR+ <i>n</i> = 136 <i>P</i> value OR CI	HER2+ <i>n</i> = 90 <i>P</i> value OR (CI)	BC <i>n</i> = 200 <i>P</i> value OR CI	ER+ <i>n</i> = 137 <i>P</i> value OR (CI)	PR+ <i>n</i> = 128 <i>P</i> value OR CI	HER2+ <i>n</i> = 85 <i>P</i> value OR CI
Bx genotype	0.0056 ^a 2.93 1.4–6.1	<0.0001 ^a 16.1 3.6–71.6	0.0002 ^a 9.36 2.6–33.5	NS	NS	0.047 ^a 0.2 0.05–0.9	NS	NS	NS	0.048 ^a 2.8 1.1–7.3	NS	NS
2DS1	0.032 ^a 1.9 1.09–3.32	0.025 ^a 2.24 1.1–4.3	NS	NS	NS	NS	NS	NS	0.0118 ^a 2.2 1.22–3.9	0.033 ^a 2.23 1.1–4.5	NS	NS
2DS2	0.032 ^a 1.95 1.09–3.45	0.0023 ^a 3.21 1.5–6.6	0.001 ^a 3.7 1.7–7.9	NS	NS	NS	NS	NS	NS	NS	NS	NS
2DS5	0.049 ^a 1.84 1.04–3.26	0.0105 ^a 2.56 1.3–5.1	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
2DL2	0.023 ^a 2.03 1.4–3.62	0.0022 ^a 3.29 1.6–6.8	0.0033 ^a 3.28 1.5–7.1	NS	NS	NS	NS	NS	NS	NS	NS	NS
2DL5	0.022 ^a 2.03 1.14–3.6	0.0023 ^a 3.21 1.5–6.6	0.0098 ^a 2.89 1.3–6.2	NS	NS	NS	NS	NS	NS	NS	NS	NS

OR odds ratio, CI confidence interval, NS non-significant.

^a*P* < 0.05 was considered as statistically significant, based on two-tailed Chi-square with Yates' correction.

the presence of *KIR2DL5A*, *2DS2*, *2DS3*, and combination of *KIR2DL1* and HLA-C2 as well [21]. Jobim et al. reported an association between *KIR2DL2* with increased susceptibility to BC in Brazilian population [22]. The beneficial and harmful effects of some inhibitory *KIRs* have been revealed in different tumor types in the Fars population. In contrast to the potential protective role of *KIR2DL2* in bladder cancer [23], the detrimental role of *KIR3DL1* in basal cell carcinoma (BCC) [24], *KIR2DL5* in meningioma [25], colorectal adenocarcinoma (CRC) [26], and head and neck squamous cell carcinoma (HNSCC) [27] have been reported in Fars Province of Iran. Regarding the clinical aspects of cancers, the association of *KIR2DL2* with increased LNM and advanced clinical stage of HNSCC [28] as well as decreased rate of metastasis in CRC cases who had more inhibitory *KIRs* compared with activating genes [26] have been reported in the same population in our previous studies.

Considering *KIR2DS4* variants, we observed a significant increase in *2DS4fl* and a higher decrease in *2DS4del* variant in BC patients compared to controls which is compatible with our previous results in BCC [24],

meningioma [25], CRC [26] and HNSCC [27] in Fars population. In other studies, *2DS4fl* was associated with higher viral load and HIV-1 transmission rate [29], susceptibility to chronic myeloid leukemia (CML) [30] and occurrence of acute Graft Versus Host Disease [31]. Although, the function of *KIR2DS4del* as a soluble form of *2DS4fl* receptor is currently unknown, it is presumable that it can neutralize the deleterious effect of *2DS4fl* receptor noticed in this study.

Next, we found that AA genotype showed protection against BC which was similar to the findings of previous studies on Iranian patients with meningioma [25] and HNSCC [27]. In a study in Chinese Southern Han population, AA genotype was associated with protection against acute lymphoblastic leukemia, acute myeloid leukemia, and CML and beyond that, NK cells from carriers of AA genotype have shown more cytolytic activity against leukemic cells than other genotypes [32]. Moreover, the favorable role of AA genotype has been reported in advanced-stage of classic Hodgkin lymphoma [33]. The inhibitory *KIRs* are predominant in AA genotype which can bind to HLA-C and mediate in NK cell education process which is necessary to

generate mature and functional NK cells. Many studies on breast cancer have reported the downregulation of HLA-I on tumor cells in order to evade T cell responses which leads to damping inhibitory signals of KIR/HLA-I ligand and sensitize tumor cells to NK cell-mediated antibody-dependent cell-mediated cytotoxicity [34–36]. On the other hand, in consistent with our data, prior studies have been reported that Bx genotype conferred susceptibility to diseases which is associated with chronic inflammation or infectious agents including gastric cancer [37], meningioma [25], and HNSCC [27]. Bx genotype contain mostly activating *KIRs*, and exhibit highly divergent NK cell phenotypes due to stochastic expression of *KIRs* on NK cell surface. NK cells from Bx genotype carriers have a high activation threshold, and most likely to become hyporesponsive and lose the ability of eliminating tumor cells [38]. It has been shown that NK cell dysfunction contribute in breast cancer progression because of immunosuppressive microenvironment of breast tumor which may induce overexpression of inhibitory *KIRs* and downregulation of activating *KIRs*, along with the presence of factors like transforming growth factor beta which may diminish NK cell antitumor function [39].

To investigate the effect of centromeric or telomeric motifs on BC risk, we compared their frequencies between cases and controls. It seems that the protective effect of AA genotype in BC patients is due to the presence of Cen-AA motif in which *2DL1* is in strong linkage disequilibrium with *2DL3* and both are inhibitory *KIRs* which are involved in NK cell education. Gooneratne et al. suggested that NK cells educated by *2DL1/HLA-C2* were associated with enhanced anti-HIV-I-antibody-mediated NK cell response against HLA-I deficient target cells [40]. In contrast, in the Chinese Han population presence of Cen-B in donors had improved survival after unrelated donor hematopoietic stem cell transplantation [41]. In another study, it has been reported that Cen-B motif was negatively associated with Vogt-Koyanagi-Harada disease in Japanese [42]. It has been revealed that NK cell overactivation in Tel-B motif carriers increased risk of syphilis [43] and it confers susceptibility to gastric cancer [37] while it protect the carriers from CMV infection following kidney transplantation [44]. A study on meningioma patients in Fars population showed the predisposing role of Cen AB and Tel AB in developing meningioma along with protective role of Cen AA, Tel AA, and Tel BB against it when there was no association between presence of Tel-B motif and BC risk in our study. NK cells with Cen-Bx motif maybe incapable of developing educated NK cells to react against breast cancer cells.

The inconsistency observed in previous studies on association of *KIRs* and breast cancer may be due to the fact that they did not take account the histologic type of the breast tumor and most importantly the expression of

estrogen, progesterone and HER2 receptors which may influence physiological functions of the immune cells. It is known that sex and reproductive status of individual as biological factors can affect the function of both innate and acquired immune system [45]. To control for bias, categorization of patients was carried out according to their ER/PR/HER2 status of tumor. The most striking result to emerge from our data was different associations of *KIRs* with the risk of breast cancer according to the ER/PR/HER2 status of tumor and these associations were more pronounced among ER+ cases with IDC with the predisposing role of *KIR2DL2*, *2DS1*, *2DS2*, *3DS1*, *2DS4fl*, and the protecting role of *2DS4del*. There were some similarities among these groups, particularly the higher frequency of Bx genotype carriers and lower frequency of *2DS4del* in all patient groups compared to controls. Further to this, some associations were unique to each of ER, PR, or HER2 positive groups. For example, the *KIR2DS1*, *2DS2* and *3DS1* in ER+, the *2DL5* in PR+ and *2DL1* in HER2+ cases with invasive ductal carcinoma. There is some evidence in the literature for hormone-biased immune response and several studies investigated the suppressive effect of estradiol on NK cells activity [46], it has been shown that estrogen has an immunoenhancing effect on immune cells such as altering the expression of HLA-I on both tumor and normal breast cells [47]. Hence, it is probable that it can alter the threshold of NK cell activation via KIR/HLA interactions as well which may somewhat describe different results of *KIRs* association with breast cancer development based on ER/PR/HER2 status. Furthermore, in clinical aspects, the lymphatic invasion as a typical channel for tumor metastasis was associated with the presence of Bx genotype along with possessing of *KIR2DS1*, *2DS2*, *2DS5*, *2DL2*, and *2DL5* in whole patients with BC and in ER+ cases as well; besides, the carriers of *KIR2DS1* had higher rate of LNM in mentioned groups compared to cases lacking *2DS1*.

These findings provide further support for the hypothesis that the expression of ER, PR, and HER2 receptors on breast tumor cells may alter physiological condition in tumor microenvironment leading to behavioral differences of activating and inhibitory *KIRs* which regulate tumor cell recognition, NK cell activation and their effector functions. However, more investigations on this issue needs to be undertaken before the association of *KIRs* with breast cancer development and histopathological characteristics is more clearly understood. This study provides the first comprehensive assessment of the association between *KIR* gene/genotypes and breast cancer risk and relevant clinical significance as well. We found that Bx genotype carriers may be more susceptible to BC development and progression that could be due to acquisition of hyporesponsive NK cell repertoire in an immunosuppressive microenvironment

which is unable to mount a robust NK cell response against tumor. The current research has only examined the *KIRs* system and has not been able to determine their cognate HLA-I ligands, hence, larger cohorts of ER/PR/HER2 subtypes of invasive breast cancer along with defining both *KIRs* and HLA-I ligands are required to justify this hypothesis. It is also important to consider the allelic polymorphism of *KIR* genes which can alter the ligand affinity and signal transduction which relates to various educational levels in NK cells. Regarding the complex role of *KIRs* on NK cell response, further investigations on *KIRs* polymorphism at allelic level along with functional analysis is required to reveal their association with developing BC which may have potential effect in the treatment of breast cancer. We hope that these findings will serve as a framework for future explorations of *KIRs* role in different subtypes of breast cancer which can make several noteworthy contributions to cancer immunotherapy and personalized medicine.

Materials and methods

Study population

We conducted a case control study on 452 individuals in Fars province of Iran. Pathologically confirmed cases of 226 patients with IDC breast cancer were recruited from Faghihi hospital, along with 226 age-sex matched CNs who did not have an FHC from Motahari clinic. The mean age of cases was 47.64 ± 13.64 and 47.81 ± 13.88 for CNs. The expression of ER, PR, HER2 status was previously determined by using IHC detection system. The demographic data along with histological and clinical presentations were gathered in datasheets. The histopathological assessments, *KIRs* genotyping and statistical analysis were carried out blind.

The research has been performed in accordance with the Declaration of Helsinki and was ethically approved by Medical Ethics Committee of Shiraz University of Medical Sciences (IR.SUMS.REC.1397.573) and informed consent was obtained from all research participants.

KIR genotyping

In total, 5 ml blood samples were collected in EDTA containing tubes. Genomic DNA was extracted using QIAamp DNA Mini Kit (Qiagen, Germany) as stated by manufacturer's instructions. We applied a set of specific *KIR* primers designed by Vilches et al. [48] and Ashouri et al. [49] (Supplementary Table 3.1) using in-house sequence-specific primers-polymerase chain reaction (PCR-SSP) method was used for typing of 16 *KIR* genes and

determining *KIR2DS4* full (*2DS4fl*) and deleted (*2DS4del*). 100 ng of genomic DNA along with 5 μ l master mix (Ampliqon A/S, Denmark) and certain amounts of primers were used for each reaction mix as displayed in Supplementary Table 3.2. PCR was performed in TECHNE Thermal cycler (USA) according to thermal conditions in Supplementary Table 3.3. In total, 7 μ l of each PCR product was mixed with KBC power load (Kawsar Biotech Company, Iran) and then loaded in 2.5% agarose gel and in 4% gel for segregation of the *KIR2DS4* variants. A UV transilluminator (SMART, UK) was used for detecting target DNA by comparing with the mobility of pUC19 and 50 bp DNA Ladder (Fermentas, Lithuania). We applied the reference samples from DNA exchange program provided by Prof. Raja Rajalingam, Ph.D. at the University of California, San Francisco to check the accuracy of typing [27]. Furthermore, *KIR* genotypes, haplotypes, clusters and motifs (Cen/Tel) were assigned according to previous studies [15, 25].

Statistical analysis

Sample size were chosen for ensuring high power of study according to our previous researches on investigating *KIRs* role in certain tumors and considering the adequate sample size for performing these type of research studies in the existing literature. Our data was analyzed by SPSS (IBM, US) Version 16.0. The frequency differences of *KIR* genes, haplotypes, genotypes, clusters, centromeric and telomeric halves between BC patients and CNs were tested by two-tail chi-square test and considering Yates' continuity correction. *P* values ≤ 0.05 were considered statistically significant. OR with 95% CI were estimated to assess the precision of associations between cases and CNs. Whereas, we had multiple associations/outcomes, each of them had different biologically relevant effect sizes, hence, the statistical powers were different between outcomes for specified sample size.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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