



# Tailored microstructures and strengthening mechanisms in an additively manufactured dual-phase high-entropy alloy via selective laser melting

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**ABSTRACT** Dual-phase high-entropy alloys (DP-HEAs) with excellent strength-ductility combinations have attracted scientific interests. In the present study, the microstructures of AlCrCuFeNi<sub>3,0</sub> DP-HEA fabricated via selective laser melting (SLM) are rationally adjusted and controlled. The mechanisms engendering the hierarchical microstructures are revealed. It is found that the AlCrCuFeNi<sub>3,0</sub> fabricated by SLM at the scanning speed of 400 mm s<sup>-1</sup> falls into the eutectic coupled zone, and increasing the scanning speed will make this composition deviate away from the eutectic coupled zone due to the increased cooling rate. The enrichment of Cr and Fe solutes with large growth restriction values ahead of the solid/liquid interface can develop a constitutional supercooling zone, thus facilitating the heterogeneous nucleation and near-equiaxed grain formation. The synergy of the near-eutectic DP nano-structures and near-equiaxed grains instead of columnar ones effectively suppresses cracking for the as-built DP-HEA. During the tensile deformation, the intergranular back stress hardening similar to the grain-boundary strengthening is discovered. Meanwhile, the near-eutectic microstructures comprised of soft face-centered cubic and hard ordered body-centered cubic (B2) DP nano-structures lead to plastic strain incompatibility within grains, thus producing the intragranular back stress. The Cr-rich nano-precipitates inside the B2 phase are found to be sheared by dislocation gliding and can complement the back stress. Additionally, multiple strengthening mechanisms are physically evaluated, and the back stress strengthening contributes obviously to the high performances of the as-built DP-HEA.

**Keywords:** high-entropy alloy, selective laser melting, hierarchical microstructures, back stress, strengthening mechanism

## INTRODUCTION

High-entropy alloys (HEAs) have attracted significant

scientific interests owing to their special mechanical performances and application prospects [1,2]. Among HEAs, face-centered cubic (FCC) HEAs have superior ductility and have been extensively studied [3–5]. However, many FCC single-phase HEAs generally show insufficient strength for engineering applications [6]. To overcome this drawback, precipitation hardening [7,8], interstitial solute strengthening [9,10], heterogeneous grain structure [11,12] or multi-phase structure [13] have been applied. Especially, body-centered cubic (BCC) plus FCC dual-phase (DP) or multi-phase HEAs can achieve excellent strength-ductility combinations [14].

Selective laser melting (SLM), a flexible additive manufacturing technique, provides great potential to directly fabricate HEAs with improved comprehensive properties [15,16]. Currently, SLM-fabricated FCC HEAs including FeCoCrNi [17,18], Al<sub>0.5</sub>CoCrFeNi [19], CoCrFeNiMn [20–22] and C-containing CoCrFeNi [23,24], as well as BCC HEAs including AlCoCuFeNi [25], AlCrCuFeNi [26] and AlCoCrFeNi [27], have been widely studied by researchers. Recently, for the first time, FCC plus BCC AlCrCuFeNi<sub>x</sub> DP-HEAs were produced successfully by using SLM and the crack-free AlCrCuFeNi<sub>3</sub> DP-HEA was acquired [28]. Also, the SLM-built AlCrCuFeNi<sub>3</sub> DP-HEA possesses a hierarchically heterogeneous microstructure and enhanced strength-ductility combination. However, a fast cooling rate and steep thermal gradient easily lead to cracking and performance degradation for SLM-built HEAs containing the BCC-structured phase [25,26]. Why the cracks can be effectively eliminated in the SLM-built FCC plus BCC AlCrCuFeNi<sub>3</sub> DP-HEA is not clear. Moreover, the mechanisms engendering the hierarchical microstructures have never been revealed, and the strengthening mechanisms answerable for the high performances of the SLM-built AlCrCuFeNi<sub>3</sub> DP-

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HEA remain unknown.

In the present study, we first adjust and control the microstructure of AlCrCuFeNi<sub>3</sub> DP-HEA fabricated by using SLM under different laser energy inputs and then reveal the mechanisms engendering the hierarchical microstructures. The reason why the cracks can be effectively eliminated in the as-built DP-HEA is discovered. The deformed microstructures are also studied to reveal the strengthening mechanisms. Finally, effective and back stresses are physically evaluated.

## EXPERIMENTAL SECTION

In this study, AlCrCuFeNi HEA spherical powders and high purity (> 99.9 wt.%) Ni spherical powders were blended for 12 h using a low-energy ball mill to achieve the designed AlCrCuFeNi<sub>3</sub> composition [28]. The scanning electron microscopy (SEM) morphology of the blended powders is shown in Fig. 1a, it is clear that the AlCrCuFeNi powders and Ni powders are blended uniformly with each other. Utilizing a self-developed SLM equipment (LSNF-1) [29], bulk samples (7 × 7 × 7 mm<sup>3</sup>) were built (laser power 200 W, layer thickness 20 μm, hatching spacing 0.08 mm) along the build direction in the pure argon atmosphere with low H<sub>2</sub>O and O<sub>2</sub> contents (< 40 ppm). This was done at different laser scanning speeds (corresponding to different energy inputs): 400, 600, 800 and 1000 mm s<sup>-1</sup> (labeled V400, V600, V800 and V1000 in this work). The detailed scanning strategy has been represented in previous research [26].

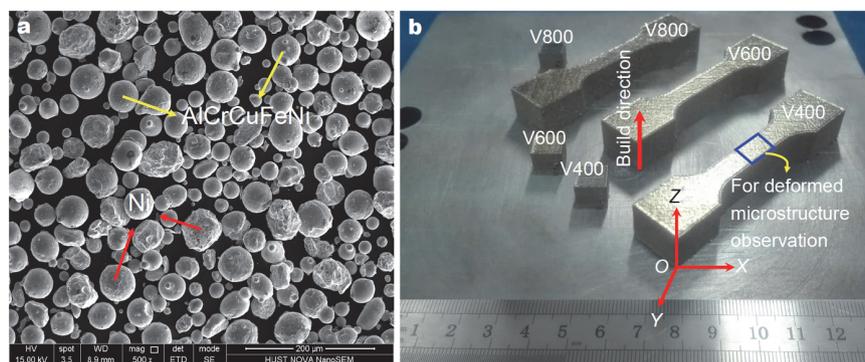
X-ray diffractometer (XRD) with Cu Kα radiation was used for phase identification. The microstructures of the as-built samples were characterized by using SEM, electron probe microanalysis (EPMA), electron backscatter diffraction (EBSD) and transmission electron microscopy (TEM). The SEM and EBSD samples were prepared by polishing and then electropolishing in 90 vol.% methanol

and 10 vol.% perchloric acid at 0°C. The TEM samples were prepared by grinding the slices into a thickness of ~45 μm. Then the slices were punched into disks (diameter of 3.0 mm). Finally, the TEM disks were electropolished by an automatic twin-jet electropolisher in 90 vol.% methanol and 10 vol.% perchloric acid at -30°C. The dog-bone-shaped tensile specimens (gauge length 20.0 mm, width 5.0 mm, thickness 1.5 mm) were built, as representatively illustrated in Fig. 1b. At room temperature, uniaxial tension tests were performed on a Zwick testing machine at a strain rate of 1 × 10<sup>-3</sup> s<sup>-1</sup>. The loading direction of tensile specimens is perpendicular to the build direction of laser deposition. All tensile processes were repeated for three times. The post-deformed microstructures near the fracture parallel to the loading direction, which corresponds to the XY plane (as marked by a blue box in Fig. 1b) of the as-built tensile specimen, were studied by TEM. It should be noted that the SLM-built specimens generally exhibit extreme <100> crystallographic texture in the build direction due to the process characteristic of SLM. Thus for rational inference and calculation of the tensile properties of the specimen, only the tensile properties perpendicular to the build direction were studied in this work. The deformed microstructures corresponding to the XY plane have random or weak texture.

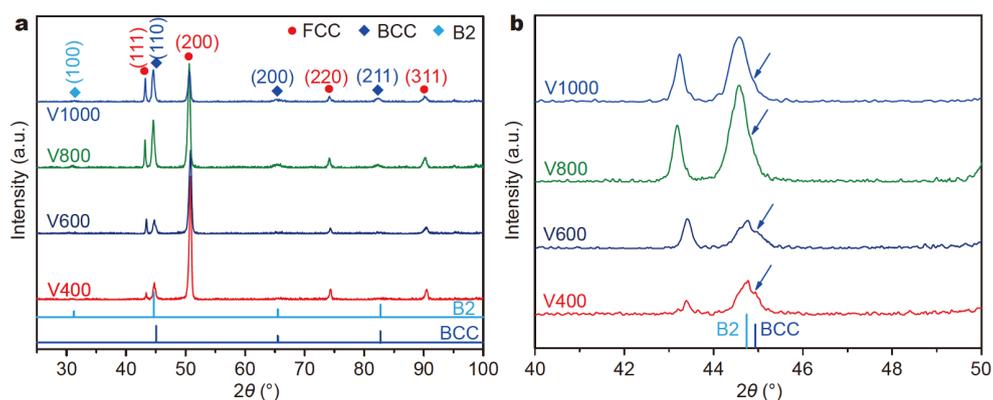
## RESULTS AND DISCUSSION

### Microstructure and crack control

Fig. 2a shows the XRD diagrams of the as-built samples at different scanning speeds. FCC and BCC phases are identified for all the as-built AlCrCuFeNi<sub>3</sub> HEAs. Also, the weak (100) diffraction peak appears at 2θ = 31.1°, indicating the occurrence of an ordered BCC (B2) phase. Other diffraction peaks of the B2 phase including the



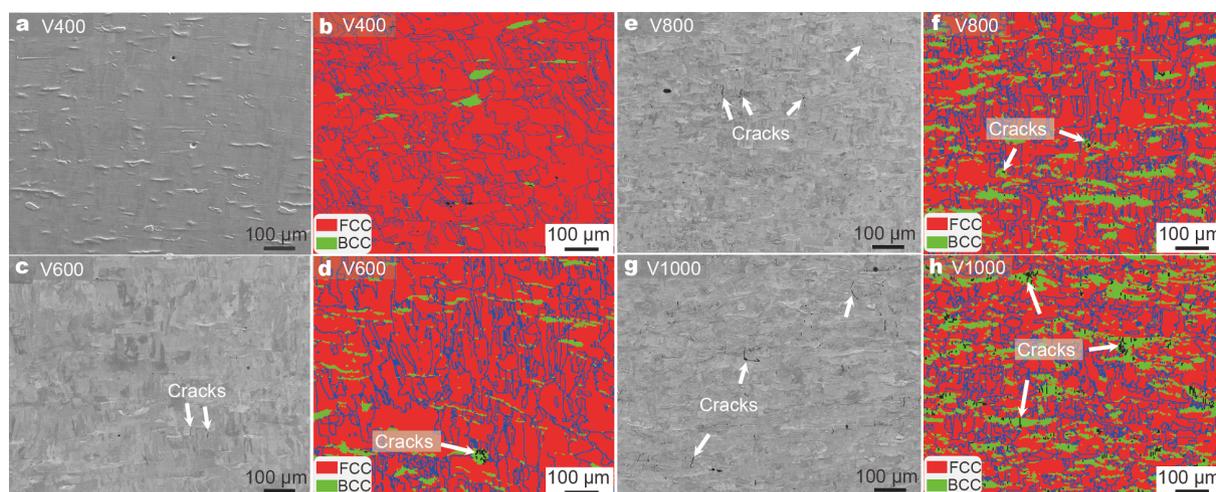
**Figure 1** (a) SEM morphology of the blended AlCrCuFeNi<sub>3</sub> powders; (b) The as-built dog-bone-shaped tensile specimens and cubic specimens of AlCrCuFeNi<sub>3</sub> HEA.



**Figure 2** (a) XRD diagrams of the as-built samples at different scanning speeds; (b) partial XRD diagrams of the as-built samples at different scanning speeds. B2 denotes the ordered BCC phase.

(110), (200) and (211) overlap with those of the disordered BCC phase. Certainly, the (110) peak of the BCC and B2 phases can be partially distinguished, as shown in the partial XRD pattern in Fig. 2b. The left (110) peak corresponds to the B2 phase which is enriched in Al (EPMA proves that subsequently) with large atomic radius, while the right (110) peak should be corresponding to the BCC phase which is enriched in Cr (TEM proves that subsequently) with relatively small atomic radius. To better distinguish the BCC and B2 phases, the peaks of the BCC and B2 phases are separately pointed out at the bottom in Fig. 2a. The lattice parameters of the FCC, BCC and B2 phases for the V400 sample are determined to be 3.606, 2.859, and 2.864 Å, respectively. Moreover, as the scanning speed increases, the relative content of the BCC/B2 phases increases. At the microscale, SEM and EBSD

observations were conducted. Fig. 3 shows the back-scattered electron (BSE) images and EBSD phase maps with high-angle grain boundaries (HAGBs, with misorientation larger than 15°) on the XZ plane for the as-built samples. It can be found that the V400 sample possesses good processability because no cracks are detected in the microstructure (Fig. 3a). Meanwhile, near-equiaxed grains are observed according to the EBSD phase map with HAGBs in the V400 sample (Fig. 3b). As marked with white arrows in Fig. 3c–h, microcrack starts to appear within the BCC single-phase zone for the V600 sample and its quantity further increases with the increasing scanning speed, which suggests that the processability is deteriorated when the scanning speed increases for the SLM-fabricated AlCrCuFeNi<sub>3</sub> HEAs. Moreover, as the scanning speed rises from 400 to 1000 mm s<sup>-1</sup>, the

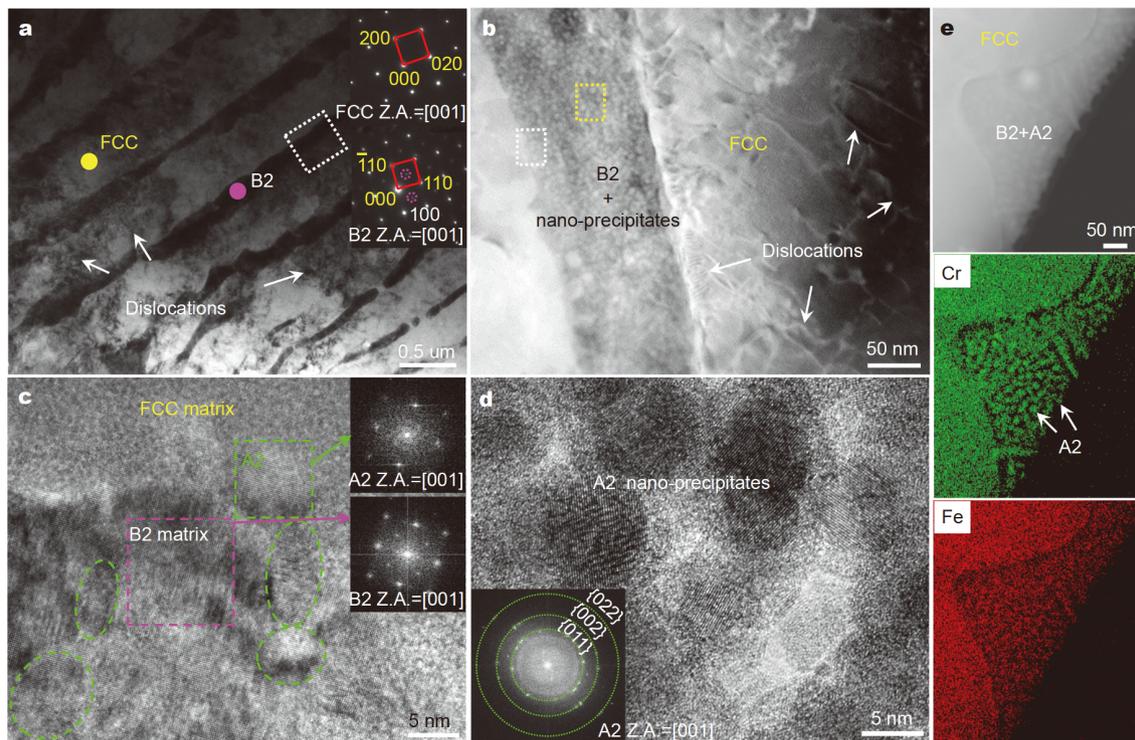


**Figure 3** BSE images and EBSD phase maps with HAGBs of the as-built samples on XZ plane: (a, b) V400; (c, d) V600; (e, f) V800; (g, h) V1000.

average grain size decreases from 21.6 to 14.7  $\mu\text{m}$ . Meanwhile, the fraction of the FCC phase decreases while that of the BCC phase increases, basically agreeing well with the XRD results. It should be noted that the FCC grains are just detected by EBSD at the microscale. In fact, at high magnification, the FCC grains may contain lamellar nano-structures composed of FCC and BCC/B2, which will be confirmed by TEM subsequently.

To better study the microstructure inside the grains, the crack-free V400 sample was chosen for TEM observation. A bright-field TEM micrograph of the as-built V400 sample on the XZ plane at the [001] zone axis (Z.A.) is displayed in Fig. 4a. Alternating lamellar nano-structure patterns are formed along the build direction within the grain, thus it can be deduced that the solid/liquid (S/L) interface exhibits cellular growth during the rapid solidification. The selected area diffraction patterns (SADPs) are shown in the insets, it can be found that the lamellar structure labeled by a yellow circle is disordered FCC phase, while the lamellar structure labeled by a purple circle is ordered BCC (B2) phase due to the occurrence of superlattice diffraction spots (labeled with dotted line rings in the SADP). Fig. 4b illustrates a high-angle an-

nular dark-field scanning TEM (HAADF-STEM) image of the white dashed box in Fig. 4a. It is discovered that profuse near-spherical nano-precipitates appear inside the B2 phase. Moreover, massive dislocations are verified within the FCC phase, indicating a high level of residual stress remained in the as-built sample after non-equilibrium rapid solidification. Fig. 4c displays a high-resolution TEM (HRTEM) micrograph of the white dashed box in Fig. 4b, which depicts the nano-precipitates inside the B2 phase near the FCC phase. The fast-Fourier-transformation (FFT) patterns in the inset confirm that the nano-precipitates are disordered BCC (A2) phases, which are embedded into the B2 matrix. The coherent interface between the B2 and A2 phases is also verified by the FFT patterns. An HRTEM micrograph (Fig. 4d) shows different orientations of the A2 nano-precipitates inside the B2 matrix, as indicated by the generation of diffraction rings indexed {011}, {002}, and {022} crystal-plane indices in the FFT pattern. According to the TEM image, the mean particle size and the relative volume fraction of the A2 nano-precipitates inside the B2 phase are statistically determined to be about 8 nm and 58.5%, respectively. Therefore, we can conclude that the as-built



**Figure 4** (a) Bright-field TEM micrograph inside the grains of the V400 sample on the XZ plane at the Z.A. of [001]; (b) HAADF-STEM micrograph of the white dotted box in (a); (c) HRTEM micrograph of the white dotted box in (b); (d) HRTEM micrograph of the yellow dotted box in (b); (e) HAADF-STEM micrograph, with the corresponding STEM-EDS images of Cr and Fe shown below.

V400 sample shows modulated FCC + B2/A2 DP nano-structures. Fig. 4e depicts an HAADF-STEM micrograph of the DP nano-structures, and the corresponding STEM-energy dispersive X-ray spectroscopy (EDS) maps of Cr and Fe are shown below. Clearly, the FCC phase is enriched with Fe and Cr, while the A2 nano-precipitate mainly contains Cr and a small amount of Fe.

The EPMA results of the V400 sample are shown in Fig. 5. It is found that FCC phase is abundant in Cr and Fe, which is consistent with the result of STEM-EDS shown in Fig. 4e. B2 phase is abundant in Cu and Al. Only Ni is nearly uniformly distributed.

It is hard to prepare the TEM foils by twin-jet electropolishing when cracks exist. Thus high-resolution SEM was conducted to study the phase evolution and cracking behavior. Fig. 6a and b show the high-resolution SEM images, which compare the lamellar DP nano-structures within a grain for the as-built V400 and V1000 samples on the XZ plane, respectively. It can be discovered that the fraction of B2/A2 phases increases obviously as the scanning speed increases from 400 to 1000 mm s<sup>-1</sup>. Fig. 6c shows a high-resolution SEM image of cracks in the as-built V1000 sample on the XZ plane. Isolated B2/A2 phases appear. Also, the cracks initiate and propagate within the isolated B2/A2 phases. These are consistent with the above EBSD observation. However, the cracks are redirected near the boundaries (marked by the yellow dashed line in Fig. 6c) between the isolated B2/A2 phases and the lamellar DP zone and are

effectively stopped inside the lamellar DP nano-structures. The magnified SEM image of the red dashed box in Fig. 6c depicting the crack termination is shown in Fig. 6d. It can be confirmed that the lamellar DP nano-structures comprised of soft FCC phase and hard B2/A2 phases can effectively eliminate the cracks in the as-built AlCrCuFeNi<sub>3</sub> HEA.

### Mechanisms engendering the hierarchical microstructures

In the V400 sample, the microstructure exhibits universal alternating lamellar DP nano-structures, suggesting that the AlCrCuFeNi<sub>3,0</sub> alloy fabricated by SLM at the scanning speed of 400 mm s<sup>-1</sup> is a near-eutectic HEA. It has been reported that the eutectic composition is similar to AlCrCuFeNi<sub>2,0</sub> in the as-cast state [30,31]. Thus, the SLM-fabricated AlCrCuFeNi<sub>3,0</sub> at the scanning speed of 400 mm s<sup>-1</sup> falls into the eutectic coupled zone under the non-equilibrium rapid solidification condition, thus forming the near-eutectic microstructure.

Among the five elements, the Fe, Cr and Ni possess a similar chemical activity and atomic radius. Moreover, in terms of the design strategy of eutectic HEAs using mixing enthalpy [32,33], the mixing enthalpy between them is close to zero. Therefore, the Fe, Cr and Ni can be completely soluble and easily form a solid solution. In contrast, the Al and Ni exhibit very negative mixing enthalpy, thus the atomic pair of Al and Ni also shows a very high combination trend. Cu shows very positive mixing enthalpy with Fe and Cr but negative mixing

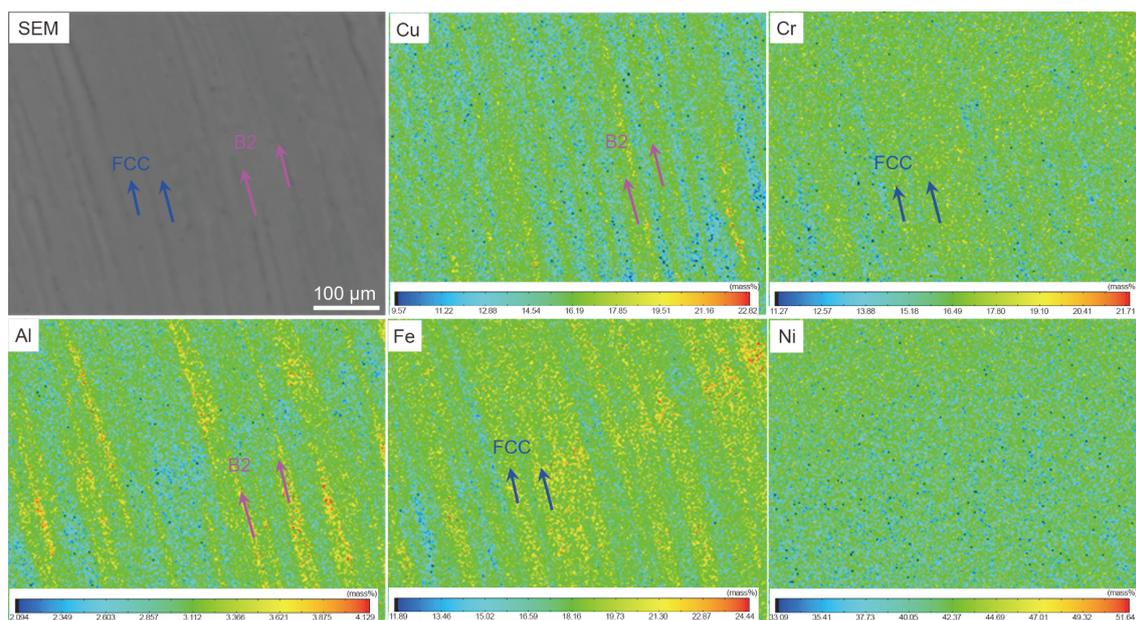
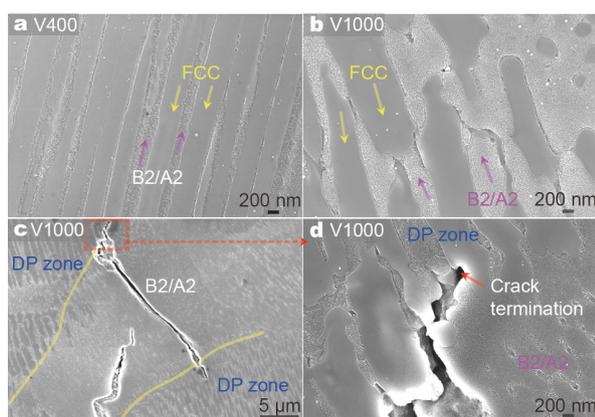


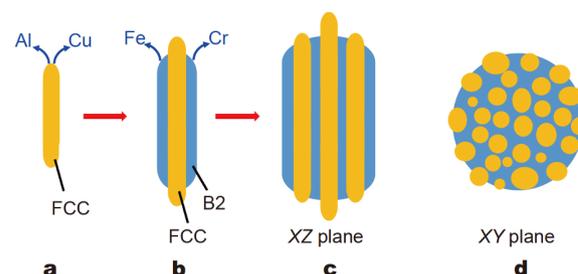
Figure 5 EPMA maps of element distribution for the V400 sample on the XZ plane.



**Figure 6** (a, b) High-resolution SEM images of the lamellar DP nano-structures within a grain for the as-built V400 and V1000 samples on the XZ plane; (c) high-resolution SEM image of cracks in the as-built V1000 sample on the XZ plane; (d) magnified SEM image of the red dotted box in (c).

enthalpy with Al [34], thus Cu prefers to combine with Al. As Al is a strong BCC stabilizer, the combination of Al, Ni and Cu will tend to form the ordered B2 phase, while the combination of Fe, Cr and Ni will form the FCC phase.

Fig. 7a–c schematically illustrate the process of eutectic reaction. The Fe, Cr and Ni atoms will precipitate preferentially to form the preventive FCC phase due to their high melting points during solidification (Fig. 7a). At the same time, the redundant Al and Cu, which are very hard to combine with Fe and Cr in the FCC phase, are rejected at the solidification front into the liquid along the S/L interface. These prompt the nucleation of ordered B2 phase between the preventive FCC phases (Fig. 7b). Then, the Fe and Cr atoms in the B2 phases separate out. This will lead to an increased Fe and Cr concentrations in the liquid with the advancing of solidification front due to the lower concentrations of Fe and Cr in the B2 phase than in the liquid. Consequently, the nucleation of the FCC phase is promoted again. Such continuous alternating nucleation and growth leads to the formation of FCC (Fe-Cr rich) and B2 (Cu-Al rich) DP structures. Due to the cellular growth characteristic ahead of the S/L interface during the rapid solidification, the FCC and B2 DP structures exhibit lamellar characteristics along the build direction on the XZ plane (Fig. 7c). This can be confirmed by the above high-resolution SEM result (Fig. 6a) in the V400 sample. The high cooling rate and the sluggish diffusion effect of HEAs prevent the growth of eutectic phases, thus generating nanoscale DP structures. The FCC and B2 DP nano-structures on the XY plane for the V400 sample are schematically illustrated in Fig. 7d,



**Figure 7** Schematic diagrams: (a–c) alternating nucleation and growth during the eutectic reaction; (c) FCC and B2 DP nano-structures on the XZ plane; (d) FCC and B2 DP nano-structures on the XY plane.

the FCC cell is nearly encircled by the B2 phase. As the temperature drops, the solid solubilities of atoms, especially the Cr atom with a higher melting point, are significantly reduced in the solid B2 phase, which mainly causes the formation of Cr-rich A2 nano-precipitates *via* solid-state decomposition of the B2 phase. This can be verified by the STEM-EDS map in Fig. 4e, in which the A2 precipitates mainly contain Cr and a slight amount of Fe. When the scanning speed increases, the cooling rate further increases, meanwhile, the fraction of B2/A2 phases increases (Fig. 6a, b). This indicates that the increased cooling rate facilitates the formation of BCC-structured phase, making the solidification further deviate away from the equilibrium condition. As a result, the V600, V800, and V1000 alloys may become hypoeutectic HEAs, leading to the formation of isolated B2/A2 phases (primary phases) in the microstructures (Fig. 3d, f and h). Due to the low stress accommodation capacity of the isolated B2/A2 phases, the cracks will initiate inside the hard and brittle B2/A2 phases. This also suggests that the cracks which appear in the isolated B2/A2 phases belong to cold cracks.

Generally, the steep temperature gradient during SLM reduces or eliminates constitutional supercooling ( $\Delta T_{CS}$ ). Consequently, the nucleation events in front of the S/L interface are suppressed and columnar grains are favored. However, the  $\Delta T_{CS}$  is proportional to the  $Q$  value ( $Q$  is the growth restriction factor) [35]:

$$\Delta T_{CS} = Q \cdot \Omega, \quad (1)$$

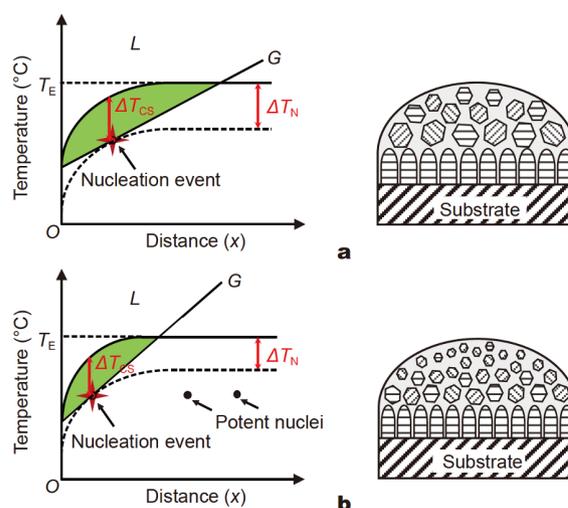
where  $\Omega$  is the dimensionless supersaturation parameter. During the eutectic reaction, the enrichment of Cr and Fe solutes with large  $Q$  values in the liquid can also rapidly develop a large constitutional supercooling zone ahead of the S/L interface, locally reducing the nucleation barrier for crystallization, which causes the increased amount of nucleation sites and makes equiaxed grain nucleation possible [36]. Moreover, due to the use of mixed powders

and the steep temperature gradient, Marangoni convection is more active, causing rapid fluid flow and mix inside the molten pool. As a result, the temperature gradients ( $G$ ) across the molten pools will dynamically decrease during layer solidification. Consequently,  $\Delta T_{CS}$  surpasses the nucleant potency of particles ( $\Delta T_N$ ) presented in this zone, then constitutional supercooling is permitted and equiaxed grain nucleation occurs, as illustrated by the schematic diagram in Fig. 8a.

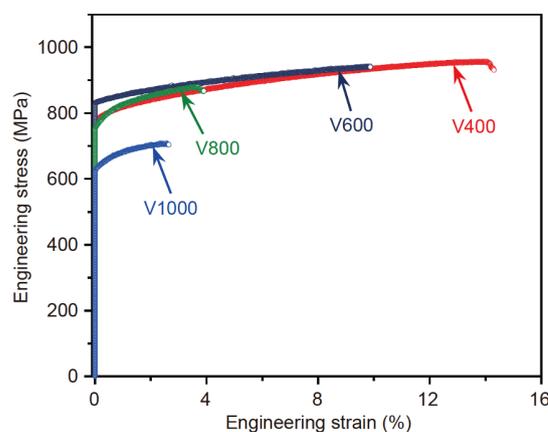
For the as-built V600 sample, a mixed thinner columnar and equiaxed grains are generated (Fig. 3d) due to the reduced  $\Delta T_{CS}$  caused by the increased  $G$ . But further increasing the scanning speed results in a quicker cooling rate, and more BCC phase including the Cr-rich A2 nano-precipitates will be favored (Fig. 6b). Considering the strong evidence that finer equiaxed grains do form in the as-built V1000 sample (Fig. 3h), more Cr and Fe atoms should be rejected into the liquid to establish a higher concentration gradient ahead of the S/L interface, which in turn enhances the  $\Delta T_{CS}$ . Consequently, sufficient  $\Delta T_{CS}$  still can efficiently offset the negative influence of the steep temperature gradient, as illustrated by the schematic diagram in Fig. 8b. Also, partially unmelted powder particles caused by the high scanning speed provide the potent nuclei [37]. This facilitates the heterogeneous nucleation and results in finer equiaxed grains. Generally, coarse columnar grains easily cause hot tearing at the grain boundaries during solidification [18], but the fine equiaxed grains can effectively suppress hot tearing and withstand the residual stress, thus improving the processability. Unfortunately, more microcracks occur in the microstructures with the increase of the scanning speed. Also, the cracks only initiate and propagate within the isolated B2/A2 phases. Therefore, it can be confirmed that the cracks which appear in the V600, V800 and V1000 samples belong to the cold cracks. The cold cracking is attributed to the appearance of isolated B2/A2 brittle phases with less stress accommodation capacity. Thus, it can be concluded that the synergy of the near-eutectic DP nano-structures and near-equiaxed grains instead of columnar ones effectively eliminates the cracks.

#### Mechanical properties and deformed microstructure of the as-built HEA

Fig. 9 shows the engineering stress-strain diagram of the as-built samples at different scanning speeds. Due to the existence of isolated B2/A2 brittle phases and cracks in the V600, V800 and V1000 samples, their mechanical properties are deteriorated. Also, when the scanning



**Figure 8** Schematic illustration of grain growth mechanisms for the as-built samples: (a) V400; (b) V1000.  $T_E$  represents the equilibrium solidification temperature.



**Figure 9** Engineering stress-strain diagram of the as-built samples at different scanning speeds.

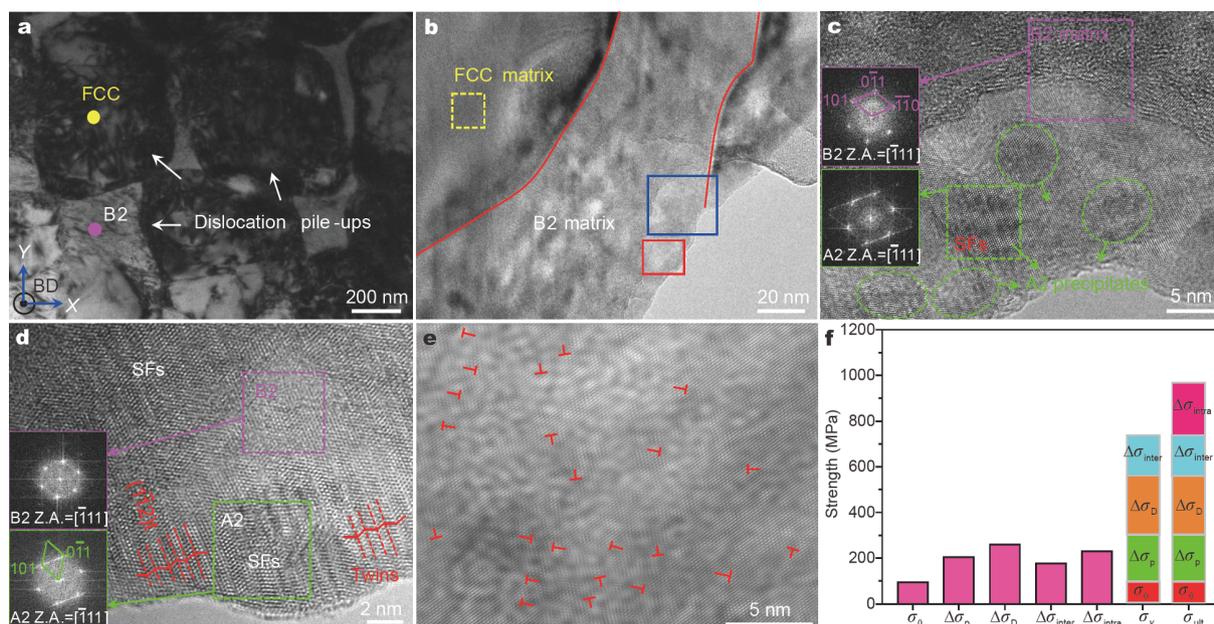
speed increases, because the number of cracks increases in the microstructures, the strength is found to decrease with the increasing scanning speed. Moreover, the V400 sample exhibits the best strength-ductility combination (yield strength  $\sim 775$  MPa, tensile strength  $\sim 957$  MPa, elongation  $\sim 14.3\%$ ). This surpasses those of the as-cast as well as cold-rolled plus annealed AlCuFeCrNi alloys [38]. These results imply that different energy inputs may be the main factor that leads to the varied microstructures and properties for the SLM-built HEAs.

Considering that the V400 sample exhibits the best strength-ductility combination, the deformed microstructures of the V400 sample was studied by TEM. It

should be noted that the loading direction of tensile specimens is perpendicular to the build direction. The post-deformed microstructures on the XY plane (which has been schematically illustrated in Fig. 1b) are observed to better evaluate the strengthening mechanisms. A bright-field TEM image of the deformed V400 sample on the XY plane is shown in Fig. 10a. The FCC phase is decorated with high densities of dislocations, while the B2 phase possesses relatively low dislocation density. This validates that dislocation-mediated plasticity is dominant in the FCC phase during tension. Also, as marked by white arrows in Fig. 10a, geometrically necessary dislocations (GNDs) in the FCC cells cannot transmit across the B2 phases and pile up around the cell boundaries, which can generate back stress. Different from the deformation heterogeneity at the grain scale reported previously [39,40], this deformation heterogeneity is mainly at sub-micron scale inside the grains. Thus the resultant back stress should be appropriately called intragranular back stress [41], which will be evaluated subsequently. According to the TEM images on the XY plane, the average thickness of the B2 phase is determined as ~140 nm and the mean size of the FCC cells is determined as ~490 nm. The soft FCC cell is nearly encircled by the hard B2 phase. In such a scenario, the soft FCC cells cannot change their shape as required to deform plastically until the hard B2 phase is plastically de-

forming [39,42,43]. Consequently, the intragranular back stress may make the soft FCC cells almost stronger than the hard B2 phase.

A bright-field TEM image of the B2 phase near the FCC cell is shown in Fig. 10b. Fig. 10c and d respectively display the HRTEM micrographs from the blue and red boxed regions in Fig. 10b. It is found that the A2 nano-precipitates are sheared by dislocations, which generates stacking faults (SFs) within the A2 nano-precipitates, as verified by the stretched FFT patterns boxed by green solid lines in Fig. 10c and d. In addition to SFs, deformed nano-twins are produced inside the A2 nano-precipitates. The nano-twin boundaries pointed by the red dashed lines are located on the (112) twin planes determined by the FFT pattern in Fig. 10d. The occurrence of deformed nano-twins further confirms that the dislocations pass through the A2 nano-precipitate *via* a particle shearing mechanism instead of a dislocation bypassing mechanism. Since the A2 nano-precipitates exhibit coherent relation with the B2 phase and their particle diameters are mostly no larger than 12 nm, the A2 nano-precipitates are expected to enhance the strength through a particle shearing mechanism [44]. Therefore, abundant A2 nano-precipitates embedded in the B2 matrix can further facilitate the generation of GNDs and thus the strain hardening. The inverse FFT (IFFT) image (Fig. 10e) confirms the high-density dislocations (T marks) in the



**Figure 10** (a, b) Bright-field TEM images on the XY plane of the post-deformed V400 sample; (c, d) HRTEM images of the blue and red boxed zones in (b), respectively. SFs and twins are discovered; (e) IFFT image corresponding to the yellow dashed box in (b), the dislocations are marked by the red ‘T’; (f) calculated strength contributions.

post-deformed FCC cells.

### Strengthening mechanisms and evaluation

Because the V400 sample possesses no cracks, its mechanical properties can be well reflected. Also, the V400 sample exhibits the best strength-ductility combination. Therefore, the strengthening mechanisms of the V400 sample are studied and evaluated in this section. To understand the physical nature of tensile hardening, the flow stress is divided into two parts [41]:

$$\sigma = \sigma_{\text{ef}} + \sigma_{\text{b}}, \quad (2)$$

where  $\sigma_{\text{ef}}$  is the effective stress induced by short-range interactions like friction stress  $\sigma_0$ , precipitation hardening  $\Delta\sigma_{\text{p}}$  and dislocation strengthening  $\Delta\sigma_{\text{D}}$  in this work.  $\sigma_{\text{b}}$  is the back stress related to the long-range interaction with mobile dislocations.

The precipitation hardening  $\Delta\sigma_{\text{p}}$  is estimated according to a simple composite model:

$$\Delta\sigma_{\text{p}} = \sigma_{\text{I}} \cdot f_{\text{I}} + \sigma_{\text{II}} \cdot f_{\text{II}}, \quad (3)$$

where  $\sigma_{\text{I}}$  and  $\sigma_{\text{II}}$  are the intrinsic strength resulted from the precipitation hardening inside the FCC and B2 phases, respectively.  $f_{\text{I}}$  and  $f_{\text{II}}$  denote the average area fractions of FCC and B2 phases, respectively. Since almost no secondary phases are detected within the FCC phase (see the HAADF-STEM micrograph in Fig. 4b), the  $\sigma_{\text{I}}f_{\text{I}}$  term in Equation (3) equals zero.  $f_{\text{II}}$  is calculated as about 22.2% using  $f_{\text{II}} = t/(\lambda+t)$ , where  $t$  is the thickness (~140 nm) of the B2 phase and  $\lambda$  is the spacing (~490 nm) between hard B2 zones based on the TEM observation. A similar composite model was also used in Ref. [45].

In the B2 phase, since the near-spherical A2 nano-precipitates are sheared by the glide of dislocations, the particle-matrix coherency ( $\Delta\sigma_{\text{CS}}$ ), modulus mismatch ( $\Delta\sigma_{\text{MS}}$ ) and atomic ordering ( $\Delta\sigma_{\text{OS}}$ ) factors are considered to evaluate the precipitation hardening effect [46]:

$$\Delta\sigma_{\text{CS}} = M \cdot \alpha_{\varepsilon} (G \cdot \varepsilon)^{3/2} \left( \frac{rf}{0.5Gb} \right)^{1/2}, \quad (4)$$

$$\Delta\sigma_{\text{MS}} = M \cdot 0.0055 (\Delta G)^{3/2} \left( \frac{2f}{G} \right)^{1/2} \left( \frac{r}{b} \right)^{3m/2-1}, \quad (5)$$

$$\Delta\sigma_{\text{OS}} = M \cdot 0.81 \frac{\gamma_{\text{APB}}}{2b} \left( \frac{3\pi f}{8} \right)^{1/2}, \quad (6)$$

where  $b = 0.248$  nm is the Burger's vector obtained from the XRD pattern of the V400 sample,  $m = 0.85$  [47],  $\varepsilon \approx 2/3 \cdot (\Delta a/a)$  is the constrained lattice misfit,  $\Delta a/a = 0.0017$  is calculated from the XRD pattern,  $\Delta a$  is the difference of lattice constant between the A2 nano-precipitate and the B2 matrix.  $r = 8$  nm and  $f = 58.5\%$  are the mean particle size and relative volume fraction of the A2 nano-precipitates inside the B2 matrix obtained from the TEM observation, respectively. Other parameters are explained and listed in Table 1. Note that the Taylor factor ( $M$ ) values of the FCC and BCC phases with random textures are adopted since the deformed microstructures corresponding to the  $XY$  plane possess random or weak textures. Using the Equations (4–6), the strength increments caused by the 8 nm-sized A2 nano-precipitate in the B2 matrix are calculated as  $\Delta\sigma_{\text{CS}} = 125.8$  MPa,  $\Delta\sigma_{\text{MS}} = 24.1$  MPa, and  $\Delta\sigma_{\text{OS}} = 921.6$  MPa, respectively. Obviously, the precipitation hardening is mainly from the atomic ordering strengthening which occurs during shearing. Then, using Equation (3), the precipitation hardening  $\Delta\sigma_{\text{p}}$  caused by the A2 nano-precipitates is calculated as about 204.6 MPa using  $\Delta\sigma_{\text{OS}}f_{\text{II}}$ . This demonstrates that the shearing mechanism dominates when the precipitates are coherent and small.

The dislocation hardening is evaluated using a Bailey-Hirsch formula [48]:

$$\Delta\sigma_{\text{D}} = M\alpha Gb\rho^{1/2}, \quad (7)$$

where  $b = 0.255$  nm is obtained from the XRD pattern of V400 sample,  $\rho$  is the dislocation density in the FCC phase of the as-printed sample, which is roughly determined to be  $4.2 \times 10^{14} \text{ m}^{-2}$  from the XRD pattern by using the Williamson-Hall method [49]. Note that the dislocation density in the BCC-structured phase of the as-printed sample is quite low, thus the printing-induced dislocation hardening in the B2 phase is ignored. Then using the data in Table 1, the increment of dislocation hardening ( $\Delta\sigma_{\text{D}}$ ) is about 259.0 MPa calculated by Equation (7).

The back stress  $\sigma_{\text{b}}$  is caused by two incompatibility le-

**Table 1** Data used for the strength calculations [45,50]: Taylor factor ( $M$ ), constant ( $\alpha$ ), shear modulus of matrix ( $G$ ), constant ( $\alpha_{\varepsilon}$ ), shear modulus mismatch between the precipitate and matrix ( $\Delta G$ ), anti-phase boundary energy of the precipitate ( $\gamma_{\text{APB}}$ )

Phase	$M$	$\alpha$	$G$ (GPa)	$\alpha_{\varepsilon}$	$\Delta G$ (GPa)	$\gamma_{\text{APB}}$ (J m <sup>-2</sup> )
FCC	3.06	0.2	81	–	–	–
BCC	2.73	0.25	83	2.6	3	0.25

vels, i.e., intergranular and intragranular. The plastic strain incompatibility between the grains causes intergranular back stress. In this study, since the grain size distributions are nearly homogenous, the intergranular back stress ( $\Delta\sigma_{\text{inter}}$ ) is mainly related to grain boundary (GB). The GB separates the grains with two different crystallographic orientations and constitutes a hard zone, which can resist the slip of dislocations emitted from Frank Read sources and cause piling up of dislocation against the GBs. Consequently, the long-range internal stress named as intergranular back stress ( $\Delta\sigma_{\text{inter}}$ ) is induced. At the yield point, the  $\Delta\sigma_{\text{inter}}$  can be obtained as about 216.4 MPa using  $\Delta\sigma_{\text{inter}} = \sigma_y - \sigma_0 - \Delta\sigma_p - \Delta\sigma_D$ , where  $\sigma_y$  is the yield stress and equals 775 MPa, and the friction stress  $\sigma_0$  equals 95 MPa [51]. In fact, the increased strength from the  $\Delta\sigma_{\text{inter}}$  is similar to the GB hardening effect ( $\Delta\sigma_G$ ). From the Hall-Petch relationship, the  $\Delta\sigma_G$  can be calculated to be about 177.3 MPa using  $\Delta\sigma_G = kd^{-1/2}$ , where  $d$  is the mean grain size ( $\sim 21.6 \mu\text{m}$ ),  $k = 824 \text{ MPa } \mu\text{m}$  is the Hall-Petch slope [51].

As observed by the bright-field TEM, at the ultimate tensile strain, the soft FCC cells and hard B2 phases lead to the severe plastic strain incompatibility inside grains, thus producing the intragranular back stress ( $\Delta\sigma_{\text{intra}}$ ). According to the physical essence of intragranular back stress [41], the  $\Delta\sigma_{\text{intra}}$  can be approximately calculated:

$$\Delta\sigma_{\text{intra}} = f_G f_{\Pi} \left( M_i \alpha_i G_i b_i \sqrt{\rho_i} - M_j \alpha_j G_j b_j \sqrt{\rho_j} \right), \quad (8)$$

where subscript  $i$  and  $j$  correspond to FCC and B2, respectively. The Taylor factor ( $M$ ), constant ( $\alpha$ ) and shear modulus ( $G$ ) for the FCC and B2 phases are listed in Table 1. The Burger's vector ( $b$ ) values of the FCC and B2 phases have also been determined as 0.255 and 0.248 nm, respectively.  $f_{\Pi}$  is the average area fraction of the B2 phase, which has been calculated as about 22.2%.  $f_G$  is the fraction of grains where heterogeneous deformation occurs and is assumed to be close to 1.  $\rho_i$  and  $\rho_j$  are the dislocation densities in the post-deformed FCC cells and B2 phase and respectively determined as about  $2.4 \times 10^{15}$  and  $2.1 \times 10^{14} \text{ m}^{-2}$  according to the IFFT images (Fig. 10e shows an example). Using Equation (8),  $\Delta\sigma_{\text{intra}}$  is calculated as about 229.6 MPa. This indicates that the global back stresses collected in the whole sample are high enough, which facilitates to enhance the macroscopic strength and prevent the local necking during tensile deformation [39].

In fact, with the increase of the plastic strain,  $\Delta\sigma_{\text{intra}}$  will gradually increase. The  $\Delta\sigma_{\text{intra}}$  will become important at the ultimate tensile strain where the heterogeneous distribution of dislocation is aggravated inside the grains. So

if we adopt the assumption that at large strains, the effective stress ( $\sigma_{\text{ef}}$ ) is independent of plastic strain and equals yield stress ( $\sigma_y$ ) [41]. Then at the ultimate tensile strain, the  $\Delta\sigma_{\text{intra}}$  can be approximately determined as 182.0 MPa using  $\Delta\sigma_{\text{intra}} \approx \sigma_{\text{ult}} - \sigma_y$ , where  $\sigma_{\text{ult}}$  is the ultimate tensile stress. This measured value is lower than that calculated by Equation (8) because the  $\sigma_{\text{ef}}$  will actually decrease with the increase of the plastic strain owing to the activation of dislocation slip. Note that the solid solution hardening is ignored in this study, since it makes a much small contribution to the total increment of strength [45,50]. As shown in Fig. 10f, the calculated  $\sigma_y$  ( $\sim 735.9 \text{ MPa}$ ) and  $\sigma_{\text{ult}}$  ( $\sim 965.5 \text{ MPa}$ ) are reasonably consistent with the experimental values. Therefore, in addition to the precipitation hardening and dislocation hardening, the back stresses including the intergranular and intragranular back stresses also significantly contribute to the high tensile properties of the SLM-fabricated AlCrCuFeNi<sub>3</sub> DP-HEA.

## CONCLUSION

In summary, different energy inputs lead to the varied microstructures and properties for the SLM-built AlCrCuFeNi<sub>3,0</sub> DP-HEA. The AlCrCuFeNi<sub>3,0</sub> DP-HEA fabricated by SLM at the scanning speed of  $400 \text{ mm s}^{-1}$  falls into the eutectic coupled zone. The rapid and continuous alternating nucleation and growth during eutectic reaction lead to the formation of FCC (Fe-Cr rich) and B2 (Cu-Al rich) DP nano-structures. The Cr-rich A2 nano-precipitates are formed *via* solid-state decomposition of the B2 phase. The constitutional supercooling induced by Cr and Fe solutes enrichment ahead of the S/L interface facilitates the heterogeneous nucleation and near-equiaxed grain formation. The synergy of the near-eutectic DP nano-structures and near-equiaxed grains instead of columnar ones can effectively eliminate the cracks. In addition to dislocation hardening, precipitation hardening (dislocation shearing A2 nano-precipitates), the back stresses containing the intergranular and intragranular back stresses also significantly contribute to the high tensile properties of the SLM-built AlCrCuFeNi<sub>3,0</sub> DP-HEA. Our work can offer guidelines for optimizing the SLM process parameters to develop HEAs with novel performance.

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**Author contributions** Wang Z conceived the experiments. Wang Z, Luo S and Su Y contributed to the theoretical analysis. Luo S performed the experiments and wrote the paper. All authors discussed the results and commented on the manuscript.

**Conflict of interest** The authors declare no conflict of interest.



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## 激光选区熔化增材制造双相高熵合金组织调控与强化机制

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**摘要** 双相高熵合金因具有优异的综合力学性能而备受关注. 本文对激光选区熔化制备的 $\text{AlCrCuFeNi}_{3.0}$ 双相高熵合金微观组织进行了调控, 揭示了分层组织形成的机理. 研究发现, 当激光扫描速度为 $400 \text{ mm s}^{-1}$ 时,  $\text{AlCrCuFeNi}_{3.0}$ 成分进入共生区, 随着扫描速度的增加, 冷却速率增加, 该成分将偏离共生区. 固液前沿Cr和Fe溶质的富集产生成分过冷, 有利于异质形核和等轴晶的形成. 近共晶组织与近等轴晶的共同作用有效地抑制了开裂. 在拉伸变形过程中, 发现了类似于晶界强化的晶间背应力强化现象. 同时, 由面心立方和有序体心立方(B2)双相纳米结构组成的近共晶组织导致晶内塑性变形不协调, 从而产生晶内背应力. 而B2相中富铬的纳米析出相发生位错剪切变形, 可以强化背应力. 此外, 本文对多种强化机制进行了物理评估, 发现背应力强化显著提高了该合金的力学性能.