**ORIGINAL PAPER**



# **Infuence of Clay Content and Montmorillonite Content on Swelling Behavior of Expansive Soils**

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#### **Abstract**

Swelling behavior of an expansive soil is principally governed by the contents of montmorillonite mineral and clay present in it. Studies attributing the swelling behavior to the presence of montmorillonite mineral are numerous, but defning an intricate relationship between clay and montmorillonite contents is almost nil. Thus, a comprehensive study is undertaken in this technical note that can aid in quantifying the exact infuence of clay content (CC) and/or montmorillonite content in clay content ( $MC_{CC}$ ) on the swelling behavior. Interrelating CC and  $MC_{CC}$  parameters is also another objective of the paper. Several numbers of diferent expansive soils were collected from diferent regions of India and experiments were conducted to quantify free swell index (FSI), CC, and MC<sub>CC</sub>. The results demonstrate that it is not only CC, but also MC<sub>CC</sub> has a remarkable infuence on the swelling behavior. It has, particularly, been noticed from the interpretation of results that the role of mixed clay minerals, which is contrasting to a common belief that it is only  $MC_{CC}$  primarily contributes to the swelling phenomenon and is least attempted by research fraternity, cannot be subdued. The study finds that between  $MC_{CC}$  and CC, the former parameter seems to be more reliable for accurate prediction of swelling potential. The relationship between CC and MC<sub>CC</sub> seems to be linear up to MC<sub>CC</sub> of 40%. Beyond MC<sub>CC</sub> of 40%, CC apparently clustered between 30 and 60% only.  $MC_{CC}$ , even though, found in minor quantity, exhibited significant influence on the FSI. The results presented in the study bear a practical signifcance for the safe design of foundation systems, buried pipelines, etc., in/on the expansive soils.

**Keywords** Expansive soils · Clay content · Montmorillonite content · Free swell index

### **Introduction**

Clay soils, in general, are dominant with three major minerals: illite (mica), kaolinite (Kaolin) and montmorillonite (smectite), besides other mineral types. The swelling potential varies from soil to soil, however, depending upon its mineralogical compositions. But measurement of percent content of infuential mineral, which would cause swelling phenomenon, is fundamentally more important than a mere

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identifcation of mineralogical compositions. In the case of soils prevalent with montmorillonite mineral, swelling is possible due to the formation of difuse double layer and flocculation [\[1](#page-10-0)]. Mineralogical characteristics such as  $MC_{CC}$ , cation exchange capacity, specifc surface area, etc., are the elemental properties that control the mechanical behavior of expansive soils [\[2\]](#page-10-1). Studies of Mehta and Sachan [[2](#page-10-1)], Pandya and Sachan [[3\]](#page-10-2), Abdullah et al. [[4](#page-10-3)], and Chittoori et al. [\[5](#page-10-4)] asserted that the expansive phenomenon was due to the presence of  $MC_{CC}$ . In a rudimentary way, Prakash and Sridharan [[6\]](#page-10-5) have stated that the swelling phenomenon is infuenced by the clay minerals present in fne-grained soils. Further, Mehta and Sachan [[2](#page-10-1)] reported that FSI has a linear relationship with water retention capacity, which is controlled by the quantity of mineral (e.g., montmorillonite). The above statements explicitly demonstrate that  $MC_{CC}$ has a signifcant infuence on water retention capacity and thus, on the swelling behavior. In realization to the studies reported in the literature, it can be conferred that it is the montmorillonite mineral that is having a defnite infuence on the swelling behavior of expansive soils as compared to other minerals.

FSI, often referred to as free swell or diferential free swell, is a simple method for identifying the swelling potential of expansive soils [\[7](#page-10-6)]. Studies by Skempton [\[8](#page-10-7)], Van Der Merwe [[9\]](#page-10-8), Mitchell [\[10](#page-10-9)], and Holtz and Kovacs [\[11\]](#page-10-10) suggested that the swelling potential can be predicted indirectly from plasticity, clay size fraction and activity parameters. Conventional consolidation tests can also be used to measure the swelling potential of soils [[12\]](#page-10-11). However, resorting to the consolidation tests is time consuming.

It is worth mentioning here the study by Prakash and Sridharan  $[6]$  $[6]$  $[6]$ , who stated that it is difficult from the free swell ratio alone to decide the dominant clay mineral present in a soil. This is attributed to the fact that the difuse doublelayer repulsion effect due to the montmorillonite mineral may be balanced by the focculation efect due to kaolinite mineral. It can be concluded from the literature that knowledge of clay mineralogy is very essential in investigating the swelling behavior of expansive soils. Mitchell and Soga [\[13\]](#page-10-12) have stated that the type of clay minerals and phases present in a soil can be determined using X-ray difraction (XRD), diferential thermal analysis (DTA) and scanning electron microscope (SEM) techniques. Highly sophisticated in nature, non-availability of the facility and relatively complicacy involved in handling, most of the earlier researchers restrained to use these techniques, which has drawn the attention of the present study. Moreover, limited studies are available in the literature, which deal with the quantifcation of clay minerals and understanding their infuence on the swelling behavior.

In the present study, an attempt is made to quantify the  $CC$  and  $MC<sub>CC</sub>$  of soils collected from diverse regions across India, and their infuence on swelling potential is investigated. Focus further was also made to relate CC with  $MC_{CC}$ , which can greatly aid in understanding the relative effect of these parameters on swelling behavior. The kind of information provided in this technical note is unique that it is essentially useful for all practical problems when dealing with the construction of important structures such as lightweight single storey buildings, pavements, retaining walls, etc., on/ in the expansive soils. For all the practical scenarios, it is indispensable to have in-depth knowledge on expansive soils behavior linked with constituent CC and  $MC_{CC}$  to avoid/ arrest the volume change-induced structural failure.

# **Materials**

Approximately, 46 diferent expansive soils from diferent locations across India were collected for the study purpose. Sampling and sample collection were done as per the guidelines of ASTM D4700-15 [[14\]](#page-10-13). Each soil sample was

grabbed from a depth of 0.5 m below the natural ground level to avoid the collection of unwanted materials like roots and debris. The samples were brought to the laboratory in a disturbed state and all necessary experimentation is carried out after processing them. In the laboratory, samples were first oven dried at 105 °C for 24 h [[15](#page-10-14)], pulverized, and used for conducting grain size distribution and Atterberg's limit tests in accordance with the respective ASTM standards [[16,](#page-10-15) [17](#page-10-16)]. Figure [1](#page-2-0) presents the gradation curves of expansive soils belonging to diferent regions of the country. CC, percent fraction below  $2 \mu m$ , in each soil is determined from the respective gradation curve. Table [1](#page-3-0) summarizes the percent fractions, consistency limit values and classifcation done as per the Unifed Soil Classifcation System (USCS), along with their respective IDs. From the plasticity chart as depicted in Fig. [2,](#page-5-0) it can be observed that all soils lie between A-line and U-line.

#### **Free Swell Index Tests**

These tests were conducted following the guidelines provided by IS (Indian Standard): 2720 Part XL [[18](#page-10-17)], which mainly suggests monitoring the diference in volume of a soil when placed in two dissimilar liquids: water (dipolar liquid) and kerosene (non-polar liquid). 10 g of soil sample passing 425 µm and oven dried was used for testing purpose. Test begins by pouring 10 g of dry specimen into each 100 ml cylinder, flled one with water and kerosene of another. The contents were then stirred to ensure that particles are evenly spread within the solutions. The cylinders at perturbed condition were left on a flat surface to allow the particles to settle under self-weight. Monitoring of sediment height was continued until the sediment deposited at the bottom of the glass cylinder is noticed unchanged. For measuring the height of the sediment, cylinders were graduated along its height. After 24 h of stationary and undisturbed condition, the change in height of sediment in each cylinder was recorded. Multiplying the height with the crosssectional area of a cylinder gives the volume of the soil in water,  $V_w$ , and in kerosene,  $V_k$ . The *FSI* can be computed using Eq.  $(1)$  $(1)$ .

<span id="page-1-0"></span>
$$
\text{FSI} = \frac{V_{\text{w}} - V_{\text{k}}}{V_{\text{k}}} \times 100,\tag{1}
$$

where,  $V_w$  is the volume of the soil in water, and  $V_k$  is the volume of soil in kerosene

#### **Mineralogical Characteristics**

The mineralogical compositions of soils used in the study were established with the help of D8 Advanced X-ray powder difraction device (make, BRUKER, USA). Around



<span id="page-2-0"></span>**Fig. 1** Grain size distribution curves of soil samples collected from diferent regions across India

2–3 g of oven-dry soil passing sieve size of 75 μm was used for the analysis. The sample preparation involves uniformly spreading powder on the sample holder and then mounting it on a resting platform. The test samples are scanned for refections with a voltage and current of 40 kV and 40 mA, respectively, 2*θ* ranging from 5° to 80° at a step size of 0.025° and with a time interval 0.5 s for each step using a copper X-ray tube (i.e., Cu-Kα radiation). The presence of diferent minerals in each soil was identifed with the help

of DIFFRAC.SUITE EVA software. The software does an automatic search on raw data, which has been background subtracted automatically, and on the peak, list to identify the most appropriate mineral phase.

Attempts were also made to determine the  $MC_{CC}$  in each soil with the help of TOPAS 4.2 software. As such, quantitative clay mineralogy by most of the XRD techniques requires mineral standards with XRD properties similar to those of the mineral phases in unknown samples [\[19](#page-10-18)]. For

<span id="page-3-0"></span>







<span id="page-5-0"></span>**Fig. 2** Plasticity chart classifying the expansive soils used in the study

this purpose, Inorganic Crystal Structure Database (ICSD) reference patterns were used to match the measured patterns. TOPAS 4.2 is graphics-based profle analysis program; it integrates various types of X-ray and neutron difraction analyses by supporting all profile fit methods currently employed in powder difractometry. The software applies the Rietveld technique to compute the mineral compositions quantitative analysis of diferent phases (e.g., montmorillonite mineral in the present study) in a soil mass. Quantitative phase analysis relies on the estimation of full powder pattern from the crystal structure database, and hence, it does not need calibration curves.

#### **Montmorillonite Content in Clay Content (MC<sub>CC</sub>)**

Many researchers have reported that the failure of structures built on expansive soil is due to the presence of montmoril-lonite mineral and its quantity [\[20](#page-10-19)]. Among different minerals, montmorillonite is the primary mineral responsible for the swelling behavior. This is dominant in shale and residual soils derived from volcanic ash [\[21](#page-10-20)]. Generally, the quantity of montmorillonite mineral content is more in bentonite than in natural soils.

Many researchers report that  $MC_{CC}$ , in natural soils [[2–](#page-10-1)[5,](#page-10-4) [22](#page-10-21), [23](#page-10-22)] and bentonite [[24–](#page-10-23)[26](#page-10-24)], can be determined by a variety of techniques (Table [2](#page-6-0)). As such, Mehta and Sachan [\[2](#page-10-1)] have illustrated that the rate of swelling depends on the quantity of  $MC_{CC}$  present in a soil. Incidentally, most of the works remain confned to the quantifcation of montmorillonite, only, but failed to relate it to geotechnical properties of soils. It is also evident from Table [2](#page-6-0) that the researchers have considered a small number of soil samples and mostly restricted for a particular location. Because of narrow data range and conservatism, a specific effort is not devoted to propose a relationship between  $MC_{CC}$  and swelling property of expansive soils. It is also a known fact that the gradation and mineralogy vary with the origin of the soils [\[22](#page-10-21)]. It can also be noticed from the literature survey that there is no concrete study defning the exact quantity at which the influence of  $MC_{CC}$  is more. The  $MC_{CC}$  in the present study refers to the quantity of montmorillonite present in the clay fraction only.

## **Results and Discussion**

A series of grain size distribution, *FSI*, and XRD tests were conducted on 46 diferent soil samples (8 each from Bhopal and Nagpur, 7 each from Vijayawada and Guntur, 3 each from Raipur, Warangal, and Kakinada, 1 from Mysore, and 6 from Kendrapara) collected from multiple locations across the country. Table [1](#page-3-0) presents the values of consistency limits (viz., liquid limit,  $w_L$ , plastic limit,  $w_P$ , plasticity index,  $w_{PI}$ , shrinkage limit,  $w_{SI}$ , and shrinkage index,  $w_{SI}$ ), MC<sub>CC</sub>, FSI, and CC of these samples with their respective IDs. It is seen that the majority of the soils fall under the category of 'Inorganic clays of high plasticity (CH)', while a few classifed under 'Inorganic clays of low plasticity (CL)'. It is also seen that, except for a few samples, almost all samples exhibited a significantly high to very high value of  $w_L$  (43–93%) and shrinkage index,  $w_{\text{SI}}$  (31.22–110.15%). This is in conformation with the classifcation scheme proposed by Chen [[27\]](#page-10-25) for  $w_L$  (high 40–60% and very high >60%) and IS 1498 [[28\]](#page-10-26) for  $w_{SI}$  (high 30–60% and very high >60%). The very high values of shrinkage index indicate that these soils may experience unpredictable volume change behavior. As such, the measured large values of  $w_L$  and  $w_{SI}$  may be linked to the presence of  $MC_{CC}$ , which has an inherent characteristic of retaining a substantial amount of water upon wetting [[29](#page-10-27)].

It can be observed from the results in Table [1](#page-3-0) that the CC varies from 28 to 59%, illustrating its dominance. Chen [[30\]](#page-10-28) and Holtz and Gibbs [\[7\]](#page-10-6) have reported that the soils possessing large CC are generally prone to exhibit swelling behavior. Similarly, a study by Reddy et al. [[31](#page-10-29)] have illustrated that swelling characteristics of expansive soils are infuenced by the particle mean diameter (i.e., clay fraction). As such, the results presented in Table [1](#page-3-0) well corroborate with the literature statements. For a better understanding on the infuence of CC on swelling behavior, a plot between these parameters is developed as depicted in Fig. [3](#page-8-0). Further, similar data from the literature were assimilated and superimposed on Fig. [3](#page-8-0). A perfect ft of present data with literature data evidently validates the results obtained by the present study. It can be seen from Fig. [3](#page-8-0) that as the CC increases, so is the FSI. As such, the relationship between CC and FSI appears to be linear in nature. However, due to <span id="page-6-0"></span>**Table 2** The value of

the present study

swell index and clay content of data collected from the







<span id="page-8-0"></span>**Fig. 3** Infuence of CC on the FSI

the large scatter and substantial variation in the data that is exceeding  $50\%, R^2$  obtained is very poor. Thus, no linear equation was ft to relate these parameters. Nevertheless, to verify the reliability of data, prediction bands were ft, which reveal that all the soil samples used in the present study well established within 90% of the previous studies. From Fig. [3](#page-8-0), it can be noticed that for same CC, soils exhibited substantial diference in degree of swelling potential; for a few soils even exceeding 100%. Another interesting point is that even though a few soils comprise of less CC (28%), they still exhibited an FSI of 95%. This can be linked to swelling causing mineral and its quantity in a given soil. This observation well corroborates with the fndings of Abdullah et al. [\[4\]](#page-10-3), who reported that soils consisting of a minor quantity of MC<sub>CC</sub> can exhibit considerably greater FSI. In Fig. [3,](#page-8-0) when extrapolated the linear line, it passes through the origin, indicating a fact that FSI becomes zero, if CC is zero. The statement is well justifed in a sense that no CC means that the expansive soil does not comprise any clay fraction.

Many researchers report that  $MC_{CC}$  is the prime reason for the swelling behavior  $[2, 3, 5]$  $[2, 3, 5]$  $[2, 3, 5]$  $[2, 3, 5]$  $[2, 3, 5]$ . To confirm the above statement as well as to arrive at the logical conclusion,  $MC_{CC}$  of 46 different expansive soils was quantified (Table [1](#page-3-0)) by employing the established difraction patterns. Using the data of  $MC_{CC}$  listed in Table [1](#page-3-0), a correlation is plotted between  $MC_{CC}$  and FSI, as depicted in Fig. [4.](#page-8-1) To further verify sanctity of results produced by the present study, similar data were collected from the literature and superimposed in the graph. From Table [1](#page-3-0) and Fig. [4](#page-8-1), it is obvious that  $MC_{CC}$  varies in the range from 10 to 80% irrespective of clay content. It is seen from Fig. [4](#page-8-1) that FSI increased linearly with an increase in  $MC_{CC}$ , in its whole range. Alike the response of FSI to CC (Fig. [3](#page-8-0)), for a given  $MC_{CC}$ , FSI varied over a wide range. Interestingly, soils still exhibited





<span id="page-8-1"></span>**Fig. 4** Influence of  $MC_{CC}$  on the FSI

signifcantly high FSI of above 50%, even though the percent content of  $MC_{CC}$  is trivial. As such, the relationship between FSI and  $MC_{CC}$  seems to be linear in nature. However, no linear ft equation is employed due to considerable scatter and significant variability in the data, which has yielded poor  $R^2$ value. To establish the reliability of data, prediction bands (10%) are plotted, as depicted in Fig. [4](#page-8-1). As such, 10% of prediction bands reveal that the results well match within 90% of the previous studies. When extrapolated the linear line on to the ordinate, which represents  $MC_{CC}$  of zero percent, FSI of above 20% can be obtained. This observation, in fact, contrasted with the results of Fig. [3.](#page-8-0) It is to be noted that the swelling is not only caused by  $MC_{CC}$ , but also by the mixed-layer minerals such as montmorillonite–illite/montmorillonite–kaolinite, which are sensitive to water content and, thus, undergo swelling when hydrated [\[32–](#page-10-31)[35\]](#page-10-32). This may be a reason behind FSI of above 20%, although  $MC_{CC}$ is zero in the expansive soils. Further, from Fig. [4](#page-8-1), for a low and high  $MC_{CC}$ , FSI of the same value can be noticed. This can be attributed to interference of  $MC_{CC}$  with other nonexpansive or mixed-layer-type minerals [\[36](#page-10-33), [37](#page-11-2)].

The results discussed herein, prima facie, affirm two crucially important points. First, the CC has defnite and considerable infuence on the FSI of expansive soils. Second, it appears that it is not only CC that is the basic reason for swelling phenomenon, but also the role of mineralogy, especially  $MC_{CC}$  which would cause swelling, should be accounted for. Further, a close observation of Figs. [3](#page-8-0) and [4](#page-8-1) elucidates more scatter in the data of CC versus FSI, in comparison to  $MC_{CC}$  versus FSI. The reason for the variance is that CC, a representative of individual particles, is a physical parameter, which is basically constituted with minerals such as montmorillonite, kaolinite, illite, quartz,

etc. Furthermore, these minerals are of expansive type or non-expansive type, exhibiting no infuence or interfering with expansive-type minerals causing it to scatter in the data. On the other hand, montmorillonite is a very soft phyllosilicate group of minerals with an exceptional affinity to water adsorption. It is also appreciated that for the same value of CC,  $MC_{CC}$  can vary from 0 to 100% and vice versa may not be possible. Thus, it can be inferred based on the above observations that  $MC_{CC}$  could be a more reliable parameter to predict FSI, as compared with CC. It is also worth mentioning here based on Figs. [3](#page-8-0) and [4](#page-8-1) that CC varied over a wider range from 3 to 100%, while  $MC_{CC}$  variation confined to in the range from 10 to 80%. It can be noted that the data points of CC above 90% predominantly belong to bentonite clay.

Generally, the dominance of montmorillonite mineral in a clay designates that expansion behavior prevails in such soils [[38,](#page-11-3) [39](#page-11-4)]. It is important to recognize that the quantity of CC present in a soil does not matter very much, because the presence of even trivial quantity (5–10%) of montmorillonite can turn the soil to sensitive clay [[33\]](#page-10-34). It is also worth here to mention that not a single attempt was made by earlier studies to verify the possible linkage between the CC of a given soil with the constituent amount of montmorillonite in it. With this in mind, an attempt is made to relate CC with  $MC_{CC}$ , as depicted in Fig. [5](#page-9-0). To further validate the interrelationship, data from the literature were collated and superimposed on the graph. It is obvious from Fig. [5](#page-9-0) that the CC in soils used for the study just varied over a narrow range from 28 to 59%. Contrary to it, there is a wider variation of  $MC_{CC}$  from as low as 10% to as high as 80% can be seen. Evidently, the relationship between CC and  $MC_{CC}$ seems to be linear up to  $MC_{CC}$  of 40%. Beyond  $MC_{CC}$  of



<span id="page-9-0"></span>**Fig. 5** Variation of  $MC_{CC}$  with CC of expansive soils

40%, CC apparently clustered between 30 and 60% only. It is also obvious from the graph that for a given CC, there is a remarkable change in  $MC_{CC}$ . For example, corresponding to CC of 40%, MC $_{CC}$  varied from 10 to 80%. This observation further endorses that  $MC_{CC}$  is the more reliable parameter for accurate determination of the swelling potential over the CC. An appreciable scatter with notable variations in the data, which has yielded poor  $R^2$  value, corresponding to both CC and  $MC_{CC}$  can be noticed from Fig. [5](#page-9-0) and hence, no equation is employed to relate these parameters. The scatter in the data of Fig. [5](#page-9-0) may be attributed to diferences in methodologies such as Reference Intensity Ratio (RIR) [\[40](#page-11-5)], Mineral Intensity Factor (MIF) [\[41\]](#page-11-6), External Standard Method [[42\]](#page-11-7), No-standard Method [\[43\]](#page-11-8), Rietveld method [\[44](#page-11-9)], and Full Pattern Summation Method [[45\]](#page-11-10) employed for quantifying the  $MC_{CC}$ . The differences in  $MC_{CC}$  may also be linked to variability in difraction patterns infuenced by absorption coefficients, particle orientations, crystallinity, etc [[13\]](#page-10-12).

The distinctions in percent proportions between CC and  $MC_{CC}$  parameters demonstrate that there exists an inherent linkage between them. This is why any two expansive soils, although contain similar proportions of clay content, yet exhibit a signifcant contrast in consistency and swelling behavior. In this connection, the statement made by Terzaghi [[38\]](#page-11-3) is worth to be mentioned herein. Terzaghi [[38](#page-11-3)] stated that two samples of the same clay at the same initial state (i.e., moisture content and void ratio) might exhibit diferent swelling potential due to the diferences in their particle arrangements. Although, Terzaghi [\[38](#page-11-3)] had made this statement in the context of microstructure of soils, it is equally valid for the present study because mineralogical compositions are a part of the microstructure of a soil. Regardless of the percentage of "fnes" in a particular sample, a signifcant presence of clay minerals in a sample can indicate a possible expansive soil problem. The results presented herein undoubtedly draw the attention to the necessity and importance to be paid for the mineralogical compositions and their phase quantity in understanding as well as assessing the geotechnical behavior of expansive soils.

### **Concluding Remarks**

In the present study, 46 diferent expansive soils were collected from different locations across India. CC and  $MC_{CC}$ of these soils were quantifed and their relative infuence on swelling behavior is demonstrated. Based on the obtained results and interpretations made, the following conclusions are derived:

1. The very high liquid limit and free swell index values together with the presence of montmorillonite content clearly indicate that all soils used in the study are expansive in nature.

- 2. It has been perceived that both CC and  $MC_{CC}$  have a defnite infuence from marginal to a signifcant on the swelling behavior. However, the efect of the latter parameter, as its percent content is although less and yet exhibited FSI of above 50%, found to be superior over the former one.
- 3. A discrepancy in the  $MC_{CC}$  has been comprehended from the analysis of the spectrum of difraction patterns, even though, the CC is invariable, substantiating a fact that  $MC_{CC}$  does not depend on the clay content.
- 4. The relationship between CC and  $MC_{CC}$  has been found to be linear in nature. Up to 40% of  $MC_{CC}$ , it varied linearly with CC and beyond 40% of  $MC_{CC}$ , CC found to be clustered between 30 and 60%.
- 5. The various correlations endorse that  $MC_{CC}$  is a more reliable parameter for predicting FSI, than the CC.

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