

## ARTICLE



## ACUTE MYELOID LEUKEMIA

# The infusion of ex vivo, interleukin-15 and -21-activated donor NK cells after haploidentical HCT in high-risk AML and MDS patients—a randomized trial

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Clinical effect of donor-derived natural killer cell infusion (DNKI) after HLA-haploidentical hematopoietic cell transplantation (HCT) was evaluated in high-risk myeloid malignancy in phase 2, randomized trial. Seventy-six evaluable patients (aged 21–70 years) were randomized to receive DNKI ( $N = 40$ ) or not ( $N = 36$ ) after haploidentical HCT. For the HCT conditioning, busulfan, fludarabine, and anti-thymocyte globulin were administered. DNKI was given twice 13 and 20 days after HCT. Four patients in the DNKI group failed to receive DNKI. In the remaining 36 patients, median DNKI doses were  $1.0 \times 10^8/\text{kg}$  and  $1.4 \times 10^8/\text{kg}$  on days 13 and 20, respectively. Intention-to-treat analysis showed a lower disease progression for the DNKI group (30-month cumulative incidence, 35% vs 61%,  $P = 0.040$ ; subdistribution hazard ratio, 0.50). Furthermore, at 3 months after HCT, the DNKI patients showed a 1.8- and 2.6-fold higher median absolute blood count of NK and T cells, respectively. scRNA-sequencing analysis in seven study patients showed that there was a marked increase in memory-like NK cells in DNKI patients which, in turn, expanded the CD8<sup>+</sup> effector-memory T cells. In high-risk myeloid malignancy, DNKI after haploidentical HCT reduced disease progression. This enhanced graft-vs-leukemia effect may be related to the DNKI-induced, post-HCT expansion of NK and T cells. Clinical trial number: NCT02477787.

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## INTRODUCTION

Acute myeloid leukemia (AML) and myelodysplastic syndrome (MDS) are heterogeneous group of diseases characterized by clonal transformation of myeloid hematopoietic precursors. Although a significant proportion of patients with AML or MDS can be cured of their disease after allogeneic hematopoietic cell transplantation (HCT), progression of the underlying disease remains the most frequent cause of treatment failure. In particular, disease progression rates exceeding 50% were reported in high-risk AML and MDS [1–4].

Natural killer (NK) cells, a subset of lymphocytes, express CD56 but not CD3 [5–7] and exert antitumor cytotoxicity that is

modulated both by activating and inhibitory receptors, notably killer immunoglobulin-like receptors (KIR) [8]. Lack of the HLA ligand for donor-inhibitory KIR was found to be correlated with decreased myeloid leukemia progression after HLA-matched sibling [9] and unrelated donor HCT [10]. In murine HCT models, the post-transplant infusion of ligand-mismatched, donor-type NK cells produced an antitumor effect without graft-vs-host disease (GVHD) [11, 12]. Interleukin (IL)-12 and IL-15-preactivated murine NK cells were more effective than non-activated [13] or IL-2-preactivated [14] murine NK cells in terms of tumor suppression. Clinical trials of NK cell transfer from allogeneic donors to patients with malignancies have been performed after HCT [15–26] and

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without prior HCT [27–32]. Donor NK cells were obtained either by separation from peripheral blood mononuclear cells (PBMCs) [19, 22, 24, 27, 28, 30] or by ex vivo culture from hematopoietic progenitor cells [15, 18, 20, 21, 23, 25]. Thus far, most donor NK cell infusion (DNKI) studies involved small number of patients ( $\leq 30$ ) [17, 19, 22, 23, 25] and limited cell doses ( $< 1 \times 10^8$ /kg) [17, 19, 22–25, 29].

Various cytokines including IL-2, IL-4, IL-9, IL-12, IL-15, IL-18, and IL-21 have been used for the ex vivo expansion and activation of NK cells [25, 33, 34]. We have previously generated donor NK cells from the CD3<sup>+</sup> cell-depleted portion of a mobilized leukapheresis product via culturing in media containing IL-15 and IL-21 [20, 21]. The median yield from a single-day leukapheresis was  $2.0 \times 10^8$  donor NK cells/kg of the patient's body weight. These cells expressed activating receptors strongly, produced interferon- $\gamma$ , and showed strong cell cytotoxicity in vitro. When the divided doses of these NK cells were administered to the patients at 2 and 3 weeks after HLA-haploidentical HCT [21], there was a significant reduction of disease progression in patients with refractory acute leukemia compared to historical patients who underwent haploidentical HCT with the same conditioning regimen, but without DNKI (cumulative incidence; study cohort, 46% vs historical cohort, 74%;  $P = 0.038$ ). No significant differences were observed between our study cases and historical patients in terms of engraftment, acute and chronic GVHD, or non-relapse mortality (NRM).

We have thus here investigated the clinical effects of DNKI administered after haploidentical HCT in patients with high-risk AML and MDS in a randomized phase 2 trial. The primary endpoint was progression of the underlying disease. In addition, patient immune parameters were investigated after HCT to detect any DNKI effects.

## METHODS

### Patient enrollment

This was a phase 2, prospective, controlled, randomized, and investigator-sponsored trial approved by the Institutional Review Board of Asan Medical Center, Seoul, Korea and the Korean Ministry of Food and Drug Safety (KMFDS), and registered at ClinicalTrial.gov (#NCT02477787). The hypothesis was that DNKI given after haploidentical HCT was associated with decreased AML/MDS progression. The initial target accrual was 90 for a statistical power of 90% with a two-sided type I error rate of 0.05 for the detection of decreased disease progression from 60% to 30%. Patient enrollment began in June 2015. By July 2018, however, there were an excess number of patients experiencing disease progression in the no-DNKI group. Hence, after review by the institutional Data Safety Monitoring Board and KMFDS, it was recommended that we close the trial early. When the study was closed in October 2018, a total of 77 patients had been enrolled. All patients and donors provided written informed consent.

### Enrollment criteria

The criteria for patient enrollment are described in the Supplementary Appendix.

### Randomization

The treatment schema for the study is depicted in Fig. 1A. Patient and donor HLA-A, -B, -C, and -DRB1 typing and donor KIR typing were performed using polymerase chain reaction-based methods. Eligible patients were randomly assigned either to a DNKI or no-DNKI group on the day before the initiation of conditioning therapy. Patients were stratified according to their diagnosis (refractory AML vs AML in complete remission (CR) vs MDS). Patients with refractory AML were further stratified according to primary-refractory AML vs relapse-refractory/early relapse AML, as well as according to peripheral blood blasts  $< 5\%$  vs  $\geq 5\%$ . Patients with AML in CR were further stratified to first vs second CR and MDS patients were further stratified to intermediate-2 vs high risk [35].

### Haploidentical HCT procedure

The haploidentical HCT methodology and supportive care for HCT are described in the Supplementary Appendix.

## DNKI

Patients in the DNKI group received NK cells on days 13 (DNKI-1) and 20 (DNKI-2) after HCT. A delay of DNKI for up to 2 days was allowed, depending on the patient condition, delayed NK cell production, or holidays. The DNKI cell dose was based on the quantity of cells available. For DNKI-1,  $1 \times 10^8$  donor NK cells/kg or about half of cell culture products were administered. For DNKI-2, the remaining cell culture products were administered. Donor NK cells were infused over 1 hour through central venous catheter, with pheniramine 45.5 mg administered by intravenous infusion 30 min earlier. Since we administered anti-thymocyte globulin (ATG) as a part of conditioning regimen for HCT, we measured the ATG levels in the patients' serum on the day of DNKI [36].

## Donor NK cell generation

The methods for donor NK cell generation are described in the Supplementary Appendix.

## Patient monitoring and evaluation

The patient monitoring and evaluation methods are described in the Supplementary Appendix.

## Post-HCT immune parameter monitoring and single-cell RNA sequencing

To investigate DNKI effect on immune reconstitution, the immunologic status of the patients was monitored by measuring peripheral blood lymphocyte subsets, cytokine levels, and cell cytotoxicity before conditioning and 1, 3, 6, and 12 months after HCT. After observing significantly better expansion of NK and T cells at 3 months after HCT in patients who received DNKI, we performed single-cell RNA sequencing (scRNA-seq) in the PBMCs in seven patients (four DNKI and three in no-DNKI patients) to better delineate the expanded lymphocyte populations. The methods for these analyses are described in the Supplementary Appendix.

## Statistical analysis

Statistical methodology used in the study is described in the Supplementary Appendix.

## RESULTS

### Patients and donors

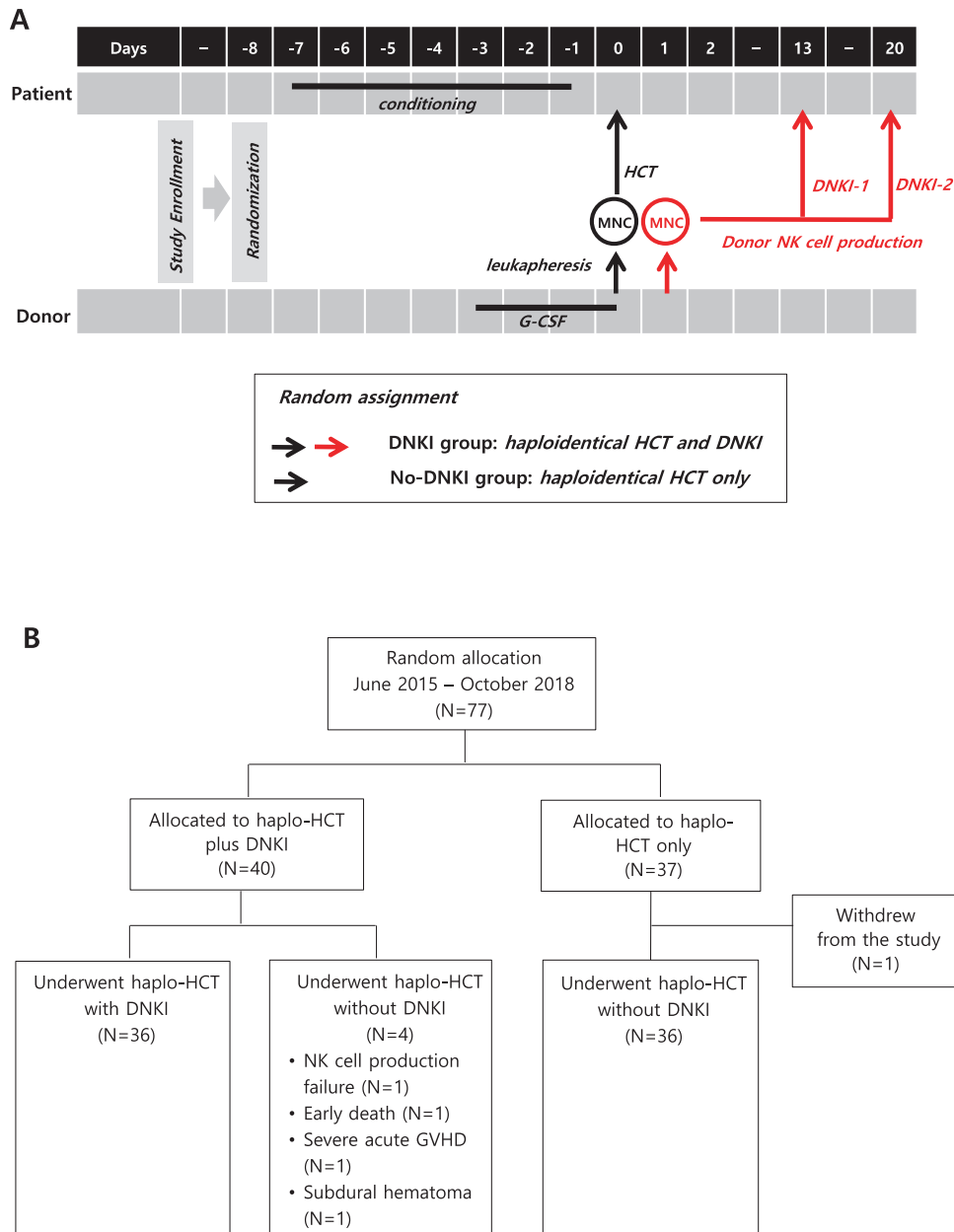
Of the 77 enrolled patients, one in the no-DNKI group withdrew from the study after randomization (Fig. 1B). The remaining 76 patients were included in the intention-to-treat analysis and their characteristics are summarized in Table 1 according to the treatment group. More female patients were assigned to the DNKI group (53% vs 31%,  $P = 0.065$ ). Otherwise, the two groups were well-balanced. Two-thirds of the study patients had refractory AML.

### Donor NK cell infusion

Among the 40 patients in the DNKI group, 4 did not receive DNKI, due to cell production failure, early death, grade-4 acute GVHD, and subdural hemorrhage in one case each (Fig. 1B). Additionally, four patients received only one dose of DNKI due to production failure ( $N = 2$ ), early death ( $N = 1$ ), and engraftment syndrome ( $N = 1$ ). The characteristics of the donor NK cells infused on day 13 (DNKI-1,  $N = 35$ ) and day 20 (DNKI-2,  $N = 33$ ) are summarized in Table 2. The median cell dose was  $1.0$  (range,  $0.5$ – $1.0$ )  $\times 10^8$ /kg (of patient body weight) for DNKI-1 and  $1.4$  (range,  $0.5$ – $4.0$ )  $\times 10^8$ /kg for DNKI-2. Immunophenotype analyses showed a median percentage of CD56<sup>+</sup> cells of 84% for DNKI-1 and 89% for DNKI-2. On the other hand, the median percentage of CD3<sup>+</sup> cell dose was low ( $< 1\%$  for both DNKI-1 and DNKI-2). All patients tolerated DNKI well without acute infusion-related toxicity. The median serum ATG levels were  $0.99$   $\mu\text{g/mL}$  (range,  $0.45$ – $5.17$ ) and  $0.88$   $\mu\text{g/mL}$  (range,  $0.24$ – $1.62$ ) on DNKI-1 and DNKI-2 days, respectively.

### CR, disease progression, NRM, and patient survival

Of the 57 patients with active disease (refractory AML or MDS) at the time of HCT, 23/30 patients (77%) in the DNKI group and



**Fig. 1 Treatment schema and random allocation of the patients.** **A** Eligible patients were assigned randomly to a donor natural killer cell infusion (DNKI) group or a no-DNKI group on day-8 of hematopoietic cell transplantation (HCT), day 0 being the first day of HCT. Between days -7 and -1, the patients received conditioning therapy for HCT with busulfan, fludarabine, and anti-thymocyte globulin. Hematopoietic cell donors received a daily dose of granulocyte colony-stimulating factor (G-CSF) at 10  $\mu\text{g}/\text{kg}$  subcutaneously for 4 days beginning on day-3 and underwent large-volume leukapheresis (Amicus, Fenwal, Inc., Lake Zurich, IL) on day 0 with a goal of collecting at least  $5 \times 10^6/\text{kg}$   $\text{CD}34^+$  cells. About 20% of the donors required an extra day of G-CSF administration and leukapheresis. These cells were administered to patients through a central venous catheter on the same day for the purposes of HCT. The donors for the patients who were randomized to the DNKI group received an extra day of G-CSF administration and underwent extra leukapheresis for NK cell production. **B** A total of 77 patients were enrolled. One patient assigned to the no-DNKI group withdrew from the study. The remaining 76 patients were included in the intention-to-treat analysis. Four patients who had been randomized to the DNKI group did not eventually receive this therapy for the reasons listed.

14/27 (52%) in the no-DNKI group achieved complete remission (CR;  $P=0.049$ ). As of September 2020, among all 76 study patients, 15/40 patients in the DNKI group and 21/36 in the no-DNKI group experienced disease progression (30-month cumulative incidences, 35% (95% confidence interval (CI), 21–50%) and 61% (95% CI, 42–76%), respectively;  $P=0.040$ ; Table 3; Fig. 2A). One patient in the DNKI group experienced primary engraftment failure (cumulative incidence, 2.5%). Twelve/40 patients in the DNKI group and 10/36 no-DNKI subjects died without disease progression (30-month cumulative incidence of

NRM, 30% and 28%, respectively; Fig. 2B). The causes of NRM are listed in Table 3.

To identify patients who benefitted from DNKI, we performed subgroup analyses for disease progression. The DNKI group showed a significantly reduced rate of disease progression in patients with primary-refractory AML (subdistribution hazard ratio (sHR), 0.29; 95% CI, 0.10–0.81; Fig. 3A), in patients with refractory AML with peripheral blood blast  $<5\%$  (sHR, 0.29; 95% CI, 0.10–0.81), as well as in patients with AML with normal/intermediate-risk cytogenetics (sHR, 0.09; 95% CI, 0.01–0.73).

**Table 1.** Patient and donor demographics (intention-to-treat cohort).

Characteristics	DNKI (N = 40)	No DNKI (N = 36)
Patient age, year, median (range)	56 (21–70)	53 (21–67)
≥55	21 (53%)	17 (47%)
Gender		
Female	21 (53%)	11 (31%)
Male	19 (48%)	25 (69%)
Disease status at HCT		
AML, primary-refractory	20 (50%)	17 (47%)
AML, relapse-refractory	7 (18%)	7 (19%)
AML, in relapse	1 (3%)	0
AML, CR1	5 (13%)	5 (14%)
AML, CR2	5 (13%)	4 (11%)
MDS	2 (5%)	3 (8%)
Cytogenetics in AML <sup>a</sup>		
Good-risk	1 (3%)	0
Normal karyotype or intermediate-risk	12 (32%)	19 (58%)
Complex without monosomal karyotype	10 (26%)	4 (12%)
Complex with monosomal karyotype	10 (26%)	6 (18%)
Other high-risk	5 (13%)	4 (12%)
Molecular abnormality in AML		
FLT3-ITD	4 (11%)	8 (24%)
FLT3-TKD <sup>+</sup>	1 (3%)	0
NPM1 <sup>+</sup>	2 (5%)	3 (8%)
CEBPA double mutation <sup>+</sup>	1 (3%)	0
Peripheral blood blast, % in refractory AML		
<5	18 (64%)	18 (75%)
≥5	10 (36%)	6 (25%)
Donor age, year, median (range)	32 (9–63)	31 (8–61)
Donor gender		
Female	18 (45%)	8 (22%)
Male	22 (55%)	28 (78%)
Donor relation		
Parents	4 (10%)	5 (14%)
Sibling	7 (18%)	8 (22%)
Offspring	29 (73%)	23 (64%)
Donor CMV IgG		
Negative	3 (8%)	4 (11%)
Positive	37 (93%)	32 (89%)
Number of donor-patient HLA-A, -B, -C, and -DRB1 mismatches, GVH direction		
None	1 (3%)	0
One	1 (3%)	0
Two	2 (5%)	4 (11%)
Three	14 (35%)	13 (36%)
Four	22 (55%)	19 (53%)
Number of donor-patient HLA-A, -B, -C, and -DRB1 mismatches, rejection direction		
One	1 (3%)	0

**Table 1.** continued

Characteristics	DNKI (N = 40)	No DNKI (N = 36)
Two	2 (13%)	3 (8%)
Three	19 (48%)	14 (39%)
Four	15 (38%)	19 (53%)
Donor-patient NK alloreactivity <sup>b</sup>		
Ligand-ligand model		
Yes	12/ 40 (30%)	10/ 36 (28%)
Receptor-ligand model		
Yes	30/ 37 (81%)	24/ 33 (73%)
Donor KIR type		
2DS1-positive	18/ 37 (49%)	14/ 34 (41%)
3DS1-positive	12/ 37 (32%)	10/ 34 (29%)
A/A-haplotype <sup>c</sup>	17 (46%)	17 (50%)
B/x-haplotype	20 (54%)	17 (50%)
HCT graft cell number, median (range)		
Nucleated cells, ×10 <sup>8</sup> /kg	12.4 (5.2–28.2)	11.4 (6.7–25.5)
CD34 <sup>+</sup> cells, ×10 <sup>6</sup> /kg	7.8 (2.6–26.5)	8.9 (1.31–18.1)
CD3 <sup>+</sup> cells, ×10 <sup>8</sup> /kg	3.8 (1.5–7.1)	3.5 (1.4–5.9)
CD56 <sup>+</sup> CD16 <sup>+</sup> cells, ×10 <sup>8</sup> /kg	0.6 (0.2–1.4)	0.5 (0.2–1.5)

DNKI donor natural killer cell infusion, HCT hematopoietic cell transplantation, AML acute myeloid leukemia, MDS myelodysplastic syndrome, FLT3 FMS-like tyrosine kinase 3, ITD internal tandem duplication, TKD tyrosine kinase domain, NPM1 nucleophosmin 1, CEBPA CCAAT/enhancer binding protein  $\alpha$ , CMV cytomegalovirus, GVH graft-vs-host, NK natural killer, KIR killer immunoglobulin-like receptor.

<sup>a</sup>In refractory AML cases, chromosomal findings in the bone marrow prior to haploidentical HCT were used, whereas in cases of AML in remission, chromosomal findings at the time of diagnosis (CR1) or at leukemia relapse (CR2) were used. The classifications used were in accordance with NCCN guidelines 2016.

<sup>b</sup>Donor-patient NK alloreactivity was determined according to the ligand-ligand model [12] and receptor-ligand model [50].

<sup>c</sup>If at least one of KIR2DL5, 2DS1, 2DS2, 2DS3, 2DS5, or 3DS1 is present, a haplotype B/x is assigned. Otherwise, a haplotype A/A is assigned [51].

For the entire cohort, the median follow up time among the survivors is 33.7 months (range, 23.2–60.7 months). Progression-free survival (PFS) at 30 months was 33% and 11% ( $P = 0.085$ ; Table 3; Fig. 2C) for the DNKI and no-DNKI groups, respectively. The 30-month overall survival was 35% and 19% ( $P = 0.456$ ; Fig. 2D) for the DNKI and no-DNKI groups, respectively.

### Engraftment, GVHD, and infections

Patients in both treatment groups showed consistent neutrophil engraftment with 37/40 patients in the DNKI group and 33/36 in the no-DNKI group achieving initial absolute neutrophil count (ANC)  $\geq 500/\mu\text{L}$  at a median of 10 days after HCT (Table 3; Fig. 2E). Twenty-eight patients in the DNKI group and 22 in the no-DNKI group achieved platelet counts  $\geq 20,000/\mu\text{L}$  at a median 15 and 13 days after HCT, respectively (Fig. 2F). At 1 month after HCT, among the cases who showed bone marrow recovery without leukemia, 32/34 patients in the DNKI group and 26/28 in the no-DNKI group showed >95% donor hematopoietic chimerism ( $P = 0.599$ ).

There were no significant differences in the occurrence of grades 2–4 acute GVHD (cumulative incidences, 51% for DNKI and 47% for no-DNKI groups; Table 3; Fig. 2G), nor of grade 3 or 4 acute GVHD. There was also no significant difference in the occurrence of moderate-to-severe chronic GVHD (cumulative incidences, 20% for DNKI and 25% for no-DNKI groups; Fig. 2H) nor of severe chronic

**Table 2.** Characteristics of the donor natural killer cells administered to the patients in DNKI group.

Characteristics	DNKI-1 (n = 35)	DNKI-2 (n = 33)
Cell dose, $\times 10^8$ /kg	1.0 (0.5–1.0) <sup>a</sup>	1.4 (0.5–4.0)
Cell viability, %	89 (72–97)	78 (70–90)
Immunophenotype, %		
CD3+	0.6 (0.01–6.4)	0.4 (0.0–5.8)
CD56+	83.8 (70.5–94.8)	89.0 (72.6–97.2)
CD122+	45.6 (1.7–93.7)	60.4 (0.0–96.8)
CD45+	98.1 (77.2–99.7)	98.7 (93.7–99.8)
CD16+	62.7 (24.5–79.1)	58.6 (19.5–85.1)
CD11b+	19.9 (1.57–90.5)	15.9 (1.0–70.4)
Receptor expression, %		
HLA-A, B, C	98.7 (46.0–99.8)	99.1 (58.3–99.9)
CD94	74.2 (24.4–94.6)	83.5 (31.2–98.1)
NKG2D	78.8 (39.9–95.5)	83.8 (30.0–97.3)
KIR2DL1 (CD158a)	23.3 (1.0–61.5)	27.7 (0.3–75.1)
KIR2DL2/3 (CD158b)	21.6 (8.7–40.3)	25.4 (4.6–40.4)
KIR3DL1	12.5 (2.3–73.1)	11.6 (0.4–75.5)
NKp46	49.9 (0.6–89.3)	59.0 (0.1–92.6)
NKp44	21.5 (4.0–57.9)	45.4 (7.0–83.7)
NKp30	80.3 (15.1–95.7)	87.8 (59.4–96.7)
INF- $\gamma$ production, pg/mL <sup>b</sup>	216.5 (0.0–1764.7)	630.4 (35.3–1649.7)
Cell cytotoxicity against K562 cells, %		
ET ratio, 10:1	84.0 (67.6–94.8)	81.9 (70.7–98.5)
ET ratio, 5:1	78.4 (56.3–92.8)	77.6 (62.6–94.6)
ET ratio, 2.5:1	72.4 (38.8–90.6)	72.9 (48.9–90.5)
ET ratio, 1:1	69.0 (33.8–86.9)	68.6 (27.0–87.9)
Cell cytotoxicity against Raji cells, %		
ET ratio, 10:1	77.3 (5.6–94.8)	72.4 (6.1–95.2)
ET ratio, 5:1	66.9 (4.0–92.0)	69.6 (5.0–87.4)
ET ratio, 2.5:1	60.9 (3.9–90.0)	66.1 (3.4–88.2)
ET ratio, 1:1	55.9 (3.9–89.3)	61.1 (0.0–89.1)

DNKI donor natural killer cell infusion, INF interferon, ET effector to target.

<sup>a</sup>All values are a median (range).

<sup>b</sup>Measured in the culture supernatant by enzyme-linked immunosorbent assay.

GVHD. Additionally, there were no significant differences in the cumulative incidence of either cytomegalovirus infection or Epstein-Barr virus reactivation between the treatment groups (Table 3; Fig. 2I and J). Other observed infections are listed in Table 3.

### FACS analyses for immune reconstitution

At 3 months after HCT, the median peripheral blood absolute NK cell count was 1.8-fold higher in the DNKI group (37,200/mL vs 20,550/mL,  $P = 0.032$ ; Fig. 3B; Supplementary Table S1). Increased cell counts were observed across all NK cell subsets. In addition, the median absolute T cell count was 2.6-fold higher in the DNKI group at 3 months (90,300/mL vs 34,550/mL,  $P = 0.031$ ; Fig. 3C; Supplementary Table S2). Increases in the T cell population were mainly due to increased CD8<sup>+</sup> cells (34,305/mL vs 12,183/mL), CD45RA<sup>+</sup> cells (28,063/mL vs 7626/mL), and CD45RO<sup>+</sup> cells (18,278/mL vs 8630/mL). The serum TNF- $\alpha$  level was higher in the DNKI group at 3 months (Supplementary Table S3). Although patients in DNKI group also tended to show higher cell cytotoxicity, the overall cytotoxicity was low (Supplementary Table S4).

**Table 3.** HCT outcomes according to the treatment group by intention-to-treat analysis.

Outcomes	DNKI (N = 40)	No DNKI (N = 36)	P value <sup>a</sup>
Disease progression, 30-month <sup>b</sup>	35% (21–50%)	61% (42–76%)	0.040
Non-relapse mortality, 30-month <sup>b</sup>	30% (17–44%)	28% (15–43%)	0.842
Progression-free survival, 30-month <sup>c</sup>	33% (19–47%)	11% (3–26%)	0.085 <sup>d</sup>
Overall survival, 30-month <sup>c</sup>	35% (21–50%)	19% (7–35%)	0.456 <sup>d</sup>
Engraftment			
ANC > 500/ $\mu$ L, 30-day <sup>b</sup>	95% (54–100%)	92% (74–98%)	0.824
Median HCT day (range)	10 (7–29)	10 (7–20)	
Platelet >20,000/ $\mu$ L, 150-day <sup>b</sup>	73% (55–84%)	64% (46–77%)	0.652
Median HCT day (range)	15 (9–132)	13 (0–45)	
Acute GVHD, 120-days <sup>b</sup>			
All grades	56% (39–70%)	56% (38–70%)	0.781
Grades 2–4	51% (34–65%)	47% (31–62%)	0.991
Grade 3 or 4	35% (21–50%)	31% (17–46%)	0.739
Chronic GVHD, 30-month <sup>b</sup>			
All	33% (19–47%)	39% (23–54%)	0.510
Moderate-to-severe	20% (9–34%)	25% (12–40%)	0.573
Severe	8% (2–18%)	11% (4–24%)	0.574
Infections			
CMV infection, 100-day <sup>b,e</sup>	71% (54–83%)	56% (38–70%)	0.362
EBV reactivation, 100-day <sup>b,f</sup>	65% (48–78%)	53% (36–67%)	0.355
CMV disease			
enterocolitis	2	0	
gastritis	1	0	
Bacteremia sepsis	8	5	
Pneumonia			
Invasive aspergillosis	1	1	
Influenza A virus	1	0	
Respiratory syncytial virus	1	0	
Enterococcus faecium	0	1	
Mycobacterium tuberculosis	0	1	
Pneumocystis jirovecii	1	0	
CNS HHV-6 infection	0	1	
Clostridium difficile colitis	2	1	
Cutaneous varicella zoster	2	1	
Cause of non-relapse mortality			
Acute GVHD	2	5	
Chronic GVHD	1	1	
Sepsis/MOF	3	1	



**Table 3.** continued

Outcomes	DNKI (N = 40)	No DNKI (N = 36)	P value <sup>a</sup>
Pneumonia	2	3	
Secondary graft failure	1	0	
GI bleeding	1	0	
SCMP	1	0	
Sudden cardiac death	1	0	

HCT hematopoietic cell transplantation, DNKI donor natural killer cell infusion, ANC absolute neutrophil count, GVHD graft-vs-host disease, CMV cytomegalovirus, EBV Epstein-Barr virus, HHV-6 human herpesvirus-6, MOF multiple organ failure, SCMP stress-induced cardiomyopathy.

<sup>a</sup>P values were determined by a Gray test with the exception of progression-free and overall survival.

<sup>b</sup>Cumulative incidence (95% confidence interval).

<sup>c</sup>Kaplan-Meier estimate (95% confidence interval).

<sup>d</sup>For which a log-rank test was used.

<sup>e</sup>CMV infection was defined as the detection of CMV pp65 antigen or DNA copies >10<sup>3</sup>/mL in the peripheral blood within 100 days of HCT.

<sup>f</sup>EBV reactivation was defined as the detection of EBV DNA copies >10<sup>3</sup>/mL in the peripheral blood within 100 days of HCT.

### Single-cell RNA-sequencing

For single-cell RNA-sequencing (scRNA-seq), stored PBMCs from seven patients (four DNKI and three no-DNKI patients) collected at three time points (before the conditioning therapy, and 1 and 3 months after HCT) were used (Supplementary Tables S5 and S6; Fig. 4A B; Supplementary Fig. S1). A total of 79,086 cell data were integrated. Subsequently, using SCTransform [37], eight major cell types were identified; B cells, CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, dendritic cells, monocytes, NK cells, other T cells, and others (Fig. 4C; Supplementary Fig. S2). The cell types were validated by calculating cell type-specific signature scores and comparing them via unsupervised clustering results (Fig. 4D; Supplementary Fig. S2). A high internal correlation within each cell type and low correlation with other cell groups indicated that the cell types were annotated accurately. Furthermore, marker genes showed high expression in the corresponding cell types (Fig. 4E). When the NK cell proportions were measured according to DNKI vs no-DNKI patients, as well as according to the timing of the treatment, the NK cell proportion was significantly higher in DNKI patients at 3 months after HCT (Fig. 4F).

### DNKI expands memory-like and CD56<sup>bright</sup> NK cells

Via unsupervised clustering analysis we identified various differentiation states of NK cells, including memory-like, CD56<sup>bright</sup>, transient, terminally-differentiated, exhausted, and proliferating NK cells (Fig. 5A). Among these subtypes, memory-like NK cells showed exclusive expression of CCL3, CCL4, GZMA/B, and IFNG (Fig. 5B; Supplementary Fig. S3). In addition, gene ontology (GO) analysis revealed that memory-like NK cells responded to interferon- $\gamma$  and had higher cytotoxic activity (Fig. 5C; Supplementary Fig. S4). CD56<sup>bright</sup> NK cells showed increased expression of SELL, IL7R, and XCL1/2 (Fig. 5B) and GO analysis indicated a tendency for cytokine and chemokine secretion. In addition, exhausted NK cells highly expressed LAG3 and ZEB2 and showed a tendency for low cytotoxicity and cell cycle arrest [38].

In the DNKI group of patients, there was a marked increase in the population of memory-like NK cells from the baseline at 3 months after HCT (34-fold increase; Fig. 5D). Likewise, CD56<sup>bright</sup> NK cells increased as well but to a lesser degree (4-fold increases at 3 months). In the no-DNKI patients, however, there was no significant increase in the aforementioned NK cell subtypes. In contrast to memory-like and CD56<sup>bright</sup> NK cells, the exhausted NK cells decreased after HCT in the DNKI patients. Among no-DNKI patients, however, these exhausted NK cells persisted and were

significantly more numerous than in DNKI patients until 3 months after HCT.

### Memory-like NK cells induce the clonal expansion of CD8<sup>+</sup> effector-memory T cells

To determine the DNKI effect on the proliferation and differentiation of CD8<sup>+</sup> T cells, we inferred the clonality of CD8<sup>+</sup> T cells using TRUST4 [39], which extracts T cell receptor (TCR) reads from BAM files and assembles these reads against human TCR contigs. Based on the re-aligned TCR sequences from scRNA-seq of CD8<sup>+</sup> T cells, we annotated TCR repertoires and inferred T cell clones (Supplementary Fig. S5A). By comparing the clonality of expanded CD8<sup>+</sup> T cells, we determined that these clonally expanded T cells had the characteristics of CD8<sup>+</sup> effector-memory T (T<sub>EM</sub>) cells (Supplementary Fig. S5B, C). Thereafter, we compared the frequency of clonally expanded CD8<sup>+</sup> T cells in the PBMCs of the patients. The DNKI patients showed a significantly higher frequency of clonally expanded CD8<sup>+</sup> T cells when compared to no-DNKI patients (Supplementary Fig. S5D).

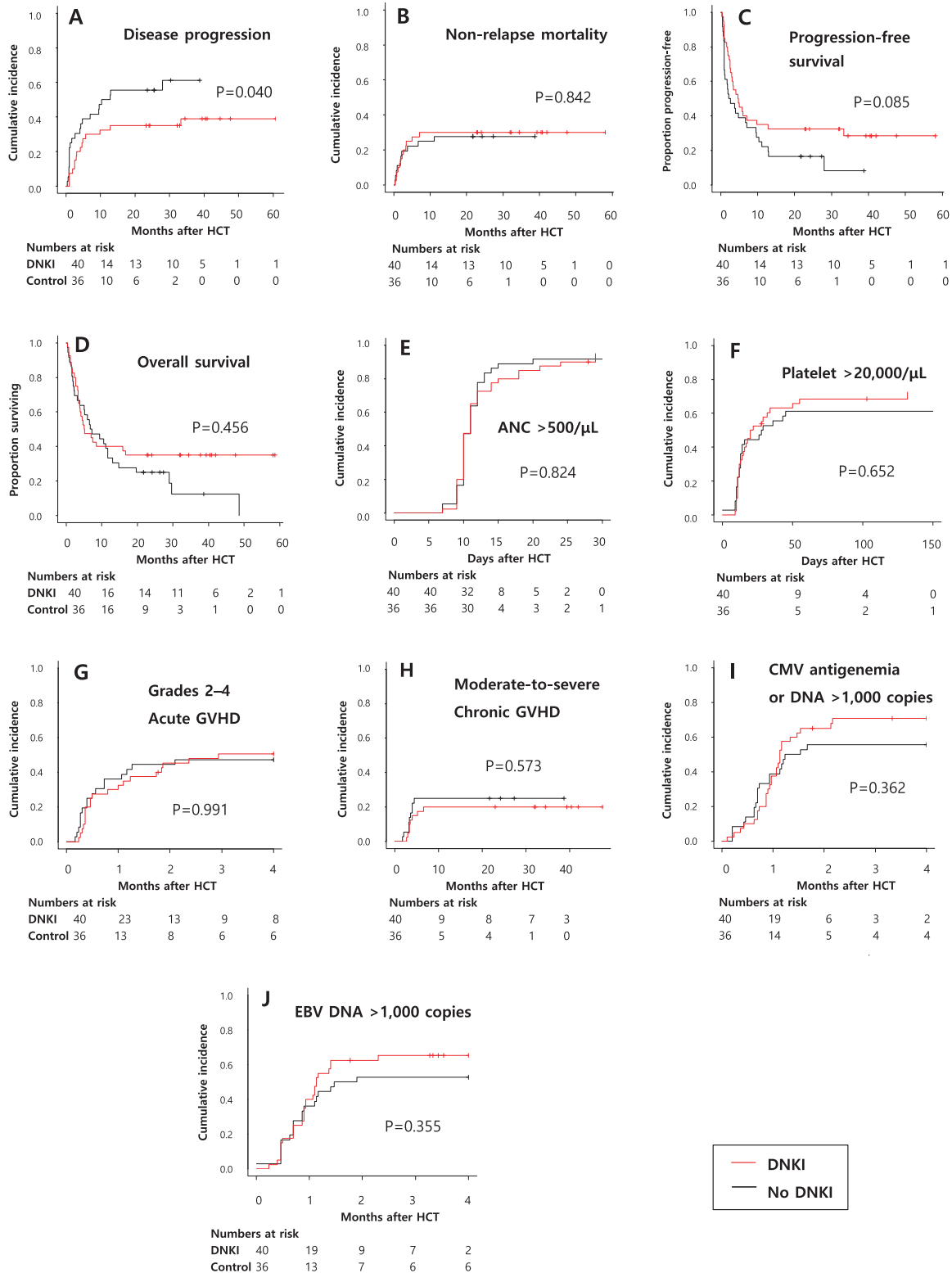
Using NicheNet, which can infer intercellular networks by combining known cell-cell interaction and single-cell transcriptome data [40], we next evaluated the interaction between NK cell subsets and CD8<sup>+</sup> T<sub>EM</sub> cells. In the inferred NK cell-T cell intercellular network, there was an increased number of links between memory-like NK and CD8<sup>+</sup> T<sub>EM</sub> cells (Fig. 5E). Memory-like NK cells prominently expressed NicheNet-predicted ligands, such as IFNG, CALR, ANXA1, GZMB, and ITGB2. Among these, IFNG and ITGB2 were the expected ligands that potentially bind to IFNGR1 and CD226 proteins, both of which were abundantly expressed in CD8<sup>+</sup> T<sub>EM</sub> cells (Fig. 5F) [41].

### PRDM1 and TBX21 are dominant transcription factors that induce memory-like NK cells after haploidentical HCT and DNKI

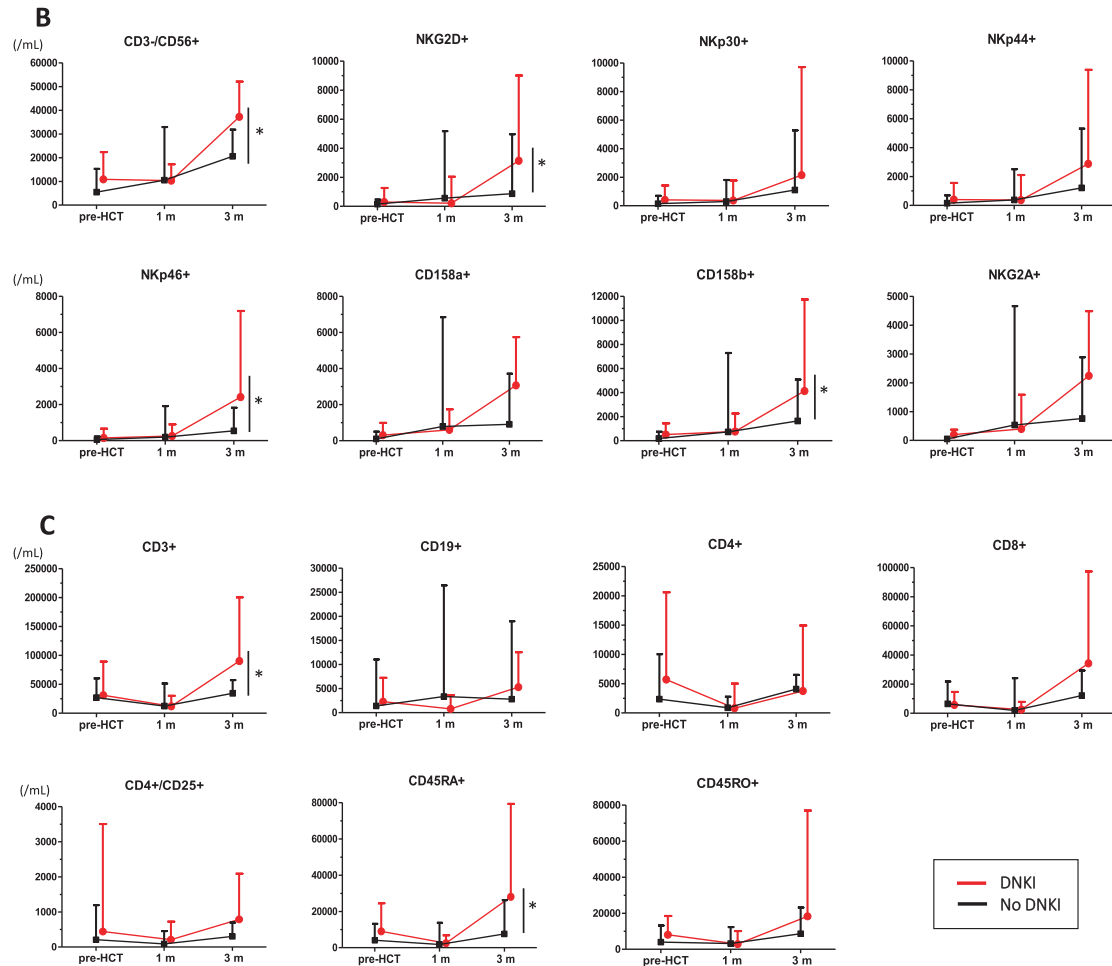
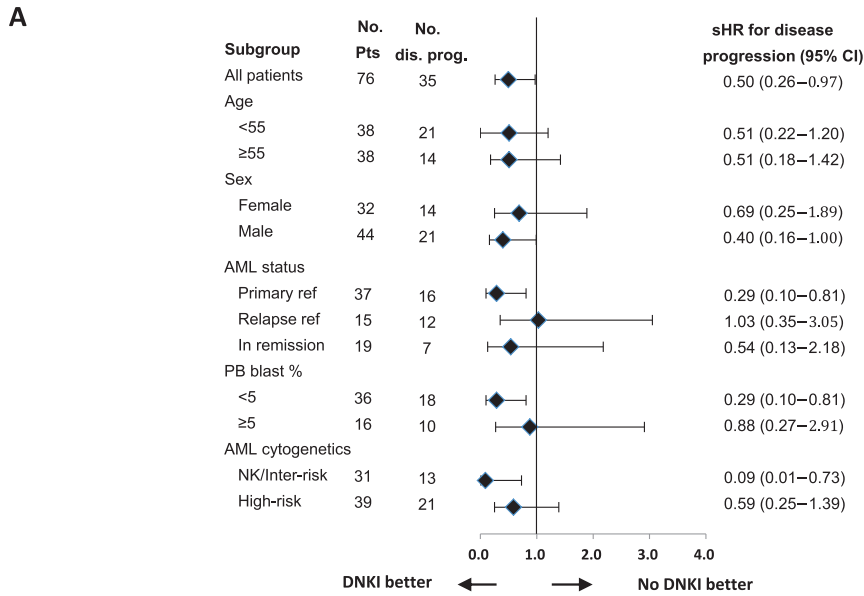
To better understand the molecular mechanisms of memory-like NK cell expansion in AML patients undergoing haploidentical HCT and DNKI, we reconstructed gene regulatory network (GRN) from NK cell scRNA-seq data to infer transcription factor (TF) activity [42]. By comparing the TF activity of NK cells, we identified 12 TFs which showed statistically significant difference between DNKI and no-DNKI patients. These were considered as master TF candidates (Supplementary Fig. S6). Furthermore, we reconstructed the differentiation trajectory of NK cells into memory-like NK cells using Monocle3 [43], and then selected 9 TFs with a correlation with this differentiation trajectory ( $\rho > 0.3$ ; Supplementary Fig. S7). PRDM1 and TBX21 met the criteria of the above two selection processes (Fig. 5G). For validation, we performed GO analysis on the 84 regulons of PRDM1 and TBX21 and they were significantly related to NK cell activation, cytotoxicity, and inflammation (Fig. 5H) [44, 45].

### DISCUSSION

Thus far, DNKI trials have been hampered by limited cell doses and limited efficacy. Our donor NK cell production method involved ex vivo culture in the presence of IL-15 and IL-21 for two to three weeks. The starting cell population was a CD3-depleted portion of mononuclear cells collected from the donors after mobilization. From a single-day leukapheresis product, a median 2.0 × 10<sup>8</sup> donor NK cells/kg was generated [20, 21]. This methodology produced a much higher number of NK cells than the separation methods (CD3-depletion/CD56-selection) from mononuclear cells collected at a steady state, which yields donor NK cells in the range of 1 × 10<sup>7</sup> cells/kg (Supplementary Table S7) [17, 19, 22, 24]. In terms of the quality of the donor NK cells, cell viability was 70–97% with a purity of 71–97% with respect to CD56<sup>+</sup>. The median CD3<sup>+</sup> rate was low at 0.5% (Table 2). These cells expressed known inhibitory and activating NK cell receptors, produced interferon- $\gamma$ ,



**Fig. 2 HCT outcomes in each treatment group determined by intention-to-treat analysis. A. B. E–H** The cumulative incidences of disease progression, non-relapse mortality, neutrophil engraftment, platelet recovery, grade 2–4 acute graft-vs-host disease (GVHD), and moderate-to-severe chronic GVHD, respectively. **C. D** Kaplan-Meier plots of progression-free survival and overall survival, respectively. **I** The cumulative incidences of cytomegalovirus (CMV) reactivation, determined by the detection of either CMV pp65 antigenemia or CMV DNA copies >10<sup>3</sup>/mL. **J** The cumulative incidences of Epstein-Barr virus (EBV) reactivation by detection of EBV DNA copies >10<sup>3</sup>/mL.



and showed a strong in vitro cell cytotoxicity. It was shown previously that the in vitro stimulation of NK cells with cytokines, such as IL-12, IL-15, and IL-18, can generate memory-like NK cells [29, 46, 47], whose characteristics include high expression of activating receptors, strong antitumor cytotoxicity, and enhanced recall response to subsequent cytokine stimulation.

Unlike our approach, NK cells can be expanded using feeder cells [15, 26, 31, 32]. In a recent study [15], donor NK cells were expanded from 500 mL of peripheral blood using IL-21/4-1BBL-expressing K562 feeder cells. Eleven of the 12 patients with myeloid malignancy in an extension phase cohort were able to receive intended high doses of  $1.0 \times 10^8$  donor NK cells/kg three



**Fig. 3 Subgroup analysis for disease progression and NK and T cell recovery after haploidentical HCT with or without post-HCT DNKI infusion.** **A** A forest plot of the subdistribution hazard ratios (sHR) for disease progression according to the prognostic subgroups of patients in the intention-to-treat populations. sHR (donor natural killer cell infusion (DNKI) group vs no-DNKI group) was estimated using Fine-Gray proportional hazard regression model according to patient age, gender, acute myeloid leukemia (AML) disease status, peripheral blood blast percentage in refractory AML, and AML cytogenetic risk. **B, C** As a part of immune parameter monitoring conducted in this study, lymphocyte subsets were counted in the peripheral blood of the patients. The median counts obtained before the initiation of conditioning therapy, and at 1 and 3 months after hematopoietic cell transplantation (HCT) were plotted. **B** The NK cell population as measured by CD3<sup>+</sup>/CD56<sup>+</sup> showed cell count recovery to pre-HCT level at 1 month after HCT, when the median cell counts were similar between the DNKI and no-DNKI groups of patients (10,300/mL vs 10,500/mL). At 3 months after HCT, however, the median NK cell count was significantly higher in the DNKI group of patients (37,200/mL vs 20,550/mL,  $P = 0.032$ ). All NK cell subsets were more numerous in the DNKI group with statistically significant differences in the NKG2D<sup>+</sup>, NKp46<sup>+</sup>, and CD158b<sup>+</sup> cell numbers (see also Supplementary Table S1). **C** Unlike NK cells, the T and B cell count recovery was delayed until 3 months after HCT. At that time, the CD3<sup>+</sup> T cell count was significantly higher in the DNKI group than in the no-DNKI group (90,300/mL vs 34,550/mL,  $P = 0.031$ ). The higher T cell count in the DNKI group of patients was mainly due to increased CD8<sup>+</sup>, CD45RA<sup>+</sup>, and CD45RO<sup>+</sup> cells. On the other hand, the CD4<sup>+</sup> T cell and CD19<sup>+</sup> B cell counts were similar between the two groups (see also Supplementary Table S2). sHR, subdistribution hazard ratio. \* $P < 0.05$  by Mann-Whitney test. Error bars represent upper quartile range.

times (HCT days of 2, 7, and 28). When all 24 evaluated patients in the study were compared to a contemporaneous registry cohort of patients, there was a significant reduction of disease recurrence (4% vs 38% at 24 months,  $P = 0.014$ ), as well as a non-significant improvement in disease-free survival (66% vs 44%;  $P = 0.1$ ).

To our knowledge, our current trial represents the first randomized study of the clinical effects of post-transplant DNKI. Our analyses showed that DNKI after haploidentical HCT in patients with high-risk AML and MDS results in a significant reduction in disease progression (35% vs 61% at 30 months; sHR, 0.50). Among the 57 patients with active disease of AML or MDS, the CR rate was significantly higher in the DNKI group than in the no-DNKI group (77% vs 52%). Other HCT outcomes, i.e., engraftment, acute and chronic GVHD, and, importantly, NRM were similar between the two groups. These data suggested that DNKI given after haploidentical HCT enhanced the graft-vs-leukemia effect without compromising GVHD. The net effect of decreased disease progression and a similar NRM resulted in an improved PFS in the DNKI group, although this difference was not statistically significant (33% vs 11% at 30 months;  $P = 0.085$ ). These findings corroborated the results of earlier studies that investigated DNKI effects through comparison with registry [15] or historical cohort [21]. Subgroup analysis in our limited number of patients showed that the anti-leukemia effect of DNKI was more pronounced in patients with primary-refractory AML, with a peripheral blood blast <5%, and with normal/intermediate-risk cytogenetic changes.

In our previous DNKI study [21], we administered high-dose DNKI (median,  $1.0\text{--}2.0 \times 10^8/\text{kg}$  cells) and measured the NK cell contents in the peripheral blood of nine patients by FACS analysis. There was no increase in NK cell count immediately after DNKI (1, 3, and 7 days later). It was speculated that the DNKI doses we gave may be still too low to be detected in the peripheral blood. Also, a rapid extravascular redistribution of donor NK cells might have occurred. In our current study, consistent with above findings, there was no significant difference in NK cell contents between DNKI vs no-DNKI groups at 1 month after HCT (about 10 days after the DNKI-2). A 3 months after HCT, however, peripheral blood NK cell contents were significantly higher for DNKI vs no-DNKI patients (median counts, 1.8-fold higher). These findings suggested that a significant NK cell proliferation occurred in the DNKI patients between 1 and 3 months after HCT. To further characterize the expanded NK cell population, we performed scRNA-seq using the stored PBMC samples from seven of our AML patients (4 DNKI and 3 no-DNKI cases). Uniform Manifold Approximation and Projection (UMAP) plotting and clustering analysis revealed that memory-like NK cells and CD56<sup>bright</sup> NK cells represented the main population of NK cells after HCT in the DNKI patients. These cells markedly increased from baseline (i.e., prior to HCT) in the DNKI patients but not in the no-DNKI patients (Fig. 5D). Exhausted NK cells, on the other hand, showed a contrary trend with a decrement in the DNKI patients and an increment in

no-DNKI patients. Similar findings of NK cell expansion after DNKI from the same HCT donor have been observed in other studies [15, 16]. Further GRN and pseudotime analyses showed that PRDM1 and TBX21, known regulators in diverse immune cell development [44, 45], were the two important TFs generating memory-like NK cells in the DNKI patients.

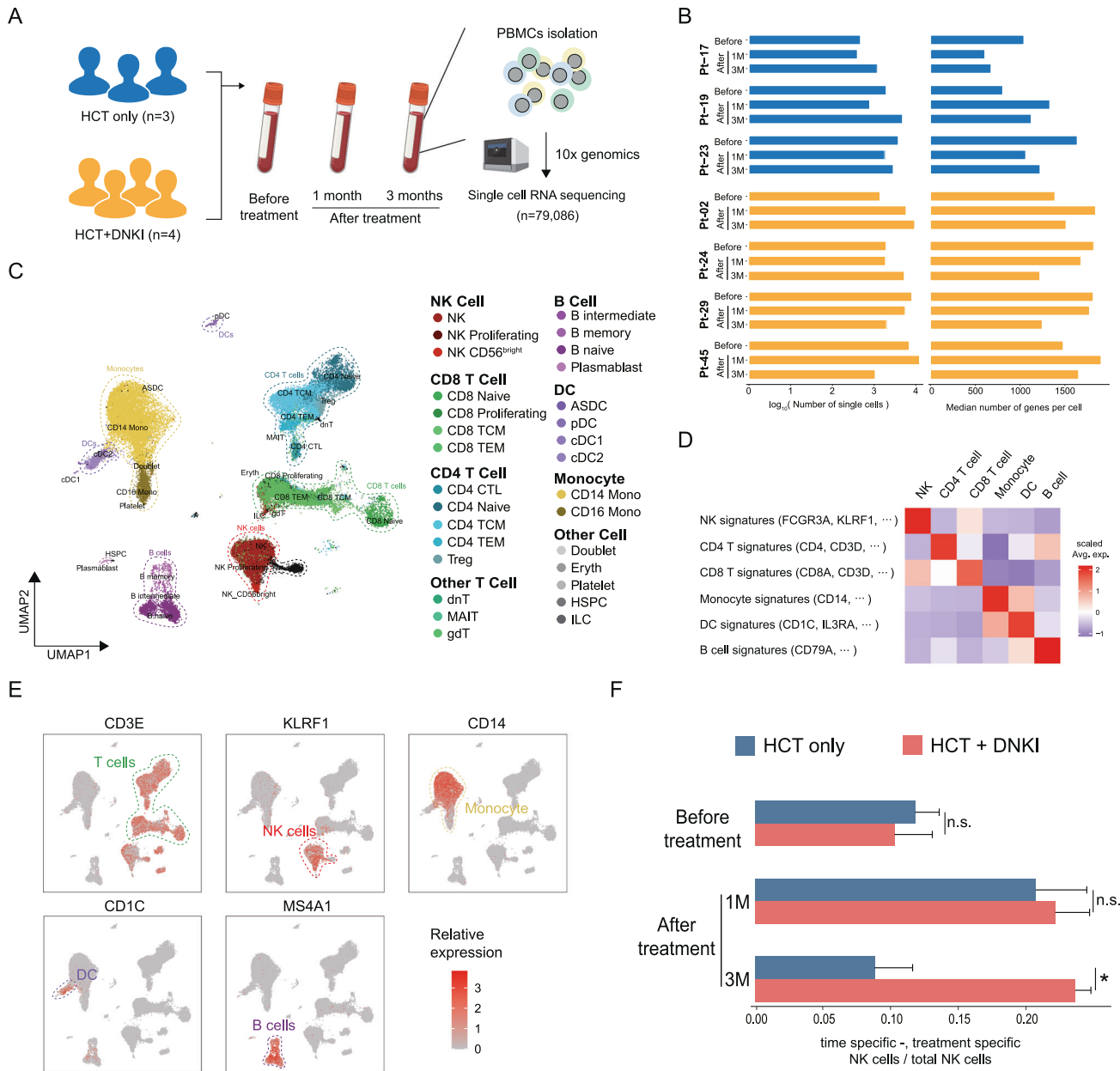
The DNKI patients in our study also showed better expanded CD8<sup>+</sup> T cells by FACS analysis when compared to no-DNKI subjects (median counts, 2.6-fold higher). Analysis of the clonality of TCR reads from scRNA-seq using TRUST4 [39] revealed that the main cell type of CD8<sup>+</sup> T cells in our DNKI patients was CD8<sup>+</sup> T<sub>EM</sub>, a subset of CD8<sup>+</sup> memory T cells with a strong cytotoxic function [48]. Analyses using NicheNet documented a strong intercellular network between memory-like NK cells and CD8<sup>+</sup> T<sub>EM</sub> cells mediated by interferon- $\gamma$  and ITGB2. Hence, DNKI after haploidentical HCT facilitated NK cell expansion, with the dominant subsets being memory-like NK cells. These expanded memory-like NK cells, in turn, may have activated and expanded CD8<sup>+</sup> T<sub>EM</sub> cells (Fig. 5F).

Although our finding of decreased disease progression after DNKI after haploidentical HCT is encouraging, our study is limited by small patient numbers. Furthermore, we used non-intensive preparatory regimen along with ATG/cyclosporine for GVHD prophylaxis. Therefore, our results need to be confirmed by further studies involving larger numbers of patients, using different haploidentical HCT methodologies, such as dose-intensive conditioning therapy and post-transplantation cyclophosphamide for GVHD prophylaxis [49]. In addition, the NK/CD8<sup>+</sup> T cell expansion after DNKI needs to be confirmed and their dynamics to be better defined by more frequent lymphocyte subset measurement.

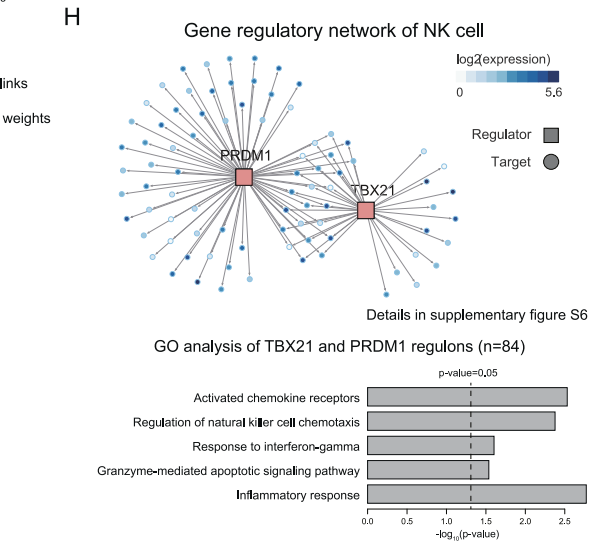
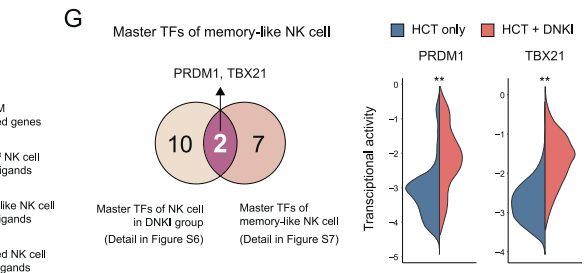
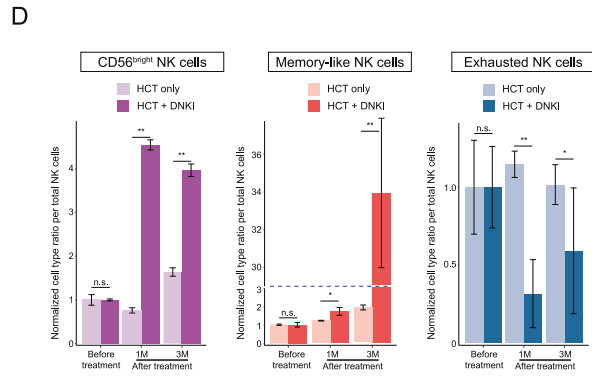
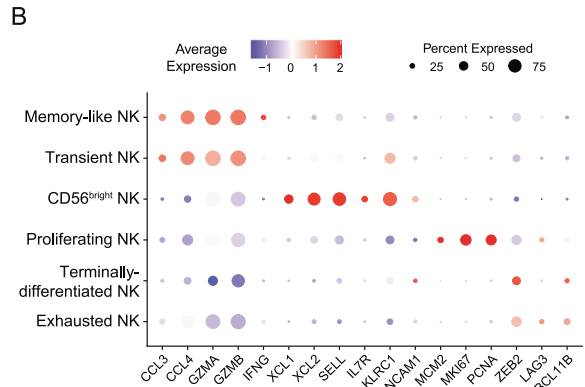
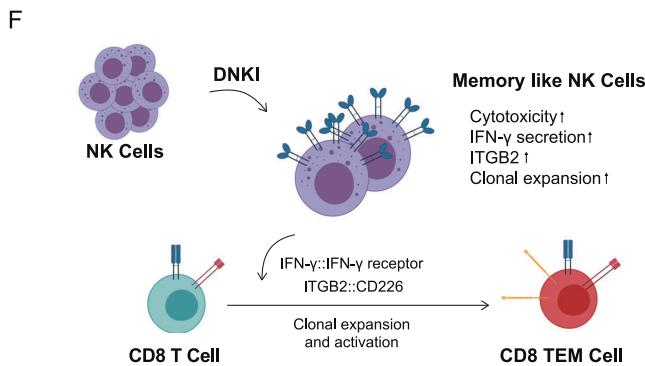
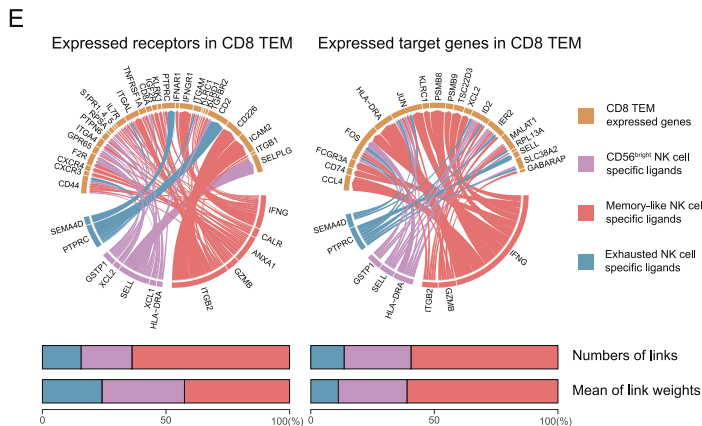
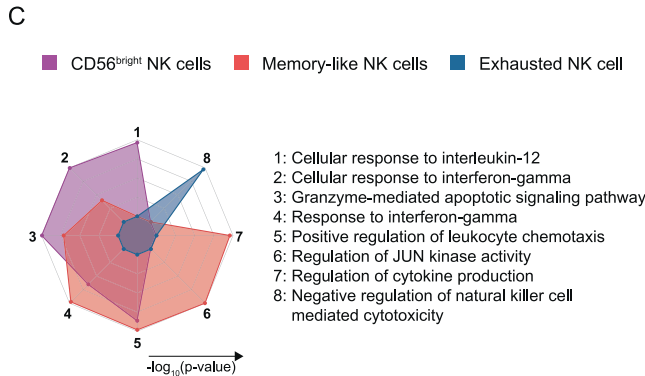
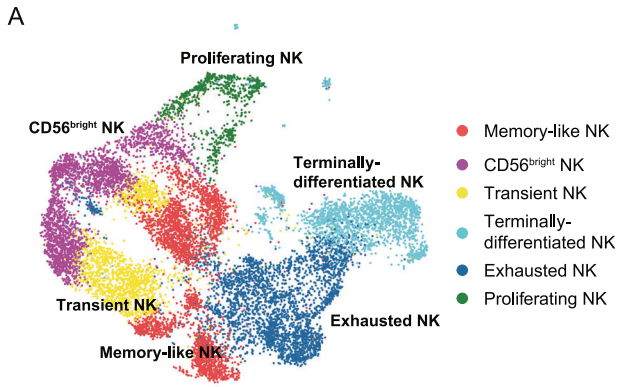
NK alloreactivity between the donor and patient [12, 50], as well as donor KIR haplotype [51] showed differential antileukemia effects after haploidentical HCT. In our study, NK alloreactivity determined by ligand-ligand and receptor-ligand models, as well as donor KIR haplotype were well-balanced between the DNKI and no DNKI patients (Table 1). There was no significant difference in disease progression according to these donor NK-related variables in our study patient cohort (data not shown). However, these variables need to be investigated further for their role in the DNKI treatment.

In vitro NK cell cytotoxicity assay performed using PBMCs collected from the patients showed no significant difference between the DNKI and no-DNKI patients (Supplementary Table S4). This may be due to different mechanisms of in vivo vs in vitro cytotoxicity. Or, the sensitivity of in vitro assay may be too low to detect the difference. Clinical utility of such assays in DNKI therapy remains to be investigated.

ATG given as a part of conditioning regimen is known to have a long serum half-life [36]. ATG was detected in varying levels at the time of DNKI in our study patients. ATG contains diverse antibodies against various immune cells including NK cells [52]. Although ATG given as a part of conditioning was shown to delay



**Fig. 4** Single cellular landscape of PBMCs after haploidentical hematopoietic cell transplantation (HCT) with or without post-transplantation donor natural killer cell infusion (DNKI). **A** Single-cell RNA-sequencing (scrna-seq) was performed using the stored PBMC samples from seven patients with acute myeloid leukemia [AML; four DNKI patients (numbers 2, 24, 29, and 45) and three no-DNKI patients (numbers 17, 19, 23)]. All seven patients survived for at least 4 months after HCT without AML progression. The samples were collected at 3 time points, i.e., before the conditioning therapy, and at 1 and 3 months after HCT. A total of 87,584 single-cell data were produced from the 21 thawed samples. Of those, 79,086 cell data passed the computational quality check (unique feature counts >200 and <7000; and mitochondrial read per cell <15% (Supplementary Fig. S1)). Data from a total of 79,086 cells were obtained using a 10X genomics platform. **B** The bar plot shows the numbers of single cells, as well as the median numbers of genes obtained per cell, from each study patients. **C** Uniform Manifold Approximation and Projection (UMAP) plotting identified eight major cell types, i.e., B cells ( $n = 2575$ ),  $CD4^+$  T cells ( $n = 13,618$ ),  $CD8^+$  T cells ( $n = 28,047$ ), dendritic cells ( $n = 1285$ ), monocytes ( $n = 12,291$ ), NK cells ( $n = 13,508$ ), other T cells ( $n = 6038$ ), and the others ( $n = 1724$ ). In addition, 31 sub-cluster cell types were discerned. **D** Heatmap of the scaled average expression of marker genes in each major cell types. The marker genes for each cell type were expressed exclusively in their annotated cluster. **E** Evaluation of cell type marker gene expression via UMAP embedding, i.e., CD3E in T cells, KLRF1 in NK cells, CD14 in monocytes, MS4A1 in B cells, and CD1C in dendritic cells. **F** Proportion of NK cells according to the DNKI vs no-DNKI patients, as well as according to the timing after HCT. The proportion of NK cells was higher in the DNKI patients at 3 months after HCT. These findings corroborated our FACS data which showed higher 3-month NK cell contents in the DNKI group of patients. TCM, central memory T cell; TEM, effector memory T cell; CTL, cytotoxic T lymphocyte; Treg, regulatory T cell; dnT, double negative T cell; MAIT, mucosal-associated invariant T cell; gdT =  $\gamma\delta$ -T cell; ASDC, AXL<sup>+</sup>, and SIGLEC6<sup>+</sup> dendritic cell; pDC, plasmacytoid dendritic cell; cDC1, conventional dendritic cell 1; cDC2, conventional dendritic cell 2; HSPC, hematopoietic stem progenitor cell; ILC, innate lymphoid cell; ns, non-significant. \* $P < 0.05$ ; Error bars represent standard error of the mean.



T and B cell reconstitution, NK cell recovery was not affected [53]. The significance of ATG affecting DNKI remains to be determined. In conclusion, ex vivo culture of mobilized, CD3-depleted donor mononuclear cells using IL-15 and IL-21 produced a large number of activated NK cells. When these donor NK cells were administered 2 to 3 weeks after haploidentical HCT to patients

with high-risk AML and MDS, disease progression was reduced without increased GVHD or NRM. Furthermore, this treatment seemed to facilitate immune reconstitution with higher blood contents of NK and CD8<sup>+</sup> T cells at 3 months after HCT. The main populations of these cells were memory-like NK and CD8<sup>+</sup> T<sub>EM</sub> cells.

**Fig. 5 Donor natural killer cell infusion (DNKI) expands memory-like NK cells, which, in turn clonally expand CD8<sup>+</sup> effector-memory T cells.** The memory-like NK cell expansion induced by DNKI is under the regulation of PRDM1 and TBX21. **A** To examine the DNKI effects on NK cell subtypes after haploidentical HCT, we performed clustering analysis of a UMAP plot which identified six NK cell subtypes, i.e., memory-like, CD56<sup>bright</sup>, transient, terminally-differentiated, exhausted, and proliferating NK cells. **B** Dot plot depicting the percentage of cells expressing a particular gene (size) and the average expression of that gene (color) according to the NK cell subtype. **C** Radar chart showing the significance scores ( $-\log(P \text{ value})$ ) from gene set enrichment analysis of eight selected gene sets and three NK cell subtypes (memory-like, CD56<sup>bright</sup>, and exhausted). **D** The mean normalized ratios of numbers of NK cell subtypes at 1 and 3 months after HCT over the baseline (before the conditioning). The DNKI cases showed increased ratios of memory-like and CD56<sup>bright</sup> NK cells and decreased ratio of exhausted NK cells, while no-DNKI cases showed low ratios of memory-like and CD56<sup>bright</sup> NK cells and a high ratio of exhausted NK cells. **E** Cell–cell interaction analysis performed with NicheNet showed an increased interaction between memory-like NK cells and CD8<sup>+</sup> effector-memory T cells (CD8<sup>+</sup> T<sub>EM</sub>) via IFNG and ITGB2. **F** Schematic representation of CD8<sup>+</sup> T<sub>EM</sub> expansion after the DNKI therapy. DNKI augmented the number of memory-like NK cells, which then released interferon- $\gamma$  and ITGB2 to clonally expand and activate CD8<sup>+</sup> T cells into CD8<sup>+</sup> T<sub>EM</sub> cells. **G** Venn diagram showing that PRDM1 and TBX21, master TFs for DNKI-specific NK cells, as well as memory-like NK cells (left panel). The violin plots show the transcriptional activity of PRDM1 and TBX21 in DNKI patients vs no-DNKI patients. The transcriptional activity of both TFs was markedly higher in the DNKI patients. **H** Visual representation of the reconstructed gene regulatory network of NK cells. The nodes in the network represent the two master regulator candidates (squares) and the corresponding target genes (circles). The bar plot shows the biological phenotypes of regulon regulated by PRDM1 and TBX21. TEM, effector memory T cell; n.s., non-significant. \* $P < 0.05$ ; \*\* $P < 0.001$ ; Error bars represent standard error of the mean.

## DATA AVAILABILITY

For the patient-level data generated in the study, please contact Dr. Kyoo-Hyung Lee at haploset@gmail.com.

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K-HL: Conceptualization, resources, study design, project administration, supervision, manuscript writing, and editing. SRY: NK cell production, analytical experiments, and data analysis. J-RG: Single-cell RNA analysis, manuscript writing and editing. E-JC: Study patient reenrollment and care, data analysis, statistical analysis, and manuscript editing. HSK: FACS data analysis. C-JP: FACS data analysis. S-CY: Statistical consultation and analysis. S-YP: NK cell production, analytical experiments, and data analysis. S-JJ: NK cell production, analytical experiments, and data analysis. HK: NK cell production, analytical experiments, and data analysis. SYL: NK cell production, analytical experiments, and data analysis. HJ: Single-cell RNA analysis and data interpretation. J-EB: Single-cell RNA analysis and data interpretation. MK: Single-cell RNA analysis and data interpretation. S-YK: Single-cell RNA analysis and data interpretation. J-HK: Single-cell RNA analysis and data interpretation. J-HL: Study patient enrollment and care, clinical data interpretation, and data analysis. J-HL: Clinical data analysis and interpretation. YC: Clinical data analysis and interpretation. H-SP: Patient management, clinical data interpretation, and data analysis. Y-SL: HCT management and clinical study data management. Y-AK: HCT management and clinical study data management. MJ: HCT management and clinical data management. JMW: HCT management and clinical data management. HK: HCT management and clinical data management. SB: HCT management and study data management. SMK: HCT management and study data management. H-MK: Single-cell RNA analysis, manuscript writing and editing. K-HC: Study design, resources, supervision, single-cell RNA analysis, manuscript writing and editing. IC: Conceptualization, resources, study design, project administration, supervision, manuscript writing, and editing.

## COMPETING INTERESTS

K-HL, E-JC, J-HL, J-HL, and H-SP are recipients of royalty from Ingenium Therapeutics, Daejeon, Korea. K-HL and E-JC are consultants for the same company and receive consultation fees. The other authors declare no competing conflict of interest.

## ADDITIONAL INFORMATION

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