RESEARCH PAPER

Hydraulic Analysis of Submerged Spillway Flows and Performance Evaluation of Chute Aerator Using CFD Modeling: A Case Study of Mangla Dam Spillway

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Abstract

Submerged spillways with large capacity outlets are generally provided below the dam crest to perform the dual functions of food disposal and sediment fushing. Flood water passing through these spillways exhibits turbulent behavior. Moreover; hydraulic analysis of such turbulent fows is a challenging task. Therefore, the present study aims to use numerical simulations to examine the hydraulic behavior of submerged spillways constructed at Mangla Dam, Pakistan. Besides, the hydraulic performance of aerator was also evaluated at diferent operating conditions. Computational fuid dynamics code FLOW 3D was used to numerically model the fows of Mangla Spillway. Reynolds-averaged Navier–Stokes equations are used in FLOW 3D to numerically model the turbulent fows. The study results indicated that the developed model can simulate the submerged spillway flows as it computed the flow parameters with an acceptable error of up to 6%. Moreover, air concentration computed by model near spillway chute bed was 3% which raised to more than 6% after the installation of ramp on aerator which showed that developed model is also capable of evaluating the performance of submerged spillway aerator.

Keywords Aerator · CFD · FLOW 3D · Froude number · Submerged spillway

1 Introduction

Multi-purpose dams are used for water supply, irrigation, hydropower generation, and food mitigation (Shao et al. [2020\)](#page-12-0). Floods damages include economic damage and human lives; hence, vulnerable areas require mitigation measures to reduce fooding efects (Zhan et al. [2020](#page-12-1)). The spillway is a hydraulic structure that is provided in the dam body to safely pass the floods and reduces its damaging efects (Sarwar et al. [2020](#page-12-2)). Moreover, Pakistan, India, Nepal, and China are constructing multi-purpose reservoirs on their rivers. These rivers carry large sediments which

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are ultimately deposited in reservoirs (Chanel and Doering [2008](#page-11-0); Jothiprakash et al. [2015](#page-12-3)).

The submerged spillway is a type of spillway used to release the food water and fushing of reservoir sediments (Sarwar et al. [2016\)](#page-12-4). Free surface flow and orifice-type flow occur in submerged spillways. Free surface fow takes place in case of low reservoir levels, while at high reservoir levels, spillway passes orifice-type flows (Bhosekar et al. [2012](#page-11-1)). Submerged spillways are built up with short length fat (profile slope $\langle 30^\circ \rangle$ profile to facilitate the sediment flushing operation. These spillways hold the water head up to 90 m. Breast walls are supported by 6- to 8-m-thick piers. In submerged spillways, discharge intensities lie between 100 and $300 \text{ m}^3/\text{sec/m}$, leading to the flow depths of 10 to 12 m and Froude numbers between 3 and 6 (Bhosekar et al. [2012](#page-11-1)).

The operation of such spillways creates cavitational problems at spillway chutes due to high flow velocity (Lian et al. [2017](#page-12-5)). In order to avoid cavitational damages, chute aerators are provided. The aeration of spillway chute through aerators is considered the most economical and efective method (Sarwar et al. [2016\)](#page-12-4).

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Traditionally, hydraulic analysis of spillway fows and performance evaluation of aerators were carried out through physical model studies. However, scale modeling is timeconsuming and expensive. Besides, it has scale efects and required skilled labor for its construction (Ho and Riddette [2010\)](#page-11-2). Nowadays, numerical models are being used widely due to their ability to overcome the drawbacks of scale models. Computational fuid dynamics (CFD) is a numerical method used to model fluid flows. It solves the "Navier–Stokes" equations in three dimensions and can compute the fuid dynamics in a signifcantly improved manner. The application of CFD modeling for hydraulic analysis of spillway fows and performance evaluation of aerators is quite recent (Aydin [2018;](#page-11-3) Gadge et al. [2018](#page-11-4), [2019](#page-11-5); Gurav [2015](#page-11-6); Jothiprakash et al. [2015;](#page-12-3) Teng et al. [2016;](#page-12-6) Teng and Yang [2016](#page-12-7)). Some other researchers like Bennett et al., [\(2018](#page-11-7)), Kumcu, ([2017\)](#page-12-8), and Yang et al., ([2019\)](#page-12-9) have also used CFD models to analyze the flows of different spillway projects. Another branch of CFD, i.e., particle methods in specifc SPH (Shadloo et al. [2016;](#page-12-10) Ye et al. [2019;](#page-12-11) Luo et al. [2021\)](#page-12-12), is also being applied for simulation of spillway flows (Saunders et al. [2014;](#page-12-13) Gu et al. [2017](#page-11-8); Moreira et al. [2019,](#page-12-14) [2020\)](#page-12-15). Thanks to its great performance in handling large deformation of phase boundaries/free surface, splashing, and fow fragmentations as demonstrated by O'Connor and Rogers ([2021\)](#page-12-16) and Shimizu et al. ([2020\)](#page-12-17), these studies indicate that CFD modeling has become a reliable design and analysis tool for spillway projects. However, very few studies are reported where submerged spillway fows were analyzed. In these studies, only submerged spillway with chute slope $> 10^0$ was focused.

In a spillway aerator study, Yang et al. [\(2020](#page-12-18)) performed 3D CFD modeling to understand the air–water fow behavior for a spillway of a large dam located in central Sweden and concluded that CFD models are very useful tools to quantify the air demand and air motion as physical models are unable to correctly model the airfow. Similarly, Aydin ([2018\)](#page-11-3) and Bhosekar et al. ([2012](#page-11-1)) also conducted a numerical analysis of spillway aerators to judge their hydraulic performance which confrmed the ability of CFD models in two-phase fow (air and water) modeling. Results of these studies indicated that air concentration near bed of spillway was more (50%) for small gate opening and it decreased drastically 2 to 3% for low Froude numbers. Placing of defector improved the air concentration in case of low Froude numbers. These studies evaluated the hydraulic performance of ofset and defector (ramp)-type aerators installed at submerged spillways. No study was found regarding performance evaluation of offset-cumramp-type aerators.

It is noted that hydraulic analysis of submerged spillway flows using CFD modeling specifically for very flat profile (chute slope $< 10⁰$) submerged spillways is not reported much in the literature so far. Moreover, studies regarding performance evaluation of ofset-cum-ramp-type aerators are also scanty; thus, there still remains gray area in the field of submerged spillway hydraulic and offset-cumramp-type aerators.

1.1 Mangla Dam Spillway

Mangla Dam, constructed in 1967 on Jhelum River, is the largest dam in Pakistan with a storage capacity of 8634 Mm³ . The main spillway of Mangla Dam is a submerged type provided with redial gates (Fig. [1](#page-1-0)). Spillway operates at a full gate opening of 10.7 m. The design discharge capacity of the spillway is 28600 m^3 /s. Head above spillway crest is 52 m. The total length of the spillway is 135 m. It consists of three monoliths, which are separated by 7-m-wide piers. The spillway is provided with a fat slope parabolic chute and a two-stage stilling basin. Due to high fow velocities (up to 30 m/s), a chute aerator is constructed at the end of the horizontal foor of the Mangla Dam Spillway as shown in Fig. [1.](#page-1-0)

Fig. 1 Longitudinal section of Mangla Dam Spillway up to frst stilling basin

2 Methodology

The following methodology was adopted for CFD modeling of Mangla Dam Spillway.

2.1 Pre‑processing

In the pre-processing, a stepwise procedure was adopted.

2.1.1 Creation of Spillway Geometry

In this step, three-dimensional (3D) drawing of the spillway geometry was converted into stereolithographic (STL) format to import into CFD code FLOW 3D as an input fle.

2.1.2 Mesh Generation

The accuracy of CFD solution depends on cell size. Critical mesh cell size was determined through grid convergence index (GCI). Hence, three diferent sets of meshes with sizes of 2.26 m, 1.5 m, and 1 m were selected to carry out grid convergence for coarse to fne size of the mesh, and the simulations were carried out to determine the discharge. During this process, the mesh size was kept uniform (single Cartesian type) throughout the entire domain. The discretization errors and GCI were calculated for all three grids as shown in Table [1](#page-2-0). f_1 , f_2 , and f_3 are the solutions obtained from fne, medium, and coarse mesh, respectively, in terms of discharge, e_{21} *f*₂−*f*₁</sub>
CCI and $e_{32=}\Big|_{1.25e_2}$ $\left| \frac{f_3 - f_2}{f_3} \right|$ are the approximate relative errors, and $\overline{GCI}_1 = \frac{1.25e_{21}^1}{r^p-1}$ and $\overline{GCI}_2 = \frac{1.25e_{32}^1}{r^p-1}$ are the GCIs for fine and coarse solutions, respectively, where r^p is the refnement factor with order of accuracy "*p*". It is seen that the GCI value in the fne-grid solution is smaller than the GCI value in the coarse-grid solution $(GCI₂)$. Similarly, the approximate relative error is also less with a reduction in grid size.

Based on the above analysis, the grid size of 1 m was fnalized for further simulations as the change in the results is less after the grid size of 1.5 m. Further decrease in grid size will increase the mesh count of the problem, and it would be difficult to simulate on the desktop computer. During mesh generation, cell aspect ratio and adjacent cells ratio were kept close to unity to ensure the quality of the mesh.

2.1.3 Boundary and Initial Condition

The fourth step in pre-processing deals with boundary and initial conditions. Boundary conditions should match with the physical conditions of the problem (Chanel and Doering [2008](#page-11-0)). Three diferent combinations of boundary conditions were tested during this study (Table [2\)](#page-2-1). In Table [2,](#page-2-1) **Pressure** boundary condition specifes the Pressure. If fuid elevation is specifed, the pressure at boundary will follow hydrostatic pressure distribution. **Out Flow** boundary condition is used to translate any fow quantity across the boundary/computational domain. **Symmetry** applies the zero-velocity conditions normal to the boundary. **Vol. Flow Rate** applies the specifed fow rate at boundary whereas **Wall** relates the no-slip conditions at boundary.

Sensitivity analysis was performed to select the appropriate combination of boundary conditions. For this purpose, model was operated at a reservoir level of 378 m AMSL and 384 m AMSL with full gate opening to compare the water levels with physically observed ones for all combinations of boundary conditions. Comparison of results shown in Table [3](#page-3-0) indicates that water levels computed with boundary condition combination 2 are closer to the physical model results. Hence, boundary conditions mentioned under combination 2 were selected for further simulation.

In Fig. [2](#page-2-1) and Table 2, X_{min} = Upstream side of the model, X_{max} = Downstream side of the model, Y_{min} = Left side of the model Y_{max} = Right side of the model Z_{min} = Bottom side of the model, Z_{max} = top side of the model.

In all simulations conducted in this study, the rectangular fuid region as an initial condition was specifed on the upstream side of the spillway at the same level as the

Table 2 Combination of boundary conditions

Boundary Extent	Combination 1	Combination 2	Combination 3	
X_{\min}	Pressure	Vol. flow rate	Vol. flow rate	
$X_{\rm max}$	Out flow	Out flow	Out flow	
Y_{\min}	Wall	Wall	Symmetry	
$Y_{\rm max}$	Wall	Wall	Symmetry	
Z_{\min}	Wall	Wall	Symmetry	
$Z_{\rm max}$	Pressure	Pressure	Pressure	

Table 1 Discretization error of model for three diferent grid sizes

 $\Phi_{21} = (f_2 - f_1)$ and $\Phi_{32} = (f_3 - f_2)$

Sr. No	Operating conditions		Average value of physically	Average value of numerically	Error $(\%)$
	R/L(m AMSL)	G/O(m)	observed water levels (m)	computed water levels (m)	
(a)					
1	378.54	10.67 (fully open)	325.32	328.09	0.84
2	384.05		325.72	328.51	0.85
(b)					
1	378.54	10.67 (fully open)	325.32	326.24	0.28
2	384.05		325.72	326.52	0.24
(c)					
1	378.54	10.67 (fully open)	325.32	326.95	0.50
2	384.05		325.72	327.42	0.52

Table 3 Percentage error in water levels with boundary conditions: (a) combination 1, (b) combination 2, (c) combination 3

R/L, reservoir level; G/O, gate opening

Fig. 2 The initial condition for the Mangla Spillway model

specifed fuid height at the upstream boundary. This initialization is shown in Fig. [2](#page-3-1). Small initial velocity in the x-direction was also specifed to reduce simulation times. X_{High} , X_{Low} , Y_{High} , Y_{Low} , Z_{High} , and Z_{Low} were extents of the

fluid region in the *x*-, *y*-, and *z*-direction. X_{High} extent of the fuid region was the upstream face of the spillway, whereas Z_{High} was the reservoir level.

2.1.4 Numerical Simulations in FLOW 3D

For the current study, numerical modeling of Mangla Dam Spillway flows and chute aerator was carried using CFD code FLOW 3D. It was selected due to its ability to model the free surface fows using the volume of fuid method (VOF). This method adopts the accurate pressure and kinetic boundary conditions. It describes the movement between two fluids in order to prevent the boundary face from smearing (Hirt and Nichols [1981](#page-11-9)). VOF method defnes the cells as empty, full, and partially full with fuid. It assigns the value of zero, one (01), between zero and one (01) to empty, full, and partially full cells, respectively (Savage and Johnson [2001\)](#page-12-19). The evolution of the *m*th fuid in a system on *n* fuids is governed by the transport equation as follows:

$$
\frac{\partial C_m}{\partial t} + v \cdot \nabla C_m = 0. \tag{1}
$$

In Eq. [\(1](#page-4-0)), C_m is fraction function *t* is time and *v* is the velocity vector.

The ability to model the wall roughness, air entrainment, and cavitation was also an important consideration in selecting the FLOW 3D. To determine the void or fow region in each cell, FLOW 3D uses the fractional area/volume obstacle representation (FAVOR) method. Moreover, FLOW 3D uses multi-block mesh to model large domain and nested mesh to capture more flow details in the area of interest (Ho and Riddette [2010\)](#page-11-2). FLOW 3D provides a number of methods to track fuid interfaces. There are two main types of fuid interfaces: a difuse interface and a sharp interface. Code automatically selected the best-ft option depending on the number of fuids (Flow sciences [2013\)](#page-11-10).

For practical purposes, the re-normalized group *k–ε* turbulent energy dissipation equation is used in spillway modeling (Ho and Riddette [2010](#page-11-2)). Governing equations for FLOW 3D are continuity and momentum equations shown as follows:

Continuity:

$$
\frac{\partial}{\partial x}(uA_x) + \frac{\partial}{\partial y}(vA_y) + \frac{\partial}{\partial z}(wA_z) = 0
$$
\n(2)

Momentum:

$$
\frac{\partial U_i}{\partial t} + \frac{1}{V_F} \left(U_j A_j \frac{\partial U_i}{\partial x_j} \right) = -\frac{1}{\rho} \frac{\partial P'}{\partial x_i} + g_i + f_i.
$$
 (3)

In the above equations, variables *u, v,* and *w* represent the velocities in the x-, y-, and z-directions; V_F is the volume fraction of fluid in each cell; A_x , A_y , and A_z are the fractional areas open to flow in the subscript directions; ρ is the density; P' is defined as the pressure; g_i is the gravitational force in the subscript direction; f_i represents the Reynolds stresses; and A_j is the cell face areas. Equations [2](#page-4-1) and [3](#page-4-2) are partial diferential equations. They are discretized in both time and space. Due to the complex nature of turbulence, it is often simplifed and approximated using an average approach (e.g., Reynolds-averaged Navier–Stokes).

Different options are available in the numeric tab of FLOW 3D to solve the above described governing equations of the model. In all simulations, momentum and continuity equations were solved using frst-order momentum advection. FLOW 3D uses frst-order upwind diferencing method for momentum advection algorithm (a transport mechanism of a momentum or conserved property by a fuid due to the fluid's bulk motion). It is robust and sufficiently accurate method in most situations (Flow Sciences [2013](#page-11-10)). Default time step control was used unless simulation would crash. In that case, a smaller minimum time step was tried to obtain the converging solution. All simulations were completed by using the explicit solver option. In this option, the solution is solved progressively at each computational cell by stepping through time, while the time step is restricted to meet stability criteria. The model also provides two pressure solver options namely successive over-relaxation (SOR) and the generalized minimum residual (GMRES). Both options provide fairly good and similar results. In this study, the SOR pressure solver option was used as it ran the simulation slightly faster than GMRES. The iterative convergence is achieved with at least three orders of magnitude decrease in the normalized residuals for each equation solved. Iterative convergence at each time step was checked. Each time step had 30 iterations, and all residuals dropped under three orders every time step. Iterative convergence was achieved in about 600 steps (18,000 iterations) for achieving steadystate discharge.

2.2 Validation of Model

Validation of the numerical model is very important to check its accuracy. True validation of numerical model includes the comparison of model result with prototype measurements. But Mangla Dam Spillway model was validated using physical model results due to lack of prototype data. The physical model of the Mangla Dam Spillway was constructed by Water and Power Development Authority (WAPDA) on an undistorted scale of 1:36 (Wapda [2004](#page-12-20)) as shown in Fig. [3](#page-5-0).

During physical modeling, some dimensionless numbers were also studied. In this case, Re (Reynolds num $ber) = 2.67 \times 10^6$, We (Weber number) = 509, and Froude number (Fr. No.) varied between 2 and 7. Model discharge was measured with suppressed sharp-crested weir. It was installed downstream of the model to measure the fow at different reservoir levels and gate opening. Staff gauge graduated to model scale was used to record the water levels. Total 15 points were marked along the centerline of the chute to observe the water levels, whereas velocity and

Fig. 3 Plan and longitudinal section of physical model of Mangla Dam Spillway at 1:36 scale

pressure were also measured at the same 15 observation points with current meter and transducer, respectively. These observation points were also located on the CFD model by using their co-ordinates. But for validation purposes, only a single point near the start of the chute was selected to get computed values of flow parameters and Froude number, while discharge value was computed at outflow boundary condition (X_{max}) by using the "mesh dependent history output" option of the CFD model.

Comparison of computed parameters and observed one is shown in Table [4a](#page-5-1), b. Table [4](#page-5-1)a shows that numerical model results match well with physical model data for selected operating conditions. Similarly, in Table [4](#page-5-1)b, a comparison of results indicates the numerical model data are in good agreement with physical model data. In both cases, the relative error is within 6%, which indicates that the numerical model can be confdently used for further simulations (Chanel and Doering [2008\)](#page-11-0).

2.3 Model Operation

After validation, the model was operated between conservation level and highest food level with two diferent gate openings to assess the hydraulic performance of the spillway. Flow parameters including discharge, velocity, chute bottom pressures, and water surface profles were computed by using the operating conditions shown in Table [5.](#page-6-0) But, to assess the performance of the chute aerator, the volume fraction of

Table 5 Model operating condition

entrained air was computed by operating the model at full gate opening (10.6 m) against 378 m AMSL (conservation level) and 384 m AMSL (highest food level). The volume fraction of entrained air was computed at two locations of spillway chute, i.e., L_1 and L_2 , where L_1 = location just after the reattachment of the jet length and L_2 =location before the entry point of the energy dissipation system.

2.4 Post‑processing

In post-processing, fow parameters were computed by using the model operating conditions shown in Table [3](#page-3-0). Plots were drawn for water levels, velocities, and pressure distribution along the spillway chute to evaluate the hydraulic performance of Mangla Spillway, while profles for the volume fraction of entrained air were drawn with ramp of diferent heights (0.4 m, 0.5 m and 0.6 m) and without ramp to assess the performance of the aerator.

3 Results and Discussion

3.1 Computation of Pressures along Spillway Chute

Pressures are computed along the spillway chute to avoid cavitation damages as it causes the fatigue failure of chute concrete. Figure [4](#page-7-0) indicates pressure variation along the spillway chute at three reservoir levels and two diferent gate openings (G/O). Almost similar variation is noted in all three cases. Initially, at a large gate opening, pressure values are comparatively high than a small gate opening. It is because of high fow depths. Generally, an increasing trend in pressure values is observed up to the end of the spillway chute. However, no negative pressure value is noted throughout the spillway chute.

3.2 Flow Velocity Profles

Flow velocities are computed to evaluate the erosion potential downstream of the spillway. Hence, fow velocity profles help in designing the energy dissipation system accordingly. Figure [5](#page-8-0) describes the velocity profles. Flow velocities are gradually increasing at all operating conditions. Velocity varies between 10 and 30 m/s along the chute. But it decreases near the end of spillway chute due to collision of fowing water with small height weir. Provision of double stilling basin downstream of weir further reduces the velocities, which ultimately helps in decreasing the downstream riverbed erosion.

3.3 Water Level Profle

Computation regarding water levels on spillway chute is important as it helps the designers in evaluating the risk of crossing the food water over crest bridge and chute walls. Flood water consistently crossing over the chute walls may cause the progressive erosion of the chute foundation, which may lead to its collapse. Computed water levels are shown in Fig. [6](#page-9-0). Negligible fuctuation in water levels is noted at all operating conditions. However, water levels are slightly varying between 150 and 230 m from the spillway axis. The highest water level computed among all three cases is 343 m AMSL which is below the top level of the chute wall. In this case, flood water will not overtop the chute wall.

3.4 Performance Evaluation of Chute Aerator

The presence of entrained air fraction in the water near the spillway chute floor is indispensable. It should be up to 6% to avoid cavitational damages. In this study, it is computed not only at spillway chute foor but also across fow depth at two locations $(L_1 \text{ and } L_2)$. The computed volume fraction of entrained air across the flow depth ratio $\left(\frac{d}{d_0}\right)$ is shown in Fig. [7,](#page-10-0) whereas model output in the form of contours is given in Fig. [8.](#page-11-11) Diferent colors of contours show the variation of entrained air along the chute and across the fow depth. In Fig. [8b](#page-11-11), near the end of chute, colors of the contours are diferent from Fig. [8](#page-11-11)a due to an increase in volume fraction of entrained air. It refects very positive impact of the ramp installed at chute aerator. Figure [7](#page-10-0)a indicates that the volume fraction of entrained air in water, at location L_1 , close to the chute foor is 3% which rises to 20% near the water surface, while the volume of entrained air in water has increased near the end of the spillway chute (at $L₂$) due to turbulence of fowing water and redistribution of entrained air across the flow depth.

Figure [7b](#page-10-0)–d presents the profles for fraction of entrained air in water after the installation of the ramp of diferent heights (0.4 m, 0.5 m, and 0.6 m) on the chute aerator. Figure [7b](#page-10-0)–d shows that fraction of air is increasing with the increase in ramp height. The addition of ramps of diferent heights increased the jet length, which in turn increases the air entrainment. At location L_1 , the fraction of air is varying from 6 to 28% (from bottom to top) across fow depth for all ramp heights. Water entrained more air in this case as compared without ramp on the aerator. Efect of the ramp has also

Fig. 4 Computed pressures at reservoir level: **a** 380 m AMSL, **b** 381 m AMSL, **c** 383 m AMSL

transferred to location L_2 . At this location, near the bed, fraction of air fuctuates from 20 to 57%. These values indicate that the performance of the aerator has improved after the installation of ramp.

4 Conclusions

The relative error between computed and observed flow parameters was within 6%. It confrmed that the CFD model of the Mangla Spillway can assess the hydraulic performance of the spillway and chute aerator. Pressure distribution on spillway chute varied from 10 to 350 kPa (kilopascal) in all cases. There was no risk of cavitational damage as pressure remained positive throughout the spillway chute. Velocity was found in the range of 10–30 m/s. It is reduced to 10 m/s near the entry point of the energy dissipation system. The highest water level computed by the model was 343 m AMSL, whereas the top level of the chute wall is 350 m AMSL, which indicates that flow

Fig. 6 Water level profle at reservoir level: **a** 380 m AMSL, **b** 381 m AMSL, **c** 383 m AMSL

will not cross the sidewalls of the spillway chute during flood operation. Performance of chute aerator was assessed by operating the model at conservation level (378.54 m AMSL) and highest flood level (384.05 m AMSL) with full gate opening. Without ramp on the aerator, air fraction near bed was 3% and 20% at location L_1 and L_2 , respectively. After installation of ramp, these values raised to 6% and 57%, which was the indication of improved performance of chute aerator.

Fig. 7 The volume fraction of entrained air in water: **a** without a ramp, **b** with 0.4-m-high ramp, **c** with 0.5-m-high ramp, **d** with 0.6-m-high ramp

Fig. 8 Entrained air contours at reservoir level=378.54 m AMSL: **a** without a ramp, **b** with 0.6-m-high ramp

Contours for volume fraction of entrained air (%)

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Declarations

Conflict of interest The authors declare that they have no conficts of interest to this work.

Ethics Approval Not applicable.

Consent to Participate Not applicable.

Consent to Publish Not applicable.

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