

Disease-modifying therapies and infectious risks in multiple sclerosis

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Abstract | Immunomodulatory and immunosuppressive treatments for multiple sclerosis (MS) are associated with an increased risk of infection, which makes treatment of this condition challenging in daily clinical practice. Use of the expanding range of available drugs to treat MS requires extensive knowledge of treatment-associated infections, risk-minimizing strategies and approaches to monitoring and treatment of such adverse events. An interdisciplinary approach to evaluate the infectious events associated with available MS treatments has become increasingly relevant. In addition, individual stratification of treatment-related infectious risks is necessary when choosing therapies for patients with MS, as well as during and after therapy. Determination of the individual risk of infection following serial administration of different immunotherapies is also crucial. Here, we review the modes of action of the available MS drugs, and relate this information to the current knowledge of drug-specific infectious risks and risk-minimizing strategies.

Multiple sclerosis (MS) is an immune-mediated demyelinating and neurodegenerative disease^{1–4} that can give rise to heterogeneous clinical presentations⁵. This condition causes permanent cumulative disability, impairs quality of life and shortens life expectancy. Over the past few years, new disease-modifying therapies for MS have become available, and have prompted changes in treatment algorithms^{6–8}. As immunomodulatory treatment options for MS have become more efficient, the associated risk of adverse events, such as infections, has increased^{9–13}.

The different available treatments influence the immune system in distinct ways (TABLE 1) and, therefore, lead to specific infectious adverse effects^{14–17} (TABLE 2). For some treatment options, specific parameters have been identified that might predict the risk of infection. For example, dimethyl fumarate (DMF) treatment seems to be associated with long-lasting leukopenia and lymphopenia, whereas natalizumab treatment alters leukocyte function, leading to reduced leukocyte infiltration into the CNS and compromised local immune responses. The proper evaluation of the specific infections linked to each MS treatment will, hopefully, contribute to reducing the risk of treatment-associated infections, and to the customization of therapies for individual patients (TABLE 3).

The added risk of infection due to each MS drug is difficult to estimate, as the majority of patients receive

treatment, and the correlation between the mode of action and the individual infectious risk of each agent remains unproven in most cases. Some placebo-controlled trials have allowed researchers to identify the 'background' rate of severe infections in patients with MS, which ranges from 0.2% to 2.6%^{18–21}. Here, we review the mode of action of the current therapies for MS, focusing on the newer disease-modifying treatments (DMTs), and summarize the associated infectious risks along with their clinical implications.

Treatment of relapses

The overall goal of MS management is to control disease activity, halt progression and, ideally, induce reversal of neurological deficits. This endeavour involves long-term administration of disease-modifying drugs.

Two-thirds of patients experience a relapsing-remitting form of the disease. New or reoccurring focal neurological deficits or signs in the context of MS are considered to be relapses²². These relapses are usually treated with pulsed high doses of glucocorticosteroids, and steroid-refractory relapses can be treated with plasmapheresis. The anti-inflammatory effects of these treatment options attenuate acute exacerbations and hasten recovery. In contrast to DMTs, which are aimed at reducing disease activity, as reflected by the annual relapse rate and disease progression, the treatment of relapses is an acute intervention.

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Key points

- Multiple sclerosis (MS) is a chronic immune-mediated demyelinating and neurodegenerative disease, and the main disease-modifying treatments rely on modulation or suppression of the immune system
- Current results show that most drugs to treat MS are linked to an increased risk of infection to varying degrees, depending on their mode of action
- Continuous assessment of infectious risks before, during and after disease-modifying therapy for MS, especially when using intravenous drugs, has increasing clinical relevance
- Experience with the recently approved oral MS disease-modifying therapies illustrates that even after approval, new treatment-associated infectious risks must be taken into account
- With an increasing number of treatment-associated infections, accurate diagnostic work-up of patients with MS who present with new neurological symptoms becomes crucial
- Owing to the possibly serious or even fatal complications of modern MS treatment options, safety data on infections must be collected and evaluated in specific databases following drug approval

Glucocorticosteroids

In general, most patients start with relapsing–remitting MS (RRMS) which, over time, evolves into secondary progressive MS (SPMS). A minority of patients never present with RRMS but experience primary progressive MS (PPMS) from the start. Glucocorticosteroids are mainly used in high doses for short periods of time (0.5–3.0 g daily for 3–5 days, for example) to treat acute exacerbations in MS^{23–26}. Although convincing class I evidence is lacking, repeated pulse therapy (every 3 months, for example) is also used in occasional patients with SPMS or PPMS^{23,24,27}. Mechanistically, glucocorticosteroids have pleiotropic effects: they curtail the production of numerous inflammatory mediators and attenuate the migration of immune cells across the blood–brain barrier.

Long-term continuous glucocorticosteroid administration, which is not typically used in the treatment of MS, is associated with bacterial, viral, fungal and parasitic infections²⁸. Patients receiving this uninterrupted therapy, to treat rheumatoid arthritis for example, are susceptible to more-severe courses of infection, and the treatment might reactivate dormant conditions²⁹. Moreover, clinical signs of infection can be diminished or masked by glucocorticoid treatment, making localization of the infection site more difficult.

The association between pulsed high-dose glucocorticosteroid treatment and serious infectious complications is less clear. In contrast to continuous treatment, repeated pulse therapy, even at very high doses, does not increase the propensity to develop bacterial or fungal infections, but severe viral infections, such as varicella zoster virus (VZV) or herpes simplex virus (HSV), can develop³⁰ (TABLE 2). Therefore, it is advisable to routinely test for some of these potentially masked infections during the treatment of MS with glucocorticosteroids^{31,32} (TABLE 3).

Plasmapheresis

Plasmapheresis (also known as plasma exchange), which rapidly removes pathogenic substances such as autoantibodies, immune complexes and cytokines from the circulation, can be a therapeutic option in

glucocorticosteroid-refractory MS relapses^{33–37}. In general, five to eight courses of plasmapheresis are used as escalating treatment. Plasmapheresis is an invasive therapy that exposes the patient to the risk of infection, primarily through the central venous catheter but also via the elimination of immunoglobulins or complement components³⁸.

Several small studies and case series that involved patients with MS and with other diseases reported different rates of infectious complications associated with plasmapheresis^{34,39–41}. In larger studies, the reported incidence of catheter-associated complications ranges from 0.5% to 3.3% in patients with chronic hepatitis C, Guillain–Barré syndrome or other neurological diseases^{42–44}. A series of 1,283 plasmapheresis treatments in 79 patients with neurological conditions did not reveal any severe adverse effects or infection with hepatitis B, hepatitis C or HIV⁴². Similarly, no plasmapheresis-associated infections were detected in 2,502 plasmapheresis sessions in a cohort of 335 patients (among which over 90% had neurological diseases)⁴⁵ or in a smaller study of 154 courses of plasmapheresis in 17 patients with neurological conditions⁴⁶. Transmission of viral infections becomes more frequent if plasmapheresis requires the use of fresh frozen plasma rather than albumin^{34,47,48}.

Disease-modifying treatments

DMTs are used to attenuate or silence disease activity through long-term modulation of inflammation and normalization of aberrant immune responses, all of which translates into reduced relapse rates and disease progression, and disability improvement. DMTs can broadly be categorized into recombinant cytokines, complex peptide mixtures, monoclonal antibodies and small molecules.

Injectable agents

IFN- β . For over 20 years, IFN- β has been used to treat RRMS, SPMS and clinically isolated syndrome (CIS). The mechanism of action of IFN- β is complex and involves downregulation of immune recognition molecules such as MHC class II antigens, co-stimulatory molecules and adhesion molecules, modulation of the balance between proinflammatory and anti-inflammatory cytokines, reduction of lymphocyte migration across the blood–brain barrier and, potentially, stimulation of neuronal growth factor release (TABLE 1). IFN- β 1a is administered by weekly intramuscular injection at 30 μ g, or by thrice-weekly subcutaneous injections at 22 μ g or 44 μ g. IFN- β 1b is administered by subcutaneous injection at 250 μ g every second day.

The phase III studies that led to the licensing of subcutaneous injections of IFN- β 1b⁴⁹ and IFN- β 1a⁵⁰ and intramuscular injections of IFN- β 1a⁵¹ for the treatment of RRMS did not reveal an increased risk of infectious adverse effects. In addition, 20 years of real-life experience have not uncovered an increased prevalence of specific infections in IFN- β -treated patients, despite treatment-associated leukopenia, with the exception of occasional local infections or abscess formation at the injection site. Like other interferons, the recently licensed pegylated

IFN- β 1a has not been associated with an increased risk of infection^{52,53}. The first case of progressive multifocal leukoencephalopathy (PML) (BOX 1) associated with IFN- β 1a monotherapy was reported recently⁵⁴. However, the patient had an underlying common variable immunodeficiency syndrome with hypogammaglobulinaemia, which probably caused the PML.

Overall, treatment with IFN- β does not carry an increased risk of infection (most notably, opportunistic infections) (TABLE 2), possibly owing to the involvement of the interferon type I signalling pathway in the defence against viral infections⁵⁵. Nevertheless, regular monitoring of complete blood counts (CBC) is recommended during treatment.

Glatiramer acetate. Glatiramer acetate is a synthetic polymer that diminishes the expression of MHC class II molecules, deactivates monocytes and macrophages, shifts the cytokine profile from a proinflammatory T-helper 1 (T_H1) to an anti-inflammatory T_H2 phenotype, and could exert neuroprotective effects (TABLE 1). The drug is administered by daily subcutaneous injection at a dose of 20 mg to patients with CIS or RRMS⁵⁶. In light of the results of the GALA study⁵⁷, the licence for glatiramer acetate was extended to the treatment of mild and moderate RRMS at a dose of 40 mg injected subcutaneously thrice weekly.

During treatment with all doses of glatiramer acetate, individual cases presented with changes in CBC, including leukocytosis or leukopenia, and morphological changes in lymphocytes. HSV infections and vaginal candidiasis were 2% more frequent in patients treated with glatiramer acetate (both treatment regimens) than in placebo-treated patients, whereas other infections, such as abscesses, cellulitis, boils, shingles or pyelonephritis, were rarer with glatiramer acetate treatment than with placebo⁵⁸. According to the manufacturers' prescribing information, no specific laboratory parameters need to be checked during glatiramer acetate treatment, and no opportunistic infections have been described to be associated with administration of the drug.

Oral drugs

Fingolimod. Fingolimod, a first-in-class sphingosine-1-phosphate (S1P) receptor modulator, was the first oral drug to be approved for the treatment of MS. S1P receptor engagement on T cells blocks their emigration from lymph nodes into the CNS. The action of fingolimod on S1P receptors displayed by glial cells could also promote reparative processes⁵⁹ (TABLE 1). Under the terms of the FDA licence, fingolimod can be used as a first-line option to treat RRMS, and the European Medicines Agency (EMA) has approved its use for highly active MS.

The phase III studies that led to marketing approval of fingolimod administration at 0.5 mg daily found similar overall infection rates with and without the drug (TRANSFORMS study: 51% versus 53%; FREEDOMS study: 69% versus 72%) but higher rates of lower respiratory tract infections in fingolimod-treated patients than in the placebo group^{18,19}. Serious infections developed in 1.6% (fingolimod 0.5 mg daily) and 2.6% (fingolimod 1.25 mg daily) of the treated patients compared

with 1.9% in patients who received the placebo, with two fatal infections, one case of herpes simplex encephalitis and another of VZV infection in patients treated with 1.25 mg fingolimod^{18,60,61}. An integrated safety analysis of more than 3,500 patients with MS included in licensing trials⁶⁰ did not reveal an elevated risk of infectious adverse effects, including severe HSV infections, when fingolimod was compared with placebo. These results were in line with the findings of the FREEDOMS trial¹⁸. The incidence of VZV infections in patients treated with 0.5 mg fingolimod was 7 per 1,000 patient-years in the postmarketing phase, and 11 per 1,000 patient-years (versus 6 in 1,000 patient-years in the placebo group) in another integrated analysis of phase II and III trials and uncontrolled extension studies⁶².

Before treatment, the patients participating in the trials were required to be tested for VZV seroprotection — an assessment that is generally recommended before initiation of fingolimod. Seronegative individuals should be vaccinated against varicella if possible⁶³. Nonetheless, even in seroprotected patients, immunity can be impaired during fingolimod treatment and VZV infections or HSV-associated encephalitis can occur^{64,65}.

In addition to VZV and HSV infections, post-marketing surveillance revealed other types of cerebral infections potentially associated with fingolimod treatment (TABLE 2). Reports of single cases of cryptococcal brain and skin infections^{66–68} have prompted an update of the prescribing information to include the potential risk of cryptococcal infection. In 2015, the manufacturer of fingolimod also reported a case of progressive PML in a patient with no record of previous immunosuppressive treatment, who had been treated with this drug for 4 years. The patient was diagnosed after lesions characteristic of the disease were found on a routine cerebral MRI scan, and traces of the JC virus (JCV), which causes PML⁶⁹, were detected in the cerebrospinal fluid (CSF). Fingolimod treatment was stopped and the patient did not develop further clinical signs of PML. Other cases of PML have been described in association with fingolimod use, but they were all linked to pretreatment with natalizumab and other immunosuppressive agents⁷⁰ (TABLE 2).

A further case of PML was reported in June 2015 in a 54-year-old patient with a 16-year history of MS who had received fingolimod for 2.5 years. He had also been exposed for 4 years to mesalazine (5-aminosalicylic acid) for ulcerative colitis (Novartis, personal communication). During treatment, lymphocytes were within the therapeutic range ($0.33\text{--}0.55 \times 10^9$ cells per l). The patient developed difficulties walking, along with hemiparesis, apathy and stereotyped movements. An MRI scan revealed changes compatible with PML, and JCV DNA was detected in the CSF.

Fingolimod should be used with awareness of the potential risk of PML, and treatment should be permanently discontinued if PML is suspected⁷⁰. Furthermore, regular monitoring of differential blood counts and lymphocyte levels is recommended by the EMA and the FDA. German guidelines suggest that complete and differential blood counts should be performed at the start of therapy,

Table 1 | Multiple sclerosis treatments and their mode of action

Drug/treatment	Molecule or therapeutic principle	Mode of action	Effects on the immune system
Relapse treatments			
<ul style="list-style-type: none"> • Methylprednisolone • Dexamethasone 	Glucocorticosteroids	<ul style="list-style-type: none"> • Genomic and non-genomic effects¹⁸¹ • Suppression of inflammation by induction of apoptosis and inhibition of migration of immune cells^{181,182} • Reduction of inflammatory cytokine levels (IL-2, IFN-γ and tumour necrosis factor)²⁵ • Inhibition and reduced production of arachidonic metabolites³¹ • Restoration of the blood–brain barrier²⁶ 	<ul style="list-style-type: none"> • Transient leukocytosis (increased neutrophils in particular) • Lymphopenia
Plasmapheresis/plasma exchange	<ul style="list-style-type: none"> • Removal and treatment of blood plasma, followed by its return to the circulation • Extracorporeal therapy 	Rapid removal of pathological substances (autoantibodies, immune complexes and cytokines) from the circulation ¹⁸³	Reduction of antibody, complement and cytokine levels
Injectable disease-modifying treatments			
<ul style="list-style-type: none"> • IFN-β1a/b • Peg-IFN-β1a 	<ul style="list-style-type: none"> • Recombinant cytokine • Pegylation prolongs biological half-life and biological activity^{184,185} 	<ul style="list-style-type: none"> • Promotes T_H1 to T_H2 shift in cytokine response (increased production of anti-inflammatory cytokines, suppressed production of proinflammatory cytokines) • Decreases T-cell activation through binding to the interferon receptor • Enhances T-suppressor cell activity • Modulates MHC expression • Reduces inflammatory cell migration across the blood–brain barrier • Decreases T-cell migration • Modulates co-stimulatory molecules on antigen-presenting cells • Blocks activity of matrix metalloproteinases and chemokines • Acts as a secreted ligand for specific cell surface receptors and induces gene transcription, causing antiviral, antimicrobial, antiproliferative/antitumorous and immunomodulatory effects^{186–195} 	Leukopenia (lymphopenia in particular)
Glatiramer acetate	Synthetic peptide, random polymer of four amino acids (glutamic acid, lysine, alanine, tyrosine) found in MBP ¹⁹⁶	<ul style="list-style-type: none"> • Increases production of anti-inflammatory cytokines (IL-4, IL-6, IL-10) and decreases production of proinflammatory cytokines (IL-12)¹⁹⁷ • Induces T suppressor cells¹⁹⁸ • Induces T_H1 to T_H2 shift in T-cell responses through effects on dendritic cells¹⁹⁷ • Promotes migration of T_H2 cells into the CNS¹⁹⁷ • Inhibits MBP-specific T-cell responses¹⁹⁹ • Binds promiscuously to MHC antigens with high affinity to prevent presentation of CNS antigens²⁰⁰ • May exert direct neurotrophic effects and promote remyelination through induction of brain-derived neurotrophic factor¹⁹⁷ • Increases numbers of regulatory CD8⁺ cells and, via FOXP3, activates the transformation of conventional CD4⁺CD25⁻ T cells to regulatory CD4⁺CD25⁺ T cells¹⁹⁸ 	Rare leukocytosis or mild leukopenia
Oral disease-modifying treatments			
Fingolimod	Sphingosine 1-phosphate receptor functional antagonist ²⁰¹	<ul style="list-style-type: none"> • Blocks egress of lymphocytes (mainly CCR7⁺CD4⁺ naive and central memory T cells) from the lymph nodes²⁰¹ • Reversibly redistributes lymphocytes into lymphoid tissue, while preserving lymphocyte function • Prevents naive and central memory T cells from circulating to non-lymphoid tissues such as the CNS • Causes lymphoid cell retention in secondary lymphoid tissue • Can exert neuroprotective effects by crossing the blood–brain barrier and binding to neuronal and glial cells²⁰² • Alters the balance of NK-cell subsets • Could modulate remyelination • Increases astrocyte migration^{203–210} 	Lymphocyte redistribution

Table 1 (cont.) | Multiple sclerosis treatments and their mode of action

Drug/treatment	Molecule or therapeutic principle	Mode of action	Effects on the immune system
<i>Oral disease-modifying treatments (cont.)</i>			
Dimethyl fumarate	Fumaric acid methyl ester	<ul style="list-style-type: none"> • Inflammatory and cytoprotective effects (mainly through Nrf2 signalling pathway activation)^{211,212} • Reduces expression of NF-κB-dependent genes, leading to modulation of inflammatory cytokine, chemokine and adhesion molecule expression²¹¹ • Protects against oxidative stress-induced cellular injury in neurons and astrocytes and cell loss via upregulation of an Nrf2-dependent antioxidant response²¹³ 	Leukopenia (lymphopenia)
Teriflunomide	<ul style="list-style-type: none"> • Active metabolite of leflunomide • Pyrimidine synthesis inhibitor 	<ul style="list-style-type: none"> • Inhibits <i>de novo</i> pyrimidine synthesis in rapidly dividing cells by inhibiting the dihydroorotate dehydrogenase, causing a cytostatic effect on activated/proliferating T and B cells²¹⁴ • Does not affect dividing or resting cells^{214,215} 	Leukopenia (neutropenia)
Azathioprine	Purine analogue	<ul style="list-style-type: none"> • Blocks <i>de novo</i> purine synthesis pathway • Induces apoptosis in stimulated T cells²¹⁶ 	Leukopenia and lymphopenia
<i>Intravenous disease-modifying treatment</i>			
Natalizumab	Humanized monoclonal anti-α4-integrin antibody	<ul style="list-style-type: none"> • Prevents immune cells (T and NK cells) from crossing blood vessel walls to reach affected organs²¹⁷ • Induces lymphocyte apoptosis²¹⁸ 	Diminished immune surveillance in the CNS
Alemtuzumab	Monoclonal anti-CD52 antibody	<ul style="list-style-type: none"> • Binds to CD52⁺ cells, leading to their depletion • Repopulation of lymphocytes, leading to long-term changes in adaptive immunity and rebalancing of the immune system^{121–123,219,220} 	Leukopenia and long lasting lymphopenia (T cells affected more than B cells)
Mitoxantrone	<ul style="list-style-type: none"> • Type II topoisomerase inhibitor • Anthraquinone-derived antineoplastic agent 	<ul style="list-style-type: none"> • Intercalates with DNA and causes single-strand and double-strand breaks^{137,221} • Impairs DNA repair^{137,221} • Inhibits RNA transcription²²² • Has antiproliferative effects on macrophages, T cells and B cells²²² • Modulates astrocyte activity²²³ • Induces suppressive T cells²²⁴ 	Leukopenia and lymphopenia

CCR, chemokine receptor; MBP, myelin basic protein; NK, natural killer; Nrf2, nuclear factor erythroid 2-related factor 2; T_H, T-helper.

after 2 and 4 weeks and, subsequently, every 3–6 months during treatment⁷¹. In the event of sustained lymphocyte counts below 0.2×10^9 cells per l, treatment should be discontinued until levels rise above 0.6×10^9 cells per l.

To determine the true magnitude of the infectious risk associated with fingolimod, analysis of large data sets from postmarketing studies is required. However, given the 140,000 patient-years of exposure to the treatment to date, the risk seems to be low.

Dimethyl fumarate and fumaric acid esters. DMF (also known as BG12) is another oral treatment option for MS. In 2013, following the phase III studies DEFINE²⁰ and CONFIRM^{72–74}, the FDA and the EMA licensed DMF at a twice-daily dose of 240 mg. This drug acts on MS by attenuating the activity of proinflammatory T_H1 and T_H17 cells, and by scavenging toxic oxygen metabolites (TABLE 1).

The placebo-controlled DEFINE study revealed that twice-daily and thrice-daily 240 mg doses of DMF were associated with a comparable occurrence of infection to placebo (64% and 68% versus 65%). Most of the infections reported were nasopharyngeal, affected the upper

respiratory tract or urinary tract, or were influenza-like infections. Severe infections were detected in 2% of the patients in all groups, but none of these infections were opportunistic. In patients with lymphocyte counts below 0.5×10^9 cells per l, no severe infections were detected. Overall, this study suggests that DMF does not exacerbate the risk of infection in patients with MS.

In the other pivotal study, CONFIRM, DMF at 240 mg twice or thrice daily was compared with glatiramer acetate treatment or placebo administration. Adverse infectious events were observed in 56% of patients in the two DMF study arms, compared with 50% in the two comparator arms. As in the DEFINE study, infections mainly comprised upper respiratory tract infections, urinary tract infections (UTIs), bronchitis and gastroenteritis. In all groups, infections labelled ‘severe’ were detected in 2% of the patients. In individuals with lymphocyte counts below 0.5×10^9 cells per l, no severe infections were encountered.

In both DEFINE and CONFIRM, lymphocyte counts in patients declined by about 30% during the first year of DMF treatment, mainly due to a reduction in CD8⁺ lymphocyte numbers⁷⁵, and were stable thereafter.

Table 2 | Major infections associated with approved immunomodulatory and immunosuppressive MS treatments^{225–227}

Drug	Bacterial infections	Viral infections	Fungal infections	Protozoa and parasites
Relapse treatment				
GCS (high-dose pulsed treatment)	<ul style="list-style-type: none"> • Pyogenic bacteria • Gram-negative rod-shaped bacteria/enterobacteria • Gram-positive rod-shaped bacteria • <i>Mycobacterium tuberculosis</i> • Other mycobacteria 	<ul style="list-style-type: none"> • JCV (PML) and HBV reactivation in association with CT • Particular risk of herpesviruses/CMV 	<ul style="list-style-type: none"> • <i>Pneumocystis jiroveci</i>, mostly in association with CT • Cryptococcal meningitis 	Reported in continuous GCS treatment
Injectable disease-modifying treatments				
<ul style="list-style-type: none"> • IFN-β1a/b • Peg-IFN-β1a 	<ul style="list-style-type: none"> • No increased risk of infections⁵² • Possible increased response against <i>Mycobacterium avium</i>²²⁸ • Local infections at injection site possible 	<ul style="list-style-type: none"> • JCV (PML) after intramuscular IFN-β1a monotherapy with combined CVID (+)⁵⁴ • Possible antiviral effect on HBV/HCV, no risk of reactivation in chronic viral hepatitis^{229–233} 	No increased risk of infections ^{52,234–238}	<ul style="list-style-type: none"> • NR • Possible protective effect against <i>Leishmania</i>²³⁶
Glatiramer acetate	Local infections at injection site possible	Herpesviruses/CMV (+)	Candidosis +	NR
Oral disease-modifying treatments				
Fingolimod	(+) ¹⁸	<ul style="list-style-type: none"> • JCV (PML) (+)²³⁹ • Herpesviruses ++ 	Cryptococcal meningitis/meningoencephalitis (+) ^{66,67}	NR
Dimethyl fumarate and fumaric acid esters	(+)	JCV in patients with MS and psoriasis (+) ^{77,78,83,86} and in patients treated with CT ^{*78,80–82,240}	NR	NR
Teriflunomide	<ul style="list-style-type: none"> • Fatal <i>Klebsiella</i>-related septicaemia (+)²⁴¹ • Gastrointestinal tuberculosis (+) 	<ul style="list-style-type: none"> • JCV (+) • Case reports in patients treated with leflunomide/CT/PT • Combined CMV + hepatitis C infection (+) 	Seen in patients treated with leflunomide or CT	NR
Azathioprine	+	<ul style="list-style-type: none"> • JCV (+) • Seen in patients treated with CT • Herpesvirus CT/++ • HBV reactivation +^{98,242} 	Seen in patients treated with CT +	Seen in patients treated with CT +
Intravenous disease-modifying treatments				
Natalizumab	<ul style="list-style-type: none"> • Gram-negative rod-shaped bacteria/enterobacteriaceae: • <i>Mycobacterium tuberculosis</i> (+)²⁴³ atypical mycobacteria^{244,245} 	<ul style="list-style-type: none"> • JCV (PML) ++^{111,119} • Herpesvirus ++^{246–252} 	Severe cutaneous <i>Candida</i> infection (+) ²⁵³	<ul style="list-style-type: none"> • Protozoa (+) • Cryptosporidiosis (+)^{21,254}
Alemtuzumab	<i>Listeria meningitis</i> (+) ^{125,135,136}	<ul style="list-style-type: none"> • JCV: not reported for MS • Herpesvirus^{133,134} 	NR	<i>Cryptosporidium</i> infection + ^{134,255}
Mitoxantrone	+	Herpesvirus ++	+	+

The table includes findings from trials in neurology, haematology and rheumatology. (+), single cases; +, reported association; ++, of particular risk. CMV, cytomegalovirus; CT, under combination therapy; CVID, common variable immunodeficiency syndrome; GCS, glucocorticosteroids; HBV, hepatitis B virus; HCV, hepatitis C virus; JCV, JC virus; MS, multiple sclerosis; NR, no reported risks for MS treatment, or insufficient data; PML, progressive multifocal leukoencephalopathy; PT, immunosuppressive pretreatment. *See main text for details.

Absolute lymphocyte counts below 0.5×10^9 cells per l (National Cancer Institute Common Toxicity Criteria grade 3) were seen in about 6% of the patients treated with DMF^{20,76}.

In 2015, the first case of PML in a patient with MS treated with DMF (Tecfidera®) was published⁷⁷. The patient had been enrolled in the placebo arm of the DEFINE study, and had a 19-year history of MS. She had previously been treated with glatiramer acetate. During the open-label extension study, she received

240 mg of DMF twice a day. After 1 year, she developed lymphopenia ($0.29–0.58 \times 10^9$ lymphocytes per l). Due to the onset of gait disturbance, dysarthria, and problems coordinating her left arm, the patient was given high doses of glucocorticosteroids and was subjected to plasmapheresis for management of a suspected relapse of MS, in line with current guidelines. The patient failed to respond to treatment. An MRI scan showed cerebral lesions compatible with PML, and her CSF was positive for JCV DNA.

In July 2015, Biogen communicated a second case of PML associated with DMF administration in a patient with PPMS⁷⁸. The patient had received DMF for 26 months on an off-label basis, leading to recurrent lymphopenia (lymphocyte counts below 0.30×10^9 cells per l). No other PML risk factors including previous immunosuppressive treatments were identified. Later that year, the manufacturer reported a third DMF-associated PML case⁷⁸. A patient with RRMS received DMF for 1 year, and repeatedly exhibited lymphocyte counts below 0.5×10^9 cells per l. Again, no other risk factors for PML were identified, and the patient had been pretreated only with subcutaneous injections of IFN- β 1a. All three of these patients were anti-JCV antibody-positive at the time of PML diagnosis.

Overall, as of November 2015, four cases of PML during DMF (Tecfidera[®]) treatment have been reported in patients with MS (Biogen, personal communication)^{77–79}. Importantly, DMF treatment (including various formulations of fumaric acid esters) for other diseases, such as psoriasis, has also been shown to be associated with PML^{78,80–85}, although most patients with psoriasis carried other risk factors for this infection (history of malignancy, systemic lupus erythematosus, sarcoidosis, myelodysplastic syndrome, cytotoxic or efalizumab treatment), creating uncertainty regarding a causative relationship between PML and DMF^{78,83,86}. Other groups have reported cases of human herpes virus 8-associated Kaposi-sarcoma⁸⁷ or nocardia infection in patients receiving DMF⁸⁸.

On the basis of case reports, the EMA recently provided updated recommendations to minimize the risk of PML associated with DMF treatment — changes that also apply to other fumarate medicines⁷⁹. Baseline MRI and white blood cell counts, including lymphocyte counts, should be performed before embarking on DMF therapy. The blood counts should be repeated every 3 months. Should lymphocyte counts drop below 0.5×10^9 cells per l for more than 6 months, the risk–benefit ratio should be reassessed. If therapy is continued in such patients, they should be considered at increased risk for PML, and should be appropriately monitored for signs and symptoms of new neurological dysfunction. Other lymphocyte thresholds apply in patients treated for psoriasis with fumaric acid esters⁷⁹.

Future research should establish whether monitoring of white blood cell and differential blood counts, using a critical threshold of 0.5×10^9 lymphocytes per l, will generate sufficient protection for patients against PML during DMF treatment. It should be noted that a case of PML was recently reported in a 64-year-old woman with psoriasis who had been treated for about 2 years with topical glucocorticosteroids and Psorinovo[®] (a compounded delayed-release form of DMF; compounding pharmacy, Mierlo-Hout, Helmond, Netherlands) and did not have a history of prolonged or severe lymphopenia⁸⁴. Leukocyte and lymphocyte counts were normal at commencement of therapy and during treatment, although lymphocytes had not been monitored for 19 months before the diagnosis of PML by brain biopsy, and the extent of lymphopenia, if any, during that period is unknown⁸⁹. In the patient's history, no risk factor for the development of

PML other than fumaric acid ester treatment was discovered. We can speculate that impaired lymphocyte function or reduction of lymphocyte subgroups (for example, CD8⁺ lymphocytes), in addition to the absolute lymphocyte count, contributes to PML risk. PML cases have also been described without detectable underlying risk factors⁹⁰.

Teriflunomide. Teriflunomide — an antimetabolite that inhibits the enzyme dihydroorotate dehydrogenase, which is essential for *de novo* pyrimidine synthesis by proliferating T cells — preferentially diminishes the activity of recently antigen-activated T lymphocytes (TABLE 1). The results of the two phase III trials TEMSO⁹¹ and TOWER⁹² led to the approval of teriflunomide as a first-line treatment for RRMS by the FDA in 2012, and by the EMA in 2013 (REFS 74,93).

In the placebo-controlled TEMSO trial, teriflunomide was well tolerated at doses of 7 mg and 14 mg per day, and severe adverse events affected only a minority of the patients. The incidence of infections was similar in all three study arms (placebo 2.2%, teriflunomide 7 mg 1.6%, and teriflunomide 14 mg 2.5%). The incidence of opportunistic infections was not communicated by the investigators. In the group randomly assigned to receive 14 mg of teriflunomide daily, three patients developed severe pyelonephritis, leading to treatment withdrawal in one case.

During the TOWER trial⁹², moderate and serious infections occurred at a similar frequency — serious infections 3% and UTIs 1% — in the three treatment groups (teriflunomide 7 mg and 14 mg, and placebo). One patient who received active treatment died from *Klebsiella*-induced sepsis. In addition, two opportunistic infections were identified: a patient in the placebo group contracted hepatitis C and cytomegalovirus infections, and a patient in the teriflunomide 14 mg arm developed intestinal tuberculosis. The latter patient recovered with standard tuberculostatic therapy. In both cases, the investigators considered the infection to be unrelated to the treatment⁹².

Analysis of long-term data from the initial phase II trial⁹⁴, which encompassed up to 8.5 years of experience with teriflunomide treatment⁹⁵ and the TOPIC trial⁹⁶, which investigated teriflunomide treatment of CIS, did not reveal an increased risk of infection associated with teriflunomide treatment. Among the severe adverse events associated with teriflunomide, single cases of appendicitis, bronchitis, pneumonia and UTI were reported, but none led to treatment withdrawal. Neither PML nor other opportunistic infections related to the treatment were reported⁹⁵.

To minimize the infectious risk related to teriflunomide therapy, CBC should be performed every 2 months on initiation of the treatment, and repeated every 3 months after 6 months of treatment. According to the TOWER trial⁹², treatment should be discontinued if neutrophil counts drop below 1.0×10^9 cells per l. The German guidelines⁷¹ recommend suspension of treatment if absolute lymphocyte counts fall below 0.2×10^9 cells per l.

Table 3 | Infectious risk-minimizing strategies for disease-modifying drugs in multiple sclerosis

Drug	Recommendations before treatment initiation	Recommendations during treatment	Recommendations after treatment
Glucocorticosteroids (high-dose pulsed treatment)	<ul style="list-style-type: none"> • Exclusion of active or latent infection (CBC, CRP, ESR) • Check history for systemic mycosis, viral infections, tuberculosis (chest X-ray if patient is from endemic tuberculosis region or risk group) • Delay treatment after live vaccinations 	Laboratory testing and search for infectious focus in cases of fever	Delay of subsequent treatment on individual basis
<ul style="list-style-type: none"> • IFN-β1a/b • Peg-IFN-β1a 	None	<ul style="list-style-type: none"> • Regular checks of injection sites • CBC every 3 months • Standard relapse treatment • No combinations of immunomodulatory drugs beyond clinical trials 	None
Glatiramer acetate	None	<ul style="list-style-type: none"> • Regular checks of injection sites • Standard relapse treatment • No combinations of immunomodulatory drugs beyond clinical trials 	None
Fingolimod	<ul style="list-style-type: none"> • VZV antibody status. If negative, individual decision for active or passive immunization • Exclusion of active or latent infection (CBC, CRP, ESR; HIV/ HBV/HCV/tuberculosis testing) • MRI check for PML depending on previous treatment • Leukocyte subpopulations depending on previous treatment 	<ul style="list-style-type: none"> • CBC weeks 2, 4 and 12/every 3 months (more frequent if below 0.6×10^9 lymphocytes per l) • Standard relapse treatment after MRI • Alertness for herpesvirus infections and PML • No combinations of immunomodulatory drugs beyond clinical trials 	<ul style="list-style-type: none"> • CBC and differential blood count until normalization • Delay of subsequent treatment depending on planned drug
Dimethyl fumarate	<ul style="list-style-type: none"> • Exclusion of active or latent infection (CBC, CRP; HIV/ HBV/ HCV testing) • MRI check for PML depending on previous treatment • Leukocyte subpopulations depending on previous treatment 	<ul style="list-style-type: none"> • CBC and lymphocyte counts before and every 3 months during treatment • Stop treatment if confirmed leukopenia (cell counts $<3 \times 10^9$ cells per l) or lymphopenia (cell counts $<0.5 \times 10^9$ cells per l) (>6 months) • Standard relapse treatment after MRI • Alertness for PML • No combinations of immunomodulatory drugs beyond clinical trials 	<ul style="list-style-type: none"> • CBC and differential blood count until normalization • Delay of subsequent treatment and laboratory testing depending on planned drug
Teriflunomide	<ul style="list-style-type: none"> • Exclusion of active or latent infection (CBC; HIV/ HBV/ HCV/ tuberculosis testing) • MRI check for PML depending on previous treatment • Leukocyte subpopulations depending on previous treatment 	<ul style="list-style-type: none"> • CBC months 2, 4 and 6 and subsequently every 3 months • Standard relapse treatment • No combinations of immunomodulatory drugs beyond clinical trials 	<ul style="list-style-type: none"> • CBC and differential blood count until normalization • Delay of subsequent treatment and laboratory testing depending on planned drug
Azathioprine	<ul style="list-style-type: none"> • Exclusion of active or latent infection • VZV antibody status; if negative, individual decision for active or passive immunization 	<ul style="list-style-type: none"> • CBC and differential blood count weekly for the first 2 months (higher frequency of monitoring in older patients, when using high doses, and if renal or liver function is impaired) • After 2 months, CBC and differential blood count every month or at least every 3 months • Live vaccine contraindicated • Alertness for PML 	<ul style="list-style-type: none"> • CBC and differential blood count until normalization • Delay of subsequent treatment and laboratory testing depending on planned drug
Natalizumab	<ul style="list-style-type: none"> • Exclusion of active or latent infection (CBC, CRP, ESR; HIV testing), optional HBV/ HCV/ tuberculosis testing • Check history for herpesvirus infections/systemic mycosis/PML • JCV antibody status • MRI check for PML depending on previous treatment 	<ul style="list-style-type: none"> • Blood count every 6 months • JCV antibody status if negative every 6 months • Standard relapse treatment after MRI • Awareness of PML • Annual MRI first 2 years and every 6 months after 2 years of treatment • No combinations of immunomodulatory drugs beyond clinical trials 	<ul style="list-style-type: none"> • CBC and differential blood count until normalization • Delay of subsequent treatment and laboratory testing depending on planned drug

Table 3 (cont.) | Infectious risk-minimizing strategies for disease-modifying drugs in multiple sclerosis

Drug	Recommendations before treatment initiation	Recommendations during treatment	Recommendations after treatment
Alemtuzumab	<ul style="list-style-type: none"> • Exclusion of active or latent infection (CBC, CRP, ESR; HIV/HBV/HCV/ tuberculosis testing) • MRI check for PML depending on previous treatment • Leukocyte subpopulations depending on previous treatment • VZV antibody status; if negative, individual decision for active or passive immunization • Check history for systemic mycosis, repeated urinary tract and pulmonary infections, pressure ulcers 	<ul style="list-style-type: none"> • Acyclovir treatment (2 × 200mg daily) for the first month of treatment cycle • CBC each month up to 4 years after last treatment cycle • Annual MRI for 4 years after last treatment cycle • Annual HPV screening • Standard relapse treatment after MRI • No combinations of immunomodulatory drugs beyond clinical trials 	<ul style="list-style-type: none"> • CBC and differential blood count and lymphocyte subpopulation until normalization • Delay of subsequent treatment and laboratory testing depending on planned drug
Mitoxantrone	<ul style="list-style-type: none"> • Exclusion of active or latent infection (CBC, CRP, urine analysis, optional HIV/HBV/ HCV/tuberculosis testing) • Contraindicated if neutropenia is detected (<1.5 × 10⁹ cells per l) • Chest X-ray 	<ul style="list-style-type: none"> • Weekly CBC after application until leukocytes are back to normal and before each infusion • Dose adaptation following leukocyte nadir • Exclusion of active or latent infection (CBC, CRP, urinalysis) before every treatment cycle • Standard relapse treatment • No combinations of immunomodulatory drugs beyond clinical trials 	<ul style="list-style-type: none"> • Delay of subsequent treatment and laboratory testing depending on planned drug • CBC every 3 months for up to 5 years after last infusion

CBC, complete blood count; CRP, C-reactive protein; ESR, erythrocyte sedimentation rate; HBV, hepatitis B virus; HCV, hepatitis C virus; HPV, human papilloma virus; JCV, JC virus; PML, progressive multifocal leukoencephalopathy; VZV, varicella zoster virus.

Azathioprine. The prodrug azathioprine is an anti-metabolite, and its immunosuppressive effect derives from its analogy with purines: it inhibits DNA synthesis, mostly affecting highly proliferative cells such as T cells (TABLE 1). In the absence of alternative treatment options, azathioprine was used to treat RRMS for many years before IFN-β became available⁹⁷⁻⁹⁹.

Patients receiving azathioprine are at increased risk of bacterial, viral, fungal, protozoal and opportunistic infections, including reactivation of latent infections¹⁰⁰. These infections can have serious or even fatal outcomes. Coadministration with allopurinol, a drug used to treat hyperuricaemia, interferes with the degradation of azathioprine and enhances the risk of agranulocytosis (severe leukopenia)¹⁰¹. The risk of azathioprine-related leukopenia is increased 35-fold in patients who carry the Arg139Cys polymorphism in the *NUDT15* gene, which is frequently found in Asian populations¹⁰².

Frequent testing (complete and differential blood counts) is essential to both determine the individual dosage of azathioprine and to detect potential adverse effects as early as possible.

Intravenous treatment options

Natalizumab. Natalizumab is a humanized monoclonal antibody that recognizes α4 integrins and disrupts their interaction with the adhesion molecule VCAM-1. These events result in reduced migration of leukocytes from the blood to the CNS, and could also lead to deactivation of T cells that have invaded the CNS parenchyma (TABLE 1).

The trials that led to the approval of natalizumab for the treatment of relapsing MS (AFFIRM and SENTINEL) showed an overall elevation in the incidence of influenza

infections, UTIs, upper respiratory tract infections and nasopharyngitis related to the therapy. Single cases of cryptosporidial diarrhoea, fatal herpes encephalitis and cryptococcal meningitis were also reported^{21,103,104}.

During the AFFIRM study²¹, 3.2% of the patients treated with natalizumab and 2.6% of the patients receiving the placebo showed severe infections. In the natalizumab-treated group, these serious infections included four cases of pneumonia and five cases of UTI or urosepsis; the remaining infections reported as serious had various causes, and included pilonidal cyst infection, cellulitis, febrile infection, gastroenteritis, cryptosporidial diarrhoea, mononucleosis, osteomyelitis, sinusitis, tonsillitis, viral infection, appendicitis, and an infection of unclear cause. During the SENTINEL study¹⁰³, 2.7% of patients treated with combination therapy (natalizumab plus IFN-β1a) and 2.9% of patients who received IFN-β1a treatment alone displayed severe infections. In this study, no cases of tuberculosis were reported.

As a consequence of the phase III studies^{21,103}, the prescribing information for natalizumab indicates a generalized risk of developing opportunistic infections¹⁰⁵, in particular PML¹⁰⁶. The SENTINEL study reported two cases of PML (one of which was recognized after the study was completed), which prompted a transient market withdrawal of natalizumab. A third case of PML was subsequently reported in a natalizumab-treated patient with Crohn disease¹⁰⁷.

As of September 2015, the overall incidence of natalizumab-associated PML is 4.03 per 1,000 patients (Biogen internal data, September 2015 safety update on natalizumab). In the 142,000 individuals treated with natalizumab up to March 2015, PML was confirmed in

Box 1 | **Progressive multifocal leukoencephalopathy**

Clinical features*

- Cognitive and behavioural changes
- Motor deficits (hemiparesis, apraxia)
- Speech disturbances (dysarthria, aphasia)
- Retrochiasmatic visual disturbance
- Cerebellar ataxia
- Seizures (focal or generalized)
- Sensory deficits (sensory loss, neglect)^{168–170}

Diagnostic tools

- MRI screening with fluid-attenuated inversion recovery, diffusion-weighted and T2-weighted sequences¹⁷¹ (note that the differentiation between multiple sclerosis [MS] and asymptomatic progressive multifocal leukoencephalopathy [PML] lesions may be challenging¹⁷²)
- Cerebrospinal fluid (CSF) testing: PCR can be performed to detect JC virus (JCV) DNA. In case of negative JCV PCR (which does not exclude PML), use specific IgG antibody index (serum/CSF >1.5) and/or brain biopsy^{69,112}

Risk factors

- JCV antibody presence
- Immunomodulatory/immunosuppressive treatments (classified by decreasing risk)¹⁷³:
 - Natalizumab (Class I)[†]: prior immunosuppression, length of natalizumab treatment
 - Rituximab (Class II)
 - Fingolimod (Class III): prolonged lymphopenia (possible)
 - Dimethyl fumarate (Class III): prolonged lymphopenia (possible)
 - Alemtuzumab (Class III)

Complications

- High mortality (23% in natalizumab-treated MS patients; Biogen, personal communication)
- Immune reconstitution inflammatory syndrome (IRIS)¹⁷⁴
- Residual neurological impairment of survivors¹⁷⁰:
 - Severe 30%
 - Moderate 50%
 - Mild 15%
 - None 5%

Treatment

- Discontinuation of immunosuppression
- Administration of mefloquine¹⁷⁵, mirtazapine^{176–178}, or recombinant human IL-7 (REF. 179) to patients who contract PML might improve the clinical course of the disease

Prognosis

- Survival is associated with younger age at PML diagnosis, significantly lower Expanded Disability Status Scale score, higher Karnofsky Performance Scale score and lower CSF JCV DNA copy number¹⁸⁰

*These features are listed in decreasing occurrence. Patients often present with more than one symptom. [†]PML risk stratification: Class I agents, high risk of development of PML, long latency from drug initiation, underlying disease not predisposing to PML; Class II agents, undefined latency from drug initiation, underlying disease predisposing to PML; Class III agents, low risk of development of PML, sporadic cases of PML.

585 patients with MS and in three patients with Crohn disease. 23% of the patients who developed PML died. Robust evidence indicates that the risk of developing PML in people receiving natalizumab treatment rises when JCV antibodies are present, when patients have a history of immunosuppressive pretreatment, and when treatment duration exceeds 2 years^{108–110}. Within this stratification, PML risk ranges from 0.1 in 1,000 to 11 in 1,000 patients (manufacturer's data; Biogen internal

data, September 2015 safety update on natalizumab, calculation per treatment epoch)^{111,112}; this risk has recently been recalculated independently, and was found to be 23 in 1,000 patients (cumulative risk beyond 24 months of exposure)¹¹¹.

No direct antiviral treatment is yet available for PML¹¹³. When this condition is diagnosed, discontinuation of natalizumab treatment and rapid removal of the antibody from the patient's circulation by plasmapheresis or immunoadsorption is recommended. Development of immune reconstitution inflammatory syndrome (IRIS) with paradoxical worsening of neurological deficits is a frequent complication¹¹⁴, usually requiring intensive care unit treatment and pulsed high-dose glucocorticosteroid therapy. Patients who are assigned to receive natalizumab must be informed of the potential PML risk and the limited treatment options in the event of such a complication.

Pharmacovigilance (CBC every 6 months), clinical awareness raised by the emergence of any new neurological symptoms during natalizumab treatment (cognitive and behavioural changes, retrochiasmatic visual disturbance, hemiparesis, and/or seizures), and frequent clinical and MRI monitoring are essential to minimize the risk of natalizumab-related PML (BOX 1). In high-risk patients, MRI scanning every 3 months after 18 months of natalizumab administration is recommended¹¹⁵. If a patient is negative for JCV antibodies, repeated testing every 6 months is advisable.

The CSF JCV antibody index is suggested as a helpful tool to detect natalizumab-associated PML^{112,115–117}. In addition, recent studies have shown that serum or plasma JCV antibody levels can contribute to PML risk stratification in antibody-positive patients with no prior immunosuppressant use¹¹⁸.

Recent observations indicate that PML can manifest up to 6 months after discontinuation of natalizumab treatment. These findings include cases where PML was diagnosed after natalizumab treatment was stopped and a new disease-modifying drug was already established¹¹⁹. Researchers found that patients presenting with PML within 6 months after withdrawal of natalizumab frequently had other PML risk factors¹²⁰. Follow-up MRI should be performed after termination of natalizumab, even in asymptomatic patients¹²¹.

Alemtuzumab. Alemtuzumab, an anti-CD52 humanized monoclonal antibody, depletes B and T cells, and leads, via consecutive repopulation, to a long-lasting reconfiguration of the adaptive immune system with a preponderance of regulatory cells^{122–124} (TABLE 1). On the basis of concordant results in a large active comparator phase II trial and two phase III trials^{125–127}, alemtuzumab is recommended for the treatment of highly active MS. Routine alemtuzumab treatment for MS is administered in annual cycles, with five infusions in the first year and three infusions 12 months later.

In the CARE-MS I trial¹²⁶, infections were reported to be more frequent with alemtuzumab therapy than with IFN-β1a treatment. Most of the infections (98%) were mild to moderate, and none of the reported infections

necessitated treatment discontinuation. Upper respiratory tract and herpes infections were predominant, with no life-threatening or fatal outcomes. One patient from a tuberculosis-endemic region developed pulmonary tuberculosis after alemtuzumab therapy and recovered completely with standard treatment^{128,129}.

The CARE-MS II trial¹²⁷ also reported a greater incidence of infections in patients treated with alemtuzumab than in those receiving IFN- β 1a (77% versus 66%). The most frequently reported infections, which were predominantly of mild to moderate severity, were nasopharyngitis (29% versus 24%), UTIs (21% versus 11%), and upper respiratory tract infections (16% versus 12%). Severe infections, which occurred more often with alemtuzumab treatment than with IFN- β 1a treatment (4% versus 1%), included mucocutaneous herpes and fungal infections. VZV reactivation (shingles) required inpatient treatment in two cases. No cases of herpes encephalitis were reported.

In both trials, prophylactic acyclovir treatment for the first month of every cycle of alemtuzumab therapy reduced the proportion of herpes simplex infections (CARE-MS I: 1% versus 3%; CARE-MS II: 0.5% versus 2.8% after the first cycle and 0.4% versus 2.1 after the second cycle), compared with alemtuzumab alone.

One CARE-MS I participant, who was from a tuberculosis-endemic region, developed pulmonary tuberculosis after alemtuzumab therapy, and recovered completely with standard tuberculosis treatment^{128,129}. In the CARE-MS II trial, another patient from a tuberculosis-endemic region, who had received one course of high-dose alemtuzumab (24 mg), developed tuberculosis. Another CARE-MS II participant had a positive tuberculin skin test. Both of the CARE-MS II participants responded well to standard tuberculosis treatment.

To date, no cases of PML have been reported after alemtuzumab administration in patients with MS. However, opportunistic infections, such as PML, have been reported following alemtuzumab treatment for haematological indications, and in transplant patients who had received prior immunosuppressive or cytotoxic treatments, as well as those undergoing immunosuppressive combination or long-term therapy^{130–132}.

Two retrospective cohort studies^{133,134} found no serious infections associated with alemtuzumab treatment in patients with MS. The majority of the infections reported were caused by herpesviruses, and no cases of *Listeria*-associated infection were detected. However, with more patients being exposed to alemtuzumab following market approval, reports of *Listeria*-associated infections during or soon after an alemtuzumab treatment cycle have emerged, and some of the patients developed life-threatening *Listeria* meningitis. Only one case of *Listeria* infection was recorded during the premarketing study programme^{125,135,136}.

In addition to making their patients aware of *Listeria*-associated complications, physicians can recommend the pregnancy diet¹³⁷, which reduces the risk of *Listeria* infections through avoidance of consumption of raw fish and meat products, as well as unpasteurized milk and sliced mushrooms, before and after alemtuzumab treatment.

In patients receiving alemtuzumab, monthly laboratory tests are performed in the context of a compulsory risk management programme, predominantly because of the risk of autoimmune haemolysis, immune thrombocytopenia, glomerulonephritis and thyroid disorders. The results of these tests should also be evaluated from the perspective of infections. In addition, if fever, skin rashes or headaches develop, patients should promptly see their physician to exclude relevant and possibly treatable infections.

Mitoxantrone. Mitoxantrone is a type II topoisomerase inhibitor that suppresses macrophages, B cells and T cells, with a preferential effect on helper subsets. On the basis of the outcomes of a number of small trials and the MIMS study¹³⁸, mitoxantrone is currently used for the treatment of active RRMS or SPMS (TABLE 1). The dosage in the quarterly treatment cycles depends on body surface area, and is usually 12 mg/m².

During the MIMS trial, 67% of the patients who received the placebo experienced infections, compared with 85% of patients receiving 5 mg/m² mitoxantrone and 81% of patients receiving 12 mg/m² mitoxantrone. Few of these infections were serious and required hospitalization (placebo group: a single case of tonsillitis; 5 mg/m² group: one case of enteritis, one of UTI and one of viral infection; 12 mg/m² group: single cases of tonsillitis and endometritis and two cases of UTI).

To avoid extensive bone marrow suppression and consecutive cytopenia, the dose of mitoxantrone is normally adjusted following complete and differential blood cell counts, which are carried out weekly up to 3 weeks after treatment, or once up to 7 days before the next mitoxantrone dose¹³⁹. Contraindications for the use of mitoxantrone include neutropenia (neutrophil counts $<1.5 \times 10^9$ cells per l), and severe acute and uncontrolled chronic infections.

Long-term postmarketing experience has confirmed the occurrence of frequent UTIs and upper airway infections with mitoxantrone therapy¹⁴⁰. Although cases of septicaemia, pneumonia and opportunistic infections have been described following mitoxantrone treatment, this therapy is not associated with a heightened risk of viral infections overall.

Off-label and future treatment options

Rituximab

Rituximab is a chimaeric monoclonal antibody directed against CD20, a protein primarily expressed at the surface of B-precursor cells and B lymphocytes. Administration of rituximab leads to B-cell depletion¹⁴¹, which impairs T-cell activation and release of proinflammatory cytokines. Rituximab is widely used in rheumatology and haematology, in solid organ transplantation, and off-label in various neurological autoimmune diseases including MS.

A phase II study in patients with RRMS^{142,143} showed that a single course of rituximab led to a prominent decrease in the number of inflammatory brain lesions (shown by MRI) and in the rate of MS relapses over a period of 48 weeks, as compared with placebo. Overall, infections were reported at a similar incidence for the

placebo and rituximab groups (71.4% versus 69.6%). The most common infections in the rituximab group were nasopharyngitis, upper respiratory tract infections, UTIs, and sinusitis. Serious infections were observed in 5.7% of the placebo-treated patients and in 2.9% of the rituximab-treated patients. Clinically significant opportunistic infections have not been linked to rituximab treatment. Although rituximab administration has not been shown to increase the risk of infection in patients with RRMS, this drug has yet to be tested in a phase III study in this population.

The phase II/III OLYMPUS trial compared rituximab treatment with placebo in patients with PPMS¹⁴⁴. Overall infections occurred at a similar rate in both groups (65.3% with placebo versus 68.2% with rituximab), but serious adverse infections were reported more often in the rituximab group than in the placebo group (4.5% versus <1%). Of note, the majority of serious infections (nine of 13) developed in patients over 55 years of age.

The German Registry of Autoimmune Diseases, which followed up 56 patients who received rituximab treatment for MS or neuromyelitis optica, found no opportunistic infections, and recorded 0.062 infections per patient-year overall¹⁴⁵. In settings other than neurological treatment, this therapy can cause serious infection-related complications, which include new manifestations, reactivation or worsening of viral diseases such as hepatitis B¹⁴⁶, PML^{147–152}, pneumocystis pneumonia, or tuberculosis¹⁵³. The frequency of PML in patients treated with rituximab for haematological diseases was below 1 in 10,000. Among 312,000 patients treated with rituximab for rheumatoid arthritis, eight contracted PML, and two additional cases of PML have been described in patients with granulomatosis with polyangiitis. Single cases of PML have also been described in association with off-label use in MS and other diseases (Roche, personal communication).

Ocrelizumab

Ocrelizumab is a fully humanized anti-CD20 B-cell-depleting monoclonal antibody that has been tested in rheumatoid arthritis and MS^{154–156}. A phase II study in RRMS¹⁵⁷ showed no opportunistic infections associated with the use of ocrelizumab, and serious infections were detected at a similar rate (around 2%) in placebo-treated and ocrelizumab-treated patients.

The results of three phase III studies comparing ocrelizumab and interferon treatments for RRMS (OPERA I and II) and PPMS (ORATORIO)^{154–156} have been presented recently^{158,159}. In patients with RRMS, infectious adverse events (including respiratory tract infections and UTIs) occurred in 52.4% of interferon-treated and 58.4% of ocrelizumab-treated patients; for infectious severe adverse events, the corresponding figures were 2.9% and 1.3%, respectively¹⁵⁸. In patients with PPMS, infectious adverse events (including gastroenteritis, and respiratory and urinary tract infections) occurred in 67.8% of the interferon-treated and 69.8% of the ocrelizumab-treated group, and infectious severe adverse events were recorded in 5.9% versus 6.2%, respectively. In the ocrelizumab-treated group, two of four deaths were attributed to pneumonia¹⁵⁹.

In contrast to MS, the clinical development of ocrelizumab for rheumatoid arthritis was discontinued after phase II¹⁶⁰, owing to an unfavourable risk–benefit ratio. Patients recruited in Asia and treated with 1,000 mg ocrelizumab had an increased risk of serious and opportunistic infections, such as mycobacterial infections, hepatitis B reactivation, histoplasmosis, pneumocystis pneumonia, VZV pneumonia or candida infections¹⁶⁰.

To date, ocrelizumab has not been approved for treatment of either RRMS or PPMS. A valid judgement of the infectious risks cannot be made at this point because real-life and long-term data are still missing. Serious infections, as encountered in the rheumatoid arthritis trial, have not been observed in MS studies so far.

Ofatumumab

Ofatumumab is another human anti-CD20 B-cell-directed monoclonal antibody currently undergoing clinical development in MS. In a phase II trial examining three different doses of the antibody delivered in two intravenous infusions, no serious infections were recorded in the 36 patients studied per protocol¹⁶¹.

Daclizumab

Daclizumab is a humanized anti-CD25 monoclonal antibody that modulates IL-2 signalling. This leads to the expansion of regulatory natural killer cells, which are thought to eliminate pathogenic T cells that contribute to inflammation in MS. Daclizumab is currently under regulatory consideration for licensing for RRMS treatment. The phase II CHOICE study¹⁶² compared different dosages of daclizumab as an add-on therapy to IFN- β treatment. The other phase II trial, SELECT¹⁶³, compared two different doses of daclizumab with placebo administration. In these studies, the rate of serious infections was slightly increased in the daclizumab-treated groups, as compared with treatment with placebo or IFN- β alone. However, no opportunistic infections were recorded. No specific pattern of organ system involvement was recognized¹⁶⁴.

The phase III trial DECIDE¹⁶⁵, which compared daclizumab treatment with IFN- β 1a administration, reported infectious adverse events in 65% of daclizumab-treated and 57% of IFN- β 1a-treated patients, and serious infections in 4% versus 2% of the patients, respectively. Serious infections reported in more than one patient during the study included UTIs, pneumonia, appendicitis, cellulitis and viral infections, but no cases of PML or infectious encephalitis were detected. To fully estimate the risk–benefit ratio of this drug in MS and before suggesting monitoring measures, the approval decisions of the regulatory authorities should be awaited.

Conclusions and recommendations

The repertoire of immunomodulatory agents to treat MS has recently broadened markedly, offering more-efficient and better-suited therapeutic solutions for patients. However, the risk of infection associated with such therapies is gaining increasing importance when planning treatments and monitoring patients.

Current data suggest that the risk of developing infections can increase with the duration of MS treatment. However, the amount of real-life experience accumulated for each MS therapy varies, which precludes a valid comparison of the risks associated with individual treatments. Long-term treatment with interferons or glatiramer acetate seems to carry a low risk of infections.

Experience with natalizumab, DMF and fingolimod indicates that the most profound risk of the newer DMTs relates to PML and other opportunistic infectious diseases. Management decisions to minimize such risk require comprehensive analysis of multiple aspects, including patient history of infectious disorders, risks of exposure to distinct microorganisms (for example, endemic regions for tuberculosis or parasites), presence of concomitant autoimmune diseases or other comorbidities, history of prior exposure to immunomodulatory or immunosuppressive agents, and the immune status of the patient.

In view of previous clinical experience and published evidence, individual risk stratification is required when choosing immunomodulatory drugs, and rigorous pharmacovigilance is essential. Notably, recent experience shows that infectious risks and serious adverse events might not be recognized during pivotal trial programmes, given the short duration of follow-up, enrolment of selected study populations, and low numbers of patients treated. Therefore, special clinical awareness, comprehensive information and multidisciplinary medical care for patients are urgently needed.

Vaccines have an important role in the prevention of treatment-associated infections, and should be encouraged before the initiation of disease-modifying therapy whenever possible¹⁶⁶. The CNS represents a partially privileged compartment, as intact blood–brain and CSF–brain barriers prevent ready access of cellular or humoral immune components to the CNS (except at specific sites). Nevertheless, activated T lymphocytes can patrol the CNS and scan for foreign antigens. If these T cells recognize — for example — viral proteins in the context of MHC class I, they can launch an attack on glial cells or neurons infected with such a virus¹⁶⁷.

In consideration of the severe and potentially life-threatening conditions caused by the infectious agents associated with the immunomodulatory agents used to treat MS, the use of specific registries and databases to collect and evaluate infectious safety data after drug approval is advisable.

Clearly, development of new drugs for the treatment of MS should aim not only at augmenting efficacy, but also at increasing safety in terms of potential risks of infections. Ideally, therapeutic strategies that reconfigure the immune system to eliminate or silence the aberrant immunity at the root of the disease process while preserving natural defence mechanisms should be promoted. However this approach might not completely prevent infections from developing, as experience with the newer DMTs has taught us. Further research is required to elucidate the aetiopathogenesis of opportunistic infections — in particular, PML — in the context of immunomodulatory therapies.

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Author contributions

A.W. and M.L. contributed equally to the manuscript. A.W. and M.L. drafted and wrote the manuscript, and all authors collected and reviewed literature. U.K.Z. and H.-P.H. drafted and critically revised the manuscript.

Competing interests statement

A.W. has received fees for speaking, consulting, serving on advisory boards and conducting clinical trials from Alexion, Bayer Healthcare, Biogen, Genzyme, Merck Serono, Novartis, Octapharma, Roche, Sanofi and Teva, with the approval of the Director of the University of Rostock Medical School. M.L. has received fees for speaking, consulting and conducting clinical trials from Abbvie, Astellas, GlaxoSmithKline, Gilead, Novartis Vaccines, Janssen and Roche, with the approval of the Director of the University of Rostock Medical School. E.C.R. has received speaker's honoraria, travel expense compensation and fees for conducting clinical trials from Activaero, Bayer, GlaxoSmithKline, Novartis Vaccines, Roche Pharma, and Sanofi Pasteur MSD, with approval from the Rector of the University of Rostock. H.-P.H. has received fees for consulting, speaking and serving on steering committees from Biogen, GeNeuro, Genzyme, Merck Serono, Novartis, Octapharma, Receptos, Roche, Sanofi and Teva, with approval from the Rector of Heinrich Heine University. U.K.Z. has received fees for speaking, consulting, serving on advisory boards and conducting clinical trials from Bayer Healthcare, Biogen, Merck Serono, Novartis, Sanofi and Teva, with the approval of the Director of the University of Rostock Medical School.