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Physical frailty, genetic predisposition, and incident de[m](http://crossmark.crossref.org/dialog/?doi=10.1038/s41398-024-02927-7&domain=pdf)entia: a large prospective cohort study

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Physical frailty and genetic factors are both risk factors for increased dementia; nevertheless, the joint effect remains unclear. This study aimed to investigated the long-term relationship between physical frailty, genetic risk, and dementia incidence. A total of 274,194 participants from the UK Biobank were included. We applied Cox proportional hazards regression models to estimate the association between physical frailty and genetic and dementia risks. Among the participants (146,574 females [53.45%]; mean age, 57.24 years), 3,353 (1.22%) new-onset dementia events were recorded. Compared to non-frailty, the hazard ratio (HR) for dementia incidence in prefrailty and frailty was 1.396 (95% confidence interval [CI], 1.294–1.506, P < 0.001) and 2.304 (95% CI, 2.030–2.616, P < 0.001), respectively. Compared to non-frailty and low polygenic risk score (PRS), the HR for dementia risk was 3.908 (95% CI, 3.051–5.006, P < 0.001) for frailty and high PRS. Furthermore, among the participants, slow walking speed (HR, 1.817; 95% CI, 1.640–2.014, P < 0.001), low physical activity (HR, 1.719; 95% CI, 1.545–1.912, P < 0.001), exhaustion (HR, 1.670; 95% CI, 1.502–1.856, P < 0.001), low grip strength (HR, 1.606; 95% CI, 1.479–1.744, P < 0.001), and weight loss (HR, 1.464; 95% CI, 1.328–1.615, P < 0.001) were independently associated with dementia risk compared to non-frailty. Particularly, precise modulation for different dementia genetic risk populations can also be identified due to differences in dementia risk resulting from the constitutive pattern of frailty in different genetic risk populations. In conclusion, both physical frailty and high genetic risk are significantly associated with higher dementia risk. Early intervention to modify frailty is beneficial for achieving primary and precise prevention of dementia, especially in those at high genetic risk.

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INTRODUCTION

Dementia is a chronic progressive syndrome characterized by a more severe decline in cognitive function compared to the normal aging process [[1](#page-5-0)]. With its associated increased morbidity and mortality, dementia imposes a significant financial burden on countries, societies, and families [\[2\]](#page-5-0). However, dementia treatment is elusive because of the insufficient efficacy of existing medications; therefore, prevention strategies is a viable and effective approach to decreasing the global dementia incident [[3](#page-5-0), [4](#page-5-0)]. Among these, modifiable risk factors for the target population, including better physical function and regular daily activities, are critical for primary and precise prevention and can benefit in reducing the risk of incident dementia [\[5](#page-5-0)–[8\]](#page-6-0).

Physical frailty is a multidimensional description of the body's state, and is accompanied by a multisystemic decline in function and increased vulnerability to stressors. It is a consequence of the normal aging process and is both dynamic and modifiable [\[9](#page-6-0)–[11\]](#page-6-0). Thus, physical frailty is an important modifiable factor in the risk of dementia [\[12](#page-6-0)–[16](#page-6-0)]. The evaluation of frailty typically involves two methods. The first, proposed by Fried et al., includes five components: weight loss, exhaustion, low grip strength, slow walking speed, and low physical activity [\[12](#page-6-0)]. The second method, introduced by Rockwood and colleagues, defines a Frailty Index based on 49 clinical indicators [[17](#page-6-0)]. However, the practical application favors the methodology introduced by Fried et al., due to the ease of observation of its five evaluative criteria, which holds more significant clinical relevance, leading to its more widespread adoption [[18,](#page-6-0) [19\]](#page-6-0).

Meanwhile, genetic risk has been acknowledged as an independent, non-modifiable risk factor for dementia [\[20](#page-6-0)]. The polygenic risk score (PRS) is a quantitative tool for assessing the genetic risk of an individual and is widely used in clinical practice and epidemiological investigations [[6](#page-6-0), [21,](#page-6-0) [22](#page-6-0)]. Early primary and precise prevention strategies are necessary for individuals at higher dementia genetic risk, and earlier modification of physical frailty status may allow individuals to reduce the risk of

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developing dementia [[23](#page-6-0)]. However, limited evidence exists on the association of physical frailty, genetic predisposition, and their joint effect with dementia risk.

Therefore, the main objective of this study was to explore the longitudinal associations among physical frailty, genetic risk, and the risk of incident dementia using the UK Biobank. Physical frailty phenotype (categorized as non-frailty, pre-frailty, and frailty) and dementia PRS (categorized as low, intermediate, and high) were used for the main analysis to explore: (1) the association of physical frailty phenotype and genetic risk with incident dementia; (2) the effect of physical frailty phenotype on the expression of genetic risk of dementia; and (3) the relationship between the five components of physical frailty and dementia risk among all the participants and different PRS classifications for precise prevention.

MATERIALS AND METHODS Study population

The UK Biobank is a large population-based biological and medical database and a research project. It consists of detailed genetic and bioinformation from over 500,000 UK individuals who were recruited at 22 centers across the UK between 2006 and 2010 [[24](#page-6-0)]. Electronic health data were collected using touchscreen questionnaires, physical examinations, sampling testing, and genotyping. Authorization and electronic signatures were obtained from each participant ([https://](https://www.ukbiobank.ac.uk/) [www.ukbiobank.ac.uk/\)](https://www.ukbiobank.ac.uk/).

Table 1. Baseline Characteristics of Participants in the UK Biobank.

This study included 502,408 individuals from the UK Biobank. We excluded participants who were younger than 40 years $(n=7)$, were diagnosed with dementia at baseline ($n = 975$), died within 2 years from baseline ($n = 2504$), had unavailable follow-up information ($n = 69,731$), and without dementia PRS ($n = 126,635$) or physical frailty data $(n = 28,362)$. Finally, 274,194 UK Biobank participants were included in the main analysis (Supplementary eFig. 1).

Physical frailty assessment

Physical frailty was assessed according to the classification scheme proposed by Fried et al., which includes five components: weight loss (Field ID: 2306), exhaustion (Field ID: 2080), low grip strength (Field ID: 46, 47), slow walking speed (Field ID: 924), and low physical activity (Field ID: 6164) (Further details are provided in the Supplementary eTable 1) [\[12\]](#page-6-0). Participants who met more than three evaluation criteria and one or two evaluation criteria were considered to be in the frailty and prefrailty categories, respectively. Only those who did not meet any of the criteria were considered to be in the non-frailty category.

Polygenic risk score

The UK Biobank project has outlined the genotyping, imputation, and quality control of genetic data [\(http://www.ukbiobank.ac.uk/scientists-3/](http://www.ukbiobank.ac.uk/scientists-3/genetic-data/) [genetic-data/\)](http://www.ukbiobank.ac.uk/scientists-3/genetic-data/). Using the PRSice software [\(www.PRSice.info](http://www.PRSice.info)), we generated numerous genetic risks according to the International Genomics of Alzheimer's Disease Project (IGAP) for a genome-wide association metaanalysis of people of European ancestry with dementia scores [\[25](#page-6-0), [26\]](#page-6-0). Hence, genetic investigations have been limited to Caucasians (British, Irish, or other whites). Single nucleotide polymorphisms (SNPs) with call

The continuous variables and categorical variables were indicated as mean (SD) and number (percentage), respectively.

rates of 95%, minor allele frequencies of 0.1%, and $P < 1 \times 10^{-10}$ departures from Hardy –Weinberg equilibrium were excluded. In this study, P-informed aggregation with $R^2 = 0.01$ truncation in a 250-kb window was used. Higher PRS scores indicated a greater genetic predisposition to Alzheimer s ' disease for all SNPs related to the condition. As in previous studies, we used the PRS, which combines multiple risk alleles for Alzheimer 's disease, to predict the occurrence of all-cause dementia and provide a quantitative measure of genetic dementia risk [[6](#page-6-0) , [27](#page-6-0) , [28](#page-6-0)]. Based on previously studies, the participants were further categorized into three groups according to their dementia PRS, namely low (lowest quintile), intermediate (quintiles 2–4), and high (highest quintile) [\[6](#page-6-0), [29\]](#page-6-0).

Dementia diagnosis

Hospital inpatient records containing admission and diagnostic data from the Welsh Patient Episode Database, Scottish Morbidity Record data, and English Hospital Episode Statistics were analyzed to identify the presence of dementia. Additionally, the occurrence of dementia was revealed via cross-referencing death records from the Information and Statistics Division for Scotland and the National Health Service Digital for England and Wales. The codes applied in the dementia diagnoses are listed in Supplement eTable2 [\[30](#page-6-0), [31](#page-6-0)]. Follow-up information and participant survival time were calculated from the date of the first evaluation, baseline date, to the date of first occurrence, including dementia diagnosis, termination to follow-up, death, or update date of interconnections.

Covariates

Baseline information including age (Field ID: 21022); sex (categorized as female and male; Field ID: 31); education levels, categorized as higher (college or university degree) and lower (other degree) level (Field ID: 6138), and assessment centers (Field ID: 54) were collected. Moreover, smoking status (Field ID: 20116) and alcohol intake (Field ID: 20117), categorized as previous use, current use, or never used, were included as covariates. Body mass index (BMI; Field ID: 23104) was determined using impedance measurements. The Townsend deprivation index (TDI; Field ID: 22189), an area-based measure in which participants are assigned a relative score based on the zip code of their residence and is used to distinguish between participants' residential areas, was also included as a covariate [\[32\]](#page-6-0). 27 Furthermore, the number of long-term morbidities (categorized as 0, 1, 2, 3, 4, and ≥5; Field ID: 20002), including 42 major chronic conditions was another covariate considered, which was assessed by experienced professionals through a verbal interview at baseline (Supplementary eTable 3). Finally, a genotyping array (Field ID: 22000), dementia PRS, and the first 40 principal components of ancestry were used as covariates.

Statistical analysis

Continuous variables, presented as mean and standard deviation (SD), were used to describe the basic characteristics across the three different physical frailty phenotypes. Categorical variables are reported as numbers and percentages.

To examine the association between physical frailty, genetic predisposition, and incident dementia, Cox proportional hazard regression models were performed using the 'survival' package in R software, with the length of the follow-up period as the basis for measuring time; hazard ratios (HRs) and 95% con fidence intervals (CIs) were reported. To verify the underlying assumptions of the Cox model, we employed the log-rank test and Kaplan-Meier survival curves for validation [[33,](#page-6-0) [34\]](#page-6-0). The results confirmed that they are consistent with the assumptions. The related outcomes are presented in the Supplementary eFigs. $3-5$ (log-rank $P < 0.05$). We first divided the population into three groups, non-frailty, prefrailty, and frailty, based on the frailty phenotype, and non-frailty was used as a reference to calculate the HRs (95% CI), as well as, incident cases per 100,000 person-years. To explore the combined effect of frailty phenotype and genetic predisposition on the risk of incident dementia, the recruited participants were categorized into nine groups, and HRs (95% CI) were calculated for each group using Cox proportional hazard regression models, with non-frailty and low PRS as references. Strati fied analyses based on the PRS were performed. Moreover, to investigate the necessity of specific dementia prevention strategies for different populations, the relationship between the five components of frailty assessment and risk of incident dementia was evaluated separately for all participants and different PRS categories. Finally, subgroup analyses, including sex, age, TDI, and number of longterm morbidities, were performed to examine the correlation between frailty and the risk of incident dementia.

Association between physical frailty and risk of incident dementia. Table 2. Association between physical frailty and risk of incident dementia. Table 2.

Fig. 1 Risk of incident dementia according to polygenic risk score and physical frailty phenotype. Model adjusted for age, sex, education levels, Townsend deprivation index, assessment centers, alcohol intake, smoking status, body mass index, the number of long-term morbidities, genotyping array, and the first 40 principal components of ancestry.

The evaluation of the sample size using G*Power (version 3.1) demonstrated a power exceeding 99.99% at a significance level of 5% (two-sided), indicating that the sample size for this study is adequately powered. We reported conventional and significant two-sided P-value thresholds of 0.05 and 95% CI. The R Studio software (version 4.2.1) was used for all statistical analyses and data visualization.

RESULTS

Baseline characteristics

Table [1](#page-1-0) presents the baseline demographics and features grouped by physical frailty phenotype. After a mean follow-up of 8.70 (SD 2.52) years (2,385,177 person-years), a total of 274,194 participants (mean age at baseline, 57.24 [SD 7.91] years and 146,574 [53.45%] females), including 150,913 (55.04%) non-frailty, 111,409 (40.63%) prefrailty, and 11,872 (4.33%) frailty individuals were enrolled and 3,353 dementia cases were recorded. Compared to non-frailty, pre-frailty and frailty were older, predominantly female, a higher TDI, and more chronic diseases.

Associations between physical frailty, genetic risk, and risk of incident dementia

Dementia risk increased steadily across the three physical frailty phenotypes. The incidence of dementia cases per 100,000 personyears was 101.10, 167.74, and 369.31 for non-frailty, prefrailty, and frailty, respectively (Table [2\)](#page-2-0). In addition, compared to non-frailty, after adjusting for covariates, prefrailty and frailty had a significantly elevated dementia risk; the HR for prefrailty and frailty was 1.396 (95% CI, 1.294–1.506; P < 0.001) and 2.304 (95% CI, 2.030-2.616; $P < 0.001$), respectively.

The monotonically increasing risk of incident dementia across the PRS categories was evaluated (Supplementary eTable 4). We further found a monotonic association when genetic risk and frailty phenotypes were combined, indicating that individuals with increasing frailty and PRS had a higher risk of incident dementia (Fig. 1). Compared with non-frail participants with low PRS, frail participants with high PRS were significantly associated with a higher risk of incident dementia (HR, 3.908; 95% CI, 3.051–5.006; $P < 0.001$). Notably, the HR for dementia risk in non-frailty individuals with high PRS was remarkably lower than that in frail individuals with low or intermediate PRS (1.788 [95% CI, 1.501–2.129; P < 0.001] vs. 2.884 [95% CI, 2.361–3.524; P < 0.001] vs. 2.738 [95% CI, 2.074–3.614; P < 0.001], respectively) and was similar to the risk in prefrail individuals with intermediate PRS (HR, 1.749; 95% CI, 1.497–2.043; P < 0.001), suggesting the enormous importance of improving physical frailty for dementia prevention.

Associations between five components and risk of incident dementia

The association of the five components comprising the evaluation of physical frailty with dementia risk was also separately investigated among all participants and different PRS categories (Fig. [2\)](#page-4-0). In total participants, compared to non-frailty, slow walking speed (HR, 1.817; 95% CI, 1.640–2.014, P < 0.001), low physical activity (HR, 1.719; 95% CI, 1.545–1.912, P < 0.001), exhaustion (HR, 1.670; 95% CI, 1.502–1.856, P < 0.001), low grip strength (HR, 1.606; 95% CI, 1.479–1.744, P < 0.001), and weight loss (HR, 1.464; 95% CI, 1.328–1.615, $P < 0.001$) were significantly associated with dementia risk. Notably, the ranking of HR values for five components was different in the low- and high-risk populations, illustrating the need for precise setting of dementia prevention strategies for different genetic risk populations.

Subgroups analysis

Compared with frail individuals, non-frail individuals had a significantly decreased risk of incident dementia; the HR for the low, intermediate, and high PRS category was 0.389 (95% CI, 0.285–0.530; P < 0.001), 0.436 (95% CI, 0.369–0.516; P < 0.001), and 0.469 (95% CI, 0.365–0.603; $P < 0.001$) (Table [3\)](#page-5-0). Furthermore, the stratified analyses (Supplementary eTable 5) showed a more pronounced association between physical prefrailty and frailty and dementia risk were identified in the age ($P_{interaction}$ < 0.001 for pre-frailty; $P_{interaction}$ < 0.001 for frailty) and number of morbidities ($P_{\text{interaction}}$ < 0.037 for pre-frailty; $P_{\text{interaction}}$ < 0.022 for frailty) subgroups.

DISCUSSION

We investigated 274,194 participants and found that prefrailty and frailty were significantly associated with a higher risk of incident

SPRINGER NATURE

Fig. 2 Risk of incident dementia according to five components of physical frailty within each genetic risk category. Model adjusted for age, sex, education levels, Townsend deprivation index, assessment centers, alcohol intake, smoking status, body mass index, the number of long-term morbidities, genotyping array and the first 40 principal components of ancestry.

dementia compared to non-frailty. We also identified the joint effect of physical frailty phenotype and genetic risk; frail participants with higher PRS had a higher risk of incident dementia than non-frail participants with low PRS. Furthermore, a strong correlation between five components of physical frailty and dementia risk was found; and a differential risk of dementia in populations with different dementia genetic risks due to frailty composition patterns was also identified.

With the recent increase in the aging population, the prevalence of frailty has increased rapidly [\[9\]](#page-6-0). A systematic meta-analysis found that the prevalence of physical frailty in 62 countries and 1,755,497 participants was 15% for females and 11% for males, which implies a significant hazard for public health [\[35\]](#page-6-0). Meanwhile, a microsimulation modeling study in Japan reported that both frailty and dementia will impose serious medical,

economic, and social burdens in the future [[36\]](#page-6-0). Previous cohort studies have shown a significant association between frailty and dementia and that both of these have common risk factors, such as socio-demographic, long-term morbidities, and lifestyle factors [\[16,](#page-6-0) [37](#page-6-0)–[39\]](#page-6-0). Moreover, a cohort study of 165 older adults from the Rush Memory and Aging Project found that a state of physical frailty before death was significantly associated with AD pathology levels examined postmortem, indicating a possibility of a common pathogenesis between physical frailty and AD pathology [\[13](#page-6-0)]. This also provides an exploration of the correlation between frailty and dementia onset in terms of potential mechanisms, although more evidence from experimental, animal, or cellular studies is required.

The main results that a higher risk of developing dementia was significantly associated with poorer physical frailty and higher dementia-related PRS were found. Specifically, a noticeably

Table 3. Association between physical frailty and risk of incident dementia within genetic risk classification.

Model adjusted for age, sex, education levels, Townsend deprivation index, education levels, assessment centers, alcohol intake, smoking status, body mass index, the number of long-term morbidities, polygenic risk score, genotyping array, and the first 40 principal components of ancestry.

reduced risk of dementia in non-frail populations with high PRS compared to frail populations with low or intermediate PRS were also identified. This indicates that the physical frailty phenotype may be a factor attenuating the genetic risk of dementia. Unlike genetic risk, physical frailty may be a modifiable risk factor, and the manifestation of physical frailty can be reversed by changing lifestyle or physical exercise habits. Therefore, delaying the development of dementia by modifying physical frailty status can be offered as an option [[40](#page-6-0)]. Moreover, our findings suggest that even with a higher PRS of dementia, public strategies to improve physical capacity may help reduce the burden of physical frailty, thereby reducing the risk of dementia due to frailty [[14,](#page-6-0) [23](#page-6-0)].

The five components of physical frailty were also strongly associated with an increased risk of incident dementia. Kuo et al. proposed a significant association of low grip strength and slow walking speed with an increased risk of incident dementia [[41](#page-6-0)]. This may be because in the central nervous system, the implementation of these two factors is achieved in areas normally located under the frontal and parietal cortex and in the visuomotor and executive areas of the cortex, which are also associated with the onset of dementia [[42](#page-6-0)-[44](#page-6-0)]. For exhaustion, Sulkava et al. reported an HRs of 1.12 (95% CI, 1.00–1.25) in Finland and S. Islamoska et al. identified an incidence rate ratio of 1.024 (95% CI, 1.004–1.043) in Copenhagen [\[45](#page-6-0), [46\]](#page-6-0). Weight loss was also identified as an important risk factor of dementia. Previous studies have presented a 34% (95% CI, 29–38%) higher risk for underweight (BMI < 20 kg/m²) individuals and 29% (95% CI, 23–34%) decreased risk per 5-kg/m^2 increase in BMI, which is consistent with our findings [[47](#page-6-0), [48\]](#page-6-0). Furthermore, the elderly population often exhibit low levels of physical activity due to declining function and muscle atrophy, which have also been recognized to be associated with dementia incidence, subjective memory decline, and developing all-cause mortality [\[49](#page-6-0)–[51](#page-6-0)]. The underlying mechanism for its contribution to the development of dementia is thought to be that exercise modulates the onset of inflammation, synthesis and release of neurotrophins, and alteration of cerebral blood flow [[52\]](#page-6-0). Interestingly, the HR value for slow walking speed demonstrated the highest magnitude of association across the different genetic risk populations. Moreover, among the PRS groups examined, exhaustion exhibited the second-highest HR value for all groups, except the high-geneticrisk population that ranked fourth highest. This suggests that different strategies for the prevention of dementia should be targeted at individuals with different genetic risks, which contributes to successful precise prevention.

Our study has several strengths. The UK Biobank was used as a large-scale, prospective, and long-term follow-up cohort with data on extensive possible covariates, health conditions, and genetic information, which could be adjusted for multiple covariates and stratified into different subgroups in the analysis. However, this study had several limitations. First, compared to a previously reported database, the UK Biobank had a lower prevalence of dementia because the individuals were younger, healthier, and more well-educated. In addition, dementia events were identified only using hospital records and death registers; therefore, missing dementia data were unavoidable. Second, the UK biobank does not include data on recognized markers of dementia pathology (measured using cerebrospinal fluid AD biomarkers, such as amyloid-β and tau, or PET imaging), and does not have results for global cognitive measures (including the Mini-Mental State Examination [MMSE] and the Montreal Cognitive Assessment [MOCA]). Third, we did not use data from all UK Biobank participants because many of them lacked data on frailty assessments or confounders; therefore, they were excluded from this study, which may have introduced bias. Fourth, all four of the five factors assessed for frailty, except low grip strength, were selfreported; therefore, reporting bias was inevitable. However, a previous study by Theou et al. identified similar features of frailty regardless of self-report or test-based measures [[53](#page-6-0)]. Fifth, the majority of participants included in this study were British or European; therefore, the results may not be applicable to other populations, including African, Asian, and Latin American populations. Therefore, further research in other ethnic groups is necessary. Finally, although our analysis was corrected for underlying confounders, this was not adequately evaluated, which could have resulted in bias.

In conclusion, both physical frailty and high genetic risk are significantly associated with an increased risk of dementia. Furthermore, the joint effect of physical frailty phenotypes and dementia genetic predisposition was also identified, suggesting that physical frailty and high genetic risk are significantly associated with higher dementia risk than non-frailty and low genetic risk. Since frailty is a modifiable risk factor, early interventions in frail populations can be recommended to achieve primary prevention of dementia incidence; in particular, different patterns of frailty composition in those at different genetic risks should be considered for precise prevention.

DATA AVAILABILITY

All data generated or analyzed during this study are included in this publication and/ or are available from the corresponding author on reasonable request.

CODE AVAILABILITY

All software used in this study is publicly available. The code used in this study can be accessed at https://github.com/peiyanggao/UKB_Frailty_Genetic_Dementia/.

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AUTHOR CONTRIBUTIONS

JTY had full access to all of the data in the study and took responsibility for the integrity of the data and the accuracy of the data analysis. PYG, LZM, and XJW contributed equally. Concept and design: JFF, WC, LT, and JTY; acquisition, analysis, or interpretation of data: PYG, LZM, XJW, BSW, YMH, ZBW, YF, and YNO; drafting of the manuscript: PYG; critical revision of the manuscript for important intellectual content: PYG, LZM, XJW, and JTY; statistical analysis: PYG; chart design: PYG; obtained funding: JTY; Administrative, technical, or material support: JTY.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE

The UK Biobank study received approval from the National Health Service (NHS) North West Multicenter Research Ethics Committee. All participants were informed of consent via electronic signature prior to participation in the study. Analysis was performed under application number 19542 and the study complied with the Declaration of Helsinki.

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

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