



# Tungsten control in type-I ELMy H-mode plasmas on EAST

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**Abstract** The first experimental investigation of the tungsten behavior in ELMy H-mode plasmas with co-/counter neutral beam injection (NBI) and unfavorable/favorable  $B_t$  was performed on EAST. Tungsten was found to accumulate easily in ELMy H-mode plasma with co-NBI heating and unfavorable  $B_t$ . Thus, in this case the tungsten concentration can exceed  $10^{-4}$ , resulting in degradation of the plasma confinement and periodic H–L transitions. To reduce the tungsten concentration in steady-

state type-I ELMy H-mode operation, counter-NBI is applied to modify the density and temperature and brake the plasma toroidal rotation. The applied counter-NBI decreases the  $\text{PHZ} + E_r$  inward pinch velocity and reverses the direction of neoclassical inward convection, thus decreasing the tungsten concentration from  $\sim 7 \times 10^{-5}$  to  $\sim 2 \times 10^{-5}$  in type-I ELMy H-mode plasma with favorable  $B_t$ . A comparison of the effects of different  $B_t$  directions on the tungsten behavior also shows that favorable  $B_t$  is beneficial for reducing the tungsten concentration in the core plasma. These results imply that counter-NBI with favorable  $B_t$  can effectively prevent tungsten accumulation and expand the operating window for exploring steady-state type-I ELMy H-mode operation of EAST.

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**Keywords** Tungsten accumulation · Counter-NBI · Favorable  $B_t$  · ELMy H-mode · EAST

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## 1 Introduction

Tungsten is a good candidate for plasma-facing materials in future fusion devices such as ITER and CFETR. Its intrinsic benefits include a high melting point, a low sputtering yield, and a low tritium retention. However, tungsten accumulation in the core plasma can result in serious radiation losses, the degradation of the overall energy confinement [1], magnetohydrodynamic (MHD) instabilities [2], or even disruptions [3–5]. For ITER, the tungsten concentration ( $C_W = n_W/n_e$ , where  $n_W$  and  $n_e$  are the tungsten and electron densities, respectively) must be less than  $10^{-4}$  to avoid unacceptable radiative cooling [6]. It is therefore important to avoid tungsten accumulation in the high-confinement regime in devices with tungsten

divertor plates, where tungsten atoms can be sputtered from the divertor plates by giant edge-localized modes (ELMs) [7, 8]. Methods of reducing the stored energy radiated by tungsten in the central plasma are a concern for the economical operation of future commercial reactors [9].

Previous investigations have shown that core impurity accumulation is driven mainly by neoclassical inward convection [10], and the negative radial electric field ( $E_r$ ) is responsible for inward impurity transport [11, 12]. In addition, the inward pinch of high-Z impurities (PHZ) attributed to the atomic processes of ionization and recombination also plays a role in core tungsten accumulation in a toroidally rotating plasma [12–14]. Thus, to suppress and avoid tungsten accumulation, these inward pinch velocities must be reduced and well controlled. Theoretical predictions and experimental observations have shown that neoclassical convection can be controlled by changing the density and temperature gradients [15–17], and the PHZ and  $E_r$  pinch depend strongly on the toroidal rotation velocity of the background plasma [12]. Turbulent transport due to, for example, the ion temperature gradient (ITG) mode and trapped electron mode (TEM), can also affect tungsten transport [18–20], but it is much weaker than neoclassical transport in the central region of a toroidally rotating plasma, as has been found in JET and the ASDEX Upgrade [21–23]. Turbulent transport can be enhanced by decreasing the ion-to-electron temperature ratio ( $T_i/T_e$ ) [24, 25] so as to increase the impurity diffusivity and suppress impurity accumulation. Neutral beam injection (NBI), which can alter the density and temperature and change the toroidal rotation velocity, will be used to study the tungsten behavior in the core of an EAST type-I ELMy H-mode plasma, because the ELMy H-mode with a low ELM frequency ( $f_{ELM}$ ) of dozens of hertz has inevitably been found to result in strong impurity accumulation in EAST [26–28]. Here, the effects of neoclassical transport [10] and the PHZ and  $E_r$  pinch on the tungsten behavior in the type-I ELMy H-mode regime are studied. In addition, the effect of turbulent transport on tungsten transport is briefly discussed.

The remainder of the paper is structured as follows. Section 2 describes the NBI systems and relevant diagnostics in EAST, and Sect. 3 reports the experimental investigation of the tungsten behavior in ELMy H-mode plasmas with co-/counter-NBI and different toroidal field (TF) directions. In Sect. 4, a summary and discussion are presented.

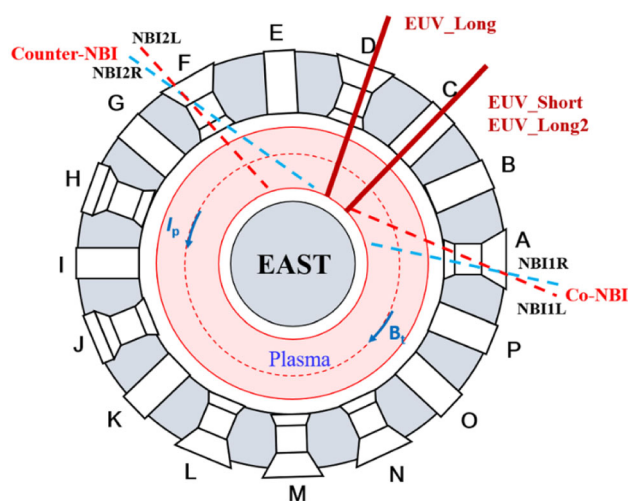
## 2 Experimental setup

This work is based on the EAST tokamak device. EAST is a full superconducting device that was designed to operate with major radii  $R$  of 1.7–1.9 m, minor radii  $a$  of

0.4–0.45 m, a maximum TF strength  $B_t$  of 3.5 T, a maximum plasma current  $I_p$  of 1 MA, an elongation  $k$  of 1.2–2, and a triangularity  $\delta$  of  $< 0.8$ . Since the 2014 campaign, EAST has been upgraded to facilitate progress in achieving long-pulse stable high-performance plasma operation [pulse length  $> 1000$  s, central electron temperature  $T_{e0} > 10$  keV, normalized beta  $\beta_N > 2$ , and  $n_e \sim n_{GW}$ , where  $n_{GW} = I_p/\pi a^2$  ( $10^{20} \text{ m}^{-3}$ )]. For these purposes, several auxiliary heating systems have been developed to provide effective heating and current drive for EAST [29, 30]. As the auxiliary heating power is increased, the materials of plasma-facing components (PFCs) are replaced at different positions of the inner wall to improve the heat exhaust ability [31]. The main PFC materials in EAST are molybdenum tiles mounted on the first wall of the vacuum chamber, SiC-coated graphite tiles fixed in the lower divertor, and W/Cu mono-blocks installed in the upper divertor [32].

Two sets of NBI systems have been installed on EAST since the 2014 and 2015 campaigns [33, 34]. The NBI system in EAST consists of two sets of neutral beam injectors. The maximum beam power of each injector is 4 MW, and the maximum beam energy ranges from 50 to 80 keV. One injector has two ion sources which with an independent beam channel and can be operated independently. The layout of the EAST NBI system is shown in Fig. 1. For anticlockwise  $I_p$ , the tangential direction of each injector (the co- $I_p$  direction is denoted as co-NBI, whereas the counter- $I_p$  direction is denoted as counter-NBI) and the unfavorable direction of  $B_t$  (counter- $I_p$  direction) are shown in the figure.

To investigate the tungsten behavior in core plasmas with different NBI and  $B_t$  directions, three newly



**Fig. 1** (Color online) Directions of plasma current  $I_p$  and toroidal field  $B_t$ , and layout of two sets of NBI systems and EUV spectrometers on EAST

developed extreme ultraviolet (EUV) spectrometers (EUV\_Short, EUV\_Long, and EUV\_Long2 in Fig. 1) will be used. EUV\_Short and EUV\_Long [35, 36] operate in wavelength ranges of 20–50 Å and 20–500 Å, respectively, and both have good spectral resolution for measuring the chord-integrated intensity of line emission from tungsten. Other relevant diagnostics include a Thomson scattering system for observing the profiles of the electron temperature and density, a polarimeter–interferometer for monitoring the profiles of the electron density and safety factor, a fast CCD camera for detecting the plasma position [37], and charge exchange recombination spectroscopy for monitoring the ion temperature profile and toroidal rotation velocity of the background plasma.

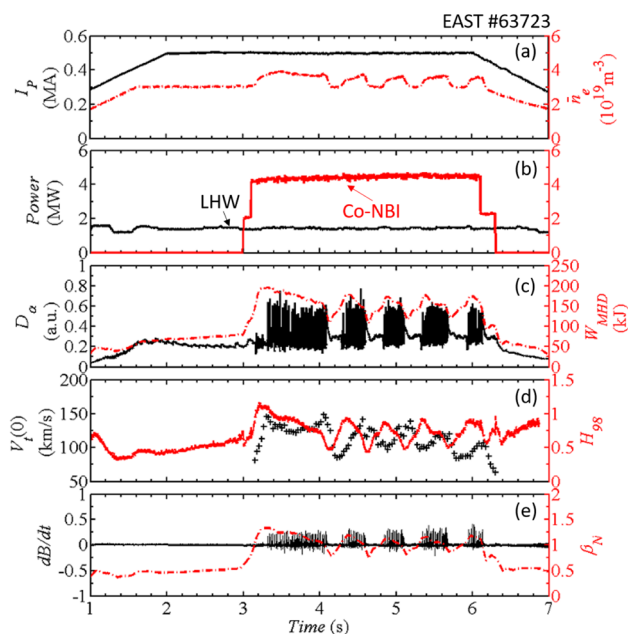
### 3 Experimental results

#### 3.1 Tungsten accumulation at high toroidal rotation velocity

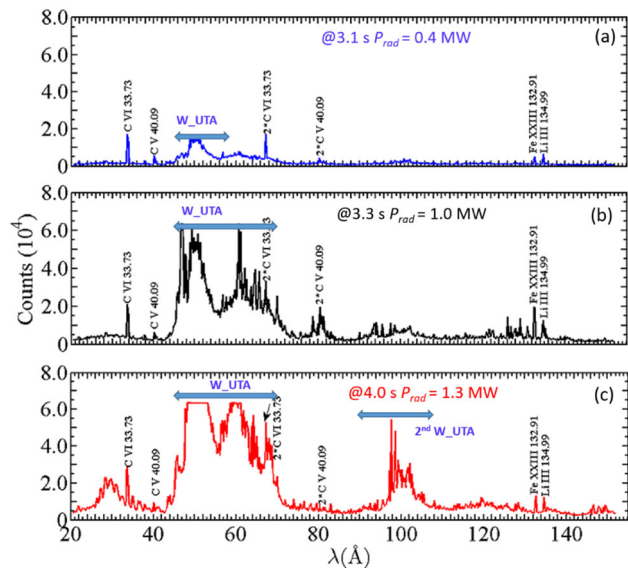
Since 2014, EAST has been used to access advanced regimes with steady-state high-performance plasma in ITER-like tungsten divertor operation [38–41]. However, the accumulation of tungsten that is transported from the divertor by regular collisions or large ELMy impacts [42, 43] always degrades the performance of H-mode plasma. In this section, the behavior of tungsten under a combination of NBI and lower hybrid wave (LHW) heating in the type-I ELMy H-mode regime is investigated to explore suitable heating scenarios without high-Z impurity accumulation and to develop the steady-state long-pulse and high-performance regime.

Type-I ELMy H-mode discharges with the ITER-like tungsten divertor are selected to investigate the tungsten behavior in the core plasma. In the discharge of shot #63723, type-I ELMs with  $f_{ELM} \sim 80$  Hz were obtained when additional co-NBI was performed during the LHW heating phase, as shown in Fig. 2. This discharge was performed in the upper single-null (USN) configuration with an unfavorable  $B_t$  direction (counter- $I_p$  direction, where the direction of  $B_t \times \nabla B_t$  points away from the divertor X-point) at  $B_t = 2.3$  T,  $I_p = 0.5$  MA, a line-averaged electron density  $\bar{n}_e$  of  $\sim 2.5 \times 10^{19} \text{ m}^{-3}$ , and a safety factor at 95% of the magnetic flux,  $q_{95}$ , of 5, where the source power of LHW and co-NBI heating is 1.5 and 4.0 MW, respectively. In this shot, the toroidal rotation velocity of the background plasma can be driven to a quite high level [a central toroidal rotation velocity  $V_t(0)$  of  $\sim 140$  km/s] because co-NBI heating is applied.

The emission from high ionization stages of Li, C, Fe, Cu, Mo, and W is detected by EUV spectrometers at wavelengths of 20–150 Å [35, 36]. Figure 3a–c shows the



**Fig. 2** (Color online) Waveforms of a typical tungsten accumulation shot, #63723. **a** Plasma current  $I_p$  and line-averaged electron density  $\bar{n}_e$ , **b** total LHW and NBI heating power, **c** intensity of divertor  $D_\alpha$  emission, and plasma stored energy  $W_{MHD}$ , **d** central toroidal rotation velocity  $V_t(0)$  and energy confinement factor  $H_{98}$ , and **e** external Mirnov coil signal and normalized beta  $\beta_N$



**Fig. 3** (Color online) EUV spectra from shot #63723 in wavelength range of 20–150 Å at times of (a) 3.1 s, (b) 3.3 s, and (c) 4.1 s

EUV spectra and total radiative power ( $P_{rad}$ ) at 3.1, 3.3, and 4.1 s, respectively. The stronger emission lines, such as Li III (134.99 Å), C VI (33.73 Å), and Fe XXIII (132.91 Å), and the first- and second-order tungsten emission in the unresolved transition array (W-UTA) are labeled.  $C_W$  in core plasma is evaluated as the W-UTA

intensity at 45–70 Å, which is composed of  $W^{24+}$ – $W^{45+}$ . The  $C_W$  calculation method is analyzed and introduced in literature [44]. The W-UTA intensity is found to increase sharply with increase in  $P_{rad}$ . In particular, in Fig. 3b and c, when  $P_{rad}$  is changed from 1.0 to 1.3 MW, the intensity of the first-order W-UTA becomes saturated, and the second-order W-UTA emission appears and becomes more intense, whereas the intensity of emission from other impurities remains relatively low. This result confirmed that tungsten accumulates easily in the core plasma when co-NBI is performed and makes a large contribution to this important radiation.

The process of tungsten accumulation is shown in Fig. 4a and b.  $C_W$  increases continually during each tungsten accumulation phase, and the radiation profile becomes progressively peaked correspondingly. Noticeably, in the first phase of the H-mode, because  $q(0)$  is slightly less than 1 [Fig. 5d], core MHD activity ( $m/n = 1/1$ ) begins and is observed on the spectrogram of the core soft X-ray emission signals from 3.0 to 3.6 s, as shown in Fig. 4c. This core MHD activity may enhance the impurity diffusivity and decrease the neoclassical convection [45, 46], which suppresses further increases in  $C_W$  in the core plasma. Although this MHD activity vanishes after 3.6 s,  $C_W$  continues to grow. In this case, tungsten accumulation results in a larger radiated power loss; thus, a transition back to the L-mode phase occurs after approximately one energy confinement time  $\tau_E$  [ $\tau_E = W_{MHD}/(P_{total} - dW_{MHD}/dt)$ ]. These sequences of tungsten accumulation

and H–L transitions can repeat several times with a period of approximately  $3\text{--}6\tau_E$ . In each tungsten accumulation phase, the effective charge number  $Z_{eff}$  increases from  $\sim 3$  to  $\sim 3.5$ , and  $C_W$  increases from  $\sim 0.23 \times 10^{-4}$  to  $\sim 1.6 \times 10^{-4}$ ; thus, the power radiation fraction  $P_{rad}/P_{total}$  increases from  $\sim 15\%$  to a peak value of  $\sim 50\%$ . The energy confinement factors  $H_{98}$  and  $\beta_N$  are clearly attenuated during each tungsten accumulation process, as shown in Fig. 2.

Figure 5a–d shows the electron density, electron temperature, ion temperature, and safety factor profiles, respectively, during the L-mode phase (at  $\sim 3.1$  s, blue line) and before (at  $\sim 3.3$  s, red line) and during (at  $\sim 4.0$  s, black line) tungsten accumulation in the H-mode phase of shot #63723. The strong radiative power loss caused by tungsten accumulation changes the profiles and results in an aggravated plasma performance and steady-state operation, as follows. (i) The electron temperature profile inside  $\rho = 0.2$  becomes hollow owing to radiative power loss in the tungsten accumulation phase, as shown in Fig. 5b. This change in the electron temperature profile in the core region can reduce the turbulent outward pinch driven by the TEM [47], which decreases the outward impurity transport. (ii) The increase in electron density and  $Z_{eff}$  during the tungsten accumulation phase can reduce the ITG mode growth rate and particle diffusion [48, 49], producing a peak in ion temperature in the central plasma region, as shown in Fig. 5c. (iii) The reason for the increase in the safety factor in the core region [Fig. 5d] may be that plasma cooling and the increase in  $Z_{eff}$  in the accumulation phase alternate the plasma electric conductivity and the current redistribution. Overall,  $T_i/T_e$  increases as co-NBI is added, indicating that the turbulent transport is reduced and tungsten accumulation is accelerated. However, there have been no reports that the turbulent transport exhibits  $Z$  dependence [50] (that the impurity accumulation increases with charge number  $Z$  [51]); thus, another mechanism needs to be considered to explain the observation in Fig. 3.

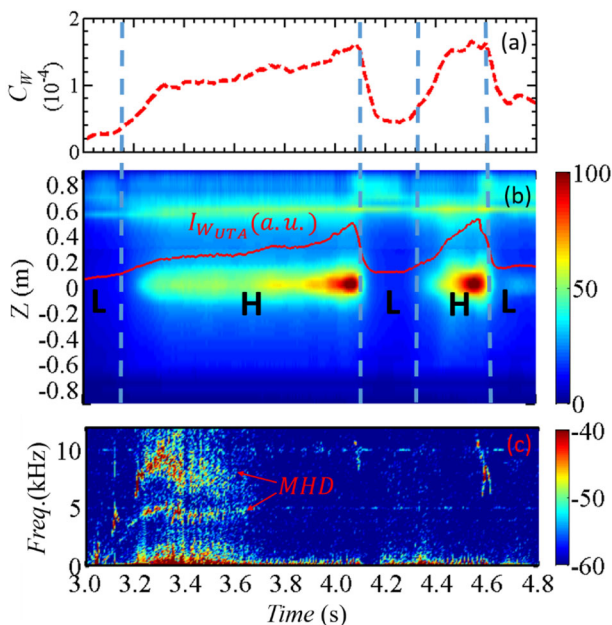
The contribution of neoclassical transport to tungsten accumulation can be indicated by the ratio of the neoclassical radial drift velocity  $v_{neo}$  and the diffusion coefficient  $D_{neo}$  ( $v_{neo}/D_{neo}$ ). In this analysis, because the core collisionality is greater than 1,  $v_{neo}/D_{neo}$  can be obtained using the simplified expression [10]

$$v_{neo}/D_{neo} = (v_{CL} + v_{PS})/(D_{CL} + D_{PS}), \tag{1}$$

where the subscript CL denotes the classical regime, and PS denotes the Pfirsch–Schlüter regime. According to neoclassical theory, in each regime [10],  $v/D$  can be predicted using the expression

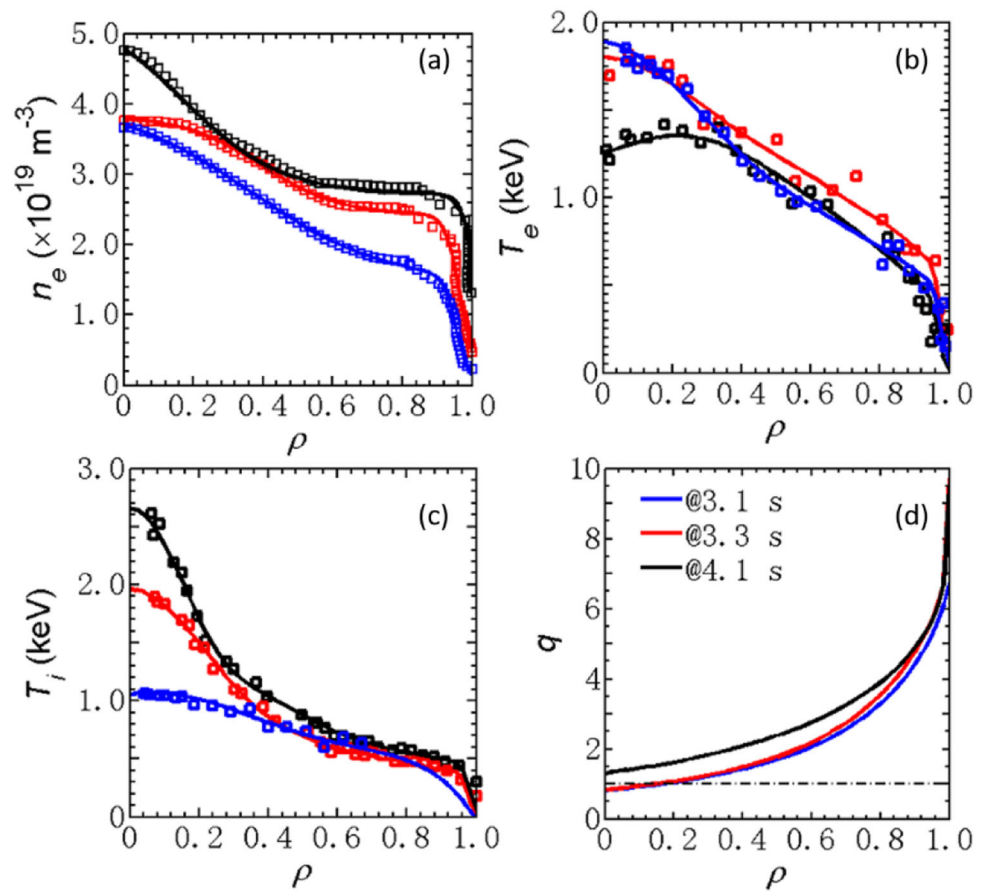
$$v = D \frac{Z_I}{Z_D} \left( \frac{1}{n_D} \frac{dn_D}{d\rho} - H \frac{1}{T_D} \frac{dT_D}{d\rho} \right), \tag{2}$$

where  $n_D$  and  $T_D$  are the main ion density and temperature,



**Fig. 4** (Color online) Analysis of tungsten accumulation from 3.0 to 4.8 s in shot #63723. **a** Tungsten concentration  $C_W$ , **b** radiation profile measured by 64-channel fast bolometer system and W-UTA emission intensity, and **c** spectrogram of central chord of soft X-ray emission signals

**Fig. 5** (Color online) Radial profiles in shot #63723: **a** electron density  $n_e$ , **b** electron temperature  $T_e$ , **c** ion temperature  $T_i$ , and **d** safety factor  $q$ . Blue, red, and black lines indicate times in the L-mode phase (3.1 s) and before (3.3 s) and during (4.1 s) the tungsten accumulation phase.  $\rho$  is the normalized poloidal flux coordinate, and the dashed black line in **d** indicates  $q = 1$



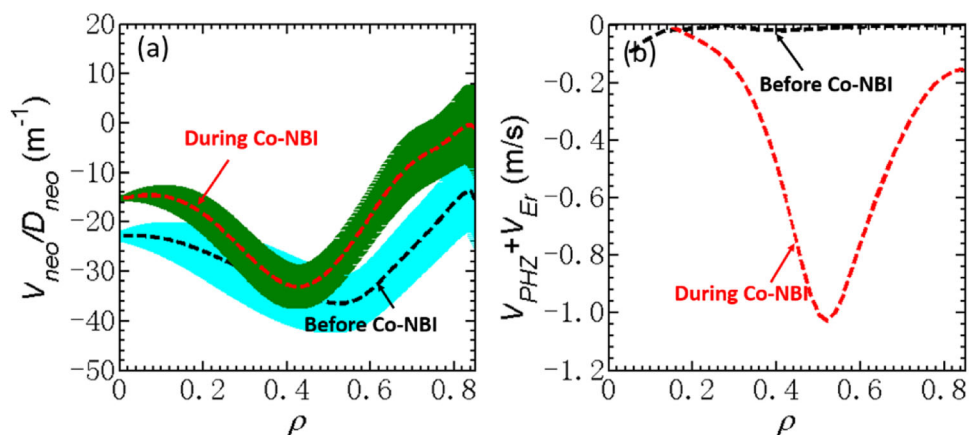
respectively;  $Z_I$  is the impurity charge number; and  $Z_D$  is the main ion charge number. In the CL regime,  $H = 0.5$ , and in the PS regime,  $H$  is determined by the impurity concentration and collisionality of the main ions. The values of  $v_{neo}/D_{neo}$  before and during co-NBI in shot #63723 are estimated assuming  $n_D = n_e$  and  $T_D = T_i$ , and are shown in Fig. 6a. The value of  $|v_{neo}/D_{neo}|$  is clearly smaller during the co-NBI phase than before co-NBI, which does not explain the tungsten accumulation observed in this shot.

The PHZ must be taken into account for plasma with a high toroidal rotation velocity [12]. The PHZ velocity is given by the expression

$$v_{PHZ} = \frac{v_{d0}^2 C_T C_{\nabla T}}{2Z_0 C_Z^2 + \omega^2}, \tag{3}$$

where  $v_{d0}$  is the magnetic drift velocity,  $v_{d0} = mV_t^2/Z_0 eRB$ ;  $Z_0$  is the impurity ion charge state in ionization equilibrium;  $\omega$  is the angular frequency of the poloidal motion of impurity ions,  $\omega = (V_t - E_t/B\theta)/(qR_0)$ ;  $C_T = n_e \partial(\gamma_k - \alpha_k)/\partial T_e$ ;

**Fig. 6** (Color online) Estimates of **a**  $v_{neo}/D_{neo}$  and **b**  $v_{PHZ} + v_{E_r}$  before co-NBI [ $V_t(0) \sim 20$  km/s] and during co-NBI [ $V_t(0) \sim 140$  km/s] in shot #63723. The shaded areas in **a** are based on the  $H$  values, and the dashed lines indicate the averaged  $v_{neo}/D_{neo}$



$C_Z = n_e \partial(\gamma_k - \alpha_k) / \partial Z$ ; and  $C_{\nabla T} = dT/d\rho$ . In addition,  $m$  is the impurity mass,  $B_\theta$  is the poloidal magnetic field,  $\gamma_k$  is the ionization rate, and  $\alpha_k$  is the recombination rate ( $\gamma_k$  and  $\alpha_k$  are obtained from [52]).  $E_r$  is evaluated using the ion radial force balance equation of the background plasma [53]:

$$E_r = V_t B_\theta - V_p B_t + \frac{\nabla P_i}{Z_i e n_i}, \tag{4}$$

where  $P_i = n_i \times T_i$  ( $n_i$  is the ion density), and  $V_p$  is the poloidal rotation velocity. Because  $E_r$  is a function of  $V_t$ , the  $E_r$  pinch due to the effect of  $E_r$  resulting from Coulomb collisions [12] is also considered in this analysis. The  $E_r$  pinch is given by the equation.

$$v_{Er} = \Delta r \frac{v_c}{1 + (v_c/\omega)^2}, \tag{5}$$

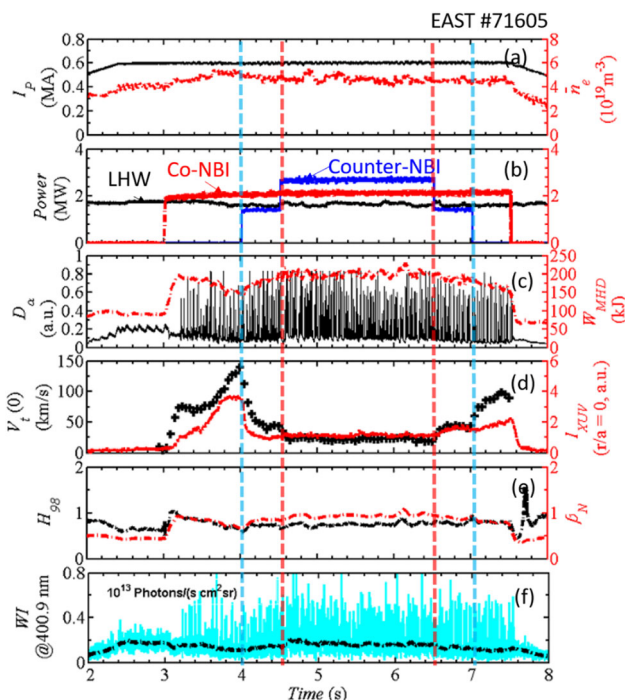
where  $\Delta r$  is the change in the minor radial position and is expressed as  $\Delta r = \frac{(1-2\alpha)k\Delta_0^2}{2(1-\alpha)^3}$  ( $\alpha = E_r/V_t B_\theta$ ,  $k = ZeE_r/mV_t^2$ ,  $\Delta_0 = v_{d0}/\omega_0$ ), and  $v_c$  is the collision frequency of impurity ions with the background plasma. The value of  $v_c$  for tungsten can be estimated using the expression  $v_c \sim Z_W^2 (m_D/m_W)^{0.5} v_e^*$ , where the electron collisionality is  $v_e^* \sim v_{eff}/\epsilon^{3/2} \sim 10^{-14} n_c Z_{eff} T_e^{-2} R/\epsilon^{3/2}$  ( $\epsilon$  is the inverse aspect ratio). In this work,  $v_{PHZ} + v_{Er}$  is used to evaluate the effect of plasma toroidal rotation velocity on tungsten transport.

To simplify the estimation of  $v_{PHZ} + v_{Er}$  before and during co-NBI,  $V_t(0) = 20$  km/s before co-NBI and  $V_t(r) = V_t(0)e^{-r/a}$  throughout the plasma radial region are assumed. In the ion radial force balance equation, the second term is not included because  $V_p$  is small in the core region, and the third term can be simplified to  $\nabla(n_e \times T_i)/e n_e$  for bulk plasma. As the toroidal rotation velocity increases from 20 to 140 km/s, the estimated  $v_{PHZ} + v_{Er}$  ( $\rho \sim 0.5$ ) is found to increase from approximately  $-0.02$  m/s to approximately  $-1.0$  m/s, as shown in Fig. 6b, which results in increased inward transport of tungsten and causes tungsten accumulation. Because the PHZ velocity depends strongly on the impurity mass, the increase in inward pinch velocity  $v_{PHZ}$  (from approximately  $-0.017$  m/s to approximately  $-0.8$  m/s around  $\rho = 0.5$ ) can explain why tungsten accumulates more easily than other impurities (Fig. 3).

### 3.2 Tungsten control using counter-NBI and favorable $B_t$

To suppress or avoid tungsten accumulation in NBI-heated ELMy H-mode plasmas, the values of  $T_i/T_e$ ,  $v_{neo}/D_{neo}$ , and  $v_{PHZ} + v_{Er}$  should be decreased. For this purpose, counter-NBI is applied to modify the density and

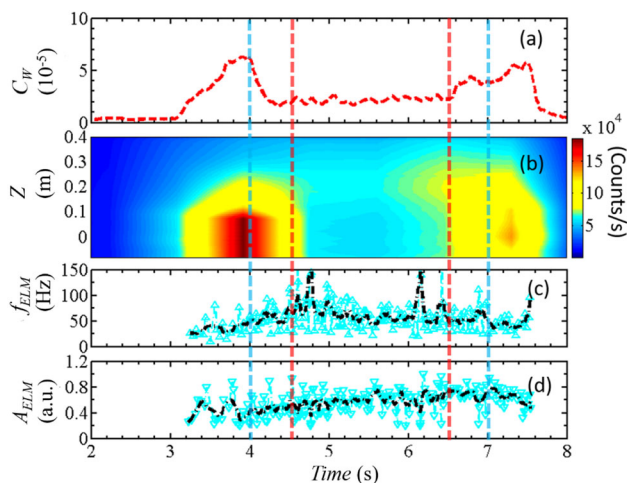
temperature and brake the plasma toroidal rotation. Normally, the counter-NBI is not good for plasma confinement other than the co-NBI because counter-NBI introduces impurities into the main plasma and degrades the confinement [54]. To rule out the possibility that the decreased tungsten content is due to the loss of confinement, another mechanism is needed for reducing the penetration of impurities into the main plasma and compensating for plasma confinement. It has been found that a favorable  $B_t$  (in the co- $I_p$  direction, where the direction of  $B_t \times \nabla B_t$  points toward the X-point) can improve plasma confinement [55] (compared with an unfavorable  $B_t$ , a favorable  $B_t$  can improve the  $H_{98}$  by  $\sim 20\%$  in shots with similar plasma parameters). In addition, favorable  $B_t$  is found to have a positive effect on tungsten control, which will be discussed in the next section. For these reasons, the tungsten behavior was investigated using counter-NBI with a favorable  $B_t$  direction, as in shot #71605. As shown in Fig. 7, type-I ELMs with  $f_{ELM} \sim 40$  Hz were obtained when additional co-NBI was performed during the LHW heating phase. This shot was the last shot before lithium wall conditioning and was performed in the USN



**Fig. 7** (Color online) Waveforms of EAST shot #71605: **a** plasma current  $I_p$  and line-averaged electron density  $\bar{n}_e$ , **b** source power of LHW and NBI (co- and counter-injection directions), **c**  $D_\alpha$  emission signal from upper divertor and plasma stored energy  $W_{MHD}$ , **d** central toroidal rotation velocity  $V_t(0)$  and XUV emission signals at  $r/a = 0$ , **e** energy confinement factor  $H_{98}$  and normalized beta  $\beta_N$ , **f** tungsten influx at inner target plate, where the black line denotes its tendency. The tungsten flux is indicated by the intensity of the WI emission line at 400.9 nm from the filterscope diagnostic [42]

configuration with  $B_t = 2.4$  T,  $I_p = 0.6$  MA,  $\bar{n}_e \sim 4.2 \times 10^{19} \text{ m}^{-3}$ ,  $q_{95} = 4.8$ , and maximum source powers of LHW and NBI of 1.6 and 4.8 MW, respectively.

The central radiation (as indicated by the central XUV emission intensity) clearly decreases rapidly when counter-NBI is performed, although counter-NBI slightly enhances the tungsten source (as indicated by the intensity of W I line emission at 400.9 nm) near the upper divertor region. Further analysis shows that the evolution of  $C_W$  is directly correlated with the control of  $V_t(0)$  by co- and counter-NBI. As shown in Fig. 7d, when co-NBI heating with a 2 MW source power is applied at 3.0 s,  $V_t(0)$  begins to increase and reaches a peak value of approximately 150 km/s. As shown in Fig. 8a and b, the increase in plasma toroidal rotation velocity results in a rapid increase in  $C_W$  and a gradual peak in the W-UTA emission intensity measured by EUV\_Long2. EUV\_Long2 [56] is a newly developed space-resolved EUV spectrometer that can provide the radial profile of impurity line emission covering a wide vertical range of  $-0.085 \text{ m} \leq Z \leq 0.4 \text{ m}$  [Fig. 12b]. These rapid variations in  $C_W$  and the W-UTA profile in the core region suggest tungsten accumulation. However,  $C_W$  decreases and the W-UTA emission intensity in the core plasma suddenly dissipates when counter-NBI heating with a 1.4 MW source power is superimposed at 4.0 s to reduce  $V_t(0)$  to 45 km/s. When the counter-NBI source power is increased to 2.8 MW,  $V_t(0)$  can be further reduced and finally maintained at  $\sim 20$  km/s. When the counter-NBI source power decreases and vanishes,  $C_W$  increases again with the increase in  $V_t(0)$ . In addition, additional peaks in the W-UTA emission intensity in the core region indicate that tungsten accumulation again occurs. In this process,



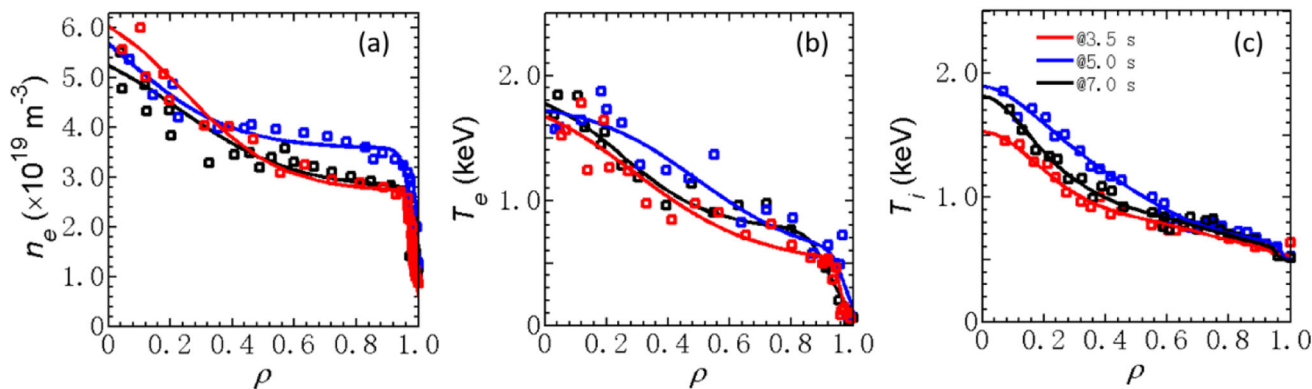
**Fig. 8** (Color online) Time evolution of **a** tungsten concentration  $C_W$ , **b** contour plot of W-UTA emission intensity measured by a newly developed space-resolved EUV spectrometer (EUV\_Long2 in Fig. 1), **c** frequency of ELMs  $f_{ELM}$ , and **d** normalized amplitude of ELMs  $A_{ELM}$  (black lines indicate their tendency) in shot #71605

when counter-NBI with a maximum power of 2.8 MW is added during the co-NBI phase,  $V_t(0)$  changes from 150 to 20 km/s. Consequently,  $C_W$  decreases from  $\sim 7 \times 10^{-5}$  to  $\sim 2 \times 10^{-5}$ ; thus, the power radiation fraction  $P_{rad}/P_{total}$  is decreased from  $\sim 32\%$  to  $\sim 8\%$ .

In the ELMy H-mode phase, the superimposed counter-NBI also changes the characteristics of ELMs [57] (such as frequency  $f_{ELM}$  and amplitude  $A_{ELM}$ ), as shown in Fig. 8 (c) and (d). It has been found that high-frequency ELMs can provide continuous transport of impurities in the edge plasma region, which prevents the accumulation of impurities [58–60]. This effect of ELMs on the elimination of impurities is called impurity flushing. The correlation analysis of  $V_t(0)$ ,  $f_{ELM}$ , and  $C_W$  from 4 to 4.55 s shows that  $C_W$  is strongly dependent on  $V_t(0)$  but weakly dependent on  $f_{ELM}$ . This weak dependence of  $C_W$  on  $f_{ELM}$  results from the fact that the low-frequency ELMs (which increase from  $\sim 50$  to  $\sim 65$  Hz during that time period) are insufficiently effective in flushing out impurities [58]. Note also that no core MHD activity is observed on the spectrogram of the central chord of the soft X-ray emission signals, and the energy confinement factor  $H_{98}$  remains nearly constant during the counter-NBI heating phase. This result implies that the counter-NBI added here does not degrade the plasma confinement and can effectively suppress tungsten accumulation in the type-I ELMy H-mode on EAST.

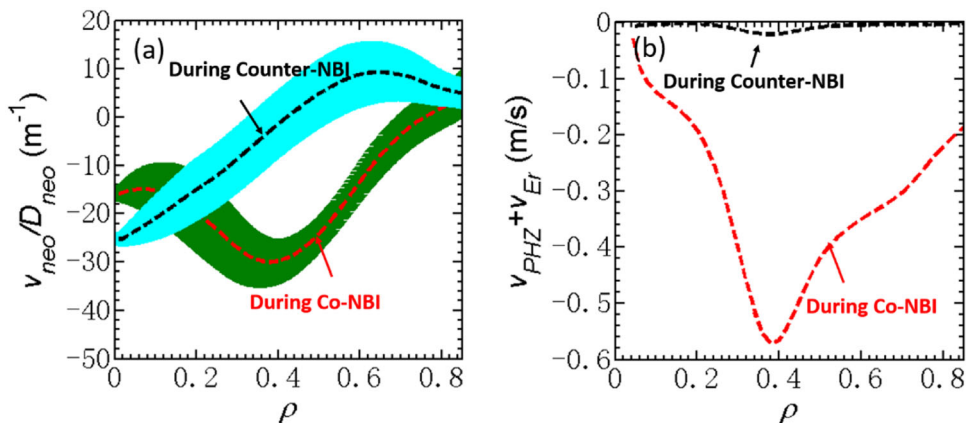
However, the decrease in  $C_W$  during the added counter-NBI phase is attributed not only to the reduced toroidal rotation velocity, but also to the variations in density and temperature and their gradients. Figure 9a–c shows the density and temperature profiles at time points before (at 3.5 s, red line), during (at  $\sim 5.0$  s, blue line), and after (at  $\sim 7.0$  s, black line) counter-NBI in shot #71605. Under the assumption described above, the estimated  $v_{PHZ} + v_{Er}$  ( $\rho \sim 0.4$ ) decreases from approximately  $-0.57$  m/s to approximately  $-0.02$  m/s when the counter-NBI changes  $V_t(0)$  from  $\sim 80$  to  $\sim 20$  km/s, as shown in Fig. 10 (b). The figure also shows that the applied counter-NBI increases the density and flattens its profile in the region  $0.3 < \rho < 0.9$  and clearly enhances the ion temperature and its gradient inside  $\rho \sim 0.7$ . These changes will increase the impurity diffusivity owing to the enhanced collisionality [61] and may decrease the pinch velocity of impurities in the central region.

The values of  $v_{neo}/D_{neo}$  in the co-NBI and counter-NBI phases in shot #71605 were also estimated and are shown in Fig. 10a. When counter-NBI is added during the co-NBI phase,  $v_{neo}/D_{neo}$  outside of  $\rho \sim 0.2$  can be reduced, and the direction of  $v_{neo}/D_{neo}$  can even be reversed from inward to outward around  $\rho = 0.6$ . The reversed direction is determined by the ratio of the normalized temperature gradient to the normalized main ion density gradient,



**Fig. 9** (Color online) Radial profiles of shot #71605: **a** electron density  $n_e$ , **b** electron temperature  $T_e$ , and **c** ion temperature  $T_i$ . Red, blue, and black lines indicate times before (3.5 s), during (5.0 s), and after (7.0 s) counter-NBI, respectively

**Fig. 10** (Color online) Estimated **a**  $v_{neo}/D_{neo}$  and **b**  $v_{PHZ} + v_{Er}$  during co-NBI [ $V_t(0) \sim 80$  km/s] and added counter-NBI [ $V_t(0) \sim 20$  km/s] in shot #71605. The shaded areas in **a** are based on the  $H$  values, and the dashed lines indicate the average  $v_{neo}/D_{neo}$



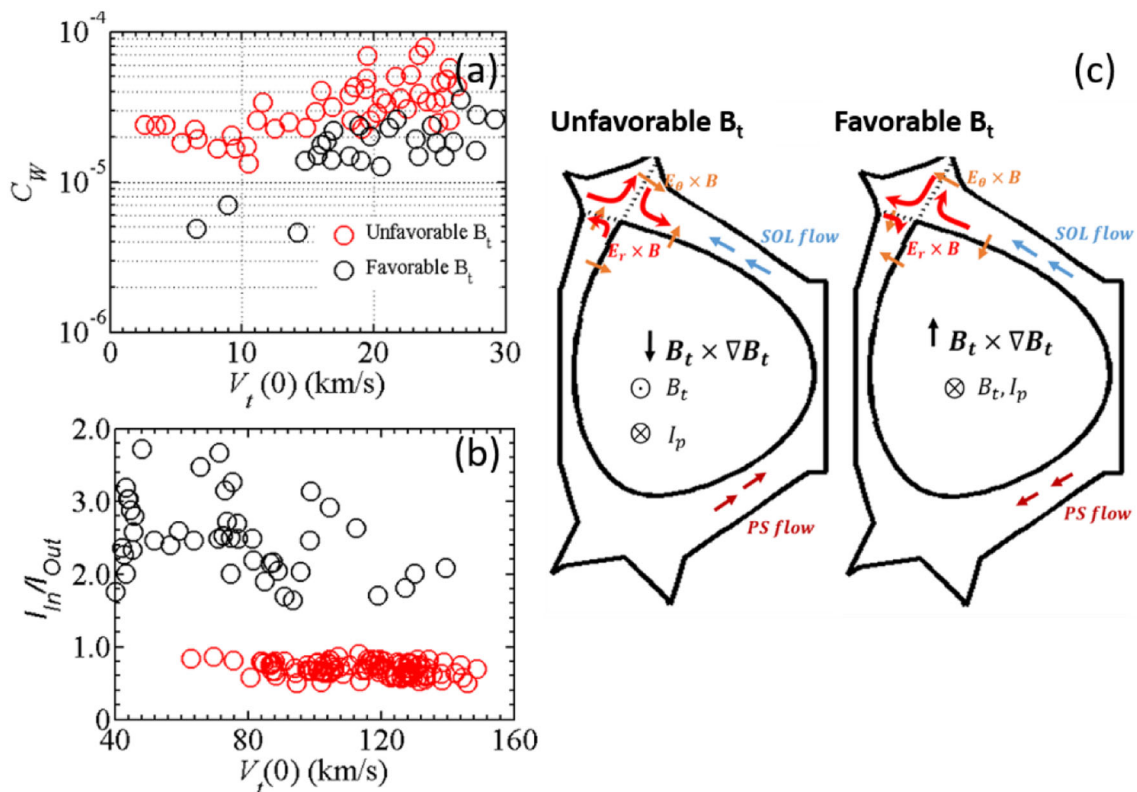
$\eta_D = \frac{1}{T_D} \frac{dT_D}{dr} / \frac{1}{n_D} \frac{dn_D}{dr}$ . It is inferred that the added counter-NBI in this shot reduces the PHZ +  $E_r$  inward pinch velocity and weakens the contribution of neoclassical inward transport. Note, however, that  $T_i/T_e$  increases slightly when counter-NBI is added, which should reduce the turbulent impurity transport and increase tungsten accumulation. Thus, it can be concluded that the decrease in  $C_W$  during the counter-NBI phase is not caused by turbulent transport.

### 3.3 Effect of favorable $B_t$ on tungsten control

In this section, the effect of  $B_t$  direction on tungsten control is discussed. It was found that the impurity confinement time ( $\tau_{imp}$ ) was significantly reduced in favorable  $B_t$  experiments in recent EAST campaigns. Typically,  $\tau_{imp}$  can be reduced by nearly half for favorable  $B_t$  H-mode plasmas (e.g.,  $\tau_{imp} \sim 112.0$  ms for unfavorable  $B_t$  in shot #79062 and  $\tau_{imp} \sim 61.2$  ms for favorable  $B_t$  in shot #80303). Shots #79062 and #80303 are similar shots that have opposite  $B_t$  directions [55]. The effect was further studied by investigating  $C_W$  and the tungsten flux in the divertor. To decrease the effect of toroidal rotation velocity

on tungsten transport, the shots with low  $V_t(0)$  ( $< 30$  km/s) are chosen for comparison.  $C_W$  versus  $V_t(0)$  in steady-state ELMy H-mode discharges with unfavorable and favorable  $B_t$  directions is compared in Fig. 11. The selected shots have the following parameters:  $I_p = 0.45\text{--}0.55$  MA,  $\bar{n}_e = 2.8\text{--}4.5 \times 10^{19} \text{ m}^{-3}$ , and the total heating powers are similar. This figure shows that  $C_W$  can be easily controlled below  $8 \times 10^5$  in the ELMy H-mode plasmas with reduced toroidal rotation velocity [ $V_t(0) < 30$  km/s], where  $C_W$  is generally lower in the shots with favorable  $B_t$  direction than in those with unfavorable  $B_t$  direction. These two  $B_t$  directions produce  $E_r \times B$  drift with opposite poloidal directions, as shown in Fig. 11c. For the unfavorable  $B_t$  direction, the divertor  $E_r \times B$  drift increases the backflow (PS flow) of impurity particles from the upper outer divertor to the upstream region. For the favorable  $B_t$  direction, the backflow of impurity particles is directed to the lower divertor and can be exhausted by the closed divertor structure [62]. The lower divertor structure is closed because it is W-shaped with a dome. This effect partially explains the lower  $C_W$  in the shots with the favorable  $B_t$  direction. In addition, the  $E_r \times B$  drift in the favorable  $B_t$  direction increases the tungsten flux on the





**Fig. 11** (Color online) **a** Tungsten concentration versus toroidal rotation velocity in ELMy H-mode plasmas with parameters of  $I_p = 0.45\text{--}0.6$  MA and  $\bar{n}_e = 2.8\text{--}4.5 \times 10^{19} \text{ m}^{-3}$ , **b** ratio of tungsten flux density near the inboard and outboard sides ( $I_{In}/I_{Out}$ ) in shots with

inboard side [as indicated by the increased  $I_{In}/I_{Out}$  shown in Fig. 11b], which may affect tungsten transport in the core region owing to the poloidal asymmetry of the tungsten density when the toroidal rotation velocity is low. The in-out asymmetry effect is beneficial for removing the tungsten from the core plasma [63–65], for example, by reversing the direction of  $v_{neo}/D_{neo}$  from inward to outward.

The W-UTA emission intensity profiles in ELMy H-mode plasmas with different  $B_t \times \nabla B_t$  drift directions are presented in Fig. 12a. To eliminate the effect of electron temperature on tungsten transport, two shots with similar magnetic axes (near  $Z = 0.024$  m) and central electron temperatures are compared. Figure 12a shows that the W-UTA emission intensity profile is flatter for the favorable  $B_t$  direction than for the unfavorable  $B_t$  direction. The flatter W-UTA emission intensity profile suggests that the favorable  $B_t$  direction is beneficial for avoiding tungsten accumulation and can decrease the risk of intense radiated power in the core region. From these facts, it can be deduced that lower  $C_W$  with favorable  $B_t$  will expand the operating window toward steady-state type-I ELMy H-mode operation.

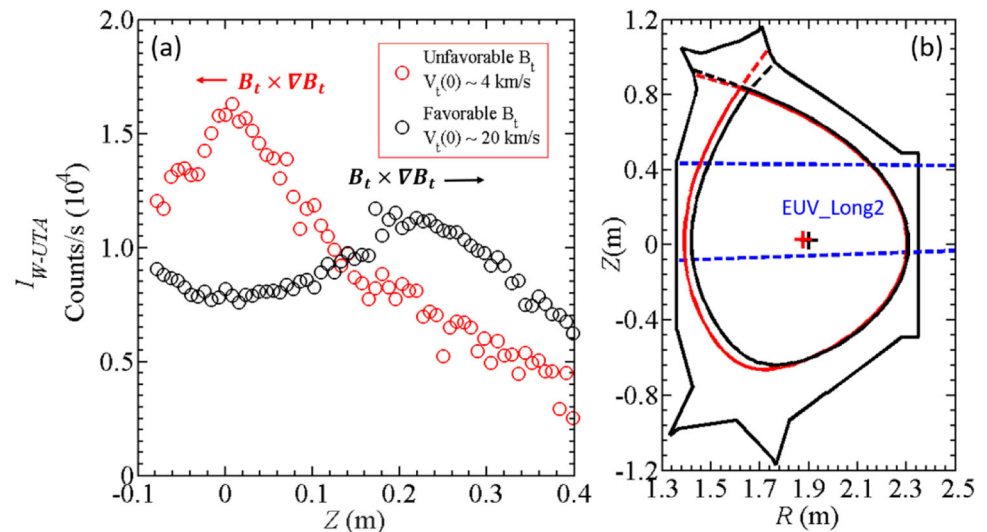
unfavorable (red) and favorable  $B_t$  (black) directions, and **c** schematic diagram of drifts and parallel flows in the corresponding USN configuration

#### 4 Summary and discussion

The tungsten behavior in ELMy H-mode plasmas with co-/counter-NBI and unfavorable/favorable  $B_t$  on EAST was investigated. The results showed that tungsten always accumulates in ELMy H-mode plasma with co-NBI owing to the increased inward pinch velocity  $v_{PHZ} + v_{E_r}$  and decreased turbulent transport.  $C_W$  can exceed  $10^{-4}$  in the type-I ELMy H-mode with  $V_t(0) > 120$  km/s and unfavorable  $B_t$ , ultimately resulting in the degradation of plasma confinement and periodic H–L transitions. Thus,  $C_W$  must be maintained below  $10^{-4}$  for future steady-state type-I ELMy H-mode operation on EAST.

To suppress and avoid tungsten accumulation in ELMy H-mode plasmas, both the neoclassical inward convection and PHZ inward pinch velocities should be decreased, whereas turbulent transport needs to be increased. For this purpose, counter-NBI was applied to modify the density and temperature and brake the plasma toroidal rotation. The result shows that  $C_W$  can be decreased from  $\sim 7 \times 10^{-5}$  to  $\sim 2 \times 10^{-5}$  in the type-I ELMy H-mode plasma with favorable  $B_t$  when counter-NBI is superimposed during the co-NBI heating phase. The

**Fig. 12** (Color online) **a** Comparison of W-UTA emission intensity profiles in type-I ELMy H-mode plasmas with unfavorable and favorable  $B_t$  directions, **b** viewing range of space-resolved EUV spectrometer (blue) and plasma shape in the shots with unfavorable (red) and favorable (black)  $B_t$  directions in EAST



analysis indicated that the added counter-NBI not only decreases the  $\text{PHZ} + E_r$  inward pinch velocity but also reverses the  $v_{\text{neo}}/D_{\text{neo}}$  direction from inward to outward; both of these changes contribute to the suppression of tungsten accumulation. It was preliminarily found that, because NBI directly heats ions, turbulent transport in the core region driven by co-/counter-NBI is disadvantageous for the suppression of tungsten accumulation. Increasing the turbulent transport during the co-/counter-NBI phases by increasing the power of LHW heating or adopting on-axis electron cyclotron resonance heating is required. Further detailed studies remain as future work.

It was also found that  $C_W$  is lower in ELMy H-mode plasmas with favorable  $B_t$  than in similar discharges with unfavorable  $B_t$ . Possible reasons for the effect of  $B_t$  direction on  $C_W$  were discussed. On the one hand, in discharges with favorable  $B_t$  in the USN configuration, the backflow of impurity particles is directed to the lower divertor and can be exhausted by the closed divertor structure. On the other hand, in low-rotation plasmas, the  $E_r \times B$  drift in plasmas with favorable  $B_t$  increases the tungsten flux on the inboard side, which can weaken the contribution of neoclassical inward convection because of in-out asymmetry.

These results demonstrate the potential of counter-NBI in the favorable  $B_t$  direction for suppressing tungsten accumulation in ELMy H-mode plasma. The application of counter-NBI to suppress tungsten accumulation and avoid plasma confinement degradation must ensure that  $V_t$  and  $I_p$  have the same direction ( $V_t > 0$ ), that  $V_t$  is sufficiently low, and that  $B_t$  is favorable. Otherwise, impurities can accumulate more easily during counter-NBI than during co-NBI [12, 54], which destroys the plasma confinement. The lower  $C_W$  in the core plasma caused by counter-NBI in the favorable  $B_t$  direction will extend the operating window for

exploring steady-state type-I ELMy H-mode operation in EAST in the near future.

**Author contributions** All authors contributed to the study conception and design. Material preparation, data collection, and analysis were performed by Zong Xu, Zhen-Wei Wu, Ling Zhang, Yun-Xing Cheng, Wei Gao, Xiao-Dong Lin, Xiang Gao, Ying-Jie Chen, Yue-Heng Huang, Juan Huang, Qing Zang, Yin-Xian Jie, Yan-Min Duan, Ying-Ying Li, Hai-Qing Liu, and Guo-Sheng Xu. The first draft of the manuscript was written by Zong Xu, and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

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