



ARTICLE

Levels of glutamatergic neurometabolites in patients with severe treatment-resistant schizophrenia: a proton magnetic resonance spectroscopy study

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Approximately 30% of patients with schizophrenia do not respond to antipsychotics and are thus considered to have treatment-resistant schizophrenia (TRS). To date, only four studies have examined glutamatergic neurometabolite levels using proton magnetic resonance spectroscopy (¹H-MRS) in patients with TRS, collectively suggesting that glutamatergic dysfunction may be implicated in the pathophysiology of TRS. Notably, the TRS patient population in these studies had mild-to-moderate illness severity, which is not entirely reflective of what is observed in clinical practice. In this present work, we compared glutamate + glutamine (Glx) levels in the dorsal anterior cingulate cortex (dACC) and caudate among patients with TRS, patients with non-TRS, and healthy controls (HCs), using 3T ¹H-MRS (PRESS, TE = 35 ms). TRS criteria were defined by severe positive symptoms (i.e., ≥5 on 2 Positive and Negative Syndrome Scale (PANSS)-positive symptom items or ≥4 on 3 PANSS-positive symptom items), despite standard antipsychotic treatment. A total of 95 participants were included (29 TRS patients [PANSS = 111.2 ± 20.4], 33 non-TRS patients [PANSS = 49.8 ± 13.7], and 33 HCs). dACC Glx levels were higher in the TRS group vs. HCs (group effect: $F[2,75] = 4.74, p = 0.011$; TRS vs. HCs: $p = 0.012$). No group differences were identified in the caudate. There were no associations between Glx levels and clinical severity in either patient group. Our results are suggestive of greater heterogeneity in TRS relative to non-TRS with respect to dACC Glx levels, necessitating further research to determine biological subtypes of TRS.

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INTRODUCTION

The main treatment for schizophrenia is antipsychotic medication and currently available antipsychotics are antagonists or partial agonists of dopamine D2 receptors [1–3]. The clinical effects of these drugs have provided the basis for the dopamine hypothesis of schizophrenia [4], which posits that aberrant dopaminergic function is implicated in the pathophysiology of schizophrenia [5]. Supporting this hypothesis, positron emission tomography (PET) studies have previously reported that presynaptic dopaminergic function is elevated in the striatum of patients with schizophrenia [6–8]. However, ~20% to 35% of patients with schizophrenia do not respond to non-clozapine (CLZ) antipsychotics and thus are considered to be treatment-resistant (i.e., treatment-resistant schizophrenia [TRS]) [9]. Notably, two cross-sectional Fluorine-18-l-dihydroxyphenylalanine PET studies demonstrated lower dopamine synthesis capacity in the striatum of TRS patients compared with non-TRS patients [10, 11]. Moreover, another PET study reported that, among patients with first-episode psychosis (FEP), dopamine synthesis capacity prior to the commencement of

antipsychotic treatment was higher in antipsychotic responders compared with non-responders, suggesting that the identified difference in dopaminergic function between the groups may exist from the onset of psychosis [12]. Taken together, these findings suggest that the pathophysiology of TRS may not be associated with increased striatal dopamine levels.

Beyond the dopamine hypothesis, glutamate (Glu) has been proposed as an alternative for explaining the pathophysiology of schizophrenia [13–16]. A recent meta-analysis reported that levels of Glu plus glutamine (Glx), as measured with proton magnetic resonance spectroscopy (¹H-MRS), are elevated in the basal ganglia and medial temporal lobe of patients with schizophrenia in comparison with healthy controls (HCs) [17]. The authors also found increased Glx levels in the medial frontal cortex of high-risk individuals, in the basal ganglia of FEP patients, and in the frontal white matter and medial temporal cortex of chronic patients with schizophrenia. These findings suggest that schizophrenia may be associated with elevations in glutamatergic neurometabolite levels across several brain regions. On the other hand, one study

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reported that most of the studies that examined glutamatergic neurometabolite levels in the anterior cingulate cortex (ACC) observed elevated levels in the pregenual ACC (pgACC) and decreased levels in the dorsal ACC (dACC) in patients with schizophrenia [18]. Moreover, a recent study at 7T ¹H-MRS also demonstrated lower Glu levels in the dACC in FEP patients [19]. Therefore, there may be heterogeneity in glutamatergic neurometabolite levels across different brain regions.

To date, four studies have examined levels of glutamatergic neurometabolites in patients with TRS [17]. In the ACC, two studies noted elevated Glu levels in the pgACC in patients with TRS, one in comparison with HCs [20] and the other in comparison with patients who responded to non-CLZ antipsychotics (non-TRS) [21]. Further, another study reported increased Glx levels in the dACC in CLZ-resistant patients with TRS compared with HCs [18]. Lastly, another study demonstrated no differences in pgACC Glx levels among CLZ-resistant patients with TRS, CLZ-responsive patients with TRS, patients with non-TRS, and HCs [22]. Moreover, in the basal ganglia, one study reported that CLZ-resistant patients with TRS and patients with non-TRS had lower Glx levels in the putamen vs. CLZ-responsive patients with TRS, and that no differences were found between CLZ-resistant patients with TRS and HCs [22]. However, another study found no differences in Glx levels in the caudate among the same comparison groups [18].

Although extremely informative, it is noteworthy that the clinical severity of TRS in these aforementioned ¹H-MRS studies ranged from mild to moderate, which does not reflect the clinical picture of patients with TRS, who are typically often observed in clinical practice. Furthermore, these studies did not examine cognitive impairment, a core symptom of schizophrenia. Further research is undoubtedly necessitated to better understand glutamatergic dysfunction in patients with TRS, who have severe symptomatology.

Therefore, the present study examined levels of Glx levels in the dACC and dorsal caudate in the following participant groups: patients with TRS with severe symptomatology, patients with non-TRS, and HCs. The dACC was selected as a region of interest (ROI), because most of the previous studies suggest abnormal glutamatergic neurometabolite levels in the ACC of patients with TRS. We also selected the dorsal caudate based on the accumulating evidence, suggesting increased dopaminergic function in the dorsal caudate as well as its relationship with psychotic symptoms [23, 24].

Notably, we included patients with TRS who presented with a level of symptomatology that exceeded those of previous studies by employing more stringent criteria for TRS. We also examined cognitive impairment in the present work, given that abnormal dACC function has been suggested to be associated with cognitive impairment [25–29]. Our hypotheses were threefold: (1) Glx levels in the dACC would be higher in patients with TRS compared with patients with non-TRS and HCs, (2) Glx levels in the caudate would be higher in patients with TRS in comparison with patients with non-TRS and HCs, and (3) higher Glx levels in the brain would be associated with severity of clinical symptoms and cognitive impairment in patients with TRS.

METHOD

Study design

This single-center cross-sectional ¹H-MRS study was conducted at Komagino Hospital between 2017 and 2018. The study was approved by the ethics committees at Komagino Hospital and Keio University School of Medicine, where the data analysis was conducted. All participants were included following the completion of an informed consent procedure.

Participants

The study included participants aged 20 years or older, who were treated within regular clinical practice at Komagino Hospital. Patients met inclusion criteria if they had a diagnosis of

schizophrenia or schizoaffective disorder based on the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition [30]. Antipsychotic treatment resistance was defined by the modified Treatment Response and Resistance in Psychosis Working Group Consensus criteria [31]. We defined standard antipsychotic treatment and antipsychotic treatment failure following a previous study [18]. (Supplementary Material 1). TRS criteria included the following: (a) a history of treatment failure of the standard treatment with at least two previous non-CLZ antipsychotics and (b) current severity defined as a score of ≥ 5 (moderate-severe) on 2 positive symptom items or 4 (moderate) on 3 positive symptom items of the Positive and Negative Syndrome Scale (PANSS). Non-TRS criteria included the following: (a) current intake of a non-CLZ antipsychotic and (b) treatment response to this antipsychotic. HCs met inclusion criteria if they had no history of psychiatric illness, as assessed by the Mini-International Neuropsychiatric Interview [32]. Exclusion criteria for all groups are detailed in Supplementary Material 2. The patient groups and HCs were matched as closely as possible for age and sex. The sample size was calculated based on a previous study comparing Glu levels between FEP patients vs. HCs [33]. In this study, it was determined that 20 subjects in each group would provide at least 85% power to detect the expected difference in Glx levels with an $\alpha = 0.05$. We continued to enroll participants until each region had 20 pairs.

Magnetic resonance imaging

All participants were scanned in a 3T GE Signa HDxt scanner equipped with an eight-channel head coil. Participants had a three-dimensional inversion recovery prepared T1-weighted magnetic resonance imaging (MRI) scan (Axial MRI 3D brain volume (BRAVO), echo time (TE) = 2.8, repetition time (TR) = 6.4, inversion time (TI) = 650 ms, flip angle = 8°, field of view (FOV) = 230 mm, 256 × 256 matrix, slice thickness = 0.9 mm).

¹H-MRS acquisition and data processing

¹H-MRS was collected using PRESS (TE = 35 ms, TR = 2000 ms, spectral width = 5000 Hz, 4096 data points, 128 water-suppressed, 16 water-unsuppressed averages, and 8 numbers of excitation). The voxels were placed in the right dorsal caudate (associative striatum) (voxel size = 7.5 ml) and bilateral dACC (voxel size = 9.0 ml). The detailed voxel placement procedures, locations of the ¹H-MRS voxels, and representative spectra are provided in Figs. 1 and 2. The data processing for metabolite level estimation is detailed in Supplementary Materials 3 and 4. Briefly, T1-weighted images were segmented into gray matter (GM), white matter (WM), and cerebrospinal fluid (CSF). Spectra were preprocessed using the FID-Appliance (<https://github.com/CIC-methods/FID-A>) [34] and metabolite levels were estimated using LCmodel [35]. Signal-to-noise ratios (SNR) ≤ 10 , full-width at half maximum (FWHM) ≥ 10 Hz, or %SD values $\geq 20\%$ were deemed poor quality and were excluded from subsequent analyses. Water-scaled neurometabolite values were corrected for voxel tissue composition using tissue volume fraction determined from the segmented images. In the present work, the neurometabolite of interest was Glx, because our parameters were not optimized for separating Glu from glutamine [36–39]. As supplemental neurometabolites, Glu, myo-inositol, glycerophosphocholine plus phosphocholine, N-acetylaspartate plus N-acetylaspartylglutamate, and creatine plus phosphocreatine were also collected.

Clinical assessment

Clinical assessments included the following: the PANSS, Clinical Global Impression Severity Scale, Global Assessment of Functioning for symptom severity, the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS) [40, 41], Executive Interview (EXIT) Japanese version [42, 43] for cognitive function, the Japanese Adult Reading Test [44] for estimating premorbid intelligence levels, and the Brinkman index for smoking status.

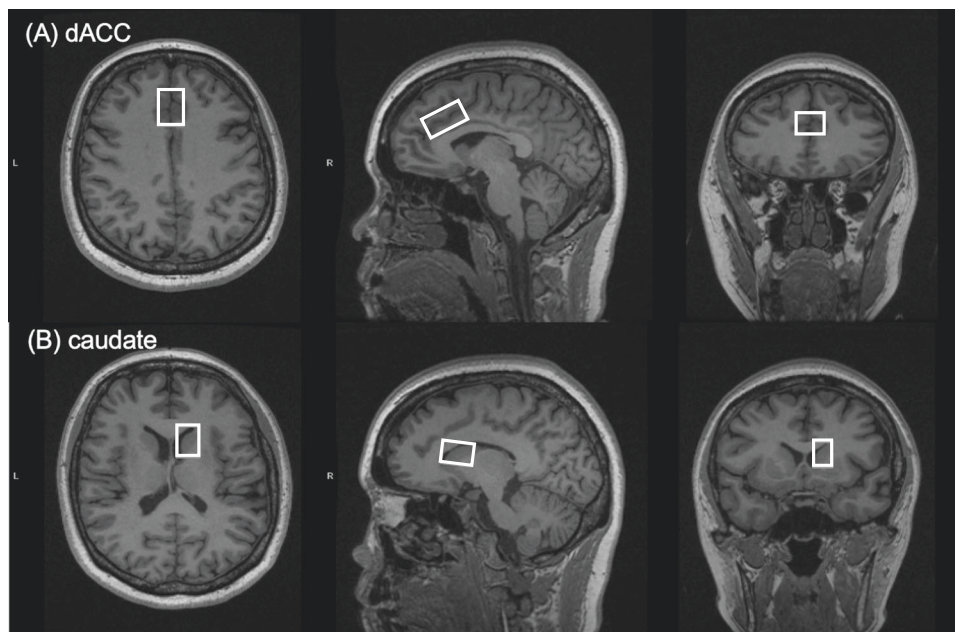


Fig. 1 Voxel locations and placement procedures of the ^1H -MRS voxels. **a** The dorsal anterior cingulate cortex (dACC) voxel (voxel size: 9.0 mL [$3.0 \times 2.0 \times 1.5 \text{ cm}^3$]) was positioned on an oblique axial image acquired parallel to the AC–PC line and oblique sagittal image acquired to parallel to head midline. The tip of the voxel was placed on top of the most anterior part of genu with paralleling to the cingulate cortex. **b** The caudate voxel was positioned on an oblique axial image acquired parallel to the AC–PC line; the voxel was 7.5 mL ($2.5 \times 1.5 \times 2.0 \text{ cm}^3$) and its center was 14 mm superior to the AC–PC line. AC–PC anterior commissure–posterior commissure, dACC dorsal anterior cingulate cortex.

Statistical analysis

Statistical analyses were carried out using IBM SPSS Statistics version 25 (IBM Corporation, Armonk, NY). Clinico-demographic characteristics (i.e., age, sex, the Brinkman index, duration of education, age of onset, duration of illness, and chlorpromazine [CPZ] equivalent daily dose), spectrum quality indices (i.e., Cramer-Rao lower bounds, FWHMs, SNR, and tissue heterogeneity within the ^1H -MRS voxel), and GM, WM, and CSF ratios were compared among the groups by χ^2 -tests or analyses of variance (ANOVAs) for categorical or continuous variables, respectively. We also compared scores in the aforementioned clinical assessment among the groups in a similar manner. The relationships between Glx levels and clinico-demographic characteristics, spectrum quality indices, and GM, WM, and CSF ratios were examined within each group using independent *t*-tests or Spearman's rank order correlations for categorical or continuous variables, respectively. Shapiro–Wilk tests were conducted to confirm the data distribution. We used the Bonferroni method to correct for multiple comparisons.

For Glx comparisons between groups, levels were compared among the groups using an ANOVA. Then, analyses of covariance (ANCOVAs) were performed, controlling for age, sex, the Brinkman index, GM/(GM + WM), and spectrum quality values that were significantly different among the groups. The same analyses were performed to compare the TRS and non-TRS groups using an ANCOVA controlling for CPZ dose. Group comparisons of Glx levels in the dACC and caudate utilized a significance level of $p < 0.025$ ($p < 0.05/n$ where n equals the number of ROIs). In addition, other neurometabolite levels in both regions were compared among groups using an ANOVA; here, *p*-values were corrected with the Bonferroni method. Furthermore, as exploratory analyses, we compared the levels of Glx and other neurometabolites between the whole patient group and HCs.

Pearson's correlations were utilized to examine the associations between symptom severity scales (i.e., PANSS total and subscale scores, RBANS total scale and subscale scores, and EXIT scores) and Glx levels in each patient group and in the whole patient group.

RESULTS

Participant characteristics

A total of 95 participants were included in this study, consisting of 29 patients with TRS, 33 patients with non-TRS, and 33 HCs. Participant characteristics are presented in Table 1. Four participants (one TRS, two non-TRS, and one HC) did not complete scans and three HCs were excluded, because they had incidental brain anomalies. Nine (3 TRS, 5 non-TRS, and 1 HC) and 15 participants (5 TRS, 4 non-TRS, and 6 HCs) were excluded from statistical analyses for dACC and caudate neurometabolite levels, respectively, following spectral quality control and the removal of cases in which the MRI machine automatically changed the direction of the voxel, the latter of which is believed to be due to Japanese participants' unique brain shape. CPZ dose was higher in the TRS group compared with the non-TRS group. The TRS group showed higher symptom severity scores compared with the non-TRS group. Spectrum qualities and tissue heterogeneity values are displayed in Supplementary Table 1.

Group comparisons of Glx levels

Figure 3 and Table 2 display comparisons of Glx levels between the groups. A group difference was identified in dACC Glx levels; levels were higher in patients with TRS than in HCs, whereas no difference was identified between the patient groups or between non-TRS and HC groups. The results remained significant after controlling for age, sex, the Brinkman index, and GM/(GM + WM). Higher dACC Glx levels were also found in the whole patient group compared with HCs ($t(77) = -3.00$, $p = 0.004$). There were no group differences in Glx levels within the caudate. No group differences were found for levels of any other neurometabolite (Supplementary Table 2).

Relationships between Glx levels and participant characteristics and spectral quality indices

There were no relationships between Glx levels and clinico-demographic characteristics, spectrum quality indices, and GM ratios in any of the groups (Supplementary Table 3).

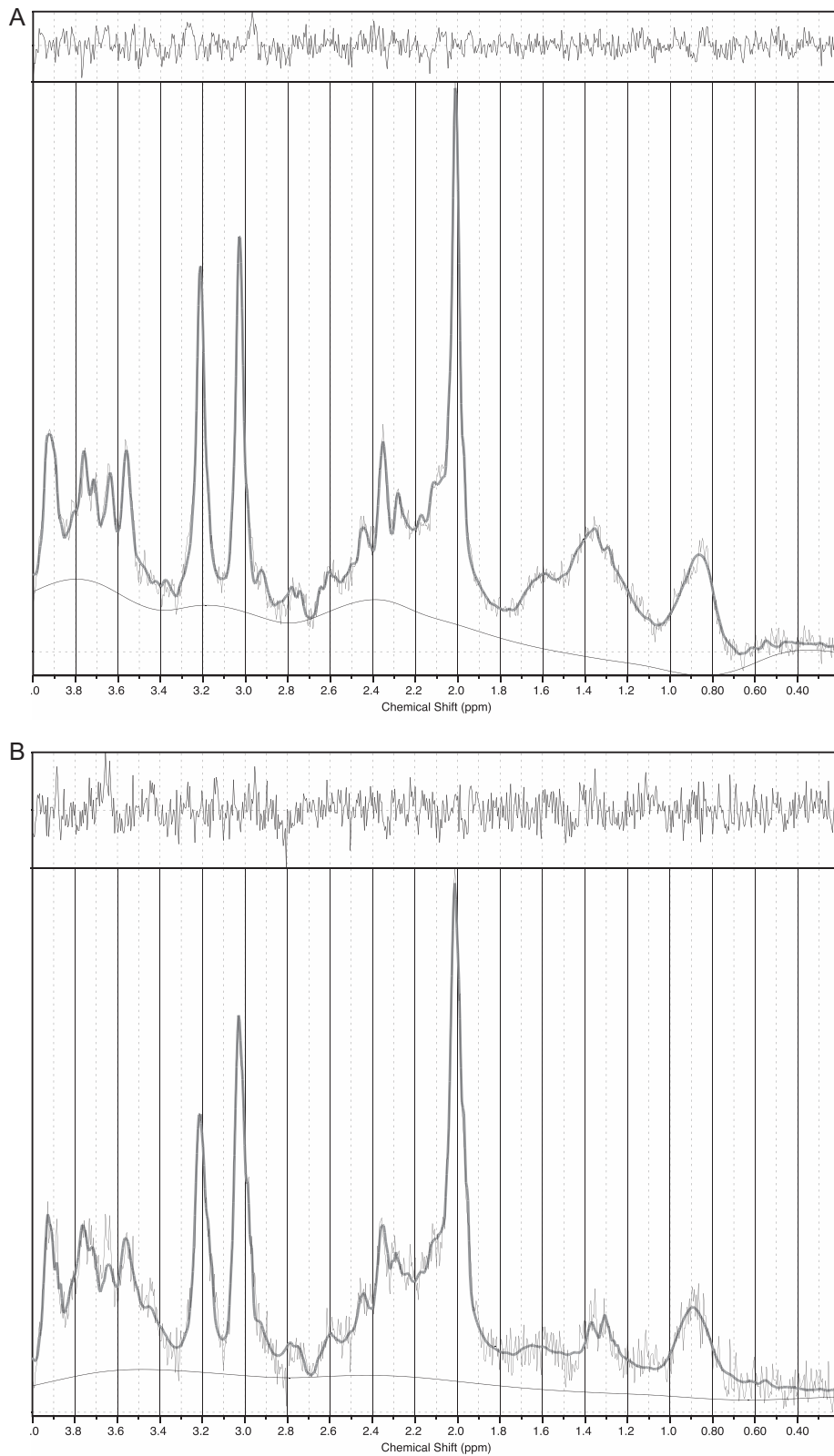


Fig. 2 Representative spectra. a dACC ^1H -MRS spectra of non-TRS patient. **b** Caudate ^1H -MRS spectra of non-TRS patient. dACC dorsal anterior cingulate cortex, TRS treatment-resistant schizophrenia.

Table 1. Characteristics of participants.

(a) Clinico-demographic characteristics						
	TRS (<i>n</i> = 28)	Non-TRS (<i>n</i> = 31)	HCs (<i>n</i> = 29)	ANOVA, <i>t</i> -tests, or χ^2 df	<i>F</i> or <i>t</i> -value	Corrected <i>p</i> -value
Age, year	43.9 ± 11.6	42.4 ± 12.6	43.7 ± 11.7	(2, 85)	0.14	1.00
Sex, female	16 (57.1)	17 (54.8)	16 (55.2)	2		1.00
Brinkman index	309.7 ± 476.3	182.1 ± 335.8	22.9 ± 102.1	(2, 85)	5.11	0.056
Education, year	13.2 ± 2.3	13.5 ± 1.8	14.5 ± 4.1	(2, 85)	1.7	1.00
Age of onset, year	25.5 ± 6.7	26.9 ± 10.4		(1, 57)	0.64	1.00
Duration of illness, year	18.6 ± 10.3	15.5 ± 12.2		(1, 57)	-1.04	1.00
CPZ dose, mg/day	958.5 ± 501.7	412.4 ± 237.9		(1, 57)	-5.25	<0.0001^a
Antipsychotics						
Quetiapine		2				
Perospirone		1				
Aripiprazole	5	6				
Zotepine	1	1				
Blonanserin	1	5				
Paliperidone	2	1				
Haloperidole	1	1				
Olanzapine	7	4				
Risperidone	7	7				
Aripiprazole LAI	1	4				
Haloperidole LAI	1	2				
Risperidone LAI	1					
(b) Clinical severity						
	TRS (<i>n</i> = 28)	Non-TRS (<i>n</i> = 31)	HCs (<i>n</i> = 29)	ANOVA or <i>t</i> -test df	<i>F</i> or <i>t</i> -value	<i>p</i> -Value
PANSS total score	111.2 ± 20.4	49.8 ± 13.7		(1, 57)	-13.41	<0.001^b
Positive subscale	26.8 ± 4.8	9.9 ± 2.6		(1, 57)	-16.61	<0.001^b
Negative subscale	31.0 ± 6.2	14.4 ± 6		(1, 57)	-10.5	<0.001^b
General subscale	53.4 ± 11.5	25.5 ± 6.4		(1, 57)	-11.32	<0.001^b
CGI-S	5.1 ± 0.4	2.4 ± 0.8		(1, 57)	-17.14	<0.001^c
RBANS total score	74.0 ± 14.0	82.7 ± 13.5	101.7 ± 13.6	(2, 84)	30.37	<0.001^d
Immediate memory index	72.3 ± 18.2	80.1 ± 19.1	99.2 ± 17.4	(2, 84)	16.23	<0.001^d
Visuospatial/constructional index	87.0 ± 18.9	95.0 ± 15.9	102.4 ± 15.1	(2, 84)	5.97	0.0037^d
Language index	82.0 ± 9.7	87.2 ± 16.2	99.6 ± 11.1	(2, 84)	14.11	<0.001^d
Attention index	82.0 ± 15.3	83.7 ± 13.4	104.4 ± 16.4	(2, 84)	19.92	<0.001^d
Delayed memory index	74.0 ± 20.0	90.4 ± 15.3	101.2 ± 13.1	(2, 84)	18.78	<0.001^d
EXIT scores	13.5 ± 5.1	10.67 ± 5.24	8.9 ± 4.0	(2, 84)	6.11	0.003^e
JART scores	95.9 ± 9.7	99.6 ± 9.5	105.3 ± 10.1	(2, 84)	6.67	0.002^f
GAF scores	35.8 ± 9.2	67.7 ± 7.5	81.9 ± 16.7	(2, 85)	309.27	<0.001^g

Values are mean ± SD or *n* (%). ANOVA analyses of variance, CGI-S Clinical Global Impression Severity scale, CPZ chlorpromazine, EXIT Executive Interview, GAF Global Assessment of Functioning, JART Japanese Adult Reading Test, HCs healthy controls, LAI long-acting injection, PANSS Positive and Negative Syndromes Scale, RBANS Repeatable Battery for the Assessment of Neuropsychological Status, TRS treatment-resistant schizophrenia

^aCPZ equivalent daily doses were higher in TRS compared with non-TRS (*p* < 0.001)

^bPANSS total and subscale scores were higher in TRS compared with non-TRS (*p* < 0.001)

^cCGI-S scores were higher in TRS compared with non-TRS (*p* < 0.001)

^dRBANS total and subscale scores were lower in TRS compared with non-TRS (*p* < 0.001)

^eEXIT scores were higher in HCs compared with TRS (*p* = 0.002)

^fJART scores were higher in HCs compared with TRS (*p* = 0.001)

^gGAF scores were lower in TRS compared with non-TRS (*p* < 0.001) and HC (*p* < 0.001)

Bold values mean statistically significant results

Relationships between Glx levels and symptom severity scores in both the dACC and caudate, Glx levels were not related to PANSS total or subscale scores, RBANS total or subscale scores, or EXIT scores, in both the TRS and non-TRS groups or in the whole patient group (Supplementary Table 5).

DISCUSSION

We compared Glx levels, as assessed by ¹H-MRS, in the dACC and caudate between patients with TRS, who had markedly severe positive symptoms, patients with non-TRS, and HCs. Our main findings were threefold as follows: (1) Glx levels in the dACC were higher in the TRS group than in the HC group (Cohen's *d* = 0.75); (2) no group differences were found in caudate Glx levels among the groups; and (3) there were no significant associations between

Glx levels in the dACC or caudate and severity of clinical symptoms or cognitive impairment in both patient groups. The main strengths of our study are as follows. Primarily, we included TRS patients who presented with a symptomatic severity greater than that of previous studies. The mean PANSS total score in the present study was 111.0 (severe or markedly ill), which was much higher than 65 (mild) [21], 62.4 (mild) [22], and 82.8 (moderate) [18] in the previous studies [45]. Also, mean RBANS total scores in the present study were 74.0, 82.7, and 101.7 in the TRS, non-TRS, and HC groups, respectively, which suggests that cognitive function in the TRS group was almost 2 SD lower than the HC group, furthering the notion of severe illness severity in the TRS group within the current work. Moreover, our sample size is relatively larger than previous studies. Thus, our results replicate the findings of two previous studies [18, 20], while also extending them by reporting upon elevated levels of dACC Glx in TRS patients with marked symptomatic severity compared with HCs. On the other hand, the present work did not identify a group difference in ACC Glx levels between the TRS and non-TRS groups, which is in keeping with previous studies noting no significant differences in similar comparisons [20], as well as between CLZ-resistant TRS and CLZ-responsive TRS groups [18]. As is shown in Fig. 3, dACC Glx levels appeared to be more widely distributed in the TRS group than in the non-TRS group, despite both sets of data showing normal distributions and homogeneity of variances. This is suggestive of greater heterogeneity in TRS relative to non-TRS with respect to dACC Glx levels. Notably, there are several sources of heterogeneity that may account for these phenomena. First, we did not classify patients with TRS into early-onset TRS (i.e., no period of remission from illness onset) and late-onset TRS (i.e., at least 6 months duration of antipsychotics response experience and failure to respond at a later stage) [46]. Also, no patients with TRS took CLZ, suggesting that the TRS group potentially included CLZ-resistant and CLZ-responsive patients. Thus, our results suggest greater heterogeneity in TRS relative to non-TRS in terms of dACC Glx levels, necessitating further research to determine the biological subtypes of TRS. On the other hand, of the four previous studies, only one reported higher pgACC Glu levels in the TRS group compared with the non-TRS group [21]. It is plausible that differences in correction method of neurometabolite levels may at

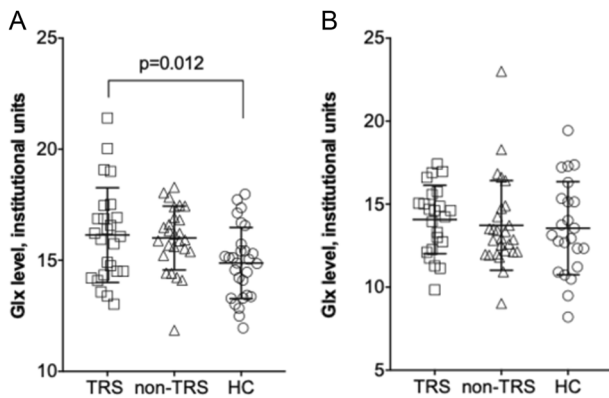


Fig. 3 Comparisons of glutamate plus glutamine (Glx) levels between groups. **a** Glx levels in the dACC in TRS patients, non-TRS patients, and HCs. Glx levels in the dACC were higher in TRS patients than in HCs (*p* = 0.012 by post-hoc Tukey's test). **b** Glx levels in the caudate in TRS patients, non-TRS patients, and HCs. There were no group differences in Glx levels in the caudate. dACC dorsal anterior cingulate cortex, Glx glutamate plus glutamine, HCs healthy controls, TRS treatment-resistant schizophrenia.

Table 2. Comparisons of Glx levels between the groups.								
	TRS (<i>n</i> = 28)	Non-TRS (<i>n</i> = 31)	HCs (<i>n</i> = 29)	ANOVA		ANCOVA, age covariate		
				<i>F</i> -value	<i>p</i> -Value	<i>F</i> -value	<i>p</i> -Value	
dACC Glx	<i>n</i> = 25 21.17 ± 3.10	<i>n</i> = 26 20.68 ± 2.06	<i>n</i> = 28 19.18 ± 2.19	F (2, 76) = 4.74	0.011	F (2, 75) = 4.67	0.012	
Caudate Glx	<i>n</i> = 23 14.08 ± 2.06	<i>n</i> = 27 13.72 ± 2.71	<i>n</i> = 23 13.56 ± 2.80	F (2, 70) = 0.25	0.78	F (2, 69) = 0.27	0.767	
	ANCOVA, sex covariate		ANCOVA, Brinkman index covariate		ANCOVA, GM/(GM + WM) covariate		ANCOVA, CPZ covariate (only for patient groups)	
	<i>F</i> -value <i>p</i> -Value		<i>F</i> -value <i>p</i> -Value		<i>F</i> -value <i>p</i> -Value		<i>F</i> -value <i>p</i> -Value	
dACC Glx	F (2, 75) = 5.19	0.008	F (2, 75) = 4.48	0.015	F (2, 75) = 3.91	0.024	F (1, 48) = 0.23	0.63
Caudate Glx	F (2, 69) = 0.18	0.84	F (2, 69) = 0.13	0.88	F (2, 69) = 0.01	0.99	F (1, 47) = 0.76	0.78

dACC Glx levels were higher in patients with TRS than in HCs (*p* = 0.012)
ANOVA analyses of variance, CPZ chlorpromazine, dACC dorsal anterior cingulate cortex, Glx glutamate + glutamine, HCs healthy controls, TRS treatment-resistant schizophrenia
Bold values mean statistically significant results

least in part contribute to these inconsistent results; the previous work estimated neurometabolite levels as a ratio to creatinine plus phosphocreatine [21], while the present study corrected neurometabolite levels for voxel tissue composition.

Four studies thus far have examined levels of glutamatergic neurometabolites in the ACC of chronic TRS patients. One study compared pgACC Glu levels among a TRS group ($n = 6$), non-TRS group ($n = 8$), and HC group ($n = 10$) [20]. The authors found that pgACC Glu levels were higher in the TRS group compared with HCs, whereas no differences were found in pgACC Glu levels between the patient groups. Subsequently, the same research group examined pgACC Glu levels between TRS ($n = 19$) and non-TRS ($n = 18$) groups, and reported higher pgACC Glu levels in the TRS group in comparison with non-TRS group [21]. Further, another study also compared pgACC Glx levels among TRS patients who failed to respond to CLZ ($n = 11$), a CLZ-responsive TRS group ($n = 16$), a non-TRS group ($n = 15$), and a HC group ($n = 16$). The authors reported no differences in pgACC Glx levels among these groups [22]. Lastly, another study examined glutamatergic neurometabolite levels in the dACC among CLZ-resistant TRS patients ($n = 26$), CLZ-responsive TRS patients ($n = 27$), non-TRS patients ($n = 21$), and HCs ($n = 26$) [18]. The authors reported higher dACC Glx levels in CLZ-resistant TRS patients compared with HCs, whereas no differences were found in dACC Glx levels between the patient groups.

With respect to the aforementioned observed differences in ACC Glx levels between TRS and HC groups, it is noteworthy that differences in the definition of TRS may carry influence. Compared with HCs, one study found higher ACC Glu levels in TRS group [20] and another reported higher Glx levels in CLZ-resistant TRS group [18], findings that are in line with our results. On the other hand, another study failed to identify a difference in both Glu or Glx levels in the ACC among CLZ-resistant TRS, CLZ-responsive TRS, and HC groups [22]. Of note, the authors included CLZ-resistant patients with TRS, defined as patients who failed with CLZ monotherapy but subsequently responded to a combination of CLZ and an antipsychotic. Also, the authors included patients that were at most mildly ill to control for state effects related to symptom severity and the severity of symptoms did not differ between groups. It was also reported that there were no differences in levels of glutamatergic neurometabolites between CLZ-responsive TRS patients and HCs [18]. In addition, none of the four studies found differences in ACC glutamatergic neurometabolite levels between non-TRS and HCs. Overall, these findings suggest that non-response to antipsychotic may be associated with higher glutamatergic neurometabolite levels in the ACC compared with HCs, while levels may normalize in those who respond to antipsychotics.

In addition, voxel locations within the ACC varied among previous studies. Three studies placed the $^1\text{H-MRS}$ voxel in the pgACC [20–22], whereas another study measured dACC metabolite levels [18], the latter of which is similar to the present work. It is suggested that the dACC and pgACC may be involved in different functions and inhibit one another [26]. A previous meta-analysis reported that cognitive tasks activated the dACC, while emotionally valenced tasks activated the pgACC [25]. Accordingly, a previous study reviewed the studies examining group differences in ACC glutamatergic neurometabolite levels between HCs and schizophrenia, and summarized that most studies observed elevated levels in the pgACC and decreased levels in the dACC [18]. Considering these differences in function and glutamatergic neurometabolite levels in schizophrenia between the two regions, the pgACC and dACC may contribute differently to the manifestation of symptoms of schizophrenia. Thus, the findings of existing TRS studies should be carefully interpreted with consideration towards potential regional differences. Further $^1\text{H-MRS}$ studies are necessitated to consider the influence of voxel location within the ACC on levels of glutamatergic

neurometabolites. On the other hand, to the best of our knowledge, six prospective studies have examined the effects of antipsychotics on ACC glutamatergic neurometabolite levels in this population and their findings have been inconsistent [47–52]. Three studies reported a decrease [48–50], whereas one reported an increase [47] in glutamatergic metabolite levels, and two studies did not find alterations in glutamatergic metabolite levels [52]. However, among those six studies, only two prospectively examined the effects of antipsychotics on the dACC Glx levels in unmedicated patients with schizophrenia and noted no changes in Glx levels after 6 weeks of treatment with risperidone [51] or aripiprazole [52]. These studies suggest that antipsychotic administration may not directly affect dACC Glx levels in patients with schizophrenia. Therefore, given that most of the studies examining glutamatergic neurometabolite levels in the dACC observed decreased levels [18], it is possible that only TRS patients have higher levels of dACC Glx relative to HCs within early stages of illness, and that dACC Glx levels may not change by antipsychotic treatment. Further studies are needed to examine how glutamatergic neurometabolite levels change by antipsychotic administration or illness progression, especially in TRS groups.

Moreover, contrary to our hypothesis, we did not observe any association between dACC Glx levels and the severity of clinical symptoms, including cognitive impairment, in both the TRS and non-TRS groups or in the whole patient group. In line with these findings, a recent meta-analysis noted no significant correlations between glutamatergic markers and symptom severity in patients with schizophrenia [17]. Overall, these results suggest that glutamatergic neurometabolite levels, as measured with $^1\text{H-MRS}$, in the dACC do not appear to be related to symptom severity.

These results suggest that higher Glx levels in TRS group may not be attributable to greater symptom severity, but may reflect the difference in the disease subgroup between TRS and non-TRS.

In terms of the caudate, only one previous study examined glutamatergic neurometabolite levels in the caudate of patients with TRS. The authors noted no significant differences in caudate Glx levels among CLZ-resistant TRS, CLZ-responsive TRS, non-TRS, and HC groups [18]. This finding is in line with our finding of no significant differences in caudate Glx levels among the groups. Previous studies have shown higher Glu levels in antipsychotic-naïve FEP patients compared with HCs [33, 53], whereas Glu levels did not differ between chronic patients with schizophrenia and HCs [54]. Moreover, it has been demonstrated that elevated levels of glutamatergic neurometabolites in the caudate are normalized after successful antipsychotic treatment in FEP patients [49, 55]. Thus, glutamatergic neurometabolite levels in the caudate may be increased in the early stages of this illness and may subsequently decrease with illness progression or antipsychotic administration.

There are several noteworthy limitations to our study. First, we calculated the sample size of this study according to a previous study [33], which reported higher caudate Glu levels in unmedicated FEP patients compared with HCs. The subsequent work of that study showed Glu reductions in FEP patients resulting from antipsychotic medication [55]. Notably, the difference in Glu levels may be smaller between medicated patients and HCs in comparison with that between unmedicated patients and HCs, which warrants larger sample sizes to detect differences in caudate Glx levels. In addition, despite our main outcome being Glx levels, sample size calculation was based on the aforementioned Glu findings. Thus, these differences may play a role in the negative findings in the caudate. Other limitations are detailed in Supplementary Material 4.

In conclusion, this cross-sectional $^1\text{H-MRS}$ study examined group differences in Glx levels in the dACC and caudate between TRS patients with marked symptom severity, non-TRS patients, and HCs, as well as the relationship between Glx levels and symptomatology. We found higher dACC Glx levels in TRS patients compared with HCs, whereas caudate Glx levels did not differ

among groups. In addition, an association between symptom severity and Glx levels was not detected. Our results suggest greater heterogeneity in the TRS group relative to the non-TRS group in terms of dACC Glx levels, necessitating further research to determine the biological subtypes of TRS. Future multimodal studies would assist in the further elucidation of TRS pathophysiology, which may contribute to the improvement of therapeutic options for this patient population.

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ADDITIONAL INFORMATION

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