

Almost isomorphic abelian varieties

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In memoriam of Bill Waterhouse (1941–2016)

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Abstract We study abelian varieties over finitely generated fields K of characteristic zero, whose ℓ -adic Tate modules are isomorphic as Galois modules for all primes ℓ .

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1 Introduction

Let K be a field, \overline{K} its separable algebraic closure, $G_K = \text{Aut}(\overline{K}/K)$ the absolute Galois group of K . If A is an abelian variety over K then we write $\text{End}(A)$ for its ring of all K -endomorphisms and $\text{End}^0(A)$ for the corresponding (finite-dimensional semisimple) \mathbb{Q} -algebra $\text{End}(A) \otimes \mathbb{Q}$.

If ℓ is a prime different from $\text{char}(K)$ then we write $T_\ell(A)$ for the \mathbb{Z}_ℓ -Tate module of A [7, 9] which is a free \mathbb{Z}_ℓ -module of rank $2\dim(A)$ provided with the natural continuous group homomorphism

$$\rho_{\ell,A}: G_K \rightarrow \text{Aut}_{\mathbb{Z}_\ell}(T_\ell(A))$$

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and the \mathbb{Z}_ℓ -ring embedding

$$e_\ell: \text{End}(A) \otimes \mathbb{Z}_\ell \hookrightarrow \text{End}_{\mathbb{Z}_\ell}(T_\ell(A)).$$

The image of $\text{End}(A) \otimes \mathbb{Z}_\ell$ commutes with $\rho_{\ell,A}(G_K)$. Tensoring by \mathbb{Q}_ℓ (over \mathbb{Z}_ℓ), we obtain the \mathbb{Q}_ℓ -Tate module of A

$$V_\ell(A) = T_\ell(A) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell,$$

which is a $2\dim(A)$ -dimensional \mathbb{Q}_ℓ -vector space containing $T_\ell(A) = T_\ell(A) \otimes 1$ as a \mathbb{Z}_ℓ -lattice of maximal rank. We may view $\rho_{\ell,A}$ as an ℓ -adic representation [11]

$$\rho_{\ell,A}: G_K \rightarrow \text{Aut}_{\mathbb{Z}_\ell}(T_\ell(A)) \subset \text{Aut}_{\mathbb{Q}_\ell}(V_\ell(A))$$

and extend e_ℓ by \mathbb{Q}_ℓ -linearity to the embedding of \mathbb{Q}_ℓ -algebras

$$\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell = \text{End}(A) \otimes \mathbb{Q}_\ell \hookrightarrow \text{End}_{\mathbb{Q}_\ell}(V_\ell(A)),$$

which we still denote by e_ℓ . Further we will identify $\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$ with its image in $\text{End}_{\mathbb{Q}_\ell}(V_\ell(A))$. This provides $V_\ell(A)$ with the natural structure of G_K -module; in addition, $\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$ is a \mathbb{Q}_ℓ -(sub)algebra of endomorphisms of the Galois module $V_\ell(A)$. In other words,

$$\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell \subset \text{End}_{G_K}(V_\ell(A)).$$

Let K be a field of characteristic zero that is finitely generated over \mathbb{Q} . Suppose we are given an abelian variety A of positive dimension over K . Let B be an abelian variety over K such that the \mathbb{Z}_ℓ -Tate modules of A and B are isomorphic as Galois modules for all ℓ (we call such A and B *almost isomorphic*). In this paper we discuss the structure of the corresponding right $\text{End}(A)$ -module $\text{Hom}(A, B)$. Using a theorem of Faltings [4,5] (conjectured by Tate [12]), we prove that $\text{Hom}(A, B)$ is a locally free module of rank 1. In addition, using a special case of Serre’s tensor construction ([3, Section 7], [2, Section 1.7.4]), we prove that there is a natural bijection between isomorphism classes of locally free modules of rank 1 over $\text{End}(A)$ and isomorphism classes of abelian varieties B over K , whose Tate modules are isomorphic to ones of A .

The paper is organized as follows. Section 2 deals with isogenies of abelian varieties and corresponding homomorphisms of their Tate modules. In Sect. 3 we discuss locally free modules of rank 1 over orders in semisimple \mathbb{Q} -algebras. In Sect. 4 we apply results of Sect. 3 to a construction of almost isomorphic abelian varieties.

2 Isogenies

If ℓ is a prime then we write $\mathbb{Z}_{(\ell)}$ for the subring in \mathbb{Q} that consists of all the rational numbers, whose denominators are prime to ℓ . We have

$$\mathbb{Z} \subset \mathbb{Z}_{(\ell)} = \mathbb{Z}_\ell \cap \mathbb{Q} \subset \mathbb{Z}_\ell.$$

(Here the intersection is taken in \mathbb{Q}_ℓ .) In addition, if m is a positive integer that is prime to ℓ then

$$\mathbb{Z} \subset \mathbb{Z} \left[\frac{1}{m} \right] \subset \mathbb{Z}_{(\ell)} \subset \mathbb{Q}.$$

The intersection of all $\mathbb{Z}_{(\ell)}$ (in \mathbb{Q}) coincides with \mathbb{Z} .

Let K be an arbitrary field. If $\ell \neq \text{char}(K)$ and X is an abelian variety over K then we write $X[\ell]$ for the kernel of multiplication by ℓ in $X(\overline{K})$. It is well known that $X[\ell]$ is a finite G_K -submodule in $X(\overline{K})$ of order $\ell^{2\dim(X)}$ and there is a natural isomorphism of G_K -modules $X[\ell] \cong T_\ell(X)/\ell T_\ell(X)$.

Lemma 2.1 *Let A and B be abelian varieties of positive dimension over K .*

- (a) *If A and B are isogenous over K then the right $\text{End}(A) \otimes \mathbb{Q}$ -module $\text{Hom}(A, B) \otimes \mathbb{Q}$ is free of rank 1. In addition, one may choose as a generator of $\text{Hom}(A, B) \otimes \mathbb{Q}$ any isogeny $\phi: A \rightarrow B$.*
- (b) *The following conditions are equivalent:*
 - (i) *The right $\text{End}(A) \otimes \mathbb{Q}$ -module $\text{Hom}(A, B) \otimes \mathbb{Q}$ is free of rank 1.*
 - (ii) *$\dim(A) \leq \dim(B)$ and there exists a $\dim(A)$ -dimensional abelian K -subvariety $B_0 \subset B$ such that A and B_0 are isogenous over K and*

$$\text{Hom}(A, B) = \text{Hom}(A, B_0).$$

In particular, the image of every K -homomorphism of abelian varieties $A \rightarrow B$ lies in B_0 .

- (c) *If the equivalent conditions (i) and (ii) hold and $\dim(B) \leq \dim(A)$ then $\dim(A) = \dim(B)$, $B = B_0$, and A and B are isogenous over K .*

Proof (a) is obvious.

Suppose (bii) is true. Let us pick an isogeny $\phi: A \rightarrow B_0$. It follows that $\text{Hom}(A, B_0) \otimes \mathbb{Q} = \phi \text{End}^0(A)$ is a free right $\text{End}^0(A)$ -module of rank 1 generated by ϕ . Now (bi) follows from the equality

$$\text{Hom}(A, B) \otimes \mathbb{Q} = \text{Hom}(A, B_0) \otimes \mathbb{Q}.$$

Suppose that (bi) is true. We may choose a homomorphism of abelian varieties $\phi: A \rightarrow B$ as a generator (basis) of the free right $\text{End}(A) \otimes \mathbb{Q}$ -module $\text{Hom}(A, B) \otimes \mathbb{Q}$. In other words, for every homomorphism of abelian varieties $\psi: A \rightarrow B$ there are $u \in \text{End}(A)$ and a nonzero integer n such that $n\psi = \phi u$. In addition, for each nonzero $u \in \text{End}(A)$ the composition ϕu is a nonzero element of $\text{Hom}(A, B)$. Clearly, $B_0 = \phi(A) \subset B$ is an abelian K -subvariety of B with $\dim(B_0) \leq \dim(A)$. We have

$$n\psi(A) = \phi u(A) \subset \psi(A) \subset B_0.$$

It follows that the identity component of $\psi(A)$ lies in B_0 . Since $\psi(A)$ is a (connected) abelian K -subvariety of B , we have $\psi(A) \subset B_0$. This proves that $\text{Hom}(A, B) =$

$\text{Hom}(A, B_0)$. On the other hand, if $\dim(B_0) = \dim(A)$ then $\phi: A \rightarrow B_0$ is an isogeny and we get (bii) under our additional assumption. If $\dim(B_0) < \dim(A)$ then $\ker(\phi)$ has positive dimension that is strictly less than $\dim(A)$. By the Poincaré complete reducibility theorem [7], there is an endomorphism $u_0 \in \text{End}(A)$ such that the image $u_0(A)$ coincides with the identity component of $\ker(\phi)$; in particular, $u_0 \neq 0, u_0(A) \subset \ker(\phi)$. This implies that $\phi u_0 = 0$ in $\text{Hom}(A, B)$ and we get a contradiction, which proves (bii).

(c) follows readily from (bii). □

Lemma 2.2 *Suppose that A, B, C are abelian varieties over K of positive dimension that are mutually isogenous over K . We view $\text{Hom}(A, B) \otimes \mathbb{Q}$ and $\text{Hom}(A, C) \otimes \mathbb{Q}$ as right $\text{End}^0(A) = \text{End}(A) \otimes \mathbb{Q}$ -modules. Then the natural map*

$$m_{B,C}: \text{Hom}(B, C) \otimes \mathbb{Q} \rightarrow \text{Hom}_{\text{End}^0(A)}(\text{Hom}(A, B) \otimes \mathbb{Q}, \text{Hom}(A, C) \otimes \mathbb{Q})$$

that associates to $\tau: B \rightarrow C$ a homomorphism of right $\text{End}(A) \otimes \mathbb{Q}$ -modules

$$m_{B,C}(\tau): \text{Hom}(A, B) \otimes \mathbb{Q} \rightarrow \text{Hom}(A, C) \otimes \mathbb{Q}, \quad \psi \mapsto \tau\psi$$

is a group isomorphism.

Proof Clearly, $m_{B,C}$ is injective. In order to check the surjectiveness, notice that the statement is clearly invariant by isogeny, so we can assume that $B = A$ and $C = A$, in which case it is obvious. □

Now till the end of this paper we assume that K is a field of characteristic zero that is finitely generated over \mathbb{Q} , and A and B are abelian varieties of positive dimension over K . By a theorem of Faltings [4,5],

$$\text{Hom}_{G_K}(T_\ell(A), T_\ell(B)) = \text{Hom}(A, B) \otimes \mathbb{Z}_\ell. \tag{★}$$

Lemma 2.3 *Let ℓ be a prime. Then the following conditions are equivalent:*

- (i) *There is an isogeny $\phi_\ell: A \rightarrow B$, whose degree is prime to ℓ .*
- (ii) *The Tate modules $T_\ell(A)$ and $T_\ell(B)$ are isomorphic as $\mathbb{Z}_\ell[G_K]$ -modules.*

If the equivalent conditions (i) and (ii) hold then the right $\text{End}(A) \otimes \mathbb{Z}_{(\ell)}$ -module $\text{Hom}(A, B) \otimes \mathbb{Z}_{(\ell)}$ is free of rank 1 and the right $\text{End}(A) \otimes \mathbb{Z}_\ell$ -module $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ is free of rank 1.

Proof (i) implies (ii). Indeed, let $\phi_\ell: A \rightarrow B$ be an isogeny such that its degree $d = \deg(\phi_\ell)$ is prime to ℓ . Then there exists an isogeny $\varphi_\ell: B \rightarrow A$ such that $\phi_\ell \varphi_\ell$ is multiplication by d in B and $\varphi_\ell \phi_\ell$ is multiplication by d in A . This implies that ϕ_ℓ induces a G_K -equivariant isomorphism of the \mathbb{Z}_ℓ -Tate modules of A and B .

Suppose that (ii) holds. Since the rank of the free \mathbb{Z}_ℓ -module $T_\ell(A)$ (resp. $T_\ell(B)$) is $2\dim(A)$ (resp. $2\dim(B)$), we conclude that $2\dim(A) = 2\dim(B)$, i.e., $\dim(A) = \dim(B)$. By the theorem of Faltings (★), there is an isomorphism of the \mathbb{Z}_ℓ -Tate modules of A and B that lies in $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$. Since $\text{Hom}(A, B)$

is dense in $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ in the ℓ -adic topology, and the set of isomorphisms $T_\ell(A) \cong T_\ell(B)$ is open in $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$, there is $\phi_\ell \in \text{Hom}(A, B)$ that induces an isomorphism $T_\ell(A) \cong T_\ell(B)$. Clearly, $\ker(\phi_\ell)$ does not contain points of order ℓ and therefore is finite. This implies that ϕ_ℓ is an isogeny, whose degree is prime to ℓ . This proves (i).

In order to prove the last assertion of Lemma 2.3, one has only to observe that $\phi_\ell \in \text{Hom}(A, B) \subset \text{Hom}(A, B) \otimes \mathbb{Z}_{(\ell)} \subset \text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ is a generator of the (obviously) free right $\mathbb{Z}_{(\ell)}$ -module $\text{Hom}(A, B) \otimes \mathbb{Z}_{(\ell)}$ and of the free right \mathbb{Z}_ℓ -module $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$. □

We say that A and B are *almost isomorphic* if for all primes ℓ the equivalent conditions (i) and (ii) of Lemma 2.3 hold. Clearly, if A and B are isomorphic over K then they are almost isomorphic. It is also clear that if A and B are almost isomorphic then they are isogenous over K . Obviously, the property of being almost isomorphic is an equivalence relation on the set of (nonzero) abelian varieties over K .

Corollary 2.4 *Suppose that A and B are almost isomorphic. Then A and B are isomorphic over K if and only if $\text{Hom}(A, B)$ is a free $\text{End}(A)$ -modules of rank 1. In particular, if $\text{End}(A)$ is a principal ideal domain (for example, $\text{End}(A) = \mathbb{Z}$) then every abelian variety over K , which is almost isomorphic to A , is actually isomorphic to A .*

Proof Suppose $\text{Hom}(A, B)$ is a free $\text{End}(A)$ -module, i.e., there is a homomorphism of abelian varieties $\phi: A \rightarrow B$ such that $\text{Hom}(A, B) = \phi \text{End}(A)$. We know that for any prime ℓ there is an isogeny $\phi_\ell: A \rightarrow B$ of degree prime to ℓ . (In particular, $\dim(A) = \dim(B)$.) Therefore there is $u_\ell \in \text{End}(A)$ with $\phi_\ell = \phi u_\ell$. In particular, $\phi_\ell(A) \subset \phi(A)$ and $\deg(\phi_\ell)$ is divisible by $\deg(\phi)$. Since $\phi_\ell(A) = B$ and $\deg(\phi_\ell)$ is prime to ℓ , we conclude that $\phi(A) = B$ (i.e., ϕ is an isogeny) and $\deg(\phi)$ is prime to ℓ . Since the latter is true for all primes ℓ , we conclude that $\deg(\phi) = 1$, i.e., ϕ is an isomorphism.

Conversely, if $A \cong B$ then $\text{Hom}(A, B)$ is obviously a free $\text{End}(A)$ -module generated by an isomorphism between A and B .

The last assertion of corollary follows from the well-known fact that every finitely generated module without torsion over a principal ideal domain is free. □

Remark 2.5 The special case of Corollary 2.4 when $\text{End}(A) = \mathbb{Z}$ was actually done in [10, second paragraph of p. 1205].

The next statement is a generalization of Corollary 2.4.

Corollary 2.6 *Suppose that A, B, C are abelian varieties of positive dimension over K that are almost isomorphic to each other. Then B and C are isomorphic over K if and only if the right $\text{End}(A)$ -modules $\text{Hom}(A, B)$ and $\text{Hom}(A, C)$ are isomorphic.*

Proof We know that all A, B, C are mutually isogenous over K . Let us choose an isogeny $\phi: B \rightarrow C$. We are given an isomorphism $\delta: \text{Hom}(A, B) \cong \text{Hom}(A, C)$ of right $\text{End}(A)$ -modules that obviously extends by \mathbb{Q} -linearity to the isomorphism

$\text{Hom}(A, B) \otimes \mathbb{Q} \rightarrow \text{Hom}(A, C) \otimes \mathbb{Q}$ of right $\text{End}(A) \otimes \mathbb{Q}$ -modules, which we continue to denote by δ . By Lemma 2.2, there exists $\tau_0 \in \text{Hom}(B, C) \otimes \mathbb{Q}$ such that $\delta = m_{B,C}(\tau_0)$, i.e.,

$$\delta(\psi) = \tau_0\psi \quad \text{for all } \psi \in \text{Hom}(A, B) \otimes \mathbb{Q}.$$

There exists a positive integer n such that $\tau = n\tau_0 \in \text{Hom}(B, C)$ and τ is *not* divisible in $\text{Hom}(B, C)$. This implies that

$$n \cdot \text{Hom}(A, C) = n\delta(\text{Hom}(A, B)) = n\tau_0\text{Hom}(A, B) = \tau \text{Hom}(A, B).$$

Since B and C are almost isomorphic, for each ℓ there is an isogeny $\phi_\ell: B \rightarrow C$ of degree prime to ℓ . Since $n\phi_\ell \in \tau \text{Hom}(A, B)$, we conclude that τ is an isogeny and $\text{deg}(\tau)$ is prime to ℓ if ℓ does *not* divide n . We need to prove that τ is an isomorphism. Suppose it is not, then there is a prime ℓ that divides $\text{deg}(\tau)$ and therefore divides n . We need to arrive to a contradiction. Since A and B are almost isomorphic, there is an isogeny $\psi_\ell: A \rightarrow B$ of degree prime to ℓ . We have $\tau\psi_\ell \in n \cdot \text{Hom}(A, C) \subset \ell \cdot \text{Hom}(A, C)$. This implies that τ kills *all* points of order ℓ on B and therefore is divisible by ℓ in $\text{Hom}(B, C)$, which is not the case. This gives us the desired contradiction. □

Remark 2.7 Let $\mathcal{Z}(A)$ (resp. $\mathcal{Z}(B)$) be the center of $\text{End}(A)$ (resp. $\text{End}(B)$). Then $\mathcal{Z}(A)_{\mathbb{Q}} = \mathcal{Z}(A) \otimes \mathbb{Q}$ (resp. $\mathcal{Z}(B)_{\mathbb{Q}} = \mathcal{Z}(B) \otimes \mathbb{Q}$) is the center of $\text{End}(A) \otimes \mathbb{Q}$ (resp. $\text{End}(B) \otimes \mathbb{Q}$) and for all primes ℓ the $\mathbb{Z}_{(\ell)}$ -subalgebra

$$\mathcal{Z}(A)_{(\ell)} = \mathcal{Z}(A) \otimes \mathbb{Z}_{(\ell)} \subset \mathcal{Z}(A)_{\mathbb{Q}} \subset \text{End}(A) \otimes \mathbb{Q}$$

(resp. the $\mathbb{Z}_{(\ell)}$ -subalgebra $\mathcal{Z}(B)_{(\ell)} = \mathcal{Z}(B) \otimes \mathbb{Z}_{(\ell)} \subset \mathcal{Z}(B)_{\mathbb{Q}} \subset \text{End}(B) \otimes \mathbb{Q}$) is the center of $\text{End}(A) \otimes \mathbb{Z}_{(\ell)}$ (resp. of $\text{End}(B) \otimes \mathbb{Z}_{(\ell)}$). Every K -isogeny $\phi: A \rightarrow B$ gives rise to an isomorphism of \mathbb{Q} -algebras

$$i_\phi: \text{End}(A) \otimes \mathbb{Q} \cong \text{End}(B) \otimes \mathbb{Q}, \quad u \mapsto \phi u \phi^{-1},$$

such that $i_\phi(\mathcal{Z}(A)_{\mathbb{Q}}) = \mathcal{Z}(B)_{\mathbb{Q}}$ and the restriction $i_{\mathcal{Z}}: \mathcal{Z}(A)_{\mathbb{Q}} \cong \mathcal{Z}(B)_{\mathbb{Q}}$ of i_ϕ to the center(s) does *not* depend on a choice of ϕ [14]. If $\phi_\ell: A \rightarrow B$ is a K -isogeny of degree prime to ℓ then $i_{\phi_\ell}(\text{End}(A) \otimes \mathbb{Z}_{(\ell)}) = \text{End}(B) \otimes \mathbb{Z}_{(\ell)}$ and therefore $i_{\mathcal{Z}}(\mathcal{Z}(A)_{(\ell)}) = \mathcal{Z}(B)_{(\ell)}$. This implies that if A and B are *almost isomorphic* then $i_{\mathcal{Z}}(\mathcal{Z}(A))$ coincides with $\mathcal{Z}(B)$ and therefore $i_{\mathcal{Z}}$ defines a canonical isomorphism of commutative rings $\mathcal{Z}(A) \cong \mathcal{Z}(B)$. In particular, if $\text{End}(A)$ is commutative then $\text{End}(B)$ is also commutative (because $\text{End}(A) \otimes \mathbb{Q}$ and $\text{End}(B) \otimes \mathbb{Q}$ are isomorphic) and there is a canonical ring isomorphism $\text{End}(A) \cong \text{End}(B)$.

3 Locally free modules of rank 1

Throughout this section, Λ is a ring with 1 that, viewed as an additive group, is a free \mathbb{Z} -module of finite positive rank. In addition, we assume that the finite-dimensional

\mathbb{Q} -algebra $\Lambda_{\mathbb{Q}} = \Lambda \otimes \mathbb{Q}$ is *semisimple*. We write Λ_{ℓ} (resp. $\Lambda_{(\ell)}$) for the \mathbb{Z}_{ℓ} -algebra $\Lambda \otimes \mathbb{Z}_{\ell}$ (resp. for the $\mathbb{Z}_{(\ell)}$ -algebra $\Lambda \otimes \mathbb{Z}_{(\ell)}$). We have

$$\begin{aligned} \Lambda &= \Lambda \otimes 1 \subset \Lambda_{(\ell)} \subset \Lambda_{\mathbb{Q}} \subset \Lambda \otimes \mathbb{Q}_{\ell}, \\ \Lambda &\subset \Lambda_{(\ell)} \subset \Lambda_{\ell} \subset \Lambda \otimes \mathbb{Q}_{\ell}. \end{aligned}$$

In addition, the intersection of Λ_{ℓ} and $\Lambda_{\mathbb{Q}}$ (in $\Lambda \otimes \mathbb{Q}_{\ell}$) coincides with $\Lambda_{(\ell)}$.

Let M be an *arbitrary* free commutative group of finite positive rank that is provided with the structure of a right Λ -module. We write $M_{\mathbb{Q}}$ for the right $\Lambda_{\mathbb{Q}}$ -module $M \otimes \mathbb{Q}$, M_{ℓ} for the right Λ_{ℓ} -module $M \otimes \mathbb{Z}_{\ell}$ and $M_{(\ell)}$ for the right $\Lambda_{(\ell)}$ -module $M \otimes \mathbb{Z}_{(\ell)}$. We have

$$\begin{aligned} M &= M \otimes 1 \subset M_{(\ell)} \subset M_{\mathbb{Q}} \subset M \otimes \mathbb{Q}_{\ell}, \\ M &\subset M_{(\ell)} \subset M_{\ell} \subset M \otimes \mathbb{Q}_{\ell}. \end{aligned}$$

In addition, the intersection of M_{ℓ} and $M_{\mathbb{Q}}$ (in $M \otimes \mathbb{Q}_{\ell}$) coincides with $M_{(\ell)}$.

Definition 3.1 We say that M is a *locally free right Λ -module of rank 1* if for all primes ℓ the right Λ_{ℓ} -module M_{ℓ} is free of rank 1, see [6].

Theorem 3.2 *Let M be a locally free right Λ -module of rank 1. Then it enjoys the following properties:*

- (i) M is a projective Λ -module. More precisely, M is isomorphic to a direct summand of a free right Λ -module of rank 2.
- (ii) The right $\Lambda_{\mathbb{Q}}$ -module $M_{\mathbb{Q}}$ is free of rank 1.
- (iii) The right $\Lambda_{(\ell)}$ -module $M_{(\ell)}$ is free of rank 1 for all primes ℓ .

Proof Let $J(\Lambda_{\mathbb{Q}})$ be the (multiplicative) *idele group* of $\Lambda_{\mathbb{Q}}$, i.e., the group of invertible elements of the *adele ring* of $\Lambda_{\mathbb{Q}}$ [6, p. 114] (in the notation of [6, Section 2], $\sigma = \mathbb{Z}$, $K = \mathbb{Q}$, $A = \Lambda_{\mathbb{Q}}$, $\mathfrak{A} = \Lambda$). To each $\alpha \in J(\Lambda_{\mathbb{Q}})$ corresponds a certain right Λ -submodule $\alpha\Lambda \subset \Lambda_{\mathbb{Q}}$ that is a locally free Λ -module of rank 1 and a \mathbb{Z} -lattice of maximal rank in the \mathbb{Q} -vector space $\Lambda_{\mathbb{Q}}$, i.e., the natural homomorphism of \mathbb{Q} -vector spaces $\alpha\Lambda \otimes \mathbb{Q} \rightarrow \Lambda_{\mathbb{Q}}$ is an isomorphism [6, p. 114]. This implies that $(\alpha\Lambda)_{\mathbb{Q}}$ is a free $\Lambda_{\mathbb{Q}}$ -module of rank 1. In addition, the direct sum $\alpha\Lambda \oplus \alpha^{-1}\Lambda$ is a free right Λ -module of rank 2 [6, Theorem 1, pp. 114–115]. This implies that $\alpha\Lambda$ is isomorphic to a direct summand of a rank 2 free module; in particular, it is projective. By the same [6, Theorem 1], every right locally free Λ -module M of rank 1 is isomorphic to $\alpha\Lambda$ for a suitable α . This proves (i) and (ii).

Let f_0 be a generator of the free $\Lambda_{\mathbb{Q}}$ -module $M_{\mathbb{Q}}$ of rank 1. Multiplying f_0 by a sufficiently divisible positive integer, we may and will assume that $f_0 \in M = M \otimes 1 \subset M_{\mathbb{Q}}$. Clearly, the right $\Lambda \otimes \mathbb{Q}_{\ell}$ -module

$$M \otimes \mathbb{Q}_{\ell} = M_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{Q}_{\ell} = M_{\ell} \otimes_{\mathbb{Z}_{\ell}} \mathbb{Q}_{\ell}$$

is free of rank 1 for all primes ℓ and f_0 is also a generator of $M \otimes \mathbb{Q}_{\ell}$. It is also clear that every generator f_{ℓ} of the Λ_{ℓ} -module M_{ℓ} is a generator of the $\Lambda \otimes \mathbb{Q}_{\ell}$ -module

$M \otimes \mathbb{Q}_\ell$. We claim that there is a generator f_ℓ that lies in M . Indeed, with respect to the ℓ -adic topology, the subset

$$M = M \otimes 1 \subset M \otimes \mathbb{Z}_\ell = M_\ell$$

is dense in M_ℓ while the set of generators of the free Λ_ℓ -module M_ℓ is open, because the group of units $(\Lambda_\ell)^*$ is open in Λ_ℓ . This implies that there exists a (nonzero) generator $f_\ell \in M \subset M_\ell$ of the Λ_ℓ -module M_ℓ . Recall that f_ℓ is also a generator of the free $\Lambda \otimes \mathbb{Q}_\ell$ -module $M \otimes \mathbb{Q}_\ell$. This implies that there exists $\mu_0 \in (\Lambda \otimes \mathbb{Q}_\ell)^*$ such that $f_\ell = f_0 \mu_0 \in M \otimes \mathbb{Q}_\ell$. On the other hand, since f_ℓ lies in the free rank 1 $\Lambda_{\mathbb{Q}}$ -module $M_{\mathbb{Q}} = f_0 \Lambda_{\mathbb{Q}}$, we have $\mu_0 \in \Lambda_{\mathbb{Q}}$. This implies that μ_0 is *not* a zero divisor in the finite-dimensional \mathbb{Q} -algebra $\Lambda_{\mathbb{Q}}$ (because it is invertible in $\Lambda \otimes \mathbb{Q}_\ell$) and therefore lies in $\Lambda_{\mathbb{Q}}^*$. It follows that f_ℓ is also a generator of the free $\Lambda_{\mathbb{Q}}$ -module $M_{\mathbb{Q}}$ of rank 1.

We want to prove that $M_{(\ell)} = f_\ell[\Lambda \otimes \mathbb{Z}_{(\ell)}]$ (this would prove that $M_{(\ell)}$ is a free right $\Lambda_{(\ell)}$ -module of rank 1 with the generator f_ℓ). For each $x \in M_{(\ell)}$ there exists a unique $\lambda \in \Lambda_\ell$ with $x = f_\ell \lambda$. We need to prove that $\lambda \in \Lambda_{(\ell)}$. Notice that $x \in M_{(\ell)} \subset M_{\mathbb{Q}}$. Since f_ℓ is a generator of the free $\Lambda_{\mathbb{Q}}$ -module $M_{\mathbb{Q}}$, there exists exactly one $\mu_0 \in \Lambda_{\mathbb{Q}}$ such that $x = f_\ell \mu_0$. We get the equalities $f_\ell \mu_0 = x = f_\ell \lambda$ in $M \otimes \mathbb{Q}_\ell$.

Since f_ℓ is a generator of the free $\Lambda \otimes \mathbb{Q}_\ell$ -module $M \otimes \mathbb{Q}_\ell$, we get $\mu = \mu_0$. Since $\Lambda_{(\ell)}$ coincides with intersection of Λ_ℓ and $\Lambda_{\mathbb{Q}}$ in $\Lambda \otimes \mathbb{Q}_\ell$, we conclude that $\mu = \mu_0 \in \Lambda_{(\ell)}$ and therefore $x \in f_\ell[\Lambda \otimes \mathbb{Z}_{(\ell)}]$. This implies that $M_{(\ell)}$ is a free right $\Lambda_{(\ell)}$ -module of rank 1, which proves (iii). □

Corollary 3.3 *Let M be a free commutative group of finite positive rank that is provided with a structure of a right Λ -module. Then M is a locally free Λ -module of rank 1 if and only if the right $\Lambda_{(\ell)}$ -module $M_{(\ell)}$ is free of rank 1 for all primes ℓ .*

Proof Clearly, if $M_{(\ell)}$ is a free right $\Lambda_{(\ell)}$ -module of rank 1 then the right Λ_ℓ -module M_ℓ is free of rank 1. The converse follows from Theorem 3.2 (iii). □

Remark 3.4 Suppose that Λ is an order in a number field E , i.e., Λ is a finitely generated over \mathbb{Z} a subring (with 1) of E such that $\Lambda_{\mathbb{Q}} = E$. Let M be a finitely generated Λ -submodule in E , i.e., a free commutative additive (sub)group of finite rank in E such that $M \cdot \Lambda = M$. In particular, $M_{\mathbb{Q}} = E$ is a free $E = \Lambda_{\mathbb{Q}}$ -module of rank 1.

- (i) If Λ is the ring of all integers in E then it is a Dedekind ring and each of its localizations $\Lambda_{(\ell)}$ is a Dedekind ring with finitely many maximal ideals and therefore is a *principal ideal domain* [8, Chapter III, Proposition 2.12, p.93]. This implies that $M_{(\ell)}$ is a free $\Lambda_{(\ell)}$ -module, whose rank is obviously 1. By Corollary 3.3, M is locally free of rank 1.
- (ii) Suppose that E is a quadratic field. We do not impose any restrictions on Λ but instead assume that $\text{End}_\Lambda(M) = \Lambda$. Then it is known [1, Lemma 2, p.55] that for each prime ℓ there is a nonzero ideal $\mathfrak{J} \subset \Lambda$ such that the order of the finite quotient Λ/\mathfrak{J} is prime to ℓ and the Λ -modules M and \mathfrak{J} are isomorphic. This implies that the $\Lambda_{(\ell)}$ -module $J_{(\ell)} = \Lambda_{(\ell)}$ is free and therefore the $\Lambda_{(\ell)}$ -module $M_{(\ell)}$ is also free and its rank is obviously 1. By Corollary 3.3, M is locally free of rank 1.

4 Tensor products

Now we are going to use Theorem 3.2, in order to construct abelian varieties $A \otimes M$ over K that are *almost isomorphic* to a given A . Notice that our $A \otimes M$ are a rather special *naive* case of powerful *Serre’s tensor construction* [3, Section 7], [2, Section 1.7.4].

Suppose we are given a free commutative group M of finite (positive) rank that is provided with the structure of a right locally free $\Lambda = \text{End}(A)$ -module of rank 1. Let F_2 be a free right Λ -module of rank 2. It follows from Theorem 3.2(i) that there is an endomorphism $\gamma : F_2 \rightarrow F_2$ of the right Λ -module F_2 such that $\gamma^2 = \gamma$ and whose image $M' = \gamma(F_2)$ is isomorphic to M . Notice that $\text{End}_\Lambda(F_2)$ is the matrix algebra $\mathbb{M}_2(\Lambda)$ of size 2 over Λ . So, the idempotent

$$\gamma \in \text{End}_\Lambda(F_2) = \mathbb{M}_2(\Lambda) = \mathbb{M}_2(\text{End}(A)) = \text{End}(A^2)$$

where $A^2 = A \times A$. Let us define the K -abelian (sub)variety

$$B = A \otimes M = \gamma(A^2) \subset A^2.$$

Clearly, B is a direct factor of A^2 . More precisely, if we consider the K -abelian (sub)variety $C = (1 - \gamma)(A^2) \subset A^2$ then the natural homomorphism $B \times C \rightarrow A^2$, $(x, y) \mapsto x + y$ of abelian varieties over K is an isomorphism, i.e., $A^2 = B \times C$. This implies that the right $\text{End}(A)$ -module $\text{Hom}(A, B)$ coincides with

$$\gamma \text{Hom}(A, A^2) \subset \text{Hom}(A, A^2) = \text{End}(A) \oplus \text{End}(A) = F_2$$

and therefore the right $\text{End}(A)$ -module $\text{Hom}(A, B)$ is canonically isomorphic to $\gamma(F_2) = M' \cong M$. It also follows that for every prime ℓ

$$\gamma(A^2[\ell]) = B[\ell]. \tag{**}$$

Theorem 4.1 *Let M be a free commutative group of finite rank which is a right locally free $\text{End}(A)$ -module of rank 1. Let us consider the abelian variety $B = A \otimes M$ over K . Then:*

- (i) A and B are isogenous over K .
- (ii) The right $\text{End}(A)$ -module $\text{Hom}(A, B)$ is isomorphic to M .
- (ii) A and B are almost isomorphic.

Proof We have already seen that $\text{Hom}(A, B) \cong M$, which proves (ii). Since the right $\text{End}(A) \otimes \mathbb{Q}$ -module $M \otimes \mathbb{Q}$ is free of rank 1, the same is true for the right $\text{End}(A) \otimes \mathbb{Q}$ -module $\text{Hom}(A, B)$. By Lemma 2.1, $\dim(A) \leq \dim(B)$ and there exists a $\dim(A)$ -dimensional abelian K -subvariety $B_0 \subset B$ such that A and B_0 are isogenous over K and

$$\text{Hom}(A, B) = \text{Hom}(A, B_0). \tag{***}$$

We claim that $B = B_0$. Indeed, if $B_0 \neq B$ then, by the Poincaré Complete Reducibility theorem [7, Theorem 6, p. 28], there is an “almost complimentary” abelian K -subvariety $B_1 \subset B$ of positive dimension $\dim(B) - \dim(B_0)$ such that the intersection $B_0 \cap B_1$ is finite and $B_0 + B_1 = B$. It follows from (★★) that $\text{Hom}(A, B_1) = \{0\}$. However, $B_1 \subset B \subset A^2$ is an abelian K -subvariety of A^2 and therefore there is a surjective homomorphism $A^2 \rightarrow B_1$ and therefore there exists a nonzero homomorphism $A \rightarrow B_1$. This is a contradiction, which proves that $B = B_0$, the right $\text{End}(A)$ -module $\text{Hom}(A, B)$ is isomorphic to M , and A and B are isogenous over K . In particular, $\dim(A) = \dim(B)$. This proves (i).

Let ℓ be a prime. Since $M \otimes \mathbb{Z}_\ell$ is a free right $\text{End}(A) \otimes \mathbb{Z}_\ell$ -module of rank 1, $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ is a free right $\text{End}(A) \otimes \mathbb{Z}_\ell$ -module of rank 1. Let us choose a generator $\phi \in \text{Hom}(A, B)$ of the module $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$. The surjection $\gamma: A^2 \rightarrow B \subset A^2$ is defined by a certain pair of homomorphisms $\phi_1, \phi_2: A \rightarrow B$, i.e.,

$$\gamma(x_1, x_2) = \phi_1(x_1) + \phi_2(x_2) \quad \text{for all } (x_1, x_2) \in A^2.$$

Since ϕ is a generator, there are $u_1, u_2 \in \text{End}(A) \otimes \mathbb{Z}_\ell$ such that

$$\phi_1 = \phi u_1, \quad \phi_2 = \phi u_2$$

in $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$. It follows that

$$\gamma(A^2[\ell]) = \phi_1(A[\ell]) + \phi_2(A[\ell]) = \phi u_1(A[\ell]) + \phi u_2(A[\ell]) \subset \phi(A[\ell]) \subset B[\ell].$$

By (★★), $\gamma(A^2[\ell]) = B[\ell]$. This implies that ϕ induces a surjective homomorphism $A[\ell] \rightarrow B[\ell]$. Since finite groups $A[\ell]$ and $B[\ell]$ have the same order, ϕ induces an isomorphism $A[\ell] \rightarrow B[\ell]$. This implies that $\ker(\phi)$ does not contain points of order ℓ and therefore is an *isogeny* of degree prime to ℓ . This proves (iii). □

Corollary 4.2 *Suppose that for each $i = 1, 2$ we are given a commutative free group M_i of finite positive rank provided with the structure of a right locally free $\text{End}(A)$ -module of rank 1. Then abelian varieties $B_1 = A \otimes M_1$ and $B_2 = A \otimes M_2$ are isomorphic over K if and only if the $\text{End}(A)$ -modules M_1 and M_2 are isomorphic.*

Proof By Theorem 4.1 (ii), the right $\text{End}(A)$ -module $\text{Hom}(A, B_i)$ is isomorphic to M_i . Now the result follows from Theorem 4.1 (iii) combined with Corollary 2.6. □

Corollary 4.3 *Let A and B be abelian varieties over K of positive dimension. Suppose that the Galois modules $T_\ell(A)$ and $T_\ell(B)$ are isomorphic for all primes ℓ . Then abelian varieties B and $C = A \otimes \text{Hom}(A, B)$ are isomorphic over K .*

Proof By Theorem 4.1 (ii), the right $\text{End}(A)$ -module $\text{Hom}(A, C)$ is isomorphic to $\text{Hom}(A, B)$. Now the result follows from Theorem 4.1 (iii) combined with Corollary 2.6. □

Remark 4.4 Let $g \geq 2$ be an integer and a g -dimensional abelian variety A is a product $A_1 \times A_2$ where A_1 and A_2 are abelian varieties of positive dimension over K with $\text{Hom}(A_1, A_2) = \{0\}$. Then $\text{End}(A) = \text{End}(A_1) \oplus \text{End}(A_2)$. Suppose that for each $i = 1, 2$ we are given a commutative free group M_i of finite positive rank provided with the structure of a right locally free $\text{End}(A_i)$ -module of rank 1.

Then the direct sum $M = M_1 \oplus M_2$ becomes a right locally free module of rank 1 over the ring $\text{End}(A_1) \oplus \text{End}(A_2) = \text{End}(A)$.

There is an obvious canonical isomorphism between abelian varieties $A \otimes M$ and $(A_1 \otimes M_1) \times (A_2 \otimes M_2)$ over K .

For example, we may take as A_2 (for a suitable number field K) an elliptic curve such that $\text{End}(A_2)$ is the ring of integers in an imaginary quadratic field with class number > 1 while A_1 is a $(g - 1)$ -dimensional principally polarized abelian variety with

$$\text{End}(A_1 \times \overline{K}) = \text{End}(A_1) = \mathbb{Z}.$$

(If $g > 2$ then one may take as A_1 the $(g - 1)$ -dimensional jacobian of the hyperelliptic curve $y^2 = x^{2g-1} - x - 1$, see [13].) Clearly, all \overline{K} -endomorphisms of A are defined over K ; in particular, A_1 is absolutely simple. Let us take $M_1 = \mathbb{Z}$. Clearly, $\text{Hom}(A_1, A_2) = \{0\}$. Actually, every \overline{K} -homomorphism between A_1 and A_2 is 0. Let M_2 be a *non-principal* ideal in $\text{End}(A_2)$. Then the elliptic curves A_2 and $A_2 \otimes M$ are almost isomorphic but are *not isomorphic* over K and even over \overline{K} . This implies that $A \otimes M = A_1 \times (A_2 \otimes M_2)$ is almost isomorphic over K but is *not isomorphic* to $A = A_1 \times A_2$ over \overline{K} . Notice that both A and $A \otimes M$ are principally polarized, since A_1 is principally polarized while both A_2 and $A_2 \otimes M_2$ are elliptic curves.

Remark 4.5 See last section of [15] for examples of almost isomorphic but not isomorphic elliptic curves over finite fields.

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