#### **ORIGINAL ARTICLE**



# **Deep Learning Method for Post‑earthquake Damage Assessment of Frame Structures Based on Time–Frequency Analysis and CGAN**

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#### **Abstract**

This study introduces a new methodology that utilizes time–frequency analysis and deep learning to evaluate the postearthquake damage analysis of RC frame structures, aiming to enhance assessment efficiency and accuracy. The acceleration signals are subjected to four distinct time–frequency approaches for a six-story RC frame building. To accurately assess the damage condition of the post-earthquake structure, a combination of optimal parameters in a post-earthquake damage assessment model based on a one-dimensional convolutional neural network (1D-CNN) and the Bayesian optimisation (BO) algorithm are employed. The results show that the proposed method achieves a 92.5% accuracy in damage assessment through the wavelet scattering method, which is known for its quick calculation speed. A conditional generative adversarial network (CGAN)-based seismic data generation technique is built to address the issue of inadequate damage sample data sets. By producing high-quality samples that closely resemble actual samples, the combination of wavelet scattering and seismic data generation model increases the accuracy of damage assessment to up to 90.5%. This can be particularly useful in situations when there are limited sample sizes.

**Keywords** Conditional generative adversarial network · Convolutional neural network · Earthquake damage assessment · RC frame structure · Seismic data generation · Time–frequency analysis

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# **1 Introduction**

Earthquakes can cause varying degrees of damage to buildings, leading to numerous casualties and signifcant economic losses. Coordinated and efective post-disaster emergency response can reduce casualties, minimize economic losses, and quickly restore urban social functions. All of this, nevertheless, is dependent upon an accurate and timely assessment of the structure's damage level, since an incorrect or delayed assessment could cause further losses.

With the improvement of computer hardware performance and the popularization of artifcial intelligence technology, neural networks have brought new possibilities for the nonlinear seismic response assessment of structures (Xu and Chen [2021\)](#page-17-0). Deep learning simulates the information processing mechanism of the human brain and establishes a model composed of a large number of neurons and connections so that complex pattern recognition problems can be solved without too much human intervention. In recent years, deep learning has gradually been applied to structural damage assessment caused by earthquakes and has achieved

signifcant results (Sony et al. [2021\)](#page-17-1). Typically, the most efficient approach involves capturing the structure's vibration signal during an earthquake, converting it to the frequency or time–frequency domain for reducing feature dimensions, eliminating unnecessary data, preserving valuable features, and ultimately feeding the extracted information into neural networks for damage assessment. (Kong et al. [2017;](#page-16-0) Hou and Xia [2021](#page-16-1)). The time–frequency analysis method can convert acceleration signals into time–frequency spectra, and the migration learning model based on CNN shows promising evaluation outcomes for this process. This method possesses the capability to assess the damage state and predict the nonlinear seismic response of structures following earthquakes, which could be advantageous for promptly evaluating regional earthquake damage post-event (Mangalathu [2020](#page-17-2); Liao et al. [2021;](#page-17-3) Lu et al. [2021\)](#page-17-4).

With its superior performance in time series analysis, 1D-CNN can maximize the benefts of deep learning in autonomously learning signal characteristics while also having the ability to directly learn the properties of vibration signals (Abdeljaber et al. [2018](#page-16-2)). One-dimensional time series and two-dimensional image coding are the two data preprocessing methods that are compared. It is discovered that the conversion of one-dimensional time series into two-dimensional images prolongs training time, the image coding method does not signifcantly improve prediction accuracy when compared with the time series method (Yuan et al. [2021\)](#page-17-5). Secondly, 1D-CNN requires a relatively shallow architecture compared to 2D-CNN to manage classifcation tasks, which makes 1D-CNN superior in cost-efectiveness and computational efficiency (Kiranyaz et al. [2021\)](#page-16-3). Classifying acceleration signals is the fundamental objective of post-seismic damage assessment; hence, 1D-CNN is more appropriate for earthquake damage assessment based on acceleration signals.

The effective use of deep learning-based approaches to structural earthquake damage assessment requires a signifcant and well-balanced data collection. In practice, though, obtaining enough damaged samples can occasionally be challenging and in turn performance of damage assessment may sufer (Alom et al. [2019](#page-16-4)). Augmenting the data is a common approach to increase damage assessment performance in small-sample scenarios. Given the circumstances, a deep learning architecture known as a generative adversarial network (GAN) may be a viable solution to meet our needs. Using a given training dataset, it trains two neural networks to compete with one another to produce more genuine new data. GAN can learn the distribution of data and generate new sample data, providing a new solution to the problem of small original data (Goodfellow et al. [2014](#page-16-5); Rastin et al. [2021\)](#page-17-6) using a deep convolutional generative adversarial network to train the onedimensional acceleration signal of the monitored structure and realized the quantifcation and damage localization of structural damage. To address the issue of inadequate damage assessment data (Luleci et al. [2022](#page-17-7)) they developed a 1D-WDCGAN-GP model by incorporating the Wasserstein loss function into the deep convolutional generation confrontation network. This model can be utilised to produce a vibration damage dataset that is comparable to the input (Fan et al. [2023](#page-16-6)) added the self-attention mechanism to GAN to learn the intrinsic correlation which facilitates the extraction of spatial and temporal correlations between structural responses, and reconstructs lost data based on precisely measured data. Deep learning has been used in earthquake damage assessment, however, creating models for earthquake damage assessment that balance accuracy and efficiency remains the largest barrier to its wider use (Zhang et al. [2022](#page-17-8)).

Although deep learning has been applied to earthquake damage assessment, the primary challenges hindering its widespread adoption are achieving a balance between efficiency and accuracy in the assessment models and addressing the issue of data scarcity. To tackle these challenges, we propose a novel deep learning method based on time–frequency analysis and Conditional Generative Adversarial Networks (CGAN).

Proposed Framework:

## • **Seismic Damage Evaluation Framework:**

- a. Our framework combines signal time–frequency analysis with a one-dimensional Convolutional Neural Network (1D-CNN) for evaluating seismic damage.
- b. We considered fve diferent time–frequency transformation methods to improve the resolution of the signal from various perspectives.

## • **Parameter Optimization:**

a. To further enhance the prediction accuracy and computational speed of the deep learning model, we employ the Bayesian Optimization algorithm. This algorithm fne-tunes the model's parameters, ensuring both high precision and efficiency in the earthquake damage evaluation process.

## • **Addressing Data Scarcity:**

- a. To overcome the challenge of limited datasets with damage samples, we designed a data generation model based on Conditional Generative Adversarial Networks (CGAN).
- b. This CGAN model generates synthetic, yet highquality seismic damage data, which are subsequently integrated into the damage assessment framework.

c. The generated data are tested for their applicability and performance, ensuring they efectively augment the existing datasets and improve the robustness of the deep learning model.

#### • **Benefts:**

- a. Enhanced Signal Resolution: The use of multiple time–frequency transformation methods ensures a detailed and accurate representation of seismic signals. It can improve accuracy and computational efficiency.
- b. Optimized Model Performance: Bayesian Optimization ensures the model operates with high precision, making the evaluation process more accurate.
- c. Augmented Data Availability: The CGAN-generated synthetic data help mitigate the issue of data scarcity, providing more training samples for the deep learning model and improving its generalization capabilities.

## **2 Methods**

#### **2.1 Time–Frequency Analysis**

The accuracy of the earthquake damage model's assessment is directly impacted by the various time–frequency transformation techniques used (Kumar et al. [2018,](#page-17-9) [2021\)](#page-17-10). Each of these extracts signals features from a diferent angle and thus has diferences in signal sensitivity. To build an earthquake damage assessment model, fve widely used time–frequency analysis techniques were chosen and integrated with 1D-CNN. The following are the chosen techniques for time–frequency analysis.

(1) Time domain

Acceleration sensors record the properties of the structural response, which include all facets of the structures' physical behavior. The original time-domain acceleration signal is directly fed into the one-dimensional convolutional neural network, and the damage features are extracted to evaluate the damage degree of the structure (Nguyen et al. [2022](#page-17-11)).

(2) Fast Fourier transform

Fast Fourier Transform (FFT) is one of the important methods in signal processing, which transforms the signal from time domain to frequency domain and bridges the gap between them. In practical engineering, if the change of the signal cannot be observed in the time domain, Fourier transform can be used to transform it to the frequency domain for observation (Kumar et al. [2015a](#page-16-7), [b](#page-17-12)). For a signal  $f(t) = L^2(R)$ , its Fourier transform is defined as:

$$
F(\omega) = \int_{-\infty}^{+\infty} f(t)e^{-i\omega t}dt
$$
 (1)

where  $f(t)$  is the signal to be analyzed;  $L^2(R)$  is a space domain;  $F(\omega)$  is the Fourier transform of signal  $f(t)$ .

(3) Short Time Fourier Transform

The short-time Fourier transform assumes that the signal is stationary within a fixed window function  $g(t)$ . By analyzing the signal using the Fourier transform, the frequency components of the signal are obtained, and then the window function  $g(t)$  is moved along the time axis to obtain the frequency-time varying graph of the signal. For a signal  $f(t) = L^2(R)$ , its short-time Fourier transform is defned as:

$$
F(\omega, \pi) = \int_{-\infty}^{+\infty} g(t - \pi) f(t) e^{-i\omega t} dt
$$
 (2)

In the formula:  $F(\omega)$  is the short-time Fourier transform of the signal  $f(t)$ ,  $g(t)$  is the window function, other symbols are the same as above, using Hanning window function.

The result of the short-time Fourier transform is a 2D time–frequency spectrogram. 1D information can be extracted from the two-dimensional time–frequency spectrogram using moment methods, which can be used as the input for a 1D-CNN. The two time–frequency moments used are instantaneous frequency and spectral entropy (Kłosowski et al. [2020\)](#page-16-8). Instantaneous frequency is the frequency of the signal extracted from the short-time Fourier transform of the spectrogram. Spectral entropy is a measure of the sharpness or fatness of the frequency spectrum of the signal, based on power spectral estimation. The two moments are used as the two feature vectors of the sample input into a 1D-CNN.

(4) Discrete Wavelet Transform

The Discrete wavelet transform (DWT) parses a signal across various frequency bands, each with its unique resolution. It systematically breaks down the signal into multiple layers. In this approach, the DWT lessens repeated information and decreases the complexity involved in calculations by streamlining the scaling aspects of the wavelet transform.

The signal is split up into a high-pass flter (HPF) and a low-pass flter (LPF) using DWT. The outputs obtained from the HPF are referred to as detail coefficients, while the outputs obtained from the LPF as approximation coeffcients. For the purpose of carrying out the research study, only the low-frequency elements are subsequently separated into several layers, as shown in Fig. [1.](#page-3-0)

Two key steps in the discrete wavelet transform process are selection of the the right wavelet and decomposition level. Making sure these parameters are chosen correctly is essential since the results of the signal analysis can be greatly impacted by the wavelet basis and the number of

<span id="page-3-0"></span>

decomposition steps chosen. According to references (Zhang [2019](#page-17-13); Li [2020\)](#page-17-14), it demonstrates that the number of decomposition layers for a sequence with high volatility is typically limited to three layers. Ultimately, two wavelets-db15 and sym6-were chosen as the wavelet basis functions, and each could be broken down into three layers. As the input, the low-frequency portion (cA3) was selected.

(5) Wavelet Scattering Transform

process diagram

In the wavelet scattering transform (WST), we apply a modulus operation to the initial wavelet transform because the average of the wavelet coefficients is zero, which remains unchanged with linear transformations. To capture informative, non-zero coefficients, this necessitates a non-linear transformation—in this case, taking the modulus. Nonetheless, this approach may lead to a loss of the signal's high-frequency details. To address this, the method involves iterative decomposition of the modulus wavelet coefficients at successively higher levels, alongside modulus operations and convolutional averaging. Illustrated in Fig. [2](#page-3-1), the method progressively outputs wavelet scattering coefficients that exhibit translation invariance, while the modulus wavelet coefficients are successively parsed into subsequent layers for further calculations. By iterating the signal layer by layer, a series of wavelet scattering coefficients can be obtained (Fan et al. [2022\)](#page-16-9). The combination of wavelet and modulus operators in the scattering transform ensures that the resultant scattering coefficients do not vary with translation, addressing the issue of temporal variability in wavelet transforms. This process enhances stability against local deformations and captures a wealth of feature information.

# **2.2 One‑dimensional Convolutional Neural Network**

The retention of the original signal features can be maximized in signal classifcation problems by 1D-CNN since



<span id="page-3-1"></span>**Fig. 2** Wavelet Scattering Decomposition Structure

it does not require changing the input signal's dimension. Additionally, training speed can be greatly increased due to their minimal model complexity. In 1D-CNN, the fundamental layers are an input layer, an output layer, and hidden layers. The convolutional, batch normalisation, activation function, max pooling, dropout, and fully connected layers are the primary components of the hidden layer.

The basic model composition of one-dimensional convolutional neural network is shown in Fig. [3.](#page-4-0)

The fundamental process of training a network model involves iteratively updating parameters through forward and backward propagation processes until the output value and true value error align with the expected value. This allows for the determination of the optimal parameters during training or the fnal parameters once training is fnished (Cao [2022](#page-16-10)).

#### **2.3 Bayesian Optimization Algorithm**

Typically, a Bayesian optimization(BO) algorithm adds new sample points to the provided black-box objective function and updates the objective function's posterior distribution until it nearly matches the true distribution It is a very suitable adaptive parameter optimization for classifcation and regression models and belongs to global optimization algorithm (Cui and Yang [2018\)](#page-16-11).

Bayesian optimization algorithm is mainly composed of a surrogate model and acquisition function. Since it is difficult to obtain the objective function, the surrogate model estimates the objective function based on the existing data and uses Gaussian process as the surrogate model. The acquisition function determines how to sample new data based on the estimated objective function, and then updates the surrogate model based on the newly collected data. This process is repeated iteratively, and in an ideal scenario, the global optimum of the objective function can be found. The BO algorithm is used to optimize the parameters of 1D-CNN models, The specifc steps are as follows:

- (1) Determine the maximum number of iterations N, where the maximum number of iterations for BO is 60 times, with 300 training batches being completed each time.
- (2) Use the acquisition function to obtain an evaluation point, that is, to obtain a certain combination of optimized parameters.
- (3) Evaluate the objective function value at the evaluation point, and choose the error rate of the validation set as the objective function value.
- (4) Integrate the data and update the probabilistic surrogate model to make the surrogate model more consistent with the distribution of the objective function.
- (5) If the current iteration n is less than the maximum number of iterations N, return to step (2) to continue the iteration. Otherwise, select the optimal evaluation point corresponding to the minimum error rate as the output to obtain the optimal parameter combination for the network model.

Table [1](#page-5-0) shows the parameters that the BO algorithm optimized for this case study. Only odd numbers are utilized, and the convolution kernel size is constant throughout each convolutional layer. The iteration process of the Bayesian optimization algorithm is shown in Fig. [4.](#page-5-1) The observation and estimation values of the function have reached the minimum value at the 56th calculation step, and the computation result is optimal at this time. The one-dimensional convolutional neural network model's fnal parameters are then chosen based on the Bayesian optimization parameters at this point. The model is evaluated using the testing set to obtain the fnal model evaluation accuracy.

## **2.4 Conditional Generative Adversarial Networks**

The specific idea and design of a GAN are depicted in Fig. [5.](#page-5-2) A GAN is comprised of a generator and a discriminator.

The adversarial training between the generator and discriminator is represented by the training objective function of the GAN model, which can be obtained by,

<span id="page-4-0"></span>**Fig. 3** 1D-CNN structure



**Several Convolutional Blocks** 

<span id="page-5-0"></span>



<span id="page-5-1"></span>**Fig. 4** Iterative process of Bayesian optimization algorithm

$$
\min_{G} \max_{D} V(G, D) = E_{x \sim P_r} [\log D(x)] + E_{z \sim P_z} [\log(1 - D(G(z)))]
$$
\n(3)

where E represents the expectation, x is the real sample,  $P_r$  is the real sample distribution law;  $D(x)$  is the output of discriminator; z is random noise;  $P_z$  is the distribution law of random noise;  $G(z)$  is the output of the generator.

To create specifed target data, CGAN adds auxiliary information, such as the data's type label, to the generator and discriminator inputs of the original GAN(Mirza, 2014). CGAN adds external label information to guide the training of the GAN. It can not only learn the information of multiple classes of samples at the same time, but also to some extent avoid problems such as gradient disappearance and model collapse that are easy to occur in the GAN training process. The structure of CGAN is depicted in Fig. [5](#page-5-2).

The data produced by the model has the potential to infnitely mimic the real data if it completely understands the complex linkages concealed in the data and achieves a balance. The training objective function of the CGAN model has been modifed based on the GAN as can be seen in Fig. [6,](#page-6-0) and the new objective function is represented by the following formula.

$$
\min_{G} \max_{D} V(G, D) = E_{x \sim P_r} [\log D(x|y)] + E_{z \sim P_z} [\log(1 - D(G(z|y)))]
$$
\n(4)

The network model encounters issues such as mode collapse, and sluggish convergence speed when GAN encounters a high volume of data features during real training. These issues make it harder for the network model to train steadily. To solve these problems, CNN is introduced to construct the internal structure of the generator and discriminator. CNN is capable of extracting features from several hidden layers, sharing convolution kernels, and handling high-dimensional data with ease. The integration of CNN can enhance the CGAN's stability, rate of convergence, and quality of data generation. Since the damaged data is a 1D signal, 1D-CNN is utilised to build the CGAN model in a way that facilitates feature extraction.

Generators, typically take multidimensional random noise as input data. Step size convolution is employed to enable the network to sample in the autonomous learning space, and spatial pooling in CNN is not used to allow the network model to independently learn a more appropriate spatial sampling strategy. Between the levels, the batch normalization operation is used to accelerate the convergence speed and reduce the over-fitting effect. The ReLU activation



<span id="page-5-2"></span>**Fig. 5** Framework of GAN

<span id="page-6-0"></span>**Fig. 6** Framework of CGAN



function is used to add nonlinear factors to improve the expressive ability of the model and solve problems that cannot be solved by the linear model. Thus, an expected response might be produced. The CGAN generator structure is shown in Fig. [7a](#page-6-1).

The discriminator usually takes the conditional data as input data separately from the generated samples and the real samples. The input data is additionally concatenated by the discriminator into a matrix that serves as the convolutional layer's input. The Leaky ReLU function is utilised as the hidden layer's activation function. The feature loss function is applied to the discriminant model's second and third hidden layers. The discriminant model's fully connected layer and sigmoid activation function layer are then used to determine true and false, mapping the fnal result to [0, 1]. The discriminator structure in Fig. [7b](#page-6-1).

During the training of CGAN, the generator and discriminator are trained alternately. During the training of the generator, the weight values are restricted based on the diferences between the generated data, the discriminator's outcomes, and the feature vector deviations. The trained data and the predicted data produced by the generator are fed into



# (a) Generator



# (b) Discriminator

<span id="page-6-1"></span>**Fig. 7** G and D network structure

the discriminator during training. The discriminator then has to calculate the probability that needs to be forecasted and adjust its parameters in response to the discrimination deviation. The CGAN model training process is divided into three steps:

(1) Raw data preprocessing. The primary focus is on analysing the original data's time–frequency.

(2) Update discriminator parameters. Random noise with labels is calculated by the generator to generate false samples. Then the coded label information is combined with the generated samples or real samples and passed into the discriminator, the loss value is calculated through the loss function, and the parameters of the discriminator are updated.

(3) Update generator parameters. Following training, the discriminator's parameters are set, and the generator's parameters are updated in accordance with the determined loss value.

# **3 Overview of Post‑earthquake Damage Assessment Process**

Prior to assessing earthquake damage, the time-domain signal is preprocessed, and then the hyperparameters of the one-dimensional convolutional neural network are optimized by Bayesian optimization algorithm to increase the accuracy of damage assessment. However, when there is little data, damage assessment accuracy is typically low. To address this, conditional generative confrontation networks are used to augment data to improve damage assessment accuracy.

## **3.1 CNN‑Based Damage Assessment Method**

(1) The top acceleration of the structure is one of the feature variables that can be used to indicate the damage state of the frame structure in order to assess the postearthquake damage state of reinforced concrete frame structures. A CNN-based post-earthquake damage assessment model is developed, which mostly consists of the actions shown in Fig. [8](#page-7-0).

Establish a fnite element model of the structure to accurately simulate each component and boundary conditions. Determine the damage state of the structure based on the maximum inter-story displacement angle of the structure, and then calibrate the mapping relationship between the acceleration data and the damage state label.

To acquire the damage sample, time-frequency analysis is used as a preprocessing method for the acceleration data. The samples are then separated into datasets for training, validation, and testing.

The dataset is fed into a 1D-CNN, and the optimal parameters are identifed using the Bayesian optimization algorithm. The network structure in its optimal confguration is then preserved as the damage assessment model.

Use the developed damage assessment model to evaluate the damage state of the building structure after a new earthquake strikes.

<span id="page-7-0"></span>

# **3.2 Generated Sample Model Based on CGAN**

To enhance the precision of damage assessment with limited samples, CGAN is employed as the generator model to generate additional damage samples through data augmentation. The key steps involved in applying CGAN to post-earthquake structural damage assessment are outlined below, as depicted in Fig. [9](#page-8-0).

- 1. Data preprocessing: To create a new training set, time– frequency analysis is used to preprocess the acquired acceleration data.
- 2. Training the network model: The datasets are input into the CGAN to fnd the optimal parameters and save the optimal network structure as the generator model.
- 3. Generating fake samples: The generator model is used to generate acceleration damage samples and mixed with the original data.
- 4. Evaluating sample quality: The quality and accuracy of the generated data is evaluated by the damage assessment model.

# **4 Data Set Creation**

# **4.1 RC Frame Structure Design**

A six-story RC frame structure was designed according to Chinese codes (China Architecture and Building Press [2010](#page-16-12); Code for Design of Concrete Structures). The basic design data are as follows:

The plan dimension of the structure is  $21.6 \text{ m} \times 15 \text{ m}$ . The height of the first floor is 4.0m. Height of the remaining floors is 3.6 m. Total height of a building is 22.2 m as shown in Fig. [10](#page-8-1). Seismic fortifcation intensity is 8 degrees. Seismic group is the second group. Site category is class II. Floor dead load is  $3.5 \text{ kN/m}^2$ . Floor live load is  $2.0 \text{ kN/m}^2$ .

Concrete strength grade is C40.

Steel grade is HRB400.

<span id="page-8-1"></span><span id="page-8-0"></span>

Beam and column section sizes are  $300 \text{ mm} \times 600 \text{ mm}$ and  $600 \text{ mm} \times 600 \text{ mm}$ .

## **4.2 Finite element model**

The fnite element analysis model of the RC frame structure is established by using OpenSees software, and the incremental dynamic analysis is performed on the structural model. The P-Delta effect of each beam-column element was taken into consideration, and both beams and columns used fber section and nonlinear beam-column elements, which were divided into several integration segments. The accepted Concrete01 concrete constitutive model has a high computational efficiency and is simple to converge. It is based on the Kent-Scott-Park uniaxial concrete constitutive model, which does not take into account the tensile mechanical properties of concrete. The steel constitutive model used Steel02, a uniaxial isotropic strengthening Giufre-Menegotto-Pinto constitutive model with strong numerical stability and simulation performance that can capture the Bauschinger efect of steel. The foundation was handled as a rigid joint and the Rayleigh damping was applied by linearly mixing the mass and stifness matrices.

#### **4.3 Seismic wave selection**

The initial requirement for conducting earthquake response analysis and accurately evaluating structural damage is the rational selection of seismic ground motions. Presently, the predominant approach for choosing ground motions considers magnitude, epicentral distance, and site conditions as the primary criteria (Zhang et al. [2019](#page-17-15)). The magnitude of an earthquake can largely afect the spectral and duration characteristics of ground motions. Small earthquakes don't produce much harm because their energy is so tiny (Qiao [2020](#page-17-16)). Therefore, ground motions with magnitudes greater than 4 are usually selected for seismic analysis of buildings. Near-feld and far-feld ground motions have diferent efects on the response of structures, which can be diferentiated by epicentral distance. However, there is currently no unifed defnition of near-feld and far-feld ground motions, so an epicentral distance between 0 and 400 km is selected. Site conditions significantly affect ground motion records, and the amplitude and spectral characteristics of ground motions are refected by changes in site conditions. Wang ([2016](#page-17-17)) studied the impact of diferent earthquake motion classifcations on the vulnerability curve. To classify a location, one generally uses the shear wave velocity within a soil layer thickness of 30 m. The PEER seismic motion database has divided ground motions into three categories based on these measurements: *V<sub>s</sub>*30: *V<sub>s</sub>*30≤260 m/s, 260 m/s ≤*V<sub>s</sub>*30 ≤510 m/s, 510 m/s ≤ $V_s$ 30. In accordance with the first set of criteria, 216 ground motions total—24 seismic events—were

selected from the PEER earthquake motion database, with 72 ground motions assigned to each site type. The earthquake event parameters selected are shown in Table [2.](#page-10-0)

#### **4.4 Classifcation of Damage State**

Selecting the maximum interstory drift angle as the performance indicator for structural damage, referring to the reference values in the code (FEMA 357 [2000\)](#page-16-13). The classifcation of the damage condition of reinforced concrete frame structures is divided into three categories, as illustrated in Table [3](#page-10-1) (Han et al. [2020](#page-16-14)).

#### **4.5 Establish Seismic Damage Data Set**

Peak ground acceleration (PGA) and maximum inter-story drift angle were chosen as the seismic intensity indicators for performing incremental dynamic analysis on a sixstory reinforced concrete frame building. The maximum inter-story drift angle of the structure and the associated structural damage indicator were obtained by adjusting the seismic wave in accordance with PGA and entering it into the structure for dynamic time history analysis. The equalstep approach was applied to all seismic wave time histories, meaning that the PGA of each seismic wave time history was changed with a step of 0.02g, beginning at 0.02g and continuing until the structure collapsed. The direction of the seismic wave loading was in the X direction.

$$
a(t) = a(t) \times PGA/A_{\text{max}}
$$
 (5)

where:  $a'(t)$  is the adjusted acceleration time history curve,  $a(t)$  is the original seismic wave acceleration curve, PGA is the design acceleration peak, *Amax* is max the original seismic wave acceleration curve peak.

Since the seismic data were collected from diferent stations with diferent sampling rates and durations, it is necessary to down-sample and truncate the seismic records to meet the input requirements of the network model. Specifcally, single seismic data was down-sampled to 200Hz and truncated to 6561 data points, a time series of 32.805s, to ensure that the data size of all seismic sequences is the same length. Zeros are added to the seismic data collection in case there is insufficient data. Additionally, baseline drift was adjusted for each of the chosen seismic waves.

When applying the fve time–frequency analysis methods mentioned in the previous text to the signal, the data dimension of the signal changes. There are 6561 samples in the original time-domain signal in total. The signal's length can be cut in half with the fast Fourier transform, yielding a data point length of 3281. Further, two matrices with a data point length of 129 are produced after the short-time Fourier transform in conjunction with the <span id="page-10-0"></span>**Table 2** Ground motion record information

Data set		Number Seismic event	Seismic time Moment	magnitude	Quantity	Total amount
Training sets	$\mathbf{1}$	ImperialValley-06	1979	6.53	12	180
	$\overline{c}$	WhittierNarrows-01	1987	5.99	6	
	3	LomaPrieta	1989	6.93	12	
	$\overline{4}$	CapeMendocino	1992	7.01	9	
	5	Northridge-01	1994	6.69	12	
	6	Kobe_Japan	1995	6.90	12	
	7	Chi-ChiTaiwan	1999	7.62	12	
	8	TottoriJapan	2000	6.61	12	
	9	Anza-02	2001	4.92	12	
	10	NiigataJapan	2004	6.63	12	
	11	Parkfield-02	2004	6.00	12	
	12	Chuetsu-oki_Japan	2007	6.80	12	
	13	Iwate	2008	6.90	12	
	14	L'Aquila_Italy	2009	6.30	9	
	15	ElMayor-Cucapah_Mexico	2010	7.20	12	
	16	Darfield_NewZealand	2010	7.00	12	
Validation sets	17	Coalinga-01	1983	6.36	6	18
	18	MorganHill	1984	6.19	3	
	19	Landers	1992	7.28	3	
	20	Kocaeli_Turkey	1999	7.51	6	
Testing sets	21	Yountville	2000	5.00	6	18
	22	<b>BigBearCity</b>	2003	4.92	3	
	23	SanSimeon_CA	2003	6.52	3	
	24	Christchurch_NewZealand	2011	6.20	6	

<span id="page-10-1"></span>**Table 3** Structural damage classifcation based on maximum story drift angle



time–frequency matrix method. The low-frequency part's length becomes one-eighth of its original length with a data length of 821 following the discrete wavelet transform; the wavelet scattering transform converts the signal's data into a  $7 \times 258$  matrix. The specific data dimensions are shown in Table [4.](#page-10-2)

The earthquake waves in the training set, validation set, and test set are from diferent earthquake events This setup ensures that the seismic waves used to train, validate, and test the model are completely diferent, ensuring that the proposed method can reasonably and accurately evaluate the damage caused by earthquakes to buildings. A total of 216 diferent seismic waves were applied to the structure, and because the maximum number of times each seismic wave could be

<span id="page-10-2"></span>**Table 4** Data dimension of fve time–frequency analysis

Number	Time–Frequency Analysis	Single Signal Characteristic Matrix
	Time domain signal, TIME	[1, 6561]
$\mathcal{D}_{\mathcal{L}}$	Fast Fourier Transform, FFT	[1, 3281]
3	Short Time Fourier Transform, STFT	[2, 129]
	Discrete Wavelet Transform, DWT	[1, 821]
5	Wavelet Scattering Transform, WST	[7, 258]

<span id="page-10-3"></span>**Table 5** Number of seismic waves in each data set



adjusted is not the same, Table [5.](#page-10-3) shows the fnal data size of the training set, validation set, and test set.

# **5 Damage Assessment Based on CNN Model**

## **5.1 Results Evaluation Index**

To evaluate the performance of the model more intuitively and understand its generalization ability, some evaluation indicators are introduced to measure the performance of the model. Commonly used evaluation indicators in deep learning include accuracy, precision, recall, and model runtime.

(1) Accuracy *A*, the ratio of correctly detected samples to the total samples, is calculated as follows:

$$
A = \frac{TP + TN}{TP + FP + FN + TN} \tag{6}
$$

In the formula: TP refers to the number of correctly identifed positive samples; TN refers to the number of correctly identifed negative samples; FP refers to the number of incorrectly identifed positive samples; and FN refers to the number of incorrectly identifed negative samples.

(2) Precision *P*, the ratio of correctly detected target samples to all detected target samples, is calculated as follows:

$$
P = \frac{TP}{TP + FP} \tag{7}
$$

(3) Recall *R*, the ratio of correctly detected target samples to the actual target samples, is calculated as follows:

$$
R = \frac{TP}{TP + FN} \tag{8}
$$

(4) Model training time: In deep learning, the model parameters need to be repeatedly updated during training. If the model converges too slowly and the training time is long, the number of iterations will be reduced, which will prevent the model from achieving better performance, and the accuracy of the model will also be afected. Therefore, the model training time is also an important performance indicator for the model.

#### **5.2 Comparison of evaluation results.**

Under the same computer hardware confguration, the network models of the fve time–frequency analysis methods were trained and tested using the same dataset. The

computer hardware used had an i5-12400F CPU, NVIDIA GeForce GTX3060 GPU, and 16GB of memory. 1D-CNN model was built and trained using the MATLAB platform. The generalization ability of the 1D-CNN optimized by Bayesian optimization was evaluated using the test set in the simulated data. The damage assessment results of the fve time–frequency analysis methods and the 1D-CNN model are shown in Table [6.](#page-11-0), and the confusion matrix is shown in Fig. [11.](#page-12-0)

According to Table [6](#page-11-0), the accuracies of the original time-domain signal, fast Fourier transform, wavelet transform, and wavelet scattering are all above 80%, which indicates that the seismic damage assessment method combining time–frequency analysis with one-dimensional convolutional neural network can evaluate post-earthquake frame structural damage.

Figure [11.](#page-12-0) shows the confusion matrices of the five time–frequency analysis methods, where the columns are the predicted classes of the one-dimensional convolutional neural network model, the rows are the true classes obtained by nonlinear time-history analysis, the last column represents the recall rate, the last row represents the precision rate, and the lower right cell represents the accuracy. On the test set, the high recall rate, precision rate, and accuracy indicate that the model has better generalization ability. It can be seen that the accuracy of the wavelet scattering method is the highest among the five time–frequency analyses, up to 92.5%, which indicates that this model method has good generalization ability for seismic damage assessment. Since the accuracy of the fast Fourier transform combined with the time–frequency matrix method is very low, this method is no longer considered in subsequent analysis.

## **5.3 The Infuence of Network Structure on Training Results**

The common problem with training deep learning network models is that it takes too long to train, often requiring a long time to achieve high accuracy. Therefore, this section mainly compares various time–frequency analysis methods to select the method with the shortest training time and high accuracy, to improve the accuracy of the earthquake damage assessment model and shorten the training time. To evaluate the computational efficiency of deep learning network models, the computation time and resources consumed by fve network models containing

<span id="page-11-0"></span>Table 6 Accuracy of five timefrequency analysis methods



<b>TIME</b>			<b>FFT</b>				<b>STFT</b>							
		2	3	Recall			$\overline{2}$	3	Recall			$\overline{c}$	3	Recall
	313	20	$\overline{0}$	94.0%		327	31	$\overline{0}$	91.3%		172	112	34	54.1%
$\overline{2}$	47	290	12	83.1%	$\overline{c}$	33	315	50	79.1%	2	118	175	37	53.0%
3	$\overline{0}$	71	365	83.7%	3	$\overline{0}$	35	327	90.3%	3	70	94	306	65.1%
Precision	86.9%	76.1%	96.8%	86.6%	Precision	90.8%	82.7%	86.7%	86.7%	Precision	47.8%	45.9%	81.2%	58.4%
DWT-db15 DWT-sym6					<b>WST</b>									
		$\overline{2}$	3	Recall			$\overline{2}$	3	Recall			$\overline{2}$	3	Recall
	345	20	$\overline{0}$	94.5%		338	23	$\overline{0}$	93.6%		340	14	$\overline{0}$	96.0%
$\overline{2}$	15	335	42	85.5%	$\overline{c}$	22	306	10	90.5%	$\overline{c}$	20	344	27	88.0%
3	$\overline{0}$	26	335	92.8%	$\overline{3}$	$\overline{0}$	52	367	87.6%	3	$\overline{0}$	23	350	93.8%
Precision	95.8%	87.9%	88.9%	90.8%	Precision	93.9%	80.3%	97.3%	90.4%	Precision	94.4%	90.3%	92.8%	92.5%

<span id="page-12-0"></span>**Fig. 11** Confusion matrix of fve time–frequency analysis methods

<span id="page-12-1"></span>**Table 7** Computing resources and time of fve network models

Name	TIME	FFT	DWT	WST	
			db15	sym <sub>6</sub>	
Convolution Kernel	9	7	11	11	9
<b>Convolution Deep</b>	1	2	2	2	1
<b>Convolution Blocks</b> Number	5	2	4	4	1
Params (MB)	0.17	0.17	0.21	0.21	0.09
Capacity (KFlops) Time(s)	127.11 1200	619	408	137.77 221.42 221.42 412	65.61 144

four time–frequency analyses were compared. Computational resources, as an important indicator of network models, mainly include two parameters: the number of parameters and the number of computations, which determine whether the model can run on limited hardware devices and whether its computation time is controllable.

Table [7](#page-12-1) presents the network architecture, number of parameters, computational cost, and time taken for fve diferent network models. As shown in Table [7.](#page-12-1), the computation time for the wavelet scattering-based time–frequency analysis method combined with one-dimensional convolutional neural network is the shortest, taking only 144 s with the same hardware configuration. This is because (1) as shown in Table [4,](#page-10-2) the wavelet scatteringbased time–frequency analysis method has a smaller data dimension compared to other time–frequency analysis methods, and (2) the wavelet scattering-based network model has fewer parameters and computational costs compared to other network models, requiring less computing resources. So choosing the most efficient analysis method and network model with the highest computing accuracy is essential for post-earthquake damage assessment under limited computing hardware. Based on the results of the evaluation of accuracy, computation time, and resource utilization, we recommend using the wavelet scatteringbased time–frequency analysis method combined with a one-dimensional convolutional neural network model for fast post-earthquake damage assessment among the fve time–frequency analysis methods and network models compared.

#### **5.4 Robustness Verifcation**

Since the signals collected in practical environments generally have some external interference, especially under the infuence of earthquakes, the collected acceleration signals inevitably contain some noise. Usually, the impact of this type of noise is simulated by adding Gaussian white noise.

Signal-to-noise ratio (SNR) is commonly used in engineering to evaluate the strength of noise in the signal. The formula for SNR is as follows:

<span id="page-13-0"></span>



<span id="page-13-1"></span>**Table 8** Accuracy under noise



$$
SNR(dB) = 10 \log_{10} \left( \frac{P_{signal}}{P_{noise}} \right)
$$
 (9)

where:  $P_{signal}$  represents the power of the original signal, and *P*<sub>noise</sub> represents the power of the noise signal.

In order to evaluate the noise resistance of the earthquake damage assessment model, Fig. [12](#page-13-0) shows the original signal before adding Gaussian white noise and the signal after adding white noise. It can be seen from the fgure that after adding white noise, the original signal is seriously contaminated by Gaussian white noise signal, which will afect the signal characteristics of the original vibration signal, the processing of the signal by time–frequency analysis, and the feature extraction of the network model, and may therefore affect the accuracy of damage assessment.

Table [8](#page-13-1) shows the evaluation results of diferent time–frequency analysis methods and network models under the infuence of noise. When the SNR is 1dB, the accuracy of all five models decreases, but the recognition accuracy of the wavelet scattering transform method is still above 90%. The results show that the earthquake damage identifcation model based on wavelet scattering transform and one-dimensional convolutional neural network has good anti-noise and robustness. Therefore, further research is conducted using the earthquake damage assessment model established based on wavelet scattering time–frequency method and deep learning.

<span id="page-13-2"></span>**Table 9** Training results with diferent sample sizes

Number of seismic events (ratio of number to total)	4(25%)	$8(50\%)$	$16(100\%)$
Seismic event serial number	2, 4, 6, 8	2, 4, 6, 9, 10, 12, 14, 16	ALL.
Number of damage samples	2996	6897	13,793
Noise-free accuracy $(\%)$	82.9	90.5	92.5
1dB noise accuracy $(\%)$	80.2	88.4	90.5

#### **5.5 Efect of Sample Size on Training Results**

To improve the generalization ability of the structural postearthquake damage assessment model, a large number of training samples are needed. However, it is not realistic to obtain a large number of real working condition data samples in practical engineering applications. This section focuses on the dependency of the structural post-earthquake damage assessment model on the number of samples. In this experiment, only the amount of training set data was reduced, while the amount of validation and test set data remained unchanged. The performance of the model was studied by selecting the sample quantity of 4 and 8 earthquake events in Table [3](#page-10-1) as the training set. The specifc earthquake event numbers and damage sample quantities of the training set are shown in Table [9.](#page-13-2) When the number of earthquake events is 4, the model recognition accuracy is less than 90%. As the number of earthquake events increases, the accuracy signifcantly improves. When the number of earthquake events increases from 4 to 8, the accuracy improves by 7.6%. When the number of earthquake events is 16, the accuracy can reach a maximum of 92.5%. The accuracy after adding noise also improves with the increase in the sample quantity. It indicates that the generalization ability of the damage assessment model improves with the increase of sample quantity, and the evaluation accuracy of the established postearthquake damage assessment model is also higher.

#### **6 Sample Generation Based on CGAN Model**

# **6.1 Generative Adversarial Network Parameters**

Due to the characteristics of the CGAN structure, the acceleration damage samples are adjusted to meet the input and output of the network model. The time domain samples are cropped, and the fnal data dimension is 16,400. The wavelet scattering transform samples are cropped, expanded, and fattened. First, the data dimension is cropped to 7256, the mean is taken in the vertical dimension and added to the original data, and then fattened. The fnal data dimension is 1\*2048. After preprocessing, the above samples are used as the training set for the CGAN. By comparing the performance of the two in terms of data quality and damage evaluation accuracy, the efectiveness of the time–frequency analysis combined with CGAN data generation method in post-earthquake damage evaluation is verifed.

Both the discriminator and generator in the text use convolutional neural networks. Reasonable network structures can improve the feature extraction ability of the model and further improve its generalization performance. Prior to multiple experiments, various network models are constructed for diferent datasets, and the network structures of the generating network and the discriminating network corresponding to diferent datasets are determined based on the above evaluation indicators, and the hyper-parameters are set as shown in Table [10](#page-14-0).

#### **6.2 Generating Samples Based on the CGAN Model**

In the training process of the CGAN model, there is a mutual game between the generator and the discriminator. If one model learns too fast, it will prevent the other model from training, resulting in the model being unable to converge.

<span id="page-14-0"></span>



To evaluate the training efect of the model, this paper calculates the scores of the generator and discriminator and draws their score graphs to evaluate the training status of the model. The score calculation formula for the generator and discriminator is as follows:

$$
G_{score} = mean(\hat{Y}_{Generaled})
$$
\n(10)

$$
D_{score} = \frac{1}{2}mean\left(\hat{Y}_{Real}\right) + \frac{1}{2}mean\left(1 - \hat{Y}_{Generaled}\right)
$$
 (11)

where  $G_{score}$  is the generator score,  $D_{score}$  is the discriminator score,  $\hat{Y}_{\text{Generaled}}$  is the probability value of the output of the generated data in the discriminator, and  $\hat{Y}_{Real}$  is the probability valu +  $e$  of the output of the real data in the discriminator.

In the ideal CGAN model, the scores of the generator and discriminator are both 0.5, indicating that the generated data approximates the real data and the discriminator cannot distinguish between real and generated data. When the score of the generator model is close to 1, it means that the generator model learns too fast, causing the discriminator to be unable to effectively train. At this time, although the generated data from the generator model is signifcantly diferent from the real data, the discriminator cannot identify the authenticity of the input data. When the score of the discriminator model is close to 1, it means that the discriminator model learns too fast, causing the generator to be unable to efectively train. To balance the learning ability of the two models, the number of convolutional kernels in the discriminator can be increased (decreased), dropout layers can be added to the generator (discriminator), and the number of convolutional kernels in the generator can be reduced (increased).

After preprocessing the data and inputting it into the constructed CGAN model for training, the training score process is shown in Fig. [13.](#page-15-0) It can be seen from the training score graph that after 500 training batches and 13,000 iterations of training, the fnal scores of the generator G and the discriminator D in the CGAN model are both around 0.5, indicating that the model has converged.

After the CGAN model converges, random numbers are input to the model for data generation, and the generated results are shown in Fig. [14](#page-15-1). Since the goal of the CGAN model is not to produce data that is exactly the same as the real data, but rather to produce output that has slight variance and is sufficiently similar to the real data, direct comparison between generated data and real data cannot evaluate the quality of the generated data.

#### **6.3 Damage Assessment Based on CNN Model**

In order to intuitively verify the efectiveness and signifcance of this work, the seismic damage assessment model

<span id="page-15-0"></span>

<span id="page-15-2"></span><span id="page-15-1"></span>**Table 11** CGAN training set samples



established was earlier selected to evaluate the quality of the generated acceleration damage data. Diferent training sets were designed to verify the actual effect of CGAN on damage assessment, and the number of validation sets and test sets remained the same as in the previous section. The diferent proportions of the training sets and their damage assessment accuracy are shown in Table [11.](#page-15-2)

Table [11](#page-15-2) demonstrates that the model's classifcation accuracy rises with the number of training set data. The classifcation accuracy of the mixed-data training set is higher than that of the generated-data training set. Additionally, the training set with mixed data exhibits a signifcantly higher classifcation accuracy when compared to a training set with a small number of real samples. This suggests that the CGAN-generated samples can efectively improve the accuracy of damage assessment by flling small sample datasets. However, the classifcation accuracy does not increase as the number of generated samples is increased. The classifcation accuracy of the mixed data is marginally less than that of the real data when the amount of mixed data reaches the maximum value of the real data. This suggests that the CGANgenerated samples contain additional pertinent information with real sample features, which causes classifcation errors in the classifcation model.

In conclusion, the samples generated by the CGAN model have high similarity to real samples and can efectively expand small sample damage data, which has a certain efect on improving the accuracy of small sample damage assessment.

# **7 Conclusion**

This research investigates a seismic damage assessment technique using time–frequency analysis and 1D-CNN, along with a seismic data generation approach using CGAN and time–frequency analysis, in order to address the issues of low accuracy and efficiency in post-earthquake structural damage assessment. Numerical simulations verify the efectiveness and robustness of these techniques. The following is a summary of the fndings:

(1) Five time–frequency analysis techniques and their associated network models are examined and evaluated. Four of these methods can attain an accuracy exceeding 80%, with the highest evaluation accuracy recorded by the integration of the scattering time–frequency analysis method with 1D-CNN, achieving 92.5%. This enables automated seismic damage assessment without the need for manual intervention in extracting damage feature parameters.

The computational efficiency of deep learning is analyzed from two perspectives such as the data dimension after time–frequency analysis and the computational resources of the network model. The integration of scattering time– frequency analysis with one-dimensional convolutional neural network requires the smallest data dimension and computational resources, resulting in the shortest computation time of just 144 s. This facilitates swift seismic damage assessment using minimal hardware resources.

The combination of 1D-CNN with scattering time–frequency analysis continues to show greater accuracy even with noise added to the original signal. This highlights its resilience and superior generalisation skills in a range of work environments.

The CGAN model can be trained iteratively over several rounds to identify useful features in damage acceleration data and provide realistic acceleration damage data. The suggested acceleration damage sample production model is added to the original dataset, and this dataset is used to train the post-earthquake damage assessment model. The results show that the latter performs best under the identical training set conditions.

**Author Contributions** All authors contributed to the study's conception and design. Material preparation, data collection and analysis were performed by Shuai Kang and Ronghuan Zhou. The frst draft of the manuscript was written by Shuai Kang and all authors commented on previous versions of the manuscript. All authors read and approved the fnal manuscript.

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#### **Declarations**

**Conflict of interest** The authors have no relevant fnancial or non-fnancial interests to disclose.

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