**RESEARCH PAPER**



# **Efect of Threaded Pin Tool for Friction Stir Welding of AA6061‑T6 at Varying Traverse Speeds: Torque and Force Analysis**

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#### **Abstract**

Friction stir welding (FSW) of 6-mm-thick AA 6061-T6 plates for taper threaded tool were studied in this investigation in terms of torque and diferent forces. A plain taper tool in addition to threaded tool is also incorporated in the study to analyze the efectiveness of threads. Welding was conducted at a constant rotational speed of 1100 RPM and varying traverse speeds between 45 and 135 mm/min. The distribution of torque, Z-force and X-force generated during the FSW process discloses a substantial knowledge about the weld formation. The torque and forces increase with the increase in traverse speed for both the tools where higher values were attained by taper threaded tool. The macrostructure reveals a sudden increase in thickness of shoulder induced region and nugget area, followed by a gradual decrease for both of the tools with traverse speed. Comparatively larger shoulder induced region and weld nugget are obtained for taper threaded tool. The maximum values of these entities were attained at 75 mm/min for taper threaded tool, and a difference of 0.13 mm and 7.52 mm<sup>2</sup>, respectively, was obtained with taper tool. Finer grains with an average diameter of 5.82 µm are obtained for taper threaded tool and 8.68 µm for taper tool. Maximum tensile strength was obtained for taper threaded tool with a joint efficiency of 70.96% and 60.32% for taper tool. A mixed mode of failure is observed at a higher traverse speed for taper tool, whereas all welds for taper threaded tool exhibit ductile fracture.

**Keywords** Friction stir welding · Tool design · Torque · Z-force · X-force · Microstructure

# **1 Introduction**

Friction stir welding (FSW) process was developed at TWI in 1991 to weld aluminum alloys which are difficult to weld by conventional fusion welding process (Thomas et al. [1991](#page-14-0)). The ability of this process to accomplish the joining operation at the solid state of the workpiece gives it immense fexibility over conventional fusion welding processes. The joining is done by a non-consumable rotating tool with a pin which plunges into the joining line of the workpiece followed by a traverse movement along the joining line. In this

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process, heat is generated at the tool–workpiece interface by friction and workpiece deformation (Mishra and Ma [2005](#page-14-1)).

Diferent forces and torque commonly known as spindle torque are generated at the tool–workpiece interface during this process due to material deformation and fow (Fig. [1](#page-1-0)). Spindle torque is an important requirement in FSW which is generated due to the rotational movement of the machine spindle attached with the tool. It dictates the deformation of the workpiece by the FSW tool and the state of the deformed material. A vertically downward force (Z-force) is applied by the tool shoulder to the workpiece during the joining process. This provides workpiece deformation and efective consolidation of displaced, deformed material by tool shoulder to the trailing side of the tool. Increased Z-force further provides enhanced frictional heat. During the traverse movement of the tool, the deformed material passes across the tool pin from the advancing side to the retreating side over the pin surface due to tool rotation exerting a resistance force on the tool pin known as X-force (Banik et al. [2018](#page-14-2); Mishra and Ma [2005\)](#page-14-1). The distribution of all these forces and spindle torque depends on a complex combination of



<span id="page-1-0"></span>



diferent process parameters (tool design, rotational speed, tool traverse speed, tilt angle, workpiece material, cooling strategy, etc.) which fnally contributes to weld quality. Analysis of these entities (spindle torque, X-force and Z-force) provides an opportunity of online weld quality monitoring and controlling, thereby reducing the post-weld quality testing, cost and time. Development of a detailed idea of these entities in relation to FSW will further add to the knowledge of industry personnel in shop foor in real time providing more fexibility. With the advancement of electronics and instrumentation facilities for measurement (Santochi and Dini [1998](#page-14-3); Teti et al. [2010](#page-14-4)) researchers from diferent felds have shown their interest to explore a better understanding of this process. Yang et al. developed a monitoring algorithm by fltering the applied vertical force for detection of a gap in the nugget (Yang et al. [2008\)](#page-15-0). Kumar ([2016](#page-14-5)) in their study reported reductions of X-force and vertical force for ultrasonic-assisted friction stir welding and inferred that such reduction was assisted by ultrasonic vibration.

With the development of the FSW process, at the same time, attempts for development of newer FSW tool design and their affectivity analysis are continued. The tool design affects the material stirring action and heat generation. Tool pin profle has an important impact on the torque and force generation. Elangovan et al. in their study attempted to establish the efect of vertically applied load on weld quality with variation in tool pin design. They found that with the moderate amount of a vertical load of 7kN, defectfree FSP regions are produced irrespective of tool pin profles. They also concluded that square pin profle produced defect-free welds irrespective of any vertical loads within their experimental domain (Elangovan et al. [2008\)](#page-14-6). Rose et al. concluded the same, stating higher tensile properties for the moderate axial force with fner grain formation had achieved, whereas decreased tensile property is reported with both lower and higher amount of axial forces within their experimental domain (Rose et al. [2011](#page-14-7)). Several authors investigated newer tool design, and signifcant contribution has been marked by alterations of tool design in terms of improved material movement (Colegrove and Shercliff [2005;](#page-14-8) Lorrain et al. [2010](#page-14-9)), enhanced microstructure



and mechanical properties (Elangovan and Balasubramanian [2008;](#page-14-10) Reza-E-Rabby and Reynolds [2014](#page-14-11); Shi et al. [2015](#page-14-12); Trimble et al. [2015\)](#page-14-13). Trimble et al. attempted to investigate the tool forces for FSW of AA2024-T3. They measured the tool forces using rotating component dynamometer and developed a 3D fnite element model for predicting the tool forces. They inferred that tool damage or pin breaking is more likely to occur at tool plunging period or initial tool transition due to a higher force variation (Trimble et al. [2012](#page-14-14)).

AA 6061-T6 is a precipitation-hardened aluminum alloy (Al–Mg–Si alloy) and possesses moderate strength with excellent corrosion resistance. It is extensively used for fabrication of lightweight structures, where a high strength-toweight ratio and good corrosion resistance are requirements (Liu et al. [2013\)](#page-14-15). Several researchers had shown their interest to analyze the efect of tool design and parametric alterations to assess heat transfer, material flow and mechanical properties. Rajakumar et al. ([2011\)](#page-14-16) in their study of efects of tool geometry reported that tool rotational speed and tool shoulder diameter were the most infuential parameters for controlling mechanical properties as well as corrosion resistance. Hou et al. conducted experiments on AA 6061-T6 at constant welding speed to investigate the efect of rotational speed and varying bottom surface shoulder diameters for self-reacting friction stir welding. They concluded that with a lower diameter of bottom shoulder defective welds were produced with excessive flash, whereas increased rotational speed resulted in increased tensile properties initially with a remarkable decrease for a further increase due to the formation of void defects (Hou et al. [2014\)](#page-14-17). He et al. investigated the efect of tool rotational speed on thick AA 6061-T6 plates. They concluded with increased tool rotational speed weld nugget grain size increases and the average microhardness encountered a decrease. The joints performed with 700 RPM (intermediate range) exhibits the highest tensile strength with a smooth residual stress distribution (He et al. [2016](#page-14-18)). Sun et al. studied the effect of the stationary shoulder on friction stir-welded AA6061- T6 for strength and toughness. They reported a signifcant weakening of strength and toughness in HAZ nearest to the nugget zone. They concluded dissolution of  $β$ " precipitates and re-precipitation of coarser 'β′ particles are associated with such degradation in HAZ (Sun et al. [2016](#page-14-19)). However, comparatively less research has been carried out to study the efectiveness of diferent tool designs from the perspective of torque and force analysis in this particular type of alloy. Threaded tools are believed to provide better weld qualities by improved material fow (Zhao et al. [2005](#page-15-1)). The present study is aimed to analyze the efectiveness of taper threaded tool in terms of spindle torque and force generation and their consequences on weld qualities at varying traverse speeds. For a better understanding of the results, a plain taper tool with similar dimension is incorporated in the study for comparative purpose.

# **2 Materials and Methods**

AA 6061-T6 plates of 6 mm thickness in square butt confguration are used for this study. All the welding is conducted on a 3-ton friction stir welding setup. Two diferent types of tools viz. a taper (TT) and taper threaded (TTh) tool fabricated with H13 steel are used for this purpose (Fig. [2,](#page-2-0) Table [1](#page-2-1)). For this study, tool rotational speed is kept constant at 1100 RPM and traverse speed is varied in four levels (Table [1\)](#page-2-1). From the literature, it is evident that at a lower rotational speed less plastically deformed material is formed and at high rotational speed often the reverse is achieved. Both these conditions yield to the formation of a defect in weld nugget due to inappropriate material flow. To avoid such conditions, there is a requirement of selecting a suitable rotational speed within an intermediate range facilitating easy variation in traverse speed. Hence, tool rotational speed is kept constant at 1100 RPM on the basis of trial and error method. Spindle torque and diferent forces are measured using strain gauge based load cells. Two diferent load cells are used, one attached with spindle head (5 tones) for spindle torque and Z-force measurement and another load cell (3 tones) is attached with auxiliary slide for X-force measurement. These load cells are attached to the PLC system for data feedback, and NI LabView software is used for data acquisition. For a better understanding of the obtained results, weld thermal cycle is recorded using K-type thermocouple at a distance of 6 mm from the center of weld nugget. The macrostructure of the weld zone is analyzed using a light optical microscope. The grain size of weld nugget is analyzed using a light optical microscope. Average grain diameter is measured according to ASTM standard using the linear intercept method. Specimens for tensile testing were cut along the transverse to the weld direction and prepared according to ASTM-E8 standard. Tensile tests were carried out in INSTRON 8801 (strain rate-1 mm min<sup>-1</sup>).



<span id="page-2-0"></span>**Fig. 2** Tool used in the present study **a** taper threaded (TTh), **b** taper (TT)

<span id="page-2-1"></span>**Table 1** Details of the workpiece, tool and process parameters

Material used	6061-T6	
Plate dimension	150 mm $\times$ 65 mm $\times$ 6 mm with effective weld length of 120 mm	
Material chemical composition (wt%)	$Mg(1.2)$ , Mn(0.15), Cu(0.2), Cr(0.04), Si(0.6), Fe(0.75), Al(Bal.)	
Mechanical property	UTS-310 MPa, YS-276 MPa elongation—18%, hardness—107 (HV $_{0.1}$ )	
Tool shoulder diameter	$18 \text{ mm}$	
Tool pin bottom and top diameter	$6 \text{ mm}$ , $3 \text{ mm}$	
Process parameters	Rotational speed—1100 RPM, traverse speed—45,75,105,135 mm/ min, tilt angle $-3^{\circ}$ , plunge depth of shoulder $-0.1$ mm, dwell time $-4$ s	



Fractography analysis of fractured samples is carried out using scanning electron microscope.

# **3 Results**

#### **3.1 Variation in Spindle Torque, Z‑force and X‑force**

Figure [3a](#page-3-0)–c depicts the variation in spindle torque, downward vertical force (Z-force) and welding force (X-force), respectively, as welding proceeds for both TT and TTh tool. The requirement of spindle torque for TTh tool in comparison with TT tool is more as the efective area of interaction between tool and workpiece has increased by the thread surface for TTh tool (Fig. [3](#page-3-0)a). The spindle torque and Z-force attained for both the tools are faced with a sudden fuctuation at the initial stage of tool traverse which gradually decreases and becomes stable as the as the welding proceeds. A gradual decrease in torque values is observed for TTh tool at higher traverse speeds of 105 mm/min and 135 mm/min (Fig. [3a](#page-3-0)), whereas a gradual increase is obvious for TT tool at higher traverse speeds of 105 mm/min. An abrupt fuctuation in spindle torque values is recorded as the traverse speed is further increased to 135 mm/min.

From the distribution of Z-force (Fig. [3](#page-3-0)b), it is noticed that at the lowest traverse speed of 45 mm/min initially, no sudden fuctuation occurs; rather a gradually increasing trend is more prominent for both the tools. The trend of a gradual rise in Z-force at a lower traverse speed is less for TT tool compared to TTh tool. With a further increase in traverse speed, both the tools show a sudden hike followed by a decrease in initial Z-force which with the further



<span id="page-3-0"></span>**Fig. 3** Distribution of **a** Spindle torque, **b** Z-force, **c** X-force with time for TTH and TT tools with time for varying traverse speeds



<span id="page-4-0"></span>



advancement of the tool stabilizes. But as tool traverses, Z-force gradually increases and at the highest traverse speed of 135 mm/min Z-force fuctuates severely for TT tool similar to that of spindle torque.

The distribution of X-force appears with a wider width due to the fuctuation of X-forces (Fig. [3](#page-3-0)c). The extent of fuctuation varies signifcantly for both tools. X-force distribution shows a higher fuctuation for TT tool compared to TTh tool. With the increase in traverse speed, the fuctuations for TT tool increases, whereas the trend marked is reverse for TTh tool. From Fig. [3](#page-3-0)c, it can be seen that with an increase in traverse speed from 75 to 105 mm/min X-force is less stable at initial transition period which stabilizes as welding proceeds for TT tool. With the further increase in traverse speed (135 mm/min) X-force loses its stability from initial transition period with irregular fuctuations which continue till the end of welding. No such observation is made for TTh tool at 135 mm/min. Another observation is that at a higher traverse speed the X-force fuctuation reduces, contrary to TT tool.

For both of the tools the average values of spindle torque, Z-force and X-forces increase with an increment in traverse speed. Higher values of all these entities are attained by TTh tool in comparison with TT tool (Table [2](#page-4-0)). The maximum torque, X-force and Z-force attained by the TTh tool are at 135 mm/min and are 15.73 N-m, 1880 n, 9667 n, respectively, whereas at 45 mm/min a minimum of 9.81 N-m, 1088 N and 6370 N are attained for torque, X-force and Z-force, respectively, by TT tool (Table [2\)](#page-4-0).

#### **3.2 Variations of Weld Thermal Cycle**

The plots of weld thermal cycles are drawn to establish the diferences of peak temperature attained by the tools. This is achieved at 6 mm away from the center of nugget in advancing side at a depth of 3.5 mm. With the increase in traverse speed, the period of thermal cycle decreases for the tools. Comparatively higher peak temperatures are achieved for TTh tool for all traverse speeds (Table [3,](#page-4-1) Fig. [4](#page-4-2)a, b). The presence of threads increases the efective surface area resulting in increased frictional heat, which contributes to increased peak temperature for TTh tool (Kadian and Biswas [2017](#page-14-20); Sun and Wu [2018\)](#page-14-21). The highest peak temperature attained by TT and TTh tool is  $482.32 \degree$ C and  $472.99 \degree$ C,

<span id="page-4-1"></span>**Table 3** Peak temperature attained for taper (TT) and taper threaded (TTh) tool with a variation in traverse speed

Traverse speed (mm/min)	Peak temperature $(^{\circ}C)$		
	TTh tool	TT tool	
45	482.32	472.99	
75	471	459	
105	443	429.21	
135	402	381.31	



<span id="page-4-2"></span>**Fig. 4** Weld thermal cycle for **a** TT, **b** TTh tool with varying traverse speeds



respectively, at a traverse speed of 45 mm/min. Average values of torque, force and peak temperatures attained for both the tools are plotted in Fig. [5](#page-5-0)a, b for a comparative analysis of torque and forces and their behavior with peak temperature for varying traverse speeds. As traverse speed increases, a fall in peak temperature for the tools can be seen which is accompanied by an increase in spindle torque, X-force and Z-force.

### **3.3 Macrostructural Observation**

Figure [6](#page-6-0) represents the cross-sectional macrostructure of all the welding. Macrostructures for TTh tool reveal a stacked onion ring pattern for almost all samples, whereas no such pattern of onion ring is witnessed for TT tool. Area of weld nugget zone and thickness of shoulder infuenced region are evaluated and represented in Fig. [7](#page-7-0)a, b. The thickness of shoulder infuenced region is considered to be the distance from the top of weld nugget surface to the point



<span id="page-5-0"></span>**Fig. 5** Comparison of average spindle torque, Z-force and X-force with peak temperature for **a** TT tool, **b** TTh tool with varying traverse speeds



of intersection of the line drawn through the fow arm at advancing side and the weld nugget axis at center. A larger weld nugget area and thickness of shoulder induced region are more apparent for TTh tool than TT tool (Fig. [7a](#page-7-0), b). With the increase in traverse speed from 45 to 75 mm/min the nugget area increases from  $25.93$  and  $24.21$  mm<sup>2</sup> to 49.12 and 41.6 mm<sup>2</sup> for TTh and TT tool, respectively. Further increase in traverse speed is encountered with a reduction in nugget area which is minimum at 135 mm/min with 37.93 and 34.46 mm<sup>2</sup> for TTh and TT tool, respectively. The same trend is true for the thickness of the shoulder induced region. A maximum thickness of 1.7 mm and 1.57 mm is attained by TTh and TT tool, respectively, at 75 mm/min which reduces further with traverse speed and is minimum at 135 mm/min with a thickness of 1.25 mm and 1.17 mm for TTh and TT tool, respectively.

#### **3.4 Microstructural Observation**

#### **3.4.1 Grain Size Distribution**

The distribution of nugget zone grain size reveals comparatively fner grains are attained by TTh tool (Fig. [8](#page-8-0)). The average grain diameter at the middle of the nugget is determined using linear intercept method according to ASTM E 112-12 (Fig. [9](#page-8-1)). Grain size is measured at three diferent locations at the center of the nugget, and the average value is considered. With the increase in traverse speed, the grain size decreases for both of the tools. For TTh tool at 135 mm/min, a fner grain size is obtained with an average grain diameter of 5.82 µm, whereas for TT tool a fner grain size with an average grain diameter of 8.68  $\mu$ m is obtained at 135 mm/min.

#### **3.4.2 Formation of Secondary Particles**

Scanning electron micrographs of nugget zone taken in BSE mode (Fig. [10](#page-9-0)) shows mostly the formation of  $Mg_2Si$ particles which is the prime secondary phase formation for Al–Mg–Si alloys (AA 6061-T6). The pits and the small white marks represent the distribution of second phase particles. The pits have been formed around the second phase particles due to the corrosive action of the etching agent. The strengthening particles for TTh tool are fner and more uniformly distributed.

#### **3.5 Mechanical Properties**

#### **3.5.1 Hardness**

Evaluation of variation in Vickers hardness profle is one of the reliable methods for analyzing the microstructural changes for friction stir-welded aluminum alloys. It is measured along the horizontal line through the center of nugget



<span id="page-6-0"></span>**Fig. 6** Cross-sectional macrostructure of FSW weld for TT tool (traverse speed **a** 45 mm/min, **b** 75 mm/min, **c** 105 mm/min, **d** 135 mm/min. Cross-sectional macrostructure of FSW weld for TTh tool (traverse speed **e** 45 mm/min, **f** 75 mm/min, **g** 105 mm/min, **h** 135 mm/min)

(Fig. [11](#page-10-0)). The base metal hardness is around 107 HV. With the increase in traverse speeds, the hardness of the nugget zone for both tools increases. For all the welding, the TT tool exhibits higher hardness in nugget zone. The highest hardness achieved is around 79 HV and is attained for the taper tool at 135 mm/min in nugget zone. At the lowest traverse speed, the hardness achieved at nugget zone is around 70 HV and 60 HV for TT and TTh tool, respectively. At the highest traverse speed (135 mm/min), hardness achieved for TT and TTh tool is around 78 HV and 70 HV, respectively, whereas a shift of the lowest hardness point is witnessed for TTh tool at higher welding speeds (105,135 mm/min) from advancing side to retreating side.

#### **3.5.2 Tensile Properties**

Transverse tensile properties of friction stir-welded AA 6061-T6 for two diferent tools with varying traverse speeds are evaluated (Fig. [12](#page-10-1)). All the values are evaluated by averaging three results. From the fgure, it can be ascertained that tool design and tool traverse speed have a distinct efect on tensile properties. TTh tool exhibits higher values of tensile property irrespective of all traverse speeds considered for the study than TT tool. With the increase in traverse speed tensile properties increase for TTh tool, whereas for TT tool the tensile properties increase with traverse speed and attain highest values at 105 mm/min but with further increase in traverse speed at 135 mm/min it reduces to 176 MPa. The maximum tensile strength attained by the TT and TTh tool is 220 MPa and 187 MPa at 105 mm/min and 135 mm/min, respectively.

#### **3.6 Fracture Type and Surface**

Analysis of fracture samples and surface reveal a signifcant variation in fracture locations and failure mechanisms under tensile loading with a variation in traverse speed for both the tools (Fig. [13\)](#page-11-0). The observations for the fractured sample are stated in Table [4.](#page-12-0) All the samples for TT tool failed at the advancing side weld beyond the nugget with a signifcant amount of deformation at fracture position, except the specimen welded at 135 mm/min (Fractured at the nugget). As evident from the macroscopic study of the fractured samples (Fig. [13a](#page-11-0), c, e, g). At a lower traverse speed (45 mm/min, 75 mm/min and 105 mm/min), TT tool fracture surface is characterized with dimple shapes. This indicates the ductile





<span id="page-7-0"></span>**Fig. 7 a** Variation in weld nugget area with the variation of traverse speed. **b** Variation in the thickness of shoulder induced region with the variation in traverse speed

mode of fracture has happened, whereas at a higher traverse speed (135 mm/min) for TT tool elongated and comparatively smaller dimples in cleavage form have been obtained. This indicates a mix mode of fracture with comparatively less yielding. But for TTh tool all the fractured samples are characterized with dimple formation indicating ductile failure even at a higher traverse speed (135 mm/min). For TT tool at the highest traverse speed (135 mm/min), the sample failed at nugget toward advancing side.

# **4 Discussion**

#### **4.1 Efect of Tool Design and Traverse Speed on Spindle Torque**

Spindle torque is the material's response to resist the angular deformation imposed by the rotating tool. From the result section, it is evident that spindle torque varies distinctly with variation in both tool design and traverse speed (Fig. [3a](#page-3-0)).



As the tool proceeds through joining line, a higher interaction time (caused by a lower traverse speed) will lead to the generation of more heat (as evident from Fig. [4](#page-4-2)a, b). This eventually leads to the better deformation of the workpiece. Since the tool has to traverse across the less deformed material at higher traverse speeds (105 mm/min and 135 mm/ min), a sudden hike in initial values of spindle torques is obtained (Fig. [3a](#page-3-0)). With further advancement of the tool, spindle torque decreases and comes to a stable position as joining line ahead of the tool may get sufficiently preheated. The area of interaction between tool pin and workpiece is less for TT tool compared to TTh tool due to the absence of threads. This causes generation of less frictional heat and further thread incorporated deformation is absent for TT tool. These factors when further associated with a comparatively higher traverse speed resulted in the requirement of enhanced torque for material deformation resulting in a gradual increase in spindle torque. The same analogy is valid for fuctuation of spindle torque for TT tool at 135 mm/ min. This fuctuation reveals the inability of TT tool to stir the material effectively at a higher traverse speed indicating a sign of increased sliding condition between tool and workpiece interface. From Fig. [5a](#page-5-0), b, it is clear that with the increase in peak temperature spindle torque and other forces decrease. This proves that a higher peak temperature which is a manifestation of increased heat input causes higher deformation (leading to higher plasticized material). As a result, less torque and forces are required to stir the deformed material.

# **4.2 Efect of Tool Design and Traverse Speed on Z‑force and X‑force**

The downward material flow incorporated by tool pin is more for unit length due to a higher interaction time at lower traverse speeds. This results in the availability of less material under the shoulder causing a lesser hike in the initial Z-force and a gradual increase with time (Fig. [3b](#page-3-0)). At increased traverse speed, heat input decreases resulting in a decrease in downward flow and comparatively less deformed material available under the shoulder. It causes an initial hike in the Z-force value at higher traverse speed (105 mm/min and 135 mm/min) as well as increased Z-force (Fig. [3](#page-3-0)b). FSW is an asymmetrical welding process where the advancing and retreating sides exhibit a difference in heat generation and material flow (Kadian and Biswas [2017](#page-14-20); Sun and Wu [2018\)](#page-14-21). Typically, the advancing side of the weld attains higher heat generation where the shearing action is more prominent than the retreating side. All these phenomena create a difference in flow stress in advancing and retreating side and that can be sensed by the tool as a fluctuation of forces during rotation. At the higher traverse speed, since the



<span id="page-8-0"></span>**Fig. 8** Nugget zone grain size for TT tool (traverse speed **a** 45 mm/min, **b** 75 mm/min, **c** 105 mm/min, **d** 135 mm/min. Nugget zone grain size for TTh tool (traverse speed **e** 45 mm/min, **f** 75 mm/min, **g** 105 mm/min, **h** 135 mm/min)



<span id="page-8-1"></span>**Fig. 9** Average grain diameter of nugget zone for both the tool with varying traverse speeds

heat input is less, much force is required to shear the less deformed material from advancing side. Moreover, it has to pass through the retreating side with less force (since the shearing action is less). This causes a larger drop in forces causing a higher fluctuation which is evident from Fig. [3](#page-3-0)b for TT tool at 135 mm/min. This may create a discontinuity and non-uniformity of material flow beneath the shoulder from advancing side to retreating side, causing instability in material movement. This indicates material flow under shoulder at higher traverse speed is less stable for TT tool, whereas no such increased fluctuation is detected for TTh tool since the larger area of threads generate more heat and a higher deformed material is available for TTh tool. Increased Z-force is a requirement to consolidate the less deformed material instigating a larger Z-force at a higher traverse speed. The same analogy is justified for increased X-force for increased traverse speed. As depicted in Fig. [3c](#page-3-0), an abrupt behavior of X-force with irregular fluctuation depicts the inability





<span id="page-9-0"></span>**Fig. 10** SEM image of secondary particle distribution for TT tool (Traverse speed **a** 45 mm/min, **b** 75 mm/min, **c** 105 mm/min, **d** 135 mm/min. SEM image of secondary particle distribution for TTh

tool (traverse speed **e** 45 mm/min, **f** 75 mm/min, **g** 105 mm/min, **h** 135 mm/min). **i** SEM image of secondary particle distribution for base material (AA 6061-T6)

of TT tool to maintain a better material movement at increased traverse speed (135 mm/min), whereas comparatively more stable X-force distribution is achieved for TTh tool for all cases. This clearly shows that TTh tool manages the material flow more effectively even though the average X-force for TTh tool is comparatively higher (Fig. [4](#page-4-2)b). All these together led to the observation of reduced X-force fluctuation even at increased traverse speed for TTh tool contrary to TT tool. Such decrease in X-force fluctuation is an indication that even at a higher traverse speed TTh tool is capable of providing better material movement compared to TT tool. This difference can be attributed to the thread incorporated feature of TTh tool. For TT tool, both Z-force and X-force are comparatively less than TTh tool as the amount of material deformed has increased due to the larger surface area of TTh pin.



# **4.3 Analysis of Macrostructural Property**

As the tool rotates and traverses it forces the material of leading side of the tool pin and displaces it to the trailing side over the pin surface resulting in the formation of nugget zone (Mehdi and Mishra  $2018$ ). Material flow under the shoulder is commonly known as shoulder induced fow. It is governed by the forging action of the shoulder and shearing of material in advancing side by means of applied vertical force and shoulder rotation. The increased tool–workpiece interaction area caused by threads in TTh tool leads to the observation of larger weld area (Fig. [7](#page-7-0)a, b). Moreover, the requirement of increased torque and Z-force contributes to the increased amount of material deformation leading to larger weld zone (Figs. [6,](#page-6-0) [7](#page-7-0)). Larger vertical forces (Z-force) attained by TTh tool contribute to the better consolidation of deformed material behind the tool. Moreover, the fuctuations in material



<span id="page-10-0"></span>**Fig. 11** Microhardness profle across along the center of nugget in horizontal direction

flow resistance (X-force) experienced by the tool pin is more stable (Fig. [3](#page-3-0)c). The threads facilitate better downward material fow that indicates a balanced interaction between



<span id="page-10-1"></span>**Fig. 12** Variation in diferent tensile properties for taper (TT) and taper threaded (TTh) tool with a variation in traverse speed

shoulder and pin induced fow in case of TTh tool. All these factors contribute to the better consolidation of displaced material by the shoulder. This led to the observation of comparatively thicker shoulder induced region for TTh tool and a uniform material fow in nugget. Increased traverse speed leads to a less plasticized material; hence, the requirement of a larger vertical force arises (Fig. [3](#page-3-0)b). Moreover, material fow resistance (X-force) increases with traverse speed  $(Fig. 3c, 4)$  $(Fig. 3c, 4)$  $(Fig. 3c, 4)$  $(Fig. 3c, 4)$ . This indicates that downward flow decreases for increased traverse speed resulting in a reduction in thickness of shoulder induced region. However, increased plasticization at lower traverse speed (45 mm/min) assists in increased sliding condition resulting in the reduction in thickness of shoulder induced region. A poor material flow is achieved as evident from the distribution of abrupt changes in torque and forces (Fig. [3a](#page-3-0)–c) at 135 mm/min. This forms a tunnel defect at the advancing side of nugget-TMAZ interface for TT tool, whereas no such defects can be seen for TTh tool due to thread-guided fow. Moreover, for TTh tool a stacked layered onion ring pattern is obtained, whereas for TT tool no such observation can be confrmed (Fig. [6](#page-6-0)). This stacked layered onion ring pattern may be obtained due to





<span id="page-11-0"></span>**Fig. 13** Variation of fracture type and surface respectively for taper tool (TT) with varying traverse speeds (**a**, **b** 45 mm/min, **c**, **d** 75 mm/min, **e**, **f** 105 mm/min, **g**,**h** 135 mm/min) and for taper threaded tool (TTh) (**i**, **j** 45 mm/min, **k**, **l** 75 mm/min, **m**, **n** 105 mm/min, **o**, **p** 135 mm/min)



Traverse speed (mm/ min)	Taper tool (TT)	Taper threaded tool (TTh)
45	Coarse elongated dimples Fracture position—at advancing side, outside nugget fracture type—ductile	Coarse dimples Fracture position-At advancing side, outside nugget Fracture type—ductile
75	Small dimples shape with layered morphologies Fracture position—at advancing side, outside nugget Fracture type—mix mode of failure	Coarse dimples Fracture position—at advancing side, outside nugget Fracture type—ductile
105	Coarse dimples Fracture position—at advancing side, outside nugget Fracture type—ductile	Fine dimples Fracture position—At retreating side, outside nugget Fracture type—ductile
135	Elongated cleavage surface Fracture position—from the bottom of nugget (at weld center) toward advancing side Fracture type—mix mode of failure	Very fine dimples Fracture position—at retreating side, out side nugget Fracture type—ductile

<span id="page-12-0"></span>**Table 4** Variation in fracture type for taper (TT) and taper threaded (TTh) tool with a variation in traverse speed

the infuence of thread-induced fow. The presence of this stacked multiple onion rings is an indication of the formation of multiple localized fow around the threaded pin which facilitates better material mixing.

#### **4.4 Analysis of Microstructural Property**

Due to dynamic recrystallization, equiaxed grains are observed in the nugget zone for all the welding (Fig. [8](#page-8-0)). Grain size in friction stir welding depends on different factors such as heat input, cooling rate and the extent of deformation. Higher heat input results in better deformation of the workpiece. Aluminum alloys are susceptible to grain growth at a lower cooling rate which provides a higher nucleation time (Attallah and Salem [2005](#page-14-23)). Also, a higher heat input assists more intense deformation which yields fner grains. However, faster removal of heat from the weld zone is also a concern to avoid any grain growth assisted by increased nucleation time due to a lower cooling rate. Largely it has seen that a higher heat input is associated with grain growth for FSW of aluminum alloy since it is a comparatively slower welding process where heat source (tool) moves slowly (Attallah and Salem [2005;](#page-14-23) Mishra and Ma  $2005$ ). With the increase in traverse speed, grain size becomes fner for both the tools since heat input decreases due to less interaction time. Also, from the weld thermal plot of the weld zone, it can be seen that faster traverse speed ensures faster cooling due to increased heat source speed, thus reducing grain nucleation time. TTh tool exhibits fner grains compared to TT tool due to a higher heat input which assists better plasticization and is further complimented by threads present in the TTh tool (Fig. [9](#page-8-1)).

From Fig. [10](#page-9-0)i, it can be observed that the secondary particles for the base material are coarser (elongated) and oriented in the rolling direction. In friction stirred samples, the strengthening particles mostly get dissolved into nugget zone due to high heat input as well as high strain rates during welding. However, depending on various conditions reprecipitation and coarsening of those second phase particles may happen in the nugget zone. At a lower traverse speed, the heat input and tool stirring time cause more dissolution of second phase particles, which decreases at increased traverse speeds. With the increase in traverse speeds the amount of strengthening particles increases for both of the tools. The higher amount of Spindle torque, Z-force and lower fluctuation of X-forces improved the stirring action and material flow for TTh tool. This assists the strengthening particles to break down in fner mode.

#### **4.5 Analysis of Hardness**

Comparatively higher hardness is achieved for TT tool than TTh tool beside the fact that TTh tool exhibits a fner grain size (Fig. [11\)](#page-10-0). Such behavior can be attributed to the fact that the properties of precipitation-hardened aluminum alloy, like AA 6061-T6, largely depend on the formation and distribution of second phase precipitates in addition to grain size. As discussed earlier, higher deformation and heat input attained by TTh tool leads to the dissolution of second phase precipitates. This causes softening of nugget zone. Increasing the mechanical properties by means of achieving fne recrystallized grain is always a concern for researchers in the feld of friction stir welding. Ahemdenia et al. [\(2015](#page-14-24)) found that increased power of ultrasonic-assisted friction stir welding provides fner recrystallized grains which in turn improves the microhardness property of stir zone by 10 HV. Zhou et al. reported that at higher RPM comparatively coarser grains and precipitation phase was obtained due to longer duration of weld thermal cycle. As an effect, the hardness of weldment decreases (Zhou et al. [2017\)](#page-15-2). With the increase in traverse speeds, the hardness for both tools increases and this may be attributed to the formation of fner grain size and



fne particle distribution in nugget zone. The hike of hardness values in nugget zone for TTh tool is more (60 HV to 70 HV) with the increase in traverse speed in comparison with TT tool (78 HV and 70 HV). This is also an indication that at lower traverse speeds the dissolution of second phase precipitates is comparatively more for TTh tool than TT tool as evident from Fig. [10a](#page-9-0), e. The lowest hardness point is achieved at the advancing side for TT tool. It is due to the fact that more heat is produced at advancing side of the welding as the tool has to shear the material from advancing side which may cause grain growth and precipitate dissolution in advancing side for TT tool, whereas the lowest hardness point changes to retreating side in case of TTh tool at higher welding speeds (105 mm/min and 135 mm/min). As at higher traverse speed heat input is less, thread incorporated shearing action is more on less deformed material on retreating side. Higher amount of torque and forces are also encountered by the workpiece. Such circumstances may cause comparatively enhanced grain elongation in retreating side causing such reduction in hardness.

# **4.6 Analysis of Tensile Strength and Fractography**

From the result section, it is inferred that higher tensile properties of the welded joint are obtained for TTh tool compared to that for TT tool (Fig. [12](#page-10-1)). Higher vertical forces and torque attained by TTh tool (Fig. [3a](#page-3-0), b) leads to better management of plasticized material fow and contributes to the formation of fner grains by means of higher deformation (Figs. [8,](#page-8-0) [9](#page-8-1)). According to Hall–Petch relationship, fner grain size results in improved tensile strength (Hall [1954;](#page-14-25) Mironov et al. [2014](#page-14-26)). Higher torque and force assist in breaking down of strengthening particles to a fner level (Fig. [10](#page-9-0)). All these factors contribute to a more uniform and fne distribution of strengthening particles for TTh tool leading to enhanced tensile properties, whereas a sudden decrease in tensile properties for TT tool at a traverse speed of 135 mm/min is marked and is attributed to the formation of tunnel defect (Figs. [6](#page-6-0), [14](#page-13-0)). The fractography study of fractured tensile sample also supports this observation. From Fig. [13](#page-11-0)g, it is revealed that the fracture is roughly taking place at the tool pin and workpiece interface in nugget zone through the tunnel formation (Fig. [6d](#page-6-0)). Also, the deformation in the macroscopic level is much less. This indicates poor bonding is taking place in the nugget, due to increased sliding condition at a higher traverse speed. At a lower traverse speed (45 mm/ min and 75 mm/min), for TTh tool the fracture occurs at advancing side. This is a regular observation since the higher temperature is attained by the advancing side and this may lead to grain growth, causing the lowest hardness region. But with the increase in traverse speed (105 mm/min and 135 mm/min) the fracture position shifts from advancing to



**Fig. 14** Defect formation for TT tool at 135 mm/min

<span id="page-13-0"></span>the retreating side for TTh tool. This happens as the lowest hardness point shifts to the retreating side for TTh tool.

# **5 Conclusion**

The present study aimed at the experimental investigation of the efect of threaded tool pin feature on spindle torque and force variation and their efect on microstructure and mechanical properties. The conclusions that can be drawn from the analysis are listed below:

- With the increase in traverse speed spindle torque, X-force and Z-force increase for both tools. Comparatively higher values of these entities are attained by taper threaded tool (TTh).
- A maximum torque, X-force and Z-force are attained by the TTh tool at 45 mm/min and are 15.73 N-m, 1880 N, 9667 N, respectively, whereas the minimum of these entities was attained by TT tool at 45 mm/min and are 9.81 N-M, 1088 N, 6370 N, respectively.
- With the increase in traverse speed, the fuctuation of X-force reduces for TTh tool, converse to TT tool.
- The peak temperature increases for both tools with a decrease in traverse speed. The TTh tool attains the highest peak temperatures irrespective of traverse speed. The highest peak temperature attained by TT and TTh tool is at 45 mm/min and is 482.32  $\degree$ C and 472.99  $\degree$ C, respectively.
- The area of weld nugget and thickness of shoulder influenced region is more for TTh tool irrespective of traverse speed. A lowest weld nugget area and thickness of shoulder induced region is obtained for TT tool at 45 mm/min and are  $24.21 \text{ mm}^2$ , 0.37 mm, respectively, whereas at



75 mm/min the maximum of these entities is encountered for TTh tool and is  $49.12 \text{ mm}^2$  and 1.57 mm, respectively.

- Onion ring patterns are prominent at a lower traverse speed for TTh tool, whereas for TT tool they are more prominent with a higher traverse speed. Vertically stacked incomplete half elliptical shaped onion rings are achieved by TTh tool.
- Finer grain size and strengthening precipitates with uniform distribution are observed for the TTh tool. With the increase in traverse speed, grain size becomes fner for both the tools, whereas at a lower traverse speeds the strengthening particles are more dissolved. At 135 mm/ min, the TTh tool exhibits comparatively fner grain size with an average grain size of 5.82  $\mu$ m.
- The tensile property increases for both of the tools with an increase in traverse speed. The maximum tensile strength attained by TT tool is at 105 mm/min (187 MPa), whereas maximum tensile strength for TTh tool is 220 MPa at 135 mm/min. At 135 mm/min, a sudden drop in tensile property is observed for TT tool to a value of 176 MPa.

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