



A major role for common genetic variation in anxiety disorders

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Abstract

Anxiety disorders are common, complex psychiatric disorders with twin heritabilities of 30–60%. We conducted a genome-wide association study of Lifetime Anxiety Disorder ($n_{\text{case}} = 25\,453$, $n_{\text{control}} = 58\,113$) and an additional analysis of Current Anxiety Symptoms ($n_{\text{case}} = 19\,012$, $n_{\text{control}} = 58\,113$). The liability scale common variant heritability estimate for Lifetime Anxiety Disorder was 26%, and for Current Anxiety Symptoms was 31%. Five novel genome-wide significant loci were identified including an intergenic region on chromosome 9 that has previously been associated with neuroticism, and a locus overlapping the *BDNF* receptor gene, *NTRK2*. Anxiety showed significant positive genetic correlations with depression and insomnia as well as coronary artery disease, mirroring findings from epidemiological studies. We conclude that common genetic variation accounts for a substantive proportion of the genetic architecture underlying anxiety.

Introduction

Anxiety disorders are amongst the most common classes of psychiatric disorders worldwide [1, 2] and have a global lifetime prevalence of ~16% [1]. They were responsible for an estimated 27 121 years lost due to disability globally in 2017 [3], have an early age of onset and significant impact on educational attainment and risk for subsequent disorders [1]. Anxiety disorders aggregate in families, and twin heritability estimates range from 20 to 60%, dependent upon the participant age and specific trait or disorder being studied [4, 5]. Although many candidate gene studies of anxiety disorders have been

carried out, these associations have not proven robust [6]. As is the case with other psychiatric disorders, such as schizophrenia [7] and depression [8], it is likely that a multitude of common genetic variants with modest effects, in addition to environmental factors, underlie the risk for anxiety disorders [6, 9, 10].

Clinically, anxiety is divided into several sub-classifications, including generalised anxiety disorder, social anxiety disorder, panic disorder, agoraphobia, and specific phobias. However, there is evidence for commonalities across anxiety disorders at both the phenotypic [11] and genetic [6, 10, 12] level. The majority of the data indicating genetic overlap between different anxiety traits/disorders comes from the twin literature [12–15], with clear evidence that the shared genetic component between anxiety disorders is larger than the unique contributions to any one disorder.

Furthermore, the covariance between anxiety disorders and depression is best explained by a single genetic factor with some evidence for additional phobia specific genetic factors [13]. A recent review summarised a range of research strongly indicating that current diagnostic boundaries between anxiety disorders are unlikely to reflect biologically distinct disorders [6]. Similarly, several twin studies have found high genetic correlations

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between the personality trait of neuroticism and anxiety disorders (including generalised anxiety disorder [16–18], social and situational phobias and agoraphobia [18, 19]). As such, it is likely there is a single underlying liability distribution, with variation in a range of anxiety-related traits, including anxiety disorders, depression, and neuroticism, occurring to different degrees across the population, driven in part by common genetic variants [12, 14, 20, 21]. If this were the case, it is likely that anxiety disorders share a common polygenic influence, which may explain the shared phenotypic and genetic structure identified in the twin literature.

The detection of genetic variants and genes associated with anxiety disorders has made slow progress, limited by small sample sizes. There have been some suggestive hits including *TMEM132D* for panic disorders, but few have been replicated [6]. A meta-analysis of genome-wide association studies of several anxiety disorders ($N = 18\,186$) identified one genome-wide significant locus associated with anxiety caseness ($n_{\text{cases}} = 3\,695$; $n_{\text{controls}} = 13\,615$) and a second with a quantitative factor score of broad anxiety [10]. Finally, a SNP within *RBFOX1* was found to be significantly associated with anxiety sensitivity in a small cohort of twins [22]. The proportion of variation in generalised anxiety disorder symptoms explained by individual genetic variation (SNP heritability; h^2_{SNP}) was estimated at 7.2% in a modest sample ($n = 12\,282$) of Hispanic/Latino adults [9], and 14% in the meta-analysis described above, which consisted largely of individuals with European ancestry [10].

To date, none of these findings have been replicated, although *RBFOX1* was significantly associated with major depression in a recent genome-wide association analysis [8]. Analyses of significantly larger samples are required to further our understanding of the underlying genetic architecture of anxiety disorders and current anxiety symptoms at the population level. With this aim, we conducted genome-wide association studies (GWAS) of two anxiety phenotypes: Lifetime Anxiety Disorder, which combines self-reported lifetime diagnosis of an anxiety disorder by a clinician with probable DSM-IV generalized anxiety disorder; and Current Anxiety Symptoms, here cases are anyone who reported at least moderate symptoms of generalised anxiety disorder in the two weeks preceding assessment. Data were drawn from the UK Biobank, a large community-based sample. Two cohorts containing anxiety disorder cases and controls were used for the purposes of replication analyses; the Anxiety NeuroGenetics Study (ANGST) [10], and a sample from the Danish iPSYCH study [23]. Further replication analyses were undertaken using summary statistics from two highly relevant phenotypes - neuroticism (in a distinct subset of the UK Biobank), and major depressive disorder (in a subset of the Psychiatric Genetics Consortium Major Depressive Disorder sample) [8].

Methods

Sample and phenotype definition

Samples were a subset of 126 443 (age 46–80) individuals of Western European ancestry who took part in the UK Biobank online mental health follow-up questionnaire that aimed to identify mental health disorders without the need for clinical ascertainment [24]. The UK Biobank is a large prospective cohort study of over 500 000 people in the United Kingdom.

(1) *Self-report clinician provided or probable lifetime anxiety disorder (Lifetime Anxiety Disorder)*. This was our primary phenotype. Cases met one of two definitions. First was self-reporting a lifetime professional diagnosis of one of the core five anxiety disorders (generalised anxiety disorder, social phobia, panic disorder, agoraphobia or specific phobia; $n = 21\,108$). Further cases were defined as meeting criteria for a likely lifetime diagnosis of DSM-IV generalised anxiety disorder based on anxiety questions from the Composite International Diagnostic Interview (CIDI) Short-form questionnaire [25] ($n = 4\,345$). We note that 5 296 participants meet both criteria for case inclusion. We excluded individuals self-reporting a lifetime diagnosis of schizophrenia, bipolar disorder, autistic spectrum disorder, attention deficit hyperactivity disorder, or eating disorders (all of which have higher heritabilities than anxiety disorders) to enable assessment of shared genetic risk with psychiatric phenotypes that have a high rate of phenotypic co-occurrence in secondary analyses. Individuals meeting one or other of these criteria resulted in a total of 25 453 cases. Consistent with epidemiological findings [26] 66% of cases were female.

(2) *Moderate/severe current generalised anxiety symptoms (Current Anxiety Symptoms)*. For this secondary phenotype, participants were defined as cases if they obtained a total score on a screening measure for anxiety symptoms over the past two weeks (GAD-7) of ≥ 10 out of a total score of 21. This is a standard threshold for recent moderate to severe generalised anxiety symptoms as measured by the GAD-7 [27] ($n = 19\,012$).

(3) *Healthy controls*: A common control group was identified consisting of a set of screened healthy individuals who did not meet criteria for any mental health disorder or known substance abuse, were not prescribed medication for any psychiatric disorder and did not report having sought help for any mental health disorder ($n = 58\,113$).

See Supplementary Information for more detailed description of each category.

Independent anxiety-related samples

Summary statistics from four other studies were used to replicate and extend our analyses. The first two of these

represent lifetime anxiety diagnoses replications. These included GWAS summary statistics from the Anxiety NeuroGenetics Study [10] of individuals meeting DSM criteria for at least one of the five core anxiety disorders (generalized anxiety disorder, social phobia, panic disorder, agoraphobia or specific phobia; $n = 3\ 695$) during their lifetime; and controls ($n = 13\ 615$). In addition we include genetic correlations with summary statistics from the ANGST latent factor score derived from combining controls and individuals reporting full and sub-threshold symptoms of the five anxiety disorders ($n = 18\ 186$) [10]. Second, summary statistics from an unpublished subset of lifetime anxiety cases ($n = 2\ 829$) and controls ($n = 10\ 386$) from the iPSYCH cohort in Denmark [23, 28], defined using the same inclusion and exclusion criteria as for our primary phenotype, although diagnoses were made by psychiatrists rather than self-report of symptoms or clinical diagnosis. The latter two are phenotypes known to be closely related to anxiety. These included summary statistics from an analysis of total neuroticism score in all individuals who completed a baseline assessment in the UK Biobank (UKB Neuroticism), who were not included as either a case or control in the Lifetime Anxiety Disorder phenotype ($n = 241\ 883$). Finally we used summary statistics for major depressive disorder from the most recent Psychiatric Genomics Consortium depression analysis (PGC MDD) [8], excluding samples drawn from the UK Biobank or 23&Me ($n_{\text{cases}} = 45\ 591$; $n_{\text{controls}} = 97\ 674$).

In summary, anxiety-related phenotypes from four replication samples were available for comparison with the core UK Biobank Lifetime Anxiety Disorder phenotype; (1) ANGST Anxiety Disorder, (2) iPSYCH Anxiety Disorder, (3) UKB Neuroticism, and (4) PGC MDD. See Supplementary Table 1 for sample sizes for each phenotype.

Genotyping and quality control

Genotype data were collected and processed as part of the UK Biobank extraction and quality control pipeline [29]. SNPs imputed to the Haplotype Reference Consortium (HRC) reference panel or genotyped SNPs were used for these analyses (hg19 build). SNPs with a minor allele frequency >0.01 and INFO score >0.4 (indicating well imputed variants) were retained. Only data from unrelated individuals were included. For details see Supplementary Information.

Statistical analyses

Primary analyses

Genome-wide association analyses Analyses were limited to individuals of European ancestry defined by 4-means

clustering on the first two ancestry principal components. Covariates (age at time of assessment, sex, genotyping batch, assessment centre, and the first six genetic principal components) were regressed out of each phenotype using logistic regression performed using R v. 3.5.1 [30]. Resulting residuals were used as dependent variables in three linear genome-wide association analyses, using BGENIE v1.2 software [29]. Variants surpassing genome-wide significance ($p < 5 \times 10^{-8}$) were annotated using Region Annotator software to annotate known protein coding genes within the regions of significance (<https://github.com/ivankosmos/RegionAnnotator>).

SNP heritability To estimate the proportion of variance explained by common genetic variants (h^2_{SNP}) for the two UK Biobank anxiety phenotypes we used variance component analyses conducted in BOLT-LMM [31]. Estimates (and standard errors) were converted to the liability scale assuming: (1) accurate sampling rate in the UK Biobank (sample prevalence = population prevalence), (2) sample prevalence $<$ population prevalence; and (3) sample prevalence $>$ population prevalence (Supplementary Table 2).

Genetic correlations LD score regression [32] was used to estimate genetic correlations between (1) UK Biobank Lifetime Anxiety Disorder, Current Anxiety Symptoms and all replication samples, and (2) UK Biobank Lifetime Anxiety Disorder and other previously published phenotypes using a manually curated set of downloaded publicly available summary statistics. Correlations with 597 UK Biobank traits [33] available on LDhub [34] were not conducted as these “should not be regarded as a definitive set of curated and reviewed association statistics” [35]. Genetic correlations are considered significant at $p < 0.0002$, Bonferroni corrected for 251 independent tests. See Supplementary Information for more detail.

Secondary analyses

Further analyses were carried out on the best powered core Lifetime Anxiety Disorder phenotype except where otherwise specified to investigate replication and dimensionality of anxiety symptoms.

Partitioned heritability Stratified LD score regression [32] was used to partition SNP heritability by functional genomic categories to test for enrichment of heritability in biologically relevant genomic regions. See Supplementary Fig. 1 for results of this analysis.

Gene-wise analysis Gene-wise associations were computed on GWA summary statistics using MAGMA [36] to test for the aggregated effect of multiple genetic markers

simultaneously. This was done (a) to test which specific genes showed evidence for association with anxiety and (b) to use these gene-wide statistics to run biological pathway analyses. See Supplementary Information for additional details.

Polygenic prediction of anxiety We examined the extent to which genome-wide polygenic signal from independent SNPs identified in the Lifetime Anxiety Disorder GWAS account for variance in liability to Lifetime Anxiety Disorder case status using a leave-one-out approach. All participants in the Lifetime Anxiety Disorder analysis were randomly divided into ten subgroups of approximately equal size with case: control ratio comparable to the primary analysis. Ten new GWAS were performed using PLINK 1.9 [37]. Each subgroup was left out of one analysis and served as the target sample for polygenic scoring. The average R^2 was derived across all ten iterations of the polygenic scoring at the p -threshold that was best predictive. For detail of polygenic score creation see Supplementary Information.

Testing the dimensionality of anxiety To consider the degree to which differing levels of severity in anxiety traits reflect the same underlying genetic factors, three independent groups were identified using their current anxiety (GAD-7) symptom scores, including mild, (scoring 5–10, $n_{\text{cases}} = 49\ 144$), moderate (10–15, $n_{\text{cases}} = 13\ 788$) and severe (>15, $n_{\text{cases}} = 5\ 093$). See Supplementary Table 3 for sample sizes for each analysis. Genetic correlations between these three groups were calculated using LD Score regression [32].

Replication of significant SNPs All lead SNPs that surpassed genome-wide significance (5×10^{-8}) in the core UK Biobank Lifetime Anxiety Disorder analysis were examined for direction and significance of effect in the four replication samples.

Meta-analysis The three Lifetime Anxiety Disorder samples; UK Biobank Lifetime Anxiety Disorder, ANGST Anxiety Disorder and iPSYCH Anxiety Disorder were combined using inverse-variance weighted, fixed-effect meta-analyses in METAL [38]. Only SNPs shared across all three samples were included in this meta-analysis. Due to the risk of biased estimates of effect size when meta-analysing heterogeneous samples with small sample sizes [39] we consider these analyses as preliminary rather than primary.

Results

Genome-wide association

Results of the genome-wide association analyses for the two anxiety phenotypes (Lifetime Anxiety Disorder and Current

Anxiety Symptoms) in UK Biobank are displayed in Fig. 1. Manhattan and Q-Q plots are shown for each phenotype. Supplementary Table 4 shows the results of region annotation for regions that surpassed genome-wide significance ($P < 5 \times 10^{-8}$).

Lifetime anxiety disorder

Five regions were significant at the genome-wide threshold of 5×10^{-8} on chromosomes 9 (9p23 and 9q21.33), 7 (7q21.1), 5 (5q15) and 3 (3q11.2). The index SNP for the most significant region on 9p23 was rs10809485 ($p = 1.6 \times 10^{-12}$) which is in an intergenic region. The index SNP within 9q21.33 is rs1187280 ($p = 5.2 \times 10^{-8}$), which is in an intron for the protein coding gene *Neurotrophic Receptor Tyrosine Kinase 2 (NTRK2)*. The index SNP of the chromosome 7 locus (rs3807866, $p = 4.8 \times 10^{-8}$) is within *Transmembrane Protein 106B (TMEM106B)*. The chromosome 5 locus (rs2861139, $p = 2.6 \times 10^{-9}$) is in an intergenic region, and the chromosome 3 locus (rs4855559, $p = 3.7 \times 10^{-8}$) is in the intron for *Myosin Heavy Chain 15 (MYH15)*. See Supplementary Figs. 2–6 for region plots.

Current anxiety symptoms

A single genome-wide significant locus was associated with our secondary phenotype in the intergenic region on chromosome 9p23, also associated with Lifetime Anxiety Disorder (LD $r^2 = 1$) [40]. See Supplementary Fig. 7 for the region plot.

SNP heritability

Estimates of SNP heritability (h^2_{SNP}) converted to the liability threshold are 0.26 (SE = 0.011), and 0.31 (SE = 0.011), for Lifetime Anxiety Disorder and Current Anxiety Symptoms assuming sample prevalence of 0.20 and 0.18, respectively. We note that this is somewhat higher than epidemiological estimates of population prevalence of anxiety [1]. Supplementary Table 5 shows the estimates using different assumptions about population prevalence rates.

Genetic correlation between independent anxiety-related phenotypes

UK Biobank anxiety phenotypes have high genetic correlations with each other ($r_G = 0.93$), and with the ANGST case-control phenotype ($r_G = 0.74 \pm 0.01$), UK Biobank neuroticism ($r_G = 0.73 \pm 0.04$) and PGC MDD ($r_G = 0.78 \pm 0.10$). UK biobank anxiety correlates moderately with the ANGST factor score ($r_G = 0.49 \pm 0.03$). Note that it was not possible to compare genetic correlations with the iPSYCH sample, as the estimate of h^2_{SNP} in that sample did not

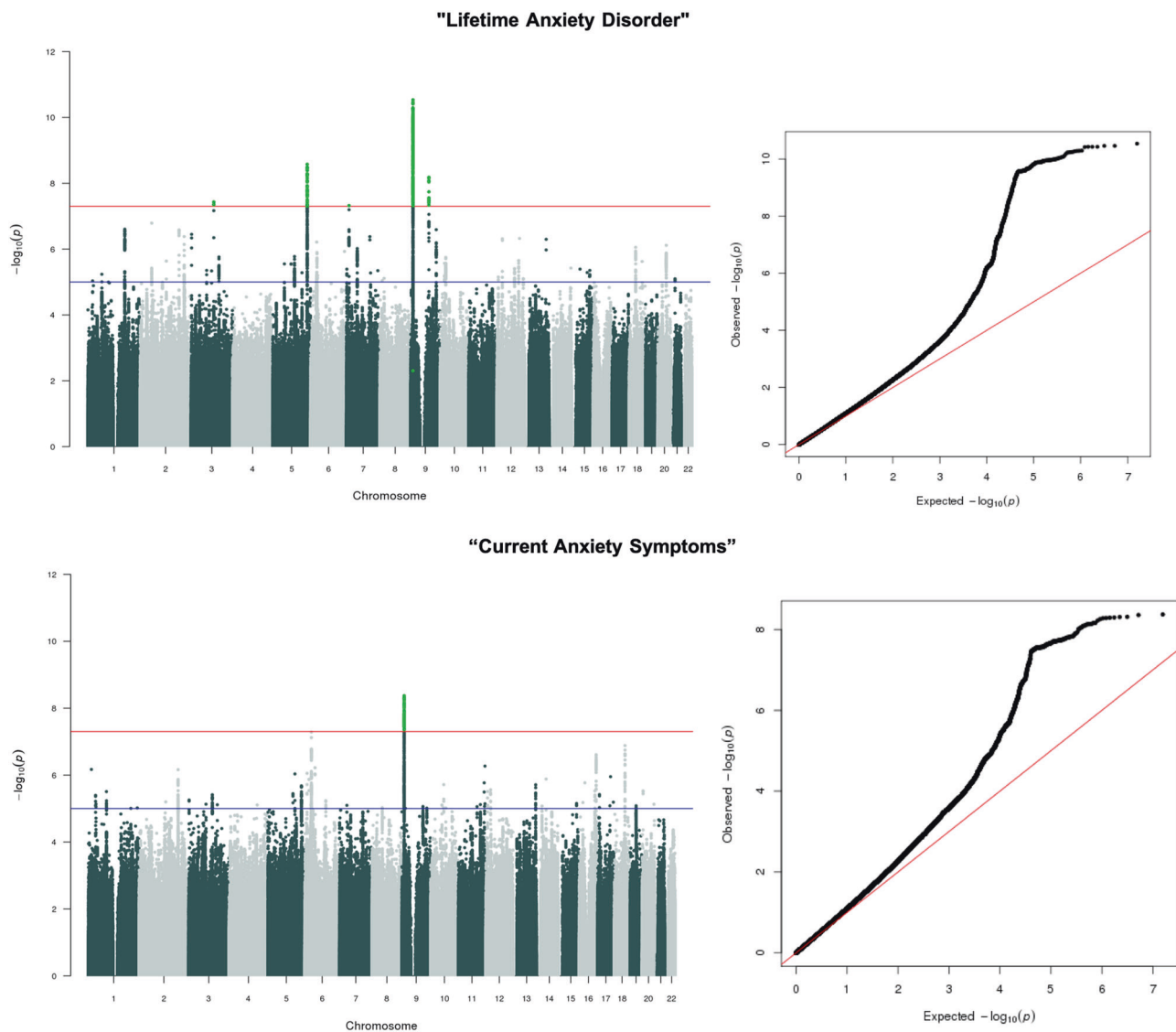


Fig. 1 Manhattan and Q-Q plots of p-values of single-nucleotide polymorphism (SNP) based association analyses of anxiety in the UK Biobank. In the Manhattan plots, the threshold for genome-wide significance ($p < 5 \times 10^{-8}$) is indicated by the red line, while the blue line

indicates the threshold for suggestive significance ($p < 1 \times 10^{-5}$). The top panel shows results for our core lifetime anxiety disorder analysis. The bottom panel shows results for the current anxiety symptoms analysis

significantly differ from zero. See Supplementary Table 6 for genetic correlation and standard error between UK Biobank Lifetime Anxiety Disorder and all other anxiety-related phenotypes.

Genetic correlations with other traits

Significant genetic correlations between Lifetime Anxiety Disorder and a range of other previously published phenotypes are presented in Fig. 2. Genetic correlations with Current Anxiety Symptoms are in Supplementary Table 7.

Gene-wise analysis

For gene-wise associations, significance was determined to be $p < 2.80 \times 10^{-6}$ (i.e. 0.05/17 831) to account for the number of comparisons. Supplementary Table 8 shows all gene-wise associations that are significantly associated with Lifetime Anxiety Disorder. Fig. 3 presents a Manhattan plot showing the top gene-wise associations with Lifetime Anxiety Disorder per chromosome. The most significantly associated gene in this analysis was the Transmembrane Protein 106B (*TMEM106B*; $p = 6.28 \times 10^{-10}$), while *Glutamate Decarboxylase 2* (*GAD2*; $p = 7.00 \times 10^{-7}$) and

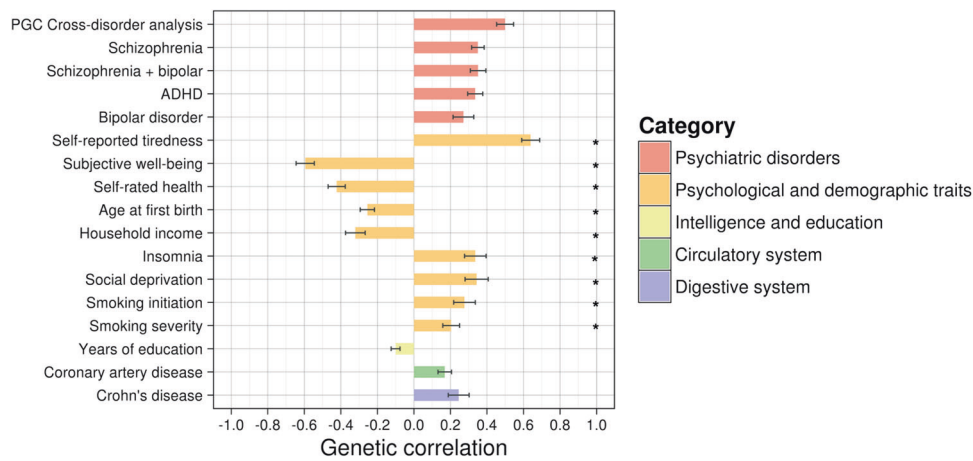
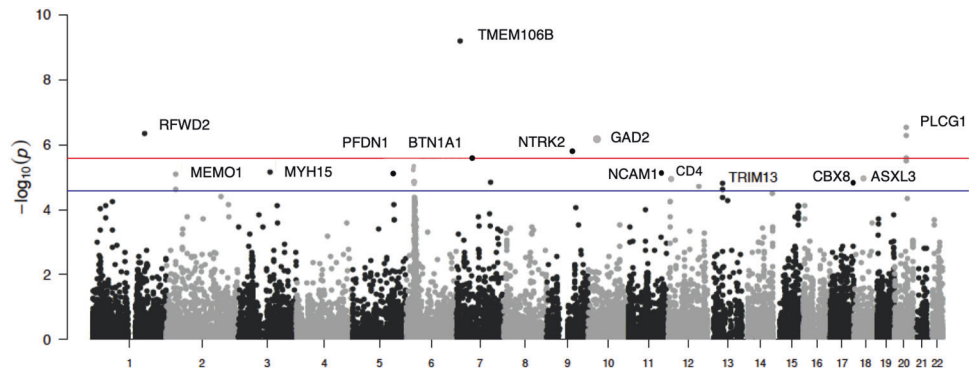


Fig. 2 The figure shows genetic correlations (r_G) between UK Biobank “Lifetime Anxiety Disorder” and external phenotypes. Only traits where correlation exceeded the Bonferroni corrected threshold of $p < 0.0002$ are shown here. Depression and neuroticism were not included in this analysis as they have been included as replication samples in

subsequent analyses. See Supplementary Table 6 for r_G with neuroticism and MDD GWAS samples, including the UK Biobank. Asterisks indicate the source study sample wholly or partially included UK Biobank participants. Correlations are ordered first by r_G within category. Bars show the standard error of the estimate

Fig. 3 Manhattan plots of p -values of gene-wise association analyses of lifetime anxiety disorder in the UK Biobank. In the Manhattan plots, the threshold for gene-wide significance ($p < 3 \times 10^{-6}$) is indicated by the red line, while the blue line indicates the threshold for suggestive significance ($p < 1 \times 10^{-5}$)



Neurotrophic Receptor Tyrosine Kinase 2 (NTRK2; $p = 1.79 \times 10^{-6}$) were also notably significant.

Polygenic prediction of anxiety

Across all ten iterations, a higher polygenic score was significantly associated with increased likelihood of self-reporting Lifetime Anxiety Disorder, explaining on average 0.5% of the variance in outcome on the liability scale across all samples. The relatively low variance explained by the polygenic association with Lifetime Anxiety Disorder relative to our high estimates of h^2_{SNP} is consistent with what would be expected for a polygenic trait [41]. See Supplementary Tables 9–12 for detailed results.

Testing the dimensionality of anxiety

Severe current GAD symptoms showed genetic correlations of 0.76 (SE = 0.08) and 0.98 (SE = 0.08) with mild and moderate symptoms respectively. Genetic

correlation between mild and moderate symptoms was 0.82 (SE = 0.05). See Supplementary Tables 13 and 14 for SNP heritability estimates of these phenotypes and genetic correlations with other anxiety phenotypes respectively.

Replication and meta-analyses

Replication of significant SNPs

Table 1 shows the p -value and direction of effect for all SNPs found to be genome-wide significant in our core Lifetime Anxiety Disorder analysis, and in the analysis from all four external samples. The locus at 9q21.33 (Index SNP rs10959883) is formally replicated (i.e. significant correcting for 20 independent tests, $p < 5.25 \times 10^{-3}$) in both the neuroticism and MDD samples and has an effect in the same direction in all four samples. The locus annotated to the *NTRK2* (index SNP rs1187280) gene has the same direction of effect in iPSYCH Lifetime Anxiety Disorder and in both

Table 1 Comparison of genome-wide significant SNPs in “Lifetime Anxiety Disorder” analysis in external samples

Core anxiety sample			External samples							
Lifetime anxiety disorder			Lifetime anxiety disorder		Lifetime anxiety disorder		Neuroticism		Depression	
UK Biobank			ANGST		iPSYCH		UK Biobank		PGC	
SNP ID	<i>P</i> -value	Direction	<i>P</i> -value	Direction	<i>P</i> -value	Direction	<i>P</i> -value	Direction	<i>P</i> -value	Direction
rs10959883	2.9×10^{-11}	–	0.56	–	0.45	–	9.5×10^{-8}	–	0.0004	–
rs1187280	6.6×10^{-9}	+	0.07	–	0.07	+	0.01	+	0.04	+
rs4855559	3.7×10^{-8}	–	0.55	–	0.07	–	0.95	+	0.02	+
rs2861139	2.6×10^{-9}	+	0.11	+	0.36	–	0.03	+	0.004	–
rs3807866	4.8×10^{-8}	+	0.34	–	0.09	+	0.00012	+	0.01	+

Table showing the lead SNP for loci found to be genome-wide significant ($P < 5 \times 10^{-8}$) in UK Biobank “Lifetime Anxiety Disorder” analysis, with *p*-value and direction of effect in all external samples

External samples include two additional lifetime anxiety disorder analyses; Anxiety NeuroGenetics Study (ANGST) [11] and Initiative for Integrative Psychiatric Research (iPSYCH) cohorts, and two analyses of related phenotypes; neuroticism in the UK Biobank (excluding any individuals included in our core “Lifetime Anxiety Disorder” sample) and depression from the Psychiatric Genomic Consortium (PGC; excluding samples drawn from UK Biobank and 23&Me) [8]

Neuroticism and PGC MDD. The locus annotated to the *TMEM106B* (index SNP rs3807866) formally replicates in Neuroticism, and the same direction of effect in iPSYCH Lifetime Anxiety Disorder, and Depression. The locus annotated to the *MYH15* gene (index SNP rs4855559) shows the same direction of effect in the two Lifetime Anxiety Disorder samples, but not in the Neuroticism or Depression analyses.

Meta-analysis

Next, we meta-analysed the core UK biobank Lifetime Anxiety Disorder analysis with the two external Lifetime Anxiety Disorder samples (ANGST and iPSYCH), a combined sample of 114 019 (31 977 cases and 82 114 controls). See Fig. 4 for Manhattan and Q-Q plots for this analysis. Two loci were genome-wide significant. The region on chromosome 9q23 (rs10959577) is likely part of the same region associated with Lifetime Anxiety Disorder and Current Anxiety Symptoms in the UK Biobank alone (the lead SNPs are ~70 kb apart with an LD $r^2 = 0.27$ [40]). The second region on chromosome 5 (rs7723509) falls in an intergenic region. See Supplementary Fig. 8 for Manhattan and Q-Q plots for leave-one-out meta-analyses of the UK Biobank, ANGST and iPSYCH samples.

Discussion

To our knowledge this is the largest GWAS on anxiety conducted, and our core analysis identified five genome-wide significant loci. The first locus is an intergenic region on chromosome 9 previously associated with neuroticism

[42, 43] and depression [8]. The second novel locus on chromosome 9 was in *NTRK2*, a *Brain Derived Neurotrophic Factor (BDNF)* receptor. Together *NTRK2* and *BDNF* regulate both short-term synaptic functions and long-term potentiation of brain synapses (OMIM *600456; <https://www.omim.org/entry/600456>). Given this key role in brain function, *NTRK2* has been widely investigated in a range of neuropsychiatric traits and disorders [44–52]. The third locus, on chromosome 7, is in *Transmembrane Protein 106B*, a gene associated with lysosomal enlargement and cell toxicity implicated in depression [8, 53] and coronary artery disease [54]. A further locus on chromosome 5 is in an intergenic region. Finally, a locus on chromosome 3 located in *Myosin Heavy Chain 15*, a gene coding for a class of motor proteins that is highly expressed in the brain in humans. Our GWAS is considerably better powered than previous anxiety GWAS, including 25 453 cases compared to 3 695 cases [10], in addition to having a homogenous study design for all UK Biobank analyses. While we did not see evidence for replication in two smaller anxiety cohorts, two of the five loci we identify had significant evidence for formal replication in larger independent samples for the related phenotypes of trait neuroticism and major depressive disorder. Phenotypic and genetic correlations of these phenotypes with anxiety are substantial, thus while these cannot be called pure replications of anxiety, the replication of significant loci in these highly related and well powered samples suggests that these are robust associations.

Gene level analyses of Lifetime Anxiety Disorder confirmed the association with *Neurotrophic Receptor Tyrosine Kinase 2 (NTRK2)*; $p = 1.79 \times 10^{-6}$ and *Transmembrane Protein 106B (TMEM106B)*; $p = 6.28 \times 10^{-10}$ and enabled the identification of several additional protein coding genes

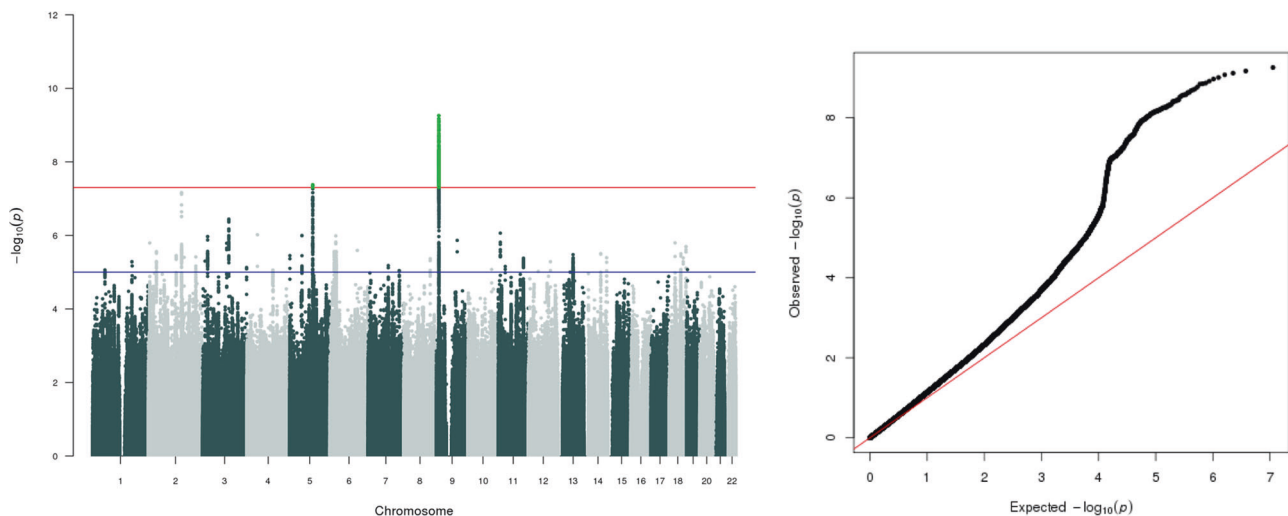


Fig. 4 Manhattan and Q-Q plots of p-values of single nucleotide polymorphism (SNP) based association analyses of combined sample meta-analysis across three lifetime anxiety disorder cohorts (UK Biobank, ANGST and iPSYCH). In the Manhattan plots, the threshold

for genome-wide significance ($p < 5 \times 10^{-8}$) is indicated by the red line, while the blue line indicates the threshold for suggestive significance ($p < 1 \times 10^{-5}$)

associated with anxiety disorder. Specifically *Phospholipase C Gamma 1 (PLCG1)*; $p = 2.96 \times 10^{-7}$, *Ring Finger and WD Repeat Domain 2 (RFWD2)*, $p = 4.60 \times 10^{-7}$, *Zinc Fingers and Homeoboxes 3 (ZHX3)* $p = 5.21 \times 10^{-7}$, *Glutamate Decarboxylase 2 (GAD2)*; $p = 7.00 \times 10^{-7}$, and *Lipin 3 (LPIN3)*; $p = 2.54 \times 10^{-6}$. *NTRK2* has been implicated in several neuropsychiatric traits and disorders including emotional arousal [44], autism [45], suicide [46–48], Alzheimer's disease [49], alcohol dependence [52], and treatment response [50, 51], although many of these are candidate gene studies and are thus less likely to represent robust findings. *TMEM106B* was recently found to be associated with both a broad depression phenotype and probable major depression in the UK Biobank [53], and with major depression in the Psychiatric Genomic Consortium meta-analysis [8]. This is unsurprising given the phenotypic and genetic overlap between anxiety and depression [12]. *TMEM106B* is widely expressed throughout normal human cell types and tissue, including the fetal brain, and adult frontal cortex. A recent investigation demonstrated that a noncoding variant on chromosome 7 (rs1990620) interacts with *TMEM106B* via long-range chromatin looping interactions, a physical folding of the chromosome that brings distal regions of the genome into close proximity, mediating increased *TMEM106B* expression. This is strongly associated with lysosome enlargement and cell toxicity [55]. Conditional analyses indicate that the locus associated with Lifetime Anxiety Disorder in the UK Biobank is the same locus which results in increased expression of *TMEM106B*, suggesting a plausible role for lysosome enlargement and cell toxicity in anxiety (see Supplementary Figs. 9–10). This

is in line with research showing a role for neurotoxicity in fear learning in mice [56].

Glutamate decarboxylase 2 (GAD2) encodes for an enzyme that synthesises gamma-aminobutyric acid (GABA) from L-glutamic acid. GABA is the principal inhibitory neurotransmitter in the mammalian central nervous system and has well-established associations anxiety. Specifically, variation in *glutamate decarboxylase* genes have been associated with internalising disorders [57]. Furthermore, GABAergic deficits are observed in patients with, and animal models of, anxiety and depression [58]. Finally, benzodiazepines, a class of pharmacological agent with strong anxiolytic effects, act through binding to a specific GABA receptor, facilitating GABAergic inhibitory effects [59].

Several findings relating to the polygenicity of anxiety are notable. First, SNP-heritability (h^2_{SNP}) estimates for Lifetime Anxiety Disorder ($h^2_{\text{SNP}} = 25.7\%$) and Current Anxiety Symptoms ($h^2_{\text{SNP}} = 30.8\%$) in UK Biobank were especially large. Typically h^2_{SNP} estimates are less than half twin h^2 (40–60%) [4, 5]. Heritability estimates from twin studies take into account genetic influence of both common and rare genetic variants, whereas h^2_{SNP} only takes into account the additive effect of common variants. Our results suggest that a large proportion of heritable variance in anxiety is attributable to common genetic variants, with the effects spread over many hundreds or thousands of loci [60]. Our h^2_{SNP} estimates are also much higher than those derived from previous studies of anxiety [9, 10], which may reflect the homogeneity of the UK Biobank sample in terms of assessment, sampling, and genotyping.

We also explored genetic overlap between anxiety phenotypes. These suggest that common genetic effects on anxiety are shared across different levels of severity, as well as across varying samples and definitions, and with both neuroticism and depression. We note that the phenotypic overlap between anxiety and depression is also high, with 53% of our sample reporting a comorbid diagnosis of depression. This is in line with epidemiological observations in primary care settings [61]. See Supplementary Table 15 for genetic correlations between lifetime anxiety with and without self-reported or probable depression cases and our primary lifetime anxiety phenotype ($r_G = 0.98$, $SE = 0.01$ and $r_G = 0.92$, $SE = 0.04$, respectively). The high shared genetic risk and phenotypic overlap with depression suggests substantial genetic pleiotropy that may make it challenging to identify anxiety-specific risk variants.

Polygenic scores derived from primary phenotype predicted ~0.5% of the variance in Lifetime Anxiety Disorder case control status. We note that the moderate to high h^2_{SNP} for the UK Biobank anxiety phenotypes suggests a PRS for anxiety will improve as larger discovery GWAS samples are used for the creation of PRS, increasing the signal to noise ratio in the construction of polygenic scores.

Genetic overlap was detected between anxiety and a broad range of traits. Significant positive genetic correlations were detected between Lifetime Anxiety Disorder and several psychiatric phenotypes (schizophrenia, bipolar disorder, insomnia, ADHD and cross-disorder analyses), indicating a degree of shared genetic risk between psychiatric traits more generally. Interestingly, given some epidemiological evidence for an association between these phenotypes [62], a significant genetic correlation was observed between anxiety and coronary artery disease. This may reflect the previously known epidemiological [63], and genomic overlap between major depressive disorder and coronary artery disease [8]. We interpret these findings in the context of several limitations. There is substantial sample overlap within the two UK Biobank anxiety phenotypes and between UK Biobank anxiety and several of the other phenotypes which also use the UK Biobank sample. However, LD score regression is robust to sample overlap [64], so genetic correlations are unlikely to be biased by this.

Although the intergenic genome-wide significant loci on chromosome 9 previously associated with neuroticism [42, 43] and depression [8] replicates in the combined Lifetime Anxiety Disorder meta-analysis, statistical significance is attenuated. Nonetheless, this provides good evidence for a role of this region in lifetime anxiety. Not all genome-wide significant SNPs observed in the individual cohorts survive the combined sample Lifetime Anxiety Disorder meta-analysis. This lack of replication may be due to a winner's curse effect [65], the relatively modest power

gain from the additional samples, or phenotypic and genetic heterogeneity across the studies. As noted above, the UK Biobank is a highly homogenous group of individuals, all based within the UK with the same sampling and phenotyping instrument used. The iPSYCH sample is also highly homogenous group of individuals, all based within Denmark. Conversely, the ANGST study is comprised of many smaller samples, using different GWAS arrays and varied phenotyping from across multiple continents. Thus, it is possible that sample heterogeneity contributes to the non-replication of some of our GWAS significant loci. Given that our analyses suggest anxiety is a highly polygenic trait, driven by small effects across many SNPs, it is likely that it remains somewhat underpowered, despite the sample being substantially larger than any prior genome-wide study of anxiety to date.

Although homogeneity within UK Biobank may be a significant factor in the success of our analyses and the high heritabilities found, this sample homogeneity is itself a limitation, as our analyses were thus limited across all three samples to individuals of European ancestry [66]. Furthermore, the UK Biobank is not representative for either age or socioeconomic status [67]. Another limitation is that the Lifetime Anxiety Disorder phenotype relies both on retrospective recall by the subject and accurate diagnosis by an unknown clinician, each of which are likely to contain error. However, there are high genetic correlations between this category and every other anxiety phenotype assessed, suggesting the category of Lifetime Anxiety Disorder has utility. Finally, we have not excluded cases who may have comorbid PTSD, which might be viewed as a limitation.

This study used the largest currently available dataset to investigate the role of common genetic variation in anxiety. Although no sufficiently powered studies are available to enable strict statistical replication [68], the study provides the first well powered characterisation of the common genetic architecture of anxiety. Analyses demonstrate that a large proportion of the broad heritability of severe and pathological anxiety is attributable to common genetic variants, and that this is shared between anxiety phenotypes and other closely related psychiatric disorders. Several genomic regions, which include loci with known associations with internalising disorders were found to be significantly associated with anxiety in these analyses. These findings are likely to be of interest for targeted characterisation of the underlying biology of anxiety.

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Author contributions KP, TE, MH, KKN and GB conceived the study. KP, JC, SMM, CR, HG and SWC performed statistical analyses. CH, CK, HG, JC and KP performed phenotype and data QC for the UKBB samples. MM supervised the pre and post GWAS analysis pipeline for the iPSYCH sample. OM, MN, MBH, JBG, PBM, TW, DMH and ADB provided and processed samples for the iPSYCH sample. KP, JC, TE, GB wrote the paper. MH, KD, JH, JD, AM, MM gave advice and feedback at several stages of data generation and paper writing. All authors reviewed the paper.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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