

REVIEW

Acute promyelocytic leukemia: where did we start, where are we now, and the future

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Historically, acute promyelocytic leukemia (APL) was considered to be one of the most fatal forms of acute leukemia with poor outcomes before the introduction of the vitamin A derivative all-*trans* retinoic acid (ATRA). With considerable advances in therapy, including the introduction of ATRA initially as a single agent and then in combination with anthracyclines, and more recently by development of arsenic trioxide (ATO)-containing regimens, APL is now characterized by complete remission rates of 90% and cure rates of ~80%, even higher among low-risk patients. Furthermore, with ATRA-ATO combinations, chemotherapy may safely be omitted in low-risk patients. The disease is now considered to be the most curable subtype of acute myeloid leukemia (AML) in adults. Nevertheless, APL remains associated with a significant incidence of early death related to the characteristic bleeding diathesis. Early death, rather than resistant disease so common in all other subtypes of AML, has emerged as the major cause of treatment failure.

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INTRODUCTION

Acute promyelocytic leukemia (APL) is a unique subtype of acute myeloid leukemia (AML), with the first description as a distinct entity in 1957.¹ The disease is identified by distinctive morphology and is distinguished by a balanced reciprocal translocation between chromosomes 15 and 17. Historically, APL has been characterized by a rapidly fatal course with a high incidence of early hemorrhagic death. This became evident in early studies when patients who were untreated or received corticosteroids experienced a median survival of < 1 week, ranging from 1 day to 1 month.^{2–6} Current recommendations are that when a diagnosis of APL is suspected based upon clinical presentation and/or morphology, the disease should be treated as a medical emergency. Urgent administration of ATRA should be initiated with aggressive supportive measures including blood product support with platelets and cryoprecipitate while the genetic diagnosis is rapidly established.⁷

Risk stratification is imperative in the treatment of APL patients, as those with low-risk disease (white blood cell count (WBC) $\leq 10\,000/\mu\text{l}$) are generally treated with less intensive regimens than those patients presenting with high-risk disease (WBC $> 10\,000/\mu\text{l}$). Sanz *et al.*⁸ initially defined patients with WBC $\leq 10\,000/\mu\text{l}$ and platelet count $> 40\,000/\mu\text{l}$ as low risk for relapse, WBC $\leq 10\,000/\mu\text{l}$ and platelet count $\leq 40\text{k}$ as intermediate risk and WBC $> 10\,000/\mu\text{l}$ as high risk. However, as the outcomes for patients with low- and intermediate-risk disease are similar, these categories have been collapsed into one and considered as low-risk disease. In the past two decades, therapy for newly diagnosed APL has evolved from an all-*trans* retinoic acid (ATRA)+chemotherapy backbone for all patients to the addition of arsenic trioxide (ATO) to ATRA with omission of chemotherapy in low-risk patients as a new standard of care.

WHERE DID WE START

Induction regimens

APL has been associated with a high incidence of early hemorrhagic death. Early studies with induction including 6-mercaptopurine (6-MP) alone or in combination with steroids, methyl-glyoxal guanyl hydrazine and/or methotrexate led to poor results.⁹ In the largest studies, remission rates were 5–14%, with survival ranging from 3 to 16 weeks (median 3.5 weeks) among all patients, and 4 months to >6 years among responders.^{9–14} Despite waning beliefs that a cure could be attained, by the 1970s, anthracyclines were shown to yield complete remission (CR) rates that were at least comparable to, if not better than, those of other AML subtypes.^{9,15–17}

In 1973, daunorubicin (DNR) was shown to increase remission rates from 13 to 58% and to reduce hemorrhage-related mortality after 5 days of therapy relative to 6-MP-based regimens.⁹ It was also shown to induce durable remissions (median 26 months).⁹ Numerous investigators subsequently validated the efficacy of DNR in APL.^{14–26} Exceptional outcomes were later reported with higher dosing regimens (61% survival at 9 years, no relapses after 3 years).²² In addition, lower rates of death (41% vs 76%) and relapse (10% vs 68%) were reported in patients < 50 years of age with increasing DNR doses (180–210 vs 40–135 mg/m²).²²

Given the efficacy of DNR as a single agent, investigators sought to identify the superiority of anthracycline drug combinations over DNR alone. DNR was reported to yield similar rates of CR (67% vs 58%, *P* not significant) and early hemorrhagic death (10% vs 9%, *P* not significant) compared with various DNR and doxorubicin drug combinations in an analysis of 268 patients, although this analysis is limited by small numbers and its retrospective nature.²⁰ The Southwest Oncology Group showed similar patient outcomes even with the addition of other chemotherapeutic agents to DNR.²² Despite similar rates of CR (73%) and death during

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induction (27%), Petti *et al.*¹⁸ reported more rapid responses (23 vs 45 days), longer durations of remission (14 vs 7 months) and better survival rates (27%, >6 years vs 0% >25 months) with DNR as a single agent relative to DNR-based drug combinations, limiting enthusiasm for combination therapy in these early studies.

Pre-ATRA era reinduction, consolidation and maintenance therapy
Several early studies attempted to optimize reinduction for relapsed patients, consolidation and maintenance strategies in APL. Initial reports addressing outcomes following relapse were poor, with the best outcomes being achieved by Kantarjian *et al.*²¹ who reported a second CR rate (CR2) of 53% utilizing various reinduction regimens including combinations of doxorubicin, cytarabine, vincristine, amsacrine and prednisone. Cunningham *et al.*¹⁵ reported a median survival of 6 weeks following relapse. A variety of reinduction attempts were utilized in the pre-ATRA era; strategies including previous induction regimens were rarely successful.^{15,27} Allogeneic hematopoietic stem cell transplantation (HSCT) yielded poor results during first CR (CR1); however, allogeneic and autologous HSCT resulted in the longest CR2 durations (29 to 48+ months).^{15,21,27} Furthermore, unlike other subtypes of AML, it had been recognized in the pre-ATRA era that specific maintenance regimens were shown to be critical to long-term survival. Of the patients, 42% receiving POMP (6-MP, methotrexate, vincristine and prednisone) maintenance were long-term survivors compared with 3% of those receiving cycling monthly chemotherapy.²⁸ Kantarjian *et al.*²¹ also observed reduced remission durations when POMP maintenance was not used,^{15,21} leading to support for maintenance regimens in future studies.

WHERE WE ARE NOW

Induction regimens utilizing chemotherapy: remain the standard of care in high-risk APL

ATRA was introduced clinically in 1985, and this opened a new era in the treatment of APL.²⁹ ATRA induces differentiation of leukemic promyelocytes into mature granulocytes, leading to its evaluation either as a single agent or in combination with chemotherapy, first in relapsed/refractory disease and then in newly diagnosed patients.^{30–33} As a single agent, ATRA induced CR rates of 85% in studies by the Shanghai group in 1988.³³ The first North American Intergroup study (I0129) demonstrated a 72% CR rate with single-agent ATRA, equivalent to rates obtained with conventional doses of cytarabine and DNR.³⁰ However, frequent relapses were noted in patients who received ATRA alone. Continuous treatment with ATRA is characterized by reduction of its plasma concentration because of accelerated clearance.²⁹ These findings prompted subsequent trials to combine ATRA with chemotherapy, leading to lower relapse rates.

Numerous prospective randomized studies were conducted to exploit the potential benefits of the combination of ATRA and chemotherapy. The European APL group demonstrated in a randomized study that concurrent ATRA plus chemotherapy (DNR and cytarabine) resulted in a lower relapse rate at 2 years (6% vs 16%, $P=0.04$)³⁴ when compared with sequential ATRA followed by chemotherapy, and this has been confirmed in other large multicenter trials.^{35–39} Furthermore, the early addition of chemotherapy to ATRA decreased the incidence of retinoic acid syndrome.⁴⁰ Ultimately, these studies established concurrent ATRA and anthracycline-based chemotherapy (either an anthracycline plus cytarabine or an anthracycline alone) as the standard of care for induction in newly diagnosed APL patients.

There has been controversy surrounding the optimal chemotherapy regimen to combine with ATRA. First, there are no definitive data to suggest the superiority of one anthracycline over

another, as no prospective studies have been conducted comparing idarubicin with DNR in APL. Furthermore, there is no clear consensus on the role of cytarabine during induction therapy, although a number of studies have indicated that cytarabine is not needed in induction in any risk subset of patients. Two randomized trials investigated the role of cytarabine combined with either idarubicin or DNR, but yielded conflicting results.^{41,42} The National Cancer Research Institute (NCRI) in the United Kingdom randomized patients between ATRA plus idarubicin (AIDA) and ATRA plus DNR and cytarabine (MRC AML15 trial), and reported no differences in response, relapse or overall survival (OS) rates, but less myelosuppression in the AIDA group.⁴² However, the study by the EuroAPL group (APL 2000) that randomized low-risk patients (age <60 and WBC $\leq 10\,000/\mu\text{l}$) to induction with ATRA/DNR/AraC versus ATRA/DNR and consolidation with DNR/AraC versus DNR reported an increase in 5-year cumulative incidence of relapse (CIR) (13.4% vs 29%, $P=0.01$) and a decrease in OS rates (92.9% vs 83.3%, $P=0.07$) in the group who did not receive cytarabine for induction and consolidation therapy.^{41,43} Subsequent prospective, nonrandomized studies by the Gruppo Italiano Malattie Ematologiche dell'Adulto (GIMEMA) and Programa Español de Tratamientos en Hematología (PETHEMA) demonstrated that AIDA is as effective in inducing remission as cytarabine-containing regimens, with CR rates between 89 and 95%.^{38,44} Differences in outcomes may be related to variation of individual studies, such as the consolidation regimens (ATRA vs no ATRA), the number of consolidation courses and the specific anthracycline used.

Given the favorable results from risk-adapted treatment strategies, first in the LPA99 trial followed by the LPA2005 trial, an additional induction option includes ATRA plus idarubicin with risk-adapted consolidation.^{45,46} Finally, with the favorable results of the APML4 trial (discussed further below), which does not include cytarabine in induction (or consolidation), an alternate approach now recommended by the National Comprehensive Cancer Network (NCCN) includes ATRA plus idarubicin and ATO.⁴⁷

The introduction of ATO into the treatment of patients with APL

ATO was first utilized in APL patients in the early 1990s, and led to a high CR rate with relatively long-term remissions when used as a single agent.⁴⁸ In preclinical models, the combination of ATRA and ATO demonstrated synergism in inducing differentiation and apoptosis,^{49–51} allowing for targeted therapy of APL without exposure to chemotherapy. This synergism between ATRA and ATO has been demonstrated to eradicate APL-initiating cells through promyelocytic leukemia/retinoic acid receptor- α degradation.⁵² Investigators at the Shanghai Institute of Hematology performed a randomized clinical trial in which patients received ATRA, ATO or the combination of ATRA plus ATO as induction therapy. Similar CR rates between groups (between 90 and 95.2%) were observed, but among the patients receiving combination ATRA–ATO therapy, there was a statistically significant improvement in the time to achieve CR, time for platelet recovery and decrease in the rate of relapse.⁵³ The Australasian Leukaemia and Lymphoma Group (ALLG) performed a phase 2, single-armed study (APML4), reporting the outcome of 124 patients with newly diagnosed APL (23 patients with high-risk disease) treated with triple induction with ATRA, ATO and idarubicin, followed by two courses of consolidation with ATRA and ATO and 2 years of maintenance with ATRA, methotrexate and 6-MP (Figure 1).⁴⁷ Outcomes were compared with historical controls from the APML3 study that used AIDA in induction and consolidation without ATO. With a median follow-up of 2 years, the 3-year OS and event-free survival (EFS) rates were 93.2% and 88.1%, respectively. Compared with APML3 results, this trial demonstrated a statistically significant improvement in freedom from relapse, disease-free survival (DFS) and failure-free survival,

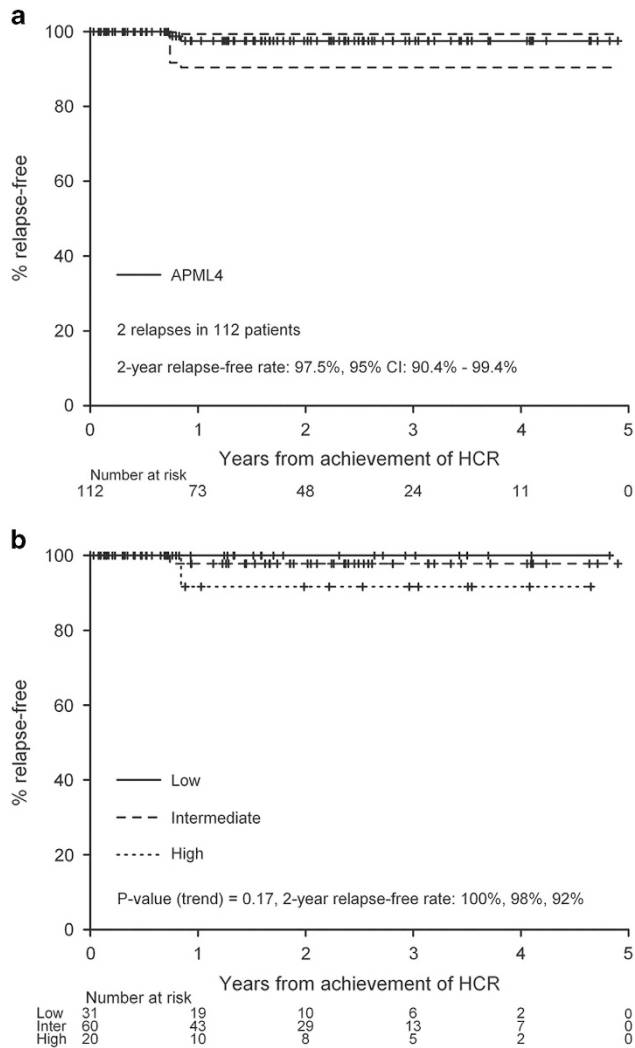


Figure 1. Relapse-free survival curves for APL4, the phase 2 trial utilizing combination of ATRA, ATO, and idarubicin in newly diagnosed APL. Panel **a** comprises all patients on APL4 ($n=112$) and panel **b** stratifies patients by Sanz risk category.

but not OS (Figure 1).⁴⁷ Updated results with median follow-up of 4.2 years were reported at the 2014 meeting of the American Society of Hematology, with 5-year OS and EFS rates of 94% and 90%, respectively, in all risk groups (87% and 83% in high-risk patients, respectively).⁵⁴ This regimen appears very promising; although, given its phase 2 nature and comparison with historical controls, it may be premature to suggest superiority. Furthermore, given the small number of high-risk patients, dedicated randomized trials in high-risk patients are required before drawing firm conclusions regarding the optimal induction regimen in this subset of patients.

Investigators at the MD Anderson Cancer Center demonstrated that the combination treatment of ATRA and ATO is an effective treatment in untreated APL with a high CR rate of 96%.⁵⁵ However, high-risk patients (WBC > 10 000/ μ l at presentation) achieved an inferior CR rate of 79–81% because of early treatment failure from fatal hemorrhage and differentiation syndrome despite the addition of either gemtuzumab ozogamicin (GO) or idarubicin during induction to control elevated WBC counts.^{55,56} This suggests this regimen may be inadequate for high-risk patients.

In summary, these studies suggested that the combination of ATRA and ATO particularly in patients with low-risk disease is very

promising. However, in patients presenting with high WBC, simultaneous use of cytotoxic agents such as anthracyclines in induction appears to be important to prevent rapid development of leukocytosis, differentiation syndrome and relapse, with a possible benefit of cytarabine in consolidation, discussed further below.

The transition to nonchemotherapy-based approaches for low-risk disease: ATRA and ATO combination therapy

With the early success of ATRA- and ATO-based induction regimens, the question emerged as to whether chemotherapy could safely be eliminated or minimized to reduce treatment-associated toxicities and long-term complications observed with cytotoxic agents.⁵⁷ This effort may be particularly important as therapy-related myeloid neoplasms have been observed in APL patients.^{58–60} In a recent series of 918 APL patients in CR, the incidence of therapy-related myeloid neoplasms was 2.2%, with the highest incidence of 5.2% in low-risk patients.⁶¹ The median OS from time of therapy-related myeloid neoplasm diagnosis in this series was 10 months; therefore, the omission of potentially leukemogenic cytotoxic chemotherapy is an attractive option to attempt to reduce the incidence of this serious complication.

Given the success of single-center studies examining the combination of ATRA with ATO as described above, a phase 3, multicenter trial comparing ATRA plus idarubicin with ATRA plus ATO was conducted in patients with low- to intermediate-risk APL. In July 2013, Lo-Coco and colleagues⁶² published results of this trial, with average follow-up of 33.4 months with extended results of the final series of 276 patients presented at the 2014 American Society of Hematology meeting. The study was designed as a noninferiority trial to demonstrate that the rate of EFS between the groups was not >5%. The 2-year EFS rates were 97% in the ATRA–ATO group, and 86% in the ATRA–chemotherapy group meeting a $P < 0.001$ for noninferiority and a $P=0.02$ for superiority, with EFS 98% vs 85% on updated series ($P=0.0002$) (Figure 2).⁶² The 2-year OS probability was 99% in the ATRA–ATO group, as compared with 91% in the ATRA–chemotherapy group ($P=0.02$). The 2-year DFS was 97% in the ATRA–ATO group and 90% in the ATRA–chemotherapy group ($P=0.11$), and the 2-year CIR was 1% in the ATRA–ATO group and 6% in the ATRA–chemotherapy group (CIR remained 1% in ATRA–ATO but increased to 9.4% for ATRA–chemotherapy in the updated analysis) ($P=0.24$ on initial analysis⁶³ and $P=0.005$ in the updated analysis) (Figure 2).⁶² Toxicities differed between the two arms, in that hematologic toxicity occurred more frequently in the ATRA–chemotherapy arm, but hepatic toxicity and prolongation of the QTc interval occurred more frequently in the ATRA–ATO arm. Importantly, there was no difference in the incidence of differentiation syndrome between the arms, possibly related to the use of prophylactic prednisone in both groups.⁶³ Health-related quality of life for fatigue severity was statistically improved in the ATRA–ATO arm as compared with ATRA–chemotherapy.⁶⁴

In summary, ATRA–ATO was noninferior and possibly superior to ATRA–chemotherapy. The observed improvement in EFS and OS in the ATRA–ATO arm without significant differences in DFS and CIR suggests that these regimens have similar antileukemic efficacy, but with lower mortality in the ATRA–ATO arm from causes other than relapse.⁶³ Longer-term follow-up will be important to draw final conclusions regarding efficacy and long-term toxicity.

Eghtedar *et al.*⁶⁵ recently examined the incidence of secondary malignancies in patients treated with ATRA–ATO ($n=106$, with median follow-up of 29 months) versus ATRA–idarubicin ($n=54$, with median follow-up of 136 months). Nine patients in the chemotherapy group developed secondary malignancies compared with two patients in the ATRA–ATO group. They concluded that the treatment of APL patients using ATRA–ATO is not

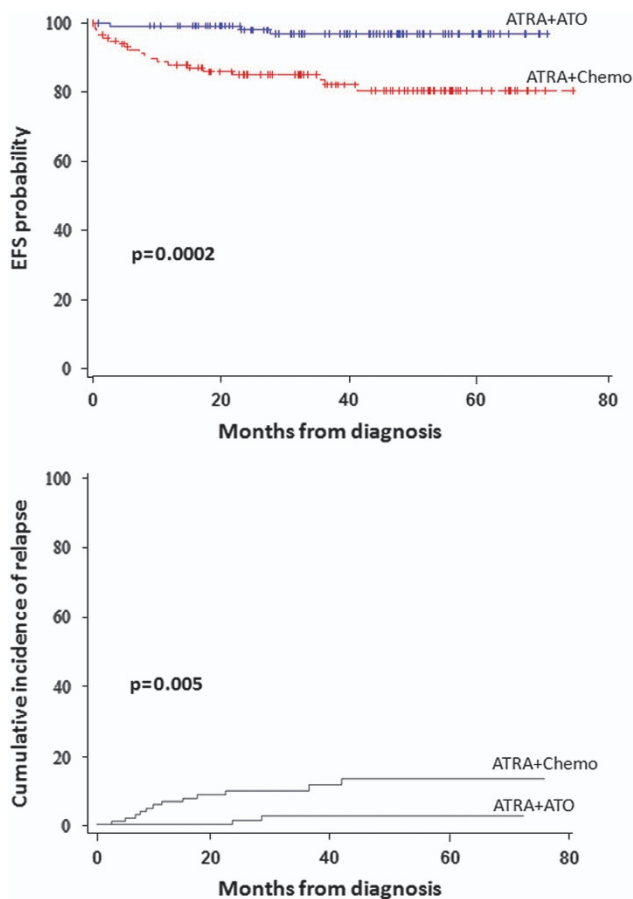


Figure 2. EFS probability and CIR in non-high-risk APL patients on Italian–German APL 0406 trial comparing ATRA–ATO with ATRA–chemotherapy on the extended final series.⁶²

associated with a higher incidence of secondary malignancies with a $P=0.29$, adjusted for unit of time exposure. Longer follow-up of randomized populations such as the phase 3 study by Lo-Coco *et al.*⁶³ would provide more useful estimations regarding long-term toxicities such as secondary malignancies.

Based upon these favorable results of the phase 3 trial comparing ATRA–ATO with ATRA–chemotherapy, ATRA–ATO has emerged as the new standard of care for patients with low-(to-intermediate) risk APL. Furthermore, ATRA–ATO therapy also may serve as an attractive alternative for patients who are considered unfit for conventional treatment and with severe comorbidities, such as older adults and patients with cardiac dysfunction or other severe organ dysfunction.

Consolidation therapy: risk-adapted approach

Historical comparisons of trials by the GIMEMA⁶⁶ and PETHEMA⁴⁵ have demonstrated a lower relapse rate (8.7% vs 20.1%) and higher DFS and OS rates with concomitant administration of ATRA with chemotherapy in consolidation. However, no randomized studies have demonstrated this benefit of ATRA. Nevertheless, this approach has been routinely adopted.

There is no consensus regarding which specific chemotherapy is optimal in consolidation. The focus of past research efforts has been to develop risk-adapted strategies to provide more intensive treatment in high-risk patients with WBC $>10,000/\mu\text{l}$ while minimizing toxicities in low-risk patients. A cooperative group multicenter study by PETHEMA (LPA2005) administered cytarabine only in high-risk patients, achieving a lower CIR at 3 years (11% vs

26%, $P=0.03$) compared with historical controls from LPA99 trial.⁴⁶ Similarly, GIMEMA (AIDA2000) administered cytarabine in high-risk patients only and reported an improved incidence of relapse at 6 years in this group (9.3% vs 49.7%, $P < 0.001$) compared with historical controls (AIDA0493).⁶⁶ However, the improved outcome observed in the GIMEMA study is likely related to the use of ATRA in consolidation as the historical comparator received chemotherapy without ATRA. In contrast, a study by the NCRI, published only in abstract form, demonstrated no benefit of cytarabine in all risk groups of patients.⁴² Taken together, the majority of studies suggest a benefit of cytarabine in high-risk patients, possibly because of the synergistic effect of the combination of ATRA plus cytarabine.⁶⁷ However, taking contemporary studies utilizing ATRA–ATO combination into account, it appears that cytarabine can be omitted in low-risk patients in consolidation and excellent outcome is preserved.⁶³

To reduce chemotherapy exposure in low-risk patients, multiple cooperative groups have investigated the role of ATRA and ATO in consolidation. The North American Intergroup trial (C9710) randomized patients to receive two cycles of consolidation with ATRA plus DNR, either immediately following induction therapy or preceded by two 25-day cycles of ATO.⁶⁸ The results demonstrated that for all risk groups, ATO in consolidation significantly improved 3-year DFS (90% vs 70%, $P < 0.0001$); and there was a nonstatistically significant improvement in OS (86% vs 81%, $P=0.07$).⁶⁸ In a phase 2 study, Gore *et al.*⁶⁹ reported comparable outcomes (DFS 90 and OS 88%) with considerably reduced amount of anthracyclines combined with a single cycle of ATO. Other groups have completely eliminated cytotoxic chemotherapy and investigated the role of ATO either as a single agent or combined with ATRA in consolidation. Using ATRA–ATO, with GO as alternate therapy for patients with toxicity to ATRA–ATO, investigators at the MD Anderson Cancer Center reported a 3-year OS of 85%.⁷⁰ The ALLG reported a 3-year OS and EFS rates of 93% and 87%, respectively, utilizing ATRA–ATO in consolidation in APML4.⁴⁷ Finally, the phase 3 trial by Lo Coco *et al.*⁶³ demonstrated the utility of ATRA–ATO in consolidation for standard-risk patients, yielding at a minimum noninferior, and possibly superior, outcomes, as outlined above.

Maintenance therapy

Prolonged maintenance therapy is typically included in modern APL treatment protocols, although its importance remains controversial. A Cochrane review examining published, ongoing and unpublished clinical trials through July 2012 sought to determine the role for maintenance therapy in APL in CR1. Selection criteria required randomized controlled trials assessing maintenance treatment in patients with newly diagnosed APL in CR1 following induction or induction and consolidation. Ten randomized trials enrolling 2072 patients were included in the systematic review, and meta-analysis was conducted on nine of these trials. There was no statistically significant improvement in OS in the comparisons examined (maintenance treatment vs observation, ATRA maintenance vs non-ATRA maintenance, ATRA maintenance alone vs ATRA with chemotherapy maintenance).⁷¹ However, DFS was improved with any maintenance compared with observation (hazard ratio 0.59, 95% confidence interval 0.48–0.74 with 1209 patients in 5 trials), although DFS was not statistically improved with ATRA-based regimens compared with non-ATRA regimens (hazard ratio 0.72, 95% confidence interval 0.51–1.01 with 670 patients from 4 trials).⁷¹ Although suggestive that maintenance may improve DFS, though not OS, in APL, the significant heterogeneity with regard to specific induction and consolidation regimens between these trials limits the generalized applicability of these findings.

Coutre *et al.*⁷² recently reported the results of the trial S0521 that randomized low-risk patients who achieved a molecular CR to

maintenance with ATRA, 6-MP and methotrexate vs observation; all patients received standard induction of DNR, ATRA and cytarabine and consolidation with two courses each of ATO and DNR/cytarabine. Enrollment was stopped because of slow accrual. However, of the 68 patients randomized, no relapses were observed at median follow-up of 36.1 months, suggesting that in patients receiving intensive induction/consolidation including ATO, maintenance may not be necessary.

Relapsed-refractory APL

With modern therapy, relapsed/refractory APL is a rare condition, as 90% of patients achieve CR after initial therapy and 80% of patients are cured of their disease. Delayed CR (that is, CR after 35 days of therapy) has been associated with a higher rate of relapse (31% vs 17%, $P=0.001$).⁷³ Failure to achieve remission after ATRA-based induction therapy is rare, largely restricted to rare patients with ATRA-resistant variants, such as *PLZF-RARA*-positive APL.⁷⁴ Resistance to ATO has recently been described in a series of 13 ATO-resistant APL patients using direct sequencing, 9 of whom harbored *PML* mutations, and 7 of these simultaneously harbored *RARA* mutations.⁷⁵

Relapse occurs in 5–20% of patients, with < 3% of patients with low-risk disease relapsing, but closer to 20% relapse rate in some series among high-risk patients, although this rate appears to be lower at ~10–12% in contemporary series.^{47,76} Relapse at extramedullary sites is an increasingly recognized problem, occurring in 3–5% of patients.⁷⁷ Therapeutic options for relapsed/refractory APL have included ATO, thought to be the single most active agent in APL, with 40 of 47 relapsed APL patients achieving CR in an early study.⁴⁸ Further treatment options for induction include combinations of ATO with chemotherapy such as anthracyclines and anti-CD33 humanized antibodies (discussed further below).

However, as ATO moves to front-line therapeutic strategies, the response to ATO in relapse to those patients previously exposed to ATO is unclear. This issue will become an important one, although for increasingly fewer patients. A retrospective study examined 64 consecutive first-relapsed APL patients receiving salvage therapy with ATO and chemotherapy, 52 of whom had a hematologic relapse. Of patients with hematologic relapse, 20 had relapsed after previous ATO therapy and 32 did not receive prior ATO therapy.⁷⁸ There was no statistical difference between CR2 rate (80% vs 93.8%, $P=0.189$) or 4-year OS rate (62.4% vs 71.2%, $P=0.816$), but there was a statistically significant difference between relapse rate (68.8% vs 33.3%, $P=0.03$) and 4-year relapse-free survival rate (29.8% vs 66.2%, $P=0.023$).⁷⁸ This study is limited by its retrospective design and small number of patients. Larger prospective studies may help elucidate the utility of rechallenge with ATO in previously exposed patients.

Once a patient has achieved CR2, HSCT is considered in patients who are candidates. Autologous as well as allogeneic transplants have been evaluated.⁷⁹ Although both have been associated with durable remission and prolonged survival, the former approach has led to the best outcomes in all comparative studies. A phase 2 study of 35 patients evaluating the efficacy and feasibility of induction and consolidation with ATO followed by auto-HSCT in relapsed APL demonstrated a 5-year EFS of 65% and a 5-year OS of 77%.⁸⁰ Recent data suggest an improved 5-year DFS and OS in auto-HSCT when compared with allo-HSCT (DFS 63% in auto-HSCT and 50% in allo-HSCT ($P=0.10$); OS 75% in auto-HSCT vs 54% in allogeneic ($P=0.002$)).⁸¹ In a retrospective study that reviewed patients who received ATO-based therapy before auto-HSCT, a delay in neutrophil recovery has been demonstrated, although the clinical significance is uncertain.⁸² Owing to the increasing use of ATO in front-line therapy for APL, larger prospective studies are necessary to validate such findings and to understand the mechanism of delayed neutrophil recovery.⁸²

THE FUTURE

Efforts to improve early death rate

Unlike other subtypes of AML, the primary cause of treatment failure in patients with APL is early death, defined as death within the first 30 days of diagnosis. Although the rate of early death is low in patients enrolled on clinical trials, it is significantly higher in patients who are not enrolled on trials, likely related to selection bias (20–30% compared with 3% in a recent study⁸³). Early death is particularly common in older patients.⁸⁴ The observed improvement in early death rate over time is modest at best, decreasing from 22.1% in 1992–1995, to 14.7% in 1996–2001 and 17.5% between 2002 and 2007, in a population-based study.⁸⁵ The reasons for early deaths in APL are multiple, although death during induction is most frequently related to the hemorrhagic diathesis because of hyperfibrinolysis, proteolysis and disseminated intravascular coagulation, further complicated by thrombocytopenia.⁸⁶ Delays in ATRA therapy have been suggested as a contributing factor in early deaths, with ATRA ordered in only 31% of APL on the day the diagnosis was suspected in one retrospective analysis.⁸⁷ In another retrospective review examining early APL deaths, delay in ATRA administration was not a statistically significant cause for early death, although interpretation of these data is limited as the group with delayed ATRA therapy was generally less sick than the group that received ATRA promptly.⁸⁸

Ultimately, given excellent response rates in APL with low relapse rates even among high-risk patients, improvement in the early death rate in APL is of paramount importance. Education of medical providers should lead to a high level of vigilance regarding this diagnosis, to facilitate prompt suspicion for the diagnosis of APL, at which time ATRA should be initiated in addition to aggressive supportive measures. There is general consensus regarding aggressive blood product support, in that platelets should be maintained above $30\text{--}50 \times 10^9/l$ and fibrinogen above 100–150 mg/dl.⁸⁹

Novel agents

Oral ATO. In the aforementioned studies examining therapy with ATO, the intravenous (i.v.) formulation was utilized. The use of i.v. ATO is inconvenient, as it requires frequent patient visits for administration and maintenance of vascular access, further complicated by an observed increase in the rate of central venous catheter-associated thrombosis among APL patients compared with acute lymphocytic leukemia and AML patients.⁹⁰ An oral formulation of ATO has been developed that showed favorable oral absorption with an achieved bioavailability of up to 95% of an equivalent dose of i.v. ATO.⁹¹ Oral ATO was first utilized in the treatment of relapsed APL that showed high efficacy and similar toxicity profile to i.v. formulations.⁹² Notably, the QTC prolongation and ventricular arrhythmias seen with i.v. ATO were not observed with oral ATO, likely because of lower peak plasma arsenic concentrations achieved with oral formulations.⁹³ Oral ATO has since been tested in the setting of maintenance after first CR, and with 10-year follow-up, this regimen appears to have similar outcomes to i.v. formulations.⁹⁴ Finally, oral ATO versus i.v. ATO in combination with ATRA was examined in a randomized, phase 3 noninferiority trial, and oral ATO with ATRA was noninferior to i.v. ATO with ATRA (Figure 3).⁹⁵ In summary, the oral formulation of ATO exhibit excellent activity and combinations with ATRA provide an opportunity for a completely oral, chemotherapy-free regimen for treating APL.⁹⁶ Although oral ATO is an attractive therapeutic approach, longer-term follow-up is needed, and it is not yet readily available in the United States.

Anti-CD33 monoclonal antibodies. GO is an anti-CD33 monoclonal antibody conjugated to the toxin calicheamicin, and has shown significant activity in APL because of the high level of

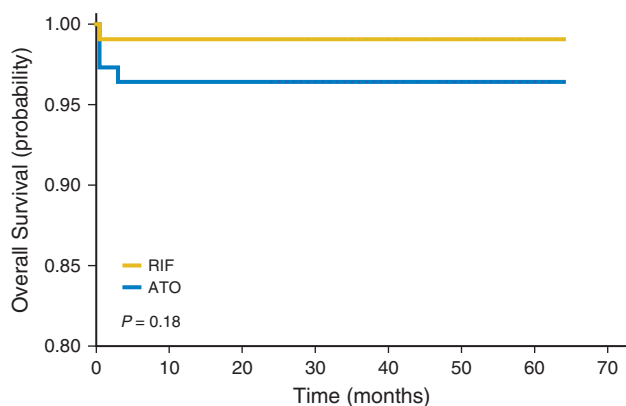


Figure 3. Overall survival curves for phase 3 randomized noninferiority trial comparing oral ATO (realgar-indigo naturalis formula) with i.v. ATO.⁹⁵

expression of CD33 target antigen on APL cells.⁹⁷ However, safety concerns led to the US marketing withdrawal of GO in June 2010, although this decision has more recently been called into question.^{98,99} GO is currently available under compassionate use programs. SGN-CD33A is a next-generation anti-CD33 antibody currently in clinical trials for AML, including APL, that has demonstrated antileukemic activity with 47% blast clearance in interim analysis of the phase 1 study.¹⁰⁰

Lo-Coco *et al.*¹⁰¹ explored the use of GO as a single agent in relapsed APL. Of the 16 patients treated, a molecular remission was obtained in 11 patients after two doses, and in an additional two patients after the third dose. One patient achieved molecular remission after first dose but was taken off drug because of hepatic toxicity. The last two patients had disease progression during treatment. These results supported that GO has significant single-agent activity in relapsed APL.¹⁰¹

Ravandi *et al.*⁷⁰ published the MD Anderson experience of utilizing ATRA-ATO induction with the addition of GO in high-risk, newly diagnosed APL patients (WBC $\geq 10\,000/\mu\text{l}$ at presentation in all patients, or WBC $> 30\,000/\mu\text{l}$ during induction in the second cohort of patients). Post-remission therapy consisted of ATRA and ATO, with GO given if either ATRA or ATO were discontinued because of toxicity. In the 82 patients examined, 74 achieved a CR with one additional CR with incomplete platelet recovery. The CR rate for low-risk patients was 95% and CR rate in high-risk patients was 81%.⁷⁰

Tamibarotene. Tamibarotene (formerly called Am80) is a synthetic retinoid that induces differentiation of HL-60 and NB-4 cells with ~ 10 times more potent *in vitro* activity compared with ATRA, with a favorable pharmacokinetic profile as the plasma level does not decline after daily administration.^{102,103} A phase 3 study was conducted to compare tamibarotene with ATRA as maintenance therapy for patients with newly diagnosed APL. Of the 344 eligible patients, 319 (93%) achieved CR with 269 undergoing maintenance randomization after completing three courses of consolidation.¹⁰⁴ There was no statistical difference between ATRA and tamibarotene for relapse-free survival, although in an exploratory analysis, high-risk patients were noted to have an improved relapse-free survival rate of 87% in the tamibarotene arm as compared with 58% in the ATRA arm.¹⁰⁴ Tamibarotene was examined as a single agent for induction in relapsed/refractory APL, showing activity in patients who previously received ATRA and ATO; however, responses were not durable.¹⁰⁵ Ultimately, the utility of this agent in the ATO era is of uncertain significance, although it possibly may have a role in high-risk patients for

maintenance therapy, but this would need to be confirmed in larger, dedicated studies.

Survivorship

Given the exceedingly high cure rate with modern therapy and the relatively young median age of patients, a future focus should emphasize optimization of survivorship care for APL patients. In a recent series, outcomes for APL patients treated with ATRA-ATO and ATRA-chemotherapy who were in CR for at least 3 years were retrospectively examined, revealing an 8% incidence of second malignancies in addition to the development of comorbid conditions such as diabetes mellitus, hypertension and cardiac disease, emphasizing the importance of long-term follow-up for APL survivors.¹⁰⁶

CONCLUSION

APL has been transformed from the most fatal to the most curable form of acute leukemia in adults. The standard of care for low-risk patients no longer includes chemotherapy given the success of the phase 3 noninferiority trial examining ATRA-ATO combination therapy. Regimens for treating high-risk APL have not been sufficiently compared to suggest superiority of one regimen over another. Given the tolerability and excellent long-term outcomes, our approach for high-risk patients includes triple induction with ATRA, ATO and idarubicin. Areas of ongoing need include efforts to decrease the early death rate, which is the primary cause for treatment failure, refinements in strategies for high-risk patients and a focus on survivorship care.

APL has served as a paradigm for targeted, differentiation-based therapies, with ATRA and ATO changing the landscape of therapy for this once uniformly fatal disease.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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