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# **POPULATION STUDY ARTICLE** Genetic susceptibility for retinopathy of prematurity and its associated comorbidities

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BACKGROUND: Retinopathy of prematurity (ROP) is one of the leading cause of child blindness. Preterm newborns of very low gestational age (GA) and very low birth weight are at the greatest risk. Our objective was to evaluate the role of genetic variants associated with ROP risk and its comorbidities in an Argentinian sample of premature infants.

METHODS: A sample of 437 preterm infants <33 weeks GA, born at a maternity hospital in Tucumán, Argentina, 2005–2010, was analyzed. Environmental factors, perinatal outcomes, and fourteen single nucleotide polymorphisms associated with ROP were evaluated, comparing ROP with non-ROP newborns. A lasso logistic regression was performed to select variables; then, a conditional logistic regression was used to identify ROP maternal and perinatal risk factors adjusting by maternal and gestational ages, respectively.

RESULTS: ROP maternal risk factors were alcohol intake, periodontal infections, and severe stress. Respiratory distress, sepsis, and intracranial hemorrhage were the ROP perinatal risk factors. Markers rs186085 of EPAS1 and rs427832 of AGTR1 were significantly associated with ROP newborns.

**CONCLUSION:** We identified three maternal and three perinatal risk factors associated with ROP. Genes EPAS1 and AGTR1, involved in angiogenesis and vascularization, were identified to be of risk for ROP.

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# **IMPACT:**

- Genetic and environmental risk factors associated with ROP and its comorbidities are evaluated in a Latin American population.
- Genes EPAS1 and AGTR1, involved in angiogenesis and vascularization, were identified to be of risk for ROP.
- Three maternal and three perinatal risk factors associated with ROP were also identified.
- A matrix of significant relationships among genetic markers and comorbidities is presented.
- Reported data may help develop more effective preventive measures for ROP in the Latin American region.

# INTRODUCTION

Retinopathy of prematurity (ROP) is one of the most frequent causes of childhood blindness due to a development impairment of retinal blood vessels in preterm infants, mainly in those of low birth weight.<sup>1</sup> Preterm birth affects nearly 10% of worldwide deliveries while ROP, with a 10-30% prevalence in premature newborns, annually leads to blindness or severe visual impairment in around 32,000 neonates. Yearly, 10% of worldwide ROP infants are born in Latin America and the Caribbean region.<sup>2,3</sup> Screening and treatment programs have shown that ROP accounts for 5 to 15% of childhood blindness cases.<sup>4</sup> Applied protocols vary among countries and may result in different incidence rates and risk factors for ROP.<sup>5</sup> Similarly to other countries and in response to the epidemic proportions that ROP reached in 2010, in Argentina, the local public health authorities created a national program for its prevention.4,6

ROP is a multifactorial disease and premature newborns of <33 weeks gestational age (GA) and of very low birth weight (BW  $\leq$  1500 g) are at the greatest risk.<sup>7,8</sup> Factors predisposing to ROP include multiple pregnancy, low Apgar score, respiratory distress, oxygen supplementation, and prolonged mechanical ventilation, while associated conditions are anemia, intraventricular hemorrhage,

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necrotizing enterocolitis, and sepsis.<sup>9–11</sup> These factors present regional variations possibly due to population heterogeneity and differences in neonatal care availability.<sup>8,12</sup>

Based on racial and specific regional risk profiles, several authors have described a genetic predisposition for ROP with an estimated 70% heritability.<sup>8,13,14</sup> Bizzarro et al. studied ROP prevalence in monozygotic and dizygotic twin pairs and reported a significant genetic contribution to its development.<sup>15</sup> Studies on specific candidate gene polymorphisms, such as those of the vascular endothelial growth factor (VEGF) gene, have also shown to be associated with ROP.<sup>16–18</sup>

The objective of the present study was to evaluate the role of genetic variants associated with the risk of ROP and its comorbidities in a sample of premature newborns of Argentina and to compare them with data from other populations.<sup>18</sup>

# METHODS

# Study sample

The study sample was a subset of a larger (n = 1068) preterm infants group who, in a cross sectional study, underwent genotyping to identify genetic contributions to preterm delivery; 437 premature infants <33 weeks of GA were selected, 75 had ROP (cases) and 362 did not (controls) (Fig. 1). The infants were born between July 2005 and December 2009 at the Instituto de Maternidad y Ginecología Nuestra Señora de Las Mercedes (IMGNSLM), in Tucumán, a northwestern Argentine province with a population of mostly Amerindian and Latin European ancestry.<sup>19–23</sup> Demographic information and newborns' phenotype data were collected through an interview with the mother and through a medical chart review. At the IMGNSLM, eye fundus examination of preterm infants was performed by experienced ophthalmologists following national and international guide-lines for ROP prevention, according to the newborns' GA.<sup>1,24–26</sup> Infants of



Fig. 1 Text bloks depicts the study inclusion and exclusion criteria. Sample selection flowchart.

24–26 weeks were evaluated at 7, 6, or 5 completed weeks postnatal age, respectively, or at 31 weeks postmenstrual age (PMA). For infants of  $\geq$ 27 to 30 weeks, evaluation was performed at 4 weeks postnatal age and for those of  $\geq$ 31 weeks, at 2 weeks postnatal age. When ROP screening yielded normal findings, the evaluation was repeated every 15 days until total retinal vascularization. If pathologic changes were identified, the follow up depended on each particular case.<sup>25,26</sup>

Biological samples of the babies (cord blood) and their parents (peripheral blood or saliva) were obtained for DNA studies.

For sample homogenization, exclusion criteria were stillborn, multiple gestation, major birth defect, maternal age under 16 years, known cervix/placental origin of prematurity (cervix incompetence, placental abruption, uterine structural anomaly), and medically induced preterm birth (Fig. 1).

Before enrollment, signed informed consent (IRB 199911068, 200411759, and 200506792) was obtained from all participating families.

## **Exposure variables**

*Maternal socio-demographic characteristics.* Maternal age (years), multigravidity (>3), low maternal and paternal education (<7 years of schooling), marital status (unmarried yes/no), short cohabitation with current partner (<1 year), and change of partner between current and previous pregnancy.

*Lifestyle features during pregnancy.* The following variables (yes/no) were considered: tobacco smoking, alcohol intake, coffee intake, maternal employment, and stress due to severe life events.

*Pregnancy care and complications.* Few prenatal visits (<5), vaginal bleeding, urinary and/or periodontal infections, and low body mass index (BMI < 18.5).

#### Perinatal outcomes

Gestational age (weeks), birth weight (grams), sex, hypotonia, anemia, intracranial hemorrhage, hyperbilirubinemia, sepsis, patent *ductus arteriosus*, hyperglycemia, necrotizing enterocolitis, respiratory distress syndrome, oxygen supply, hypocalcemia, polycythemia, heart failure, seizures, and clinical subtypes of preterm birth (PTB) [Idiopathic (PTB-I); Premature rupture of membranes (PTB-PPROM).<sup>27</sup>

Respiratory distress syndrome was defined when preterm newborns presented signs and symptoms of increased work of breathing together with pulmonary surfactant administration requirement.<sup>28,29</sup> Late-onset sepsis due to pathogenic bacteria was diagnosed by positive culture of blood or cerebrospinal fluid samples obtained at 3 postnatal days.<sup>30</sup> Intraventricular hemorrhage was defined as the presence of subependymal germinal matrix hemorrhage, intraventricular hemorrhage, or parenchymal hemorrhage.<sup>31</sup> The four grades of intraventricular hemorrhages (I-II-III-IV) were classified according to Papile.<sup>32</sup>

#### Genotyping

The selected candidate genes were the nine that presented significant differences in the work of Mohamed et al. plus two VEGF single nucleotide polymorphisms (SNP).<sup>18</sup>

Fourteen SNP were used: EPAS-1 (Endothelial PAD domain protein 1) (*rs1867785; rs1868085*), CFH (Complement factor H) (*rs529825, rs800292, rs1061170*), IHH (Indian Hedgehog Signaling Molecule) (*rs3099*), AGTR-1 (Angiotensin II Receptor Type 1) (*rs427832*), VEGF (*rs69994, rs2010963*), FZD4 (Frizzled 4) (*rs713065, rs7925666*), TBX5 (T-Box Transcription Factor 5) (*rs1895602*), CETP (Cholesteryl Ester Transfer Protein) (*rs289747*), and GP1BA (Glycoprotein Ib Platelet Subunit Alpha) (*rs2243093*).

Allelic variation was determined using the TaqMan genotyping system (Applied Biosystems, Foster City, CA). Genotyping quality controls were performed before completing the statistical analysis (e.g., missing genotype rate by individual and by SNP minor allele frequency (0.05), Hardy–Weinberg equilibrium failures for each SNP, and Mendelian error rate).

# Statistical analysis

Mean and standard deviation were calculated for continuous variables and percentage and Chi square test were used for discrete variables. The Mann Whitney test was applied to compare differences between cases and controls.

Due to the large number of analyzed variables in relation with the sample size, a lasso logistic regression was performed to exclude

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Fig. 2 Significant relationships among genetic markers and comorbidities of retinopathy of prematurity. Association network (OR > 2.5) adjusted by gestational age. The nodes of the network (black circles) represent ROP comorbidities and genetic variants while the edges plot the Odds ratio between pairs of events (numbers on the lines).

variables whose impact on the model was null or almost null.<sup>33</sup> The lasso models use a penalty factor Lambda ( $\tilde{\lambda}$ ) that allows to include a significant number of variables on a reduced study sample. Variables present in less than five ROP newborns were excluded. After this selection, a conditional logistic regression was used to identify maternal risk factors for ROP, comparing ROP with non-ROP newborns, adjusting by maternal age. The dependent variable was ROP (yes/no) and the independent variables were maternal characteristics, lifestyle features, and pregnancy care and complications. A second conditional logistic regression was performed to analyze perinatal outcomes adjusted by GA in weeks. In both analyses, odds ratios and 95% confidence intervals were estimated. The significance level was set at p < 0.05 and the study power test was 80% for a risk factor prevalence <10% in the population to detect a minimal OR > 2.5, for a minimum sample size of N = 70 (ROP cases) and N = 280 (non-ROP controls).

The Transmission Disequilibrium Test (TDT), within the family-based association study approach, was used for the analysis of candidate genes. Family-based designs are an alternative strategy to study associations that are not easily affected by confounding due to population structure and/or recent admixture; they are based on triads (mother, father, newborn) that involve the assessment of both parents and the affected child.<sup>34</sup>

Given the exploratory nature of the present study, the Bonferroni correction test was not applied.

Finally, the association between SPN and newborns' comorbidities was analyzed only in ROP newborns; events were characterized as dichotomous: present (1) or absent (0). A double entry table where each cell showed the number of ROP cases sharing a pair of events was created (Supplementary Appendix 1). The expected values for each pair of events were calculated as if they were independent, multiplying their marginal probabilities. The deviation of the observed from the expected values was evaluated with Fisher's exact test. Odds ratios between pairs of events were calculated; associations with *p*-values less than 0.10 were represented in a network graph (Fig. 2).

#### RESULTS

Of the 75 ROP cases 42 were males and 33 females. The average maternal age was  $24.7 \pm 6.3$  and  $25.2 \pm 6.6$  (p = 0.525) for cases and controls, respectively, and gravidity was  $2.0 \pm 1.8$  and  $1.8 \pm 2.3$  (p = 0.832); the differences were not significant. Tables 1

and 2 show the maternal and perinatal risk factors for ROP, respectively.

#### Maternal environmental risk factors

A univariate analysis of maternal environmental risk factors showed that infants had a greater risk for ROP when their mothers presented low body mass index, alcohol intake during pregnancy, stress due to a severe event, and periodontal infections.

After lasso selection, three of the four variables were incorporated into the final model as the best predictors for ROP: alcohol intake (OR = 2.52, 95% Cl: 1.26–5.03, p = 0.009), severe stress events (OR = 1.60, 95% Cl: 0.92–2.17, p = 0.063), and periodontal infections (OR = 1.58, 95% Cl: 0.92–2.73, p = 0.095).

# **ROP and perinatal outcomes**

ROP newborns had a significantly shorter mean GA  $(29.4 \pm 1.9)$  than the non-ROP control group  $(30.5 \pm 2.4)$  (t = 3.48, p < 0.001) and a significantly lower birth weight (1164 g ± 266 vs. 1404 g ± 399), (t = 4.97, p < 0.001).

All ROP newborns were hospitalized and presented associated complications. A univariate analysis showed that ROP newborns had a higher risk of seizures, intracranial hemorrhage, hyperbilirubinemia, respiratory distress, sepsis, patent *ductus arteriosus*, hyperglycemia, and necrotizing enterocolitis than the control group. After Lasso selection and adjustment for GA, the variables respiratory distress, sepsis, and intracranial hemorrhage showed a significantly higher risk in ROP cases than in the control group (Table 2).

# Genetic susceptibility for ROP

In a first analysis, marker *rs186085* of EPAS1 was significantly associated with ROP newborns <33 weeks GA (Table 3). When ROP newborns <30 weeks GA were re-analyzed, markers *rs427832* of AGTR1 and *rs1868085* of EPAS1 were both significantly associated with ROP (Table 3).

Table 1.	Maternal risk factors	for retinopathy of	preterm birth ad	justed by maternal age.

	ROP ( <i>N</i> = 75)		non-ROP ( <i>N</i> = 362)						
Risk factors	N	%	Ν	%	OR	95% CI	OR adjust	95% CI	<i>p</i> -value
Low maternal education	10	13.3	36	9.9	1.39	0.59, 3.05	2.11	0.66, 6.77	0.210
Low paternal education	9	12.0	39	10.8	1.13	0.46, 2.52	1.41	0.40, 4.94	0.592
Multigravidity	26	34.7	147	40.6	0.78	0.44, 1.34	0.61	0.22, 1.69	0.343
Unmarried	48	64.0	215	59.4	1.23	0.71, 2.16	1.31	0.52, 3.29	0.561
Change of partner	46	61.3	199	55.0	1.49	0.75, 3.08	1.47	0.55, 3.91	0.442
Short cohabitation	59	78.7	284	78.5	0.99	0.51, 2.05	0.62	0.20, 1.91	0.404
Low body mass index	11	14.7	37	10.2	1.66	0.71, 3.58	1.48	0.51, 4.33	0.471
Tobacco use	14	18.7	71	19.6	0.94	0.46, 1.82	2.08	0.74, 5.85	0.165
Alcohol intake	15	20.0	37	10.2	2.18	1.04, 4.37	2.52	1.26, 5.03	0.009
Coffee intake	40	53.3	180	49.7	1.13	0.67, 1.92	1.64	0.75, 3.59	0.212
Maternal employment	22	29.3	95	26.2	1.18	0.65, 2.11	1.67	0.69, 4.03	0.252
Stress	32	42.7	190	52.5	1.60	0.92, 2.47	1.60	0.92, 2.17	0.063
Few prenatal visits	39	52.0	165	45.6	1.29	0.76, 2.20	0.84	0.37, 1.90	0.677
Vaginal bleeding	34	45.3	171	47.2	0.92	0.54, 1.56	1.20	0.54, 2.67	0.655
Urinary infections	34	45.3	191	52.8	0.72	0.42, 1.25	1.03	0.47, 2.29	0.934
Periodontal infections	45	60.0	178	49.2	1.60	0.93, 2.76	1.58	0.92, 2.73	0.095

ROP retinopathy of prematurity, CI confidence interval, OR adjust Odds Ratio adjusted by maternal age.

# ROP genetic markers and comorbidities relationships

A co-occurrence matrix of comorbidities and/or genetic markers observed in ROP newborns was created (Supplementary Appendix 1). The significant relationships among the SNP of the analyzed candidate genes as well as the relationships among these markers and ROP comorbidities are shown in Fig. 2. Marker VEGF *rs201093* appeared as the main node, relating a cascade of genes (AGTR1, IHH, CEPT, and EPAS1) with comorbidities (necrotizing enterocolitis, seizures, sepsis, and hyperglycemia), mediated by respiratory distress while, on the other hand, the association between VEGF and cranial hemorrhage related through CFH markers (Fig. 2).

# DISCUSSION

After adjusting for maternal age, the variables severe stress events, periodontal infections, and -specially- alcohol intake during pregnancy showed an association with the ROP cases. These three risk factors have already been associated with prematurity and low birth weight.<sup>35,36</sup> Gauthier et al. have reported alcohol prenatal exposure during the first trimester in 34% of very low birth weight (VLBW) newborns,<sup>37</sup> while Taylor et al. have related acute or chronic stressful events with VLBW among other adverse outcomes.<sup>38</sup> It could therefore be hypothesized that the identified maternal risk factors actually result in prematurity and low birth weight and that they are not directly related to the ROP pathway.

The risk of having respiratory distress, sepsis, and intracranial hemorrhage was significantly higher in ROP than in non-ROP newborns. These comorbidities have already been widely reported in association with ROP; genetic susceptibility and candidate genes have been described for them as well as for other comorbidities.<sup>8,39,40</sup>

Using the family-based analysis strategy to control the population substructure of our Argentinian sample, we identified the same relationship between ROP and SNP EPAS1 *rs1868085* as Mohamed et al.<sup>18</sup> Other authors have also reported this association supporting a possible causal relationship.<sup>41,42</sup>

EPAS1 and AGTR1, shown to be of risk for ROP in our study, are involved in the processes of angiogenesis and vascularization.<sup>18,41</sup> EPAS1 includes a gene for erythropoietin (EPO), which regulates

red blood cell production, and a gene for vascular endothelial growth factor (VEGF).<sup>41</sup> VEGF, a hypoxia-induced potent angiogenic factor,<sup>18</sup> is a primary mediator of retinal angiogenesis; it increases vascular permeability and local tissue oxygenation. EPAS1 could represent an important regulator of vascularization, perhaps involving the regulation of endothelial cell gene expression in response to hypoxia. The importance of VEGF in the development of ROP is supported by a number of experimental and clinical data.<sup>15,43–46</sup> Decreased VEGF production was demonstrated during the first phase of ROP while it increased during the proliferative second phase.43,44 The VEGF gene has more than 70 known loci and previous studies have identified several SNP, particularly rs2010963 also analyzed in our study, as directly related with the risk of ROP progression.<sup>15,45,46</sup> In our study, the matrix of associations showed that the SNP of VEGF were significantly associated with sepsis, respiratory distress, seizures, and necrotizing enterocolitis. Such associations have also been previously described.47-5

In a recent work, Ilguy et al. (2021) have analyzed the association of ROP with SNP in VEGF-A and EPAS1, among other genes, and their relationship with ROP severity.<sup>51</sup> The authors have identified eight significant SNP, three of which were analyzed in our work, and they showed that VEGF-A *rs2010963* was associated with severe retinopathy while EPAS1 *rs1867785* and *rs1868085* were associated with milder cases.

Rathi et al. (2017) observed strong associations of ROP with variants in CFH (Complement factor H), among other genes (CEPT, CFB, CXCR4, and FBLN5).The vitreous of ROP infants presented increased levels of proteins in the extracellular matrix and the complement pathways; the authors concluded that increased retina/vitreous complement activation, in turn, activated microglia leading to inflammation.<sup>52</sup> In our study, the matrix of associations showed that the SNP of CFH were significantly associated with inflammatory related conditions such as intracranial hemorrhage. Previous research works have described such associations.<sup>53,54</sup>

Furthermore, SNP *rs427832* of the AGTR1 gene, identified in the work of Mohamed et al.<sup>18</sup> was also recognized in the present study as associated with ROP in newborns of <30 weeks GA. AGTR1 encodes for angiotensin II type I receptor which has

	ROP ( <i>N</i> = 75)		Non-ROP (/	l = 362)						
Risk factors	N	%	N	%	OR	95% CI		OR adjust	95% CI	<i>p</i> -value
Male	42	56.0	186	51.4	1.20	0.71	2.06	1.00	0.36, 2.79	0.995
Hypotonia	5	6.7	42	11.6	0.54	0.16	1.45	0.39	0.11, 1.34	0.137
Seizures	7	9.3	8	2.2	4.56	1.35	14.84	2.08	0.57, 7.57	0.264
Intracranial hemorrhage	52	69.3	107	29.6	5.39	3.05	9.68	3.15	1.67, 5.97	0.000
Anemia	41	54.7	163	45.0	1.38	0.78	2.48	1.14	0.60, 2.17	0.682
Hyperbilirubinemia	71	94.7	288	79.6	4.56	1.62	17.71	2.72	0.81, 9.10	0.105
Respiratory distress	55	73.3	157	43.4	3.59	2.01	6.58	2.14	1.02, 4.48	0.044
Oxygen supply	32	42.7	141	39.0	0.72	0.32	1.75	1.17	0.36, 3.82	0.796
Sepsis	39	52.0	85	23.5	3.53	2.04	6.10	2.21	1.04, 4.41	0.039
Patent ductus arteriosus	24	32.0	45	12.4	3.32	1.77	6.10	1.53	0.71, 3.29	0.276
Hyperglycemia	9	12.0	15	4.1	3.15	1.16	8.05	1.54	0.72, 3.29	0.262
Necrotizing enterocolitis	23	30.7	49	13.5	2.83	1.51	5.18	1.32	0.43, 4.07	0.625
Hypocalcemia	10	13.3	44	12.2	1.11	0.47	2.39	1.72	0.47, 6.30	0.411
PTB-I	23	30.7	120	33.2	0.88	0.49	1.55	1.33	0.32, 5.45	0.686
PTB-PPROM	36	48.0	130	35.9	1.63	0.96	2.78	1.94	0.87, 4.29	0.103

Table 2. Perinatal risk factors in ROP and non-ROP premature newborns, adjusted by gestational age.

ROP retinopathy of prematurity, CI confidence interval, OR adjust Odds Ratio adjusted by gestational age, PTB-PPROM preterm birth with premature rupture of membranes, PTB-I preterm birth idiopathic.

Table 3. Candidate gene association with ROP with the triad method (TDT) in preterm newborns under 33 and 30 weeks gestational age.

		GA < 33 weeks			GA < 30 weeks		
Gene	SNP	OR	CI 95%	<i>p</i> -value	OR	CI 95%	<i>p</i> -value
AGTR-1	rs427832	1.46	0.72, 2.96	0.292	2.80	1.01, 7.77	0.048
CETP	rs289747	1.56	0.83, 2.93	0.163	1.20	0.52, 2.77	0.670
CFH	rs1061170	0.63	0.28, 1.38	0.244	0.89	0.34, 2.30	0.808
CFH	rs529825	1.38	0.72, 2.62	0.332	1.00	0.43, 2.30	1.000
CFH	rs800292	1.14	0.64, 2.02	0.662	0.79	0.36, 1.73	0.549
EPAS-1	rs1868085	2.32	1.19, 4.54	0.014	2.12	1.01, 4.92	0.049
EPAS-1	rs1867785	0.74	0.37, 1.47	0.386	0.58	0.23, 1.48	0.257
FZD4	rs7925666	0.70	0.35, 1.39	0.306	0.82	0.34, 1,97	0.655
FZD4	rs713065	1.00	0.49, 2.05	1.000	1.00	0.38, 2.66	1.000
GP1BA	rs2243093	0.46	0.18, 1.21	0.117	0.50	0,15, 1.66	0.258
IHH	rs3099	1.67	0.88, 3.16	0.118	1.75	0.73, 4.17	0.207
TBX5	rs1895602	1.33	0.63, 2.82	0.451	1.80	0.60, 5.37	0.292
VEGF	rs699947	0.73	0.38, 1.38	0.332	0.42	0.15, 1.18	0.100
VEGF	rs2010963	1.14	0.56, 2.34	0.715	1.86	0.74, 4.65	0.187

GA gestational age, SNP single nucleotide polymorphism, OR Odds Ratio, Cl confidence interval.

vasopressor effects and regulates aldosterone secretion.<sup>16,55</sup> These mechanisms have been shown to be present in the retina.<sup>56</sup> In a cattle experimental model, angiotensin II was found to act as a stimulant of VEGF-induced endothelial cell proliferation, mediated through the KDR/FIk-1 VEGF receptor; upregulation of this receptor was transcriptionally regulated by the type I angiotensin receptor.<sup>57</sup> Studies have also shown a role in angiogenesis for hedgehog proteins, such as Indian Hedgehog (IHH) and Sonic Hedgehog (SHH).

In conclusion, these markers (AGTR1 *rs427832*, IHH *rs3099*, CEPT *rs289747*, EPAS1 *rs1868085*, and VEGF *rs2010963*) could interact and, in association with angiogenic factors, hypoxia, vascularization, and inflammatory processes, be linked to the development of ROP and to its comorbidities.

# Strengths and pitfalls

This exploratory study is part of a larger project developed in collaboration with the Department of Genetics at the University of lowa. It has been conducted in a single maternity hospital in Argentina that serves an ethnically and socially homogeneous population (mainly Amerindians of low and medium socioeconomic level).

Some aspects should be considered when reviewing studies that try to assess genetic variants related to ROP and its severity. ROP is associated with the grade of prematurity and both short GA and low birth weight are related to an increased ROP severity. Therefore, in order to differentiate genetic variants affecting prematurity and/or ROP, we adjusted the comparison by GA. 5

The construction of a matrix of associations was not intended to assess interactions or determine ROP causal pathways but to interpret the relationships among the involved genes as well as to provide information on shared risks among genes and comorbidities; this could help in the selection of candidate genes, clinical orientation, and inclusion of better predictor models for ROP.

The main limitation of the present study was its small sample size. For this reason, we decided to consider it as exploratory, with lax levels of statistical significance and to report associations instead of defining interactions that could be analyzed in future studies involving larger sample sizes.

We are aware that the frequency of exposure to environmental factors that affect ROP may have changed over time but, as a limitation of the study, detailed information on ROP-infants was only available for the 2005–2010 period.

Furthermore, information on ROP severity, which would have allowed us more precision when defining associations, was not available. Finally, the study sample was recruited from a single maternity hospital, limiting the generalization of the current findings.

### CONCLUSION

In the present work, we were able to identify two candidate genes for ROP (EPAS1 and AGTR1) and replicate findings of a previous study. We also found association pathways involving Endothelial PAS Domain Protein 1 (EPAS1), Vascular Endothelial Growth Factor (VEGF), and Complement Factor H, as well as their relationship with comorbidities. The role of candidate genes and their association with other genes, maternal risk factors, and ROP comorbidities are shown, all of which could lead to hypotheses for further studies aimed at investigating these relationships.

#### DATA AVAILABILITY

The datasets generated and/or analyzed during the current study are available from the corresponding author on reasonable request.

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# **AUTHOR CONTRIBUTIONS**

LGG, JAG, and SG made substantial contributions to design, acquisition of data, analysis and interpretation of data, drafting the article and approving the final manuscript as submitted. JSLC, HBK, and JZB made substantial contributions to design, acquisition of data, critical manuscript revision for important intellectual content, and approving of the final version as submitted. DEE, BC, MRS, HC, FAP, SLH, JR, VRC, RU, CS, MN, and MR made contributions to data analysis and interpretation, drafting and editing of the article, and approved the final manuscript as submitted.

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#### **COMPETING INTERESTS**

The authors declare no competing interests.

# ETHICS APPROVAL AND CONSENT TO PARTICIPATE

Before enrollment, signed informed consent was obtained from all participating families. Parents provided consent for themselves and for the neonates.

#### **ADDITIONAL INFORMATION**

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