

# The CRF1 Antagonist Verucerfont in Anxious Alcohol-Dependent Women: Translation of Neuroendocrine, But not of Anti-Craving Effects

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Blockade of corticotropin-releasing factor receptor 1 (CRF1) suppresses stress-induced alcohol seeking in rodents, but clinical translation remains. Here, we first showed that the CRF1 antagonist verucerfont potently blocks hypothalamic-pituitary adrenal (HPA) axis activation in adrenalectomized rats. We then evaluated verucerfont for its ability to block HPA axis activation and reduce stress-induced alcohol craving in alcohol-dependent patients. Anxious, alcohol-dependent women (age 21–65 years,  $n = 39$ ) were admitted to the NIH Clinical Center and completed withdrawal treatment before enrollment if needed. One-week single-blind placebo was followed by randomized double-blind verucerfont (350 mg per day) or placebo for 3 weeks. Verucerfont effects on the HPA axis were evaluated using the dexamethasone-CRF test. Craving was evaluated using two established protocols, one that combines a social stressor with physical alcohol cue exposure, and one that uses guided imagery to present personalized stress, alcohol, or neutral stimuli. An fMRI session examined brain responses to negative affective stimuli and alcohol cues. In contrast to our recent observations with another CRF1 antagonist, pexacerfont, verucerfont potently blocked the HPA axis response to the dexamethasone-CRF test, but left alcohol craving unaffected. Right amygdala responses to negative affective stimuli were significantly attenuated by verucerfont, but responses to alcohol-associated stimuli were increased in some brain regions, including left insula. Discontinuation rates were significantly higher in the verucerfont group. Our findings provide the first translational evidence that CRF1 antagonists with slow receptor dissociation kinetics may have increased efficacy to dampen HPA axis responses. The findings do not support a clinical efficacy of CRF1 blockade in stress-induced alcohol craving and relapse.

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## INTRODUCTION

Despite decades of research, relapse rates remain high in alcohol dependence (AD, hereafter equated with alcoholism; Brandon *et al*, 2007; Hunt *et al*, 1971). A major objective of alcohol research is therefore to develop medications with an ability to prevent relapse to alcohol use. Preclinical studies have identified several classes of molecules with an ability to block relapse-like behaviors in experimental animals (Heilig and Egli, 2006; Litten *et al*, 2012). One of the conclusions that have emerged from this research is that type 1 receptors for corticotropin-releasing factor 1 (CRF1) are key mediators of stress-induced alcohol seeking. Accordingly, in rodents,

systemic administration of brain penetrant CRF1 antagonists consistently blocks relapse-like behavior triggered by stress (reviewed, e.g., in Heilig and Koob, 2007; Le and Shaham, 2002a; Mantsch *et al*, 2016; Zorrilla *et al*, 2013).

These and other preclinical findings with CRF1 antagonists predict an ability of these molecules to suppress stress-induced craving and relapse in humans, but clinical translation of the preclinical results has not yet been achieved. The first CRF1 antagonist to be evaluated in humans, R121919, showed promise in depression (Zobel *et al*, 2000), but was terminated owing to safety issues widely shared by first-generation CRF1 antagonists. CRF1 antagonists with improved safety followed, but yielded negative results both in depression (Binneman *et al*, 2008) and anxiety disorders (Coric *et al*, 2010). Recently, we evaluated the effects of pexacerfont, a potent, selective, orally available and brain penetrant CRF1 antagonist, on a battery of experimental outcomes in AD. Hypothalamic-pituitary adrenal (HPA) axis responses, alcohol-craving, and fMRI brain responses to aversive stimuli in anxious patients

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with AD were all unaffected by pexacerfont, despite ensuring adequate central nervous system exposure (Kwako *et al*, 2015).

It was recently proposed that binding kinetics, and in particular the time constant of dissociation from the receptor ('off-rate'), are major determinants of CRF1 receptor antagonist efficacy (Fleck *et al*, 2012). Verucerfont (formerly GSK561679) is a potent, selective CRF1 receptor antagonist ( $IC_{50}$  for CRF1, CRF2, and CRF-BP ~6.1, >1000 and >1000 nM, respectively), and is orally available and brain penetrant (Gilligan *et al*, 2009; Tellew *et al*, 2010). It is structurally related to compounds with slow off-rates (Fleck *et al*, 2012), and therefore hypothesized to possess improved efficacy over compounds with similar nominal binding affinity constants but faster off-rates, such as pexacerfont. Verucerfont lacked efficacy in a controlled trial for major depression (GlaxoSmithKline, 2010), but its effects on stress-induced alcohol craving have not been evaluated.

Here, we first compared verucerfont with pexacerfont in a rat assay that may offer a translational biomarker, blockade of ACTH release in adrenalectomized rats. We then carried out a double-blind, placebo-controlled experimental medicine study to evaluate verucerfont in treatment-seeking women with anxious AD. As primary outcome, we assessed the effects of verucerfont on stress-induced alcohol craving and on brain responses to aversive stimuli, using established procedures previously used to evaluate pexacerfont (Kwako *et al*, 2015). As a translational biomarker, and a measure of target engagement, we also examined whether verucerfont would block HPA axis responses in the combined dexamethasone-CRF test ('dex-CRF test'; Modell *et al*, 1998).

## MATERIALS AND METHODS

### Measurement of ACTH in Adrenalectomized Rats

Adrenalectomized rats were used as an assay to assess functional CRF1 receptor inhibition by a range of CRF1 antagonists thought to belong to two classes, with fast or slow CRF1 offset (fast: CP-316 311, pexacerfont; slow: R121919, verucerfont). In this assay, corticosterone levels become negligible following adrenalectomy, and in the absence of the negative feedback, ACTH levels increase markedly in response to CRF release. This increase is blocked by peptide CRF antagonists (Rivier *et al*, 1999).

Suppression of ACTH in adrenalectomized rats was assessed as previously described (Fleck *et al*, 2012). In brief, experiments were carried out in agreement with the NIH guidelines, and approved by the IACUC at Neurocrine Biosciences. Male Sprague–Dawley rats were received at 175–200 g from Charles River Laboratories (San Diego, CA) and housed in a 12–12 light cycle for 1 week before adrenalectomy. Rats were adrenalectomized at Neurocrine Biosciences, and NaCl was replenished. Adrenalectomy was verified by plasma corticosterone measurements (MP Biomedicals, Irvine, CA). Seven days after adrenalectomy, rats were implanted with femoral vein catheters. After ~4 days, rats were prepared for blood sampling by attaching their catheters to PE50 tubing and a syringe, and acclimated to individual opaque sampling cages for 1 h. These cages allow sampling to occur without disturbance to the rat. Blood samples (0.3 ml) were taken after acclimation and blood volumes were replaced with 5 U/ml heparinized saline. Blood samples were stored on ice with EDTA.

After a baseline blood sample, rats received oral doses of either vehicle at 5 ml/kg (5% Cremaphor EL; Sigma) or the respective drug in the same volume of vehicle. In each case, the dose was 10 mg/kg, based on prior pharmacokinetic studies showing that this dose results in adequate and comparable exposure (Neurocrine Biosciences, data on file). Blood samples were taken 1, 2, 3, 4, and 6 h later. Plasma was separated by centrifugation at 4 °C and stored at –80 °C for subsequent measurement of ACTH by radioimmunoassay (MP Biomedicals, Irvine, CA).

Data were analyzed using two-way ANOVA, with treatment as a between-subjects and time as a within-subjects factor. Individual baseline values were used as a covariate to normalize for individual and day-to-day variation in basal ACTH. This is largely equivalent to normalizing all values to baseline, but preserves the variance at  $t = 0$ .

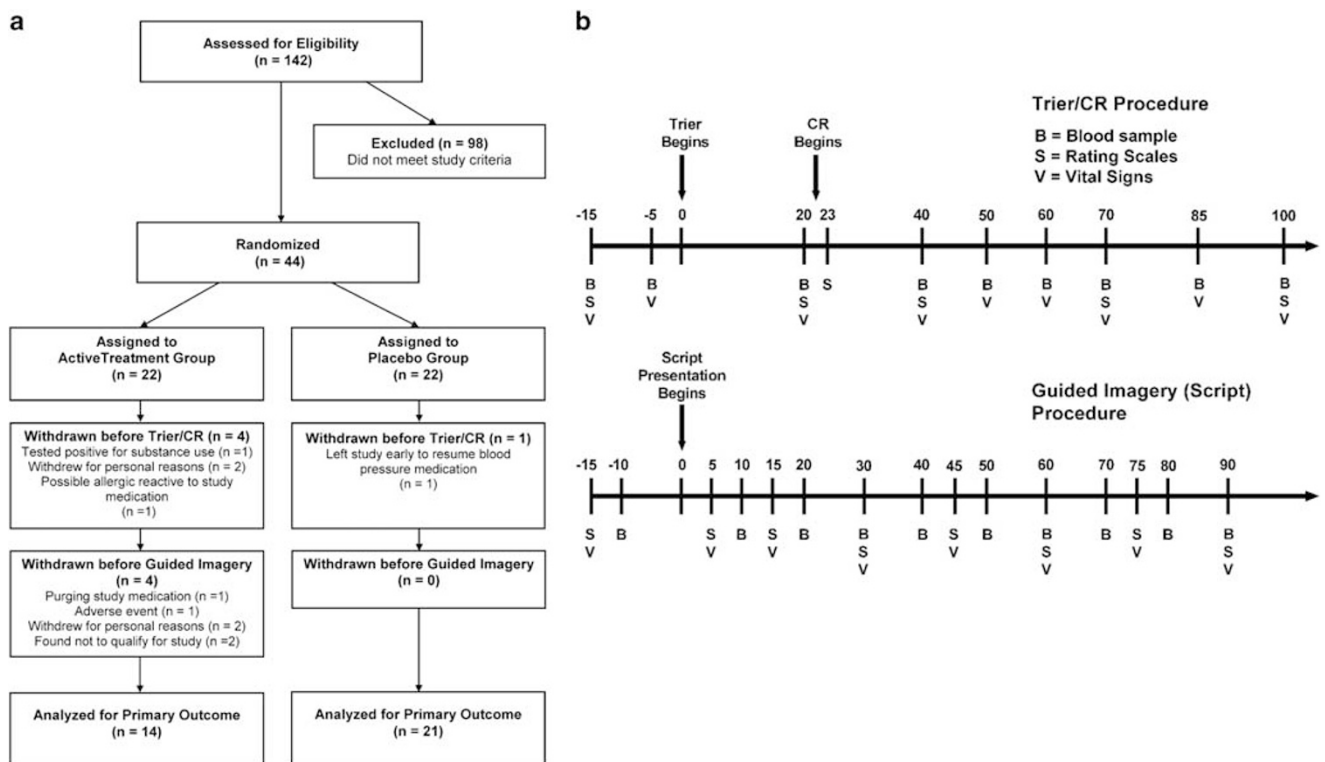
### Clinical Study: Subjects and General Procedure

Prospective participants were recruited through advertisements and referrals between November 2010 and March 2015. Subjects were phone screened, and admitted to the NIH Clinical Center in Bethesda, MD where they underwent medically managed withdrawal if needed. Once they had an undetectable breath alcohol concentration and did not require benzodiazepines for alcohol withdrawal, they were evaluated for eligibility. Detailed eligibility criteria are available at: <http://www.clinicaltrials.gov/ct2/show/NCT01187511>.

In brief, subjects were females between 21 and 65 years old, diagnosed with AD according to the Structured Clinical Interview for DSM-IV (SCID; First *et al*, 1996), had scores >39 on the Spielberger State Trait Anxiety Inventory-Trait Version (STAI; Spielberger *et al*, 1970), and were in good physical health. They were excluded if they had complicated medical or psychiatric problems or were unable to participate in study procedures or to provide informed consent. Similar to previous studies with verucerfont (GlaxoSmithKline, 2010; Grillon *et al*, 2015), males were excluded because preclinical safety data indicate a potential for testicular toxicity with this molecule. Informed consent was obtained as approved by the NIH Institutional Review Board.

Out of 44 individuals enrolled, 39 completed the study (Figure 1a). Owing to procedural reasons (such as drop out due to adverse events, or a nation-wide pharmacy shortage of CRF during a period of time), the  $n$  with available data for the respective outcome differs, and is indicated in the legend of the corresponding figure. The original target sample size of 50 completers was chosen to detect an effect size of Cohen's  $d \geq 0.8$  with a power  $\geq 0.80$  at a two-tailed  $\alpha$  of 0.05, similar to what was reported under laboratory conditions using the clinically approved alcoholism medication naltrexone (O'Malley *et al*, 2002). However, the occurrence of several adverse events, combined with negative results in a similar protocol with pexacerfont prompted a futility analysis. This indicated a very low probability of finding a significant effect on the primary craving outcomes if recruitment were continued to reach 50 total subjects, and the study was halted.

Subjects were randomized to verucerfont or placebo using a double-blind parallel group design with a 1:1 allocation. A 1-week single-blind placebo lead-in period was followed by 3 weeks of double-blind randomized treatment, where



**Figure 1** (a) CONSORT graph for the clinical study. (b) Timeline for procedures and data collection during challenge sessions used to provoke alcohol craving, subjective distress, and neuroendocrine responses used as biomarkers in this experimental medicine study. Upper panel: sessions using a combination of a social stress task and presentation of physical alcohol cues (Trier Social Stress/cue-reactivity test 'Trier/CR'). Lower panel: sessions using guided imagery induced by auditory scripts.

subjects received either a fixed dose of 350 mg per day of verucerfont or placebo. All subjects remained hospitalized throughout the study, and participated in standard-of-care behavioral AD treatment. Upon inclusion, they were evaluated for AD severity using the AD Scale (ADS; Skinner, 1984), for family history of AD using the Family Tree Questionnaire (FTQ; Mann *et al*, 1985), for addiction severity phenotypes using the Addiction Severity Index (ASI; McLellan *et al*, 1980), for alcohol consumption in the past 90 days using the Timeline Follow-Back (TLFB; Sobell *et al*, 1986), for alcohol craving using the Penn Alcohol Craving Scale (Flannery *et al*, 1999), for depression and anxiety symptoms using the Comprehensive Psychopathological Rating Scale (Asberg and Schalling, 1979), for personality traits using the NEO Personality Inventory Revised (NEO; Costa and McCree, 2002), for post-traumatic stress disorder (PTSD) symptom severity using the PTSD Symptom Severity Interview (Foa *et al*, 1993), and for early life adversity using the Childhood Trauma Questionnaire (CTQ; Bernstein *et al*, 1994). For the experimental procedures, smokers were allowed *ad lib* smoking until 1 h before the respective procedure, and were then required to abstain from smoking until completion of that procedure.

### Neuroendocrine Testing

To assess the ability of verucerfont to block CRF-induced ACTH and cortisol release, subjects underwent a dex-CRF test on days 5 (during placebo lead-in) and 29 (during

randomized treatment), carried out as described previously (Rydmark *et al*, 2006). ACTH and cortisol were assayed using standard CLIA-certified clinical assays by the Department of Laboratory Medicine, NIH Clinical Center. Effects of verucerfont were evaluated on day 29; baseline data were only used for exploratory analyses. In addition, we collected morning cortisol samples twice weekly.

### Behavioral Challenge Sessions

Alcohol cravings and emotional responses were assessed using two established procedures: (1) personalized auditory-guided imagery scripts (hereafter referred to as scripts), and (2) the combined Trier Social Stress/cue-reactivity test (hereafter referred to as Trier/CR). The scripts procedure is described in detail elsewhere (Kwako *et al*, 2014; Sinha *et al*, 2011a); briefly, it consisted of three sessions that used personalized auditory-guided imagery scripts, each ~5 min in duration, to present stress-, alcohol cue-associated or neutral stimuli on days 24–26. The order of the script types was counterbalanced across subjects. The Trier/CR combined the Trier Social Stress Test (Kirschbaum *et al*, 1993) with exposure to physical alcohol cues, that is, handling and smelling, but not consuming the subject's preselected preferred alcoholic beverage (Stasiewicz *et al*, 1997). This combined challenge was carried out as described previously (George *et al*, 2008; Kwako *et al*, 2014), around day 21 of the study. Both challenge procedures (i.e., Trier/CR and scripts)

began at 1500 hours to minimize differences in circulating cortisol.

During the challenge sessions, craving for alcohol was rated using the AUQ (Bohn *et al*, 1995). The STAI (Spielberger *et al*, 1970) and the Subjective Units of Distress Scale (Wolpe, 1969), a visual analog scale ranging 1–100, were used to assess anxiety and emotional responses. ACTH and cortisol were used as endocrine stress markers. A timeline for experimental manipulations and data collection in the two challenge procedures is shown in Figure 1B.

### Functional Imaging

Around day 23 of the study, subjects underwent an fMRI scan. Because scanners were replaced at the NIH Clinical Center during the course of the study, subjects were scanned on three different scanners: 3T General Electric MRI scanner, 3T Siemens Skyra scanner, and a 3T Siemens Verio scanner. To control for potential variability, we included a scanner variable as a covariate in all analyses. Imaging paradigms included presentation of 130 negative, positive, and neutral pictures from the International Affective Picture System (IAPS; Lang *et al*, 1999). Scrambled images were used as the control condition and displayed during the inter-stimulus interval (ISI; 1.5–14, average 3.2 s). The scrambled images, derived from IAPS images, preserved brightness and color but did not contain recognizable features. Images were presented in random order in one run lasting 8 min. A second paradigm presented 90 pictures of alcoholic and neutral beverages (e.g., milk, orange juice) in random order in a run lasting 6 min. Finally, 130 emotional (fearful, angry, happy, and neutral) faces (Matsumoto and Ekman, 1988), or a non-emotional control cross-hair (ISI), were presented for 8 min, in random order. Whole brain images were collected for ~22 min.

fMRI data were analyzed using Analysis of Functional Neural Images (AFNI) software (Cox, 1996). Statistical maps were generated for each individual by linear contrasts between regressors of interest (negative and positive IAPS images; alcoholic and non-alcoholic beverages; happy, neutral, and fearful faces). Preprocessed time-series data for each individual were then analyzed by multiple regression, which allowed covariation of variables related to head motion and other relevant factors such as subject age. We also calculated a statistical map of the activation within each group (verucerfont and placebo) for each contrast of interest. Each condition was compared with the baseline scrambled image. The individual  $\beta$ -coefficients calculated from the general linear model for each condition were entered into a second-level random-effect factorial analysis using the AFNI multivariate modeling program 3dMVM to test for differences between the verucerfont and placebo groups. Models included covariate baseline characteristics for which trends for differences between randomization groups were found: race, ADS score and CTQ. Correction for multiple comparisons across the whole brain was conducted using Monte-Carlo simulations run via 3dClustSim in AFNI\_16.0.19, the current version, which computes the voxel-probability and minimum cluster-size threshold needed to obtain a 0.05 family-wise error-corrected  $\alpha$ -value.

### Statistics

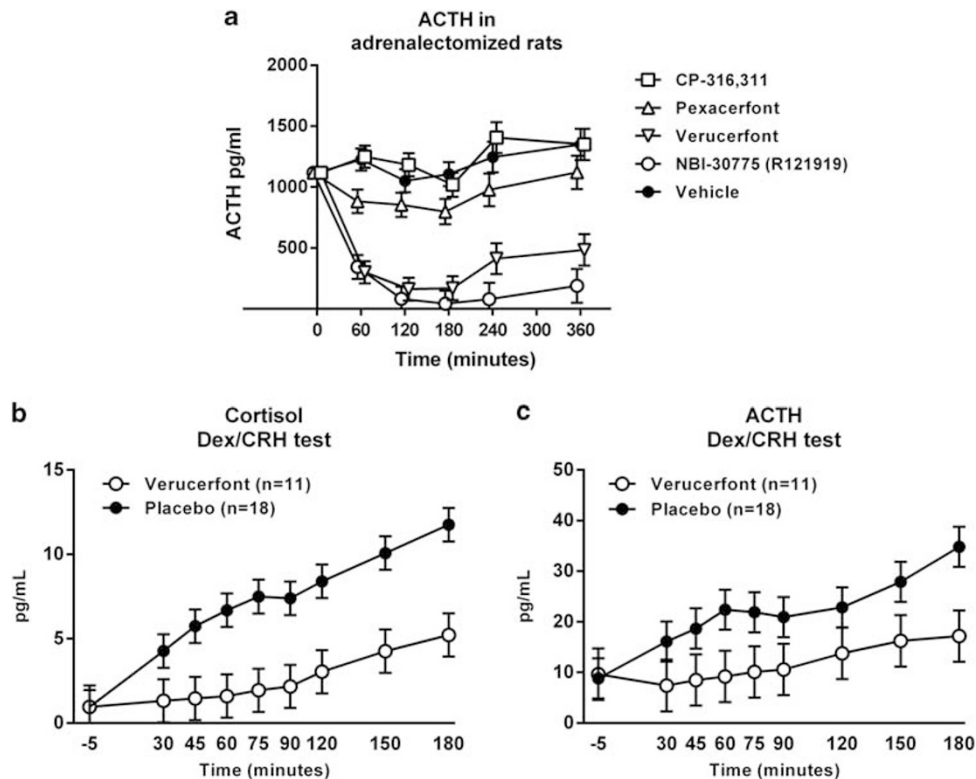
Rat endocrine data were analyzed using the GLM module in STATISTICA version 12.0 (StatSoft, Tulsa OK), and two-way ANOVA with treatment as a fixed, between-subjects factor, and time as a repeated-measures, within-subject factor. Human behavioral data were analyzed using PROC MIXED for mixed-effect modeling in SAS version 9.3 (SAS Institute, Cary, NC), with treatment (verucerfont *vs* placebo) as the fixed, between-subjects factor. Repeated-measures, within-subjects factors included script condition (neutral, alcohol cue, or stress) and time point (Scripts outcome measures), or just time point (Trier/CR outcome measures). Significance was set at  $P < 0.05$  for all tests, and all *post hoc* comparisons were conducted using Tukey's honestly significant difference test. Potential covariates were evaluated on a model-by-model basis such that covariates that significantly predicted the outcome measure were retained in the model. Covariates that were evaluated included age, race, years of education, lifetime diagnosis of PTSD from the SCID, ADS score, family history density from the FTQ, total score from the ASI, number of heavy drinking days and average number of drinks per drinking day from the TLFB, total score from the CTQ, neuroticism score from the NEO, and trait anxiety at baseline from the STAI. Model-specific covariates are noted in the relevant figure legends. The Kenward–Roger correction (Kenward and Roger, 1997) was used in all models, as the use of this correction is highly recommended in repeated-measures models with more complex covariance structures, especially when there is an unbalanced design (Littell *et al*, 2006). We note that this correction may result in atypical denominator degrees of freedom compared with traditional repeated-measures models (e.g., denominator degrees of freedom may actually be higher than the number of subjects).

## RESULTS

### *In vivo* Inhibition of ACTH in Adrenalectomized Rats

The small-molecule, non-peptide CRF1 receptor antagonists varied markedly in their ability to suppress ACTH in the adrenalectomized rat assay, as shown by a highly significant main effect of treatment ( $F[4,28] = 43.2$ ,  $P < 0.001$ ; Figure 2A). *Post hoc* analysis showed that the prototypic non-peptide CRF1 receptor antagonist NBI30775 (R121919) and verucerfont were both significantly different from vehicle, CP-316311, and pexacerfont ( $P < 0.001$  for all comparisons collapsed across time-points); the latter three treatments in turn did not differ from each other. A differential effect of treatments over time was also shown by a significant treatment  $\times$  time interaction ( $F[20,140] = 6.4$ ,  $P < 0.001$ ). Accordingly, detailed *post hoc* analysis showed that both NBI30775 and verucerfont inhibited ACTH release throughout the following 6 h of measurement ( $P < 0.001$  *vs* vehicle at each time-point, and *vs* the respective pretreatment baseline). In contrast, CP-316311 and pexacerfont did not suppress ACTH levels at any time-point, and neither compared with vehicle nor with their own baseline.

Thus, for reference compounds, data were in excellent agreement with previously published results (Fleck *et al*, 2012). The efficacy of verucerfont in suppressing ACTH in



**Figure 2** (a) Inhibition of plasma adrenocorticotrophic hormone (ACTH) in adrenalectomized rats following a single dose of corticotropin-releasing factor receptor 1 (CRF1) antagonists with slow (NBI-30775, verucerfont) but not fast (CP-316,311, pexacerfont) receptor dissociation rates. Adrenalectomized rats were administered vehicle or the respective antagonist immediately after a baseline sample ( $t=0$ ) was obtained, and plasma ACTH was sampled over the following 6 h. Data points for the different drugs at each time-point have been jittered by  $\pm 5$  min to allow visual separation, and represent covariate adjusted means  $\pm$  S.E.M., with baseline as covariate ( $n=6-7$ ). Non-adjusted baseline means were in the range 820–1215 pg/ml. NBI-30775 and verucerfont suppressed ( $P<0.01$ ) ACTH at each time-point, both compared with the corresponding time-point for vehicle, and compared with their respective baseline. For detailed statistics, see Results. Blockade of (b) cortisol and (c) ACTH responses to dexamethasone/CRF challenge by verucerfont ( $n=11$ ) but not placebo ( $n=18$ ) in the clinical study. Data points represent mean  $\pm$  S.E.M. Covariates in the model included neuroticism and the total score from the Addiction Severity Index (ASI) for cortisol, and race, neuroticism, and total score from the ASI for ACTH. For detailed statistics, see Results.

this assay confirms that it can both bind and functionally inhibit the CRF1 receptor *in vivo*, and that this inhibition results in a robust and sustained inhibition of ACTH release upon stimulation by high CRF drive.

### Clinical Study

Baseline characteristics of participants are summarized in Table 1. Randomization groups did not differ significantly on any of the characteristics listed.

**Cortisol and dex-CRF test.** There was no effect of verucerfont on morning cortisol values (data not shown). In response to the dex-CRF challenge, there were significant main effects of time on both cortisol ( $F[8,213]=14.77$ ,  $P<0.0001$ ; Figure 2B) and ACTH levels ( $F[8,209]=3.53$ ,  $P=0.0007$ ; Figure 2C), with levels increasing over time for both measures. In both cases, subjects receiving verucerfont showed a marked blunting of the HPA axis response to the dex-CRF challenge. There was a significant main effect of treatment on cortisol ( $F[1,28]=9.42$ ;  $P=0.005$ ) and a significant treatment by time interaction for ACTH ( $F[8,209]=2.17$ ;  $P=0.03$ ).

### Guided Imagery

**Craving responses.** Exposure to guided imagery scripts reliably induced craving, as measured by the AUQ (Figure 3a). Specifically, there was a significant main effect of time ( $F[7,226]=3.03$ ,  $P=0.005$ ) such that craving increased significantly during the first 5 min of script presentation, and a significant time  $\times$  script-type interaction ( $F[14,407]=0.05$ ,  $P=0.01$ ). *Post hoc* analysis showed that craving at 5 min was higher compared with baseline ( $-15$  min) during both the alcohol script and the stress script, but not during the neutral script. There was, however, no main effect of verucerfont treatment on craving in response to the stress ( $F[1,34]=0.04$ ,  $P=0.84$ ; Figure 3b) nor the alcohol script ( $F[1,36]=2.45$ ,  $P=0.13$ ; Figure 3c).

**Anxiety responses.** Script exposure also induced anxiety responses, as measured by the STAI (Figure 4a). Again, there was a significant main effect of time ( $F[7,226]=2.14$ ,  $P=0.04$ ), and a significant time  $\times$  script-type interaction ( $F[14,401]=4.77$ ,  $P<0.0001$ ). *Post hoc* analysis showed that anxiety ratings at 5 min were significantly elevated over baseline only during the stress script. There was, however, no

**Table 1** Subject Characteristics

	Verucerfont (n = 18)	Placebo (n = 21)	Total (n = 39 <sup>a</sup> )	P-value <sup>b</sup>
<i>Demographics</i>				
Age (years)	40.1 (12.9)	45.5 (10.8)	43.0 (12.0)	0.16
Caucasian <sup>c</sup>	13 (76.5%)	10 (47.6%)	23 (60.5%)	0.07
Education (years)	13.8 (2.4)	14.5 (2.7)	14.2 (2.5)	0.37
Smoker	15 (83.3%)	13 (61.9%)	28 (71.8%)	0.14
Fagerstrom score	2.9 (2.6)	2.2 (2.6)	2.5 (2.6)	0.45
Family history density <sup>d</sup>	0.3 (0.3)	0.3 (0.2)	0.3 (0.2)	0.69
<i>Alcohol use (past 90 days)</i>				
Avg. drinks/drinking day	12.7 (5.3)	11.2 (6.4)	11.9 (5.9)	0.43
Heavy drinking days	62.8 (24.6)	67.7 (27.4)	65.5 (25.9)	0.56
ADS score	25.5 (8.1)	21.0 (6.9)	23.1 (7.7)	0.08
<i>Psychological characteristics</i>				
Current anxiety disorder	14 (77.8%)	16 (76.2%)	30 (76.9%)	0.91
Current mood disorder	10 (55.6%)	10 (47.6%)	20 (51.3%)	0.62
Current DSM IV substance use disorder <sup>e</sup>	5 (27.8%)	4 (19.1%)	9 (23.1%)	0.52
Current PTSD	10 (55.6%)	7 (33.3%)	17 (43.6%)	0.16
CTQ total score	62.1 (28.6)	48.2 (20.1)	54.6 (25.1)	0.10
Neuroticism score	61.4 (9.7)	56.7 (11.0)	58.9 (10.5)	0.17
STAI-Trait score	56.8 (6.2)	56.9 (9.3)	56.8 (7.9)	0.98
ASI total score	2.4 (0.6)	2.1 (0.6)	2.2 (0.6)	0.25

Abbreviations: ADS, Alcohol Dependence Scale; ASI, Addiction Severity Index; CTQ, Childhood Trauma Questionnaire; FTQ, Family Tree Questionnaire; PTSD, post-traumatic stress disorder; STAI, Spielberger State-Trait Anxiety Inventory; Trier/CR, Trier Social Stress/cue-reactivity test.

Variables are means (S.D.), or *n* (percentage) as appropriate.

<sup>a</sup>Of the 39 total subjects, 4 completed the Trier/CR but did not complete the Scripts challenge.

<sup>b</sup>Based on a  $\chi^2$  test for categorical measures, and a *t*-test for continuous measures.

<sup>c</sup>The remaining subjects were Black/African American.

<sup>d</sup>Calculated as the proportion of first- and second-degree relatives known to have been treated for alcohol abuse or have alcohol use-related problems, as measured by the FTQ.

<sup>e</sup>Substances other than alcohol.

significant effect of treatment on anxiety ratings in response to the stress script ( $F[1,36] = 0.59$ ,  $P = 0.45$ ; Figure 4b) or the alcohol script ( $F[1,38] = 0.147$ ,  $P = 0.23$ ; Figure 4c).

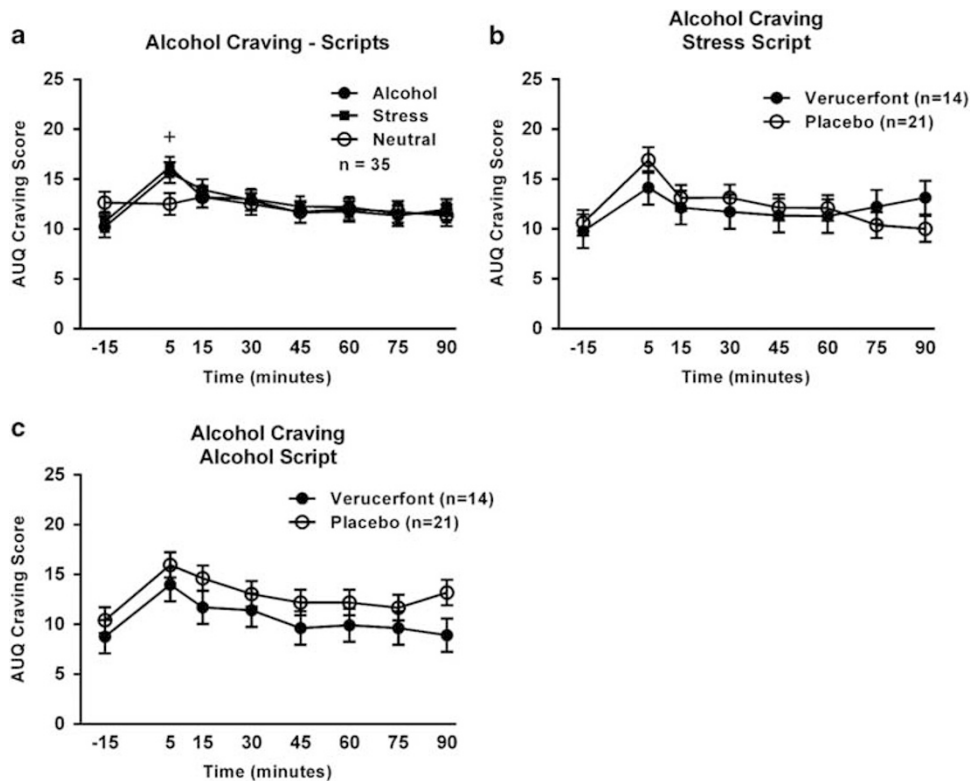
**Neuroendocrine responses.** In agreement with our prior findings, script exposure did not significantly activate the HPA axis (Kwako *et al*, 2015); (Supplementary Figures S1 and S2). Specifically, there was no main effect of script type ( $F[2,54] = 0.24$ ,  $P = 0.79$ ) or time ( $F[8,175] = 1.49$ ,  $P = 0.16$ ) on cortisol levels (Supplementary Figure S1A). Although there was a significant script type  $\times$  time interaction ( $F[16, 335] = 1.72$ ,  $P = 0.03$ ) in the mixed-effects model, none of the *post hoc* tests for cortisol levels were significant. Cortisol levels did not differ as a function of treatment during either the stress script ( $F[1,28] = 1.13$ ,  $P = 0.30$ ; Supplementary Figure S1B) or the alcohol script ( $F[1,30] = 2.51$ ,  $P = 0.12$ ; Supplementary Figure S1C). There was a main effect of time ( $F[8, 165] = 3.11$ ,  $P = 0.003$ ), but no main effect of script type ( $F[2,49] = 0.06$ ,  $P = 0.94$ ) nor any script type  $\times$  time interaction ( $F[16,338] = 1.48$ ,  $P = 0.10$ ) on the ACTH responses to the scripts (Supplementary Figure S2A). Similarly, ACTH

levels did not differ as a function of treatment during either the stress script ( $F[1,29] = 0.06$ ,  $P = 0.80$ ; Supplementary Figure S2B) or the alcohol script ( $F[1,27] = 2.74$ ,  $P = 0.11$ ; Supplementary Figure S2C).

### Trier/Cue Reactivity

**Craving responses.** There was a significant main effect of time ( $F[4,209] = 9.38$ ,  $P < 0.0001$ ; Figure 5a), such that craving for alcohol was significantly increased over baseline at 40 min. There was no significant effect of verucerfont on craving in response to the Trier/CR ( $F[1,49] = 1.30$ ,  $P = 0.26$ ).

**Anxiety responses.** There was a significant main effect of time ( $F[4,147] = 14.23$ ,  $P < 0.0001$ ; Figure 5b), such that anxiety ratings were significantly increased over baseline at 20 min. There was a main effect of verucerfont on anxiety in response to the Trier/CR ( $F[1,46] = 5.53$ ,  $P = 0.02$ ); contrary to the hypothesis, however, subjects receiving verucerfont showed higher anxiety throughout the challenge compared with subjects receiving placebo.



**Figure 3** Alcohol craving responses to the guided imagery challenge session. (a) Effect of script type on alcohol craving. Data are mean  $\pm$  S.E.M.;  $n = 35$ . Covariates in the model included age, neuroticism score from the NEO, and lifetime diagnosis of post-traumatic stress disorder (PTSD). The '+' indicates a significant difference between the 5 min and -15 min time points (Tukey's,  $P < 0.05$ ) for both the alcohol and stress scripts. (b) Effect of verucerfont on craving response to the stress script (verucerfont:  $n = 14$ ; placebo:  $n = 21$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included race, neuroticism score, and total score from the Addiction Severity Index (ASI). (c) Effect of verucerfont on craving response to the alcohol cue script (verucerfont:  $n = 14$ ; placebo:  $n = 21$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included race, average number of drinks per drinking day from the Timeline Follow-Back (TLFB), neuroticism score, and total score from the ASI. For detailed statistics, see Results.

**Neuroendocrine responses.** There was a significant main effect of time ( $F[8,260] = 10.6$ ,  $P < 0.0001$ ; Figure 5C) on cortisol levels, such that cortisol was significantly increased over baseline by 40 min. A similar effect was observed for ACTH (main effect of time:  $F[8,270] = 16.5$ ,  $P < 0.0001$ ; Figure 5d), with ACTH levels significantly increased over baseline by 20 min. There was no effect of verucerfont on either cortisol ( $F[1,42] = 0.40$ ,  $P = 0.53$ ) or ACTH levels ( $F[1,51] = 0.01$ ,  $P = 0.98$ ).

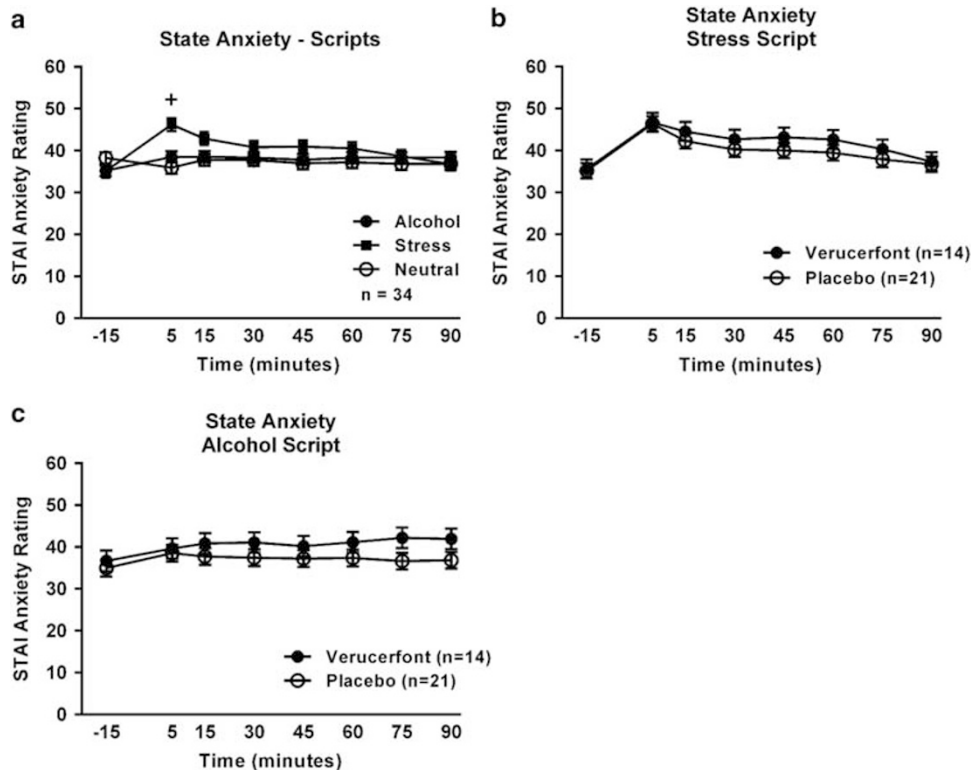
## fMRI

As a primary candidate biomarker of its potential antistress action, we examined the ability of verucerfont to attenuate brain responses to negative emotional stimuli, because attenuation of amygdala responses to stress by CRF1 antagonists in rats effectively attenuates alcohol consumption. To validate this first fMRI biomarker, we analyzed responses to fearful faces in the placebo group. This analysis identified an expected activation within the amygdala, primarily on the right side (Figure 6, upper row), consistent with what has previously been reported for this type of stimuli (see, e.g., Hariri et al, 2002; Kwako et al, 2015). This response was blunted in the verucerfont group (Figure 6, middle row). A direct comparison between the groups showed a whole-brain significant attenuation of the response to fearful faces by verucerfont in a cluster located within the

right amygdala ( $P = 0.03$  FWE-corrected, 91-voxel cluster size; see Figure 6, lower row, which provides the Talairach coordinates for the center of the cluster). Analyzing patterns of responses to negative IAPS did not reveal any clusters that withstood FWE-error correction. For the responses to alcohol-related stimuli, whole-brain analysis yielded variable results. A comparison of verucerfont and placebo treatment identified both clusters of attenuated responses, and those where the response was increased. The latter included some regions typically associated with alcohol and drug craving, such as the anterior cingulate (Supplementary Table T1).

## Tolerability

Of the 44 subjects enrolled in the protocol, 9 were discontinued at some point during the study (4 of them completed the Trier/CR before being withdrawn, and thus were included in that analysis). Discontinuation rates were significantly higher in the verucerfont group (8/22 vs 1/22,  $\chi^2 = 6.8$ ,  $P = 0.009$ ). In the placebo group, one subject withdrew to resume blood pressure medication she had stopped taking to participate in the study. In the verucerfont group, four subjects withdrew voluntarily owing to medical or personal reasons. The four remaining subjects were withdrawn, one due to episodes of purging behavior, one due to a possible allergic reaction to study medication, one after testing positive for substance use while at the NIH, and one



**Figure 4** Anxiety response to the guided imagery challenge session. (a) Effect of script type on anxiety. Data points represent mean  $\pm$  S.E.M. Covariates in the model included age, race, years of education, neuroticism, total score on the Addiction Severity Index (ASI), and the trait anxiety score from the Spielberger State Trait Anxiety Inventory-Trait (STAI-Trait) version. One subject was missing the STAI-Trait version score, thus the sample size was reduced to 34 for this analysis. The '+' indicates a significant difference between the 5 min and -15 min time points (Tukey's,  $P < 0.05$ ) during the stress script. (b) Effect of verucerfont on anxiety during the stress script (verucerfont:  $n = 14$ ; placebo:  $n = 21$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included age, years of education, Alcohol Dependence Scale (ADS) score, and neuroticism. (c) Effect of verucerfont on anxiety during the alcohol cue script (verucerfont:  $n = 14$ ; placebo:  $n = 21$ ). Data points represent mean  $\pm$  S.E.M. Age was a covariate in the model. For detailed statistics, see Results.

due to a severe dissociative reaction in response to the stress script. The latter patient was a 47-year-old African-American female with comorbid PTSD.

## DISCUSSION

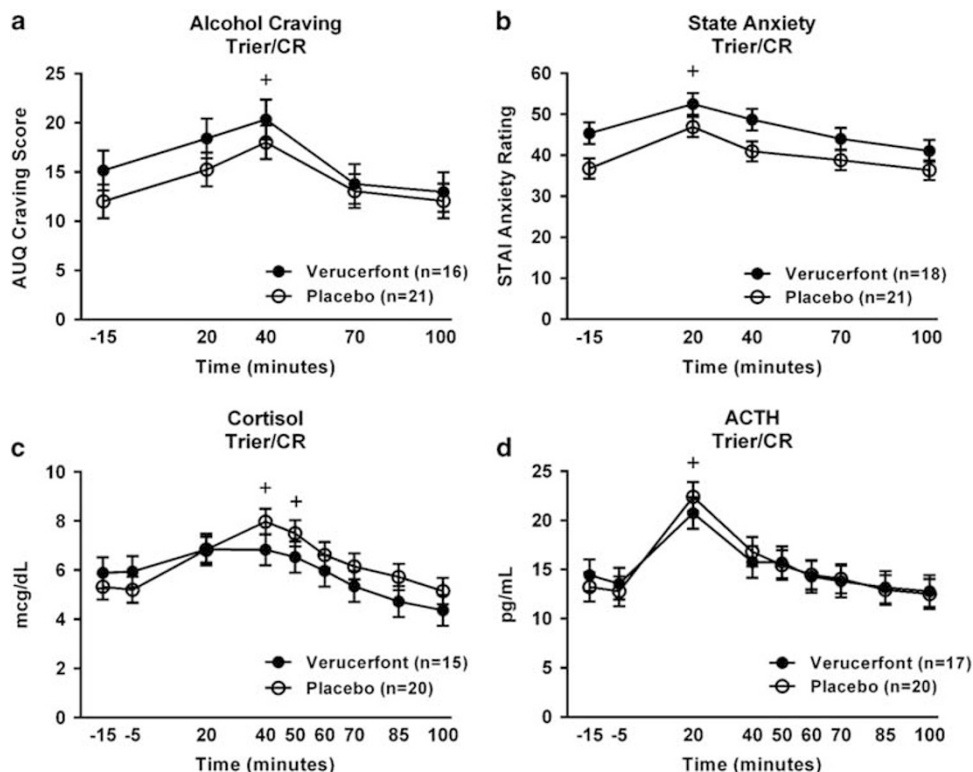
We evaluated verucerfont for its ability to block HPA-axis activity in rats and humans, and for its ability to impact biomarkers that may be predictive of clinical efficacy in anxious AD. In the clinical study, we used a battery that included neuroendocrine, behavioral, and brain imaging-based measures. Both the design and the outcome measures were similar to those recently used to evaluate another CRF1 antagonist, pexacerfont (Kwako *et al*, 2015). Verucerfont blocked HPA-axis activity in the adrenalectomized rat assay. Similarly, it blocked HPA-axis activation in the dex-CRF test in AD patients, preventing both ACTH and cortisol release that followed CRF stimulation. This was associated with attenuated brain responses to negative emotional stimuli. However, despite the antistress effects on HPA-axis and amygdala responses, the drug did not suppress experimental measures of alcohol craving or negative emotionality. Verucerfont was also less well tolerated than placebo, as evidenced by a significantly higher dropout rate.

A key finding of our study is to identify a translational biomarker of *in vivo* CRF1 antagonist activity. Although

neither unstimulated HPA-axis output nor moderate-level output in response to a psychological challenge were affected by verucerfont, the antagonist potentially blocked the HPA-axis response to an exogenous, supraphysiological CRF challenge. This effect was robust, and was reliably detected despite only a subset of participants undergoing the dex-CRF test because of a prolonged period of a nationwide pharmacy shortage in CRF. The *in vivo* HPA-axis suppressing activity of verucerfont in patients was predicted by rat data, which showed a potent and lasting suppression of ACTH output when a maximal activation of CRF drive was induced through adrenalectomy. Utility of the adrenalectomized rat assay for predicting *in vivo* CRF1 antagonist activity is further supported by the observations that another CRF1 antagonist, pexacerfont (Zhou *et al*, 2012), lacked activity in the rat assay, and was similarly unable to block the dex-CRF response in AD patients in a previous study (Kwako *et al*, 2015).

We cannot exclude the possibility that the difference in ability to block HPA-axis activation between verucerfont and pexacerfont could be related to sex differences; the pexacerfont study had predominantly male participants, whereas the verucerfont study was carried out exclusively in females. However, this appears unlikely to explain the findings, because the same difference between pexacerfont and verucerfont was observed in rats, using male subjects only. In fact, the difference in activity of pexacerfont and verucerfont in humans was predicted by a recently postulated





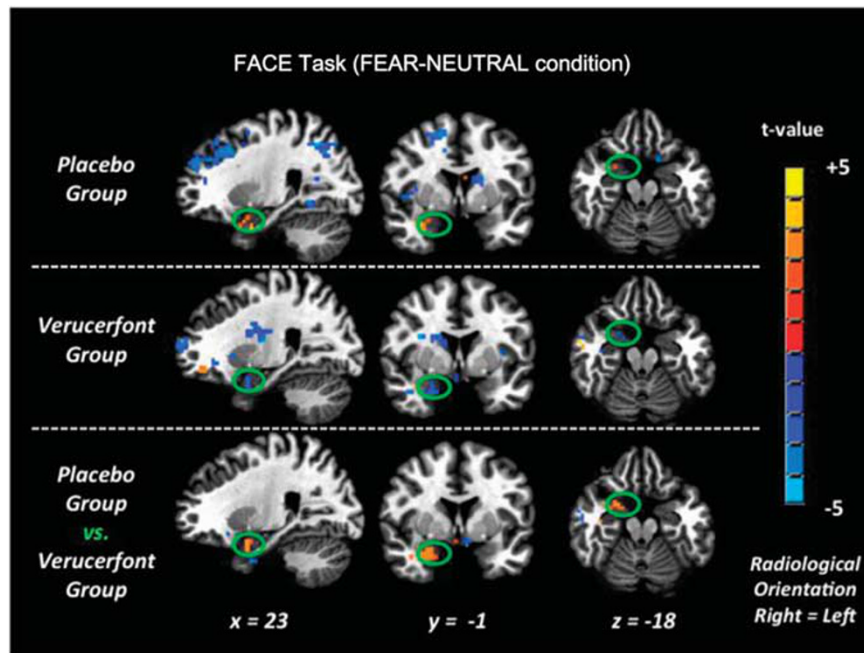
**Figure 5** (a) Effect of verucerfont treatment on craving response to the Trier Social Stress/cue-reactivity test (Trier/CR) (verucerfont:  $n = 16$ ; placebo:  $n = 21$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included race, average number of drinks per drinking day, and the total score from the Addiction Severity Index (ASI). The '+' indicates a significant difference between the 40 and -15 min time points (Tukey's  $P < 0.05$ ). The sample sizes for the analyses of craving were reduced owing to missing data from the ASI for one subject, and missing data for race from another subject. (b) Effect of verucerfont treatment on anxiety during the Trier/CR (verucerfont:  $n = 18$ ; placebo:  $n = 21$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included age and neuroticism. The '+' indicates an overall significant difference between the 20 and -15 min time points (Tukey's  $P < 0.05$ ); subjects receiving verucerfont showed higher anxiety overall compared with subjects receiving placebo. (c) Effect of verucerfont treatment on cortisol response to the Trier/CR (verucerfont:  $n = 15$ ; placebo:  $n = 20$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included age, race, and total score from the ASI. The '+' indicates a significant difference from -15 min time point at both 40 and 50 min (Tukey's  $P < 0.05$ ). (d) Effect of verucerfont treatment on adrenocorticotropic hormone (ACTH) response to the Trier/CR (verucerfont:  $n = 17$ ; placebo:  $n = 20$ ). Data points represent mean  $\pm$  S.E.M. Covariates in the model included age and total score from the Childhood Trauma Questionnaire (CTQ). The '+' indicates a significant difference between the 20 min and -15 min time points (Tukey's  $P < 0.05$ ). For detailed statistics, see Results.

hypothesis (Fleck *et al*, 2012), according to which differences in dissociation rates from the CRF1 are a key property that determines *in vivo* activity of antagonists with similar nominal binding affinity to the receptor. In that context, pexacerfont has a fast off-rate from the receptor, predicting low functional activity, whereas verucerfont belongs to a family of molecules with slow off-rates that are predicted to be highly active (Fleck *et al*, 2012; Zorrilla *et al*, 2013).

Despite its antistress effects on HPA and amygdala responses, verucerfont did not influence behavioral measures of distress or anxiety. This observation is in agreement with a prior report (Grillon *et al*, 2015). Furthermore, and most importantly, verucerfont did not influence the primary outcome of the present study, stress-induced alcohol craving. Furthermore, we observed increased rather than suppressed brain responses to alcohol cues in some brain structures associated with alcohol craving, such as the anterior cingulate cortex. In summary, verucerfont thus did not produce the hypothesized signature of coordinated anticraving actions across a range of brain- and behavior-based biomarkers. This lack of activity is consistent with clinical results recently obtained with pexacerfont (Kwako *et al*, 2015), and stands in stark contrast to the animal literature

with CRF1 antagonists in alcohol models (reviewed, e.g., in Heilig and Koob, 2007; Le and Shaham, 2002a; Zorrilla and Koob, 2013). Several potential explanations for these negative clinical findings should be considered.

First, it is possible that sufficient central CRF1 blockade was not achieved in our study. This possibility cannot be addressed directly, as no PET ligand is available that would allow central CRF1 occupancy by verucerfont to be determined. Nevertheless, this possibility appears unlikely, given that the dose regimen we used potentially influenced the neuroendocrine biomarker. In fact, while not representing a direct *in vivo* proof of engagement, the results on ACTH and cortisol blockade after dex-CRF test may represent a proximal substrate-product biomarker, an alternative classic methodology for determining target engagement in humans (Simon *et al*, 2013). The relationship between pituitary and central receptor exposure to verucerfont in humans is not known, but animal experiments have indicated a favorable brain/plasma ratio of verucerfont ( $1.6 \times$ ; Tellew *et al*, 2010), arguing against insufficient brain exposure when potent HPA-axis effects are observed.



**Figure 6** Functional magnetic resonance imaging blood oxygen level-dependent (fMRI BOLD) responses to fearful faces. Upper row: Right amygdala (within green oval) showed the predicted activation in the placebo group (placebo;  $n = 20$ ). Middle row: The corresponding activation in the verucerfont group was attenuated (verucerfont;  $n = 13$ ). Lower row: On direct comparison, there was a reduction in the verucerfont group, which exceeded the threshold for whole-brain corrected significance ( $P = 0.03$  family-wise error (FWE)-corrected, 91 voxel cluster size). The whole-brain analysis model included the following covariates: race, ADS (Alcohol Dependence Scale); and CTQ (Childhood Trauma Questionnaire) scores.

Second, we cannot draw definitive conclusions regarding the clinical efficacy of verucerfont in alcoholism based on the current data, as our study measured experimental surrogate markers rather than actual stress-induced relapse to alcohol use. However, the possibility that the outcomes used are not suited to detect CRF1 antagonist activity also appears unlikely: although their properties and predictive power are not fully established, these measures have been shown to predict relapse (Sinha *et al*, 2011a), and to be sensitive to medications with activity in preclinical models of stress-induced relapse to alcohol seeking (Fox *et al*, 2012; George *et al*, 2008).

A third possibility to consider is that the study did not target the optimal patient population. In a heterogeneous condition such as AD, characteristics of study participants may be decisive in determining whether an efficacy signal will be detected (Heilig *et al*, 2011; Litten *et al*, 2012; Sinha *et al*, 2011b). Whether craving and relapse are primarily driven by stress- or alcohol-associated stimuli, respectively, is a patient characteristic that has been suggested to predict treatment responses to different pharmacological mechanisms (Heinz *et al*, 2003). 'Reward craving' is sensitive to blockade by the approved alcohol medication naltrexone, whereas 'relief craving' is thought to be driven by the activity of systems that mediate behavioral stress responses (Le *et al*, 2002b; Liu and Weiss, 2002). 'Relief craving' has a prominent role in patients prone to anxiety and negative affect, a pattern commonly seen in female alcoholism where comorbidity with mood and anxiety disorders is particularly high (Grant *et al*, 2004; Kessler *et al*, 1997). Female patients with anxious AD should therefore be optimally sensitive to CRF1 blockers.

Finally, reports are accumulating in which studies have failed to detect activity of CRF1 antagonists in disease populations where animal work has predicted it, such as

depression (Binneman *et al*, 2008; GlaxoSmithKline, 2010), anxiety disorders (Coric *et al*, 2010), or AD (Kwako *et al*, 2015; present study). If target engagement has, in fact, been achieved in these studies, appropriate patient populations have been targeted, and informative outcomes have been assessed, then the possibility must be considered that a consistent activity pattern of CRF1 antagonists in preclinical behavioral assays does not translate between rodents and humans. If that is the case, the reasons are presently unknown. We believe that the data we report here add an important piece of information to help establish whether this situation is, in fact, at hand.

## FUNDING AND DISCLOSURE

The authors declare no conflict of interest.

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