

# Prefrontal Cortex Deep Brain Stimulation Improves Fear and Anxiety-Like Behavior and Reduces Basolateral Amygdala Activity in a Preclinical Model of Posttraumatic Stress Disorder

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Deep brain stimulation (DBS) is being investigated for a number of psychiatric indications, including posttraumatic stress disorder (PTSD). Preclinical studies continue to be a cornerstone for the development of new DBS applications. We investigate whether DBS delivered to the infralimbic cortex (IL), a region involved in mechanisms of stress resiliency, may counter behavioral abnormalities in rats that present persistent extinction deficits and long-term anxiety after exposure to fear conditioning. Rats undergoing fear conditioning/extinction were segregated into weak and strong extinction groups (WE >70% or SE <30% of freezing during extinction). Following 2 weeks of DBS, animals were exposed to novel recall sessions and tested in the open field, novelty-suppressed feeding, and elevated plus maze. *zif268* expression was measured in structures involved in mechanisms of fear and stress. *In vivo* electrophysiology was used to record activity from the basolateral amygdala (BLA). We found that DBS improved extinction deficits and anxiety-like behavior in WE animals, having no significant effects in SE rats. No major differences in absolute *zif268* levels were recorded across groups. However, correlation between *zif268* expression in the IL and BLA was disrupted in WE animals, a deficit that was countered by DBS treatment. Electrophysiology experiments have shown that DBS reduced BLA firing of both putative principal cells and interneurons in WE rats, with no significant differences being detected between SE and SE DBS animals. In summary, IL DBS mitigated fear, partially improved anxiety-like behavior, reversed neurocircuitry abnormalities, and reduced BLA cell firing in a preclinical model of PTSD.

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## INTRODUCTION

It is estimated that 50–60% of the American population will be exposed to at least one lifetime traumatic event (Breslau *et al*, 1991, 1998; Fairbank *et al*, 2000; Kessler *et al*, 1995). Though most individuals recover from the experience, ~10–30% develop posttraumatic stress disorder (PTSD). Although medications and psychotherapy are often effective, 20–30% of patients do not respond to conventional therapies. Deep brain stimulation (DBS) has been investigated for various psychiatric indications and is approved for the treatment of obsessive–compulsive disorder. To date, only one patient with PTSD treated with DBS has been reported (Langevin *et al*, 2016).

Although not without limitations, preclinical studies continue to be a cornerstone of translational research and

development of new treatment approaches (Hamani and Temel, 2012). Rodent subpopulations with maladaptive stress responses have been used by different investigators to mimic PTSD-like states (Bush *et al*, 2007; Cohen *et al*, 2004). Similar to PTSD, we have found that ~20–30% of outbred rats show impaired fear extinction after undergoing stress (Reznikov *et al*, 2015). These rats, which we refer to as ‘weak extinction’ (WE), also develop long-lasting anxiety traits and have low baseline corticosterone levels (Reznikov *et al*, 2015), a feature that is commonly reported in PTSD (Yehuda *et al*, 1990). Being an eminently clinical condition, some PTSD symptoms cannot be modeled in animals. However, WE rats seem to be suitable for studying long-term fear and anxiety responses that develop following a traumatic experience (Reznikov *et al*, 2015).

The ventromedial prefrontal cortex, particularly the infralimbic cortex (IL), acts as an essential component for the extinction of conditioned fear responses. Whereas the pharmacological inactivation of IL impairs extinction learning and recall in rodents (Sierra-Mercado *et al*, 2011), IL stimulation enhances extinction and decreases fear expression (Maroun *et al*, 2012; Milad and Quirk, 2002;

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Vidal-Gonzalez *et al.*, 2006). These latter effects, however, have only been reported when brief trains of current were coupled with conditioned stimuli (Milad and Quirk, 2002; Vidal-Gonzalez *et al.*, 2006) or when more sustained stimulation (eg, 10 min) was administered immediately after the retrieval of a fear memory (Maroun *et al.*, 2012). This is in contrast to the chronic use of DBS in humans who receive stimulation over long periods of time.

One of the consequences of applying short trains of stimulation to the rodent prefrontal cortex is the decrease in firing rate of basolateral amygdala (BLA) principal cells (Likhnik *et al.*, 2005; Rosenkranz and Grace, 2001; Rosenkranz *et al.*, 2003). This is of importance as enhanced BLA activity may facilitate the encoding of fear memories, impair fear extinction, and contribute to enhance anxiety (Maren, 2003; Maren and Quirk, 2004; Reznikov *et al.*, 2016).

In this study we test the hypothesis that continuous IL DBS reduces fear expression and anxiety-like responses in WE rats while modulating the neurocircuitry of fear and anxiety.

## MATERIALS AND METHODS

Protocols were approved by the animal care committee of the Centre for Addiction and Mental Health and are in accordance with the Canadian Council on Animal Care (CCAC) guidelines. Adult male Sprague-Dawley rats (250–300 g; Charles River, Quebec) were used. A timeline for the experiments is shown in Supplementary Figure 1.

### Fear Conditioning and Extinction

On day 1, rats were presented with six conditioned stimuli (CS; 30 s, 85 dB, 4 Hz auditory tones), each co-terminating with a footshock (unconditioned stimulus (US); 0.8 mA, 0.5 s) (Reznikov *et al.*, 2015). On day 2, rats underwent extinction training consisting of 12 presentations of the CS in the absence of shocks. On day 3, rats were exposed to 3 presentations of the CS alone to test for extinction recall. All trials were recorded with a video camera for offline scoring of freezing behavior by a blinded investigator.

Segregation of subgroups according to freezing scores during the last extinction blocks (4 trials) was conducted as previously described (Reznikov *et al.*, 2015). Weak extinction (WE) and strong extinction (SE) animals were defined as those showing >70% and <30% freezing, respectively (Reznikov *et al.*, 2015). Animals with moderate levels of freezing were not included in this study.

### Electrode Implantation and DBS

At 1 week before behavioral experiments (acute DBS experiments) or 1 day following recall sessions (chronic DBS experiments), rats were anesthetized with isoflurane and had insulated stainless steel electrodes (cathodes; 250  $\mu$ m diameter with 0.5 mm of surface exposed) bilaterally implanted into the IL (anteroposterior +3.0, lateral  $\pm$ 0.4, and depth 5.6 mm) (Paxinos and Watson, 1988). Stainless steel screws implanted over the parietal cortex were used as anodes (Paxinos and Watson, 1988). Controls (CTL) were anesthetized, had burr holes drilled into the skull but were not implanted with electrodes. Shams had electrodes implanted in the IL but did not receive DBS.

DBS was conducted with an ANS stimulator (model 3510, St Jude Medical, Plano, TX), connected to the animals through extension cables and a multichannel commutator (Hamani *et al.*, 2010a, b, c, 2012a). Acute DBS was delivered following conditioning, prior and/or during extinction at different settings (100 or 300  $\mu$ A, 90  $\mu$ s, 10 or 130 Hz). These were chosen based on efficacy in previous studies (Milad *et al.*, 2004; Vidal-Gonzalez *et al.*, 2006) and because they generate a charge density that is similar to that used in patients receiving DBS (Hamani *et al.*, 2010a, b). Chronic DBS was commenced 1 week after electrode implantation and given to the animals for 8 h/day until the end of the experiments at 100  $\mu$ A, 90  $\mu$ s, and 130 Hz. On behavioral testing days, DBS was delivered for 2 h before and 4 h after the assessments.

### Behavioral Tests

**Extinction recall.** Long-term postoperative recall sessions were carried out before DBS was commenced and on days 4, 8, and 14 after DBS. These consisted of reexposing rats to three presentations of the CS alone.

**Open field.** On DBS day 16, locomotor activity was assessed for 30 min in a square 0.49 m<sup>2</sup> open field box (Med Associates, St Albans, VT) with infrared photo beams placed along the walls of the equipment. Crossing of the beams provided counts of locomotor activity.

**Novelty suppressed feeding.** On DBS day 18, rats were placed in a Plexiglas cage (Med Associates) lined with black card material on all sides and bottom that contained a white platform with a previously habituated treat on top. Latency to begin consuming the food was measured by a blinded observer (sniffing or simply touching the food was not scored).

**Elevated plus maze.** On DBS day 20, rats were placed in the center of the maze and allowed to explore for 5 min. Behavior was recorded by a vertically mounted video camera and later scored for the number of entries and the total time spent in open arms (Reznikov *et al.*, 2015).

### In Situ Hybridization and Histology

Following sacrifice, brains were removed from the skull. Coronal sections were cut on a cryostat and hybridized with <sup>35</sup>S-UTP-labeled riboprobes complementary to *zif268*, as previously described (Creed *et al.*, 2012). After hybridization, slides were exposed to Kodak BioMax film for 6 days at 4 °C along with calibrated radioactivity standards. Film analyses were conducted with an MCID system (Interfocus, Cambridge, UK). Electrode placement was confirmed in cresyl violet-stained sections (Hamani *et al.*, 2012a).

### Electrophysiology

Animals undergoing electrophysiology were distinct from those used in behavioral experiments. Following fear conditioning, extinction, and recall, rats were subdivided into WE and SE. Groups were then subdivided into those to receive active or no stimulation for 2 weeks, followed by a

new recall session. On the next day, rats were anesthetized with urethane (1.5 g/kg i.p.) and BLA recordings were carried out using single-barreled glass micropipettes filled with 2 M NaCl (1–3  $\mu$ m in diameter, 2–6 M $\Omega$ ). Animals were not given DBS on the day of the electrophysiology experiments.

To maximize sampling without introducing excessive tissue damage, three to five electrode descents were performed per hemisphere. Single-unit activity was recorded for at least 5 min. At the end of each recording session, the brain was extracted for histological verification of electrode descents and recording sites. The identity of BLA neurons was ascertained based on the electrophysiological criteria proposed by Likhtik *et al* (2006) and by Rosenkranz and Grace (1999). Three clusters of neurons were analyzed: low firing ( $\leq 1$  Hz) long-duration signals ( $\geq 0.7$  ms cutoff) suggested to characterize putative principal projection cells; high firing short-duration cells ( $\leq 0.5$  ms cutoff), suggestive of putative fast-spiking interneurons; and neurons with firing rates between 1 and 7 Hz that are often unclassified or suggestive of medium-firing neurons. As we found a relatively small number of cells firing  $> 1$  Hz and principal cells often fire below this threshold, BLA neurons in our study were simply classified as  $< 1$  Hz or  $> 1$  Hz.

### Statistical Analyses

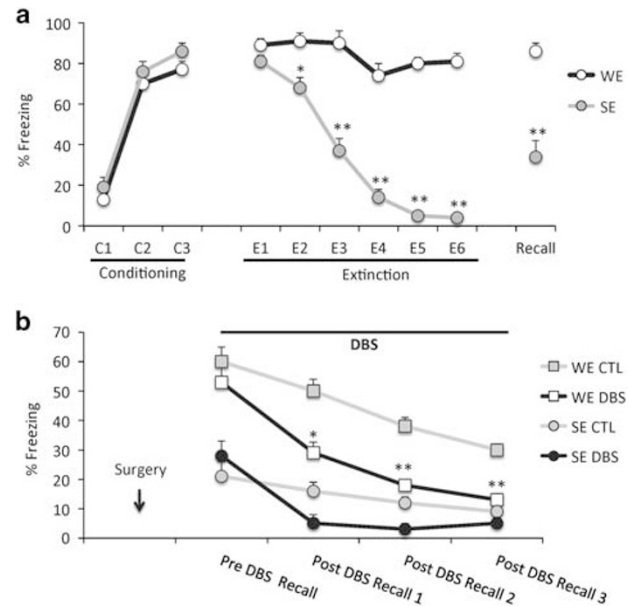
For acute behavioral experiments, repeated measures ANOVA was used to compare fear conditioning/extinction results over time. Recall scores were compared using Student's *t*-test. Behavioral data in chronic DBS experiments and *zif268* data were analyzed with two-way ANOVAs (LSD *post hoc*) using DBS and group (WE/SE) as factors. The following groups were considered WE CTL, SE CTL, WE DBS, and SE DBS. Pearson's correlation was used to measure covariations in the expression of *zif268* across brain regions. Correlations were considered to be strong, moderate, or weak when  $r \geq 0.75$ ,  $0.75 < r \leq 0.50$ , or  $0.50 < r \leq 0.25$ , respectively. Electrophysiology data did not follow a normal distribution. For this reason, it was analyzed with the Kruskal–Wallis test (Dunn's *post hoc*). Percentages were compared with the  $\chi^2$  test. Results are reported as mean  $\pm$  SE or median and quartiles, when indicated.

## RESULTS

### Behavioral Effects of DBS

In a first series of experiments, IL-DBS was given to different groups of animals before and during extinction at 100 or 300  $\mu$ A, 90  $\mu$ s, and either 10 Hz or 130 Hz ( $n = 6$ –10/group) (Supplementary Figure 2). Overall, no differences in freezing scores were recorded during recall sessions when stimulated groups were compared with controls (Supplementary Table 1).

Following these negative findings, we decided to test whether chronic IL stimulation could improve long-term fear and anxiety responses in WE rats. After undergoing fear conditioning, extinction, and recall sessions, animals were subdivided into WE and SE groups (time  $\times$  group interaction during extinction  $F(5, 190) = 23.6$ ,  $p < 0.001$ ; group effect  $F(1, 38) = 112.3$ ,  $p < 0.001$ ; time effect  $F(5, 190) = 3.42$ ,



**Figure 1** Chronic deep brain stimulation (DBS) improves freezing in extinction recall trials in weak extinction rats. (a) Fear conditioning, extinction, and short-term recall trials were conducted over three consecutive days. Animals were then separated in weak extinction (WE) or strong extinction (SE) populations. As can be appreciated, during the last four extinction trials (Extinction) and short-term recall, freezing was significantly higher in WE than in SE rats ( $*p \leq 0.05$ ;  $**p \leq 0.01$ ). Thereafter, animals were implanted with electrodes and 1 week later submitted to a pre-DBS recall session. (b) Although the distinction in freezing between SE ( $n = 10$ ) and WE animals ( $n = 9$ ) persisted, no significant differences were observed in animals that did and did not receive infralimbic cortex (IL) electrode implants. Following this session, DBS was delivered to the animals for 8 h/day at 100  $\mu$ A, 90  $\mu$ s, and 130 Hz. New recall sessions were conducted on days 4, 8, and 14 after DBS (recall 1, 2, and 3, respectively, after DBS). In the former, a significant reduction in freezing was already noticeable in WE rats given DBS ( $n = 11$ ). This became even more pronounced on days 8 and 14 after DBS, with freezing scores in the WE DBS group resembling those observed in SE rats. In contrast, DBS did not significantly affect behavioral scores in SE animals ( $n = 10$ );  $*p \leq 0.05$ ,  $**p \leq 0.01$  when WE CTL and WE DBS groups were compared. Significant differences between WE CTL and SE CTL or WE CTL and SE DBS were not represented in the figure for clarity.

$p < 0.001$ ; Figure 1a). The next day, they were implanted with IL electrodes and allowed 1 week to recover (Reznikov *et al*, 2015). A second recall session conducted at this point confirmed that freezing scores were significantly higher in WE than in SE groups ( $F(1, 37) = 24.7$ ,  $p < 0.001$ ). No effect of electrode insertion ( $F(1, 37) = 0.02$ ,  $p = 0.89$ ) or electrode  $\times$  group interaction ( $F(1, 37) = 0.89$ ,  $p = 0.35$ ) were noticed. Thereafter, animals were given daily DBS, with recall sessions being conducted on stimulation days 4, 8, and 14. Similar behavioral responses were recorded at these three time points. The number of animals per group was as follows: WE CTL ( $n = 9$ ), SE CTL ( $n = 10$ ), WE DBS ( $n = 11$ ), and SE DBS ( $n = 10$ ).

Significant group ( $F(1, 37) = 18.63$ ,  $p = 0.0001$ ) and DBS effects ( $F(1, 37) = 5.87$ ,  $p = 0.02$ ) but no DBS  $\times$  group interaction ( $F(1, 37) = 0.52$ ;  $p = 0.47$ ) were found on day 4 recall sessions after DBS. Freezing scores in WE DBS animals ( $29.3 \pm 7.1\%$ ) were lower than in non-stimulated WE-CTL

( $50.2 \pm 10.3\%$ ;  $p = 0.03$ ). In contrast, DBS was not found to be significantly effective in SE rats (Figure 1b).

On poststimulation day 8, group effect ( $F(1, 37) = 25.9$ ,  $p < 0.001$ ), DBS effect ( $F(1, 37) = 17.3$ ,  $p = 0.0002$ ) and group  $\times$  DBS interaction ( $F(1, 37) = 4.5$ ;  $p = 0.04$ ) were all found to be significant. At this interval, rats in the WE-DBS group ( $14.5 \pm 3.1\%$ ) froze significantly less than WE-CTL rats ( $38.3 \pm 6.8\%$ ,  $p < 0.001$ ; Figure 1b). No significant behavioral differences were found between stimulated and nonstimulated SE rats.

After 14 days of stimulation, significant group ( $F(1, 36) = 12.0$ ,  $p = 0.0014$ ) and DBS effects ( $F(1, 36) = 6.3$ ,  $p = 0.02$ ) were recorded with a trend toward a significant group  $\times$  DBS interaction ( $F(1, 36) = 3.5$ ,  $p = 0.07$ ). As noticed during other time points, DBS-treated WE rats froze less ( $12.0 \pm 2.8\%$ ) than WE-CTL ( $29.6 \pm 7.7\%$ ,  $p = 0.005$ ) with no significant differences being detected between stimulated ( $5.6 \pm 2.3\%$ ) and nonstimulated SE groups ( $8.2 \pm 1.8\%$ ,  $p = 0.6$ ; Figure 1b).

**Locomotion.** To assess whether DBS-induced changes in freezing could be attributed to a general locomotor effect, exploratory activity was tested in the open field. Ruling out this possibility, no significant effects of group ( $F(1, 31) = 1.1$ ,  $p = 0.30$ ), DBS ( $F(1, 31) = 0.007$ ,  $p = 0.93$ ), or group  $\times$  DBS interaction were recorded ( $F(1, 31) = 1.8$ ,  $p = 0.19$ ; Figure 2a).

**Anxiety-like responses.** To study the effects of DBS on long-term anxiety responses, WE and SE rats given chronic stimulation were tested in a novelty suppressed feeding paradigm and an elevated plus maze. In the former, two-way

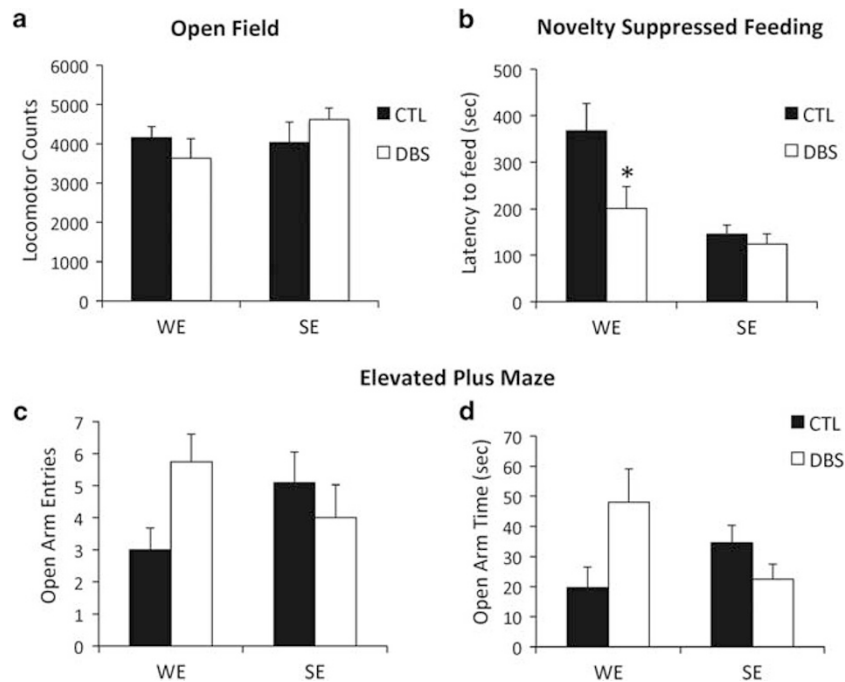
ANOVA revealed significant DBS ( $F(1, 31) = 4.7$ ,  $p = 0.04$ ) and group effects ( $F(1, 31) = 10.3$ ,  $p = 0.003$ ) but no significant DBS  $\times$  group interaction ( $F(1, 31) = 2.1$ ,  $p = 0.2$ ). Latency to eat in an open arena was significantly lower in SE ( $151.9 \pm 19.7$  s) than in WE rats ( $347.7 \pm 57.8$  s,  $p = 0.001$ ). DBS treatment reduced latency to feed in WE animals ( $195.0 \pm 46.1$  s,  $p = 0.01$  as compared with WE CTL) having no significant effects in SE rats ( $121.3 \pm 26.5$  s,  $p = 0.6$  as compared with SE-CTL; Figure 2b).

In the elevated plus maze, two-way ANOVA for total entries and time spent in open arms of the elevated plus maze did not show a significant DBS effect ( $F_{\text{entries}}(1, 31) = 0.5$ ,  $p = 0.50$ ;  $F_{\text{time}}(1, 31) = 0.9$ ,  $p = 0.36$ ), group effect ( $F_{\text{entries}}(1, 31) = 0.007$ ,  $p = 0.94$ ;  $F_{\text{time}}(1, 30) = 0.15$ ,  $p = 0.7$ ), or a positive DBS  $\times$  group interaction ( $F_{\text{entries}}(1, 31) = 2.9$ ,  $p = 0.1$ ;  $F_{\text{time}}(1, 31) = 3.1$ ,  $p = 0.09$ ). Mean values in the WE DBS group, however, were approximately twice as high as those recorded in nonstimulated WE controls (entries 5.4 vs 3.0; time 42.7 vs 19.7 s; Figure 2c).

To test the behavioral effects of electrode insertion, we have implanted a separate group of WE rats with IL electrodes. In contrast to DBS results, no significant differences were found between shams ( $n = 6$ ) and non-implanted controls ( $n = 6$ ; Supplementary Figure 3).

### Immediate Early Gene Expression

To characterize DBS-induced neurocircuitry changes in SE ( $n = 8$ ) and WE rats ( $n = 6$ ), *zif268* expression was measured



**Figure 2** Antianxiety effects of infralimbic cortex (IL) deep brain stimulation (DBS) in weak extinction (WE) rats. On poststimulation days 16, 18, and 20, animals were tested in the open field, novelty suppressed feeding test (NSF), and elevated plus maze (EPM). (a) In the open field, no differences across groups were found in exploratory behavior, as revealed by similar locomotive counts. (b) In the NSF, WE-CTL took significantly longer to consume a habituated rat in a novel environment than SE-CTL. DBS significantly decreased this latency in WE animals but had no effect in the SE group. (c) In the EPM, the number entries and (d) the time WE animals spent in the open arms of the maze were almost twice as low as in SE-CTL. These differences, however, did not reach statistical significance. DBS reversed these deficits with a trend toward an increase in the number of entries and an increase in the time spent in the open arms of the maze. In all figures, values are means  $\pm$  SEM. \* $P \leq 0.05$  when WE-CTL and WE DBS groups were compared. WE CTL ( $n = 8$  rats), WE DBS ( $n = 8$  rats), SE CTL ( $n = 9$  rats), and SE DBS ( $n = 9$  rats).



in structures involved in mechanisms of fear and anxiety. These included the PFC (IL, prelimbic cortex, cingulate cortex), amygdala (lateral nucleus, basolateral nucleus, and central nucleus), and hippocampus (granule cell layer of the dentate gyrus, pyramidal cell layer of CA3 and CA1). Overall, the only significant finding was a DBS effect ( $F(1, 23) = 6.21$ ,  $p = 0.02$ ) in the CA1 region (Figure 3 and Supplementary Table 2). In both SE and WE rats, a significant reduction in *zif268* levels was found in stimulated animals.

In a second series of analyses, we studied the correlation between *zif268* expression in the target structure (ie, IL) and each of the above-described regions. In the prefrontal cortex, strong positive correlations were found between IL and PL, IL and Cg1, and IL and Cg2 in almost all groups (Table 1). The only exception was the somewhat lower correlation between IL-Cg1 in WE rats, a pattern that was reversed by DBS.

In the amygdala, weak, moderate, or strong positive correlations were found between IL and La and IL and Ce across groups (Table 1). In the BLA, however, although a weak positive correlation with IL was found in SE CTL, negative values were recorded in the WE CTL group (Table 1). Despite not being strong, it was the only instance in which correlations were found to be inverted in WE and SE CTLs. DBS reversed this pattern, with positive correlations being recorded in WE stimulated rats while having no major effect in the SE group.

Correlations between IL and hippocampal DG *zif268* levels were similar in SE and WE animals. In both groups, these were substantially reduced by DBS (Table 1). IL-CA1 and IL-CA3 correlations were similar across groups.

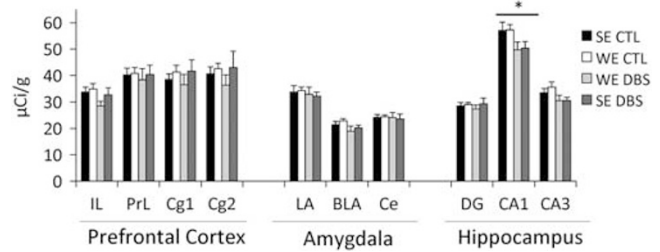
## Electrophysiology

As DBS was found to counter the altered correlation of IL-BLA *zif268* expression in WE rats, we decided to study the effects of chronic stimulation on BLA firing using *in vivo* electrophysiology.

Thirty-six cells were recorded from WE CTL rats ( $n = 4$ ), 48 from WE animals given chronic DBS ( $n = 4$ ), 45 from the SE CTL group ( $n = 4$ ), and 31 from SE DBS rats ( $n = 3$ ). When all cells were considered, differences in firing across groups were found to be significant ( $p = 0.02$ ). Overall, BLA neurons in WE CTL ( $1.33 \pm 0.48$  Hz; median 0.41) fired at higher rates than in WE DBS ( $0.33 \pm 0.07$ ; median 0.08; mean rank diff. = 33.9), SE DBS ( $0.23 \pm 0.09$ ; median 0.06; mean rank diff. = 45.9), and, to a lesser extent, SE CTL ( $0.45 \pm 0.12$ ; median 0.14; Figure 4a).

In WE CTL rats, 22% (8/38) of BLA cells fired  $>1$  Hz. Although this proportion was 2–3 times higher than in SE CTL (9%; 4/45), WE DBS (8%; 4/48), and SE DBS groups (6%; 2/31), results did not reach statistical significance ( $p = 0.29$ ; Figure 4b). Similarly, the firing rate of  $>1$  Hz cells was also twice as high in WE CTL ( $4.9 \pm 1.7$  Hz; median 1.8) than in SE CTL ( $2.2 \pm 0.5$  Hz; median 2.3), WE DBS ( $1.8 \pm 0.2$  Hz; median 1.9), and SE DBS groups ( $1.8 \pm 0.7$  Hz; median 1.8). These results were also not statistically significant ( $p = 0.97$ ; Figure 4c).

In contrast to these findings, significant differences in firing across groups were found when  $<1$  Hz BLA cells were examined ( $p < 0.01$ ; Figure 4d). Although WE CTL ( $0.3 \pm 0.04$  Hz; median 0.25) and SE CTL animals



**Figure 3** *zif268* expression in the prefrontal cortex, amygdala, and hippocampus of animals treated with chronic infralimbic cortex (IL) deep brain stimulation (DBS). Two-way ANOVA revealed a significant DBS effect in the CA1 region ( $*p \leq 0.05$ ) with no significant differences being detected between stimulated animals and nonstimulated controls in *post hoc* analyses. In the remaining regions, differences between weak extinction (WE) and strong extinction (SE) animals that did or did not receive DBS were not significant. BLA, basolateral amygdala; CA1, hippocampal subfield; CA3, hippocampal subfield; Cg1, cingulate gyrus, area 1; Cg2, cingulate gyrus, area 2; Ce, central nucleus of the amygdala; IL, infralimbic cortex; La, lateral nucleus of the amygdala; PL, prelimbic cortex. WE CTL ( $n = 6$ ), WE DBS ( $n = 6$ ), SE CTL ( $n = 8$ ), and SE DBS ( $n = 8$ ). Statistical results may be found in Supplementary Table 2.

( $0.27 \pm 0.05$  Hz; median 0.13) had a similar firing rate, values recorded in the former were significantly higher than in DBS-treated WE ( $0.2 \pm 0.04$  Hz; median 0.07; mean rank diff. 27.92) and SE animals ( $0.12 \pm 0.03$  Hz; median 0.06; mean rank diff. 38.87).

## DISCUSSION

The present results suggest that the chronic administration of IL DBS to WE rats improves extinction deficits, anxiety-like behavior, and reduces BLA cell firing.

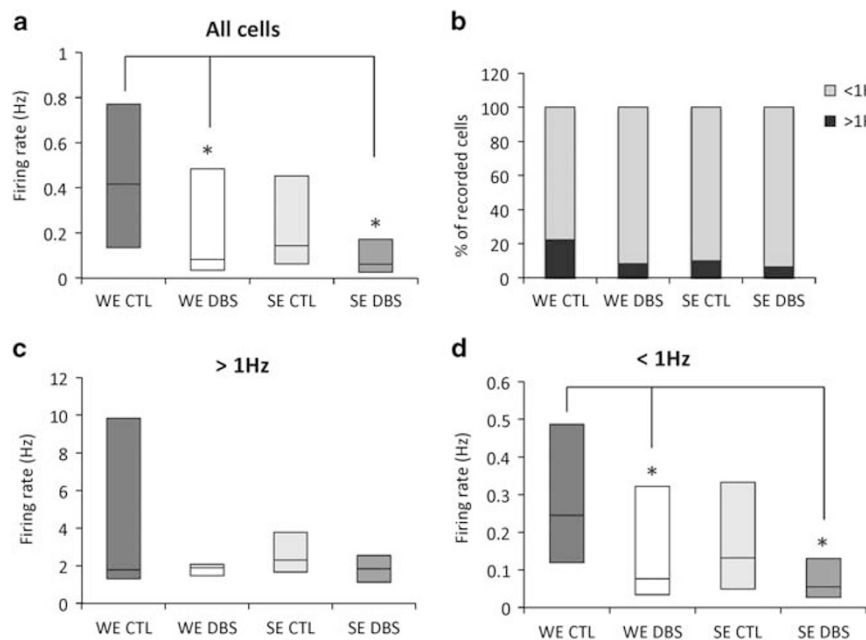
Previous studies found that IL stimulation tightly coupled with the CS (Milad *et al*, 2004) or given immediately after the retrieval of fear memory (Maroun *et al*, 2012) may enhance fear extinction. Because a comparable DBS protocol would not be easily achievable in the clinic, in the first study we tested whether prolonged stimulation delivered during extinction (ie, a scenario that would mimic the administration of DBS to patients during exposure therapy sessions) could enhance extinction learning. The negative results of this experiment suggest that the timeframe for DBS administration in relation to CS may be critical, as previously reported (Milad *et al*, 2004).

In addition to the studies mentioned above, different preclinical reports have shown improvements in fear conditioning, stress, and anxiety following BLA (Langevin *et al*, 2010), hippocampus (Garcia *et al*, 2008), and ventral striatum stimulation (Rodriguez-Romaguera *et al*, 2012). Though these have greatly contributed to our understanding of mechanisms of fear and stress, they are limited from a translational perspective. First, the models appear to be more suitable to study acute responses rather than persistent behavioral deficits induced by a traumatic experience. Second, in contrast to the chronic use of clinical DBS, only short-term stimulation has been tested in these animal studies (Langevin *et al*, 2010; Milad *et al*, 2004; Saldívar-Gonzalez *et al*, 2003; Vidal-Gonzalez *et al*, 2006). Finally, most models rely on group-averaged data and have not taken into account individual differences in stress response. This is

**Table 1** Correlation between *zif268* Expression in the Infralimbic Cortex (IL) and Different Prefrontal Cortex, Amygdala, and Hippocampal Regions

	WE CTL	SE CTL	WE DBS	SE DBS
<i>Prefrontal cortex</i>				
IL × PL	$r=0.72; p=0.1$	$r=0.91; p=0.02$	$r=0.87; p=0.002$	$r=0.94; p=0.001$
IL × Cg1	$r=0.21; p=0.6$	$r=0.76; p=0.03$	$r=0.96; p=0.001$	$r=0.95; p=0.001$
IL × Cg2	$r=0.68; p=0.1$	$r=0.65; p=0.08$	$r=0.87; p=0.003$	$r=0.97; p=0.001$
<i>Amygdala</i>				
IL × La	$r=0.48; p=0.3$	$r=0.47; p=0.2$	$r=0.65; p=0.2$	$r=0.47; p=0.3$
IL × BLA	$r=-0.32; p=0.5$	$r=0.32; p=0.04$	$r=0.62; p=0.2$	$r=0.47; p=0.3$
IL × Ce	$r=0.37; p=0.5$	$r=0.70; p=0.05$	$r=0.86; p=0.004$	$r=0.62; p=0.1$
<i>Hippocampus</i>				
IL × DG	$r=0.53; p=0.2$	$r=0.59; p=0.2$	$r=0.09; p=0.8$	$r=0.18; p=0.7$
IL × CA1	$r=0.52; p=0.3$	$r=0.25; p=0.6$	$r=0.74; p=0.09$	$r=0.70; p=0.08$
IL × CA3	$r=0.46; p=0.4$	$r=0.38; p=0.4$	$r=0.54; p=0.3$	$r=0.57; p=0.2$

BLA, basolateral amygdala; CA1, hippocampal subfield; CA3, hippocampal subfield; Cg1, cingulate gyrus, area 1; Cg2, cingulate gyrus, area 2; Ce, central nucleus of the amygdala; DBS, deep brain stimulation; DG, dentate gyrus; La, lateral nucleus of the amygdala; PL, prelimbic cortex; SE, strong extinction; WE, weak extinction.



**Figure 4** Chronic deep brain stimulation (DBS) reduces basolateral amygdala (BLA) cell firing in weak extinction rats (WE). (a) *In vivo* electrophysiological recordings of BLA cells in anesthetized rats showed that when all cells were compared, BLA neurons in WE CTL fired at higher rates than in WE DBS, SE DBS, and, to a lesser extent, SE CTL groups. (b) In WE CTL rats, 22% of BLA cells fired > 1 Hz. This proportion was 2–3 times higher than in SE CTL, WE DBS, and SE DBS groups ( $p=0.29$ ). (c) In WE CTL, the firing of > 1 Hz cells was twice as high as in SE CTLs, WE DBS, and SE DBS groups, though values did not reach statistical significance ( $p=0.97$ ). (d) The analysis of BLA cell firing < 1 Hz BLA (ie, putative principal cells) yielded significant results across groups ( $p<0.01$ ). Although WE CTL and SE CTL had a similar firing rate, values recorded in the former group were significantly higher than in DBS-treated WE and SE animals. Graphs represent median and quartiles. \* $P\leq 0.05$  compared with WE CTL.

fundamentally different from the human scenario where exposure to a traumatic event generates an acute stress response in most individuals, of whom only a percentage will develop PTSD. Considering these limitations, we investigated the effects of chronic IL stimulation in WE rats. Overall, we found that DBS not only improved extinction deficits but also anxiety-like behavior in this group of animals.

DBS has been suggested to elicit its therapeutic effects by inhibiting local neuronal populations (Florence *et al*, 2015; Hamani and Temel, 2012). This is unlikely to be the mechanism of action in this animal model, as lesions and pharmacological inactivation of the IL impair rather than improve extinction (Jinks and McGregor, 1997; Laurent and Westbrook, 2009; Morawska and Fendt, 2012; Quirk *et al*,

2000). An alternative mechanism involves the modulation of activity in regions at a distance from the target through the activation of axonal projections near the electrodes (Anderson *et al*, 2003; Florence *et al*, 2015; Hamani and Temel, 2012; Hashimoto *et al*, 2003). To begin addressing this possibility, we examined IEG expression in structures implicated in mechanisms of stress, anxiety, and PTSD, namely the PFC, amygdala, and hippocampus. Except for a general DBS effect on CA1, no major differences in *zif268* expression were found when groups of SE and WE animals that did or did not receive DBS were compared. This is in contrast to our previous studies in which acute PFC DBS (delivered for a few hours) was shown to increase IEG expression in different cortical and subcortical structures (Hamani *et al*, 2014). Such discrepancies may be explained by the chronic nature of DBS delivery in the present work that may have favored the development of compensatory mechanisms to equalize *zif268* mRNA levels across groups.

In addition to studying *zif268* expression in individual brain regions, we have also examined correlations between IEG expression in the IL and PFC, amygdala, and hippocampus. Our results suggest that IEG correlations between the target zone and the BLA in particular were disrupted in WE animals and reestablished after chronic DBS treatment.

To better appraise the consequences of delivering chronic IL stimulation to the BLA, we have conducted *in vivo* electrophysiology experiments. Previous work has shown that under basal conditions, BLA glutamatergic cells are tonically inhibited by local GABAergic interneurons (Rainnie *et al*, 1991). Enhancing activity of the former or decreasing GABAergic tone in BLA facilitates fear conditioning, impairs extinction, and increases anxiety (Akirav *et al*, 2006; Felix-Ortiz *et al*, 2016; Ganon-Elazar and Akirav, 2009; Kuhnert *et al*, 2013; Laurent *et al*, 2008a; Laurent and Westbrook, 2008b). Studies in anesthetized rodents have shown that BLA principal cells normally depolarize when PFC neurons fire spontaneous action potentials (Likhtik *et al*, 2005). This is to be expected as PFC-BLA projections are largely glutamatergic. In contrast, pulses or short trains of vmPFC stimulation, particularly at high frequencies, decrease the firing rate of BLA principal cells (Likhtik *et al*, 2005; Rosenkranz and Grace, 2001; Rosenkranz *et al*, 2003). The most commonly accepted mechanism to explain these observations is that trains of high-frequency stimulation recruit BLA interneurons that inhibit principal cells by releasing GABA (Likhtik *et al*, 2005; Rosenkranz and Grace, 2001; Rosenkranz *et al*, 2003). Based on these assumptions, our initial hypothesis was that chronic DBS would decrease firing of putative BLA principal cells (ie, firing rate < 1 Hz) and increase activity of cells firing at higher rates in WE rats. Although stimulation indeed reduced firing of < 1 Hz cells in WE animals, it also decreased activity of > 1 Hz active units. This suggests that DBS induced an overall reduction in BLA cell activity with both putative principal cells and interneurons showing lower firing rates (ie, a pattern that closely resembled the one recorded in SE animals). Whether this is in fact one of the mechanisms responsible for the reduced fear/anxiety-like behavior observed in WE animals receiving DBS remains to be demonstrated. It should also be noted that DBS was not active during the recordings. This suggests that some form of plasticity may have occurred so that BLA cell firing in WE

DBS rats more closely resembled the one observed in SE rather than WE animals.

In summary, our results suggest that DBS applied chronically to the IL of WE rats improves extinction deficits, anxiety-like behavior, reverses abnormal neurocircuitry traits, and reduces BLA cell firing. Altogether, these findings indicate that DBS may be capable of reversing structural deficits in PTSD-like animals, shedding light on the therapeutic mechanism of this treatment.

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