

# **Biofuids in hypobaric hypoxia: best possible use, investigative strategies and putative markers**

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### **Abstract**

Hypobaric hypoxia (HH) is the hypoxia caused by decreased ambient atmospheric pressure (partial pressure of oxygen) as one ascends beyond 2500 m. The entire spectrum of molecular and biochemical studies regarding the efects of HH on an organism heavily depends on biological fuids during exposure to HH. The biological fuids are important sample types. This is because they are easiest to collect using minimally invasive collection procedures, easy to handle and store and low quantities are required for tests/assays. Although many reviews on hypobaric hypoxia in the recent past have been dedicated to the various advances made possible due to the study of biological fuids, biological fuids themselves have never been categorized according to studies done using them. This review concisely outlines the myriad results (particularly translational) in context of hypobaric hypoxia that have been observed in biological fuids, their collection strategies, storage strategies and ethical guidelines. Biological fuidscategorized here are blood (plasma and serum), saliva, tears and urine in context of research on HH and diseases like cancer where hypoxia is an essential condition. This review shall be of help to young investigators for choice of biological samples to be used in their experiments concerning hypoxia related studies and to clinicians involved in translational research providing them a ready reckoner for improving or modifying their approaches.

**Keywords** Hypobaric hypoxia · High altitude · Human plasma · Saliva · Tears · Urine · Biofuids · Protein markers

# **Introduction**

Hypobaric hypoxia (HH) has been clearly defned in its present form since late 1800 s to mid-1900 s with the radiological and physiological summarization of high-altitude illnesses like high altitude pulmonary edema during the mid-1900s (Hultgren [1969](#page-12-0); Hultgren [1970;](#page-13-0) Hultgren and Marticorena [1978](#page-13-1); Antezana et al. [1982\)](#page-11-0). The constant struggle during this period was for assessing symptoms of highaltitude illnesses and understanding their pathophysiology, not to mention the lack of understanding of normal physiological efects of HH exposure, sparked a search for some tangible evidence or object that could be used to decipher various physiological events occurring during HH exposure.

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 $\boxtimes$  Kalpana Bhargava kalpanab2006@gmail.com This search invariably led to the easily collectible biological fuids (BFs) such as blood and later, saliva, tears and urine. By late 1900 s, one of the early evidences of acclimatization to altitude was increased hematocrit. Although blood plasma and hypoxia were interlinked since mid-1900 s (Berne [1963](#page-11-1)), as we move further towards the present century, using BFs (particularly plasma), many feats were achieved. Based on initial reports of certain plasma proteins being anti-oxidative in nature (Wayner et al. [1985](#page-14-0)), the most signifcant among these has been an exploratory incursion into plasma proteome and genome during HH exposure. Cancer and other diseases which involve hypoxia have also been studied using the plasma proteome of patients (Hanash et al. [2008](#page-12-1); Kakisaka et al. [2007](#page-13-2); Honda et al. [2005](#page-12-2)). All of these diferent studies have led to a huge number of potential protein markers that can be used in clinical diagnosis apart from elucidating the molecular basis of the physiological hypoxic response. Currently the focus is shifting towards other BFs, like saliva, tears and urine, for protein markers of high-altitude illnesses based on observed signifcant modulations of salivary proteome and urinary peptidome during/after HH exposure (Mainini et al. [2012;](#page-13-3) Jain et al. [2018\)](#page-13-4). This review

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shall provide concise systematic information regarding the molecular biology and omics (particularly proteomics) based studies on BFs. BFs included in the purview of this article are blood (plasma and serum), saliva, tears and urine. The context of all studies discussed and reviewed in this article will be high altitude and hypoxia. Some aspects of cancer and other diseases that are a result of the hypoxic conditions will also be discussed. This review will also discuss aspects like ethical practices, collection, storage, handling, and best use strategies for BFs in HH based studies.

### **The ethics of biological fuids (BFs) collection**

Bioethics, a domain of study in itself, has remained a focus for biomedical and clinical research since the end of second world war. The basis of the Nuremberg Code (1947) was preventing the reported brutality by German medical teams. Thereafter, in 1966, the International Covenant on Civil and Political Rights stated "No one shall be subjected to torture or to cruel, inhuman or degrading treatment or punishment. In particular, no one shall be subjected without his consent to medical or scientific treatment." This was the frst major instance where the consent of an individual to any medical procedures being performed upon them were declared paramount as a right in an international forum. The most recent charter for consent and other ethical considerations is the Helsinki Declaration, duly revised from time to time. Thereafter, in 2005, another landmark document by UNESCO titled "Universal Declaration on Bioethics and Human Rights" was released. Other concerned guidelines like REMARK, CONSORT, STROBE etc. also remain a predominant force in ensuring that only ethical research practices are followed and published across the globe. The basic theme of all these documents, declarations and guidelines is to prevent non-consensual, coercive and unethical exploitation of any research subjects and uphold the dignity and morality of biomedical and clinical research. The above mentioned declarations and guidelines all stress upon complete informed consent of the individual prior to any medical procedure. The collection of blood, saliva, tears and urine amounts to a medical procedure and thus falls under their purview. Thus, apart from general comfort to the subject, their informed consent is mandatory. Any risks pertaining to the procedure are also disclosed explicitly to the subjects. Prior to consent, there must be approval for the study design and methodology by the Institutional Review Board.

# **Collection of biological fuids**

Various collection strategies have been available based on the ingenuity, gathered knowledge and determination of the researchers. However, this review article will focus on the pre-dominant practices observed in the authors lab.

### **Blood plasma/serum**

After clearance of the Institutional Review Committee and consent of the subject, blood is collected from the antecubital area via median cubital or cephalic veins using sterile syringes and capped collection tubes (Fig. [1](#page-1-0)). The area of extraction is sterilized by alcohol swabs before and after extraction. The collection tubes are either pre-coated with anticoagulants like EDTA/heparin or uncoated. A total of 5 ml (maximum) is collected in a single tube. The blood to be used for extraction of genomic components like RNA is immediately processed using appropriate extraction buffers while the blood for proteomic analyses and other biochemical assays requires separation of either plasma or serum from it. The separation of plasma or serum is carried out immediately. Plasma is separated from whole blood by centrifugation at 3500 rpm for 15 min. Temperature during centrifugation varies from 25 °C (room temperature) to 4 °C (temperature during centrifugation). Serum, on the other hand, requires clotting of the blood in uncoated tubes. After the clot forms in about 20–25 min, the serum is extracted by centrifugation at 3000 rpm for 15 min in refrigerated centrifuge. Immediately following extraction, the supernatant (either plasma or serum) is transferred to fresh polypropylene tubes and protease inhibitor (PI) cocktail is added to them. Throughout these steps, the temperature should be

<span id="page-1-0"></span>

kept as close to 4 °C as possible by use of ice buckets. Next step is their proper labeling and storage, as described in next section.

### **Saliva**

Saliva can be collected using various methods such as: A) passive drooling technique, B) suction method, and C) oral swab method (Henson and Wong [2010](#page-12-3); Michishige et al. [2006](#page-13-5)) (Fig. [2\)](#page-2-0). Before saliva collection, inform the subject about the time of saliva collection (optimum time 8–10 a.m.) and ask the subject to refrain from eating, drinking, or oral hygiene procedures for at least 1 h prior to the collection. Give the subject drinking water to rinse their mouth well.

In method A, passive drooling technique or direct expectoration method, subjects are asked to collect saliva in their mouths and to pour it into a pre-chilled sterile tube. The saliva is then centrifuged at  $1585 \times g$  for 15 min at 4 °C to remove insoluble materials, cell debris and other possible contaminants (Jessie et al. [2008](#page-13-6)). The supernatant is collected and protease inhibitor is added. In method B, suction method, saliva is aspirated using a saliva aspiration set, consisted of an aspiration catheter, a trap and a low-pressure continuous aspirator and collected via the catheter, by gentle continuous suction for 5 min. The saliva is then centrifuged at 1585×g for 15 min at 4 °C to remove insoluble materials, cell debris and other possible contaminants (Jessie et al. [2008](#page-13-6)). The supernatant is collected and protease inhibitor is added. In method C, oral swab method, using the Salivette collection kits (Sarstedt, Numbrechet, Germany), a neutral, non-covered cotton roll was placed under the tongue of each subject for exactly 5 min and then the roll is returned to a Salivette, followed by centrifugation at 5000g for 5 min at 4 °C. The liquid in the bottom of the tube is collected and protease inhibitor is added.



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### **Urine**

Collection of urine is performed by the steps recommended by The Human Kidney and Urine proteome Project (HKUPP) associated with Human Proteome Organization (HUPO).

Urine specimens can be collected using various techniques: (a) random specimen—it is most commonly used method because it is easiest to obtain and readily available. Also, it can be collected at any time. (b) First Morning Specimen- it is generally more concentrated (due to the length of time the urine is allowed to remain in the bladder) containing relatively higher levels of proteins. The frst morning specimen is collected when the patient frst wakes up in the morning, having emptied the bladder before going to sleep (~8 h). (c) Midstream clean catch specimen—it is the preferred type of specimen because of the reduced incidence of cellular and microbial contamination. In this method, patients are required to frst cleanse the urethral area and then void the frst portion of the urine stream into the toilet followed by midstream collection into a clean container (any excess urine should be voided into the toilet). This method of collection can be conducted at any time of day or night. (d) Timed Collection Specimen- it is collected to measure the concentration of substances in urine over a specifed length of time, usually 8 or 24 h. In this collection method, the bladder is emptied prior to beginning the timed collection. Then, for the duration of the designated time period, all urine is collected and pooled into a collection container, with the fnal collection taking place at the very end of that period. (e) Catheter Collection Specimen- it is an assisted procedure conducted when a patient is bedridden or cannot urinate independently. The healthcare provider inserts a foley catheter into the bladder through the urethra to collect the urine specimen into an evacuated tube or transferred from a syringe into a tube or cup. (f) Suprapubic Aspiration Specimen- it is used when a bedridden patient cannot be catheterized or a sterile specimen is required. The urine specimen is collected by needle aspiration through the abdominal wall into the bladder (Fig. [3](#page-3-0)).

Once collected, urine specimen is processed by centrifugation at 10,000×*g* for 10 min to remove cells and debris (Thomas et al. [2010\)](#page-14-1). The supernatant is transferred in a fresh tube. There is no requirement of addition of protease inhibitor in the collection of routine urine sample. Protease inhibitor is not required as it may change pH and degrade proteases normally present in urine (Zhou et al. [2006](#page-15-0); Havanapan and Thongboonkerd [2009](#page-12-4)).

#### **Tears**

Collection of tear fuid is performed by placing a Schirmer's **Fig. 2** Techniques for collection of human saliva tear test strip on the lower lid of the eye. The strip is allowed



<span id="page-3-0"></span>**Fig. 3** Techniques for collection of human urine

to sit for 5 min (Stevens [2011](#page-14-2)). Then, the strip is transferred to 500 μl of 0.1 μm fltered phosphate-bufered saline (PBS) (Aqrawi et al. [2017\)](#page-11-2) (Fig. [4](#page-3-1)).

# **Storage of biological fuids**

All hypoxic exposure samples require extremely quick handling and storage as the redox status changes upon prolonged exposure to oxygenated environment. Hence, in case of all hypoxia exposed biological fuids, after collection every minute is crucial and the faster the cryogenic conditions are imposed on these samples, the better their performance during lab assays. Non-hypoxic samples do not require immediate cryogenic preservation of their redox status.

### **Plasma/serum/saliva**

Storage of plasma, serum and saliva is almost identical. Immediately after adding PI cocktail and aliquoting the samples, they should be labeled precisely. The label should mention sample details on the tube, e.g. Sample ID, aliquot number, name of investigator, date and the box of samples should have contact number and name of investigator, apart from sample IDs in the box, to inform them of any logistical issues such as malfunctioning of refrigerators. The same should be noted in a project register/workbook of samples.

After thorough completion of labeling, the samples can be stored at either  $-20$  °C or  $-80$  °C depending on their period of use. Some samples require to be used within a few weeks or less and these will require multiple freeze/thaw cycles. Such samples are kept at − 20 °C for frequent re-use and to avoid more extreme freeze/thaw cycles. On the other hand, some samples require longer duration of storage and lesser frequency of use or may be required in techniques involving very fragile phenomena (e.g. study of post translational modifcations in proteome). These samples must be stored at − 80 °C so that their features and characteristics to be assayed are retained as much as possible. In other cases, where regular biochemical assays like TBARS are to be performed on the samples within 24 h, storage at 4 °C should suffice but is not ideal. However, in all cases, snap freezing (using liquid nitrogen) after aliquoting the samples is recommended.

# **Urine**

The supernatant/sample should be frozen within 4 h of collection or addition of preservatives such as sodium azide or boric acid to the sample should be done prior to freezing. The labeling is done according to the recommendations given by HKUPP and HUPO. They have suggested the 19 sample identifers describing the patient, processing conditions, storage and data generated from other methods of urinalysis. The samples are then stored at  $-80$  °C for long term storage (Thomas et al. [2010](#page-14-1)). Urinary proteins can also be adsorbed on a piece of membrane for long-term storage and archiving of urine samples followed by storing them dry in a vacuum pouch (Gao [2013](#page-12-5)).

#### **Tears**

The phosphate buffer saline containing the test strip is stored at  $-80$  °C with proper labeling.



<span id="page-3-1"></span>**Fig. 4** Collection of tears

### **Handling of biological fuids**

Use of medical gloves and masks at all stages of procurement and handling of biological fuids is a mandatory requirement for the purposes of personal safety and sample integrity.

Biological samples require to be thawed before use in any assay or omics-based strategies. The thawing of such samples has a recommended method. The samples are taken out of  $-20$  °C or  $-80$  °C refrigerators. Use of cryo gloves is recommended. They are immediately transferred to ice boxes and left to thaw. Till they reach liquid state without any trace of frozen material in the tubes, they are not to be disturbed or used further. Once in the fuid state, they are ready for use. Even then, care should be taken that they are always embedded in ice with minimal holding of tubes in hands and the remaining sample after use should be refrigerated immediately. Sterile pipette tips should be used for each sample. Mixing of samples or their contamination due to use of improper use of pipette tips should be avoided.

### **Biological fuids for translational studies resulting in potential protein markers**

### **Blood plasma/serum**

Biological fuids, particularly blood, has been a subject of fascination among laymen, clinicians and biomedical scientists long before these words came into formal terminology. An example would be the word bloodline, connotating that blood of the father conferred certain characteristics to the ofspring, gaining prime importance among the nobles and autocrats in medieval times. Although now known to be a misnomer after the advent of mendelian genetics, it is still in use in non-scientifc parlays. In case of other medical contingencies though, biological fuids, particularly blood, gained attraction as more and more biological phenomena were unraveled, particularly in diagnostic capacities. In case of many infectious diseases, like malaria, blood of the patient is of prime importance as it can be used for correct diagnosis of disease. In conditions like HIV/AIDS, blood of the patient is paramount as the gold standard diagnostic sample. Tests like complete blood count have become a ubiquitous preliminary test for screening multiple conditions from leukemia to anemia. Other clinical blood tests, like folate, cholesterol, glucose tests are routinely administered and accepted as the optimal tests for specifc conditions. Conditions like damaged heart muscle, elevated infammation and clotting problems



<span id="page-4-0"></span>**Fig. 5** Uses of blood in diagnosis of various ailments and conditions

are also detected via blood tests like cardiac enzyme (troponin) test, BNP test, CRP test, ESR test and d-dimer tests (Fig. [5](#page-4-0)). Thus, for the major part of the past 50 years blood has gained more and more importance clinically as a sample of choice. Some highly informative reviews, mostly by Anderson, for basic understanding of plasma proteome and its journey as a diagnostic sample of choice are cited for the interested readers (Anderson and Anderson [2003](#page-11-3); Anderson [2010;](#page-11-4) Anderson et al. [2004;](#page-11-5) Zhang et al. [2010](#page-14-3); Nanjappa et al. [2013](#page-13-7)).

In case of translational biomedical research, this gain in prominence of blood as a sample of diagnostic value has been consolidated. As diagnosis moves more and more towards molecular tests, omics-based strategies and targeted molecules, blood has provided more and more insights of diagnostic value in multiple domains (Birgisson et al. [2018](#page-11-6); Heimburger et al. [2000\)](#page-12-6). Blood has been implicated in diagnosis of invasive aspergillosis (Mengoli et al. [2009;](#page-13-8) Kami et al. [2001\)](#page-13-9), non-invasive prenatal diagnosis of fetal genetic status (Wright and Burton [2008\)](#page-14-4), resistant hypertension (Calhoun et al. [2008\)](#page-11-7), meningococcal disease (Newcombe et al. [1996](#page-13-10)), visceral leishmaniasis (Antinori et al. [2007](#page-11-8)), bacteremia (Wellinghausen et al. [2009](#page-14-5)) and child appendicitis (Wang et al. [2007\)](#page-14-6).Cancerous tissue is well reported to be hypoxic and the hypoxic environment has been associated with clinical outcomes and targeted therapy (Brown and Wilson [2004;](#page-11-9) Wilson and Hay [2011](#page-14-7); Vaupel and Mayer [2007](#page-14-8)). Brown, Wilson and Hay opined in two beautiful reviews that hypoxia, being an integral feature of cancer, also provided a context for targeted therapy. They suggested that HIF-1 and other hypoxia responsive genes/proteins (aiding cell survival during hypoxia) provided an avenue for targeted therapy against invasive metastatic cancer cells that were resistant to both chemotherapy and radiotherapy (Brown and Wilson [2004;](#page-11-9) Wilson and Hay [2011](#page-14-7)). So much is the importance of blood that entire articles have been dedicated to its proteomic profling in context of cancer (Omenn [2006](#page-13-11)). Multiple articles have found important clues in the plasma proteome for various types of cancer (Hanash et al. [2008](#page-12-1); Kakisaka et al. [2007](#page-13-2); Honda et al. [2005](#page-12-2); Cheng et al. [2005](#page-11-10); Leth-Larsen et al. [2010;](#page-13-12) Pan et al. [2011;](#page-13-13) Gautam et al. [2012\)](#page-12-7) with some articles also including microRNAs (Wang et al.

[2009](#page-14-9); Lo et al. [2012\)](#page-13-14) in this category and others linking the changes in animal models and humans for translational accuracy (Faca et al. [2008\)](#page-12-8). In the context of hypobaric hypoxia related molecular and omics studies, particularly proteomics, blood plasma and serum have again been of exceptional value due to their diagnostic value as well as elucidation of the molecular response to hypobaric hypoxia. After HIF-1 being established as the main cog in the hypobaric hypoxia responsive protein network (Hochachka and Rupert [2003](#page-12-9); Engebretsen et al. [2007;](#page-12-10) Maxwell [2005](#page-13-15); Semenza [2004](#page-14-10)), the search for prognostic markers against high-altitude illnesses has held the fancy of researchers for long. To this end, multiple scattered plasma proteins have been identifed and implicated in patients sufering from AMS, HACE and HAPE.

Acute mountain sickness (AMS) was initially thought of only as a collection of symptoms like headache, nausea, vomiting and insomnia, brought about by antidiuresis at altitude (Johnson and Rock [1988\)](#page-13-16). AMS was also believed to be a pre-disposing factor to high altitude cerebral edema (HACE). Later on, with multiple previous studies on interrelated aspects like peripheral edema, chest rales and blood brain barrier (BBB) bearing no signifcant fruit (Roach et al. [2000](#page-14-11); Gertsch et al. [2004](#page-12-11); Roach and Hackett [2001](#page-14-12); Bartsch et al. [2004;](#page-11-11) Singh et al. [1969\)](#page-14-13), in the early 2000 s, researchers observed that AMS is indeed a vasogenic edema but with causal factors rooted in redox homeostasis mechanisms of the central nervous system (Bartsch et al. [2004;](#page-11-11) Bailey et al. [2009a](#page-11-12), [b](#page-11-13), [c\)](#page-11-14). This makes blood plasma the prime tool to assess AMS in mountaineers. Although it is clear that redox homeostasis is essential to unfolding the pathophysiology of AMS, some authors rebut the assumption that strengthened antioxidant processes will prevent AMS (Julian et al. [2013](#page-13-17)). Another study has decoupled BBB disruption from AMS and reported that cerebral autoregulation and altered redox metabolism are the factors associated with AMS (Bailey et al. [2009a](#page-11-12), [b](#page-11-13), [c\)](#page-11-14). Bartsch et al. had reported in the late 80 s that atrial natriuretic peptides may be involved in AMS based on failure of fuid homeostasis at high altitude (Bartsch et al. [1988\)](#page-11-15). Bartsch and colleagues further reported the increased aldosterone and vasopressin (both proteins are involved in fuid homeostasis) levels prior to incidence of AMS in individuals exposed to high altitude (Bartsch et al. [1991](#page-11-16)). Another study by Bartsch and colleagues which showed interlinks between AMS and HAPE also reported the possibility of blood coagulation factors being used as biomarkers of both AMS and HAPE (Bartsch et al. [1989](#page-11-17)). A more recent study by Lu et al. stated that plasma proteins involved in TCA cycle can be potential biomarkers for differentiating between AMS resistant and AMS susceptible individuals. The authors further stated that AMS susceptibility may depend on the inability of certain individuals to reduce oxygen consumption by repressing TCA cycle at high altitude (Lu et al. [2018](#page-13-18)). NO (nitric oxide) bioavailability has also been linked to AMS. Bailey and colleagues, using jugular vein and radial artery blood samples from ten men, had observed that acute passive hypoxia (12.9%  $O_2$  for 9 h) caused increased 3-nitrotyrosine levels and decreased nitrite levels in plasma which positively correlated with AMS scores (Bailey et al. [2009a,](#page-11-12) [b,](#page-11-13) [c\)](#page-11-14). VEGF and VEGF receptor 1 levels in the plasma have also been implicated in AMS by van Patot and co-workers (van Patot et al. [2005\)](#page-14-14). They observed that in AMS patients there is higher free VEGF and lesser soluble VEGF receptor 1 as compared to controls. Based on these evidences, AMS has revealed an extensive plasma proteome footprint that may not only help diagnosis but also elucidate to an extent the molecular processes affected by AMS (Fig.  $6$ ).

High altitude cerebral edema (HACE), being the endstage for AMS, in the early 1900 s was treated as an edema of the brain observed upon visiting high altitude areas. Symptoms are very severe including altered consciousness and ataxia. AMS is considered an important milestone in the journey towards HACE. Another important factor, although contested, is that HACE usually afects a majority of people already suffering from either AMS or high-altitude pulmonary edema (HAPE) (Chawla [2009](#page-11-18)). Interestingly, till date, HACE has no known plasma proteins associated with it. This may stem from the advances in MRI and other medical procedures as well as the clear and unmistakable symptoms of the disease (beginning with AMS). Also, the previous view that blood plasma may not harbor markers of cerebral stress may have contributed to a lack of investigations in this direction. However, the recent knowledge pertaining to a "glymphatic system" in central nervous system controlling not only metabolite but also tissue fuid homeostasis in the brain (Benveniste et al. [2017](#page-11-19)) as well as its critical role in traumatic brain injury (Plog et al. [2015\)](#page-14-15) pave the way for a fresh set of investigations into blood based proteins that can predict chances of HACE at altitude (Fig. [6\)](#page-5-0). The glymphatic system is a newly discovered system of perivascular tunnels comprising astroglial cells that is active during sleep and



<span id="page-5-0"></span>**Fig. 6** Reported protein markers for AMS in blood plasma

functions in removing macroscopic waste from the central nervous system (Jessen et al. [2015](#page-13-19)).

The third prong of the trident of high altitude illnesses, high altitude pulmonary edema (HAPE) is a form of noncardiogenic pulmonary edema where the lack of alveolar fuid clearance and disruptive vasculo-endothelial difusion pressures cause a build-up of fuids, infammatory in nature, in the lungs (Scherrer et al. [2010\)](#page-14-16). Symptoms include pink frothy sputum, labored breathing and dyspnea at rest. Like HACE, HAPE is also a life-threatening condition and requires immediate medical attention. HAPE is the most common cause of death at high altitude (Basnyat [2005](#page-11-20); Basnyat and Murdoch [2003](#page-11-21)). HAPE has received equal, if not more, attention when compared to HACE. Like all high-altitude illnesses, HAPE also requires administration of oxygen, descent of at least 500 m and rest on subsequent days. Multiple plasma studies have been conducted on HAPE patients. Initially, genomic variations were thought to be the culprits for diferential response of diferent subjects to similar altitude. Endothelin-1 (ET-1) was one of the frst genes implicated in the occurrence of HAPE (Droma et al. [1996;](#page-12-12) Sartori et al. [1999](#page-14-17)). ET-1 gene variants have also been associated with adaptation to hypobaric hypoxia in natives residing at high altitude (Rajput et al. [2006\)](#page-14-18). In 2006, another related gene, angiotensin converting enzyme, along with ET-1 was reported to have certain allelic combinations that makes the individual susceptible to HAPE (Charu et al. [2006](#page-11-22)). However, with further progress, the onus shifted from genomic to proteomic/metabolomic investigations. This is because high altitude illnesses are expressed physiologically only till the individual is subjected to high altitude. Upon timely descent, no symptoms or irreversible physiological damage is observed. Such dynamic behavior is mirrored more aptly by proteome and metabolome of subjects. Transpulmonary ET-1 plasma levels along with plasma nitrite levels were reported to be associated with pulmonary artery vascular tone and subsequent pulmonary hypertension (Berger et al. [2009\)](#page-11-23). This provides a more direct mechanistic evidence of involvement of ET-1 in HAPE rather than empirical associations between a certain allele and a cohort of subjects previously afected by concerned disease. A recent study by Barker et al. reported that AMS and HAPE had common plasma protein markers like angiopoietin like 4 protein and resistin. They further reported that plasma ET-1 and soluble kinase domain receptor protein were elevated only in cases of HAPE. Also, corin and angiotensin converting enzyme plasma levels decreased during HAPE. These proteins also correlated with physiological measures like  $SpO<sub>2</sub>$  and blood counts (Barker et al. [2016](#page-11-24)). Studies have also been conducted from our lab regarding plasma proteomics of HAPE patients. Ahmad et al. had identifed Apolipoprotein A1 and haptoglobin as prospective biomarkers for HAPE (Ahmad et al. [2011\)](#page-11-25). Afterwards they elucidated sulfotransferase 1A1 as a marker for HAPE (Ahmad et al. [2015](#page-11-26)). Zhang et al. reported serum levels of haptoglobin, alpha-1-anti trypsin, C3 and apolipoproteins A1 and A4 to be diferentially expressed in HAPE patients as compared to normal subjects (Zhang et al. [2013\)](#page-14-19). Boos et al. have reported that markers of cardiac function like brain natriuretic peptide (BNP) and high-sensitivity cardiac troponin T(hs-cTnT) associate strongly with pulmonary artery pressure and HAPE, thus making them suitable markers for the same (Fig. [7\)](#page-6-0). However, the authors suggest similarity of increased BNP and hs-cTnT plasma levels with those observed in genuine heart failure and myocardial infarction (Boos et al. [2013](#page-11-27)). Gupta et al. also corroborate BNP levels as predictive of HAPE susceptibility (Gupta et al. [2016](#page-12-13)). However, the sample size is far too small, the p value threshold (0.05) too generalized and choice of subjects (individuals treated for HAPE many months ago) too vague for any defnitive conclusions. This is further compounded by the average AUC value obtained in ROC curve. But in light of other similar results (Mellor et al. [2014](#page-13-20)), the conclusions are justifed. Another recent report describes infammatory signaling based marker assessment for HAPE. In this study, the authors report CRP, IL-6 and soluble urokinase-type plasminogen activator receptor (suPAR) to be capable of assessing susceptibility to HAPE. However, this study also sufers from choice of subjects (individuals who had sufered HAPE in the past). Nonetheless, suPAR is reported in this study to be capable of assessing HAPE susceptibility independently prior to high altitude ascent (Hilty et al. [2016](#page-12-14)). Sikri and Bhattachar have provided hard hitting commentary on this study, highlighting the insignifcant diferences in suPAR levels between control and HAPE susceptible population as well as the convoluted association between AMS, HAPE and dexamethasone reported in the above mentioned study (Sikri and Bhattachar [2017](#page-14-20)). Thus, although we have found many interesting potential candidates for assessment of susceptibility and prediction of high altitude illnesses via use of blood-based proteins, our lack of integrative/collaborative research methodologies, intrinsically low availability of patient samples and focus towards unilateral protein markers



<span id="page-6-0"></span>**Fig. 7** Reported protein markers of HAPE in blood plasma

instead of marker protein panels has led to slow progress and elusive clinical utility.

This problem is further aggravated by limited studies on assessing healthy individuals at high altitude. Previous estimates suggested that about 25 percent of Colorado skiers, 50 percent of Himalayas trekkers, and nearly 85 percent of those who fy directly to the Mount Everest region sufer altitude induced illnesses, mostly AMS upon exposure (Basnyat and Murdoch [2003;](#page-11-21) Murdoch [1995](#page-13-21); Honigman et al. [1993](#page-12-15); Hackett et al. [1976](#page-12-16)). Maggiorini et al. studied the altitude dependent incidence of AMS in the Swiss Alps. They stated the incidence of AMS to be 9% at 2850 m, 13% at 3050 m, 34% at 3650 m, and 53% at 4559 m, revealing an increase with altitude (Maggiorini et al. [1990](#page-13-22)). Thus, it's observed that the more populous relatively lower high altitude areas tend to have lower incidence rates of high altitude illnesses. As a corollary, these places also have the greater number of healthy mountaineers/tourists/trekkers. Extreme regions like the Mount Everest are the destination of only a handful even though they have higher incidence rates of high altitude illnesses. So most of the high altitude sojourners are healthy ones and thus more studies detailing acclimatization to altitude in terms of plasma proteome based markers should have been the norm. This would have helped establish two inter-related facets of high altitude exposure. The frst one is the normal "healthy" response to hypobaric hypoxia which leads to immediate acclimatization. The second facet deals with long-term acclimatization and adaptation of those individuals who were born native to high altitude conditions. The proteins and their quantifcation trends in the healthy individuals not only serve as a baseline measure against the abnormal protein expression observed in those afflicted by high altitude illnesses but also link to the broader spectrum of diseases like cancer and diabetes. In a study authored by Siervo and colleagues, chronic exposure to high altitude was linked to insulin resistance and subsequent infammation and oxidative stress in acclimatized individuals (Siervo et al. [2014](#page-14-21)). Multiple studies havestated hyperbaric oxygen therapy as a treatment strategy particularly for metastatic cancers (Daruwalla and Christophi [2006](#page-12-17); Poff et al. [2013](#page-14-22)), indicating deep molecular signaling redundancies in cancer and hypobaric hypoxia. Both are known to up-regulate glycolytic processes while moving the cells away from OXPHOS (oxidative phosphorylation) pathways (Liberti and Locasale [2016](#page-13-23); Connett et al. [1990](#page-12-18)). Lu and co-workers have previously elucidated the role of aerobic glycolysis in activating HIF-1a, a well-known master regulator of hypobaric hypoxia induced proteome response, in carcinogenesis (Lu et al. [2002](#page-13-24)). This and many other articles comprise observations and fndings of the 2007 Caudwell Xtreme Everest Expedition which was designed to include only those who had previous event free ascents (Grocott et al. [2010](#page-12-19); Levett et al. [2010](#page-13-25)). Plasma biomarkers were stated as one of the main outcome measures (Levett et al. [2010](#page-13-25)). Multiple plasma proteins were observed to be potential markers of many diferent molecular/physiological events occurring at high altitude. It was observed that erythropoietin, 8-isoprostanes, guanosine 3′, 5′-cyclic monophosphate and nitrite levels in plasma strongly correlate with pulmonary artery pressure after progressive ascent (2 weeks) to 5300 m (Luks et al. [2017\)](#page-13-26). Another study revealed that acclimatization to altitude is depicted by increased NO bioavailability via increased NO production and cGMP activity and initial consumption of S-nitrosothiols (Levett et al. [2011\)](#page-13-27). Fago and colleagues have reviewed the integrated nature of NO and  $H_2S$  signaling pathways during the hypoxic response in the plasma proteome. They emphasize the need for identifying the linkers between NO,  $H<sub>2</sub>S$  and hypoxia (Fago et al. [2012](#page-12-20)). In a very recent study, Cumpstey et al. reported the personalized and dynamic nature of blood thiol and NO arteriovenous gradients occurring in humans during altitude exposure which may be later used for personalized redox therapeutics (Cumpstey et al. [2019](#page-12-21)) (Fig. [8\)](#page-7-0).

Assessment of plasma proteins in humans exposed to high altitude is a very old and efective approach, with old studies suggesting increased hematocrit, plasma creatinine and transferrin levels in acclimatized individuals (Rennie et al. [1972](#page-14-23); Becker et al. [1957\)](#page-11-28). Diverging a bit, in a more recent study by Yasmin and colleagues, it was observed that in high altitude natives transferrin levels were lower as compared to sea level residents (Ahmad et al. [2013\)](#page-11-29). This may be indicative of fundamental diferences in acclimatization and adaptation in terms of protein expression and signaling networks. Hartmann and co-workers reported important cytokines like C-reactive protein, interleukin-6 and interleukin-1 receptor antagonist to have increased plasma concentrations during high altitude hypoxia exposure in other-wise healthy mountaineers (Hartmann et al. [2000\)](#page-12-22). This is in contrast to a previous study suggesting cytokine levels, particularly suPAR, to be an indicative of HAPE susceptibility (Hilty et al. [2016\)](#page-12-14). Involvement of erythrocyte proteins in maintaining acid–base balance was also reported in a



<span id="page-7-0"></span>**Fig. 8** Reported proteins implicated in acclimatization and healthy hypobaric hypoxia (HH) response

similar study by Juel et al. (Juel et al. [2003\)](#page-13-28). Multiple other studies regarding high altitude exposure have also been performed by the author's institution. These studies detail the plasma proteome expression of both natives and low landers at high altitudes. Ahmad and colleagues uncovered a fne anti-infammatory axis in high altitude natives that controls infammation (Ahmad et al. [2013](#page-11-29)). Although high altitude natives difer in their adaptation mechanisms both at physiological and molecular levels (Beall [2007;](#page-11-30) Beall et al. [1997](#page-11-31); Moore [2001](#page-13-29); Beall et al. [1998;](#page-11-32) Beall [2006;](#page-11-33) Xing et al. [2008](#page-14-24)), they offer an overview of the best organismal strategies to control the adverse efects of high altitude hypoxia. However, one must keep in mind that most of these alterations in adapted natives is a result of genetic diferences. Other studies by the same author explored the effects of simulated altitude exposure in SD rats (Ahmad et al. [2014\)](#page-11-34) and then translated them into human HAPE patients (Ahmad et al. [2015](#page-11-26)), while also observing the redox efects of simulated altitude exposure. Tyagi et al. observed that calpain and other platelet proteins have altered activity during high altitude exposure thus increasing chances of thrombosis (Tyagi et al. [2014\)](#page-14-25). Thrombosis as a consequence of NLRP3 infammasome activation during hypoxia was reported by Gupta and colleagues very recently. Bradykinin, a known infammatory protein associated with the coagulation system was also highlighted during hypoxia, particularly in NO signaling (Hofman et al. [2016\)](#page-12-23). Padhy and colleagues observed an increased production of NO in low land travelers and more prominently in Ladhaki high altitude natives (during high altitude exposure) as a consequence of modulation of eNOS activity via kininogen-kallikrein-bradykinin, again implicating NO in both acclimatization and adaptation to altitude (Padhy et al. [2016a](#page-13-30), [b](#page-13-31)). In a previous report, eNOS gene had been implicated in the adaptation of Sherpas to Tibetan highlands (Droma et al. [2006](#page-12-24)). Thus, the proteins involved in thrombosis, infammation and NO signaling are interlinked and may provide benefts upon hypoxia exposure if modulated correctly. As mentioned before in this review, NO is also linked to  $H_2S$  signaling in context of hypoxia (Fago et al. [2012\)](#page-12-20). A preliminary study by Kumar and co-workers in rat model speculated that augmenting  $H<sub>2</sub>S$  levels in brain may have beneficial effects during high altitude exposure (Kumar et al. [2016](#page-13-32)). By analysis of the homologous protein networks between rat and human during hypobaric hypoxia exposure using an in silico hybrid (Paul et al. [2017](#page-13-33)), the above speculation appears plausible. Thus, common/linked proteins between NO and  $H_2S$  signaling may open new dimensions in the search for efective interventions and reliable markers for high altitude acclimatization. Overall, from all available literature, proteins implicated in redox homeostasis, energy homeostasis, fuid homeostasis, acute phase response signaling, coagulation system, complement system and  $NO-H<sub>2</sub>S$  signaling seem to be highlighted repeatedly by investigations targeting the plasma proteome of individuals exposed to high altitude hypoxia (Fig. [8](#page-7-0)).

Newer strategies include study of saliva and other biofuids, that are truly non-invasive in nature. However, despite their distinct ease of collection and clinical use as a diagnostic sample, one major drawback is that they must be complemented with greater understanding of the underlying global molecular events. This is due to the fact that except blood, all other biofuids provide no signifcant molecular insight. Thus, as we move on to newer vistas for exploration and improvement, one must not leave the on-going works incomplete.

### **Saliva**

Another biological fuid, saliva, being non-invasive in nature has enormous diagnostic potential. Recently, it has gained evident attention as an efective strategy for screening, diagnosis, prognosis and monitoring post-therapy status due to its easy sample collection and processing, less chances of contracting infections, low cost and better tolerance by patients (Schafer et al. [2014;](#page-14-26) Yoshizawa et al. [2013](#page-14-27); Chiappin et al. [2007](#page-11-35); Kaczor-Urbanowicz et al. [2017](#page-13-34); Lee and Wong [2009;](#page-13-35) Pfafe et al. [2011](#page-13-36)). Translational research from the previous decades suggested that chronic disease such as cancer, diabetes, cardiovascular, neurological and pulmonary diseases are associated with continued oxidative stress (Reuter et al. [2010\)](#page-14-28). In translational research, saliva has been explored in the detection of oral cancer (de Jong et al. [2010](#page-12-25); Hu et al. [2007a](#page-12-26), [b;](#page-12-27) Gallo et al. [2016](#page-12-28)), Sjogren's syndrome (Giusti et al. [2007](#page-12-29); Hu et al. [2007a](#page-12-26), [b;](#page-12-27) Peluso et al. [2007](#page-13-37)), breast cancer (Streckfus et al. [2008\)](#page-14-29), lung cancer (Xiao, H., et al., Proteomic analysis of human saliva from lung cancer patients using two-dimensional diference gel electrophoresis and mass spectrometry. Mol Cell Proteomics 2012) and systemic disorders such as hepatitis, HIV and HCV (Elsana



<span id="page-8-0"></span>**Fig. 9** Saliva in diagnosis of various ailments and the identifed biomarker candidates

et al. [1998](#page-12-30); Yaari et al. [2006](#page-14-30); Hodinka et al. [1998](#page-12-31)) (Fig. [9](#page-8-0)). An initial study by Shen Hu et al. gave a proof of concept for exploring salivary proteins in oral cancer by revealing thioredoxin as a salivary biomarker for human oral cancer (Hu et al. [2007a,](#page-12-26) [b\)](#page-12-27). Another study by Ebbing P. de Jong et al. revealed myosin and actin as promising salivary biomarkers for distinguishing pre-malignant and malignant oral lesions (de Jong et al. [2010\)](#page-12-25). A recent study by Eva Csosz et al. investigated oral squamous cell carcinoma (OSCC) biomarkers in a Hungarian population and highlighted the importance of identifcation of population tailored biomarkers. In this study, S100A9 and IL-6 were shown to be candidate biomarkers for OSCC (Csosz et al. [2017](#page-12-32)). In Sjogren's syndrome (SS), a study by G. Peluso et al. on saliva from patients with primary SS revealed higher levels of alphadefensin 1 and the presence of beta-defensin 2 could be markers of oral infammation in SS patients group (Peluso et al. [2007](#page-13-37)). Another study by Omer Deutsch et al. identifed proflin and CA-I as biomarker candidates for Sjögren's syndrome following high-abundance protein depletion (Deutsch et al. [2015](#page-12-33)). In cases of non-oral cancers such as breast and lung cancers, various researchers suggested modifcations in the salivary proteome and provided proof of concept for candidate biomarkers (Streckfus et al. [2008](#page-14-29); Xiao et al. [2012;](#page-14-31) Bigler et al. [2009](#page-11-36); Streckfus and Bigler [2016\)](#page-14-32). In HIV and HCV, rapid point of-care HIV tests utilize oral fuids to rapidly provide test results to patients (Hodinka et al. [1998](#page-12-31); Fernandez Rodriguez et al. [1994\)](#page-12-34). Another example is its use for determining hormone levels, including estradiol, progesterone and testosterone, DHEA, and cortisol (Groschl [2008](#page-12-35)). In the context of hypobaric hypoxia related events, saliva has been studied due to its diagnostic potential. An initial study, in 1990s, suggested an increased salivary fow rate and low potassium concentration in response to acute hypobaric hypoxia exposure (Pilardeau et al. [1990\)](#page-14-33). Another study done by McLean reported decrease in aldosterone response to both renin-angiotensin and ACTH stimulation at high altitude (McLean et al. [1989\)](#page-13-38). Additionally, there is a rise in the salivary activities of aminotransferases during HH exposure (Mominzadeh et al. [2014\)](#page-13-39). Another researcher, Woods DR et al. recently reported an alteration in the evidently related molecule, salivary cortisol and suggested an elevated cortisol may contribute to fuid retention associated with acute mountain sickness (Woods et al. [2012\)](#page-14-34).

In omics-based studies, particularly proteomics, only a handful of studies have been performed so far. Jain et al. have reported signifcantly altered proteins such as alphaenolase, cystatin S, cystatin SN, apoptosis inducing factor 2, prolactin inducible protein and carbonic anhydrase 6 and plausible pathways involving these proteins such as impaired glycolysis, infammation and respiratory alkalosis during HH exposure(Jain et al. [2018\)](#page-13-4). There is an enormous requirement of saliva based proteomic studies for the screening of molecular events occurring after HH exposure due to fewer studies.

### **Urine**

Urine, another non-invasive biological fuid, is highly desirable for biomarker analysis as it can be collected in relatively large volumes. Urine, being a fltrate of the blood, accommodates the modifcations in the internal environment to a higher degree and those changes are more likely to be detectable in urine in higher magnitudes than their counterparts in blood. Urinary proteome contains a variety of potential biomarkers for overall health and organ related pathophysiological conditions as nearly 30% of the urinary proteome is derived from glomerular plasma fltration and 70% of the urinary proteome originates from the urogenital tract (Harpole et al. [2016;](#page-12-36) Decramer et al. [2008](#page-12-37)). Therefore, urinary proteomics is perfect diagnostic research in assessment of disease risk and mechanisms; and predicting optimal therapy (Collins and Varmus [2015](#page-12-38)). In translational research, urine proteome has been explored in variety of non-kidney associated diseases including acute appendicitis (Kentsis et al. [2010;](#page-13-40) Kharbanda et al. [2012](#page-13-41)), infectious diseases such as Tuberculosis (Young et al. [2014\)](#page-14-35), cancer (Frantzi et al. [2015;](#page-12-39) Raimondo et al. [2014](#page-14-36)), cardiovascular disease (Brown et al. [2015](#page-11-37); Zhang et al. [2015a,](#page-14-37) [b\)](#page-14-38), and aging (Nkuipou-Kenfack et al. [2015](#page-13-42)) (Fig. [10](#page-9-0)). In oxidative stress related manifestations such as cancer and aging, scientists initially compared diferent techniques for urinary proteome and peptidome of renal cell carcinoma patients followed by identifcation of PCK1 and SNRPF as biomarkers for renal



<span id="page-9-0"></span>**Fig. 10** Urine in diagnosis of various ailments and the identifed biomarker candidates

cell carcinoma (Frantzi et al. [2015](#page-12-39); Raimondo et al. [2014](#page-14-36); Schifer et al. [2012](#page-14-39); Chinello et al. [2016;](#page-11-38) Sun et al. [2016](#page-14-40)). And, Nkuipou-Kenfack et al. reported perturbations in collagen homeostasis, trafficking of toll-like receptors and endosomal pathways, degradation of insulin-like growth factorbinding proteins in pathological ageing (Nkuipou-Kenfack et al. [2015\)](#page-13-42). For diagnosis of diabetes, urine based glucose tests have been developed and tests based on glycosylated hemoglobin are in development (Pleitez et al. [2012;](#page-14-41) Zhang et al. [2015a](#page-14-37), [b\)](#page-14-38). In hypobaric hypoxia, Mainini et al. reported that urinary proteome is modifed upon HH exposure. They have identifed six modulated peptides during HH exposure, two of them are the fragments of glycoprotein uromodulin and alpha-1 antitrypsin(Mainini et al. [2012](#page-13-3)). Alpha-1 antitrypsin is previously being studied in plasma and found to be modulated during HH exposure(Zhang et al. [2013\)](#page-14-19). The presence of similar modulated proteins in urine and plasma at high altitude suggests the scope of urinary proteomics at high altitude.

### **Tears**

Another important non-invasive biological fuid is tears. Tears consist of secretions containing thousands of biomolecules such as proteins/peptides, lipids, electrolytes and metabolites from lacrimal gland, goblet cells, cornea and vascular sources (Zhou and Beuerman [2012\)](#page-14-42). Although, tears are collected in small volume, it offers various advantages such as non-invasive sampling using Schirmer's strips, easy collection and closeness to the eye-disease site. Also, sample preparation is direct as depletion of abundant proteins such as albumin is not required in tear proteome analysis, thus, results in high quality proteome coverage (Zhou and Beuerman [2017](#page-14-43)). In translational research, tears are explored in the ocular diseases such as dry eye disease (Aluru et al. [2012](#page-11-39); Choi et al. [2012](#page-11-40); Grus et al. [2005](#page-12-40); Enriquez-de-Salamanca et al. [2010](#page-12-41); Zhou et al. [2009\)](#page-15-1), Sjogren's syndrome (Aqrawi et al. [2017\)](#page-11-2), thyroid associated orbitopathy (Huang et al. [2014](#page-12-42)), and glaucoma (Pieragostino et al. [2012\)](#page-13-43); and systemic diseases such asdia-betic retinopathy(Costagliola et al. [2013;](#page-12-43) Csosz et al. [2012](#page-12-44); Kim et al. [2012](#page-13-44); Torok et al. [2015\)](#page-14-44), cancer(Evans et al. [2001](#page-12-45)) and Parkinson's disease(Borger et al. [2015\)](#page-11-41) (Fig. [11](#page-10-0)). Recently, dry eye disease was reported in association with age mediated oxidative stress (Seen and Tong [2018\)](#page-14-45). Several researchers suggested lacrimal proline rich protein 4 (LPRR4), annexin A1, neutrophil elastase 2, clusterin, apolipoprotein A-II, alpha-enolase, S100 A4, cytokines and chemokines as candidate biomarkers for dry eye disease (Aluru et al. [2012](#page-11-39); Choi et al. [2012](#page-11-40); Grus et al. [2005](#page-12-40); Enriquez-de-Salamanca et al. [2010;](#page-12-41) Zhou et al. [2009](#page-15-1); Yoon et al. [2010;](#page-14-46) Li et al. [2014](#page-13-45)). Another study, Lara A. Aqrawi et al. suggested proteins involved in innate immunity (LCN2,



<span id="page-10-0"></span>**Fig. 11** Tears in diagnosis of various ailments and the identifed biomarker candidates

SIRPA and LSP1), cell signalling (CALM), wound repair (GRN and CALML5), adipocyte diferentiation (APMAP), TNF- $\alpha$  signalling (CPNE1) and B cell survival (PRDX3) can serve as diagnostic markers for SS(Aqrawi et al. [2017](#page-11-2)). In systemic disease (diabetic retinopathy), researchers suggested combined methods for its screening using retina photographs and tear proteomics; and TNF-alpha, lipocalin 1, lactotransferrin, lacritin, lysozyme C, lipophilin A, immunoglobulin lambda chain, LCN-1, HSP27 and B2 M as markers for early diagnostics of diabetic retinopathy(Costagliola et al. [2013;](#page-12-43) Csosz et al. [2012;](#page-12-44) Kim et al. [2012](#page-13-44); Torok et al. [2015\)](#page-14-44). A study by Evans V et al. reported lacryglobin in human tears as a potential marker for various types of cancer such as cancers of breast, lung, colon, ovary and prostate (Evans et al. [2001](#page-12-45)).In the context to HH exposure, high altitude has both short and long term exposure efects on eyes. The short-term effects include high-altitude retinopathy, change in corneal thickness, and photokeratitis and long-term effects include pterygium, cataract, and dry eye syndrome (Jha [2012](#page-13-46); Gupta et al. [2008](#page-12-46)). Few studies and surveys reported that hypobaric hypoxia alters tear breakup time and flm osmolarity (Jha [2009;](#page-13-47) Willmann et al. [2014](#page-14-47)). The lack of proteomic analysis in tears during HH exposure provides ample scope for exploring this amazing biological fluid to the researchers.

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#### **Compliance with ethical standards**

**Conflict of interest** Authors declare no confict of interest.

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