RESEARCH PAPER



# Free Vibration Analysis of Carbon Nanotubes by Using Finite Element Method

 $C.$  Dinckal<sup>1</sup>

Received: 12 September 2014 / Accepted: 17 June 2015 / Published online: 9 May 2016 © Shiraz University 2016

Abstract In the present study, an efficient and accurate finite element model for vibration analysis of carbon nanotubes (CNTs) with both Euler–Bernoulli and Timoshenko beam theory has been presented. For this purpose, an analytical solution for the exact dynamic shape functions of CNTs based on both Euler–Bernoulli and Timoshenko beam theories has been derived. The solution is general and is not restricted to a particular range of magnitudes of the nonlocal parameters. The exact dynamic shape functions have been utilized to derive analytic expressions for the coefficients of the exact dynamic (frequency-dependent) element stiffness matrix. Numerical results are presented to figure out the effects of nonlocal parameter, mode number and slenderness ratio on the vibration characteristics of CNTs. It is shown that these results are in good agreement with those reported in the literature. Present element formulation will be useful for structural analyses of nanostructures with complex geometries, loadings, material properties and boundary conditions.

Keywords Carbon nanotubes - Nonlocal elasticity - Finite element method · Exact dynamic shape functions · Exact dynamic stiffness matrix

# 1 Introduction

Nanotubes have a very broad range of electronic, thermal and structural properties that change depending on the different kinds of nanotube length, chirality or twist

 $\boxtimes$  C. Dinckal cdinckal@cankaya.edu.tr (Mongillo [2009](#page-12-0)). Since their discovery in 1991 by Iijima [\(1991](#page-12-0)), both single-wall carbon nanotubes (SWNTs) and multi-wall carbon nanotubes (MWNTs) have become an active area of research. Simply, carbon nanotubes (CNTs) are long, thin cylinders of carbon that are unique in their size, shape and remarkable physical properties. CNTs can be thought of as rolled up; closed graphite sheets (see Fig. [1](#page-1-0)).

Being only a few times wider than atoms, CNTs offer exceptionally high material properties such as electrical and thermal conductivity, stiffness, toughness and remarkable strength (Wilson et al. [2002\)](#page-12-0). Their properties and cylindrical shapes allow for their potential applications in such diverse fields as fibrous reinforcement, atomic label piping and nanostructures. Besides CNTs are structured to serve as a capstone design materials for new nanoelectronics and switching devices. For the sake of example, CNTs have been proposed as nanomachines. They make good nanotweezer tips for electron microscopy, and multi-wall nanotubes can be pulled in and out like pistons. Calculations have been made to understand their role as mechanical nanogears. Furthermore, CNTs with certain defects can act as transistors and CNTs can store hydrogen and may also be useful with lithium as batteries. They have unusual tensile strength and may make valuable building materials if manufactured cheaply in quantity, other potential applications of CNTs include: flat panel display screens, actuators, chemical sensors (Wilson et al. [2002](#page-12-0)). Behaviors of NEMS (Nanoelectromechanical systems) are usually described by two theoretical approaches such as molecular dynamics (MD) and continuum mechanics approach (CMA). MD is the most common method in examining CNT behavior. Liew et al. ([2008\)](#page-12-0) used MD based on a second-generation reactive empirical bond order (REBO) potential to



Department of Civil Engineering, Çankaya University, Ankara, Turkey

<span id="page-1-0"></span>

simulate the flexural wave propagation in a single-walled carbon nanotube (SWCNT). Yakobson et al. ([1996\)](#page-12-0), Wu [\(2004](#page-12-0)), Cho et al. [\(2007](#page-11-0)), Zhang et al. [\(2009](#page-12-0)) and Tsai and Tu [\(2010](#page-12-0)) studied mechanical behavior of CNTs and single graphene layer and graphene flakes. Then continuum models have been proven to be important and efficient tools in the study of nanostructures (Narendar and Gopalakrishnan [2009](#page-12-0)).

Controlled experiments in nanoscale are difficult, and molecular dynamic simulations are computationally expensive and take excessive time to compute. To analyze small-scale structures by considering size effect, nonlocal theory is one of the theories which is the extension of the classical field theory for the application in nanoscale (Reddy [2007](#page-12-0); Reddy and Pang [2008;](#page-12-0) Thai [2012;](#page-12-0) Shen and Zhang [2011](#page-12-0)). Nonlocal continuum modeling with nonlocal elasticity has been established and used as a reliable tool for the analyses of nanostructures (Peddieson et al. [2003](#page-12-0); Wang and Liew [2007\)](#page-12-0). Nevertheless, there is a need to upgrade the classical nonlocal continuum theories to account for the small-scale effects. Vibration analysis of CNTs is important for better understanding of mechanical responses of CNTs. In the literature, there have been a number of studies about vibration of CNTs (Wang et al. [2007;](#page-12-0) Wang [2009;](#page-12-0) Ansari and Gholami [2015;](#page-11-0) Demir et al. [2010;](#page-12-0) Yang et al. [2010](#page-12-0); Murmu and Adhikari [2010;](#page-12-0) Ansari et al. [2011](#page-11-0); Rafiei et al. [2012](#page-12-0); Eltaher et al. [2012,](#page-12-0) [2013a](#page-12-0), [b](#page-12-0); Nami and Janghorban [2015;](#page-12-0) Yan et al. [2013;](#page-12-0) Ansari et al. [2014;](#page-11-0) Chakraverty and Behera [2014](#page-11-0); Ansari et al. [2013](#page-11-0)). Zhang et al. ([2005](#page-12-0)) developed a double-elastic beam model based on Euler–Bernoulli beam theory for transverse vibrations of double-walled carbon nanotubes under compressive axial load to investigate the effects of the axial load on the natural frequencies of double-walled carbon nanotubes. Furthermore, Civalek and Akgöz [\(2010](#page-12-0)) studied free vibration of microtubules based on Euler–Bernoulli beam theory by differential quadrature method to show the effect of the behavior on the frequencies of microtubules. Besides, Civalek and Demir ([2011\)](#page-12-0) presented an approach for obtaining accurate bending moments and displacements in microtubules based on Euler–Bernoulli beam theory by differential quadrature method. Nonlocal constitutive equations used in all the studies (Wang et al. [2007](#page-12-0); Wang [2009;](#page-12-0) Ansari and Gholami [2015;](#page-11-0) Demir et al. [2010](#page-12-0); Yang



et al. [2010](#page-12-0); Murmu and Adhikari [2010](#page-12-0); Ansari et al. [2011](#page-11-0); Rafiei et al. [2012](#page-12-0); Eltaher et al. [2012,](#page-12-0) [2013a,](#page-12-0) [b;](#page-12-0) Nami and Janghorban [2015](#page-12-0); Yan et al. [2013](#page-12-0); Ansari et al. [2014](#page-11-0); Chakraverty and Behera [2014](#page-11-0); Ansari et al. [2013](#page-11-0)) are based on the hypothesis that the stress is a function of strains at all points in the continuum (Eringen [2002;](#page-12-0) Eringen [1983](#page-12-0); Eringen [1976](#page-12-0); Eringen [1972](#page-12-0); Eringen and Edelen [1972](#page-12-0)). In the literature, finite element formulations are used and derived in some studies (Eltaher et al. [2013b](#page-12-0); Phadikar and Pradhan [2010](#page-12-0); Adhikari et al. [2013;](#page-11-0) Pradhan [2012](#page-12-0)). All these studies have focused on vibration analysis in terms of nonlocal, small-scale effects based on EBT or TBT by use of a great number of elements to converge analytical (also exact) solutions. Neither of them has constituted nor derived an exact element which provides exact solutions for the vibration problem of CNTs. Instead, they have employed too many elements to minimize error and also deviate from exact results. The present work aims to develop finite element method in such a way that it can be employed in the study of free vibration of CNTs based on both nonlocal EBT and TBT modeling with only one element per member. The size effect is taken into consideration using the Eringen's nonlocal elasticity theory. Finite element method introduced widely in the 1960s, and formulations are based on cubic Hermitian functions (Cook et al. [2001\)](#page-12-0). In this paper, by use of EBT and TBT governing equations, finite element formulations are derived. Applying the clamped end boundary conditions, the governing equation is solved to obtain exact dynamic shape functions by finite element method. The exact dynamic shape functions construct the bases for obtaining exact dynamic stiffness terms. Due to use of exact shape and dynamic stiffness terms, the proposed solution strictly satisfies equilibrium equations, not only at the element nodes but also within the element, which would not have been the case if Hermitian interpolation functions had been used. This is one of the benefits of the proposed element, and it only requires one element per member to obtain results and converges to exact solution with minimal computational effort. Explicit forms of both exact dynamic shape functions and exact dynamic stiffness terms are presented. Numerical results for free vibration of CNTs are also served up to demonstrate the benefits of the proposed solutions.

## <span id="page-2-0"></span>2 Equations of Nonlocal Beam Theories

#### 2.1 Euler–Bernoulli Beam Theory

The governing equation for free vibration of carbon nanotubes based on Euler–Bernoulli beam theory (EBT) can be written as (Reddy and Pang [2008\)](#page-12-0)

$$
\frac{\partial^2}{\partial x^2} \left( -EI \frac{\partial^2 w}{\partial x^2} \right) + \mu \frac{\partial^2}{\partial x^2} \left[ m_0 \frac{\partial^2 w}{\partial t^2} - m_2 \frac{\partial^4 w}{\partial x^2 \partial t^2} \right]
$$
  
=  $m_0 \frac{\partial^2 w}{\partial t^2} - m_2 \frac{\partial^4 w}{\partial x^2 \partial t^2}$  (1)

where  $\mu = e_0^2 L^2$  (nonlocal parameter),  $m_0 = \rho A$ , (mass inertia)  $m_2 = \rho A \frac{h^2}{12}$ , (mass inertia)  $\rho$  is the mass density and A is the cross-sectional area of the carbon nanotube.

 $e_0$  is a material constant, L is the element length for carbon nanotube, and  $h$  is the depth of the carbon nanotube.

For free vibration, the solution can be written as

$$
w(x,t) = W(x)e^{i\omega t}
$$
 (2)

where  $w(x, t)$  denotes the transverse displacements, depending on position and time, W is the mode shape, and  $\omega$  is the frequency of natural vibration. Substitution of this expression into Eq. (1) yields

$$
p\frac{d^4W(x)}{dx^4} + q\frac{d^2W(x)}{dx^2} - rW = 0
$$
\n(3)

where  $p = EI - \mu m_2 \omega^2$ ,  $q = m_2 \omega^2 + \mu m_0 \omega^2$ ,  $r = m_0 \omega^2$ 

# 2.2 Timoshenko Beam Theory

The governing equations for the natural vibration of the Timoshenko beam theory (TBT) are (Reddy and Pang [2008\)](#page-12-0)

$$
\frac{d}{dx}\left(GAK_s\left(\Phi + \frac{dW}{dx}\right)\right) + \omega^2 m_0 \left[W - \mu \frac{d^2W}{dx^2}\right] = 0 \tag{4}
$$

$$
EI\frac{d^2\Phi}{dx^2} - GAK_s\left(\Phi + \frac{dW}{dx}\right) + \omega^2 m_2 \left[\Phi - \mu \frac{d^2\Phi}{dx^2}\right] = 0
$$
\n(5)

where  $W$  and  $\Phi$  are deflection and rotation that define the mode shapes. By eliminating  $\Phi$  from the two equations, Eq. (4) can be solved for  $d\Phi/dx$ 

$$
\frac{d\Phi}{dx} = -\frac{m_0 \omega^2}{GAK_s}W - \left(1 - \frac{\mu m_0 \omega^2}{GAK_s}\right)\frac{d^2W}{dx^2}
$$
(6)

By differentiating Eq. (5) once and substituting for  $d\Phi/dx$  and  $d^3\Phi/dx^3$  from Eq. (6), one can obtain

$$
(EI - \mu m_2 \omega^2) \left( 1 - \frac{\mu m_0 \omega^2}{GAK_s} \right) \frac{d^4 W}{dx^4}
$$
  
+ 
$$
\left( GAK_s + \frac{m_0 \omega^2}{GAK_s} (EI - \mu m_2 \omega^2) \right)
$$
  
+ 
$$
\left( m_2 \omega^2 - GAK_s \right) \left( 1 - \frac{\mu m_0 \omega^2}{GAK_s} \right) \right)
$$
  

$$
\times \frac{d^2 W}{dx^2} + \frac{m_0 \omega^2}{GAK_s} (m_2 \omega^2 - GAK_s) W = 0
$$
 (7)

Equation (7) can be rewritten as same as the form given in Eq. (3).

Except that

$$
p = (EI - \mu m_2 \omega^2) \left( 1 - \frac{\mu m_0 \omega^2}{GAK_s} \right),
$$
  
\n
$$
q = \left( m_0 \omega^2 (\Omega_0 + \mu) + m_2 \omega^2 \left( 1 - 2 \frac{\mu m_0 \omega^2}{GAK_s} \right) \right),
$$
  
\n
$$
r = m_0 \omega^2 \left( 1 - \frac{m_2 \omega^2}{GAK_s} \right).
$$

where G is shear modulus,  $K_s$  is shear correction coefficient,  $\Omega_0$  is the shear deformation parameter, and A is the cross-sectional area of the carbon nanotube. From Eq. (3), it should be noted that the nonlocal parameter  $\mu$  and shear deformation parameter  $\Omega_0$  have the effect of reducing the natural frequency. In the present study, clamped end type boundary conditions are considered for both EBT and TBT and are also given as  $w = 0$ , and  $dw/dx = 0$ .

#### 3 Finite Element Analysis

The implementation of finite element analysis to the problem involves some steps explained briefly in this section. These steps are identical for both EBT and TBT.

Firstly, Eq. (3) can be rewritten as

$$
\frac{\mathrm{d}^4 y}{\mathrm{d}x^4} + A \frac{\mathrm{d}^2 y}{\mathrm{d}^2 x} - By = 0
$$
\n(8)

For EBT;

$$
A = \frac{m_2 \omega^2 + \mu m_0 \omega^2}{EI - \mu m_2 \omega^2}
$$
\n<sup>(9)</sup>

$$
B = \frac{m_0 \omega^2}{EI - \mu m_2 \omega^2} \tag{10}
$$

For TBT;

$$
A = \frac{\left(m_0 \omega^2 (\Omega_0 + \mu) + m_2 \omega^2 \left(1 - 2 \frac{\mu m_0 \omega^2}{GAK_s}\right)\right)}{(EI - \mu m_2 \omega^2) \left(1 - \frac{\mu m_0 \omega^2}{GAK_s}\right)}
$$
(11)



<span id="page-3-0"></span>
$$
B = \frac{m_0 \omega^2 \left(1 - \frac{m_2 \omega^2}{GAK_s}\right)}{(EI - \mu m_2 \omega^2) \left(1 - \frac{\mu m_0 \omega^2}{GAK_s}\right)}
$$
(12)

By use of Eq. [\(8](#page-2-0)), elements should be formulated. As a result of this formulation, solution for dynamic shape functions and dynamic stiffness terms of the proposed element can be obtained.

The roots of the characteristic equation given in Eq. ([8\)](#page-2-0) are

$$
D_1 = -\beta i \quad D_2 = \beta i \tag{13}
$$

$$
D_3 = -\gamma \quad D_4 = \gamma \tag{14}
$$

where

$$
\beta = \frac{\sqrt{A + \sqrt{4B + A^2}}}{\sqrt{2}} \quad \gamma = \frac{\sqrt{-A + \sqrt{4B + A^2}}}{\sqrt{2}} \tag{15}
$$

provided that  $\sqrt{4B + A^2} > 0$ . Then, the complementary solution for Eq. ([8\)](#page-2-0) is

Then,

$$
\begin{Bmatrix} v_1 \\ \theta_1 \\ v_2 \\ \theta_2 \end{Bmatrix} = H \begin{Bmatrix} c_1 \\ c_2 \\ c_3 \\ c_4 \end{Bmatrix}
$$
 (18)

The matrix  $H$  can be constructed by substituting the boundary conditions into Eq. (16). Finally, Eq. (18) is solved for  $c_1 - c_4$ , and then, this result is substituted back into Eq. (16) to obtain the following:

$$
y(x) = \begin{bmatrix} N_1 & N_2 & N_3 & N_4 \end{bmatrix} \begin{Bmatrix} v_1 \\ \theta_1 \\ v_2 \\ \theta_2 \end{Bmatrix}
$$
 (19)

The functions  $N_1 - N_4$  are the shape functions because they are directly derived from the solution of Eq. [\(8](#page-2-0)). In other words, Eq. (19) satisfies the governing equilibrium equation in Eq. ([8\)](#page-2-0). It is also verified that  $N_1 - N_4$  converges to Hermitian (cubic) polynomials at the limit (i.e.,  $\mu$ and  $m_0 \rightarrow 0$ ). Explicit form of the shape functions is

$$
N_1 = \frac{\begin{pmatrix} \beta \text{Cos}[\gamma x](-\gamma + \beta \text{Sin}[L\beta]\text{Sin}[L\gamma]) + \\ \beta \text{Cos}[\beta x] + \gamma \text{Cos}[\beta x] - \beta \text{Sin}[L\beta]\text{Sin}[\gamma x] - \\ -\gamma(\beta \text{Cos}[\beta x] + \text{Sin}[\beta x] - \beta \text{Sin}[\beta x] - \\ 2\beta \gamma(-1 + \text{Cos}[\beta \text{Cos}[L\gamma]) + (\beta - \gamma)(\beta + \gamma)\text{Sin}[L\beta]\text{Sin}[\gamma x]) \end{pmatrix}}{2(0)}
$$
(20)

$$
N_2 = \frac{\begin{pmatrix} -\gamma \sin[\beta x] + \beta(\cos[(L-x)\beta] - \cos[L\beta]\cosh[\gamma x])\sinh[L\gamma] - \\ (\beta + \gamma \sin[L\beta]\sinh[L\gamma])\sinh[\gamma x] + \\ \cosh[L\gamma](\gamma \cosh[\gamma x]\sin[L\beta] - \gamma \sin[(L-x)\beta] + \beta \cos[L\beta]\sinh[\gamma x]) \end{pmatrix}}{2\beta\gamma(-1 + \cos[L\beta]\cosh[L\gamma]) + (\beta - \gamma)(\beta + \gamma)\sin[L\beta]\sinh[L\gamma])}
$$
(21)

$$
N_3 = \frac{\left(\frac{-\gamma(\beta(\text{Cos}[(L-x)\beta] - \text{Cos}[L\beta]\text{Cosh}[\gamma x] + \text{Cosh}[L\gamma](-\text{Cos}[\beta x] + \text{Cosh}[\gamma x])) + \gamma\text{Sin}[\beta x]\text{Sinh}[L\gamma]\right) + \beta(\beta\text{Sin}[L\beta] + \gamma\text{Sinh}[L\gamma])\text{Sinh}[\gamma x]}{2\beta\gamma(-1 + \text{Cos}[L\beta]\text{Cosh}[L\gamma]) + (\beta - \gamma)(\beta + \gamma)\text{Sin}[L\beta]\text{Sinh}[L\gamma])}
$$
(22)

$$
N_4 = \frac{\left(\frac{\gamma \text{Sin}[(L-x)\beta] + \gamma \text{Cosh}[L\gamma]\text{Sin}[\beta\text{x}] - \beta \text{Cos}[\beta\text{x}]\text{Sinh}[L\gamma] + \beta \text{Cos}[\gamma\text{x}] - \beta \text{Cos}[\beta\text{x}]\text{Sinh}[\gamma\text{x}] \right)}{2\beta\gamma(-1 + \text{Cos}[L\beta]\text{Cosh}[L\gamma]) + (\beta - \gamma)(\beta + \gamma)\text{Sin}[L\beta]\text{Sinh}[L\gamma])}
$$
(23)

$$
y(x) = c_1 \text{Cos}[\beta x] + c_2 \text{Cosh}[\gamma x] + c_3 \text{Sin}[\beta x] + c_4 \text{Sinh}[\gamma x]
$$
\n(16)

the following clamped end type boundary conditions:

 $(16)$ The constants  $c_1 - c_4$  can be obtained after enforcing to approach zero in Eqs.  $(20)$ ,  $(21)$ ,  $(22)$ ,  $(23)$ , one can obtain the cubic Hermitian polynomials as follows:

$$
N_1 = \frac{(L-x)^2 (L+2x)}{L^3} \tag{24}
$$

$$
y(0) = v_1
$$
,  $\frac{dy}{dx}(0) = \theta_1$ ,  $y(L) = v_2$ ,  $\frac{dy}{dx}(L) = \theta_2$  (17)

$$
N_2 = \frac{(L - x)^2 x}{L^2}
$$
 (25)

If these processes are continued by allowing  $\gamma$  and  $\beta$  also



$$
N_3 = \frac{(3L - 2x)x^2}{L^3} \tag{26}
$$

$$
N_4 = \frac{x^2(-L+x)}{L^2} \tag{27}
$$

These expressions provide checks on the accuracy of the computations in that one can successively reduce Eqs. [\(20](#page-3-0)), [\(21](#page-3-0)), ([22\)](#page-3-0) and [\(23](#page-3-0)) to Eqs. ([24\)](#page-3-0), [\(25](#page-3-0)), [\(26](#page-3-0)), (27) by letting both  $A$  and  $B$  approach zero. This is a common theme for most derivations in this paper. Use of Hermitian polynomials leads to conventional stiffness terms in finite element. Herein the exact dynamic shape functions are compared with Hermitian polynomials in order to make clear the effect of nonlocal parameters in response. This is one of the advantages of Hermitian shape functions. One of the aims of this study is to show the relations such that at limiting cases of the parameters (such as  $m_2, m_0, \Omega_0, G, A, K_s$  and  $\omega$ ) when they approach to zero, the derived shape functions and dynamic stiffness terms converge to Hermitian shape functions and conventional stiffness terms, respectively.

For this reason, it is a guiding light to exemplify the variation of the shape function as a function of  $m_2, m_0, \Omega_0, G, A, K_s$  and  $\omega$  or nonlocal parameter  $\mu$  and to compare them with the corresponding Hermitian polynomials. This can be achieved by defining their interaction in the form of a three-dimensional surface graph. To serve this purpose, two new nondimensional variables,  $p$  and  $z$ , are introduced in such a way that  $z = AL^2$  and  $p = BL^4$ . In Figs. 2 and [3,](#page-5-0) the surface plots of shape functions  $N_1$  and  $N_2$  are presented. These plots show the variation through a range of values for  $z$  at the values of  $p = 1 \times 10^{-27}$ ,  $10 \times 10^{-27}$ ,  $50 \times 10^{-27}$  and  $100 \times$  $10^{-27}$ . The horizontal axis denoted by  $\xi$  is the nondimensional axial coordinate which is  $x/L$ . The shapes for N<sub>3</sub> and  $N_4$  are simply the antisymmetric mirror images of  $N_1$  and  $N_2$ , respectively. It is observed from the figures that for small values of A (approximately characterized by  $0 \le p \le 1 \times 10^{-27}$ , and the resulting shape is almost the same as Hermitian shape functions. Whereas, for large values of  $z$  (i.e., higher nonlocal effect), the shape functions deviate considerably from the Hermitian shape functions.

The convergence case can be also demonstrated in detail for  $N_1$  $N_1$  by Table 1 and Fig. [4.](#page-5-0) For Table 1 and Fig. [4](#page-5-0), as convergence parameters p and z (the appropriate combination of physical parameters given in Eqs. ([9\)](#page-2-0), ([10\)](#page-2-0) and [\(11](#page-2-0)), [\(12](#page-2-0)) for EBT and TBT, respectively) increase, the shape function diverges from Hermitian polynomials (Hermitian shape functions). In other words, if nonlocal effect increases (which is the case of larger z),  $N_1$  diverges



## <span id="page-5-0"></span>Fig. 3 Surface plots of  $N_2$



**Table 1** Comparison of  $N_1$  in terms of Hermitian polynomials and the convergence parameters





Fig. 4 Error of the Convergence of parameters for  $N_1$ 

from Hermitian shape function (given in Eq.  $(24)$  $(24)$ ). This is why error increases as p and z increase. The same results are also valid for other shape functions.

Subsequently, dynamic stiffness matrix terms are obtained from the following equation:

$$
K_{ij} = \int\limits_{0}^{L} \frac{\mathrm{d}^2 N_i}{\mathrm{d}x^2} \frac{\mathrm{d}^2 N_j}{\mathrm{d}x^2} \mathrm{d}x - A \int\limits_{0}^{L} \frac{\mathrm{d}N_i}{\mathrm{d}x} \frac{\mathrm{d}N_j}{\mathrm{d}x} \mathrm{d}x - B \int\limits_{o}^{L} N_i N_j \mathrm{d}x. \tag{28}
$$

in which the first integral yields material stiffness terms, the second integrals are related to element attributed to nonlocal effect and dynamic effects. The term  $N_i$  represents ith shape function, and all of the above integrals are carried out over the element length L. It is interesting to note that



<span id="page-6-0"></span>the second and the third integrals have a destabilizing effect on the stiffness terms. This is also consistent with the fact that nonlocal effect reduces the stiffness (Wang et al. [2007;](#page-12-0) Wang [2009;](#page-12-0) Ansari and Gholami [2015;](#page-11-0) Demir et al. [2010;](#page-12-0) Yang et al. [2010](#page-12-0); Murmu and Adhikari [2010;](#page-12-0) Ansari et al. [2011](#page-11-0); Rafiei et al. [2012](#page-12-0); Eltaher et al. [2012,](#page-12-0) [2013a](#page-12-0), [b](#page-12-0); Nami and Janghorban [2015;](#page-12-0) Yan et al. [2013;](#page-12-0) Ansari et al. [2014;](#page-11-0) Chakraverty and Behera [2014](#page-11-0); Ansari et al. [2013](#page-11-0)).

In the previous sections, the shape functions are derived separately. Now it is time to substitute them into the above equation to obtain dynamic stiffness terms. The dynamic stiffness terms are explicitly derived and obtained as follows:

#### 3.1 Mass Matrix

Mass matrix is obtained by calculation of the third integral in Eq. [\(28](#page-5-0)). The  $4 \times 4$  mass matrix **m** in terms of shape functions for a uniform segment with mass per unit length is found by computation of the following integral (Franklin [2001](#page-12-0); Alemdar and Gülkan [1997](#page-11-0)).

$$
\mathbf{m} = B \int N^T N dx \tag{40}
$$

When calculating the integral  $\beta$ ,  $\gamma$  are being set to zero which is the case of use of Hermitian interpolation functions, the  $4 \times 4$  matrix takes the following matrix form:

$$
k_{11} = -\frac{\beta \gamma (\beta^2 + \gamma^2)(\beta \text{Cosh}[L\gamma] \text{Sin}[L\beta] + \gamma \text{Cos}[L\beta] \text{Sinh}[L\gamma])}{-2\beta \gamma + 2\beta \gamma \text{Cos}[L\beta] \text{Cosh}[L\gamma] + (\beta^2 - \gamma^2) \text{Sin}[L\beta] \text{Sinh}[L\gamma]}
$$
(29)

$$
k_{12} = \frac{\beta \gamma \left(-\beta^2 + \gamma^2 + (\beta^2 - \gamma^2)\right) \cos[L\beta] \cosh[L\gamma] - 2\beta \gamma \sin[L\beta] \sinh[L\gamma])}{-2\beta \gamma + 2\beta \gamma \cos[L\beta] \cosh[L\gamma] + (\beta^2 - \gamma^2)\sin[L\beta] \sinh[L\gamma]}
$$
(30)

$$
k_{13} = \frac{\beta \gamma (\beta^2 + \gamma^2)(\beta \sin[L\beta] + \gamma \sinh[L\gamma])}{-2\beta \gamma + 2\beta \gamma \cos[L\beta] \cosh[L\gamma] + (\beta^2 - \gamma^2) \sin[L\beta] \sinh[L\gamma]}
$$
(31)

$$
k_{14} = \frac{\beta \gamma (\beta^2 + \gamma^2)(\cos[L\beta] - \cosh[L\gamma])}{-2\beta \gamma + 2\beta \gamma \cos[L\beta]\cosh[L\gamma] + (\beta^2 - \gamma^2)\sin[L\beta]\sinh[L\gamma]}
$$
(32)

$$
k_{22} = \frac{(\beta^2 + \gamma^2)(-\gamma \cosh[L\gamma] \sin[L\beta] + \beta \cos[L\beta] \sinh[L\gamma])}{-2\beta\gamma + 2\beta\gamma \cos[L\beta] \cosh[L\gamma] + (\beta^2 - \gamma^2) \sin[L\beta] \sinh[L\gamma]}
$$
(33)

$$
k_{23} = -\frac{\beta \gamma (\beta^2 + \gamma^2)(\cos[L\beta] - \cosh[L\gamma])}{-2\beta \gamma + 2\beta \gamma \cos[L\beta]\cosh[L\gamma] + (\beta^2 - \gamma^2)\sin[L\beta]\sinh[L\gamma]}
$$
(34)

$$
k_{24} = -\frac{(\beta^2 + \gamma^2)(-\text{Sin}[L\beta] + \beta\text{Sinh}[L\gamma])}{-2\beta\gamma + 2\beta\gamma\text{Cos}[L\beta]\text{Cosh}[L\gamma] + (\beta^2 - \gamma^2)\text{Sin}[L\beta]\text{Sinh}[L\gamma]}
$$
(35)

$$
k_{33} = k_{11} \tag{36}
$$

$$
k_{34} = -k_{12} \tag{37}
$$

$$
k_{44} = k_{22} \tag{38}
$$

$$
\frac{\beta = \sqrt{A + \sqrt{A^2 + 4B}}}{\sqrt{2}} \quad \frac{\gamma = \sqrt{-A + \sqrt{A^2 + 4B}}}{\sqrt{2}} \tag{39}
$$

Moreover, the derived stiffness terms are normalized with respect to their conventional stiffness terms and portrayed graphically in Fig. [5.](#page-7-0) It is noted from the figure that the diagonal terms decrease as  $p$  increases, and for some ranges of  $p$  and  $z$ , they can become negative.

$$
\mathbf{m} = \frac{B}{420} \begin{bmatrix} 156 & 22L & 54 & -13L \\ 22L & 4L^2 & 13L & -3L^2 \\ 54 & 13L & 156 & -22L \\ -13L & -3L^2 & -22L & 4L^2 \end{bmatrix}
$$
(41)

# 3.2 Geometric Stiffness Matrix

Geometric stiffness matrix is obtained by calculation of the second integral in Eq. [\(28](#page-5-0)). Components of the geometric stiffness matrix can be obtained by computation of the following integral (Franklin [2001;](#page-12-0) Alemdar and Gülkan [1997](#page-11-0)).



<span id="page-7-0"></span>

Fig. 5 Normalized stiffness terms

$$
K_G = A \int \frac{dN^T}{dx} \frac{dN}{dx} dx
$$
\n(42)

The terms contained in Eq. (43) have also been obtained in closed form when  $\beta$ ,  $\gamma$  are being set to zero which is the case of use of Hermitian interpolation functions.

The  $4 \times 4$  matrix becomes

$$
K_G = \frac{A}{30L} \begin{bmatrix} 36 & 3L & -36 & 3L \\ 3L & 4L^2 & -3L & -L^2 \\ -36 & -3L & 36 & -3L \\ 3L & -L^2 & -3L & 4L^2 \end{bmatrix}
$$
(43)

Equations  $(43)$  and  $(41)$  $(41)$  are simply the outcomes of Eqs. [\(42](#page-6-0)) and [\(40](#page-6-0)), respectively, for  $p = z = 0$ . When only



<span id="page-8-0"></span>Table 2 Material and geometric properties of CNT (Reddy and Pang [2008\)](#page-12-0)

Parameters Values			
$\rho$	2300 kg/m <sup>3</sup>		
E	1000 GPa		
I	$4.91 \times 10^{-38}$ m <sup>4</sup>		
G	420 GPa		
$\mathcal{V}$	0.19		
$\overline{d}$	$1 \times 10^{-9}$ m		
A	$7.85 \times 10^{-19}$ m <sup>2</sup>		
$K_{s}$	0.877		
$\Omega_0$	$1.7 \times 10^{-3}$ m <sup>2</sup>		
L	$1.5 \times 10^{-9}$ m		

Table 3 Nondimensional natural frequencies clamped CNTs based on EBT when  $L = 1.5 \times 10^{-9}$  m



 $z = 0$  is considered, the solution is for local Euler–Bernoulli beam theory.

# 3.3 Conventional Stiffness Matrix

When  $\beta$ ,  $\gamma$  are being set to zero which is the case of use of Hermitian interpolation functions, the integral in Eq. ([28\)](#page-5-0) has been computed for each stiffness term. As a result, the

**Table 4** Nondimensional natural frequencies clamped CNTs based on TBT when  $L = 1.5 \times 10^{-9}$  m

	Mode number	TBT (Reddy)	Present	Deviation $(\%)$
$e_{\rm o}=0$	1	0.8992	0.9047	0.6120
	$\overline{2}$	0.8902	0.8959	0.6454
	3	0.8858	0.8834	0.2730
	$\overline{4}$	0.8768	0.8777	0.1013
	5	0.8678	0.8678	0.0035
	6	0.8587	0.8500	1.0185
$e_{o} = 0.33$	1	0.8945	0.8834	1.2461
	$\overline{2}$	0.8714	0.8773	0.6828
	3	0.8529	0.8520	0.1051
	$\overline{4}$	0.8298	0.8291	0.0788
	5	0.8019	0.7938	1.0086
	6	0.7694	0.7866	2.2416
$e_{o} = 0.67$	1	0.8710	0.8773	0.7277
	$\overline{2}$	0.8290	0.8289	0.0095
	3	0.7823	0.7808	0.1952
	$\overline{4}$	0.7262	0.7222	0.5519
	5	0.6607	0.6600	0.1081
	6	0.5952	0.5941	0.1914
$e_{o} = 1$	1	0.8387	0.8291	1.1473
	$\overline{2}$	0.7621	0.7222	5.2342
	3	0.6712	0.6711	0.0133
	$\overline{4}$	0.5803	0.5900	1.6801
	5	0.4895	0.4932	0.7635
	6	0.3938	0.3945	0.1821



Fig. 6 Variation of frequencies of clamped end CNT based on TBT

following  $4 \times 4$  consistent and conventional stiffness matrix is obtained.

$$
K = \frac{EI}{L^3} \begin{bmatrix} 12 & 6L & -12 & 6L \\ 6L & 4L^2 & -6L & 2L^2 \\ -12 & -6L & 12 & -6L \\ 6L & 2L^2 & -6L & 4L^2 \end{bmatrix}
$$
(44)



# <span id="page-9-0"></span>4 Numerical Results

Numerical analysis related to free vibration of CNTs is presented in this section. Free vibration frequencies are calculated with the derived element. It should be noted that these frequencies are the values of  $\omega$  which makes the determinant of stiffness matrix zero. These results are then compared to solutions obtained by analytical (Reddy and



Fig. 7 Variation of frequencies of clamped end CNT based on EBT

Pang [2008\)](#page-12-0) and finite element method in which an eigenvalue analysis is carried out in such a way that  $((K + K_G) - \omega^2 M)\Phi = 0$  where K,  $K_G$  and M are the assembled stiffness, geometric stiffness and mass matrices, respectively, and  $\Phi$  express the corresponding shapes of the vibrating system known as the eigenvectors (also called mode shapes). The resulting eigenvalue matrix dimension is  $4 \times 4$  for clamped end type boundary conditions. This dimension is also the same for other types of boundary conditions. It should be noted that different boundary conditions yield different shape functions. In fact, this study has focused on clamped end type boundary condition. Here, the proposed solution requires more than one element per member so consistent mass matrices are used. The proposed element is added to a finite element library implemented in Mathematica (Wolfram [1988\)](#page-12-0). The material and geometric values of CNT are given in Table [2.](#page-8-0) The analytical results of Reddy and Pang ([2008\)](#page-12-0) are compared with the present study results and given in Tables [3](#page-8-0) and [4](#page-8-0) for both EBT and TBT.

In Tables  $3$  and  $4$ , deviation  $(\%)$  in terms of error is considerably lower and is achieved by only one element. This situation proves that this study attains its aim.





<span id="page-10-0"></span>



Fig. 10 Variation of frequencies for various mode numbers and nonlocal parameter based on EBT

0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8

**Frequency (Hz)**

Frequency (Hz)

Fig. 11 Variation of frequencies for various mode numbers and nonlocal parameter based on TBT



<span id="page-11-0"></span>Frequencies of 12 modes with various nonlocal parameters are plotted for clamped end CNT based on TBT and EBT in Figs. [6](#page-8-0) and [7](#page-9-0), respectively.

Figures [8](#page-9-0) and [9](#page-10-0) illustrate the effect of nonlocal parameter and L/h on the vibration characteristics for clamped CNTs.

As shown in both Figs. [8](#page-9-0) and [9,](#page-10-0) as the nonlocal parameter increased, the frequencies decreased. No significant effect of slenderness ratio (L/h) on frequencies except for the third frequency based on TBT was observed. But this is not the case for the frequencies based on EBT. The effect of slenderness ratio is reduced by increasing the nonlocal parameter. This trend is compatible with experimental results. In Rudd and Broughton [\(1999](#page-12-0)), it was found that the fundamental frequency obtained by molecular simulation is always less than that obtained by the classical continuum elasticity theory. This tendency is also consistent with the findings in this study for the clamped CNTs.

Figures [10](#page-10-0) and [11](#page-10-0) are presented to show the frequency response for different mode numbers and nonlocal parameters for both EBT and TBT separately.

As shown in both Figs. [10](#page-10-0) and [11](#page-10-0), as the nonlocal parameter and mode number increased, the frequencies decreased for both EBT and TBT. It should be noted that this decrease of frequency for the case of TBT is much more and quicker than that for the case of EBT. For instance, for the case  $e_0 = 10$ , at modes 21 and 22, the frequencies based on TBT approach zero quicker than those on EBT.

## 5 Discussion and Conclusions

Nonlocal theories of the Euler–Bernoulli and Timoshenko beam theories are furthered, and analytical solutions for clamped end boundary condition are derived for free vibration of CNTs.

By use of the derived finite element, this paper propounds a new approach for obtaining accurate frequencies of CNTs using both nonlocal theories: EBT and TBT. Dynamic shape functions and dynamic stiffness terms are explicitly derived and presented. The following observations and results are obtained from the figures and tables:

In general, the effect of nonlocal parameter, shear deformation parameter and mass inertia is to reduce the fundamental frequencies.

At the limiting cases of the physical parameters (i.e.,  $\mu \rightarrow 0$ ,  $\Omega_0 \rightarrow 0$   $m_2 \rightarrow 0$ ,  $GAK_s \rightarrow 0$  and  $\omega \rightarrow 0$ ), the derived shape functions and dynamic stiffness terms converge to the Hermitian shape functions and conventional stiffness terms, respectively.



- If  $\frac{m_0 \omega^2}{EI \mu m_2 \omega^2}$  for EBT and  $\frac{m_0 \omega^2 \left(1 \frac{m_2 \omega^2}{G A K_S}\right)}{(FI \mu m_2 \omega^2 \left(1 \frac{\mu m_1}{G A K_S}\right))}$  $\frac{m_0 \omega \left(1 - \frac{\mu m_0 \omega^2}{GM_s}\right)}{(EI - \mu m_2 \omega^2)\left(1 - \frac{\mu m_0 \omega^2}{GAK_s}\right)}$  for TBT are described approximately by the interval  $0 \le p \le 1 \times 10^{-27}$ , the resulting shape of shape functions is almost the same as the Hermitian shape. Conversely, the shape functions diverge remarkably from the Hermitian shape functions in case of higher nonlocal effect (for large values of z).
- The effect of mode number is to decrease the fundamental frequencies in case of both EBT and TBT.
- Numerical results prove that the nonlocal parameter yields an important contribution and has an effect on the vibration of CNTs.
- Vibration analysis results are also in good agreement with CNTs nonlocal modeling based on both EBT and TBT.
- The method may also be applicable for obtaining the bending and buckling solutions of CNTs using the nonlocal beam theory based on EBT and TBT.
- This method leads to minimal computational effort with the advantage of using only one element which converges best to exact solution.

The present model is expected to be very efficient in the analysis and design of nanostructures under different boundary conditions with complex geometries and various load conditions. Further, the present work can be extended to higher order beam theories for accurate analysis of thick nanostructures.

# References

- Adhikari S, Murmu T, McCarthy MA (2013) Dynamic finite element analysis of axially vibrating nonlocal rods. Finite Elem Anal Des 63:42–50
- Alemdar BN, Gülkan P (1997) Beams on generalized foundations: supplementary element matrices. Eng Struct 19:910–920
- Ansari R, Gholami R (2015) Dynamic stability of embedded single walled carbon nanotubes including thermal effects. Iran J Sci Technol Trans Mech Eng 39:153–161
- Ansari R, Gholami R, Hosseini K, Sahmani S (2011) A sixth-order compact finite difference method for vibrational analysis of nanobeams embedded in an elastic medium based on nonlocal beam theory. Math Comput Model 54:2577–2586
- Ansari R, Rouhi H, Arash B (2013) Vibrational analysis of doublewalled carbon nanotubes based on the nonlocal donnell shell theory via a new numerical approach. Iran J Sci Technol Trans Mech Eng 37:91–105
- Ansari R, Rouhi H, Sahmani S (2014) Free vibration analysis of single- and double-walled carbon nanotubes based on nonlocal elastic shell models. J Vib Control 20:670–678
- Chakraverty S, Behera L (2014) Free vibration of Euler and Timoshenko nanobeams using boundary characteristic orthogonal polynomials. Appl Nanosci 4:347–358
- Cho J, Luo JJ, Daniel IM (2007) Mechanical characterization of graphite/epoxy nanocomposites by multi-scale analysis. Compos Sci Technol 67:2399–2407
- <span id="page-12-0"></span>Civalek Ö, Akgöz B (2010) Free vibration analysis of microtubules as cytoskeleton components: nonlocal Euler–Bernoulli beam modeling. Sci Iran Trans B Mech Eng 17:367–375
- Civalek  $\ddot{O}$ , Demir C (2011) Bending analysis of microtubules using nonlocal Euler–Bernoulli beam theory. Appl Math Model 35:2053–2067
- Cook RD, Malkus DS, Plesha ME, Witt RJ (2001) Concepts and applications of finite element analysis. Wiley, New York
- Demir Ç, Civalek Ö, Akgöz B (2010) Free vibration analysis of carbon nanotubes based on shear deformable beam theory by discrete singular convolution technique. Math Comput Appl 15:57–65
- Eltaher MA, Emam SA, Mahmoud FF (2012) Free vibration analysis of functionally graded size-dependent nanobeams. Appl Math Comput 218:7406–7420
- Eltaher MA, Alshorbagy AE, Mahmoud FF (2013a) Determination of neutral axis position and its effect on natural frequencies of functionally graded macro/nanobeams. Compos Struct 99:193–201
- Eltaher MA, Alshorbagy AE, Mahmoud FF (2013b) Vibration analysis of Euler–Bernoulli nanobeams by using finite element method. Appl Math Model 37:4787–4797
- Eringen AC (1972) Nonlocal polar elastic continua. Int J Eng Sci 10:1–16
- Eringen AC (1976) Nonlocal polar field models. Academic, New York
- Eringen AC (1983) On differential equations of nonlocal elasticity and solutions of screw dislocation and surface waves. J Appl Phys 54:4703–4710
- Eringen AC (2002) Nonlocal continuum field theories. Springer, New York
- Eringen AC, Edelen D (1972) On nonlocal elasticity. Int J Eng Sci 10(3):233–248
- Franklin YC (2001) Matrix analysis of structural dynamics, applications and earthquake engineering. Marcel Dekker Inc, New York Iijima S (1991) Nanotubes. Nature 354:56–58
- Liew KM, Hu YA, He XQ (2008) Flexural wave propagation in single-walled carbon nanotubes. J Comput Theor Nanosci 5:581–586
- Mongillo J (2009) Nanotechnology 101. Pentagon Press, New Delhi
- Murmu T, Adhikari S (2010) Nonlocal effects in the longitudinal vibration of double-nanorod systems. Phys E 43:415–422
- Nami MR, Janghorban M (2015) Free vibration of functionally graded size dependent nanoplates based on second order shear deformation theory using nonlocal elasticity theory. Iran J Sci Technol Trans Mech Eng 39:15–28
- Narendar S, Gopalakrishnan S (2009) Nonlocal scale effects on wave propagation in multi-walled carbon nanotubes. Comput Mater Sci 47:526–538
- Peddieson J, Buchanan GR, McNitt RP (2003) Application of nonlocal continuum models to nanotechnology. Int J Eng Sci 41:305–312
- Phadikar JK, Pradhan SC (2010) Variational formulation and finite element analysis for nonlocal elastic nanobeams and nanoplates. Comput Mater Sci 49:492–499
- Pradhan SC (2012) Nonlocal finite element analysis and small scale effects of CNTs with Timoshenko beam theory. Finite Elem Anal Des 50:8–20
- Rafiei M, Mohebpour SR, Daneshmand F (2012) Small-scale effect on the vibration of non-uniform carbon nanotubes conveying fluid and embedded in viscoelastic medium. Phys E 44:1372–1379
- Reddy JN (2007) Nonlocal theories for bending, buckling and vibration of beams. Int J Eng Sci 45:288–307
- Reddy JN, Pang SD (2008) Nonlocal continuum theories of beams for the analysis of carbon nanotubes. J Appl Phys 103:023511
- Rudd RE, Broughton JQ (1999) Atomistic simulation of MEMS resonators through the coupling of length scales. J Model Simul Microsyst 1:29–38
- Shen HS, Zhang CL (2011) Nonlocal beam model for nonlinear analysis of carbon nanotubeson elastomeric substrates. Comput Mater Sci 50:1022–1029
- Thai HT (2012) A nonlocal beam theory for bending, buckling, and vibration of nanobeams. Int J Eng Sci 52:56–64
- Tsai JL, Tu JF (2010) Characterizing mechanical properties of graphite using molecular dynamic simulation. Mater Des 31:194–199
- Wang L (2009) Vibration and instability analysis of tubular nano- and micro-beams conveying fluid using nonlocal elastic theory. Phys E 41:1835–1840
- Wang Q, Liew KM (2007) Application of nonlocal continuum mechanics to static analysis of micro and nano structures. Phys Lett A 363:236–242
- Wang CM, Zhang YY, He XQ (2007) Vibration of nonlocal Timoshenko beams. Nanotechnology 18:105401–105410
- Wilson M, Kannangara K, Smith G, Simmons M, Raguse B (2002) Nanotechnology, basic science and emerging technologies. Chapman & Hall/CRC, London
- Wolfram S (1988) Mathematica: a system for doing mathematics by computer. Addison-Wisley, Redwood City
- Wu HA (2004) Molecular dynamics simulation of loading rate and surface effects on the elastic bending behavior of metal nanorod. Comput Mater Sci 31:287–291
- Yakobson BI, Brabec CJ, Bernholc J (1996) Nanomechanics of carbon tubes: instabilities beyond linear response. Phys Rev Lett 76:2511–2514
- Yan JW, Liew KM, He LH (2013) Free vibration analysis of singlewalled carbon nanotubes using a higher-order gradient theory. J Sound Vib 332:3740–3755
- Yang J, Ke LL, Kitipornchai S (2010) Nonlinear free vibration of single-walled carbon nanotubes using nonlocal Timoshenko beam theory. Phys E 42:1727–1735
- Zhang YQ, Liu GR, Han X (2005) Transverse vibrations of doublewalled carbon nanotubes under compressive axial load. Phys Lett A 340:258–266
- Zhang YY, Xiang Y, Wang CM (2009) Buckling of defective carbon nanotubes. J Appl Phys 106:113503

