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Spatial and temporal dynamics of HDACs class IIa following mild traumatic brain injury in adult rats

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The fundamental role of epigenetic regulatory mechanisms involved in neuroplasticity and adaptive responses to traumatic brain injury (TBI) is gaining increased recognition. TBI-induced neurodegeneration is associated with several changes in the expression-activity of various epigenetic regulatory enzymes, including histone deacetylases (HDACs). In this study, PET/CT with 6-([¹⁸F] trifluoroacetamido)-1-hexanoicanilide ([¹⁸F]TFAHA) to image spatial and temporal dynamics of HDACs class IIa expression-activity in brains of adult rats subjected to a weight drop model of diffuse, non-penetrating, mild traumatic brain injury (mTBI). The mTBI model was validated by histopathological and immunohistochemical analyses of brain tissue sections for localization and magnitude of expression of heat-shock protein-70 kDa (HSP70), amyloid precursor protein (APP), cannabinoid receptor-2 (CB2), ionized calcium-binding adapter protein-1 (IBA1), histone deacetylase-4 and -5 (HDAC4 and HDAC5). In comparison to baseline, the expression-activities of HDAC4 and HDAC5 were downregulated in the *hippocampus*, *nucleus accumbens*, peri-3rd ventricular part of the thalamus, and *substantia nigra* at 1–3 days post mTBI, and remained low at 7–8 days post mTBI. Reduced levels of HDAC4 and HDAC5 expression observed in neurons of these brain regions post mTBI were associated with the reduced nuclear and neuropil levels of HDAC4 and HDAC5 with the shift to perinuclear localization of these enzymes. These results support the rationale for the development of therapeutic strategies to upregulate expression-activity of HDACs class IIa post-TBI. PET/CT (MRI) with [¹⁸F] TFAHA can facilitate the development and clinical translation of unique therapeutic approaches to upregulate the expression and activity of HDACs class IIa enzymes in the brain after TBI.

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INTRODUCTION

Traumatic brain injury (TBI) is a major health problem worldwide, contributing to severe disability and substantial mortality. Mild TBI (mTBI) affects between 1.8 and 3.5 million people each year in the United States alone, with 20% of those subjects developing chronic disabilities [1]. Moderate-to-severe traumatic brain injury (msTBI) affects about half a million individuals per year and accounts for one-third of all injury-related deaths [1]. Many individuals with TBI develop diverse physical, neurological and psychiatric co-morbidities including post-traumatic stress disorder (PTSD), along with various affective, anxiety, personality, or behavior disorders, and even schizophrenia [2, 3]. Furthermore, many of the 1.6 million US personnel deployed since 2001 as part of various military operations in Iraq and Afghanistan have sustained brain concussions, with most of them being blast

related [4, 5]. These service members often have other psychological co-morbidities such as depression, anxiety, somatoform disorders, and substance abuse [6–11]. With the wide-ranging socio-economic impact of TBI and associated co-morbidities, an improved understanding of mechanisms involved in its molecular pathophysiology will facilitate the development of more effective and/or creative therapeutic solutions.

Even though the various physical and behavioral abnormalities cited above in humans involve neurons, glia, inflammatory cells, and microvasculature [12–14], in this study, we have focused essentially on neuronal cell bodies. Here we used a model of mTBI on adult rats, which is known to cause diffuse neuronal injury without either focal contusion or hemorrhage. Our immunohistochemical and radiological analyses demonstrate the upregulation of biomarkers of neuronal stress and

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damage, as well as of reactive microglia at acute and subacute intervals post-trauma.

Over the past decade, the importance of epigenesis in the pathophysiology of TBI has been gaining increased recognition [15, 16]. Epigenetic regulatory mechanisms include DNA methylation, histone modifications (i.e., acetylation, methylation), post-transcriptional regulation of gene expression via small noncoding RNAs, and post-translational modifications of various structural and signaling proteins that impact their stability and function [17, 18]. Histone deacetylases (HDACs) play central functional roles in epigenetic regulation [17, 18]. Several HDAC isoforms are responsible for the regulation of gene transcription by the removal of acetyl moieties from the acetylated lysine residues of histone core proteins, and thereby increases DNA binding to histones, ultimately resulting in transcriptional repression [19]. HDACs have 18 known isoforms divided into four classes, namely: class I (HDACs 1, 2, 3, and 8), class IIa (HDACs 4, 5, 7 and 9), class IIb (HDACs 6 and 10), class III or sirtuins (SIRT1–7) and class IV (HDAC11) [20]. Disruption of acetylation/deacetylation of histone core proteins and other non-histone proteins is a common feature in various diseases and neuropathological states [21, 22]. It has been demonstrated that neurodegeneration is associated with a global decrease in histone acetyl-transferase activity resulting in an abundance of deacetylated histones [23, 24]. Furthermore, there is growing awareness of the neuroprotective effects of some HDAC inhibitors [25]. Valproic acid, an inhibitor of HDACs class I, exhibits neuroprotective effects in rodent models of TBI by decreasing blood–brain barrier (BBB) permeability, reducing neuronal damage, plus improving motor function and spatial memory [26]. DMA-PB, an HDAC6 (class IIb) inhibitor [27], increases histone H3 acetylation and reduces the microglial inflammatory response in a unilateral fluid percussion TBI model in rats [28]. ACY-1083, another HDAC6 (class IIb) inhibitor, reduces traumatic lesion size and brain edema in a swine model of severe TBI with hemorrhagic shock [29]. Givinostat (ITF2357), a pan-HDAC inhibitor [30], substantially improves the long-term recovery of several neurological functions in a mouse model of closed TBI [31].

Moreover, Scriptaid, an HDAC class I and IIb inhibitor, promotes the resolution of hematoma and brain edema and alleviates neurological dysfunction after an experimental intracerebral hemorrhage in mice [32] and induces a neuroprotective effect by modulating the microglia/macrophage polarization through the glycogen synthase kinase-3 β (GSK3 β)/PTEN/AKT pathway after a controlled cerebral cortical impact [33, 34]. Similarly, the pan-HDAC inhibitor LB-205 induces potent neuroprotective effects by preserving nerve growth factor (NGF)-mediated cell survival following TBI in rats [35]. Other studies revealed that pan-HDAC inhibitors augment memory, synaptic plasticity, and promote neuronal outgrowth [36–38]. Surprisingly, however, a comprehensive study of a structurally diverse panel of HDAC inhibitors revealed an unexpected isoform selectivity of these so-called “pan-HDAC inhibitors” for the HDAC class I, and to a lesser degree for the HDACs class IIb, but not the HDACs class IIa or classes III enzymes [39]. Therefore, the mechanism of neuroprotection observed with such drugs could be largely attributed to the inhibition of HDACs class I and IIb enzymes.

In contrast to the well-studied roles of HDACs class I and IIb in TBI and the neuroprotective effects resulting from their pharmacologic inhibition, the roles of HDACs class IIa enzymes in the pathophysiology of TBI have not as yet been sufficiently established. The importance of HDACs class IIa in epigenetic mechanisms of TBI is evidenced by studies in mice deficient in HDAC4, 5, and 9, which exhibit impaired neuroplasticity [40], reduced axonal regeneration [41] along with defects in spatial learning and memory [42, 43]. Thus, class IIa HDACs seems to play considerable roles in the pathophysiology of different neurological diseases or conditions resulting from TBI.

Recently, it has been demonstrated that in a controlled cerebral cortical impact mouse model, TBI causes degradation and downregulation of HDAC4 activity, which elicits post-traumatic psychiatric disorders through impairment of neurogenesis [44], whereas the overexpression of HDAC4 in the hippocampus by plasmid-mediated transfection pre-TBI restores neurogenesis, reduces anxiety and depressive-like behavior, and improves memory functions post-TBI. In contrast, another recent study [45] with a weight drop model of repeated mTBI in adult rats demonstrates that the compromised hippocampal recognition memory was associated with a clear upregulation of HDAC4 and HDAC5 mRNA levels and hypoacetylation of histones in hippocampal neurons during both, at 48 h and 30 days post mTBI. The latter study has also shown that treatment with trichostatin (TSA) leads to both, recovered hippocampal learning and memory deficit. Thus, there is an ongoing controversy and debate regarding the levels of HDACs class IIa expression-activity in the brain following TBI, especially in terms of the levels of TBI severity and post-traumatic phases.

To address this open question experimentally, we have conducted studies by using non-invasive *in vivo* PET/CT imaging with HDAC class IIa-specific substrate-type radiotracer 6-(trifluoroacetamido)–1-hexanoanilide ([¹⁸F]TFAHA) [46] to quantitatively visualize the spatial and temporal dynamics of HDAC class IIa expression-activity in the rat brain during early phases post TBI. Our results support the rationale for the future development of therapies of TBI targeted to HDACs class IIa expression and activity in the brain.

MATERIALS AND METHODS

Animal study groups

All procedures were approved by the Institutional Animal Care and Use Committee (Wayne State University). Male Sprague-Dawley rats ($n = 16$; 250–300 g, Envigo, MI) were used in this study. One group of rats ($n = 13$) was subjected to a diffuse mTBI, another group of rats ($n = 3$) underwent a sham (negative control) procedure. PET/CT imaging with [¹⁸F]TFAHA was performed in 7 rats at baseline (before mTBI), 1–3 days post mTBI, and 7–8 days post mTBI. In parallel, nine rats ($n = 3$ per time point) were euthanized for histologic and immunohistochemical analyses of brain tissue sections. No randomization was used in this study. Investigators were not blinded to the group allocation and when assessing the outcome.

Mild diffuse traumatic brain injury model

Mild diffuse TBI was induced using the Marmarou impact-acceleration model, which has been reported extensively elsewhere [47–66]. Briefly, 30 min before induction of mTBI, the rats were pretreated with buprenorphine (0.3 mg/kg, subcutaneously). Then, anesthesia was induced by 4% isoflurane in oxygen and maintained with 2% isoflurane in oxygen (0.6 L/min). A midline incision was made to expose the skull. A stainless-steel disk (diameter 10 mm, thickness 3 mm) was subsequently secured midline between *bregma* and *lambda* with cyanoacrylate glue. Next, the rat was placed prone on an open-cell flexible polyurethane foam bed (12 × 12 × 43 cm; Foam to Size Inc., Ashland, VA) contained in a plexiglass box. The animals were further immobilized by taping over their trunk. The head of each rat was then positioned with the stainless-steel disk centered under the lower end of an acrylic tube (2.5 m long, 57 mm diameter) and a custom-made 450 g impactor was dropped through the tube from a height of 1 m (calculated impact velocity of 4.43 m/s). Following the impact, the stainless-steel disk was removed and the animals without skull fracture had their scalp sutured (4.0 silk) and allowed to recover. The sham group of animals underwent all the same procedures except for the impactor drop.

PET/CT imaging with [¹⁸F]TFAHA

The radiolabeling of [¹⁸F]TFAHA was performed as described in detail previously [46]. The rats were anesthetized with 4% isoflurane in oxygen and maintained with 2% isoflurane in oxygen (0.6 L/min) throughout the imaging procedure with the body temperature being maintained with an electronically controlled heating pad (M2M Imaging, Cleveland, OH) set at 37 °C. The rats were placed in the supine position on the bed of microPET

R4 scanner (Siemens, Knoxville, TN) with the head in the center of the field of view (FOV) and then [^{18}F]TFAHA (300–500 $\mu\text{Ci}/\text{animal}$ in 1 ml of saline) was administered via the tail-vein as a slow bolus injection over 1 min. Then, dynamic PET images were acquired over a 30-min time. After PET imaging, the bed with the affixed anesthetized animal was transferred to the Inveon SPECT/CT scanner (Siemens, Knoxville, TN) and CT images were acquired with four overlapping frames (2 min each) covering the whole body, with X-ray tube settings of 80 kV and 500 μA . PET images were reconstructed using the ordered subset expectation–maximization method [67]. Digital Rat Brain Atlas was used for the alignment and identification of specific anatomical markers in the brain [68]. The levels of [^{18}F]TFAHA accumulation in different regions of the brain were measured by using AMIDE 1.0.4 image analysis software and expressed as standard uptake values (SUV) [69]. The volume of distribution (VD) of [^{18}F]TFAHA in different brain structures was calculated using Logan graphical analysis [46, 67, 69–71] with frontal cortex as the reference tissue [46, 72].

Histology and immunohistochemistry

Rats were euthanized with an overdose of sodium pentobarbital (50 mg/kg intravenously (i.v.) and then transcardially perfused with normal saline followed by 4% formaldehyde in phosphate-buffered saline (PBS 0.1 M, pH 7.4) until completely exsanguinated. The brain was extracted and postfixed in 4% formaldehyde with 30% sucrose for 2 days, followed by storage in 30% sucrose in PBS. Subsequently, frozen brain sections (20 μm) were obtained in a coronal plane (OTF5000 cryomicrotome, Hacker-Bright), dried at 55 $^{\circ}\text{C}$ on a slide warmer, and then stored at -80°C . For immunohistochemistry, the brain sections were washed in 0.1 M PBS (pH 7.4) 3×3 min and processed for antigen retrieval by incubating the sections at 75 $^{\circ}\text{C}$ in sodium citrate buffer (pH 6.0) for 1 h, followed by washing in PBS 3×3 min and blocking the endogenous peroxidase activity with 0.6% hydrogen peroxide in PBS for 1 h. Then, the sections were rinsed in PBS 3×3 min and incubated overnight (ON) with one of the primary antibodies: HDAC4 H-92 (1:100, Santa Cruz Biotechnology), HDAC5 H-64 (1:100, Santa Cruz Biotechnology), HSP70 (1:1000, Enzo Life Science), CB2 (1:500, Enzo Life Science), or β -APP (1:1000, Life Technologies). The next day, the sections were washed in PBS 3×3 min, incubated with goat anti-rabbit biotinylated secondary antibody (Vectastain ABC Kit, Vector Laboratories) for 1.5 h, washed in PBS 3×3 min, and then incubated for 1 h with avidin-peroxidase complex solution (Vector Laboratories). After washing 3×10 min with PBS, the sections were incubated for 90 s in a water solution containing 0.05% 3,3-diaminobenzidine and 0.015% H_2O_2 (Sigma Aldrich), gently washed in tap water, counterstained with hematoxylin and cover-slipped with Surgipath Micromount medium (Leica). The images of stained brain sections were acquired with a digital microscope EVOS FL Auto (Life Technologies).

Quantification of HDAC4 and HDAC5 expression in situ

The magnitude of expression of HDAC4 and HDAC5 in IHC-stained rat brain sections was quantified by densitometric analysis using ImageJ software (<https://imagej.nih.gov/ij>). The number of neurons showing no staining (null), only nuclear, only cytoplasmic, or both nuclear and cytoplasmic (dual) staining for HDAC4 and HDAC5 were counted by two independent observers and expressed as a percentage (%) of the total number of neurons per FOV, and the mean \pm standard error of the mean (SEM) values was calculated. The nuclear and corresponding cytoplasmic optical density (OD) of staining of HDAC4 or HDAC5 was measured in individual neurons to calculate the cytoplasmic over nuclear OD ratio (minimum $N = 36/\text{FOV}$). Calculating the ratio of nuclear to cytoplasmic staining intensity cancels out the small differences in absolute levels of staining intensity between different cells, different sections, and different animals.

Statistical analyses

Numerical and statistical analyses of data were performed with Excel 2013 (Microsoft, Redmond, WA) and Graph-Pad Prism 6 (Graph Pad Software La Jolla, CA). The adequacy of numbers of animals per group per time point (sample size) was determined by power analysis using F -tests, one-way ANOVA ($\alpha = 0.05$; power = 0.80). Group means \pm SEM were calculated for SUVs and VD in different brain structures (i.e., *hippocampus*, *n. accumbens*, *substantia nigra*, and *peri-3rd ventricular part of thalamus*) at baseline and different phases post mTBI and compared by using one-way ANOVA for repeated measures in the same subjects. Student t -tests for group mean and paired measurements were performed to calculate p values, and a α

of 0.05 was used as the threshold to indicate a significant difference, and a two-tailed distribution was assumed; $p < 0.05$ was considered statistically significant.

RESULTS

IHC characterization of mTBI model

At 6 h post mTBI, rats subjected to brain trauma had a markedly increased expression of HSP70, β -APP, and CB2 in neurons of the hippocampal CA1, CA2, CA3 regions, dentate gyrus, and in cerebral cortical pyramidal neurons. In contrast, these biomarkers of brain injury remained at low to non-detectable levels in rats that underwent a control sham procedure (Figs. S1–S9). Also, at 6 h post mTBI increased expression of the ionized calcium-binding adapter molecule-1 (IBA1) was observed in the activated microglial cells throughout the CA1, CA2, CA3 regions of the hippocampus and its dentate gyrus, and cortex, in comparison to the brain in rats that underwent the control sham procedure (Figs. S10–S12).

PET/CT Imaging with [^{18}F]TFAHA

The spatial and temporal dynamics of HDACs class IIa activity in different structural regions of the rat brain were quantified using PET/CT with [^{18}F]TFAHA at baseline, 1–3 days, and 7–8 days post mTBI (Fig. 1). There were no significant differences ($p > 0.1$) in [^{18}F]TFAHA SUVs between 1 and 3 days post mTBI and, therefore, the data were pooled. However, as compared to baseline, at 1–3 days post mTBI a significant decrease in [^{18}F]TFAHA SUV ($p < 0.001$) and VD ($p < 0.05$) was observed in the part of the thalamus around the third (3rd) ventricle (Fig. 1, row 4), *n. accumbens*, *hippocampus* (row 3), and *substantia nigra* (row 2) (see also Figs. 2, S13, and S14). At 7–8 days post mTBI, the levels of [^{18}F]TFAHA-derived radioactivity accumulation in these brain regions, as measured by SUV, were significantly higher ($p < 0.01$) than in the acute phase (1–3 days) post mTBI, and were similar to baseline levels. Although the VD of [^{18}F]TFAHA in these brain regions showed a tendency to increase, as compared to the acute phase (1–3 days post mTBI), it remained significantly lower ($p < 0.05$) than the baseline levels (Figs. 1, 2, S13, and S14).

HDAC4 expression in neurons

At baseline, higher levels of HDAC4 expression were observed in neurons of *hippocampus*, *peri-3rd ventricular thalamic nuclei*, *n. accumbens*, and *substantia nigra*, as compared to other regions of the brain (Figs. 3, 4, S15–S17). The intracellular localization of HDAC4 expression in neurons of these regions was both cytoplasmic and nuclear (dual), as evidenced by the predominant percentage of dual-stained neurons (Fig. S18). However, the nuclear levels of HDAC4 expression were higher in the nuclei than in the cytoplasm, as evidenced by the low (below 1) cytoplasmic-to-nuclear OD ratio (Fig. 6A). During days 1–3 post mTBI, the overall level of HDAC4 expression in neurons of these regions was reduced (Figs. 3, S15–S17), while the intracellular localization of HDAC4 expression was shifted predominantly into the cytoplasm, as evidenced by the significantly increased ($p < 0.05$) percentage of only cytoplasm-stained neurons (Fig. S18) and increased cytoplasmic-to-nuclear OD ($p < 0.01$) (Fig. 6A), as compared to baseline levels. During the 7–8 days post mTBI, the overall level of HDAC4 expression in neurons of these regions remained lower than baseline but showed a tendency to increase over the levels observed at 1–3 days post mTBI (Figs. 3, S15–S17). However, the intracellular localization of HDAC4 expression at 7–8 days post mTBI was further shifted to the cytoplasm, as evidenced by the significantly increased ($p < 0.05$) percentage of only cytoplasm-stained neurons (Fig. S18) and increased cytoplasmic-to-nuclear OD (Fig. 6A), as compared to baseline and 1–3 days post mTBI ($p < 0.01$). No other regions of the brain with these pathological changes were noted.

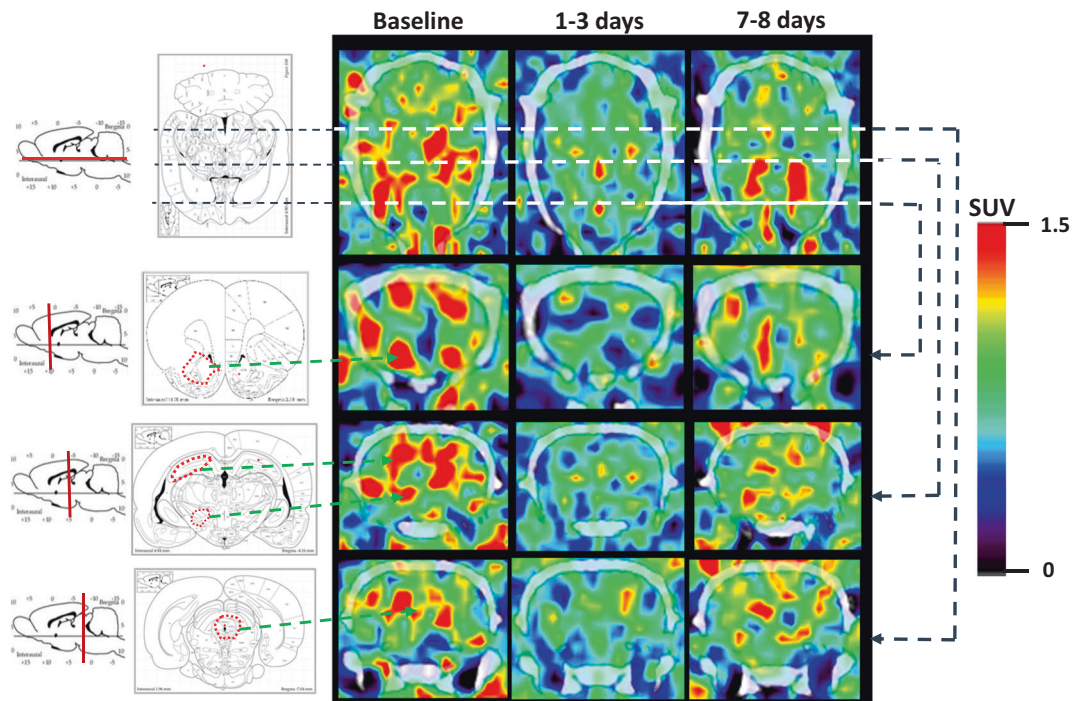


Fig. 1 PET/CT images of the rat brain at 20–30 min post [^{18}F]TFAHA administration obtained at baseline, 1–3 days, and 7–8 days post-TBI. Axial images (top row) and coronal images (lower three rows) reflect [^{18}F]TFAHA accumulation (Standard uptake values; SUV) in the following brain structures outlined by the red dots: *n. accumbens* (row 2), *hippocampus* and *substantia nigra* (row 3), and *peri-3rd ventricular thalamic gray matter* (row 4). The corresponding cross-sections of stereotactic Atlas of the Rat Brain are provided for reference in the left.

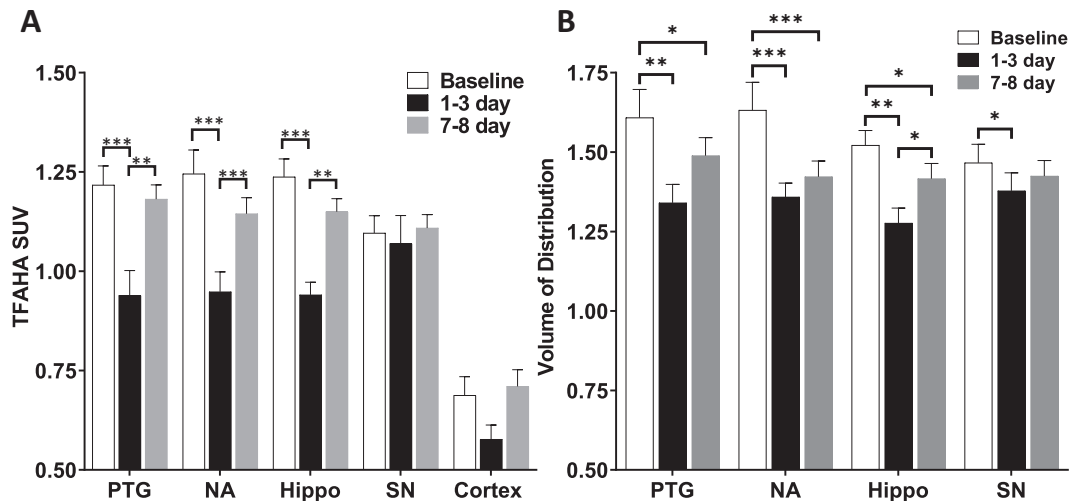


Fig. 2 Changes in [^{18}F]TFAHA accumulation in different structures of the rat brain at baseline and different days post TBI in *peri-3rd ventricular thalamic gray matter* (PTG), *n. accumbens*, *hippocampus*, and *substantia nigra*. **A** SUV and **B** volumes of distribution (VD). Data are mean \pm SEM. Statistical significance was determined via one-way ANOVA for repeated measures: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

HDAC5 expression in neurons

At baseline, higher levels of HDAC5 expression were observed in neurons of the *hippocampus*, *peri-3rd ventricular thalamus*, and *n. accumbens*, as compared to neurons in other examined regions of the brain (Figs. 4, 5, and S16). The intracellular localization of HDAC5 in neurons of these regions was both nuclear and cytoplasmic (dual), as evidenced by the comparable percentages of nuclear-only and dual-stained neurons (Fig. S19), as well as by the cytoplasmic-to-nuclear OD ratio close to 1 (Fig. 6B). Notably high levels of HDAC5 were localized in the thalamus close to the 3rd ventricle neuropil (Fig. 4). During the 1–3 days post mTBI, the overall level of HDAC5 expression in neurons in these few regions

was significantly reduced (Figs. 4, 5, and S16), while the intracellular localization of HDAC5 expression was shifted predominantly to the perinuclear cytoplasmic region, as evidenced by the significantly increased ($p < 0.05$) percentage of only cytoplasm-stained neurons (Fig. S19) and increased perinuclear cytoplasmic-to-nuclear OD (Fig. 6B), as compared to baseline levels. Notably, the axonal (neuropil) localization of HDAC5 was diminished during the 1–3 days post mTBI (Fig. 4). During the 7–8 days post mTBI, the overall level of HDAC5 expression in neurons remained lower than baseline but showed a tendency to increase over the levels observed at 1–3 days post mTBI (Figs. 4, 5, and S16). However, the subcellular localization of HDAC5

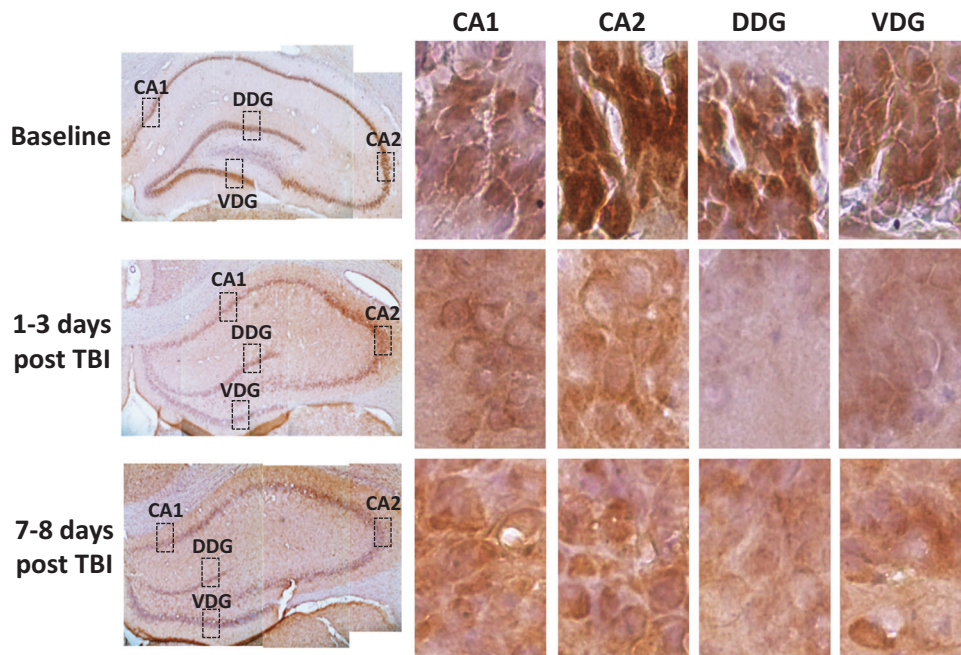


Fig. 3 Expression of HDAC4 in the rat hippocampus at baseline, 1–3 days, and 7–8 days post-TBI, visualized by IHC. Left column: stitched images ($\times 10$ magnification) with black rectangles indicating hippocampal CA1, CA2, dorsal dentate gyrus (DDG), and ventral dentate gyrus (VDG) areas shown in the corresponding $\times 40$ magnification.

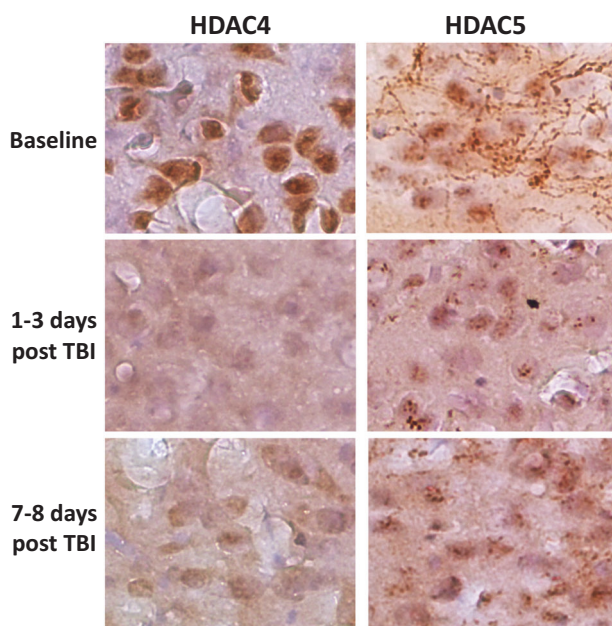


Fig. 4 Expression of HDAC4 and HDAC5 in the paraventricular thalamic nucleus in the rat brain. IHC staining of the brain tissue sections for HDAC4 and HDAC5 was performed at baseline, 1–3 days, and 7–8 days post mTBI. Images are at $\times 40$ magnification.

expression at 7–8 days post mTBI was further shifted to the perinuclear cytoplasm, as evidenced by the significantly increased ($p < 0.05$) percentage of only cytoplasm-stained neurons (Fig. S19) and increased perinuclear cytoplasmic-to-nuclear OD (Fig. 6B), as compared to baseline ($p < 0.05$); the axonal (neuropil) localization of HDAC5 showed a tendency to increase as compared to 1–3 days post mTBI (Fig. 4). These pathological changes were not found in other regions of the brain.

DISCUSSION

TBI causes abnormalities in cellular signaling, morphology, and function, as well as reactive-adaptive responses in neurons, glia, and microvasculature [12–14]. In this study, we used the well-established “Marmarou model” of mTBI [47–52], which is known to cause diffuse axonal injury without focal contusion and hemorrhagic lesions. Current histological and immunohistochemical analyses of brain tissue of rats subjected to this mTBI model demonstrate as early as at 6 h post-trauma the upregulation of biomarkers of neuronal stress and neuronal damage (i.e., HSP70, APP, CB2), as well as biomarkers of reactive microglial activation (i.e., IBA1), both in the hippocampus and a few additional brain regions. These results are in agreement with several previous reports from our group and others that have also used this model of mTBI. For example, an increase in HSP70 expression in the CA1 region of the rat hippocampus was previously observed as early as 12 h post-trauma [73]. The HSP70 binds to inflammatory transcription factors, prevents neuronal cell death and neuroinflammation, and reduces brain lesion size and hemorrhage post-TBI [74, 75]. The overexpression of HSP70 inhibits the aggregation of proteins and protects from neurodegeneration in TBI and ischemic reperfusion injury [76, 77]. Increased accumulation of beta-amyloid precursor protein (β -APP) in this mTBI model has been previously reported by us in traumatized neuronal axons of the pyramidal tract and *corpus callosum* [78], and the magnitude of β -APP expression correlated with the linear acceleration of the injury-inducing impactor [51, 52]. The latter is due to interruption of axonal transport caused by diffuse axonal injury, swelling of axonal bulbs, ultimately leading to neuronal cell death, as shown by us [51, 52, 78] and others [79]. Similar to our current experimental findings, other reports demonstrated an increased accumulation of APP in the hippocampus (predominantly in the CA3 region) and in the cerebral cortex during the acute phase post mTBI [73, 76, 80]. The results of these studies in rats are highly relevant to human patients with diffuse axonal injury of the brain because the APP accumulation was observed in damaged axons in the human cortex within a few hours after trauma [45, 81, 82]. As previously shown in a murine TBI model, the cannabinoid receptor

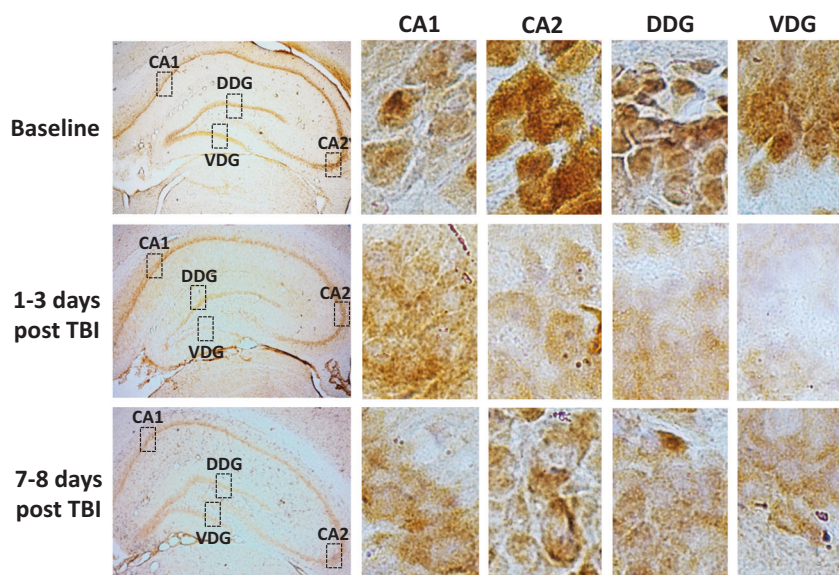


Fig. 5 Expression of HDAC5 in the rat hippocampus at baseline, 1–3 days, and 7–8 days post-TBI, visualized by IHC. Left column: stitched images ($\times 5$ magnification) with black rectangles indicating hippocampal CA1, CA2, dorsal dentate gyrus (DDG), and ventral dentate gyrus (VDG) areas shown in the corresponding images at $\times 40$ magnification.

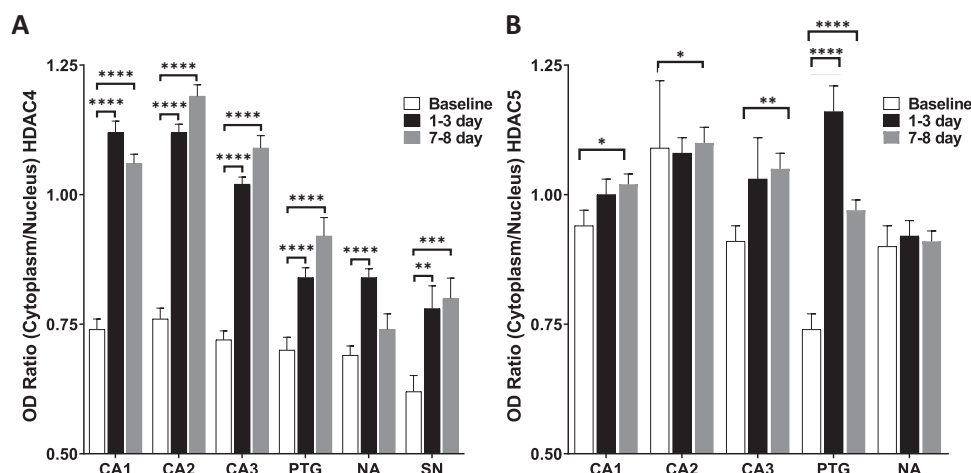


Fig. 6 The ratio of cytoplasmic vs nuclear localization/expression levels of HDAC4 and HDAC5 in different structures of the rat brain. Measurements were obtained by optical densitometry of immunohistochemically stained brain tissue sections from different animals at baseline, 1–3 days, and 7–8 days post-TBI for **A** HDAC4 and **B** HDAC5. Data are mean \pm SEM. Statistical significance was determined via one-way ANOVA for repeated measures. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$.

type 2 (CB2) is up-regulated in activated microglia and astrocytes [83]. Activation of CB2 in the brain after trauma reduces neuroinflammation by downregulation of nitric oxide production, caspase-3 expression [84], and NF- κ B pathway [85] activity, and also by inducing the mitogen-activated protein kinase phosphatase-1 (MPK-1) in reactive microglial cells [86]. Early activation of microglia is one of the hallmarks of diffuse axonal brain injury induced by this mTBI model [87, 88]. Similar to our current observations, the upregulation of IBA1- a biomarker of activated microglia [89–92] has been observed in the microglial cells of hippocampus as early as 6 h post mTBI [88]. Thus, the results of our study confirm the validity of the mTBI model for studying the roles of HDACs class IIa in the setting of epigenetic regulation of reactive and/or adaptive cellular responses to mTBI.

By using non-invasive, repetitive, quantitative in vivo PET/CT imaging with [18 F]TFAHA we have demonstrated that during acute (1–3 days) and subacute (7–8 days) phases post mTBI, the level of expression-activity of HDACs class IIa is downregulated in several

structures of the rat brain, including the hippocampus, *n. accumbens*, *peri-3rd ventricle thalamus*, and *substantia nigra*. We observed similar trends in HDACs class IIa expression-activity by PET imaging between 1 and 3 days post mTBI versus baseline. Pooling the data for 1 and 3 days post-injury has increased the statistical significance of differences between the acute phase post-injury versus baseline. The results of in vivo PET/CT imaging have been confirmed by IHC analysis of HDAC4 and HDAC5 expression in the brain tissue sections obtained from a separate group of rats that have been subjected to mTBI and sacrificed during the same period post mTBI. These findings are in agreement with a previous report [44] that mTBI induced by a controlled cortical impact causes a downregulation of HDAC4 activity at 72 h post mTBI. The mechanism of downregulation of HDAC4 expression-activity following mTBI involves the activation of GSK3 β , which phosphorylates the serine 298 residue of the proline (P), glutamic acid (E), serine (S), threonine (T) domain of HDAC4 (termed PEST) and targets it for degradation, while

treatment with lithium (a known inhibitor of GSK3 β) prevents the phosphorylation of HDAC4 and rescues the loss of HDAC4 following mTBI [44]. Furthermore, the latter study has also shown that the loss of HDAC4 causes aberrant activation of Pax3-Ngn2 signaling pathway and the over-production of vGlut1 in hippocampal glutamatergic neurons, and disrupts the balance between glutamatergic and GABAergic neuronal activity after mTBI. The excess glutamate resulting from this cascade can, among other effects, influence neuronal differentiation. Another notable finding in that study was that the overexpression of HDAC4 in the hippocampus by plasmid-mediated transfection prior to mTBI restores neurogenesis, reduces anxiety and depression-like behavior, improves memory functions, and prevents PTSD [44].

In contrast, another recent report [45] applying a weight drop model of repeated mTBI in rats has demonstrated that the compromised hippocampal recognition memory was associated with an upregulation of hippocampal HDAC4 and HDAC5 mRNA levels, as well as hypoacetylation of histones during both, 48 h and 30 days post mTBI. The latter study has also demonstrated that treatment with TSA led to recovered hippocampal learning and memory deficit. However, the apparent differences with the results of our current study can presumably be reconciled, at least in part, by contrasting the different methodologies used for the assessment of expression and activity of HDAC4 and 5. In our current study, we quantified the levels of expression-activity of HDACs class IIa in vivo by using PET/CT with [¹⁸F]TFAHA, which accounts for both, the HDACs class IIa expression at the protein (enzyme) level, as well as the level of enzyme activity. It has been well-established that the levels of activity of HDAC4 and HDAC5 depend on post-translational modifications, such as phosphorylation of different domains causing different physiopathological consequences (i.e., activation, nuclear export [93], degradation [94]), and/or the formation of complexes with other proteins and other HDAC isoforms (i.e., HDAC4/MEF2 [95], HDAC4/NCoR/HDAC3 [96]), as well as several other known factors affecting HDACs class IIa activity within the intracellular microenvironment [97]. Measurements of HDAC mRNA levels neither accurately determine HDACs expression at the protein level nor reflect their functional complex formation and enzymatic activity, which depends largely on their post-translational modifications affecting their stability and degradation rates. One should point out that the IHC staining of tissue sections and Western blot analysis can yield semi-quantitative measures of expression of HDACs at the protein level, but they do not provide information about their acetyl-lysine recognition or active complex-forming status (i.e., HDAC4/NCoR/HDAC3 [98]). Thus, the reported upregulation of HDAC4 mRNA expression following repetitive TBI [45] may be effectively countered by increased degradation of HDAC4 induced by phosphorylation of its PEST domain by GSK3 β , which is up-regulated in TBI [44]. Therefore, the mechanism of post-transcriptional regulation of HDAC4 expression-activity in mTBI requires further detailed investigation.

Furthermore, it is important to note that not only the level of expression-activity but also the subcellular localization of HDAC4 and HDAC5 are equally important in the molecular pathophysiology of mTBI. This is because nuclear versus cytoplasmic localization of HDAC4 and HDAC5 targets different signaling proteins and transcription factors. For example, in the murine model of neuronal ataxia-telangiectasia deficiency, the increased dephosphorylation of HDAC4 leads to its nuclear accumulation, binding to MEF2A and CREB, and repressing transcriptional activity, which causes neurodegeneration [99]. Similarly, in dopaminergic neurons overexpressing A53T α -synuclein mutation, treatment with 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine induces oxidative stress, increases interactions of HDAC4 and PP2A, thereby resulting in nuclear accumulation of HDAC4 and transcriptional repression of MEF2A and CREB, which in turn may trigger degeneration of dopaminergic neurons, Parkinson's

disease, and dementia [100]. Moreover, it has been demonstrated that the large influx of ionized calcium into neurons after TBI leads to activation of calcium/calmodulin-dependent protein kinases (CaMKI, CaMKII, and CaMKIV) in the CA1, CA3, and dentate gyrus regions of the hippocampus and causes hippocampal-dependent memory loss [101]. Numerous calcium-dependent protein kinases, including CaMKs, phosphorylate three conserved serine residues in the N-terminal regulatory domain of class IIa HDAC species [102–107]. Phosphorylation creates docking sites for the 14-3-3 chaperone protein, which binds phosphorylated HDACs and escorts them from the nucleus to the cytoplasm, relieving downstream transcription factors, such as MEF2, from their repressive influence [108–110]. Phosphorylation by CaMKII requires docking of the kinase to a specific domain of HDAC4 not present in other HDACs and promotes the nuclear export of other class IIa HDACs, such as HDAC5, which does not bind the CaMKII directly [111]. The HDAC4 and HDAC5 form homo- and hetero-oligomers via a conserved coiled-coil domain near their amino-termini. Whereas HDAC5 alone is unresponsive to CaMKII, it becomes responsive to CaMKII in the presence of HDAC4. The acquisition of CaMKII responsiveness by HDAC5 is mediated by the direct association of HDAC5 to HDAC4 and can occur either by phosphorylation of HDAC4 or by transphosphorylation by CaMKII bound to HDAC4. HDAC4 integrates upstream Ca²⁺-dependent signals via its association with CaMKII and transmits these signals to HDAC5 by protein-protein interactions. Therefore, HDAC4 represents a focal point of convergence for CaMKII signaling to downstream HDAC-regulated genes, and modulation of the interaction of CaMKII and HDAC4 acts as a means of regulating CaMKII-dependent gene programs [112]. Also, it has been demonstrated that CaMKII is a direct upstream kinase of GSK3 β , the activation of which is responsible for neuronal cell death post TBI [113]. Hence, mTBI-induced activation of CaMKs and phosphorylation of HDAC4 may explain, at least in part, the predominantly cytoplasmic localization of HDAC4, while the CAMK-mediated phosphorylation and activation of GSK3 β results in GSK3 β -induced degradation of HDAC4. In another line of independent investigation, it has been shown that the upregulation of GSK3 β activity can lead to hyperphosphorylation of tau protein, which may lead to amyloid- β toxicity resulting in the potential development of Alzheimer's disease [114].

The importance of HDACs class IIa, especially HDAC4 and HDAC5, in normal neuronal differentiation and function has been demonstrated in numerous investigations. HDAC4 acts as a neuroprotective protein across different types of neurons, opposing death-inducing stimuli [115]. The deletion of HDAC4 in the forebrain of the mouse leads to impairment of memory, hippocampal-dependent behavioral learning, and long-term synaptic plasticity. Brain-specific HDAC4 knock-out mice exhibit impairment in motor coordination [37]; indeed, a truncated form of HDAC4 results in defective spatial and memory learning in mice, which translates to mental retardation in humans [116].

HDAC5 is central for axonal function and regeneration post brain trauma [117]. In the current study, a decrease of HDAC5 levels in the thalamic neuropil was observed during the first week post mTBI, which is due to impaired axonal transport caused by diffuse axonal injury [38]. These observations are central for the understanding of mechanisms of memory loss and neurodegeneration resulting from brain trauma and may increase the risk of neurodegenerative disease development. Previously, it has been reported that the loss of HDAC5 leads to impaired spatial and associative memory function in a mouse model of Alzheimer's disease [118]. A recent study demonstrated that the pharmacologic inhibition of HDAC5 by using MC1568 in dopaminergic neurons in the *substantia nigra* can help in immature neurite growth by nuclear export of HDAC5, which promotes the upregulation of BMP2 and BMP-signaling pathways [119]. The cytoplasmic localization of HDAC5 prevents the accumulation of

α -synuclein-induced neurodegeneration and the development of Parkinson's disease after mTBI [119, 120].

The results of current studies and those reported by Saha et al. [44] support the rationale for the development of therapeutic strategies to upregulate the expression and activity of HDACs class IIa post-TBI. Although the neuroprotective efficacy of TSA has been previously demonstrated in a rat model of repetitive mTBI [45], TSA is a predominant HDAC class I and IIb inhibitor, with very little inhibitory activity against HDAC4 and 5 [36]. Therefore, the improvement in hippocampal learning and memory deficit in TSA-treated mice subjected to repetitive mTBI can be largely attributed to the well-established neuroprotective effects resulting from the inhibition of HDACs class I and IIb [121, 122]. In addition to lithium chloride, other more specific inhibitors of GSK3 β (i.e., Teduglusib, LY2090314, 9-ING-41 [123]) and CaMKII inhibitors (i.e., KN-62 [124, 125]) should be investigated in mTBI, because these drugs can inhibit the CAMK-GSK3 β -induced nuclear export and degradation of HDACs class IIa. Also, the HDAC class IIa-specific inhibitors (i.e., MC1568 [126, 127] and tasquinimod [69, 98, 128]) should be used to investigate the impact of pharmacological inhibition of HDAC class IIa activity and complexation with HDAC class I on the neuro-behavioral outcomes of mTBI.

One of the limitations of the current study is the lack of repetitive MRI for the detection and monitoring of pathomorphological changes in the brain of rats following mTBI (i.e., BBB integrity, brain edema). Unfortunately, the complexity of logistics and scheduling of repetitive imaging experiments have precluded us from the addition of MRI to PET/CT in this particular study. Such type of studies can be greatly facilitated by PET/MRI hybrid instrument for small animal imaging, which is currently not available at our institution. Nevertheless, previously we have conducted repetitive MRI and comparative pathomorphological studies in this model of mTBI and reported the results elsewhere [53, 129]. Also, studies by Marmarou's group using repetitive MRI in this closed impact model of mTBI have demonstrated the lack of persistent BBB disruption and predominantly cytotoxic nature of brain edema during the first 1–4 h [58, 130] and the following 2 weeks post mTBI [131, 132].

In summary, a diffuse mTBI in adult rats is associated with downregulation and predominantly perinuclear cytoplasmic translocation of HDACs class IIa expression and activity in the *hippocampus*, *n. accumbens*, *peri-3rd ventricular thalamic gray matter*, and *substantia nigra*. Taken together with numerous previous reports of the importance of HDACs class IIa in neurogenesis, neuronal differentiation, and function, the results of our current study, provide a strong rationale for the development of therapeutic strategies towards upregulation of the expression-activity of HDACs class IIa in the brain post-TBI. Non-invasive molecular imaging with PET/CT (MRI) with [¹⁸F] TFAHA can facilitate the pre-clinical development and clinical translation of specific therapeutic approaches to upregulate the expression and activity of HDACs class IIa enzymes in the brain after TBI.

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AUTHOR CONTRIBUTIONS

SRK, RP, WA, RLS, SAP, and JGG designed research; SRK, SP, DJG, RB, SK, and JGG performed research; RB, TM, and JGG contributed new reagents/analytic tools; SRK, SP, DJG, RP, JMC, WA, AC, RLS, SAP, and JGG, analyzed data; SRK, SK, RP, WA, RLS, SAP, and JGG, wrote the paper.

COMPETING INTERESTS

RB and JGG are inventors on issued patents and pending patent applications related to PET imaging of HDACs class IIa expression-activity and are entitled to royalties if licensing or commercialization occurs. Potential conflicts of interest are managed in accordance with established institutional conflict of interest policies of the Wayne State University (Detroit, MI). The other authors declare that no conflicts of interest exist.

ADDITIONAL INFORMATION

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