



# Metabolic syndrome: an update on diagnostic criteria, pathogenesis, and genetic links

Uzma Zafar<sup>1,2</sup> · Saba Khaliq<sup>1</sup> · Hafiz Usman Ahmad<sup>1</sup> · Sobia Manzoor<sup>3</sup> · Khalid P. Lone<sup>1</sup>

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## Abstract

Metabolic syndrome (MetS), today a major global public health problem, is a cluster of clinical, metabolic, and biochemical abnormalities, such as central adiposity, hypertension, insulin resistance, and dyslipidemias. These MetS-related traits significantly increase the risk of type 2 diabetes mellitus, adverse cardiac events, stroke, and hepatic steatosis. The pathogenesis of MetS is multifactorial, with the interplay of environmental, nutritional, and genetic factors. Chronic low-grade inflammation together with visceral adipose tissue, adipocyte dysfunction, and insulin resistance plays a major role in the progression of the syndrome by impairing lipid and glucose homeostasis in insulin-sensitive tissues, such as the liver, muscle, and adipocytes. Adipose-derived inflammatory cytokines and non-esterified fatty acids establish the link between central obesity IR, inflammation, and atherogenesis. Various studies have reported an association between MetS and related traits with single-nucleotide polymorphisms of different susceptibility genes. Modulation of cytokine levels, pro-oxidants, and disturbed energy homeostasis, in relation to the genetic variations, is described in this review of the recent literature, which also provides updated data regarding the epidemiology, diagnostic criteria, and pathogenesis of MetS.

**Keywords** Metabolic syndrome · Insulin resistance · Central obesity · Cytokines · Single-nucleotide polymorphisms

## Introduction

Metabolic syndrome (MetS) is the commonly used term for a cluster of clinical and metabolic factors that increase the risk

for type 2 diabetes mellitus (T2DM), coronary artery disease (CAD), and stroke. The interrelated risk factors include central obesity, dyslipidemias, hypertension, hypercoagulable state, and insulin resistance [1]. In persons with MetS, the risk of developing T2DM is five times greater and the risk of stroke and myocardial infarction is three times higher as compared to normal/healthy subjects [2]. During the last decade, MetS has also been associated with other clinical conditions, such as hepatic steatosis and non-alcoholic fatty liver disease (NAFLD), hypogonadism, polycystic ovary syndrome (PCOS), obstructive sleep apnea, vascular dementia, Alzheimer's disease, and carcinomas, especially pancreatic and colorectal cancers [3, 4].

The term MetS has the International Classification of Disease (ICD-9) code 277.7, which implies healthcare services and reimbursement. The metabolic syndrome was first described in 1923 by Kylin et al. as an association of gout with hypertension and hyperglycemia [5]. In 1947, Vague demonstrated the link between abdominal obesity and metabolic derangements in T2DM and cardiovascular diseases (CVD) [6]. The importance of insulin resistance (IR) in type 2 diabetes was established by Reaven in 1988, while he named the cluster of risk factors for CVD and DM syndrome X [7]. Some

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✉ Uzma Zafar  
Uzma.zargham@gmail.com

✉ Khalid P. Lone  
khalid.lone@gmail.com

Saba Khaliq  
sabakhaliq@uhs.edu.pk; sabahat711@yahoo.com

Hafiz Usman Ahmad  
Hafizusmanahmad786@yahoo.com

Sobia Manzoor  
lcianunique@yahoo.com

<sup>1</sup> Department of Physiology & Cell Biology, University of Health Sciences, Lahore, Pakistan

<sup>2</sup> Department of Physiology, Lahore Medical and Dental College, Lahore, Pakistan

<sup>3</sup> Department of Healthcare Biotechnology, Atta-ur-Rahman School of Applied Biosciences, NUST, Islamabad, Pakistan

years later, in 1992, central obesity was added as a core component in the definition and the entity and was renamed insulin resistance syndrome (IRS) [8]. Today, MetS has become a global health issue of great concern. According to the International Diabetes Federation (IDF) criteria, the prevalence of MetS in the United States is 33–39%, with a significant number being female, while Europe is marked by considerable diversity, the prevalence ranging from 18 to 30% [9, 10]. The prevalence also varies in various regions of the world, this being due both to different definitions of MetS using different diagnostic criteria and to other factors, including genotype, ethnicity, lifestyle, diet, and physical activity. The prevalence of MetS also differs by gender and age, since below the age of 50 it is slightly higher in men, reversing after that age to show a female preponderance [11]. According to the National Health and Nutrition Examination Survey (NHANES) 2003–2006, the burden of this condition is higher in males than in females before the age of 60, with a frequency of 41 and 34%, respectively; however, sex difference diminishes with advancing age due to the fact that cardiometabolic protection decreases in women after menopause, while the role of sex hormones in females diminishes regarding distribution of body fat [12]. The overall prevalence of MetS in India is 18%, with about 10% affected males in the total population, while in China 18% of females and 9.8% of males have MetS [13]. The prevalence of the disease in Pakistan was found to be very high, ranging from 45 to 60% [14], though the reported higher prevalence of MetS in India, Pakistan, Bangladesh, Sri Lanka, Nepal, and Afghanistan, in general, is likely to be due to low cut-off values for waist circumference (WC) [15]. A study conducted at outdoor clinics of Agha Khan Hospital, Karachi, estimated 60% prevalence, in accordance with the IDF definition and based on a strong association of MetS with male sex, family history of diabetes, and higher body mass index [16]. MetS is more prevalent in the older than the younger age group, but variants of MetS are associated with higher mortality in younger persons than in elders [17].

## Methods

The terms “metabolic syndrome,” “T2DM,” “insulin resistance,” “polymorphisms,” and “genetic variants” were used to search electronic databases including PubMed, MEDLINE, Google Scholar, and Google. Studies from 2000 to 2017 were searched. Research articles included were case control studies, cohort studies, meta-analyses, and literature reviews published in official peer-reviewed journals. It took about 6 to 8 months to streamline the data of the present literature review.

## Diagnostic criteria for MetS

MetS is a polymorphic entity characterized by a cluster of medical conditions. Over the years, there have been several attempts by various groups to establish the diagnostic criteria for MetS [18]. The World Health Organization (WHO) created the first internationally recognized definition of MetS in 1998, which was modified by the European Group for the Study of Insulin Resistance (EGSIR) in 1999. In 2001, the National Cholesterol Education Program Adult Treatment Panel (NCEP/ATP111) updated the guidelines for MetS, and in 2003, the American Association of Clinical Endocrinologists (AACE) proposed their definition [19]. The AACE, WHO, and EGSIR definitions mainly focus on IR measured by the oral glucose tolerance test and the euglycemic hyperinsulinemic clamp, the latter, however, being time- and labor-intensive and also expensive [20, 21]. Another limitation to the above definitions is that because obesity cut-off values specific for different populations are not defined for more than three definitions, they are not applicable in different ethnic groups. In April 2005, the IDF provided the Consensus Worldwide definition of MetS [22]. This definition includes waist circumference as a prerequisite for the identification of MetS while also comprising a number of the common features of the AACE, WHO, and EGSIR definitions, namely, measurement of triglycerides (TG) and of high-density lipoprotein (HDL)-cholesterol and evaluation of fasting glucose and blood pressure (Table 1) [15, 18–22]. The cut-off limits of WC are specific to the gender and ethnic groups in the IDF criteria (Table 2) [18, 22]. This is because populations of different ethnicities and races have different genetic backgrounds which are predictive of their susceptibility to CVD and T2DM; hence, the distribution of their norms for anthropometric measurements is also specific. Genetic background thus accounts for the fact that prevalence of MetS varies in different populations. In sum, although the exact cause of the various traits of MetS is still not understood, intra-abdominal fat deposition leading to central adiposity is considered to be the essential component as per the IDF guidelines [18, 22].

## Pathophysiology of MetS

The pathogenesis of MetS is complex, being the interplay of multiple interacting pathways, genetic variations, and environmental factors, the latter including intake of a high-calorie diet and lack of exercise [8]. The exact etiology of MetS has not as yet been completely elucidated, but several studies have reported a strong association of MetS with insulin resistance, oxidative stress, inflammation, obesity, endothelial dysfunction, and cardiovascular diseases [18, 23].

**Table 1** Diagnostic criteria for metabolic syndrome

WHO, 1998	EGIR, 1999	NCEP-ATPIII, 2001	AAACE, 2003	IDF, 2006
IGT or IFG or diabetes and/ or IR (estimated with the euglycemic hyperinsulinemic clamp method) plus two or more of the following:	IR (defined as hyperinsulinemia measured by top 25% of the fasting insulin values among non-diabetic individuals) and two or more of the following:	Three or more of the following:	IGT and two or more of the following:	Central obesity as defined by ethnic/racial specific WC, but can be assumed if BMI $\geq 30$ kg/m <sup>2</sup> and two or more of the following:
BP $\geq 140/90$ mmHg	FPG $\geq 110$ mg/dl	FPG $\geq 100$ mg/dl* or on treatment for DM	FPG $\geq 100$ mg/dl or on treatment for DM	FPG $\geq 100$ mg/dl or on treatment for DM
Abdominal obesity: WHR $> 0.9$ for men and $> 0.85$ for women and/or BMI $> 30$ kg/m <sup>2</sup>	BP $\geq 140/90$ mmHg or on anti-hypertensives	BP $\geq 130/85$ mmHg	BP $\geq 130/85$ mmHg	BP $\geq 130/85$ mmHg or on anti-hypertensives
Triglycerides $\geq 150$ mg/dl or on treatment	WC: $\geq 94$ cm for men, $\geq 80$ cm for women	WC: $\geq 102$ cm for men, $\geq 88$ cm for women	BMI: $\geq 25$ kg/m <sup>2</sup>	
HDL-C: $< 35$ mg/dl for men and $< 39$ mg/dl for women	Triglycerides $\geq 178$ mg/dl or on treatment	Triglycerides $\geq 150$ mg/dl or on treatment	Triglycerides $\geq 150$ mg/dl	Triglycerides $\geq 150$ mg/dl or on lipid lowering agent
Urine albumin excretion rate $\geq 20$ $\mu$ g/min or urine albumin to creatinine ratio $\geq 30$ mg/g	HDL-C: $< 39$ mg/dl or on treatment	HDL-C: $< 40$ mg/dl for men, $< 50$ mg/dl for women	HDL-C: $< 40$ mg/dl for men, $< 50$ mg/dl for women	HDL-C: $< 40$ mg/dl for men, $< 50$ mg/dl for women or on treatment for dyslipidemia

FPG  $\geq 100$  mg/dl\* modified in 2004 according to the IDF definition of impaired fasting glucose. The 2001 definition of NCEP-ATPIII identified FPG  $\geq 110$  mg/dl as elevated American Association of Clinical Endocrinologists (AAACE), body mass index (BMI), European Group for the study of insulin resistance (EGSIR), fasting plasma glucose (FPG), impaired fasting glucose (IFG), impaired glucose tolerance (IGT), insulin resistance (IR), International Diabetes Federation (IDF), National Cholesterol Education Program Adult Treatment Panel (NCEP/ATP11), waist circumference (WC), waist to hip (W/H) ratio, World Health Organization (WHO)

## Insulin resistance

The core component of MetS is insulin resistance (IR), hence the alternate name “insulin resistance syndrome.” IR is the decreased ability of the target organs, such as the liver, skeletal muscles, and adipose tissues, to respond to normal levels of insulin [24]. Insulin regulates a variety of biological processes by acting on its cell surface receptors at the insulin-sensitive sites, while insulin-receptor binding initiates a series of events that lead to phosphorylation of the insulin receptor, followed by insulin receptor substrate (IRS) proteins and activation of two important post-receptor transduction pathways, PI3K (phosphatidylinositide 3 kinase) Akt (protein kinase B) mTOR (molecular target for rapamycin) and Ras-MAPK (mitogen-activated protein kinase) signaling cascade [25]. PI3K is a heterodimer of a catalytic and regulatory subunit. Activation of PI3 kinase depends upon binding of its SH2 domains in the regulatory subunit with phosphorylated IRS-1. This in turn activates its catalytic subunit, which immediately phosphorylates phosphatidyl inositol biphosphate (PIP2) to phosphatidyl inositol triphosphate (PIP3), which is a second messenger [26]. PIP3 recruits protein kinase B (Akt) to the plasma membrane, the activation of which further requires phosphorylation by PDK-1 (phosphoinositide-dependent protein kinase). Activated Akt is responsible for translocation of GLUT4 transporters, uptake of glucose by the cells, and inactivation of enzyme glycogen synthase kinase, a potent inhibitor of glycogen synthase, thus promoting glycogen synthesis in the cells [27]. The PIP2/PIP3/Akt pathway is mainly responsible for mediating various actions of insulin on metabolism by regulating the activity and expression of transcription factors, enzymes, and proteins responsible for proliferation and apoptosis of cells [28]. The activation of the Ras-MAPK signaling pathway is responsible for the effects of insulin on cell growth, proliferation, and mitogenesis [29]. In vascular endothelial cells, insulin-mediated activation of the PIP2/PIP3 pathway promotes the production of nitric oxide that inhibits vascular smooth muscle proliferation and induces vasodilation [30]. By contrast, activation of the MAPK pathway results in vasoconstriction and proliferation of vascular smooth muscles [31].

In IR, the PI3K-Akt pathway regulating cellular metabolic activities in tissues is mainly affected, while the MAPK signaling pathway is spared [32]. Chronic hyperglycemia, systemic inflammation, and IR are all capable of activating the p38MAPK signaling cascade. In the endothelium, selective impairment of PI3K-mediated nitric oxide production and activation of the MAPK pathway lead to endothelial dysfunction, atherogenesis, and cardiovascular complications [30–32]. A number of defects in post-receptor events have been identified as being responsible for impairment of PI3K signaling in IR and T2DM. Several studies have consistently reported reduced insulin signal transduction via the IRS-1/PI3K pathway, decreased glucose phosphorylation, and impaired glycogen synthase activity [33, 34]. Moreover, mitochondrial dysfunction in IR results in activation of different serine kinases responsible for serine phosphorylation of IRS-1 [35]. Another distinct mechanism is activation of p85 $\alpha$ , which displaces p110 from IRS-1 and, in combination with the activation of serine kinases, worsens IR through early degradation of IRS-1. This cascade of events leads to impaired hepatic insulin action, altered transcriptional activity of Forkhead box O1 nuclear factors, increase in hepatic glucose output, and synthesis of proinflammatory cytokines and triglycerides [36]. Adipose tissue IR results in impaired suppression of lipolysis in the presence of high insulin levels with increased release of free fatty acids (FFA) in the blood, which favors hepatic gluconeogenesis, glucose intolerance, and increased delivery of FFA to the muscles [37, 38].

Skeletal muscle IR is considered to be the primary or initiating defect preceding hepatic IR, pancreatic beta-cell failure, and T2DM [39]. In skeletal muscles, the key defect in IR is present at the level of interaction of the IRS regulatory subunit with PIK3 not involving the MAP kinase signaling pathway. This expression of selective IR globally affects glucose metabolism, which results in impaired translocation of GLUT4 transporters, decreased glycogen synthesis, overt hyperglycemia, and worsening of IR. A number of studies have also focused attention on accumulation of lipid intermediates, such as diacylglycerol, fatty acyl Co A, and ceramides in muscles, resulting in serine phosphorylation of IRS-1 responsible for altered insulin signaling and IR [39, 40]. Excessive delivery of free fatty acids to the mitochondria results in production of increased amounts of NADH and FADH2. These entities alter the membrane potential of the mitochondria, leading to increased levels of ROS and further worsening of IR [41, 42]. However, the molecular and cellular mechanisms linking ROS with IR are still not known. Insulin resistance, which is the driving factor that leads to T2DM, is present several years before the onset of T2DM. Furthermore, first-degree relatives of type 2 diabetics often have IR even when they have normal glucose tolerance and are non-obese, this pointing to a strong genetic component in the development of IR [43, 44].

**Table 2** Gender and ethnic specific limits for waist circumference

Ethnic group	Waist circumference	
	Male (cm)	Female (cm)
Europeids	≥ 94	≥ 80
South Asians	≥ 90	≥ 80
Chinese	≥ 90	≥ 80
Japanese	≥ 90	≥ 80

## Role of central obesity and adipokines in MetS

Central obesity, disturbances in lipid metabolism, and altered energy homeostasis are also linked to IR and MetS traits [45]. According to the IDF criteria, central obesity is a mandatory component of MetS, with deposition of fat in the waist and perivisceral areas resulting in a proinflammatory state that leads to adverse cardiometabolic outcomes [18, 22]. In patients with IR, the process of lipolysis within adipose tissue is accelerated, resulting in increased FFA release into the portal circulation. Moreover, macrophage infiltration of adipose tissue, altered immune response, and imbalance in the synthesis of pro- and anti-inflammatory cytokines affect the activity of insulin in the liver and muscle [46, 47]. Macrophages constitute about 40% of the total cells of obese adipose tissue, compared to 10% in lean adipose tissue. They can generally be categorized as classically activated macrophages (M1) and alternatively activated macrophages (M2). The M1 phenotype is characterized by increased expression of inflammatory proteins, such as TNF- $\alpha$ , IL-6, and IL-12 as well as inducible nitric oxide synthase, and M2 by increased expression of anti-inflammatory proteins like arginase. There is an increased ratio of M1 to M2 in obese adipose tissue, while M1 also correlates with inflammation and insulin resistance [48]. Adipose tissue is the most abundant metabolically active endocrine organ in the body and a source of such hormones as adiponectin, IL-6, and TNF- $\alpha$ . Among these, adiponectin, encoded by the *ADIPOQ* gene, exerts a potent insulin-sensitizing effect, while the proinflammatory cytokines, interleukin 6 (IL-6) and tumor necrosis factor alpha (TNF- $\alpha$ ), contribute to the development of inflammation in obese subjects [49, 50]. As MetS is associated with central obesity and adipose dysfunction, adipokines are strong candidates to predict the future development of IR, T2DM, and MetS.

### Adiponectin, the insulin-sensitizing and anti-inflammatory adipokine

Adiponectin, the peptide most abundantly secreted by the adipocytes, has insulin-sensitizing and anti-inflammatory actions [51]. It is involved in glucose metabolism, hepatic gluconeogenesis, fatty acid oxidation, and nitric oxide synthesis. Adiponectin, acting through *ADIPOQ* receptor 1 (R1), enhances AMP activation and translocation of GLUT 4 transporters while favoring enhanced glucose uptake by the skeletal muscles, while *ADIPOQ* receptor 2 (R2), mainly expressed in the liver, on stimulation, activates peroxisome proliferator-activated receptor gamma (PPAR- $\gamma$ ) [52, 53]. Adiponectin also inhibits hepatic gluconeogenesis by hampering phosphoenolpyruvate carboxykinase activity. The actions of adiponectin on vascular smooth muscle and endothelial cells

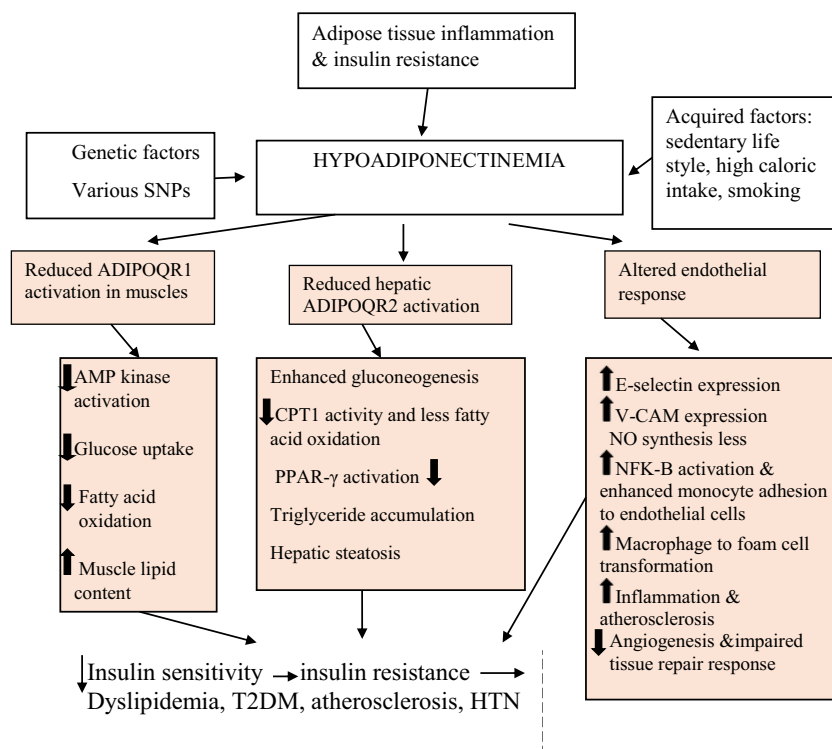
are protective and are mediated through T-cadherin, a membrane-associated adiponectin-binding molecule. It decreases the expression of vascular cell adhesion molecules (VCAM-1) and E selectins on the endothelial cell surface, inhibiting adhesion of monocytes and transformation of macrophages to foam cells. Adiponectin also promotes vascular repair by upgrading the function and number of endothelial progenitor cells [54–57].

Adiponectin secretion is reduced in MetS due to IR, oxidative stress, excessive adiposity, and inflammation [58]. Molecular mechanisms establishing the link between ROS and *ADIPOQ* gene regulation are still under investigation; however, it has been reported that elevated levels of ROS in mitochondria increase the production of uncoupling protein-2, resulting in suppression of the C/EBP binding region in the promoter area of the *ADIPOQ* gene, which is responsible for decreased *ADIPOQ* mRNA expression [59, 60]. The reciprocal relationship between adiponectin and inflammation is supported by data demonstrating that IL-6, CRP, and TNF- $\alpha$  reduce *ADIPOQ* gene expression and mRNA by interfering with the PIK3 insulin signaling pathway. Not only is *ADIPOQ* production reduced, but there is also reduced expression of *ADIPOQR1* and *ADIPOQR2*, contributing to metabolic dysregulation, *ADIPOQ* resistance, and IR (Fig. 1) [58–61].

### Proinflammatory cytokines: tumor necrosis factor alpha and interleukin

TNF- $\alpha$  and IL-6 are cytokines having endocrine, autocrine, and paracrine activities and whose gene expression is increased in adipocytes, macrophages, and lymphocytes of obese subjects [62]. TNF- $\alpha$ , a membrane-bound protein, is cleaved by the metalloprotease TNF- $\alpha$ -converting enzyme (TACE). The soluble trimeric forms of TNF- $\alpha$  interact with their receptors TNFR1 and R2. Soluble TNF- $\alpha$  acts as an endocrine hormone and, on binding with TNFR1, results in apoptotic death, induced by a cascade of caspase activation in cancer cells or virally infected cells. In primary human cells, TNF/TNFR interaction results in activation of NF $\kappa$ B and additional pathways, like mitogen-activated protein kinase (MAPK) and c-Jun-terminal kinase (JNK) [63]. NF $\kappa$ B activation results in increased production and acceleration of TNF and TNF/TNFR interaction. Activation of the JNK pathway leads to synthesis and release of cytokines, such as IL-2 and IL-6, further worsening inflammation [64]. TNF- $\alpha$  acts locally on the adipocytes and reduces insulin sensitivity by different mechanisms, including (a) inhibition of insulin receptor substrate-1 activation, (b) downregulation of PPAR- $\gamma$  in adipocytes, and (c) decreased affinity of these receptors for a class of drugs named thiazolidinediones [64, 65]. TNF- $\alpha$  decreases nitric oxide-mediated vasodilation and is involved in

**Fig. 1** Schematic illustration linking hypoadiponectinemia with insulin resistance and adverse clinical outcomes. *ADIPOQR*, adiponectin receptor; *AMP*, adenosine monophosphate; *CPT1*, carnitine-palmitoyltransferase; *NFK-B*, nuclear factor kappa B; *NO*, nitric oxide; *PPAR- $\gamma$* , peroxisome proliferator-activated receptor gamma; *SNP*, single-nucleotide polymorphism; *V-CAM*, vascular cell adhesion molecule



the vascular pathology of MetS, atherosclerosis, and coronary artery disease [66, 67].

IL-6, which is a glycosylated protein made up of four alpha helices having three receptor binding domains, is also called beta cell stimulating factor. It acts via two mechanisms, i.e. a classical pathway and a trans-signaling pathway [68]. In the classical pathway, IL-6 binds with the membrane-bound receptor which, on activation, associates with another protein, gp130, resulting in signal transduction by the JNK/MAPK pathway [69]. Certain cells do not express the IL-6 receptor, but instead express gp130 proteins. These are activated by circulating IL-6 receptors (when they are activated by IL-6 in blood) via the trans-signaling pathway. Signal transduction through the trans-signaling pathway is mediated by JAK-STAT and MAPK activation. It creates IR in the liver by compromising insulin actions through STAT3-SOCs pathways while also increasing hepatic synthesis of acute phase proteins, such as C-reactive proteins and fibrinogen [70]. Various epidemiological studies link C-reactive proteins with metabolic risk, atherosclerotic lesions, and cardiac events [23, 69, 70]. Moreover, IL-6 also targets vascular smooth muscle cells and endothelium, resulting in increased expression of cell adhesion molecules and activation of the local renin-angiotensin pathway, which induces vessel wall inflammation and damage [1]. Given that proinflammatory actions of IL-6 are mediated through the trans-signaling pathway, blockade of this pathway is a potential therapeutic target. Meanwhile, both macrophages and adipocytes within fat tissue secrete numerous cytokines and peptides that contribute to local and systemic inflammation and IR [71, 72]. Further studies are

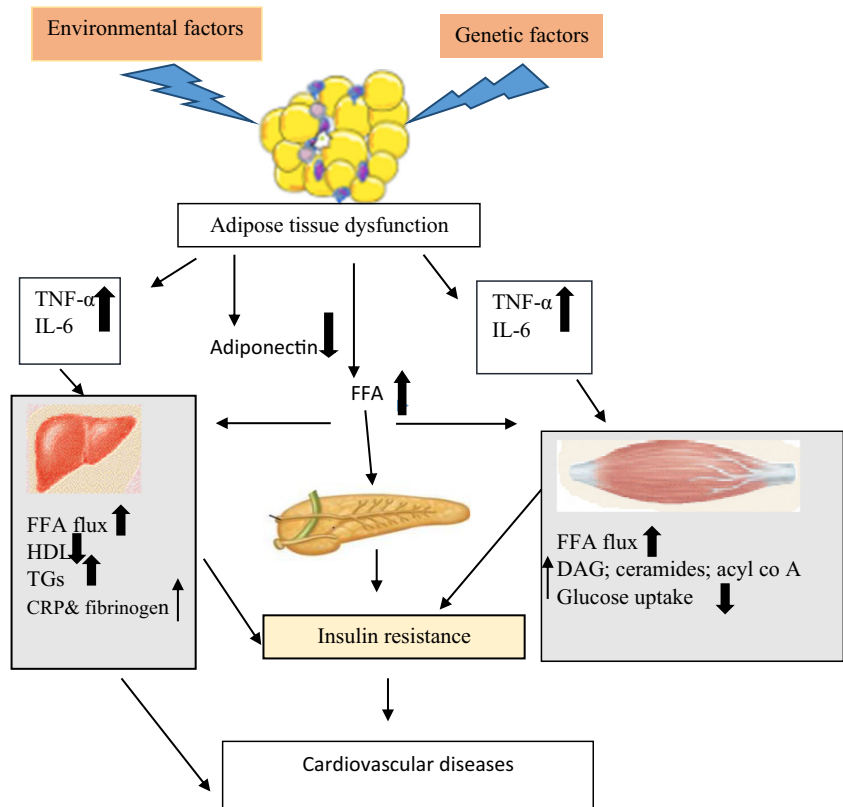
required to gather information that can shed light on the link between metabolism and inflammation so as to target the cytokine pathways as a therapeutic option.

## Risk of cardiovascular diseases in MetS

The role of MetS in the development of CVD has been explored extensively, various studies revealing that MetS is associated with significantly higher risk of such cardiovascular complications as coronary and peripheral arterial diseases, arrhythmias, congestive cardiac failure, and stroke [73–75]. In addition, fat accumulation, in particular visceral fat, is strongly associated with both MetS during childhood and the onset of CVD later in life [76, 77]. Dyslipidemia, characterized by increased triglyceride levels and decreased HDL, is an important criterion for the diagnosis of MetS in adults, adolescents, and children. Elevated triglycerides with low HDL has been shown to be a disease marker and an added risk for CVD in obese children with MetS [78–80]. A triglyceride/HDL-cholesterol ratio of three or greater is also associated with a significantly higher concentration of small LDLs than a ratio of less than three [80, 81].

The molecular mechanisms underlying CVD, IR, and MetS are complex and not to date fully characterized. In IR, the effect of insulin on endothelial cells is minimized with reduced synthesis of endothelial nitric oxide synthase and nitric oxide, making endothelial cells more susceptible to oxidized lipid-induced injury and atherosclerosis. Hyperinsulinemia resulting from IR enhances prenylation of

**Fig. 2** Inflammatory cytokines and free fatty acids (FFA) establish a link between adipose tissue, insulin resistance, and associated morbidity. Adipose dysfunction favors accelerated release of FFA and inflammatory adipokines into the circulation. Uninhibited FFA release deranges glucose and fat homeostasis in the liver, pancreas, and skeletal muscles, resulting in lipotoxicity and insulin resistance. TNF- $\alpha$  and IL-6 from inflamed adipose tissue also worsen insulin resistance in the liver and skeletal muscles. IL-6 increases acute phase protein synthesis in the liver and TNF- $\alpha$  through the NF $\kappa$ B pathway, causing serine/threonine phosphorylation of insulin receptor and IRS-1 and impairing the PIK3 insulin signaling pathway. DAG, diacylglycerol; FFA, free fatty acids; HDL, high-density lipoproteins; IL-6, interleukin 6; TG, triglyceride; TNF- $\alpha$ , tumor necrosis factor alpha



Ras and Rho proteins in endothelial cells, augmenting the response of these cells to mitogenic and growth promoting effects of platelet-derived growth factor, epidermal growth factor, and angiotensin II [30, 31].

Several studies have identified important molecular pathways linking obesity to cardiac dysfunction, particularly alterations in myocardial calcium via changes in the functional expression of SERCA2a (sarco/endoplasmic reticulum Ca) and ryanodine receptors (RyR2), inducing myocellular and beta cell dysfunction [82, 83]. The above-mentioned pathways also affect several subcellular structures in addition to the abnormalities in intracellular calcium [84, 85]. Further work is needed to fully elucidate the underlying mechanisms responsible for dysfunctional regulation of SERCA2a and altered calcium signaling in myocardium and beta cells in obesity/MetS.

### Adipose tissue dysfunction and lipotoxicity

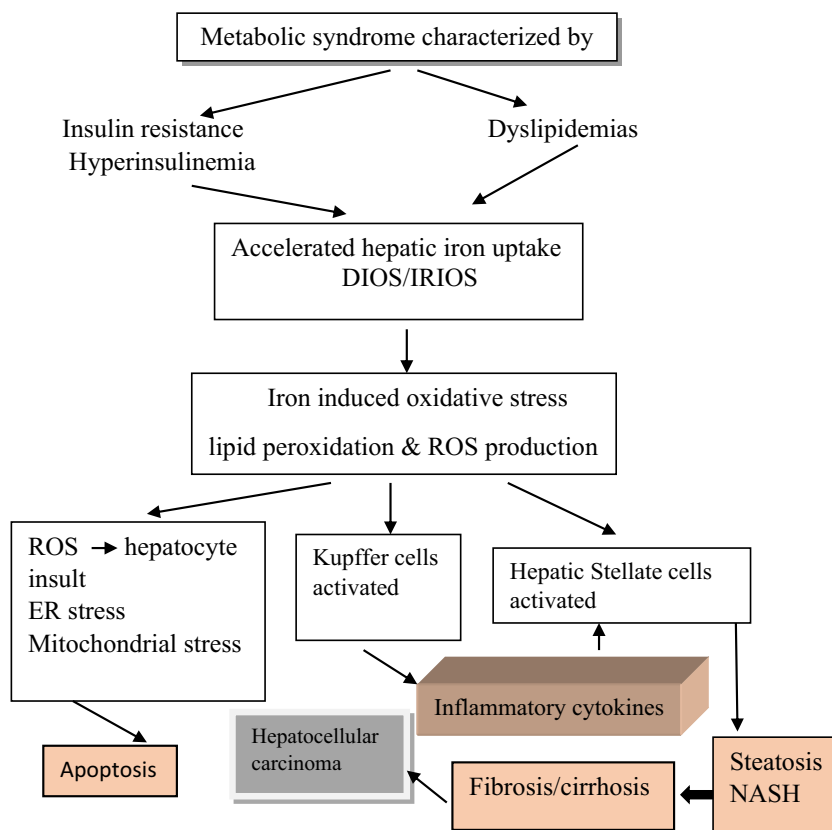
Lipotoxicity is defined as an accumulation of lipid intermediates in non-adipose tissues, including the kidney, liver, heart, and skeletal muscles, resulting in deleterious effects and organ damage [86]. Due to IR, insulin-stimulated uptake of glucose and fatty acids is reduced by the adipocytes and there is impaired suppression of postprandial FFA release in the blood. This increases the net movement of fatty acids towards the

skeletal muscles, liver, and pancreas, leading to lipoapoptosis and organ failure [87] (Fig. 2). Lipotoxicity initiates metabolic perturbations through a variety of cellular mechanisms, worsening insulin resistance, ROS-induced mitochondrial, endoplasmic reticulum, and lysosomal stress, alteration of the tissue renin-angiotensin pathways, and increased expression of adrenergic receptors on vascular smooth muscles [86–88]. In hepatocytes, endoplasmic reticulum stress and JNK pathways are mainly responsible for lipoapoptosis. Saturated and free fatty acids also stimulate the production of toll-like receptor 4 (TLR4), which increases the synthesis of TNF- $\alpha$  by the hepatocytes [89]. This cytokine enhances the expression of proapoptotic proteins in the liver BH-3 and PUMA (p53-up-regulated modulator of apoptosis). PUMA interacts with other proapoptotic BCL-2 family proteins (B cell lymphoma-2), inducing mitochondrial damage and a cascade of events leading to cell death by cytochrome C release, caspase activation, and DNA fragmentation [90, 91]. There are several pathways linking dysfunctional adipocytes in IR with lipotoxic liver disease and non-alcoholic steatohepatitis (NASH) [92].

### Ferritin, hepcidin, and uric acid: mediators of inflammation in MetS

A number of studies have revealed a link between serum uric acid, hepcidin, ferritin, and inflammatory cytokines [93].

**Fig. 3** A postulated pathway illustrating the mechanism of iron induced oxidative stress, responsible for the development of liver fibrosis and carcinogenesis. Insulin resistance induces hepatic steatosis and dysmetabolic iron overload syndrome (DIOS) or insulin resistance iron overload syndrome (IRIOS). DIO causes oxidative stress in hepatocytes with the activation of liver macrophages, “Kupffer cells,” and hepatic “Stellate cells” that are responsible for liver fibrosis following hepatic insult due to iron-induced oxidative stress



Hyperferritinemia is common in patients with MetS, raised serum ferritin levels predicting liver iron overload, non-alcoholic fatty liver disease (NAFLD), and hepatic fibrosis, also called dysmetabolic iron overload syndrome (DIOS) or insulin resistance iron overload syndrome (Fig. 3) [94, 95]. The relation between IR and body iron status is bidirectional. In IR, there is hyperinsulinemia, and insulin, being an anabolic hormone, promotes the entry of iron into the tissues. Iron, which is closely associated with oxidative stress, produces superoxide and peroxide radicals, this resulting in lipid peroxidation of membranes and increasing the levels of fatty acids in the blood [96–98]. The master regulatory protein of iron homeostasis is hepcidin, a 25 amino acid peptide. It acts through its receptor, ferroportin, expressed in cells involved in iron transport or export, i.e., enterocyte, hepatocytes, and macrophages [99], its production being increased by the liver in states of iron overload. Raised serum ferritin levels are also associated with raised serum hepcidin levels [100]. Increased levels of hepcidin downregulate ferroportin and inhibit transport of iron from enterocytes while also decreasing intestinal absorption of iron. Serum hepcidin levels increase linearly with any increase in MetS components in the patient. Hepcidin binds to ferroportin, acting through the JAK/STAT pathway, and increases intracellular levels of suppressors of cytokine signaling 3 (SOCS3) [99, 100]. Studies in mouse models have revealed that SOCS3 have a central role in the

pathology of hepatic steatosis [101]. Hepcidin also has a role in destabilizing atherosclerotic plaques through its action on macrophage function. Levels of hepcidin are also upregulated by various inflammatory cytokines, including TNF- $\alpha$  and IL-6 [102].

Uric acid, an end product of purine metabolism, is produced when hypoxanthine is converted to xanthine, which is later converted to uric acid by the catalytic actions of enzyme xanthine oxidase [103]. Previous studies have reported a tight relationship between serum uric acid levels and MetS or its individual components [104, 105]. Plasma uric acid is a marker of oxidant stress and injury in a variety of conditions, including hepatic steatosis, atherosclerosis, diabetes, and dyslipidemias [106]. The bridge between uric acid and MetS is IR. Hyperinsulinemia due to IR increases the reabsorption of uric acid from the proximal tubules of the kidneys and decreases urinary excretion of uric acid [105, 106]. Uric acid has both pro- and anti-inflammatory actions. Inside the cells, it acts as a pro-oxidant by promoting oxidation of lipids, increases the levels of reactive oxygen species (ROS), and decreases the availability of nitric oxide in endothelial cells, thus hampering insulin action and generating IR [107]. The pro-oxidant effects of uric acid are mediated through the NADPH oxidase-dependent pathway. Uric acid-induced oxidative stress in adipocytes leads to decreased adiponectin synthesis and damages the islets of Langerhans in the pancreas. It also



activates the renin-angiotensin pathway, which increases salt reabsorption from the kidneys. Serum levels of uric acid are associated with atherosclerosis, diabetes, hyperlipidemia, and hypertension [108, 109].

### Genetic links determine susceptibility to MetS

Central obesity leads to chronic low-grade inflammation and, in genetically predisposed individuals, results in MetS and an array of associated comorbidities, including atherosclerosis, ischemic heart disease, and stroke [110, 111]. Left unchecked, the imbalances of MetS can become self-perpetuating leading to increased susceptibility to various complications. Response to therapy also depends upon the patient's genetic background and family history [12]. First-degree relatives of type 2 diabetics are prone to have insulin resistance and related traits [112, 113]. A number of genome-wide association studies (GWAS) have identified 90 loci associated with hypertension and more than 100 associated with obesity, lipoprotein, and lipid levels [114, 115].

### Adipokine genetic variations in relation to MetS

Genetic variations in *ADIPOQ*, the adiponectin gene, are reported to be associated with T2DM and obesity. Polymorphisms of *ADIPOQ* result in decreased secretion and altered structure, with impaired multimerization of the adiponectin molecule, resulting in unstable trimer formation [116]. According to the data published by the prospective study DESIR (Data from an Epidemiological Study on the Insulin Resistance syndrome), *ADIPOQ* is a candidate gene for diabetes mellitus and IR [117]. Various single-nucleotide polymorphisms of this gene, such as +45T>G in exon 2, 276 T>G in intron 2, -11377C>G, and -11391G>A, have been studied in heterogeneous and multiethnic populations, although the results are conflicting. Nevertheless, it was concluded that genetic variations in adiponectin were associated with MetS and related disorders [117–121].

IL-6 increases the synthesis of acute-phase proteins by the liver and also regulates immune reactions in the body. A genetic variant of IL-6, -174 C>G, is involved in the regulation of the transcriptional activity of IL-6 [122]. This polymorphism has been linked to high levels of IL-6 in the blood, whereas the presence of the C allele is associated with lower production of IL-6 than the G allele. Various other studies have shown increased levels of mRNA of IL-6 in G/G homozygotes in different diseases [123]. An association has also been found between the IL-6 promoter polymorphism and ischemic heart disease and T2DM in Pakistani families [124, 125].

The G allele of TNF- $\alpha$  at -308 G>A (rs1800629) is a wild type which is replaced by the A allele in a certain percentage of the population. This allele is associated with high levels of TNF- $\alpha$  in the blood due to increased expression of its mRNA [126]. The A allele of TNF- $\alpha$  is associated with various disorders, including asthma, allograft rejection, and chronic obstructive lung disorders [127, 128]. A study on Egyptian children revealed a strong association between the AA homozygous genotype and rheumatic heart disease, showing an odds ratio of 5.7 and a *p* value of 0.001 [129, 130]. Presence of the A allele is also associated with increased risk of acute coronary syndrome in the South Indian population [131].

### Role of HIF-1 $\alpha$ and its genetic variant in MetS-related traits

Hypoxia has a major role in the pathogenesis of complications of diabetes, including diabetic nephropathy, coronary artery disease, and foot ulcers. Oxygen homeostasis in the cells is maintained through the transcription factor hypoxia-inducible factor (HIF), a heterodimer composed of HIF-1 $\alpha$  and HIF-1 $\beta$  [132]. The activity of this factor is regulated by and dependent on the HIF-1 $\alpha$  component. In hypoxic states, it is activated, moves to the nucleus, binds to the hypoxia-response element of DNA, and upregulates the genes involved in (a) erythropoiesis, (b) angiogenesis, (c) glucose transport, and (d) iron and energy homeostasis. It controls the expression of more than 100 genes involved in oxygen homeostasis [132, 133]. In normoxic states, HIF-1 $\alpha$  is hydroxylated at proline residues and undergoes proteasomal degradation [132–134]. A non-synonymous polymorphism, g.C45035T (rs11549465), which results in Pro582Ser substitution of the HIF-1 $\alpha$  protein, is associated with decreased risk of T2DM. Previous studies by Yamada et al. and Nagi et al. reported that the T allele was more frequent in controls than in cases with T2DM, while the minor T allele of HIF-1 $\alpha$  was associated with higher transcriptional activity as compared to the wild type in hypoxic states [135, 136]. This genetic variant was also found to have a protective role against diabetic nephropathy [137]. Increasing the levels of hypoxia-inducible factors might be considered as a potential therapeutic target for the treatment of T2DM and associated renal complications [133].

### Altered lipid homeostasis in MetS and genetic links

Dyslipidemias, especially those marked by elevated levels of triglycerides and VLDL and low levels of HDL, are considered important risk factors for coronary artery disease and

stroke in subjects with MetS [113]. Variants of the genes related to lipid homeostasis, such as liver X receptor (LXR), retinoid X receptor (RXR), peroxisome proliferator-activated receptor gamma (PPAR- $\gamma$ ), and apolipoprotein (APO) A5, may lead to dyslipidemias as well as to prothrombotic and atherosclerotic states [138, 139].

Liver X receptor alpha is a member of the nuclear receptor family and is involved in regulating cellular cholesterol levels, lipoprotein synthesis, and fat metabolism. It promotes uptake of cholesterol by increasing expression of ABCA1, ABCG1, ABCG5, ABCG4, and ABCG8 [140]. It activates human macrophage Niemann Pick C1 and C2 signaling proteins to transport cholesterol from the endosomal chambers to the plasma membrane [140, 141]. The *LXR- $\alpha$*  gene also suppresses chemokines and cytokines involved in the process of inflammation [141–143]. It has been shown by Wang et al. that the *LXR- $\alpha$*  polymorphism rs12221497 (G>A) is associated with increased risk of ischemic heart disease and stroke [144]. Retinoid X receptors (RXR) are heterodimeric transcription factors with three isoforms, RXR- $\alpha$ ,  $\beta$ , and  $\gamma$ . The *RXR- $\gamma$*  gene is expressed in adipose tissue, skeletal muscles, the central nervous system, skin, and intestines. This gene suppresses lipoprotein lipase (LPL) promoter gene activity by various mechanisms. A serine variant of *RXR- $\alpha$* , rather than the wild type glycine, is a more potent suppressor of the LPL promoter area, which leads to dyslipidemias and atherosclerosis. There is an association between the glycine 14 serine variant of RXR and familial hyperlipidemias and coronary artery disease [145]. However, limited data are available regarding the association of this genetic variant with other MetS-related traits in different ethnic groups.

Apolipoprotein A5 (APOA5) is a strong determinant of plasma triglyceride levels, increased concentrations being a risk factor for coronary disease and MetS [146]. APOA5 is present in very small concentrations in plasma, ranging from 24 to 406  $\mu\text{g/L}$ , while it is also present in association with HDL, VLDL, and chylomicrons [147]. Various studies have revealed that APOA5 levels in plasma are inversely related to triglycerides and VLDL and that there is a positive association of APOA5 with HDL [148]. APOA5 decreases plasma triglycerides by enhancing the activity of lipoprotein lipase. It is also postulated that APOA5 interferes with storage of triglycerides in adipose tissue and the synthesis of VLDL by the liver [148, 149]. Genomic studies have revealed that the T-1131>C polymorphism in the regulatory area of the *APOA5* gene is associated with decreased levels of ApoA5 mRNA and increased levels of triglycerides in the blood [150–152].

### The ADRB3 polymorphism and disturbed energy homeostasis in MetS

Adrenergic receptor  $\beta_3$  (ADR $\beta_3$ ), expressed in adipose tissue, is involved in thermogenesis and energy expenditure. It is

a transmembrane G-protein coupled receptor and, on activation, it increases the levels of intracellular c-AMP and promotes lipolysis [153]. Hence, the expression of this receptor is important for delivering increased amounts of fatty acids to the portal vein. The tryptophan 64 arginine polymorphism of ADR $\beta_3$  is found to be associated with low basal metabolism, IR, and related traits like BMI and WC [154]. It is a missense mutation located in the first transmembrane domain of ADR $\beta_3$ . This change in amino acid sequence is associated with altered affinity of the receptor for norepinephrine, as a result of which intracellular fat and energy homeostasis is deranged [155, 156].

### Clinical applications

Although susceptibility to environmental factors is determined by genes, the current global epidemic of MetS is to a large extent due to our modern-day lifestyle characterized by the consumption of lipogenic food coupled with a lack of physical exercise. Metabolic derangements in MetS should thus be addressed at multiple levels through a variety of strategies. Studies and pharmacological trials strongly support the important role of lifestyle interventions involving weight reduction and the adoption of a healthy diet, accompanied when necessary by antiobesity drugs and other pharmacotherapies to decrease or delay the progression of MetS and its complications. Increased physical activity is the single most important lifestyle intervention that can substantially improve insulin sensitivity, glycemic control, and cardiovascular remodeling [157], and, in this effort, the role of health educators, physical therapists, and exercise specialists is critical to explain the goal, purpose, intensity, and frequency of the exercise program. Meanwhile, the main role of the physician is to screen for complications of MetS, such as diabetes mellitus, with associated retinopathy, neuropathy, or subclinical CAD, while also setting personalized guidelines for the prescription of exercise programs. A number of studies have reported that the lowering of IR through exercise or else with insulin sensitizers is a much better approach than the use of insulin secretagogues or insulin. In this connection, multiple classes of drugs are available that address different pathophysiological mechanisms of IR, such as insulin sensitizers acting on liver (metformin) or peripheral tissue insulin sensitizers (thiazolidinediones) [158]. Attempts can also be made to minimize lipotoxicity by decreasing the transport of fatty acids to non-adipose tissue with the use of peroxisome proliferator-activated receptor gamma agonists [159].

Clinical studies and experimental trials support the role of xanthine oxidase inhibitors for the control of a variety of disorders, such as NASH and atherosclerosis, by reducing uric acid levels and inflammation [160]. Several pharmacological trials have reported the therapeutic potential of

blocking paracrine or autocrine signaling via the NF $\kappa$ B pathway in adipocytes. Antihypertensive and lipid-lowering agents also reduce inflammation by decreasing the levels of IL-6, CRP, and TNF- $\alpha$  [161]. Bariatric surgery is also reported to be associated with decreased IL-6 serum levels and improved insulin sensitivity [162, 163]. However, lifestyle modification remains the cornerstone of any successful diabetes and cardiovascular prevention program [164].

## Conclusions

MetS is characterized by IR, central obesity, and adipose dysfunction. It has been observed that different patients with the same duration and degree of dyslipidemias or hyperglycemia differ significantly in their susceptibility to the cardiovascular and microvascular complications of MetS. Such observations support the role of genetic factors controlling various pathways of IR and inflammation. IR is triggered by cellular disturbances, such as inflammation, lipotoxicity, mitochondrial dysfunction, and endoplasmic stress, which may lead to impaired insulin signal transduction, dysregulation of genes, and modifications of regulatory proteins. The current review suggests that additional studies are required to better understand the role of inflammation and lipotoxicity and treatment options for the increasing numbers of patients with MetS, both to improve their quality of life and to promote healthy aging. Finally, emphasis needs to be placed on the early diagnosis and treatment of the individual components of MetS.

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## Compliance with ethical standards

**Conflict of interest** All authors declare that they have no conflict of interest.

**Ethical statement** This article does not contain studies with patients or animals performed by the authors.

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