#### ARTICLE



# Lower excitatory synaptic gene expression in orbitofrontal cortex and striatum in an initial study of subjects with obsessive compulsive disorder

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#### Abstract

Obsessive compulsive disorder (OCD) is a severe illness that affects 2–3% of people worldwide. OCD neuroimaging studies have consistently shown abnormal activity in brain regions involved in decision-making (orbitofrontal cortex [OFC]) and action selection (striatum). However, little is known regarding molecular changes that may contribute to abnormal function. We therefore examined expression of synaptic genes in post-mortem human brain samples of these regions from eight pairs of unaffected comparison and OCD subjects. Total grey matter tissue samples were obtained from medial OFC (BA11), lateral OFC (BA47), head of caudate, and nucleus accumbens (NAc). Quantitative polymerase chain reaction (qPCR) was then performed on a panel of transcripts encoding proteins related to excitatory synaptic structure, excitatory synaptic receptors/transporters, and GABA synapses. Relative to unaffected comparison subjects, OCD subjects had significantly lower levels of several transcripts related to excitatory signaling in both cortical and striatal regions. However, a majority of transcripts encoding excitatory synaptic proteins were lower in OFC but not significantly different in striatum of OCD subjects. Composite transcript level measures supported these findings by revealing that reductions in transcripts encoding excitatory synaptic structure proteins and excitatory synaptic receptors/transporters occurred primarily in OFC of OCD subjects. In contrast, transcripts associated with inhibitory synaptic neurotransmission showed minor differences between groups. The observed lower levels of multiple glutamatergic transcripts across both medial and lateral OFC may suggest an upstream causal event. Together, these data provide the first evidence of molecular abnormalities in brain regions consistently implicated in OCD human imaging studies.

# Introduction

Obsessive compulsive disorder (OCD) affects 2–3% of people worldwide, and has been identified by the World Health Organization (WHO) as a leading cause of illness related disability [[1\]](#page-11-0) due to its chronic and relapsing course. The substantial societal burden of OCD–an estimated \$10

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billion annual cost in the U.S [[2\]](#page-11-0)–stems in part from suboptimal pharmacotherapeutic treatments. First-line monotherapy with selective serotonin reuptake inhibitors (SSRIs) leads to remission in only 10–20% of patients, with 25% failing to experience any symptom improvement [[3,](#page-11-0) [4\]](#page-11-0). Development of more effective treatments has been limited by a lack of knowledge regarding the molecular pathology of the disorder.

Neuroimaging studies have consistently identified cortical and striatal abnormalities in OCD [\[5](#page-11-0)]. Specifically, structural MRI studies suggest that anatomical abnormalities in the orbitofrontal cortex (OFC) and striatum may contribute to OCD pathogenesis [[6](#page-11-0)]. Functional neuroimaging studies have also identified OFC and striatum as key loci of dysfunction in OCD, with most evidence suggesting hyperactivity in, and increased connectivity between, these regions [\[7](#page-11-0)–[11\]](#page-11-0). Furthermore, hyperactivity in these critical regions is increased during symptom provocation and decreased in response to successful SSRI therapy [\[7](#page-11-0), [12\]](#page-11-0),

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suggesting this abnormal activity may be involved in OCD symptomatology. Further evidence for a causal relationship between activity in cortico-striatal circuits and OCD pathophysiology comes from studies indicating that deep brain stimulation of ventral striatal targets both relieves OCD symptoms and reverses cortico-striatal hyperactivity [\[13](#page-11-0), [14](#page-11-0)]. Finally, a causal relationship between OFC-striatal activity and OCD-relevant behaviors has been demonstrated using rodent models and optogenetics [[15,](#page-11-0) [16](#page-11-0)]. Thus, current knowledge regarding circuit level disruptions in OCD is consistent across multiple lines of evidence and highlights abnormalities in OFC and striatum.

Although the etiology of OCD is unknown, twin studies support a genetic contribution. A recent meta-analysis including 37 samples from 14 twin studies of obsessivecompulsive symptoms found that genetic factors accounted for 40% of the phenotypic variance; no significant contribution from shared environmental factors was noted [\[17](#page-11-0)]. Unbiased genome-wide association studies (GWAS) indicate that genes encoding proteins found at or around excitatory synapses may account for this genetic contribution [\[18](#page-11-0), [19\]](#page-11-0). Specifically, significant associations were observed with DLGAP1 (discs large-associated protein 1), a post-synaptic density scaffolding protein family member, and ISM1, which is associated with expression of multiple genes related to glutamatergic signaling including members of the DLGAP family and glutamate receptors [\[18](#page-11-0)]. In a second OCD GWAS study, the most strongly associated marker was observed near the gene encoding PTPRD (protein tyrosine phosphatase delta), which promotes the differentiation of glutamatergic synapses [[20\]](#page-11-0) and interacts with the post-synaptic adhesion molecule SLITRK3 (SLIT and NTRK-like protein 3) [[19\]](#page-11-0). Excitatory synapse involvement is also supported by the well-replicated finding of an association between OCD and SLC1A1, which encodes the primary neuronal glutamate transporter  $[21-27]$  $[21-27]$  $[21-27]$  $[21-27]$ . Finally, studies in transgenic mice also indicate that dysregulation of glutamatergic synapses may lead to OCD-relevant corticostriatal dysfunction. Specifically, both Sapap3 (also known as Dlgap3) and Slitrk5 constitutive knockout mice display OCD-relevant compulsive grooming phenotypes and abnormal cortico-striatal activity that can be rescued with chronic fluoxetine treatment [[16,](#page-11-0) [28](#page-12-0), [29](#page-12-0)].

Because alterations in excitatory neurotransmission are commonly associated with homeostatic changes in inhibitory neurotransmission, prior work has also examined γ-amino butyric acid (GABA) signaling in OCD. A small literature in humans supports a possible role for GABAergic dysfunction by demonstrating decreased mPFC GABA levels via magnetic resonance spectroscopy in subjects with OCD [\[30\]](#page-12-0), and increased mPFC GABA levels following a single ketamine infusion [[31](#page-12-0)]. In addition, transcranial magnetic stimulation (TMS)-based neurophysiological indices suggest dysregulated GABAsignaling in OCD patients [[32\]](#page-12-0). Tourette syndrome, which is highly comorbid with OCD [[33](#page-12-0)], has also been associated with reduced numbers of striatal GABAergic interneurons (both parvalbumin- and choline acetyltransferase-expressing subtypes) in human postmortem studies [[34](#page-12-0), [35\]](#page-12-0). Preclinical evidence likewise supports a potential role for GABAergic alterations in repetitive behavior. For example, dysfunction in striatal parvalbumin positive interneurons has been linked to compulsive grooming behavior in Sapap3 knockout mice [\[16\]](#page-11-0). In addition, simulation of post-mortem findings from Tourette syndrome in mice via ablation of parvalbumin positive interneurons in the dorsal striatum leads to an increase in stress-induced grooming and anxiety [\[36](#page-12-0)].

Although the potential roles of glutamatergic and GABAergic signaling in OCD have been broadly investigated in human neuroimaging studies, only one prior study has directly explored the possible underlying molecular substrates of these signaling changes by quantifying gene expression in human post-mortem brain tissue [\[37](#page-12-0)]. This study examined the dorsolateral prefrontal cortex (DLPFC) via microarray analyses in a group of subjects that had OCD, obsessive-compulsive personality disorder (OCPD), and/or tic disorder diagnoses. Although decreased parvalbumin levels (PVALB) were observed in OCD subjects compared to unaffected comparison subjects, gene set analyses did not reveal either glutamatergic or GABAergic neurotransmission as a top altered pathway. However, this study did not examine the OFC or striatum, which have been more commonly implicated in the OCD disease process [\[38](#page-12-0), [39\]](#page-12-0) than the DLPFC [[10\]](#page-11-0). To assess whether glutamatergic and/or GABAergic signaling is altered in these brain regions, we quantified the expression of 16 genes whose protein products are critical for normal excitatory and inhibitory neurotransmission (Table [1\)](#page-2-0) in postmortem tissue samples from the medial OFC, lateral OFC, caudate, and nucleus accumbens (NAc) of subjects with OCD and unaffected comparison subjects.

# Materials and methods

#### Human postmortem subjects

Brain specimens ( $N = 16$ ) were obtained through the University of Pittsburgh Brain Tissue Donation Program during autopsies conducted by the Allegheny County Medical Examiner's Office (Pittsburgh, PA) after consent was given by next-of-kin. An independent panel of experienced clinicians examined clinical records, toxicology, psychological autopsy data, and structured interviews with family members to make consensus DSM-IV diagnoses. Unaffected

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<span id="page-3-0"></span>Table 2 Summary of demographic and postmortem characteristics of human subjects

|                                     | Comparison<br>subjects | OCD<br>subjects              | <b>Statistics</b>              |
|-------------------------------------|------------------------|------------------------------|--------------------------------|
| Number of<br>subjects $(n)$         | 8                      | 8                            |                                |
| Sex (F/M)                           | 4/4                    | 4/4                          |                                |
| Mean age $(\pm SD)$                 | 43.6 (14.9)            | 45.3 (14.9) $t_{14} = 0.2$ , | $p = 0.83$                     |
| Range                               | $20 - 65$              | $20 - 69$                    |                                |
| PMI $(\pm SD)$                      | 16.1(5.1)              | 18.2(7.8)                    | $t_{14} = 0.6$ ,<br>$p = 0.54$ |
| Brain pH $(\pm SD)$                 | 6.6(0.2)               | 6.7(0.2)                     | $t_{14} = 1.6$ ,<br>$p = 0.13$ |
| RNA ratio                           | 1.6(0.2)               | 1.6(0.2)                     | $t_{14} = 0.3$ ,<br>$p = 0.75$ |
| RNA integrity number                | 7.8(0.6)               | 7.9(0.5)                     | $t_{14} = 0.2$ ,<br>$p = 0.87$ |
| Suicide, $n$ (%)                    | $0(0\%)$               | 3(38%)                       |                                |
| Antidepressants<br>ATOD, $n$ $(\%)$ | $0(0\%)$               | 5(63%)                       |                                |

Demographic and postmortem characteristics for eight subjects with OCD and eight unaffected comparison subjects. PMI post-mortem interval, ATOD at time of death, SD standard deviation

comparison subjects underwent identical assessments and were determined to be free of any lifetime psychiatric illnesses. All procedures were approved by the University of Pittsburgh's Committee for the Oversight of Research and Clinical Training Involving Decedents and Institutional Review Board for Biomedical Research. To reduce biological variance, each subject with OCD  $(n = 8)$  was matched for sex, and as closely as possible for age and RNA integrity number (RIN), with one unaffected comparison subject. Each subject pair was processed together through all experimental procedures to reduce experimental variance. Biological replication was not performed due to the small number of available subjects and scarcity of available tissue. Subject groups did not differ in mean age  $(t_{14} = 0.2,$  $p = .83$ ), post-mortem interval (PMI;  $t_{14} = 0.6$ ,  $p = .54$ ), brain pH ( $t_{14} = 1.6$ ,  $p = .13$ ), RNA ratio ( $t_{14} = 0.3$ ,  $p = .75$ ), or RIN  $(t_{14} = 0.2, p = .87)$ , (Table 2).

### Tissue collection & RNA extraction

Standardized amounts  $(50 \text{ mm}^3)$  of gray matter were collected via a cryostat from four separate brain regions identified cytoarchitectonically: medial orbitofrontal cortex (mOFC, BA11), lateral orbitofrontal cortex (lOFC, BA47), head of the caudate nucleus, and the nucleus accumbens core. RNA was extracted using an RNeasy Plus Mini kit (QIAGEN, Valencia, CA). RIN values were obtained using the Agilent 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA). Each subject had a RIN value  $\geq$  7, indicative of high-quality, intact RNA.

### Selection of transcripts for expression analysis

Using qPCR we examined the expression of 16 excitatory and inhibitory synaptic genes, the majority of which (11/16) have been genetically linked to OCD and OCD-related disorders (e.g., grooming disorders, skin picking, Tourette syndrome) (Table [1](#page-2-0)). Transcripts were broadly grouped into three categories based on the function of their protein product: (1) excitatory synaptic structure: contains transcripts in the DLGAP and SLITRK families, both of which have established roles in synapse formation and stabilization; (2) excitatory synaptic receptors and transporters: contains two transcripts encoding ionotropic glutamate receptors (GRIA1 and GRIN2B), the vesicular glutamate transporter SLC17A7, and the excitatory amino acid transporter SLC1A1; and (3) GABA synapse: contains the GABAergic synapse scaffolding protein GABARAP, two major GABA synthesizing enzymes (GAD1 and GAD2), two calcium binding proteins that characterize two functionally different classes of inhibitory interneurons found in the striatum and cortex (PVALB and CALB1), and the vesicular GABA transporter (SLC32A1).

## Quantitative PCR (qPCR)

Complementary DNA (cDNA) was generated using 90 ng of RNA per sample and qScript cDNA SuperMix (Quanta BioSciences, Gaithersburg, MD) following universal cycling conditions (65–59 °C touchdown and 40 cycles of 10 s at 95 °C, 10 s at 59 °C, and 10 s at 72 °C). PCR products were amplified in triplicate to generate three technical replicates on a Bio-Rad CFX96 Real-Time PCR system (Bio-Rad, Hercules, California USA) using SYBR Green I nucleic acid gel stain. Subject pairs were run on the same qPCR plate to control for technical variance. Two housekeeping genes (β-Actin  $[ACTB]$  and Cyclophillin-A  $[PPIA]$ ) were used to normalize target mRNA levels. Primer sequences for all housekeeping and target genes, as well as the transcript variants of each transcript amplified (identified using NCBI Primer-BLAST), can be found in Supplemental Table 1. The difference in cycle threshold (dCT) for each target transcript was calculated by subtracting the geometric mean CT for the two reference genes (each run in triplicate) from the mean CT of the target transcript (mean of the three technical replicates). There were no significant differences in the geometric mean of CTs of the two housekeeping genes across all four brain regions (Supplemental Fig. 1). Because dCT represents the log2-transformed expression ratio of each target transcript to the reference genes, the relative level of the target transcript for each subject is reported as  $2^{-dCT}$  [[40\]](#page-12-0).

#### Statistical analysis

To determine the OCD-associated expression patterns of transcripts, we employed a mixed-design analysis of covariance (ANCOVA). Brain region was considered a within-subjects variable with 4 levels (BA11, BA47, caudate nucleus, NAc), and OCD diagnosis was the between subjects factor. Main effects of OCD diagnosis and brain region, as well as the interaction between diagnosis and brain region, were tested using  $F$  tests for each individual transcript. Covariates included in each model were age, sex, PMI, and RIN. Data from all 16 subjects were analyzed in all regions unless noted in the text in cases of low transcript expression. In a minority of cases (6 total transcripts), data from the caudate and NAc of subject pair 8 were not collected due to low RNA yield (see Supplemental Table 2 for detailed information about each transcript). If significant interactions were observed between diagnosis and brain region, post-hoc pairwise comparisons were conducted using Sidak tests to control for overall type I error. Multiple comparison correction was conducted using the Benjamini-Hochberg procedure and a false discovery rate of 0.05 [[41](#page-12-0)]. For main effects and interactions, correction was made across 16 transcripts. For pairwise comparisons conducted only after a significant interaction, correction was made for 22 comparisons. For individual transcript comparisons, uncorrected p-values are reported in the main text and corrected p-values are reported in Supplemental Table 4.

Composite scores of three groups of transcripts grouped broadly by the function of their encoded proteins (excitatory synaptic structure; excitatory synaptic receptors and transporters; GABA synapse) were computed. First, normalized (Z scored) expression levels were calculated for each transcript using  $(Z = \frac{X-\mu}{\sigma})$ , where  $\mu$  is<br>mean of expression ratios for the unaffected comparison mean of expression ratios for the unaffected comparison subjects for a given transcript,  $\sigma$  is standard deviation of expression ratio for the unaffected comparison subjects for a given transcript, and  $X$  is the individual transcript measure from an unaffected comparison or OCD subject. Z-scores were then summed for all excitatory synaptic structure, excitatory synaptic receptors and transporters, and GABA synapse measures (see Table [1:](#page-2-0) Transcript grouping) within a given diagnostic group for each brain region. Post-hoc pairwise comparisons were conducted using Sidak tests to control for overall type I error. Multiple comparison adjustments were again made using the Benjamini-Hochberg analysis with a false discovery rate of 0.05. Both corrected and uncorrected comparisons are

presented for composite scores in the main text, and full statistics can be found in Supplemental Table 4.

Individual t-tests were performed with the Holm-Sidak multiple comparison correction and an alpha of 0.05 to analyze the effect of (1) antidepressants at time of death, (2) presence of benzodiazepines at time of death, (3) comorbid MDD diagnosis, and (4) presence of tobacco use at time of death on expression of transcripts in OCD subjects (Supplemental Fig. 2–5). Statistical analyses were performed using SPSS (SPSS, Inc.; Chicago, IL).

# Results

# Effect of OCD diagnosis on expression of excitatory synaptic structure transcripts in cortical and striatal regions

Regional patterns of expression of excitatory structural transcripts implicated in OCD and grooming disorders were first examined (Fig. [1](#page-5-0)). Several of these transcripts displayed lower expression in OCD subjects compared to unaffected comparison subjects selectively in OFC and not striatum. First, although expression of the scaffolding protein transcript DLGAP1 was unaffected by diagnosis  $(F_{1,10} = 2.8, p = .13)$  or region  $(F_{3,30} = 1.8, p = .17)$ , the interaction between these two factors was significant  $(F_{3,30} = 3.5, p = .03)$ . Post-hoc comparisons revealed that mean DLGAP1 levels were significantly lower in BA47  $(-48\%, F_{1,10} = 5.1, p = .05)$  but not different in BA11, caudate, and NAc  $(p > .05)$ ] (Fig. [1a](#page-5-0)). In addition, expression of another DLGAP family member, DLGAP2, significantly differed by diagnosis  $(F_{1,10} = 34.4, p = .0001)$ and brain region ( $F_{3,30} = 3.5$ ,  $p = .03$ ), with a significant interaction ( $F_{3,30} = 5.4$ ,  $p = .004$ ). Post-hoc pairwise comparisons of simple main effects showed lower DLGAP2 in OCD subjects selectively in BA11 ( $-43\%$ :  $F_{1,10} = 27.7$ ,  $p = .0004$ ) and BA47 (−41%:  $F_{1,10} = 15.8$ ,  $p = .003$ ) [expression was not significantly different in caudate or NAc  $(p > .05)$ ] (Fig. [1b](#page-5-0)). A third transcript, *SLITRK3* (Fig. [1](#page-5-0)f), showed a similar pattern, with expression significantly affected by diagnosis  $(F_{1,8} = 21.7, p = .002)$ , a trend towards a brain region effect  $(F_{2,16} = 2.9, p = .08)$ , and a significant interaction between diagnosis and brain region  $(F_{2,16} = 4.2, p = .03)$ . Post-hoc pairwise comparisons indicated lower SLITRK3 levels in BA11 (−42%:  $F_{1,8} = 34.3$ ,  $p = .0004$ ) and BA47 (−32%:  $F_{1,8} = 7.8$ ,  $p = .02$ ), but not in caudate (−24%:  $F_{1,8} = 2.3$ ,  $p = .17$ ; too low to detect in NAc).

Several excitatory synaptic structural transcripts also showed lower expression in both OFC and striatum of OCD subjects compared to unaffected comparison subjects. First, although ANCOVA revealed a significant effect of

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Fig. 1 Expression of excitatory synaptic structure transcripts in OFC and striatum of OCD subjects and unaffected comparison subjects. qPCR analysis of excitatory synaptic structure transcripts across two orbitofrontal (BA11 and BA47) and two striatal (caudate and NAc) brain regions in OCD. Unaffected comparison subjects and OCD subjects are represented by black open circles and red open triangles,

diagnosis on *DLGAP3* expression  $(F_{1,10} = 7.0, p = .03)$ , there was no effect of brain region ( $F_{3,30} = 1.9$ ,  $p = .16$ ) or interaction between diagnosis and region  $(F_{3,30} = 0.2,$  $p = .90$ ) (Fig. 1c). Similarly, levels of *DLGAP4* (Fig. 1d)

respectively. A line drawn between a circle and a triangle indicates a matched pair. Horizontal bars indicate group means. Percentages indicate the percentage difference in transcript level of the OCD subject relative to the unaffected comparison subject. Post-hoc significance following significant interactions is indicated by brackets; \*\*\*p < .001; \*\*p < 0.01; \*p < 0.05

were lower in both OFC and striatum [significant effect of diagnosis ( $F_{1,10} = 7.4$ ,  $p = .02$ ) and brain region ( $F_{3,30} = 3.3$ ,  $p = .04$ ); no interaction  $(F_{3,30} = 1.3, p = .30)$ . Notably, SLITRK1 was the only excitatory synaptic structure

<span id="page-6-0"></span>transcript tested which showed no differences in expression related to diagnosis (Fig. [1](#page-5-0)e)  $(F_{1,8} = 3.0, p = .12)$  or brain region ( $F_{3,24} = 2.4$ ,  $p = .09$ ), and no interaction between the two factors  $(F_{3,24} = 1.0, p = .40)$ .

# Effect of OCD diagnosis on expression of transcripts encoding excitatory synaptic receptors and transporters in cortical and striatal regions

We next assessed levels of transcripts encoding glutamate receptors and transporters (Fig. 2). Expression of the transcript GRIA1, which encodes the GluR1 subunit of the AMPA receptor, was lower in OFC and striatum of OCD subjects (Fig. 2a) [effect of diagnosis ( $F_{1,8} = 9.0$ ,  $p = .02$ ); no effect of region  $(F_{3,24} = 1.0, p = .39)$  or interaction  $(F_{3,24} = 1.6, p = .21)$ ]. Similarly, expression of GRIN2B, which encodes the NR2B subunit of the NMDA receptor, was lower in OCD subjects (Fig. 2b) [main effect of

 $NAC$ 

 $-25%$ 

**NAc** 

diagnosis ( $F_{1,8} = 6.9$ ,  $p = .03$ ); no effect of region ( $F_{3,24} =$ 1.8,  $p = .17$ ) or interaction  $(F_{3,24} = 1.1, p = .36)$ ]. A significant main effect of diagnosis on expression of SLC1A1, a glutamate transporter that has been associated with OCD, was also observed  $(F_{1,10} = 5.0, p = .05)$  (Fig. 2c). This transcript also displayed a significant effect of brain region ( $F_{3,30} = 3.2$ ,  $p = .04$ ) and an interaction ( $F_{3,30} = 3.7$ ,  $p = .02$ ; subsequent pairwise comparisons indicated SLC1A1 in OCD subjects was lower only in BA47 (-34%:  $F_{1,10} = 7.9, p = .03$ , although a trend was also observed in BA11 (-37%:  $F_{1,10} = 3.9$ ,  $p = .08$ ). Finally, we measured levels of SLC17A7, which encodes the pre-synaptic vesicular glutamate transporter 1 (VGLUT1). Consistent with previous work [\[42](#page-12-0)], SLC17A7 was undetectable in striatum; however, levels were lower in both OFC regions in OCD subjects [significant effect of diagnosis  $(F_{1,10} = 12.4, p$  $= .005$ ), no effect of brain region ( $F_{1,10} = 0.02$ ,  $p = .89$ ), and no interaction  $(F_{1,10} = 0.02, p = .89)$  (Fig. 2d)].



and transcripts in OFC and striatum of OCD subjects and unaffected comparison subjects. qPCR analysis of transcripts encoding excitatory synaptic receptors and transporters across two orbitofrontal (BA11 and BA47) and two striatal (caudate and NAc) brain regions in OCD. Unaffected comparison subjects and OCD subjects are represented by

black open circles and red open triangles, respectively. Lines represent matched pairs. Horizontal bars indicate group means. Percentages indicate the percentage difference in transcript level of the OCD subject relative to the unaffected comparison subject. Post-hoc significance following significant interactions is indicated by brackets;  $*p < 0.05$ 

# Effect of OCD diagnosis on GABA synapse transcripts in cortical and striatal regions

To determine if OCD was associated with alterations in GABAergic transcript levels in OFC and striatum, we first quantified levels of the two major GABA synthesizing enzymes,  $GAD1$  (Fig. [3](#page-8-0)a;  $GAD_{67}$ ) and  $GAD2$  (Fig. 3b;  $GAD_{65}$ ). ANCOVA revealed a significant effect of diagnosis  $(F_{1,10} = 6.9, p = .03)$  and brain region  $(F_{3,30} = 4.3,$  $p = .01$ ) on *GAD1* levels, with an interaction between the two factors  $(F_{3,30} = 7.2, p = .001)$ . Post-hoc comparisons revealed that OCD subjects had significantly elevated GAD1 in BA11 (+99%:  $F_{1,10} = 9.5$ ,  $p = .01$ ), with no other region differing by diagnosis  $(p > .05)$ . In contrast, there was no effect of diagnosis on GAD2 levels  $(F_{1,10} = 2.6,$  $p = .14$ ), although we did observe a significant effect of brain region  $(F_{3,30} = 3.9, p = .02)$  and a trend toward a significant interaction  $(F_{3,30} = 2.9, p = .052)$ .

We also examined transcripts encoding parvalbumin and calbindin (PVALB and CALB1), calcium-binding proteins used as markers of cortical and striatal interneuron subtypes. Although diagnosis had no detectable effect on PVALB levels ( $F_{1,10} = 2.8$ ,  $p = .13$ ), an effect of brain region ( $F_{2,20}$ )  $= 5.6, p = .01$  and an interaction between region and diagnosis  $(F_{2,20} = 4.9, p = .02)$  were observed [note; *PVALB* expression was too low to detect in the NAc, consistent with previous studies [\[43](#page-12-0)]]. Pairwise comparisons suggested increased levels of PVALB in BA11 of OCD subjects, although the effect was not significant (+41%:  $F_{1,10} = 2.9$ ,  $p = .11$ ). Similarly, no effect of diagnosis  $(F_{1,10} = .84,$  $p = .38$ ) and a significant effect of brain region ( $F_{3,30} =$ 24.7,  $p = .0001$ ) were observed on *CALB1* levels; however, there was no significant interaction ( $F_{3,30} = 1.3$ ,  $p = .27$ ).

Given the lower levels of transcripts that encode excitatory post-synaptic structural proteins in OCD (Fig. [1\)](#page-5-0), we also examined GABARAP, which encodes a protein involved in GABA receptor scaffolding (Fig. [3](#page-8-0)e). In contrast to the excitatory transcripts, no effect of OCD diagnosis  $(F_{1,8} = 2.8, p = .13)$ , brain region  $(F_{3,24} = 2.3,$  $p = .10$ ), or interaction ( $F_{3,24} = 1.3$ ,  $p = .30$ ) was observed for GABARAP. Levels of SLC32A1, which encodes the vesicular inhibitory amino acid transporter, were also not significantly affected by diagnosis (Fig. [3](#page-8-0)f;  $F_{1,8} = .004$ ,  $p = .95$ ) or brain region ( $F_{3,24} = 2.0$ ,  $p = .14$ ), and no interaction was detected  $(F_{3,24} = 2.2, p = .10)$ .

# Composite measures of transcripts across cortical and striatal regions in OCD

To determine the relative contribution of each brain region to the observed changes in transcript levels, we computed composite summary scores from the normalized expression ratios of the transcripts grouped into three categories:

excitatory synaptic structure, excitatory synaptic receptors and transporters, and GABA synapse-related (Fig. [4\)](#page-9-0). ANCOVA showed a significant main effect of OCD diagnosis on the excitatory synaptic structure transcript composite measure  $(F_{1,10} = 26.3, p = .0004;$  corrected  $p = .0012$ ), a trend towards a main effect of region ( $F_{3,30} =$ 2.7,  $p = .06$ ; corrected  $p = .06$ ), and a significant diagnosisby-region interaction  $(F_{3,30} = 4.0, p = .02;$  corrected  $p = .02$ ). Pairwise comparisons revealed significantly reduced levels of excitatory synaptic structure transcripts in BA11 ( $F_{1,10} = 13.5$ ,  $p = .004$ ; corrected  $p = .02$ ) and BA47  $(F_{1,10} = 25.6, p = .0005;$  corrected  $p = .006$ ) of OCD subjects, with no changes in caudate and NAc  $(p > .05)$ (Fig. [4a](#page-9-0)). Similarly, the excitatory synaptic receptor/transporter composite measure showed significant reductions in BA11 and BA47 of OCD subjects, but no changes in caudate or NAc [main effect of diagnosis  $(F_{1,10} = 10.4,$  $p = .009$ ; corrected  $p = .01$ ); significant effect of region  $(F_{3,30} = 4.0, p = .02;$  corrected  $p = .02$ ); significant interaction  $(F_{3,30} = 4.9, p = .007;$  corrected  $p = .01$ ; BA11 post-hoc  $(F_{1,10} = 10.1, p = .01;$  corrected  $p = .02$ ; BA47 post-hoc ( $F_{1,10} = 16.5$ ,  $p = .002$ ; corrected  $p = .01$ ); caudate and NAc  $(p > .05)$ ] (Fig. [4](#page-9-0)b). However, the GABA synapse composite measure indicated that GABA-related transcript levels in OCD subjects were elevated in BA11 compared to unaffected comparison subjects,  $(F_{1,10} = 11.4, p = .007;$ corrected  $p = .02$ ), but unchanged in BA47, caudate, and NAc ( $p > .05$ ) [main effect of diagnosis ( $F_{1,10} = 6.1$ ,  $p = .03$ ; corrected  $p = .03$ ); main effect of region ( $F_{3,30} =$ 4.9,  $p = .007$ ; corrected  $p = .02$ ); significant interaction  $(F_{3,30} = 8.7, p = .0003;$  corrected  $p = .0009$ ] (Fig. [4c](#page-9-0)).

## **Discussion**

Glutamatergic synaptic dysfunction in cortical and striatal brain regions has been suggested as a contributor to the pathophysiology of OCD, but evidence to date has been indirect. Using qPCR in human post-mortem tissue, we now support this hypothesis by demonstrating decreased levels of transcripts encoding excitatory synaptic proteins in OFC and striatal regions in OCD subjects (Figs. [1,](#page-5-0) [2\)](#page-6-0). Composite scores in OCD subjects revealed that these significant reductions in both the excitatory synaptic structure and excitatory synaptic receptors/ transporters transcript groupings were preferentially localized to OFC (BA11 and BA47) and not detected in striatum (caudate and NAc) (Fig. [4](#page-9-0)a, b). More subtle increases in GABA synapse transcripts were also identified in OFC, but these were observed selectively in BA11 (Figs. [3,](#page-8-0) [4](#page-9-0)c). Together, these data provide the first evidence of potential molecular dysfunction in brain regions consistently implicated in human imaging studies of OCD [[6,](#page-11-0) [8\]](#page-11-0).

<span id="page-8-0"></span>

Fig. 3 Expression of GABA synapse transcripts in OFC and striatum of OCD subjects and unaffected comparison subjects. qPCR analysis of GABA synapse transcripts across two orbitofrontal (BA11 and BA47) and two striatal (caudate and NAc) brain regions in OCD. Unaffected comparison subjects and OCD subjects are represented by black open

pairs. Horizontal bars indicate group means. Percentages indicate the percentage difference in transcript level of the OCD subject relative to the unaffected comparison subject. Post-hoc significance following significant interactions is indicated by brackets;  $*p < 0.05$ 

## Decreased levels of three key excitatory synaptic structure transcripts are observed in OFC and striatum

Several of the observed down-regulated transcripts have previously been linked to OCD [[7,](#page-11-0) [18](#page-11-0)], including DLGAP3.

This gene encodes the post-synaptic scaffolding protein most commonly known as SAPAP3, and has been linked to OCD and associated disorders through genetic studies [[44](#page-12-0)–[46](#page-12-0)] [though see [[45](#page-12-0)] for caveats]. In human postmortem tissue from OCD subjects, we found that DLGAP3 expression was

<span id="page-9-0"></span>

Fig. 4 Composite measures of excitatory synaptic structure, excitatory synaptic receptors and transporters, and GABA synapse transcripts across cortical and striatal regions in OCD. a In OCD subjects, composite measures of excitatory structural transcripts were lower in BA11 and BA47 relative to unaffected comparison subjects. No differences were observed in either the caudate or NAc. b Composite scores of excitatory receptors and transporters were reduced in BA11 and BA47 and unchanged in caudate and NAc in OCD subjects. c GABA composite scores were elevated in BA11 and unchanged in BA47, caudate, and NAc of OCD subjects (c). Post-hoc significance following significant interactions is indicated by asterisks; \*\*\*p < .001; \*\*p < 0.01; \*p < 0.05

significantly decreased across all four cortical and striatal regions tested (Fig. [1a](#page-5-0)–d). This finding is consistent with the fact that Sapap3 knockout mice, which constitutively lack the Sapap3 gene, display compulsive behavior, and provides further support for the hypothesis that decreases in SAPAP3 protein are involved in the generation of compulsive behavior in humans [\[8](#page-11-0), [16](#page-11-0), [28\]](#page-12-0). In addition, the same expression pattern was seen with *DLGAP4*. Interestingly, other *DLGAP* family members showed decreased levels specifically in OFC. Expression of DGLAP1, which has recently been identified as the locus of two of the most strongly associated single nucleotide polymorphisms (SNPs) in case-control OCD GWAS [\[18,](#page-11-0) [19\]](#page-11-0), was selectively decreased only in OFC and not striatum (Fig. [1a](#page-5-0)); the same pattern was observed for DLGAP2 (Fig. [1b](#page-5-0)). These data raise the possibility that different DLGAP family members could differentially impact particular OCD symptom domains based on the regional localization of their alterations in expression.

In addition, in OFC of OCD subjects, we observed a selective reduction in expression of another gene that has been associated with OCD: SLC1A1 (Fig. [2](#page-6-0)c), which encodes the neuronal glutamate transporter EAAT3. SLC1A1 was first implicated in OCD pathophysiology by two reports identifying genetic variants in two independent patient populations [\[21,](#page-11-0) [22](#page-11-0)]. Subsequent studies have replicated these findings, although identified variants have not always been consistent [\[24](#page-12-0)–[26](#page-12-0)]. Interestingly, our finding of reduced levels of SLC1A1 in OFC of OCD subjects relative to unaffected comparison subjects is not aligned with the fact that the most consistently associated SNP in OCD is predicted to result in increased expression of SLC1A1 [\[23,](#page-11-0) [27\]](#page-12-0). To address this discrepancy, future post-mortem brain studies could examine expression levels of recently-identified SLC1A1 variants that may serve as negative regulators of SLC1A1/EAAT3 function in cortex and striatum [[23](#page-11-0)].

# Downregulation of multiple excitatory synaptic transcripts in OFC suggests an upstream causal event

As discussed above, our data can be used to make inferences about the potential role of particular genes in OCD pathology. However, the most striking finding is the simultaneous downregulation of multiple excitatory synapse-related transcripts in both medial (BA11) and lateral OFC (BA47) (Fig. [4a](#page-9-0), b). Although it is possible that each transcript change is an independently occurring event, this pattern of expression suggests the possibility of an upstream factor leading to abnormal coordinated excitatory synapse gene regulation across the OFC. One potential explanation is altered expression of an upstream "master regulator" gene that influences expression of transcripts associated with post-synaptic excitatory synapse function. Alternatively, due to the diversity of transcripts that were down-regulated, these changes may reflect an overall decrease in number or size of synaptic contacts or dendritic spines in OFC. In turn, this would fit with one of the most consistent findings in structural imaging studies of OCD: a reduction in volume of both left and right OFC [\[6,](#page-11-0) [47](#page-12-0), [48](#page-12-0)]. Precedent for this is observed in other neuropsychiatric illnesses such as schizophrenia, in which dendritic spine loss is one of the greatest indices of gray matter loss [[49](#page-12-0)–[51\]](#page-12-0).

## Synthesis of downregulation of glutamatergic transcripts with existing literature

As discussed above, our findings appear consistent with the structural imaging literature. What becomes more challenging is integrating these data with the existing functional imaging literature demonstrating hyperactivity in OFC at baseline and with symptom provocation in OCD patients [\[10](#page-11-0)]. At face value, the results seem contradictory, since one might expect that downregulation of excitatory transcripts in OFC would be consistent with overall decreases in OFC activity. This apparent discrepancy could be explained in several ways. First, there are examples in which knockout of excitatory post-synaptic density proteins leads (potentially counter-intuitively) to both increased neural activity and compulsive grooming behavior: 1) Constitutive knockout of Sapap3 leads to striatal hyperactivity; 2) Constitutive knockout of Slitrk5 results in OFC hyperactivity [\[16](#page-11-0), [28](#page-12-0), [29\]](#page-12-0). However, causal links between Sapap3 and Slitrk5 down-regulation in specific brain regions and hyperactivity have not yet been demonstrated. Second, our observed decreased levels of excitatory synaptic transcripts could be compensating for changes in neural activity in upstream structures. For example, functional imaging studies demonstrate hyperactivity and increased gray matter volume in the thalamus of OCD subjects [[6\]](#page-11-0). Increased thalamo-cortical drive in OCD patients could therefore be the primary driver of OFC hyperactivity, and lead to compensatory down-regulation of excitatory post-synaptic proteins at thalamo-cortical synapses. In this scenario, OFC hyperactivity could still be observed if this compensation is not sufficient to counteract the increased thalamic drive. If

this is the case, we would predict that our observed decrease in excitatory transcript levels in OCD subjects is localized to cortical neurons receiving projections from thalamus, and not neurons in cortical output layers. Thus, our observations from the post-mortem OCD brain lend themselves to directly testable novel hypotheses regarding OCD pathophysiology. Our data also highlight the importance of moving beyond the targeted set of transcripts tested here, which were mostly selected due to their previous genetic links to OCD and related disorders, and investigating the molecular pathology of OCD on a larger scale–i.e., the transcriptome or proteome.

## Potential caveats

When interpreting this study, it is important to note several limitations. First, the sample size is small, with eight pairs of OCD and unaffected comparison subjects. In order to maximize our statistical power to detect differences related to brain region and OCD diagnosis, we have matched our subjects as closely as possible for factors that can affect expression of mRNA (e.g., age, sex, and RIN). No significant effects of these or other post mortem factors were observed in our cohort (Table [2](#page-3-0)). Other limitations include our current inability to rule out the possibility that comorbid diagnoses other than MDD (Fig.S4; see Supplemental table 3) may be affecting mRNA expression due to a lack of statistical power. In particular, this dataset does not allow us to determine if comorbid anxiety disorders in subjects with OCD are contributing to the observed decreases in excitatory synaptic transcript expression. Future studies with a comparator group matched for anxiety disorders will be necessary to make this determination. Similarly, due to lack of power, we are limited in our ability to determine whether medications or other substances contribute to the observed differences in gene expression, although exploratory analyses did not identify any significant impact of antidepressant use, benzodiazepine use, or tobacco use at time of death in OCD subjects (Fig. S2,S3,S5). It is also important to note that the use of targeted qPCR to conserve statistical power in our small sample prevented us from exploring whether subjects with OCD have different levels of expression of alternatively spliced transcripts compared to unaffected subjects. Although the primary protein coding isoform was always amplified, primer pairs for several transcripts also amplified multiple splice variants (see Supplemental Table 1 for details). Our targeted qPCR approach was unable to determine if expression of these transcript variants differs by diagnosis or region. It is therefore possible that the observed excitatory transcript downregulation in orbitofrontal cortex could be accompanied by compensatory upregulation of alternative transcripts not studied here, which could limit the functional

<span id="page-11-0"></span>impact of the observed transcriptional changes and affect interpretation of our results. This possibility could be addressed in future studies using RNA sequencing (RNAseq). Finally, although some of the effects in Figs.  $1-\frac{3}{5}$  $1-\frac{3}{5}$  $1-\frac{3}{5}$  $1-\frac{3}{5}$ would not survive Benjamini-Hochberg correction for multiple comparisons due to our limited sample size and power (see Supplemental Table 4 for uncorrected and corrected p-values for all comparisons), this is mitigated by survival of the significant effects of the aggregate analysis following correction (Fig. [4](#page-9-0)). Thus, the composite analysis demonstrates that differential expression of transcripts was most prominent in orbitofrontal regions in subjects with OCD.

Despite these potential caveats, this report is, to the authors' knowledge, the first identification of molecular dysfunction in either orbitofrontal or striatal brain regions in OCD. This targeted examination will therefore serve as the foundation for future better-powered studies that will allow us to examine the potential impact of comorbidities, medication status, and disease heterogeneity on expression of excitatory synaptic transcripts.

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#### Compliance with ethical standards

Conflict of interest The authors declare that they have no conflicts of interest.

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