

# A NOTE ON HAUSDORFF MEASURES OF SIERPINSKI CARPETS

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## Abstract

*In this paper, regular Sierpinski carpet as a new concept is given. The exact value of Hausdorff measure of the regular Sierpinski carpet and the range of Hausdorff measures for all forms of generalized Sierpinski carpets is also obtained. For any one of the generalized Sierpinski carpets we show that there exists a regular carpet such that they have the same Hausdorff measures.*

## 1 Introduction

To calculate and estimate the Hausdorff measure is a very difficult problem in fractal geometry. But in recent years, some progresses about the Hausdorff measures of Sierpinski carpets are maden. The exact value of Hausdorff measure of a Sierpinski carpet was calculated by Zhou and Wu (See[1]). On the base of [1], in [2] and [3] the exact values of Hausdorff measures of some generalized Sierpinski carpets are obtained. In this paper, we shall continue the research on the Housdorff measures of Sierpinski carpets.

Let  $S_0$  be the unit square in  $R^2$  and  $m$  be a real number satisfying  $m \geq 4$ . Retaining 4 smaller squares in  $S_0$  arbitrarily such that their edges parallel the edges of  $S_0$  respectively, and the length of their edge is  $\frac{1}{m}$ , and their interiors are disjoint. At the same time, the

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interior of the other part be cut out. Let  $S_1$  be the union of the 4 smaller squares. In one of the 4 squares in  $S_1$ , we repeat this process such that the locations of the retained squares are the same as the last time. We obtain  $4^2$  smaller squares with edge to be  $\frac{1}{m^2}$  and the union of them be denoted by  $S_2$ , see Fig1.

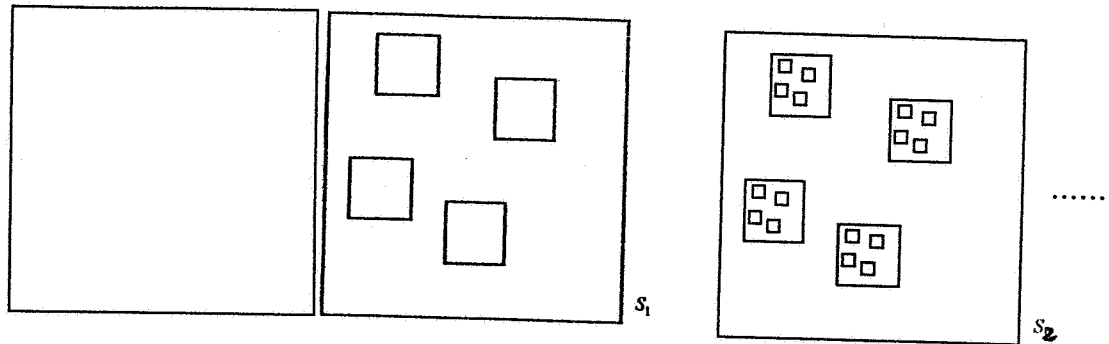


Fig 1

We do the above process infinitely, and obtain

$$S_0 \supset S_1 \supset S_2 \supset \dots \supset S_k \supset \dots,$$

the nonempty set  $S = \bigcap_{k=0}^{\infty} S_k$  is called a generalized Sierpinski carpet. It was studied in the case of the 4 squares of  $S_1$  be located at the 4 angles of  $S_0$  in [2]. Starting from  $S_0$ , by retaining different locations of the 4 smaller squares, different generalized Sierpinski carpets be obtained. This class of fractals this class are self-similar sets and satisfy the open set condition. Let  $s$  be the Hausdorff dimension of  $S$ , then  $4(\frac{1}{m})^s = 1$  (see [4]). It implies  $0 < s = \log_m 4 \leq 1$ . For  $k \in \mathbb{Z}^+$ , then  $S_k$  is composed of  $4^k$  smaller squares and the length of their edge is  $\frac{1}{m^k}$ . One of these squares is called elementary square of  $S_k$  and denoted by  $\square_k$ .

Naturally we ask the following question: For generalized Sierpinski carpet  $S$  in Figure 1, what is the range of its Hausdorff measure? Are there two generalized Sierpinski carpets with the same Hausdorff measure? We shall give the answer in this section. The notations in this paper are the same as [1] and [3]. We give the following concept:

*Defination.* The following generalized Sierpinski carpet is called a regular Sierpinski carpet, see Figure 2.

The 4 smaller squares in  $S_1$  are on the 4 angles of the square with the length of the edge  $p$ , where  $\frac{2}{m} \leq p \leq 1$ .

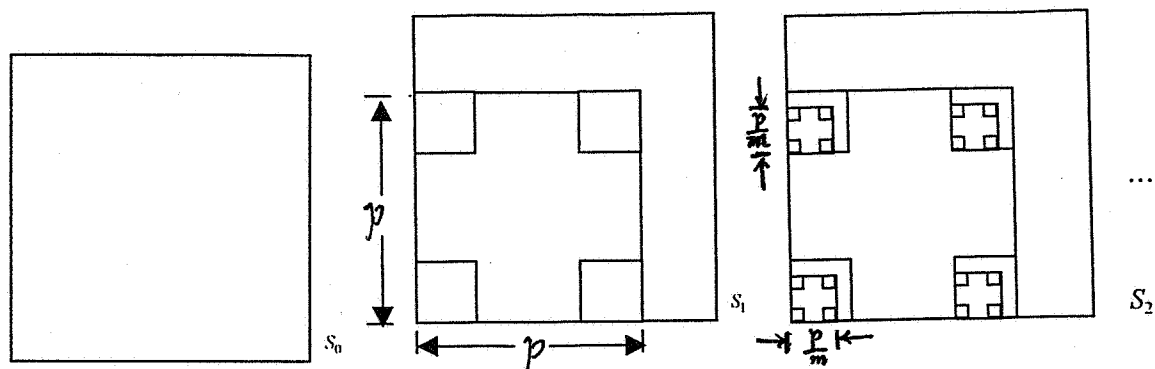


Fig 2

For regular Sierpinski carpet  $S$ , the following three theorems are well known.

**Theorem A<sup>[1]</sup>.** If  $m=4, p=1$ , then  $H(S) = \sqrt{2}$ , where  $s=1$ .

**Theorem B<sup>[2]</sup>.** If  $m \geq 4, p=1$ , then  $H^s(S) = \sqrt{2}^s$ , where  $s = \log_m 4$ .

**Theorem C<sup>[3]</sup>.** If  $m=4, p = \frac{2}{m}$ , then  $H(S) = \frac{\sqrt{2}}{3}$ , where  $s=1$ .

In this note, we shall prove the following theorem:

**Theorem.** For all forms of generalized Sierpinski carpets in Figure 1, the following holds

$$\left(\frac{1}{m-1}\right)^s \sqrt{2}^s \leq H^s(S) \leq \sqrt{2}^s;$$

and for any  $t$ , if  $\left(\frac{1}{m-1}\right)^s \sqrt{2}^s \leq t \leq \sqrt{2}^s$ , then there exists a regular Sierpinski carpet  $S$ , such that  $H^s(S) = t$ . Hence  $H^s(S)$  is continuous.

**Corollary.** For any form of generalized Sierpinski carpets in Figure 1, there exists a regular Sierpinski carpet such that they have the same Hausdorff measure.

## 2 Proof of the Theorem

$H^s(S) \leq \sqrt{2}^s$  is trivial. Next we shall show  $\left(\frac{1}{m-1}\right)^s \sqrt{2}^s \leq H^s(S)$ . For regular carpet, if  $m=4, p = \frac{2}{m}$ , then by Theorem C, we have  $s=1, H(S) = \frac{\sqrt{2}}{3}$ . If  $m \geq 4, \frac{2}{m} \leq p \leq 1$ , by putting  $S_0$  in Figure 2 on a rectangular coordinates system, then the convex shell of  $S$  is a square with vertexes coordinates are  $Z_1 = (0, 0), Z_2 = \left(\frac{mp-1}{m-1}, 0\right), Z_3 = \left(\frac{mp-1}{m-1}, \frac{mp-1}{m-1}\right)$ ,

$\frac{mp-1}{m-1}$ ,  $Z_4 = (0, \frac{mp-1}{m-1})$ , where  $\frac{mp-1}{m-1}$  is obtained by calculating  $1 - (1-p) \sum_{n=0}^{\infty} \frac{1}{m^n}$ .

By using the same method in the proof of Theorem C<sup>[3]</sup> and combining with Theorem B, we obtain the Hausdorff measure of this regular Sierpinski carpet

$$H^s(S) = \left( \frac{pm-1}{m-1} \right)^s \sqrt{2}^s. \quad (1)$$

Especially, if  $p = \frac{2}{m}$ , then  $H^s(S) = \left( \frac{1}{m-1} \right)^s \sqrt{2}^s$ . Therefore, we only need to show the Hausdorff measure of any form of generalized Sierpinski carpets in Figure 1 is greater than or equal to the Hausdorff measure of regular Sierpinski carpet with  $p = \frac{2}{m}$ .

Define a finite measure  $\mu$  (mass distribution) on  $S_0$  in Figure 1 satisfying

$$\begin{aligned} \mu(S_0) &= \left( \frac{1}{m-1} \right)^s \sqrt{2}^s, \\ \mu(\square_n) &= \frac{1}{4^n} \left( \frac{1}{m-1} \right)^s \sqrt{2}^s, \\ \mu(S_0 - S) &= 0. \end{aligned}$$

For regular Sierpinski carpet  $S'$  with  $p = \frac{2}{m}$ , if we define a finite measure  $\mu'$  on  $S'_0$  to be the same as  $\mu$ , then for any measurable set  $V$  in  $S'_0$ , we have  $\mu'(V) \leq |V|^s$  (see [2]).

The following assertion is explicitly: For elementary squares  $\square_i$  of  $S_i$  in Figure 1, some of them are chosen arbitrarily and denoted by  $\square_i^1, \dots, \square_i^l (1 \leq l \leq 4^i)$ . If the diameter of the union of them is denoted by  $d$ , then there exist  $l$  elementary squares of  $S'_i$  such that  $d \geq d'$ , where  $d'$  denotes the diameter of the union of the  $l$  elementary squares of  $S'_i$ . (For  $i=1, 2, \dots$ , we test this result by using the method of induction easily).

For any measurable set  $V$  in  $S_0$ , without loss of generality, we may choose  $V$  to be a simply connected region. Suppose there exist  $l_i$  elementary squares  $\square_i$  in  $V (i=1, 2, \dots)$ , then we have  $l_i \mu(\square_i) \rightarrow \mu(V) (i \rightarrow \infty)$ . Let  $U_i$  denote the union of these  $\square_i$  contained in  $V$  and  $d_i$  denote the diameter of  $U_i$ . For these  $l_i$  elementary squares  $\square_i$  in  $V$ , by using the above assertion, there exist  $l_i$  elementary squares in  $S'_i$  such that  $d'_i \leq d_i, (i=1, 2, \dots)$ , where  $d'_i$  denotes the diameter of  $U'_i$ , and  $U'_i$  is the union of the  $l_i$  elementary squares in  $S'_i (i=1, 2, \dots)$ . By the definitions of  $\mu$  and  $\mu'$ , we have

$$\mu'(U'_i) = \mu(U_i) = l_i \mu(\square_i) \rightarrow \mu(V) (i \rightarrow \infty),$$

hence  $\mu'(U'_i) \leq d_i^s \leq d_i^s \leq |V|^s$ , and hence  $\mu(V) \leq |V|^s$ . By the mass distribution principle<sup>[4]</sup>, we have

$$H^s(S) \geq \mu(S) = \left( \frac{1}{m-1} \right)^s \sqrt{2}^s.$$

For  $\frac{2}{m} \leq p \leq 1$ , by (1) and the intermediate value theorem, we have  $H^p(S)$  is continuous relative  $p$  and for any  $t$  satisfying  $(\frac{1}{m-1})^s \sqrt{2}^s \leq t \leq \sqrt{2}^s$ , there exists a regular carpet  $S$  such that  $H^p(S) = t$ .

*Proof or the Corollary.* This is the direct result of the theorem.

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