



# On an indefinite nonhomogeneous equation with critical exponential growth

Karla Carolina V. de Sousa<sup>1</sup> · Leandro S. Tavares<sup>2</sup>

Received: 4 March 2023 / Accepted: 23 May 2023 / Published online: 14 June 2023  
© The Author(s), under exclusive licence to Springer Nature Switzerland AG 2023

## Abstract

In this manuscript it is obtained existence of solution for the equation

$$-\operatorname{div}(a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u) + b(|u|^p)|u|^{p-2}u = c(x)f(u), \text{ in } \mathbb{R}^N,$$

where  $1 < p < N$ ,  $N \geq 2$ , the functions  $a, b : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  satisfy suitable conditions,  $c$  is a continuous sign-changing potential and the nonlinearity  $f$  has an exponential critical growth at infinity. In the proof we apply variational methods.

**Keywords** Exponential critical growth · Quasilinear equation · Trudinger–Moser inequality · Variational methods

**Mathematics Subject Classification** 35J60 · 35A15 · 35A23

## 1 Introduction and main results

In this manuscript we are interested in prove the existence of solution for the problem

$$-\operatorname{div}(a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u) + b(|u|^p)|u|^{p-2}u = c(x)f(u), \text{ in } \mathbb{R}^N, \quad (P)$$

where  $1 < p < N$ ,  $N \geq 2$ ,  $f : \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function and  $a, b \in \mathcal{W}$ , which denotes the set of the functions  $k : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  that satisfy the following hypotheses

( $k_1$ )  $k \in C^1$  and there are constants  $a_1, a_2 > 0$  that satisfy

$$a_1 t^p + t^N \leq k(t^p)t^p \leq a_2 t^p + t^N, \text{ for } t > 0;$$

---

This article is part of the section “Theory of PDEs” edited by Eduardo Teixeira.

---

✉ Karla Carolina V. de Sousa  
karlakcvs@gmail.com

Leandro S. Tavares  
leandro.tavares@ufca.edu.br

<sup>1</sup> Federal University of Tocantins, Arraias, TO 77330-000, Brazil

<sup>2</sup> Center of Sciences and Technology, Federal University of Cariri, Juazeiro do Norte, CE 63048-080, Brazil

- (k<sub>2</sub>) the function  $t \mapsto \mathcal{K}(t^p)$ , is convex, for  $t > 0$ , where  $\mathcal{K}$  is the primitive of  $k$ , that is,  $\mathcal{K}(t) := \int_0^t k(\tau) d\tau$ ;
- (k<sub>3</sub>) the function  $t \mapsto \frac{k(t^p)}{t^{N-p}}$  is nonincreasing, for  $t > 0$ ;
- (k<sub>4</sub>) the function  $t \mapsto k(t^p)t^{p-2}$  is increasing, for  $t > 0$ .

From the growth condition (k<sub>1</sub>) it follows the inequality

$$a_1 t^p + \frac{p}{N} t^N \leq \mathcal{K}(t^p) \leq a_2 t^p + \frac{p}{N} t^N, t > 0 \tag{1.1}$$

for  $k \in \mathcal{W}$ . Since we intend to use variational methods, the assumptions above are also important to prove that there is an associated  $C^1$ -class functional.

It will be considered that  $f$  satisfies

(f<sub>1</sub>)  $\lim_{t \rightarrow 0} \frac{f(t)}{|t|^{N-1}} = 0$

and the exponential critical growth

(f<sub>2</sub>) there is  $\alpha_0 > 0$  satisfying

$$\lim_{t \rightarrow +\infty} \frac{f(t)}{e^{\alpha|t|^{N-1}}} = \begin{cases} 0 & \text{if } \alpha > \alpha_0. \\ +\infty & \text{if } \alpha < \alpha_0; \end{cases}$$

Before presenting the other conditions on  $f$ , we will exhibit the hypotheses on the function  $c$ , that were motivated by [2], and given by

- (c<sub>1</sub>)  $c : \mathbb{R}^N \rightarrow \mathbb{R}$  is a bounded continuous function which change its sign;
- (c<sub>2</sub>)  $\text{dist}(\Omega^+, \Omega^-) > 0$ , where  $\Omega^+ := \{x \in \mathbb{R}^N; c(x) > 0\}$  and  $\Omega^- := \{x \in \mathbb{R}^N; c(x) < 0\}$ ;
- (c<sub>3</sub>) there is  $R > 0$  with  $c(x) < 0$  for all  $|x| \geq R$ .

Assumption (c<sub>2</sub>) ensures the existence of  $\zeta \in C^\infty(\mathbb{R}^N, [0, 1])$  satisfying

$$\zeta \equiv 1, \text{ in } \Omega^+, \quad \zeta \equiv 0, \text{ in } \Omega^-, \quad \mathcal{M} := \sup_{\mathbb{R}^N} |\nabla \zeta| < \infty.$$

Now, we are able to state the remaining conditions on  $f$ .

(f<sub>3</sub>) there is  $\nu > N$  and

$$0 < \theta < \min \left\{ \frac{\nu}{N + (N - 1)\mathcal{M}}, \frac{\nu a_1}{p a_2 + \mathcal{M} a_2 \min\{1, p - 1\}} \right\} =: \theta_0,$$

such that

$$0 < \frac{\nu}{\theta} F(t) \leq f(t)t, \text{ for } |t| > 0,$$

where  $F(t) := \int_0^t f(\tau) d\tau$ .

(f<sub>4</sub>) there are constants  $K_0, R_0 > 0$  satisfying

$$0 < F(t) \leq K_0 |f(t)|, \text{ for } |t| \geq R_0;$$

(f<sub>5</sub>) if  $x_0 \in \Omega^+$  and  $r > 0$  satisfy  $B_r(x_0) \subset \subset \Omega^+$ , by denoting  $c_0 = \inf_{x \in B_r(x_0)} c(x) > 0$ , it will be considered that

$$\lim_{|t| \rightarrow +\infty} t f(t) e^{-\alpha_0 |t|^{\frac{N}{N-1}}} \geq \beta_0 > \frac{N^N}{c_0 \alpha_0^{N-1} r^N}.$$

An example of function satisfying  $(f_1) - (f_5)$  can be found in [2] and is given by

$$f(s) = \left( q|s|^{q-1}s + \frac{\alpha_0 N}{N-1} |s|^{q+\frac{N}{N-1}-2}s \right) e^{\alpha_0|s|^{\frac{N}{N-1}}}$$

for  $s \in \mathbb{R}$  and  $q > \frac{v}{\theta}$ . In this case  $F(s) = |s|^q e^{\alpha_0|s|^{\frac{N}{N-1}}}$ .

In the recent decades, problems related to  $(P)$  has been attracting the attention of researchers due to its applicability in mathematical models that arise in several branches of science such as biophysics, plasma physics and chemical reaction design driven by the parabolic reaction-diffusion system

$$u_t = \operatorname{div}[(|\nabla u|^{p-2} + |\nabla u|^{N-2})\nabla u] + c(x, u).$$

In the mentioned applications, the solution  $u$  describes mathematically the concentration, the divergent term provides informations of the diffusion  $D(u)$ ; whereas the term  $c$  is the reaction and is related to loss processes and the source. In several application in Chemistry and Biology, the reaction function  $c(x, u)$  exhibits a polynomial growth in the term  $u$  and has variable coefficients. Without intention to present a complete list of references, we quote the classical ones [7, 11] for more details regarding the mentioned applications.

From the mathematical point of view, the main motivations for  $(P)$  are [2, 9], where it was considered a version of  $(P)$  for the  $N$ -Laplacian operator in an exterior domain and a problem for the general nonhomogeneous operator considered in  $(P)$  with a nonlinearity exhibiting a critical exponential growth, respectively.

Note that the hypotheses considered in the functions  $a$  and  $b$  allow to one consider a wide class of problem. For example, by considering  $a(t) = 1 + t^{\frac{N-p}{p}}$ ,  $b(t) = 1 + t^{\frac{N-p}{p}}$ , we obtain  $a, b \in \mathcal{W}$  with  $a_1 = a_2 = 1$ , that provide  $p$ & $N$ -Laplacian equation

$$-\Delta_p u - \Delta_N u + |u|^{p-2}u + |u|^{N-2}u = c(x)f(u) \text{ in } \mathbb{R}^N,$$

which arises in the study of reaction-diffusion systems as described before. If

$$a(t) = 1 + t^{\frac{N-p}{p}} + \frac{1}{(1+t)^{\frac{p-2}{p}}}, \quad b(t) = 1 + t^{\frac{N-p}{p}} + \frac{1}{(1+t)^{\frac{p-2}{p}}},$$

we have  $a, b \in \mathcal{W}$  with  $a_1 = 1$  and  $a_2 = 2$ . In such case one can consider the mean curvature type problem

$$\begin{aligned} & -\Delta_p u - \Delta_N u - \operatorname{div} \left( \frac{|\nabla u|^{p-2}\nabla u}{(1+|\nabla u|^p)^{\frac{p-2}{p}}} \right) \\ & + |u|^{p-2}u + |u|^{N-2}u + \left( \frac{|u|^{p-2}u}{(1+|u|^p)^{\frac{p-2}{p}}} \right) = c(x)f(u) \text{ in } \mathbb{R}^N. \end{aligned}$$

In what follows, we present the result obtained in this paper.

**Theorem 1.1** *Consider that  $a, b \in \mathcal{W}$  and  $(c_1) - (c_3)$ ,  $(f_1) - (f_5)$  hold. Then there exists a nontrivial solution for  $(P)$ .*

The proof of the result consists in an application of the Mountain Pass theorem. The main difficulty is to prove the boundness of the Palais-Smale sequences which occurs due to the sign changing potential  $c$ . Another mathematical difficulty is the lack of compactness which is handled by considering the assumption  $(f_4)$  (see [8]) and the technical difficulties related

to the minimax level may be solved by combining the hypothesis  $(f_5)$ , a Trudinger–Moser inequality and appropriate estimates involving the Moser’s functions.

The rest of the manuscript is organized as follows: in Section 2 it is presented some preliminary facts to consider the problem through a variational approach; in Section 3 it is studied the Palais–Smale sequences associated to the problem, the Mountain Pass level and, finally, it is proved Theorem 1.1.

## 2 Preliminaries

We start this section with a substantial lemma proved in [1]. Before state the result let us introduce the following notation: if  $N \geq 2$ , we denote by

$$S_{N-2}(\alpha, t) = \sum_{j=0}^{N-2} \frac{\alpha^j}{j!} |t|^{\frac{N_j}{(N-1)}},$$

for  $\alpha > 0$  and  $t \in \mathbb{R}$ .

**Lemma 2.1** Consider  $(u_n)$  a sequence in  $W^{1,N}(\mathbb{R}^N)$  such that

$$\limsup_{n \rightarrow +\infty} \|u_n\|_{1,N}^N < \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1}, \tag{2.1}$$

where

$$\|u\|_{1,N} = \left(\int_{\mathbb{R}^N} (|\nabla u|^N + |u|^N) dx\right)^{1/N}, u \in W^{1,N}(\mathbb{R}^N),$$

$\alpha_N := N\omega_{N-1}^{\frac{1}{N-1}}$ , and  $\omega_{N-1}$  is the measure of the unit sphere in  $\mathbb{R}^N$ .

Then, there are constants  $\alpha > \alpha_0$ ,  $s > 1$ ,  $C > 0$ , which does not depend on  $n$ , such that

$$\int_{\mathbb{R}^N} \left[\exp(\alpha|u_n|^{\frac{N}{N-1}}) - S_{N-2}(\alpha, u_n)\right]^s dx \leq C, \text{ for all } n \geq n_0. \tag{2.2}$$

Consider  $\alpha > \alpha_0$  and  $q \geq 1$ . From the hypotheses  $(f_1) - (f_2)$  it follows that, for an arbitrary  $\varepsilon > 0$ , there are constants  $C_\varepsilon, c_\varepsilon > 0$  satisfying

$$\begin{aligned} |f(t)| &\leq \varepsilon|t|^{N-1} + c_\varepsilon|t|^{q-1}(e^{\alpha|t|^{\frac{N}{N-1}}} - S_{N-2}(\alpha, t)), \\ |F(t)| &\leq \frac{\varepsilon}{N}|t|^N + C_\varepsilon|t|^q(e^{\alpha|t|^{\frac{N}{N-1}}} - S_{N-2}(\alpha, t)), \end{aligned} \tag{2.3}$$

for all  $t \in \mathbb{R}$ .

Regarding to obtain solutions for  $(P)$  it will be considered the space

$$X = W^{1,p}(\mathbb{R}^N) \cap W^{1,N}(\mathbb{R}^N),$$

which is a Banach space with the norm  $\|u\| = \|u\|_{1,p} + \|u\|_{1,N}$ , where

$$\|u\|_{1,m} = \left(\int_{\mathbb{R}^N} (|\nabla u|^m + |u|^m) dx\right)^{1/m}, m \geq 1.$$

From the hypotheses  $a, b \in \mathcal{W}, (c_1)$ , the inequalities in (2.3) and the Trudinger–Moser inequality (see [5, 6]), it follows that the functional  $I : X \rightarrow \mathbb{R}$  defined by

$$I(u) = \frac{1}{p} \int_{\mathbb{R}^N} \mathcal{A}(|\nabla u|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} \mathcal{B}(|u|^p) dx - \int_{\mathbb{R}^N} c(x)F(u) dx$$

belongs to  $C^1(X, \mathbb{R})$  and

$$I'(u)v = \int_{\mathbb{R}^N} a(|\nabla u|^p)|\nabla u|^{p-2}\nabla u\nabla v dx + \int_{\mathbb{R}^N} b(|u|^p)|u|^{p-2}uv dx - \int_{\mathbb{R}^N} c(x)f(u)v dx, \text{ for all } u, v \in X.$$

Therefore, the critical points of  $I$  are weak solutions for (P).

In the next result it is obtained the Mountain Pass geometry for the functional  $I$  at the origin.

**Lemma 2.2** *Consider that  $a$  and  $b$  verify  $(k_1)$  and suppose that the conditions  $(c_1), (c_3), (f_1) - (f_3)$  hold. Then, there are  $\xi, \rho > 0$  such that*

$$I(u) \geq \xi, \text{ for all } u \in X \cap \partial B_\rho(0).$$

**Proof** From (1.1) we have

$$I(u) \geq \frac{1}{N} \|u\|_{1,N}^N - \int_{\mathbb{R}^N} c(x)F(u) dx, \text{ for all } u \in X. \tag{2.4}$$

By using Lemma 2.1 and the Hölder’s inequality it follows that there are  $\alpha > \alpha_0, s > 1$  and  $C > 0$  satisfying

$$\int_{\mathbb{R}^N} |u|^q (\exp(\alpha|u|^{\frac{N}{N-1}} - S_{N-2}(\alpha, u))) dx \leq C \|u\|_{q,s'}^q, \text{ for all } \|u\|_{1,N} < \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1}, \tag{2.5}$$

for a fixed  $q > N, C > 0$  not depending on  $u$  and  $s'$  is the conjugated exponent of  $s$ .

Consider an arbitrary  $\varepsilon > 0$ . By using (2.3), the above inequality and the continuous embeddings  $W^{1,N}(\mathbb{R}^N) \hookrightarrow L^N(\mathbb{R}^N), W^{1,N}(\mathbb{R}^N) \hookrightarrow L^{qs'}(\mathbb{R}^N)$  we obtain that

$$\begin{aligned} \int_{\mathbb{R}^N} c(x)F(u) dx &\leq \int_{\Omega^+} c(x)F(u) dx \leq \frac{\varepsilon}{N} C_0 \|u\|_N^N + \\ &+ C_0 C_\varepsilon \int_{\mathbb{R}^N} |u|^q (e^{\alpha|u|^{N/(N-1)}} - S_{N-2}(\alpha, u)) dx. \\ &\leq \frac{\varepsilon}{N} C_1 \|u\|_{1,N}^N + C_2 \|u\|_{1,N}^q, \end{aligned}$$

for  $\|u\|_{1,N} < (\alpha_N/\alpha_0)^{N-1}$  and with  $C_0 := \sup_{x \in \Omega^+} c(x) > 0$ . From (2.4) and the previous inequality we get

$$I(u) \geq \frac{1}{N} \|u\|_{1,N}^N - \frac{\varepsilon}{N} C_1 \|u\|_{1,N}^N - C_2 \|u\|_{1,N}^q, \text{ for all } \|u\|_{1,N} < \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1}.$$

Thus, by considering  $\varepsilon > 0$  such that  $(1 - \varepsilon C_1) = C_3 > 0$  we can use the above inequality to get

$$I(u) \geq \frac{1}{N} C_3 \|u\|_{1,N}^N - C_2 \|u\|_{1,N}^q,$$

which proves the result for

$$\rho := \min \left\{ \frac{1}{2} \left( \frac{\alpha_N}{\alpha_0} \right)^{\frac{N-1}{N}}, \left( \frac{C_3}{2NC_2} \right)^{1/(q-N)} \right\} \text{ and } \xi := \frac{\rho^N C_3}{2N}.$$

□

By considering a nonnegative function  $\varphi \in C_0^\infty(\Omega^+) \setminus \{0\}$ , it follows from  $(f_3)$  that  $I(t\varphi) \rightarrow -\infty$  as  $t \rightarrow +\infty$ . Thus, there is  $e \in X$  satisfying  $\|e\| > \rho$  and  $I(e) < 0$ . This and the previous result imply that there is a Palais-Smale sequence at the mountain pass level (see [4] and [14, Theorem 1.15]), that is, a sequence  $(u_n) \subset X$  satisfying

$$\lim_{n \rightarrow +\infty} I'(u_n) = 0, \quad \lim_{n \rightarrow +\infty} I(u_n) = c := \inf_{\gamma \in \Gamma} \max_{s \in [0,1]} I(\gamma(s)),$$

with  $\Gamma := \{\gamma \in C([0, 1], X); \gamma(0) = 0, \gamma(1) = e\}$ .

Some prior definitions are needed for the next step. Let  $x_0 \in \Omega^+$  and  $r > 0$  given  $(f_5)$ . As in [5], we consider the Moser’s functions [13] defined by

$$\tilde{M}_n(x) := \frac{1}{\omega_{N-1}^{\frac{1}{N}}} \cdot \begin{cases} (\log n)^{\frac{N-1}{N}}, & \text{if } |x - x_0| \leq r/n, \\ \frac{\log(r/|x-x_0|)}{(\log n)^{1/N}}, & \text{if } r/n \leq |x - x_0| < r, \\ 0, & \text{if } |x - x_0| \geq r. \end{cases}$$

Note that there is no loss of generality by considering  $x_0 = 0$ . We have  $\tilde{M}_n \in W^{1,N}(\mathbb{R}^N) \cap C_0(\mathbb{R}^N)$  (which implies that  $\tilde{M}_n \in X$ ) and  $\text{supp}(\tilde{M}_n) \subset \bar{B}_r(0)$ . Moreover, we have the result below.

**Lemma 2.3** *The assertions below hold.*

- (i)  $\|\nabla \tilde{M}_n\|_N = 1$ , for all  $n \in \mathbb{N}$ ;
- (ii)  $\int_{\mathbb{R}^N} |\tilde{M}_n|^N dx = O(1/\log(n)) \rightarrow 0$  as  $n \rightarrow +\infty$ ;
- (iii) Defining  $M_n := \tilde{M}_n / \|\tilde{M}_n\|_{1,N}$ , there is a sequence  $(d_n) \subset \mathbb{R}$  satisfying

$$M_n^{\frac{N}{N-1}} = \frac{N}{\alpha_N} \log n + d_n, \quad \lim_{n \rightarrow +\infty} d_n / \log n = 0, \text{ for } |x| \leq r/n; \tag{2.6}$$

- (iv)  $\|\nabla \tilde{M}_n\|_p \rightarrow 0$  and  $\|\tilde{M}_n\|_p \rightarrow 0$  as  $n \rightarrow +\infty$ , for all  $1 < p < N$ .

**Proof** The proof of properties (i) – (iii) can be found in [5]. Regarding (iv), note that

$$\begin{aligned} \int_{\mathbb{R}^N} |\tilde{M}_n|^p &= \frac{1}{\omega_{N-1}^{\frac{p}{N}}} \left[ \int_{\{|x| \leq r/n\}} (\log(n))^{\frac{N-1}{N}p} + \int_{\{r/n < |x| < r\}} \frac{\left(\log\left(\frac{r}{|x|}\right)\right)^p}{(\log(n))^{p/N}} \right] \\ &= \frac{1}{\omega_{N-1}^{\frac{p}{N}}} \left[ \omega_{N-1} \frac{r^N}{Nn^N} (\log(n))^{\frac{N-1}{N}p} + \int_{\{r/n < |x| < r\}} \frac{\left(\log\left(\frac{r}{|x|}\right)\right)^p}{(\log(n))^{p/N}} \right]. \end{aligned} \tag{2.7}$$

The fact that  $p < N$  implies

$$0 \leq \frac{(\log(n))^{\frac{N-1}{N}p}}{n^N} \leq \frac{n^{\frac{N-1}{N}p}}{n^N} n^N \leq n^{\frac{N-p}{N}p-N} \rightarrow 0, \tag{2.8}$$

as  $n \rightarrow +\infty$ .

Regarding to estimate the right-hand side of (2.7), note that the inequalities  $p < N$  and  $\log(s) \leq s$ , for all  $s > 0$ , provide that

$$0 \leq \int_{\{r/n < |x| < r\}} \frac{\left(\log\left(\frac{r}{|x|}\right)\right)^p}{(\log(n))^{p/N}} \leq \frac{r^p}{(\log(n))^{p/N}} \omega_{N-1} \frac{r^{N-p}}{N-p} \left(1 - \frac{1}{n^{N-p}}\right) \rightarrow 0, \tag{2.9}$$

as  $n \rightarrow +\infty$ . From (2.7), (2.8) and the previous inequality we obtain that  $\lim_{n \rightarrow +\infty} \|\tilde{M}_n\|_p \rightarrow 0$ .

In order to prove the gradient estimate, it follows from the definition of  $\tilde{M}_n$  and the inequality  $p < N$  that

$$\begin{aligned} \int_{\mathbb{R}^N} |\nabla \tilde{M}_n|^p &= \frac{1}{(\omega_{N-1} \log(n))^{\frac{p}{N}}} \int_{\{r/n < |x| < r\}} \frac{1}{|x|^p} \\ &= \frac{\omega_{N-1}^{\frac{N-p}{N}} r^{N-p}}{(\log(n))^{\frac{p}{N}} N-p} \left(1 - \frac{1}{n^{N-p}}\right). \end{aligned}$$

Since

$$\frac{1}{(\log(n))^{p/N}} \left(1 - \frac{1}{n^{N-p}}\right) \rightarrow 0,$$

as  $n \rightarrow +\infty$ , the result follows. □

The previous properties will play an important role in the following result:

**Lemma 2.4** *Consider that  $a$  and  $b$  satisfy  $(k_1)$  and suppose that  $(c_1) - (c_3)$ ,  $(f_3)$ ,  $(f_5)$  hold. Then there is  $n \in \mathbb{N}$  satisfying*

$$\max_{t \geq 0} I(tM_n) < \frac{1}{N} \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1}.$$

**Proof** For each  $n \in \mathbb{N}$  define the function

$$g_n(t) := \frac{a_2}{p} t^p \|M_n\|_{1,p}^p + \frac{1}{N} t^N - \int_{\mathbb{R}^N} c(x) F(tM_n) dx, \quad t \geq 0.$$

Thus, it follows from (1.1) and  $\|M_n\|_{1,N} = 1$  that

$$I(tM_n) \leq g_n(t), \quad \text{for all } t \geq 0.$$

Note that, it is enough to obtain the existence of  $n \in \mathbb{N}$  such that

$$\max_{t \geq 0} g_n(t) \leq \frac{1}{N} \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1}. \tag{2.10}$$

By using the fact that  $v/\theta > N$  and the hypothesis  $(f_2)$  we have  $g_n(t) \rightarrow -\infty$ , as  $t \rightarrow +\infty$ . Thus,  $g_n$  attains its global maximum at  $t_n > 0$  which satisfies  $0 = g'_n(t_n)$ , which is equivalent to

$$t_n^N = \int_{B_r(0)} c(x) f(t_n M_n) t_n M_n dx - a_2 t_n^p \|M_n\|_{1,p}^p \tag{2.11}$$

If  $g_n(t_n) \geq 1/N (\alpha_N/\alpha_0)^{N-1}$ , we can use the expression of  $g_n$ , the fact that  $F \geq 0$  and  $\text{supp}(M_n) \subset \Omega^+$  to obtain

$$t_n^N \geq \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1} - \frac{Na_2 t_n^p}{p} \|M_n\|_{1,p}^p. \tag{2.12}$$

Since  $\|M_n\|_{1,p} \rightarrow 0$ , as  $n \rightarrow +\infty$  we can use the previous inequality to obtain a constant  $\tilde{C} > 0$  satisfying

$$t_n \geq \tilde{C}, \text{ for all } n \in \mathbb{N}. \tag{2.13}$$

Consider  $\beta_0 > 0$  given in (f<sub>5</sub>). If  $0 < \varepsilon < \beta_0$ , there is  $R_\varepsilon > 0$  with

$$tf(t) \geq (\beta_0 - \varepsilon)e^{\alpha_0|t|^{N/(N-1)}}, \text{ for all } |t| \geq R_\varepsilon. \tag{2.14}$$

By using the definition of  $M_n$  and (2.13) we get

$$t_n M_n(x) = t_n \frac{\tilde{M}_n}{\|\tilde{M}_n\|_{1,N}} \geq \frac{\tilde{C}}{\|\tilde{M}_n\|_{1,N}} \left( \frac{(\log n)^{N-1}}{\omega_{N-1}} \right)^{\frac{1}{N}} \geq R_\varepsilon,$$

for all  $|x| < r/n$  and  $n$  large enough. Thus, we have from (2.11), the choice of  $r > 0$  in (f<sub>5</sub>), (2.14), the previous inequality and the definition of  $M_n$  that

$$\begin{aligned} t_n^N &\geq \int_{B_{r/n}(0)} c(x) f(t_n M_n) t_n M_n \, dx - a_2 t_n^p \|M_n\|_{1,p}^p \\ &\geq c_0(\beta_0 - \varepsilon) \int_{B_{r/n}(0)} \exp(\alpha_0(t_n M_n)^{N/(N-1)}) \, dx - a_2 t_n^p \|M_n\|_{1,p}^p, \end{aligned} \tag{2.15}$$

with  $c_0 := \min_{B_r(0)} c(x)$ . Replacing the definition of  $M_n$  in  $B_{r/n}(0)$  we get

$$\begin{aligned} t_n^N &\geq c_0(\beta_0 - \varepsilon) \int_{B_{r/n}(0)} \exp\left(\alpha_0 t_n^{\frac{N}{N-1}} \frac{\log n}{\omega_{N-1}^{1/(N-1)} \|\tilde{M}_n\|_{1,N}^{N/(N-1)}}\right) \, dx \\ &\quad - a_2 t_n^p \|M_n\|_{1,p}^p \\ &= c_0(\beta_0 - \varepsilon) \frac{\omega_{N-1} r^N}{N n^N} \exp\left(\frac{\alpha_0 t_n^{\frac{N}{N-1}} \log n}{\omega_{N-1}^{1/(N-1)} \|\tilde{M}_n\|_{1,N}^{N/(N-1)}}\right) - a_2 t_n^p \|M_n\|_{1,p}^p. \end{aligned}$$

Since  $t^N = \exp(N \log t)$  and  $1/n^N = \exp(-N \log n)$  we obtain that

$$\begin{aligned} 1 &\geq c_0(\beta_0 - \varepsilon) \frac{\omega_{N-1} r^N}{N} \exp\left(\frac{\alpha_0 t_n^{\frac{N}{N-1}} \log n}{\omega_{N-1}^{1/(N-1)} \|\tilde{M}_n\|_{1,N}^{N/(N-1)}} - N \log n - N \log t_n\right) \\ &\quad - a_2 t_n^{p-N} \|M_n\|_{1,p}^p. \end{aligned}$$

Using that  $1 < p < N$ ,  $\|\tilde{M}_n\|_{1,N} \rightarrow 1$  and  $\|M_n\|_{1,p} \rightarrow 0$ , as  $n \rightarrow +\infty$ , the previous inequality implies that  $(t_n)$  is a bounded sequence. By using again that  $\|M_n\|_{1,p} \rightarrow 0$  and (2.12) we obtain, up to a subsequence, that  $t_n^N \rightarrow \gamma \geq (\alpha_N/\alpha_0)^{N-1}$ .

Since  $1/n^N = \exp(-N \log n)$  and  $\exp(t) \geq t$ ,  $t \in \mathbb{R}$ , it follows from (2.15) that

$$t_n^N \geq c_0(\beta_0 - \varepsilon) \omega_{N-1} r^N \left[ \frac{\alpha_0 t_n^{\frac{N}{N-1}}}{N \omega_{N-1}^{1/(N-1)} \|\tilde{M}_n\|_{1,N}^{N/(N-1)}} - 1 \right] \log n - a_2 t_n^p \|M_n\|_{1,p}^p.$$

Hence,  $\gamma = (\alpha_N/\alpha_0)^{N-1}$ , otherwise we contradict the previous inequality. By using (2.6), (2.12) and (2.15) we have



$$\begin{aligned}
 t_n^N &\geq -a_2 t_n^{p-N} \|M_n\|_{1,p}^p \\
 &+ \frac{\omega_{N-1}}{N} \frac{r^N}{n^N} \exp\left(\alpha_0 \left[ \left(\frac{\alpha_N}{\alpha_0}\right) - \left(\frac{Na_2 t_n^p}{p}\right)^{1/(N-1)} \|M_n\|_{1,p}^{\frac{p}{N-1}} \right] \left[ \frac{N}{\alpha_N} \log n + d_n \right]\right) \\
 &\times c_0(\beta_0 - \varepsilon) \\
 &= -a_2 t_n^{p-N} \|M_n\|_{1,p}^p \\
 &+ \frac{\omega_{N-1}}{N} \frac{r^N}{n^N} \exp\left(\left[ N + \alpha_N \frac{d_n}{\log n} - c_1 t^{\frac{p}{N-1}} \|M_n\|_{1,p}^{\frac{p}{N-1}} - c_2 t_n^{\frac{p}{N-1}} \|M_n\|_{1,p}^{\frac{p}{N-1}} \frac{d_n}{\log n} \right] \log n\right) \\
 &\times c_0(\beta_0 - \varepsilon) \\
 &= c_0(\beta_0 - \varepsilon) \frac{\omega_{N-1}}{N} \frac{r^N}{n^N} n \left( N + \alpha_N \frac{d_n}{\log n} - c_1 t^{\frac{p}{N-1}} \|M_n\|_{1,p}^{\frac{p}{N-1}} - c_2 t_n^{\frac{p}{N-1}} \|M_n\|_{1,p}^{\frac{p}{N-1}} \frac{d_n}{\log n} \right) \\
 &- a_2 t_n^{p-N} \|M_n\|_{1,p}^p,
 \end{aligned}$$

with  $c_1 = \frac{N\alpha_0}{\alpha_N} \left(\frac{Na_2}{p}\right)^{1/(N-1)}$  and  $c_2 = \left(\frac{Na_2}{p}\right)^{1/(N-1)}$ .

Considering the limit as  $n \rightarrow +\infty$ , using that  $\|M_n\|_{1,p} \rightarrow 0$ ,  $\gamma = (\alpha_N/\alpha_0)^{N-1}$  and (2.6) we get  $(\alpha_N/\alpha_0)^{N-1} \geq c_0(\beta_0 - \varepsilon) \frac{\omega_{N-1}}{N} r^N$ . Passing to the limit as  $\varepsilon \rightarrow 0^+$  we have

$$\beta_0 \leq \frac{N}{c_0 \omega_{N-1} r^N} (\alpha_N/\alpha_0)^{N-1}.$$

Using the definition of  $\alpha_N = N\omega_{N-1}^{1/(N-1)}$ , we obtain a contradiction with (f<sub>5</sub>). Thus, there is  $n \in \mathbb{N}$  for which (2.10) is verified. □

We have  $M_n \in X$  and  $\text{supp}(M_n) \subset \Omega^+$ , thus  $e := t_0 M_n$  satisfies the mountain pass geometry for  $t_0 > 0$  large enough. The path  $\gamma(t) := t t_0 M_n$  belongs to  $\Gamma$  and it follows, as a consequence of the previous lemma and Lemma 2.2, that the mountain pass level satisfies

$$c_M \in \left(0, \frac{1}{N} \left(\frac{\alpha_N}{\alpha_0}\right)^{N-1}\right). \tag{2.16}$$

### 3 Proof of Theorem 1.1

Regarding to prove the main result, it will be needed to study some properties of the Palais–Smale sequences. In order to prove the result, let us rewrite the functional  $I$  as

$$I(u) = J(u) - \int_{\mathbb{R}^N} c(x)F(u_n) dx,$$

where  $J : X \rightarrow \mathbb{R}$  defined by

$$J(u) = \frac{1}{p} \int_{\mathbb{R}^N} \mathcal{A}(|\nabla u_n|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} \mathcal{B}(|u_n|^p) dx.$$

**Lemma 3.1** *If  $(u_n) \subset X$  is a  $(PS)_c$ -sequence for  $I$ , then, up to a subsequence*

- (i)  $(u_n)$  is bounded
- (ii)  $u_n \rightharpoonup u_0$  weakly in  $X$
- (iii)  $\frac{\partial u_n}{\partial x_i}(x) \rightarrow \frac{\partial u_0}{\partial x_i}(x)$  a.e in  $\mathbb{R}^N$

(iv)  $J'(u_n)\psi \rightarrow J'(u_0)\psi$ , for all  $\psi \in X$

**Proof** Since  $(u_n) \subset X$  is a  $(PS)_c$ -sequence we obtain

$$I(u_n) - \theta/vI'(u_n)(\zeta u_n) = c + o_n(1) + o_n(1)\|u_n\|.$$

The definition  $\zeta$ ,  $(k_1)$  and (1.1) imply

$$\begin{aligned} I(u_n) - \theta/vI'(u_n)(\zeta u_n) &\geq \frac{1}{p} \int_{\mathbb{R}^N} \mathcal{A}(|\nabla u_n|^p) dx + \frac{1}{p} \int_{\mathbb{R}^N} \mathcal{B}(|u_n|^p) dx \\ &\quad - \int_{\mathbb{R}^N} c(x)F(u_n) dx \\ &\quad - \frac{\theta}{v} \int_{\mathbb{R}^N} [a(|\nabla u_n|^p)|\nabla u_n|^{p-2}\nabla u_n \nabla(\zeta u_n) + b(|u_n|^p)|u_n|^p\zeta - c(x)f(u_n)\zeta u_n] dx \\ &\geq \left(\frac{1}{p}a_1 - \frac{\theta}{v}a_2\right) \|u_n\|_{1,p}^p + \left(\frac{1}{N} - \frac{\theta}{v}\right) \|u_n\|_{1,n}^N \\ &\quad - \frac{\theta\mathcal{M}}{v} \int_{\mathbb{R}^N} a(|\nabla u_n|^p)|\nabla u_n|^{p-1}|u_n| dx + \int_{\Omega^+} c(x) \left[\frac{\theta}{v}f(u_n)u_n - F(u_n)\right] dx. \end{aligned}$$

Thus, by using  $(k_1)$  and  $(f_3)$  we have

$$\begin{aligned} c + o_n(1) + o_n(1)\|u_n\| &\geq \left(\frac{1}{p}a_1 - \frac{\theta}{v}a_2\right) \|u_n\|_{1,p}^p + \left(\frac{1}{N} - \frac{\theta}{v}\right) \|u_n\|_{1,n}^N \\ &\quad - \frac{\theta\mathcal{M}}{v} \int_{\mathbb{R}^N} (a_2|\nabla u_n|^{p-1}|u_n| + |\nabla u_n|^{N-1}|u_n|) dx. \end{aligned} \tag{3.1}$$

From Young’s inequality we have

$$\begin{aligned} \int_{\mathbb{R}^N} (a_2|\nabla u_n|^{p-1}|u_n| + |\nabla u_n|^{N-1}|u_n|) dx &\leq \frac{a_2 \max\{1, p - 1\}}{p} \|u_n\|_{1,p}^p \\ &\quad + \frac{N - 1}{N} \|u_n\|_{1,n}^N. \end{aligned}$$

Using (3.1) and the previous inequality we get

$$\begin{aligned} c + o_n(1) + o_n(1)\|u_n\| &\geq \frac{1}{p} \left( a_1 - \frac{\theta}{v} (a_2p + \mathcal{M}a_2 \max\{1, p - 1\}) \right) \|u_n\|_{1,p}^p \\ &\quad + \frac{1}{N} \left( 1 - \frac{\theta}{v} (N + \mathcal{M}(N - 1)) \right) \|u_n\|_{1,n}^N. \end{aligned}$$

By using  $(f_3)$  it follows that the terms into parenthesis in the right-hand side of the previous expression are positive, which implies (i). Hence, there exists  $u_0 \in X$  such that,

$$u_n \rightharpoonup u_0 \text{ weakly in } X, \quad u_n \rightarrow u_0 \text{ in } L^s_{loc}(\mathbb{R}^N), \quad u_n(x) \rightarrow u_0(x) \text{ a.e. in } \mathbb{R}^N,$$

for some subsequence, still denoted by  $(u_n)$  and for any  $s \geq 1$ . Then, we (ii) is also verified. For the proofs of the properties (iii) and (iv) see [3, Lema 3.2].  $\square$

The result below, whose proof can be found in [2], is needed to prove that  $u_0$  is a critical point of  $I$ .

**Lemma 3.2** Consider that  $(c_1) - (c_3)$  and  $(f_1) - (f_4)$  hold. If  $c^\pm(x) := \max\{\pm c(x), 0\}$ , then  $c^\pm(x)f(u_n) \rightarrow c^\pm(x)f(u_0)$  and  $c^\pm(x)F(u_n) \rightarrow c^\pm(x)F(u_0)$  in  $L^1_{loc}(\mathbb{R}^N)$ .

Now we are in position to prove Theorem 1.1.

**Proof of Theorem 1.1** It will be proved that  $u_0$  is a nontrivial solution for  $(P)$ . From Lemma 3.2 we have

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} c(x) f(u_n) \varphi \, dx = \int_{\mathbb{R}^N} c(x) f(u_0) \varphi \, dx \tag{3.2}$$

for all  $\varphi \in C_0^\infty(\mathbb{R}^N)$ . We shall prove that the previous limit also holds considering test functions in the space  $X$ . In the spirit of [10], we notice that given any  $\psi \in X$  there exist sequences of mollifiers and cut-off functions  $(\rho_k)_k$  and  $(\zeta_k)_k$ , respectively, such that  $\psi_k := \zeta_k(\rho_k * \psi) \in C_0^\infty(\mathbb{R}^N)$  satisfies the following properties:

- (i)  $\psi_k(x) \rightarrow \psi(x)$ ,  $|\nabla \psi_k(x)| \rightarrow |\nabla \psi(x)|$ , a.e.  $x \in \mathbb{R}^N$ ;
- (ii)  $|\psi_k(x)|, |\nabla \psi_k(x)| \leq h_N(x)$  and  $|\psi_k(x)|, |\nabla \psi_k(x)| \leq h_p(x)$ , a.e.  $x \in \mathbb{R}^N$ , for some functions  $h_N \in L^N(\mathbb{R}^N)$  and  $h_p \in L^p(\mathbb{R}^N)$ ,

for all  $k \in \mathbb{N}$ . Since (3.2) holds for  $\psi_k$ , for all  $k \in \mathbb{N}$ , passing to the limit as  $k \rightarrow +\infty$ , using properties (i) – (ii) above and the Lebesgue’s dominated convergence theorem we obtain that (3.2) holds for all  $\psi \in X$ . This together with item (iv) of Lemma 3.1 imply that  $u_0$  is a critical point of  $I$ .

In what follows it will be proved that  $u_0 \neq 0$ . Suppose that  $u_0 = 0$ . By using that  $\Omega^+$  is bounded, we obtain from Lemma 3.2 that  $\int_{\Omega^+} c(x) F(u_n) = o_n(1)$ . Thus from (1.1) we have

$$\begin{aligned} c + o_n(1) = I(u_n) &\geq \frac{a_1}{p} \|u_n\|_{1,p}^p + \frac{1}{N} \|u_n\|_{1,N}^N - \int_{\Omega^+} c(x) F(u_n) \, dx \\ &\geq \frac{1}{N} \|u_n\|_{1,N}^N + o_n(1). \end{aligned}$$

Now, we can proceed as in [2] to get that

$$\lim_{n \rightarrow +\infty} \int_{\Omega^+} c(x) f(u_n) u_n \, dx = 0.$$

Since  $I'(u_n)u_n = o_n(1)$ , it follows from  $(k_1)$  and the previous limit that

$$o_n(1) \geq a_1 \|u_n\|_{1,p}^p + \|u_n\|_{1,N}^N,$$

which implies that  $\|u_n\| = \|u_n\|_{1,p} + \|u_n\|_{1,N} \rightarrow 0$ . Thus,  $u_n \rightarrow 0$  strongly in  $X$  which provides that  $c = 0$ . This contradicts (2.16) and the result is proved.  $\square$

**Data availability** The article does not report data and the data availability policy is not applicable to this article.

### Declarations

**Conflict of interest** The authors declare that there is no conflict of interest.

### References

1. Alves, C.O., de Freitas, L.: Multiplicity of nonradial solutions for a class of quasilinear equations on annulus with exponential critical growth. *Topol. Method. Nonlinear Anal.* **39**(2), 243–262 (2012)
2. Alves, C.O., de Freitas, L.R., Soares, S.H.M.: Indefinite quasilinear elliptic equations in exterior domains with exponential critical growth. *Differ. Integral Eq.* **24**(11–12), 1047–1062 (2011)
3. Alves, C.O., Figueiredo, G.M.: Multiplicity and concentration of positive solutions for a class of quasilinear problems. *Adv. Nonlinear Stud.* **11**(2), 265–294 (2011). <https://doi.org/10.1515/ans-2011-0203>

4. Ambrosetti, A., Rabinowitz, P.H.: Dual variational methods in critical point theory and applications. *J. Funct. Anal.* **14**, 349–381 (1973). [https://doi.org/10.1016/0022-1236\(73\)90051-7](https://doi.org/10.1016/0022-1236(73)90051-7)
5. Bezerra do Ó, J.M., Medeiros, E.S., Severo, U.B.: On a quasilinear nonhomogeneous elliptic equation with critical growth in  $\mathbb{R}^N$ . *J. Differ. Eq.* **246**(4), 1363–1386 (2009). <https://doi.org/10.1016/j.jde.2008.11.020>
6. Cao, D.M.: Nontrivial solution of semilinear elliptic equation with critical exponent in  $R^2$ . *Commun. Partial Differ. Eq.* **17**(3–4), 407–435 (1992). <https://doi.org/10.1080/03605309208820848>
7. Cherfils, L., Ilyasov, Y.: On the stationary solutions of generalized reaction diffusion equations with  $p$  &  $q$ -laplacian. *Commun. Pure Appl. Anal.* **4**(1), 9–22 (2005). <https://doi.org/10.3934/cpaa.2005.4.9>
8. de Figueiredo, D.G., Miyagaki, O.H., Ruf, B.: Elliptic equations in  $R^2$  with nonlinearities in the critical growth range. *Calc. Var. Partial Differ. Eq.* **3**(2), 139–153 (1995). <https://doi.org/10.1007/BF01205003>
9. Figueiredo, G.M., Rădulescu, V.D.: Nonhomogeneous equations with critical exponential growth and lack of compactness. *Opuscula Math.* **40**(1), 71–92 (2020)
10. Fiscella, A., Pucci, P.:  $(p, N)$  equations with critical exponential nonlinearities in  $\mathbb{R}^N$ . *J. Math. Anal. Appl.* (2019). <https://doi.org/10.1016/j.jmaa.2019.123379>
11. He, C., Li, G.: The regularity of weak solutions to nonlinear scalar field elliptic equations containing  $p$  &  $q$ -laplacians. *Ann. Acad. Sci. Fenn. Math.* **33**(2), 337–371 (2008)
12. Kavian, O.: *Introduction à la théorie des points critiques et applications aux problèmes elliptiques*. Springer, Heidelberg (1983)
13. Moser, J.: A sharp form of an inequality by N. Trudinger. *Indiana Univ. Math. J.* **20**, 1077–1092 (1971). <https://doi.org/10.1007/BF01205003>
14. Willem, M.: *Minimax theorems*. Birkhäuser, Boston (1996)

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.