#### ARTICLE



# An EGFR ligand promotes *EGFR*-mutant but not *KRAS*-mutant lung cancer in vivo

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### Abstract

EGFR ligands (e.g., EGF and TGFA) have been shown to be clinically associated with poor survival in lung cancer. Since TGFA itself initiates autochthonous tumors in liver, breast, and pancreas but not in the lung in transgenic mice in vivo, it would appear that an EGFR ligand may not initiate but rather promote lung cancer. However, it has not been proven in vivo whether lung cancer is promoted by an EGFR ligand. Using transgenic mouse models conditionally expressing  $EGFR^{L858R}$  or  $Kras^{G12D}$  with TGFA (an EGFR ligand) in lung epithelium, we determined that TGFA promoted the growth of  $EGFR^{L858R}$ -lung tumors in airway regions but not that of  $Kras^{G12D}$ -lung tumors. Analysis of TCGA datasets identified  $\Delta$ Np63 and AGR2 as potential key tumor-promoting regulators, which were highly induced in the TGFA-induced  $EGFR^{L858R}$ -lung tumors. The expression of AGR2 was positively correlated with the expression of TGFA in human EGFR wild-type lung adenocarcinoma was associated with poor survival. These results suggest that targeting EGFR ligands may benefit patients who carry *EGFR*-mutant lung tumors but will not benefit patients with *KRAS*-mutant lung tumors.

# Introduction

In vitro, wild-type epidermal growth factor receptor (EGFR) requires epidermal growth factor (EGF) to transform NIH3T3 fibroblast cells to anchorage independence [1], indicating a dependency on an EGFR ligand for EGFR to

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activate its biological activity. However, the discovery of EGFR mutations in a portion of lung cancer cases [2–4] led to the finding that mutant EGFR is sufficient to induce anchorage-independent growth of both NIH3T3 and transformed tracheobronchial epithelial cells without an EGFR ligand, indicating that mutant EGFR functions as an oncogene independently of its ligand in lung cancer [5]. Although Meyerson and colleagues showed that mutant EGFR does not require an EGFR ligand (EGF) for anchorage-independent cell growth [5], Settleman and colleagues showed that EGF increased the cell number of tyrosine kinase inhibitor (TKI)-resistant EGFR-mutant lung cancer cell lines (H1975 and H1650; wild-type KRAS) but not that of KRAS-mutant lung cancer cell lines (H358 and H1734; wild-type EGFR) in vitro [6], suggesting that EGFR ligands are capable of promoting growth of specific types of lung cancer cells, including EGFR TKI-resistant lung cancer cells. In order to understand the role of an EGFR ligand in lung in vivo, Korfhagen and colleagues developed conditional transgenic mice whose lung epithelial cells induce the expression of transforming growth factor alpha (TGFA), an EGFR ligand [7, 8]. Although an EGFR ligand transformed NIH3T3 cells in vitro [1], the increased expression of TGFA alone in lung induced lung fibrosis but not lung



tumors in vivo [7, 8], suggesting that an EGFR ligand is not sufficient to initiate the formation of a lung tumor in vivo, which is distinct from the findings that TGFA alone induced tumors in liver, breast, and pancreas in vivo [9–11]. Varmus and colleagues have shown that the conditional expression of two major lung oncogenes, mutant EGFR ( $EGFR^{L858R}$ ) or

✓ Fig. 1 TGFA promotes growth of EGFR-mutant lung tumors in airway regions but not in alveolar regions. a Schematic view of the transgenic mouse model that conditionally induces the expression of EGFR<sup>L858R</sup> along with TGFA in lung epithelial cells, including airway club cells and alveolar type 2 cells, using tet-inducible system upon doxycycline administration. b Kaplan-Meier survival analysis using Prism 7 indicates that co-expression of EGFR<sup>L858R</sup> along with TGFA (Scgb1a1rtTA;[tetO]-EGFR<sup>L858R</sup>;[tetO]-TGFA) significantly shortened survival of the mice compared to the expression of either EGFR<sup>L858R</sup> (*Scgb1a1-rtTA;[tet0]-EGFR<sup>L858R</sup>*) or TGFA (*Scgb1a1-rtTA;[tet0]-TGFA*) alone compared to EGFR<sup>L858R</sup> (*p*-value compared to EGFR<sup>L858R</sup> or TGFA alone; Gehan–Breslow–Wilcoxon test). c Co-expression of EGFR<sup>L858R</sup> along with TGFA (Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup>;[tetO]-TGFA) in lung epithelium induced lung tumors in airway regions while the expression of EGFR<sup>L858R</sup> alone (*Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup>*) induced lung tumors in alveolar lesions but not in airway regions. The expression of TGFA alone (Scgb1a1-rtTA; [tetO]-TGFA) induced fibrosis in pleural regions but not lung tumors. Histology was assessed by hematoxylin and eosin staining (H&E). The expression of human EGFR, EGFR<sup>L858R</sup>, and TGFA was confirmed by immunohistochemistry. Scale bar;  $50 \,\mu\text{m}$ . **d** The number of EGFR<sup>L858R</sup>-lung tumors located in alveolar and airway regions is shown. Lung tumors on representative sections from 10 mice of either Scgb1a1-rtTA; [tetO]-EGFR<sup>L858R</sup>  $(EGFR^{L858R})$ Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup>;[tetO]-TGFA or  $(EGFR^{L858R}; TGFA)$  were counted. Results are expressed as mean  $\pm$  SD for each group.  ${}^{\#}p < 0.05$  (Student's *t*-test [two-tailed and unpaired])

mutant KRAS (Kras<sup>G12D</sup>) [12, 13], in lung epithelial cells was sufficient to initiate lung tumors in vivo [14, 15]. Although the in vitro anchorage-independent cell growth assays and in vivo mouse studies suggest that EGFR ligands may not be required for lung tumor initiation [5, 14, 15], the expression of TGFA (an EGFR ligand) in lung adenocarcinoma is clinically associated with poor survival [16], again suggesting a potential role of an EGFR ligand as a tumor promoter. Recently, Rudensky and colleagues reported a "loss-of-function" study in which conditional deletion of Areg (an EGFR ligand) in T cells reduced the growth of transplantable lung tumor cells (LLC lung tumor cells that harbor Kras<sup>G12C</sup>; Nras<sup>Q61H</sup> and EO771 breast tumor cells) in mice [17, 18], suggesting that EGFR ligands promote the growth of metastatic lung tumors in vivo. These findings are relevant to the ongoing clinical trial of a vaccine (CIMAvax-EGF) that targets an EGFR ligand in lung cancer patients. An initial clinical trial with CIMAvax-EGF had promising results [19] and is now being followed by a clinical trial in the United States (NCT02955290). However, it is not yet known which oncogene-driven autochthonous lung tumors will respond to an EGFR ligand-targeted therapy. Identification of driver oncogenes that are promoted by EGFR ligands in vivo is critical in evaluating the efficacy of CIMAvax-EGF in the ongoing clinical trial. In the present study, in order to understand the role of an EGFR ligand in different types of lung tumorigenesis in vivo, we employed a "gain-of-function" approach that conditionally induced the expression of TGFA (an EGFR ligand) in lung epithelial cells in the presence of mutant *EGFR* or mutant *Kras* using transgenic mouse models and assessed whether TGFA promotes the growth of *EGFR*-mutant and/or *Kras*-mutant autochthonous lung tumors in vivo. Our data indicate that EGFR ligands worsen the *EGFR*-mutant lung tumorigenesis by acting on specific cell types, including airway epithelial cells and fibroblasts, and altering their cell lineage in vivo.

# Results

# TGFA (an EGFR ligand) induces the growth of EGFRmutant tumor cells

In order to understand the role of an EGFR ligand in lung cancer in vivo, we generated triple-transgenic mice that conditionally induce the expression of either EGFR<sup>L858R</sup> or KRAS<sup>G12D</sup> along with TGFA in lung epithelial cells upon doxycycline administration (Figs. 1a and 2a and Supplementary Figure S1). The triple-transgenic mice were generated by crossing three different mice carrying different alleles, Scgb1a1-rtTA and [tetO]-EGFR<sup>L858R</sup> [15] or [tetO]-Kras4b<sup>G12D</sup> [14] (hereafter [tetO]-Kras<sup>G12D</sup>) with [tetO]-TGFA [8], resulting in Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup>; [tetO]-TGFA mice and Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup>; [tetO]-TGFA mice (Supplementary Table S1). Scgb1a1 of Scgb1a1-rtTA is derived from rat, which drives the expression of transgenes in the two cell populations (airway club cells and alveolar type 2 cells but not airway basal cells) in mice [20]. The effect of TGFA on EGFR<sup>L858R</sup>- or KRAS<sup>G12D</sup>-lung tumorigenesis in vivo was demonstrated by the reduction in survival of the mice. TGFA significantly reduced the survival and the body weight of the mice that express EGFR<sup>L858R</sup> (Fig. 1b and Supplementary Figure S2; median 32 days for Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup>;[tetO]-TGFA mice vs 118 days for Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup> mice or 248 days for Scgb1a1-rtTA;[tetO]-TGFA mice; p < 0.05 compared to Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup> mice or Scgb1a1-rtTA:[tetO]-TGFA mice) while TGFA did not influence the survival of the mice that express KRAS<sup>G12D</sup> (Fig. 2b and Supplementary Figure S3). As previously reported [14, 15], histological analysis indicated that the expression of either EGFR<sup>L858R</sup> or KRAS<sup>G12D</sup> in lung epithelial cells induced lung tumors (adenoma and/ or adenocarcinoma). Of note, EGFR<sup>L858R</sup> induced lung tumors in alveolar regions (Fig. 1c, d) while KRAS<sup>G12D</sup> induced lung tumors in both airway and alveolar regions (Fig. 2c, d). As also previously reported [7, 8], TGFA alone induced fibrosis in pleural regions but did not induce the formation of a lung tumor (Figs. 1c and 2c). Unexpectedly, when EGFR<sup>L858R</sup> was co-expressed with TGFA, lung tumors driven by EGFR<sup>L858R</sup> were significantly progressed



in airway regions accompanied with pleural fibrosis (Fig. 1c, far right panels, 1d). This is in contrast to lung tumors occurring mainly in alveolar regions when EGFR<sup>L858R</sup> alone

is expressed (Fig. 1c, second panels from the left, and 1d). Consistent with the histology, EGFR downstream signaling pathways, which were assessed by the expression of ✓ Fig. 2 TGFA does not promote growth of KRAS-mutant lung tumors. a Schematic view of the transgenic mouse model that conditionally induces the expression of KRAS<sup>G12D</sup> along with TGFA in lung epithelial cells, including airway club cells and alveolar type 2 cells, using a tet-inducible system upon doxycycline administration. b Kaplan-Meier survival analysis using Prism 7 indicates that TGFA does not influence the survival of the mice whose lung tumors are induced by  $KRAS^{G12D}$  after doxycycline administration (*Scgb1a1*rtTA;[tetO]-Kras<sup>G12D</sup>;[tetO]-TGFA vs Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup> or Scgb1al-rtTA; [tetO]-TGFA; no significant difference p > 0.05; Gehan-Breslow-Wilcoxon test). c TGFA did not influence the growth of KRAS<sup>G12D</sup>-mutant lung tumors in airway and alveolar regions (see Scgb1al-rtTA;[tetO]-Kras<sup>G12D</sup>;[tetO]-TGFA vs Scgb1al-rtTA;[tetO]-Kras<sup>G12D</sup>). Histology was assessed by hematoxylin and eosin staining (H&E). The expression of human EGFR, EGFR<sup>L858R</sup>, and TGFA was assessed by immunohistochemistry. Scale bar; 50 µm. d The number of KRAS<sup>G12D</sup>-lung tumors located in alveolar and airway regions is shown. Lung tumors on representative sections from nine mice of either Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup> (Kras<sup>G12D</sup>) or Scgb1a1-rtTA; [tet0]-Kras<sup>G12D</sup>;[tet0]-TGFA (Kras<sup>G12D</sup>;TGFA) were counted. TGFA did not alter the number of KRAS<sup>G12D</sup>-lung tumors grown in airway or alveolar regions. Results are expressed as mean  $\pm$  SD for each group.

phospho-ERK, phospho-STAT3, and phospho-AKT [15], were highly activated in the progressed EGFR<sup>L858R</sup> tumors in airway regions but not in alveolar regions (Supplementary Figures S4a and S5). This result suggests that TGFA promotes EGFR<sup>L858R</sup>-lung tumors derived from airway club cells but not from alveolar type 2 cells, which may cause airway obstruction that is relevant to the shortened survival in addition to the reduced weight. In contrast, TGFA did not influence the growth and location of KRAS<sup>G12D</sup>-lung tumors in airway and alveolar regions (Fig. 2c, d), which reflects the unchanged survival (Fig. 2b and Supplementary Figure S3). This suggests that further activation of EGFR by TGFA does not influence the EGFR downstream pathways when KRAS harbors an oncogenic mutation in lung cancer. This result is in contrast to the data from  $Kras^{G12D}$ -driven pancreatic tumors that were promoted by TGFA in an autochthonous transgenic mouse model [21].

# $\Delta$ Np63 is induced in EGFR<sup>L858R</sup>-airway lung tumors driven by TGFA

The EGFR<sup>L858R</sup>-airway lung tumors promoted by TGFA protruded into airway lumina (Fig. 1c), a phenotype of which is similar to the airway epithelial cell hyperplasia seen in the conditional *Pten*-deleted mice [22, 23] and in mice whose Hippo-Yap pathway is conditionally activated in airway epithelial cells, including airway basal cells and club cells [24]. Thus, we hypothesized that *Pten* and/or components of the Hippo-Yap pathway might be altered in TGFA-driven EGFR<sup>L858R</sup>-airway lung tumors. However, immunohistochemical analysis indicated that the expression

of PTEN and nuclear YAP1 (activated form) was not altered in the TGFA-driven EGFR<sup>L858R</sup>-airway lung tumors compared to other mouse groups (Supplementary Figures S4b and S5). The mRNA expression of Pten and Ctgf (a consensus downstream target of the Hippo-Yap pathway [25]) was not altered either (Supplementary Figure S4c), suggesting that EGFR<sup>L858R</sup>-airway lung tumor growth induced by TGFA does not occur via the PTEN or the Hippo-Yap pathway. Rajagopal and colleagues reported that  $\Delta Np63$  (an isoform of TP63 [TP63 for human and Trp63 for mouse]; a marker for basal stem cells and squamous cell carcinoma [26]) was required for the YAP1-mediated airway epithelial cell hyperplasia, suggesting that  $\Delta Np63$  is the downstream effector for the Hippo-Yap pathway to induce the cell hyperplasia [24] though  $\Delta Np63$  is regulated by not only the Hippo-Yap pathway but also by other signaling pathways [27]. Thus, we sought to determine whether  $\Delta Np63$  was induced in the TGFA-driven EGFR<sup>L858R</sup>-airway lung tumors. Notably, immunohistochemical analysis indicated that  $\Delta Np63$  was expressed only in EGFR<sup>L858R</sup>-airway lung tumor cells (Fig. 3a, top far right panel) but not in other lung tumor cells, including EGFR<sup>L858R</sup>-alveolar lung tumor cells and KRAS<sup>G12D</sup>-lung tumor cells. The specific expression of  $\Delta NTrp63$  in the TGFA-driven EGFR<sup>L858R</sup>-airway lung tumors was further confirmed at the mRNA level (Fig. 3b). These results suggest that airway club cells expressing EGFR<sup>L858R</sup> adopt a basal and/or squamous cell carcinomalike program in the presence of TGFA, which is similar to the finding that the overexpressed YAP1 in airway club cells induced airway epithelial cell hyperplasia accompanied by the induction of basal stem cell markers, including  $\Delta Np63$  [24].

Next, taking advantage of TCGA database [12], we sought to determine whether there is a possibility that in human lung cancer  $\Delta Np63$  is induced by EGFR ligands (including TGFA) only in EGFR-mutant lung adenocarcinoma but not in KRAS-mutant lung adenocarcinoma. We retrieved the RNA-seq data from TCGA cases of lung adenocarcinoma [12] that harbor mutations and/or copy number alterations in EGFR or KRAS, and assessed whether  $\Delta Np63$  is correlated with EGFR ligands in EGFRmutant lung adenocarcinoma or in KRAS-mutant lung adenocarcinoma. A positive correlation of EGFR ligands (EPGN and EREG) and  $\Delta Np63$  was seen in EGFR-mutant lung adenocarcinoma but not in KRAS-mutant lung adenocarcinoma (Pearson correlation > 0.3; Fig. 3c). Of note, since 13-55% of lung adenosquamous carcinomas, the majority of whose lung tumors express  $\Delta Np63$ , harbor EGFR mutations (Supplementary Table S2), EGFR ligands may be positively correlated with  $\Delta Np63$  in lung adenosquamous carcinoma, which implies that EGFR ligands may induce  $\Delta Np63$  in EGFR-mutant lung adenosquamous carcinoma.



**Fig. 3**  $\Delta$ Np63 is induced in EGFR-mutant airway lung tumors promoted by TGFA. **a** The expression of  $\Delta$ Np63 in lungs of the transgenic mice assessed by immunohistochemistry is shown.  $\Delta$ Np63 (a marker for airway basal cells and lung squamous cell carcinoma cells) was induced in TGFA-driven EGFR<sup>L858R</sup>-airway lung tumor cells derived from airway club cells.  $\Delta$ Np63 was not expressed in EGFR<sup>L858R</sup>-alveolar lung tumor cells, KRAS<sup>G12D</sup>-lung tumors cells, TGFA-driven pleural fibroblast cells, or normal lung epithelial cells (except airway basal cells; not shown here). Scale bar; 50 µm. **b** The mRNA expression of  $\Delta$ NTrp63 ( $\Delta$ Np63) from whole lungs of the transgenic mice (n = 3 for each group) is shown. The expression of  $\Delta$ NTrp63 from lungs of Scgb1a1-rtTA;[tet0]-EGFR<sup>L858R</sup>;[tet0]-TGFA (EGFR<sup>L858R</sup>;TGFA) was significantly higher than that of either

# AGR2 is induced only in EGFR<sup>L858R</sup>-airway lung tumors driven by TGFA

In analyzing the TCGA datasets, we also aimed to identify genes in an unbiased fashion that are highly correlated with TGFA only in *EGFR*-mutant lung adenocarcinoma but not in *KRAS*-mutant lung adenocarcinoma. Among each group of the top 100 genes highly correlated with *TGFA* in *EGFR*or *KRAS*-mutant lung adenocarcinoma (Supplementary Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup> (EGFR<sup>L858R</sup>), Scgb1a1-rtTA;[tetO]-TGFA (TGFA), or litternate controls (Control). There was no significant change in the expression of  $\Delta NTrp63$  in the lungs of the mouse groups with genotypes of Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup>;[tetO]-TGFA (Kras<sup>G12D</sup>;TGFA), Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup> (Kras<sup>G12D</sup>), or Scgb1a1-rtTA;[tetO]-TGFA (TGFA). Results are expressed as mean ± SEM for each group. \*p < 0.05 (Student's t-test [two-tailed and unpaired]). **c** Pearson correlation of  $\Delta Np63$  with EGFR ligands (EPGN, TGFA, BTC, EGF, EREG, HBEGF, and AREG) was performed using mRNA expression datasets from TCGA lung adenocarcinoma cases, including cases with EGFR mutations or KRAS mutations. Rank orders for each EGFR ligand were also obtained. Both analyses were performed as described in Materials and methods

Table S3), 3 genes (*PROM2*, *CARD10*, and *PRNP*) were highly correlated with *TGFA* in both groups and they were excluded from further analysis (Fig. 4a and Supplementary Table S3). Among the 97 genes that are highly correlated with *TGFA* only in *EGFR*-mutant lung adenocarcinoma, Gene Ontology analysis using the ToppGene Suite (https:// toppgene.cchmc.org) indicated that 3 genes (*AGR2*, *ADORA1*, and *PLAUR*) are known to be involved in positive regulation of the EGFR signaling pathway



(GO:0045742; Fig. 4a), suggesting that these 3 genes may be induced by TGFA in the EGFR<sup>L858R</sup>-airway lung tumors and may also contribute to the promotion of the EGFR<sup>L858R</sup>-

airway lung tumor growth. Since lung tumors express not only TGFA but also other EGFR ligands, including EGF, AREG, BTC, EREG, HBEGF, and EPGN, these EGFR ✓ Fig. 4 AGR2 is induced in EGFR-mutant airway lung tumors promoted by TGFA. a Top 100 genes highly correlated with TGFA in EGFR-mutant or KRAS-mutant lung adenocarcinoma were extracted from TCGA database as described in Materials and methods. Three genes overlapped between EGFR-mutant and KRAS-mutant lung adenocarcinomas. The Gene Ontology (GO) analysis indicated that AGR2, ADORA1, and PLAUR that belong to the positive regulation of EGFR signaling pathway were included in the top 100 genes highly correlated with TGFA in EGFR-mutant but not that in KRAS-mutant lung adenocarcinoma. b Pearson correlation of AGR2, PLAUR, and ADORA1 with EGFR ligands (EPGN, TGFA, BTC, EGF, EREG, HBEGF, and AREG) was performed using mRNA expression datasets from TCGA lung adenocarcinoma cases, including cases with EGFR mutations or KRAS mutations. Rank orders for each EGFR ligand were also obtained. Both analyses were performed as described in Materials and methods. c The expression of AGR2 in lungs of the transgenic mice assessed by immunohistochemistry is shown. AGR2 (a disulfide isomerase) was induced in TGFA-driven EGFR<sup>L858R</sup>-airway lung tumor cells but not expressed in EGFR<sup>L858R</sup>-alveolar lung tumor cells. KRAS<sup>G12D</sup>-lung tumors cells, TGFA-driven pleural fibroblast cells, or normal lung epithelial cells. Scale bar; 50 µm. d The mRNA expression of Agr2 from whole lungs of the transgenic mice (n = 3 for each)group) is shown. The expression of Agr2 from lungs of Scgb1a1-rtTA; [tetO]-EGFR<sup>L858R</sup>:[tetO]-TGFA (EGFR<sup>L858R</sup>;TGFA) was significantly higher than that of Scgb1a1-rtTA; [tetO]-EGFR<sup>L858R</sup> (EGFR<sup>L858R</sup>), Scgb1a1-rtTA; [tetO]-TGFA (TGFA), or littermate controls (Control). Such specific induction of Agr2 in the lungs of Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup>;[tetO]-TGFA (Kras<sup>G12D</sup>;TGFA) mice was not observed compared to mouse groups of Scgb1a1-rtTA;[tetO]-Kras<sup>G12D</sup> (Kras<sup>G12D</sup>) and Scgb1a1-rtTA; [tetO]-TGFA (TGFA). Results are expressed as mean  $\pm$  SEM for each group. \*p < 0.05 (Student's *t*-test [one-tailed and unpaired])

ligands may also contribute to the induction of the 3 genes (AGR2, ADORA1, and PLAUR) in EGFR-mutant lung tumors. Thus, we assessed the correlation of all of the EGFR ligands with the 3 genes (AGR2, ADORA1, and PLAUR) in EGFR-mutant or KRAS-mutant lung adenocarcinoma cases. Notably, AGR2, a disulfide isomerase that promotes lung tumorigenesis [28], was positively correlated with 3 (*TGFA*, *BTC*, and *EGF*; Pearson correlation > 0.3) out of the 7 EGFR ligands in EGFR-mutant adenocarcinoma cases but not in KRAS-mutant lung adenocarcinoma cases (Fig. 4b). In contrast, ADORA1 and PLAUR were positively correlated with EGFR ligands in both EGFRmutant and KRAS-mutant lung adenocarcinoma cases (Pearson correlation > 0.3; Fig. 4b). These analyses suggest that AGR2 is a more specific downstream target of EGFR ligands in EGFR-mutant lung adenocarcinoma than ADORA1 and PLAUR. Consistent with the analyses using human TCGA datasets, AGR2 was significantly expressed in EGFR<sup>L858R</sup>-airway lung tumors induced by TGFA but not in normal lung, TGFA-induced fibrotic lungs, EGFR<sup>L858R</sup>-alveolar lung tumors, or KRAS<sup>G12D</sup>-lung tumors in mice (Fig. 4c, top far right panel). The specific expression of Agr2 in the mouse lungs that co-express EGFR<sup>L858R</sup> and TGFA was also confirmed at the mRNA level (Fig. 4d). These results suggest that AGR2 may contribute to the growth of EGFR<sup>L858R</sup>-airway lung tumors induced by TGFA.

# AGR2 is required for tumorigenicity of *EGFR*-mutant lung adenocarcinoma in vitro

In order to address whether  $\Delta Np63$  and AGR2, both of which are induced by TGFA in EGFR-mutant lung adenocarcinoma, are functionally involved in tumorigenesis, we sought to assess their functions by a loss-of-function study using short hairpin RNA (shRNA) in vitro. ΔNp63 and AGR2 were previously shown to promote tumorigenesis in EGFR wild-type lung cancer cell lines [26, 28]; however, their roles in EGFR-mutant lung cancer cell lines have not been determined. Although  $\Delta Np63$  is expressed in a portion of human lung adenocarcinoma, squamous cell carcinoma, and adenosquamous cases in vivo [12, 29] (Supplementary Table S2), conventionally available EGFRmutant lung cancer cell lines (e.g., H1975, H1650, and HCC827) hardly express endogenous  $\Delta Np63$  [30]. Thus, the in vitro study using shRNA here focuses on determining the role of AGR2 in the EGFR-mutant lung adenocarcinoma cells. We confirmed significant suppression of AGR2 by two independent shRNAs in H1975 cells (Supplementary Figure S6a). Importantly, anchorage-independent growth and migration of H1975 cells were significantly suppressed (Supplementary Figure S6b and S6c), indicating that AGR2 contributes to the malignant tumorigenesis of EGFR-mutant lung adenocarcinoma. Notably, TGFAmediated promotion of anchorage-independent growth and migration of H1975 cells was also suppressed in the presence of shRNA targeting AGR2 (Supplementary Figure S6d and S6e). Contrary to the in vivo results (Fig. 4), TGFA did not further induce AGR2 mRNA in H1975 cells (AGR2 proficient cells) in vitro (data not shown). These results suggest that AGR2 may be required but not sufficient for the TGFA-mediated promotion of EGFR-mutant lung tumorigenesis.

# AGR2 is highly expressed in human *EGFR*-mutant lung adenocarcinoma whose TGFA is highly expressed

In order to assess whether the expression of  $\Delta$ Np63 and/or AGR2 is influenced by the expression of TGFA in *EGFR*mutant lung adenocarcinoma at the protein level, we performed immunohistochemistry using human primary lung tumor specimens that harbor *EGFR* mutations (Supplementary Table S4).  $\Delta$ Np63 was localized in the nucleus while AGR2 and TGFA were mainly localized in the cytoplasm (Fig. 5a, right panels). We separated each *EGFR*mutant lung adenocarcinoma specimen into two groups (low vs high, separated by a 10% cutoff in tumor field)



based on the expression of  $\Delta$ Np63, AGR2, and TGFA (Fig. 5a). As shown in Fig. 5b, the expression of AGR2 was significantly positively correlated with the expression of

TGFA at the protein level (two-tailed Fisher's exact test: p = 0.0144), suggesting that TGFA induces the expression of AGR2 in human *EGFR*-mutant lung adenocarcinoma

✓ Fig. 5 TGFA is significantly associated with poor survival of patients whose lung adenocarcinoma harbor EGFR mutations but not EGFR wild-type lung adenocarcinoma. a Shown is immunohistochemical analysis of TGFA, AGR2, and  $\Delta Np63$  on primary lung adenocarcinoma harboring EGFR mutations. The criteria of low or high expression was determined as being below or above 10% of their expression in the tumor field. Scale bar; 50 µm. b The ratio of the expression of AGR2 high or AGR2 low was shown in TGFA low or TGFA high group (upper panels) in primary lung adenocarcinomas harboring EGFR mutations. The expression of AGR2 was significantly correlated with TGFA (two-tailed Fisher's exact test, p < 0.05). The ratio of the expression of  $\Delta Np63$  high or  $\Delta Np63$  low was also shown in TGFA low or TGFA high group (lower panels). c Kaplan-Meier survival analysis using cases of lung cancer patients whose lung adenocarcinomas harbor EGFR mutations was performed based on the expression of TGFA detected by immunohistochemistry. The criteria were determined as described in a. The expression of TGFA in EGFR-mutant lung adenocarcinoma was significantly associated with worsened survival and recurrence-free survival (p < 0.05): Gehan-Breslow-Wilcoxon test). d Kaplan-Meier survival analysis using cases of lung cancer patients whose lung adenocarcinomas harbor wild-type EGFR was performed as described in c. The expression of TGFA in EGFR wild-type lung adenocarcinoma did not influence overall survival or recurrence-free survival (p > 0.05;Gehan-Breslow-Wilcoxon test)

in vivo. However, such positive correlation of  $\Delta$ Np63 with TGFA was not observed (two-tailed Fisher's exact test: p = 0.4; Fig. 5b). Since the analysis of TCGA datasets indicated that *EPGN* and *EREG* (EGFR ligands) correlated with  $\Delta$ Np63 more than *TGFA* (Fig. 3c), EPGN and EREG might be major inducers of  $\Delta$ Np63. Further immunohistochemical analysis detecting EPGN and EREG with a larger number of *EGFR*-mutant lung adenocarcinoma cases is required to elucidate the regulation of  $\Delta$ Np63 by EGFR ligands in *EGFR*-mutant lung adenocarcinoma.

# TGFA associates with poor survival in *EGFR*-mutant lung adenocarcinoma but not *EGFR* wild-type lung adenocarcinoma

In order to further determine the importance of TGFA in EGFR-mutant lung adenocarcinoma, we assessed the overall survival and recurrence-free survival of lung cancer patients whose resected lung tumors carry EGFR mutations based on the expression of TGFA, which was detected by immunohistochemistry (Fig. 5a and Supplementary Table S4). As shown in Fig. 5c, TGFA was significantly associated with poor survival in both overall survival and recurrence-free survival in EGFR-mutant lung adenocarcinoma cases. However, such association was not observed in EGFR wild-type lung adenocarcinoma cases (Fig. 5d). These results suggest that TGFA (an EGFR ligand) promotes tumorigenesis of EGFR-mutant lung adenocarcinoma but not EGFR wild-type lung adenocarcinoma (e.g., KRASmutant lung adenocarcinoma), which is consistent with the mouse study.

## Discussion

EGFR ligands have been known to be associated with poor survival in lung cancer in a portion of patients' cohorts, thus the role of such ligands in lung tumorigenesis has been eagerly assessed by multiple studies. Such studies, which have been performed by in vitro assays, indicated that the presence of mutations and/or alterations in EGFR is critical for tumorigenic effects by EGFR ligands; however, such tumorigenic effects by an EGFR ligand have not previously been assessed by an in vivo study. Here we demonstrated a role of TGFA (an EGFR ligand) in lung tumorigenesis using transgenic mice that develop autochthonous lung tumors in vivo. TGFA promoted lung fibrosis and the growth of EGFR-mutant lung tumors in airway regions accompanied by the expression of  $\Delta Np63$  and AGR2, which are, to our knowledge, in vivo-specific downstream targets of ligand-activated mutant EGFR. TGFA did not influence the growth of Kras-mutant lung tumors. The expression of TGFA was associated with poor survival of human patients whose lung tumors harbor EGFR mutations. These results indicate that EGFR ligands bind to mutant EGFR in airway cells, which turns on the EGFR signaling pathway, thereby promoting the growth of EGFR-mutant lung tumors.

Due to the discovery of TKIs specifically binding to mutant EGFR, thereby blocking its oncogenic function [2-4], EGFR ligands as therapeutic targets have been almost forgotten. However, continuous recurrences of EGFR TKIresistant lung adenocarcinoma have led to the need to investigate additional therapeutic targets, which includes EGFR ligand-targeted therapy such as CIMAvax-EGF [19]. Following the development of the mouse models mimicking primary EGFR-mutant lung cancer (EGFR<sup>L858R</sup> or  $EGFR^{\Delta L747-S752}$ ) by Politi et al. [15], additional mouse models mimicking recurrent EGFR-mutant lung cancer  $(EGFR^{T790M-L858R}, EGFR^{T790M-\Delta E746-A750}, and/or EGFR^{L858R/})$ 7790M/C797S) have been developed and used for preclinical studies [31–35]. Although EGF was shown to increase the cell number of lung cancer cells that carry such recurrent EGFR mutations in vitro [6], the in vivo role of EGFR ligands in such EGFR-mutant lung cancer mouse models has not been investigated until the present study. Hence, the importance of EGFR ligands as therapeutic targets has not been properly assessed. Importantly, our study demonstrates that TGFA (an EGFR ligand) extensively induced the growth of EGFR<sup>L858R</sup>-lung tumors along with fibrosis, supporting the therapeutic approach targeting EGFR ligands. Further study with different combinations of tripletransgenic mice carrying the allele of ectopic TGFA with other TKI-naive or -resistant EGFR-mutant allele  $(EGFR^{\Delta L747-S752}, EGFR^{T790M-L858R}, EGFR^{T790M-\Delta E746-A750}, or$  $EGFR^{L858R/T790M/C797S}$ ) will determine the in vivo role of TGFA in lung cancer driven by different types of *EGFR* mutations. Since hepatocyte growth factor or fibroblast growth factor (FGF) (but not EGF) conferred resistance to erlotinib (the first-generation EGFR TKI)-mediated cell death of *EGFR*-mutant lung adenocarcinoma cells in vitro [36], TGFA-mediated fibrosis associated with *EGFR*-mutant lung tumors in vivo may induce growth factors (e.g., FGF) that confer resistance to EGFR TKIs, including gefitinib, erlotinib, afatinib, and osimertinib. Testing these TKIs in triple-transgenic mice that conditionally express different types of mutant EGFR along with TGFA in lung epithelium will validate TGFA as a therapeutic target for recurrent *EGFR*-mutant lung cancer (e.g., osimertinib-resistant) in vivo.

Currently, there are seven EGFR ligands, including EGF, TGFA, HBEGF, BTC, AREG, EREG, and EPGN. In the present study, we employed a "gain-of-function" approach using the transgenic mouse system that carry tet-inducible TGFA, which allows the conditional induction of TGFA along with EGFR<sup>L858R</sup> or KRAS<sup>G12D</sup> in lung epithelium. Although there are no transgenic mice that carry tetinducible EGFR ligands other than TGFA to our knowledge, transgenic mice (Tg[CAG-Btc]) developed by Schneider et al. [37] that overexpress BTC (an EGFR ligand) ubiquitously, including lung, can be used to assess the role of BTC in EGFR-mutant or Kras-mutant lung cancers by crossing the mice with the double-transgenic mice that overexpress mutant EGFR or mutant KRAS. The roles of EGFR ligands can also be assessed by a "loss-offunction" approach using mice in which EGFR ligands are conditionally or permanently deleted. Rudensky and colleagues reported using mice in which Areg (an EGFR ligand) is conditionally deleted in T cells that AREG is required for growth of transplanted LLC lung tumor cells (Kras<sup>G12C</sup>; Nras<sup>Q61H</sup>) and EO771 breast tumor cells. Of note, AREG did not directly influence the tumorigenesis of LLC and EO771 cells, suggesting that AREG promotes the growth of metastatic lung or breast tumor cells through stromal cells [18]. Although TGFA did not promote the growth of primary autochthonous Kras<sup>G12D</sup>-lung tumors in our mouse model, different EGFR ligands may influence tumorigenesis depending on driver genes and/or location (e.g., primary vs metastatic). Additionally, the roles of EGFR ligands in other lung cancers driven by different driver oncogenes can also be assessed by crossing the tetinducible TGFA transgenic mice or EGFR ligand-deleted mice with other lung cancer model mice, including [tetO]-BRAF<sup>V600E</sup> [38], [tetO]-PIK3CA<sup>H1047R</sup> [39], [tetO]-HER2-YVMA [40], [tetO]-*EML4*-ALK [41], [tetO]-*CRAF*<sup>BxB</sup> [42], [tetO]- DDR2<sup>L63V</sup> [43], and [tetO]-KIF5B-RET [44]. A recent in vitro study by Lemmon and colleagues using MCF-7 breast adenocarcinoma cells indicated in vitro that EGF, TGFA, HBEGF, BTC, and AREG induced proliferation of MCF-7 breast adenocarcinoma cells while EREG and EPGN induced differentiation of MCF-7 cells [45], suggesting that each EGFR ligand may function differently in influencing the tumor morphology. Creation of new transgenic models overexpressing other EGFR ligands, including EGF, HBEGF, AREG, EREG, and EPGN will lead to comprehensive understanding of in vivo functions of EGFR ligands in lung tumorigenesis that is determined by not only lung tumor cells but also tumor-associated stromal cells, both of which may be influenced by EGFR ligands.

Although lung tumors were not initiated by TGFA itself in vivo [7, 8], TGFA alone did initiate tumors in liver, breast, and pancreas in vivo [9-11]. Importantly, TGFA promoted the growth of Kras<sup>G12D</sup>-driven pancreatic tumors [21], which is distinct from our present study demonstrating that TGFA promoted the growth of EGFR<sup>L858R</sup>-driven lung tumors but not that of Kras<sup>G12D</sup>-driven lung tumors (Figs. 1 and 2), indicating a tissue-specific role of TGFA. TGFA is also reported to morphologically convert acinar cells to duct-like cells in the pancreas [11], suggesting that TGFA can reprogram cell lineage. In our present study, TGFA induced  $\Delta Np63$ -positive  $EGFR^{L858R}$ -airway tumor cells that are likely to be derived from  $\Delta Np63$ -negative airway club cells (Fig. 3a, b). Airway basal cells ( $\Delta$ Np63-positive) are considered to be stem cells that produce differentiated airway epithelial cells ( $\Delta$ Np63-negative), including club, ciliated and goblet cells, to maintain airway homeostasis [46]. However, upon loss of airway basal cells, airway club cells were shown to be dedifferentiated into airway basal cells in mice [47], indicating the plasticity of airway club cells. Indeed, overexpression of YAP1 in airway club cells converts  $\Delta Np63$ -negative airway club cells into  $\Delta Np63$ positive airway basal cells, which in turn promotes airway squamous stratification [24]. Such plasticity of airway club cells has also been demonstrated in the transformation of airway club cells to adenosquamous lung tumors that harbor the characteristics of both adenocarcinoma and squamous carcinoma of the lung. The induction of mutant HER2 or the loss of Pten and Smad4 in airway club cells has been shown to lead to lung adenosquamous tumor development [40, 48]. Such plasticity may not be limited to airway club cells. Transdifferentiation of lung adenocarcinoma (ANp63negative) to lung squamous and/or adenosquamous carcinoma ( $\Delta$ Np63-positive) has been shown in alveolar regions of mouse lungs whose cells express mutant Kras and lack Stk11 [49–51], suggesting that alveolar type 2 cells might be the origin of the cells that develop lung squamous and/or adenosquamous carcinoma. In the present study, we demonstrated that mutant EGFR, co-expressed with TGFA, induced the development of  $\Delta Np63$ -positive airway tumor cells (Fig. 3a, b), which suggests the possibility that mutant EGFR that normally drives lung adenocarcinoma ( $\Delta Np63$ negative) may drive lung adenosquamous carcinoma  $(\Delta Np63$ -positive) in the presence of excessive and/or a particular EGFR ligand (e.g., TGFA). Importantly, *EGFR* mutations are frequently seen in human lung adenosquamous carcinoma (Supplementary Table S2), suggesting that our mouse model may recapitulate the initiation event of human lung adenosquamous carcinoma. However, since the mice did not fully develop the lung adenosquamous phenotype, additional genetic alteration may be required to fully mimic the pathogenesis of lung adenosquamous carcinoma. Further study investigating EGFR ligands in human lung adenosquamous carcinoma that carry *EGFR* mutations will lead to the understanding whether EGFR ligands contribute to the cell lineage alteration in lung cancer.

In our present study, TGFA was associated with poor survival in patients who carry EGFR mutations but not those in patients who carry wild-type EGFR, which is consistent with the data obtained by our mouse model. Of note, although it was previously shown by Sugimachi and colleagues that TGFA was associated with poor survival in lung adenocarcinoma patients who resided in Japan [16], Rusch and colleagues did not observe such association in the US patients who had non-small-cell lung cancer (NSCLC), including lung adenocarcinoma, lung squamous cell carcinoma, and lung large-cell carcinoma [52]. Since these studies were performed before EGFR mutations were identified, it is unknown whether the association of TGFA with patients whose lung tumors carried EGFR mutations would influence the survival in the populations in Japan and the United States. Since lung adenocarcinoma patients in Japan carry EGFR mutations more frequently than those in the United States [13], the positive association of TGFA with poor survival in lung adenocarcinoma patients in Japan but not in the United States might be derived from the frequent number of patients who carry EGFR mutations in Japan but not in the United States. In addition to TGFA, EREG was also assessed by Kurie and colleagues as to whether EREG was associated with survival of NSCLC patients that resided in the United States. A trend was observed that EREG was associated with poor survival in the population; however, it was not statistically significant (p = 0.054) [53]. Selection of the NSCLC patients by tumor histology (e.g., adenocarcinoma) and/or mutation status of driver genes (e.g., EGFR) may provide a better prognosis value of EREG. Systematic staining of all of the EGFR ligands in larger cohorts of lung cancer specimens whose types of driver oncogenes are identified will provide precise prognosis and therapeutic values of EGFR ligands in lung cancer.

In summary, using in vivo autochthonous mouse models, we determined that TGFA, an EGFR ligand, promoted the growth of *EGFR*-mutant lung tumors but not that of *Kras*-mutant lung tumors. The *EGFR*-mutant lung tumors

enhanced by TGFA induced the key regulators  $\Delta Np63$  and AGR2 that promote tumorigenesis. TGFA was associated with poor survival in EGFR-mutant lung adenocarcinoma in humans. Although EGFR TKI therapy has been shown to extend the survival of patients whose lung tumors carry EGFR mutations, such lung cancers recur by acquiring different mechanisms of resistance to the therapy. Our present study suggests that targeting EGFR ligands (e.g., by using vaccinations such as CIMAvax-EGF [19] or therapeutic antibodies against TGFA and EREG) may lead to therapeutic benefits for EGFR TKI-resistant EGFR-mutant lung cancers. The outcome of the current clinical trial using CIMAvax-EGF vaccine (NCT02955290) may be critically improved by selecting such patients whose lung tumors carry EGFR mutations (including EGFR TKI-resistant ones) but not KRAS mutations.

# Materials and methods

# Mice

[tetO]-TGFA mice were obtained from W. Hardie and T. Korfhagen at Cincinnati Children's Hospital Medical Center and the University of Cincinnati College of Medicine, Cincinnati, OH [8] and crossed with Scgb1a1-rtTA:[tetO]-EGFR<sup>L858R</sup> or Scgb1a1-rtTA; [tetO]-Kras4b<sup>G12D</sup> [14, 15, 54] to develop Scgb1a1-rtTA;[tetO]-EGFR<sup>L858R</sup>;[tetO]-TGFA (FVB/N;B6;CBA) or Scgb1a1-rtTA;[tetO]-Kras4b<sup>G12D</sup>; [tetO]-TGFA (FVB/N). Transgenic mice were provided chow containing doxycycline (625 mg/kg chow) beginning at 4-5 weeks of age. Mouse maintenance and procedures were done in accordance with the institutional protocol guidelines of Cincinnati Children's Hospital Medical Center Institutional Animal Care and Use Committee. Mice were housed in a pathogen-free barrier facility in humidity and temperature-controlled rooms on a 12:12-h light/dark cycle and were allowed food and water ad libitum. Since all transgenic mice were healthy before doxycycline administration, all of them were enrolled for the study. For the survival study, at least 16 mice in each genotype were enrolled. For the histological study, at least 3 mice in each genotype that developed lung tumors were enrolled. Littermates were used for the comparison. See Supplementary Table S1 for further mouse information.

#### Histology and immunohistochemistry

Staining was performed using 5-µm paraffin-embedded lung sections as previously described [54]. The number of tumors per hematoxylin and eosin-stained section were counted from 9–10 mice of each group (see Supplementary Table S1 for details). Rabbit anti-EGFR (1:100; clone

D38B1; cat#4267), rabbit anti-EGFR<sup>L858R</sup> (1:100: clone 43B2; cat#3197), rabbit anti-phospho-AKT (1:100; clone D9E; cat#4060), rabbit anti-phospho ERK1/2 (1:100; clone; D13.14.4E; cat#4370), rabbit anti-phospho-STAT3 (1:100; cat#9131), rabbit anti-PTEN (1:100; clone 138G6; cat#9559), and rabbit anti-YAP (1:100; clone D8H1X; cat#14074) from Cell Signaling Technology, Danvers, MA; rabbit anti-TGFA (1:200: HPA042297) from Atlas Antibodies, Stockholm, Sweden; rabbit anti- $\Delta$ Np63 (1:500; cat#619002) from BioLegend, San Diego, CA; and guinea pig anti-AGR2 (1:500; a gift from J. Whitsett) were used for immunohistochemistry as primary antibodies. Antigen retrieval was performed by incubating sections in pH 9.0 Tris-EDTA (for TGFA, EGFR, and EGFR<sup>L858R</sup>) or pH 6.0 citrate (for AGR2 and  $\Delta$ Np63) at 112.5 °C for 15 min using either decloaking chamber (Biocare Medical) or microwave.

# Taqman gene expression analysis

Taqman gene expression analysis with RNA was performed according to the manufacturer's instructions (Thermo Fisher, Waltham, MA) with Taqman probes (Mm00507853\_m1 for *Agr2*; Mm01169470\_m1 for  $\Delta NTrp63$ ; Mm00477208\_m1 for *Pten*; Mm01192533\_g1 for *Ctgf*; cat# 4352933 for *Actb* for normalization; and Hs00608187\_m1 for *TGFA*).

# Analysis of TCGA-human lung adenocarcinoma samples

The RNA-seq data of human lung adenocarcinoma samples were downloaded from Broad Institute TCGA Genome Data Analysis Center (Firehose stddata 2016 01 28 run). Gene expression was quantified using RSEM [55] and normalized to a fixed upper quartile. Expression values <1were set to 1 and all data were log2-transformed. A total of 33 lung adenocarcinoma samples were identified as having EGFR mutations [12] and they constituted the EGFRmutant lung adenocarcinoma group in our analysis, while the KRAS-mutant lung adenocarcinoma group consisted of 75 lung adenocarcinoma samples with KRAS mutations [12]. The correlations of genes were measured using Pearson's correlation. Genes expressed (log2-transformed expression > 0) in at least 10% of the samples in EGFRmutant lung adenocarcinoma group or KRAS-mutant lung adenocarcinoma group were included in the correlation analysis. The rank values for  $\Delta Np63$ , AGR2, PLAUR, and ADORA1 in Figs. 3c and 4b were the rank of  $\Delta Np63$ , AGR2, PLAUR, and ADORA1 among all genes included in the correlation analysis with each of the EGFR ligands in EGFR-mutant lung adenocarcinoma or in KRAS-mutant lung adenocarcinoma. Take as an example, the value 60 in Fig. 4b means that AGR2 is the 60th top correlated gene with *TGFA* in 33 *EGFR*-mutant lung carcinoma samples.

# **Cell lines**

Human lung cancer cell line H1975 (adenocarcinoma, EGFR<sup>L858R</sup>; EGFR<sup>T790M</sup>) was obtained from the American Type Culture Collection (ATCC; Manassas, VA). Mycoplasma contamination was not detected by the Universal Mycoplasma Detection Kit (cat # 30-1012, ATCC).

# Western blotting

Immunoblot assays were performed using rabbit anti-AGR2 antibody (1:5000; clone D9V2F; cat# 13062, Cell Signaling Technology) and rabbit anti-ACTA1 (1:5,000; cat# A2066, Sigma-Aldrich, St Louis, MO) as described previously [56].

# Short hairpin RNA

Human *AGR2* (NM\_006408) targeting shRNAs in MISSION pLKO.1-puro vector (non-targeted shRNA [sh02] and shAGR2 [#1, TRCN0000146445; #2, TRCN0000146537], originally made by Sigma-Aldrich) were obtained from Lenti-shRNA Library Core at Cincinnati Children's Hospital Medical Center. Subsequently, lentivirus was produced by the Viral Vector Core at Cincinnati Children's Hospital Medical Center. H1975 cells were infected with lentivirus carrying shRNA targeting human *AGR2* and cells were selected by puromycin.

## Soft agar colony formation assay

Cells were plated into 0.4% low-melting agarose gel (Sea-Plaque AGAROSE, cat#50101, Lonza, Basel, Switzerland) at a density of  $1 \times 10^4$  cells/well on top of 1% low-melting agarose gel in six-well plates. A volume of 1 ml of medium (RPMI1640 containing 10% fetal calf serum and 1% penicillin/streptomycin) was added once a week in order to prevent desiccation. Colonies were counted after 3 weeks using ImageJ (National Institutes of Health) and each experiment was performed twice independently in triplicate wells.

## Cell migration (scratch) assay

Cells were plated at a density of  $1 \times 10^6$  cells/well in six-well plates. Twenty-four hours after serum starvation, cells were treated with mitomycin C (cat#47589, MilliporeSigma, Billerica, MA) at a final concentration of  $10 \,\mu$ g/ml for 3 h in order to inhibit cell proliferation prior to making scratches. Cell migration was assessed by measuring the invaded area of scratch at a time point of 0 and 12 h with ImageJ (National Institutes of Health). Each experiment was performed twice independently in triplicate wells.

#### Human specimens

Paraffin sections were obtained in accordance with institutional guidelines for use of human tissue for research purposes from Nagasaki University Graduate School of Biomedical Sciences, Nagasaki, Japan (approval# 05062433-2) and Kawasaki Medical School, Okayama, Japan (approval# 1310). Written informed consent was obtained from all participants. Patients' information is summarized in Supplementary Table S4.

# **Statistical analysis**

Prism 7 (GraphPad Software, La Jolla, CA) was used for Kaplan–Meier survival analysis. Statistical differences were determined using Gehan–Breslow–Wilcoxon test, Fisher's exact test (two-tailed), Student's *t*-test (two-tailed or one-tailed and unpaired), or Welch test (pairwise). The difference between two groups was considered significant when the *p*-value was <0.05.

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### **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interest.

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