

# **On a Conjecture of Petrov and Tolev Related to Chen's Theorem**

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# **Abstract**

For any real number *y*, let [*y*] be the largest integer not exceeding *y*. Petrov and Tolev conjectured that there exists a constant  $c_0 > 1$  such that if  $1 < c < c_0$ , then every sufficiently large natural number *N* can be represented as

$$
N = [p^c] + [m^c],
$$

where  $p$  is a prime and  $m$  is a natural number having at most 2 prime factors. And, they proved that when *c* is close to 1, specifically when  $1 < c \leq 1485/1484 = 1.00067...$ , every sufficiently large natural number *N* can be represented as  $N = [p^c] + [m^c]$  with *m* having at most 53 prime factors.

In this paper, we show that if  $1 < c \leq 1.0198$ , then every sufficiently large natural number *N* can be written as  $N = [p^c] + [m^c]$ , where *p* is a prime and *m* is a natural number having at most 10 prime factors. This improves the result of Petrov and Tolev.

**Keywords** Additive problem · Sieve · Fractional powers

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# **1 Introduction**

The famous Goldbach conjecture states that any even number greater than 2 can be written as the sum of two prime numbers. Let *Pr* denote an almost-prime with at most

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*r* prime factors counted with multiplicity. In 1966, Chen [\[2\]](#page-14-0) announced his remarkable theorem–Chen's theorem: every sufficiently large even integer *N* can written as

$$
N=p+P_2,
$$

where and in what follows  $p$ , with or without subscript, is a prime. And the detail was published in [\[3\]](#page-14-1).

The ancient Waring problem says that for every natural number  $k \geq 2$  there exists a positive integer  $s = s(k)$  such that every natural number is a sum of at most *s* kth powers of natural numbers. In 1934, Segal [\[12\]](#page-15-0) generalized the Waring problem to fractional exponents. And, he showed that for any fixed real number  $c > 1$ , there exists a positive integer  $s = s(c)$  such that every sufficiently large natural number N can be written as

$$
N = [x_1^c] + [x_2^c] + \cdots + [x_s^c],
$$

where  $x_1, x_2, \ldots, x_s$  are non-negative integers. On the other hand, some mathematicians consider that how large *c* can be for the fixed  $s \ge 2$ . In 1973, Deshouillers [\[4\]](#page-14-2) proved that if  $1 < c < 4/3$ , then every sufficiently large natural number N can be represented as

$$
N = [x_1^c] + [x_2^c],
$$

where  $x_1$  and  $x_2$  are non-negative integers. Later, the domain of *c* was improved to  $1 < c < 55/41$  and  $1 < c < 3/2$ , respectively by Gritsenko [\[7\]](#page-14-3) and Konyagin [\[9\]](#page-15-1). In addition, in 2009, Kumchev [\[10](#page-15-2)] proved that if  $1 < c < 16/15$ , then every sufficiently large natural number *N* can be represented as

<span id="page-1-0"></span>
$$
N = [p^c] + [m^c],
$$
\n(1.1)

where  $m$  is a positive integer. Recently, the range of  $c$  obtained by Kumchev was improved by Yu  $[14]$  $[14]$  to  $1 < c < 11/10$ . Furthermore, Petrov and Tolev [\[11\]](#page-15-4) proved that if  $1 < c < 29/28$ , then every sufficiently large natural number N can be represented as [\(1.1\)](#page-1-0) with *m* is an almost prime with at most  $[52/(29 - 28c)] + 1$  prime factors. Inspired by Chen's theorem, Petrov and Tolev [\[11\]](#page-15-4) proposed the following interesting conjecture:

**Conjecture 1.1** *There exists a constant*  $c_0 > 1$  *such that if*  $1 < c < c_0$ *, then every sufficiently large natural number N can be represented as*

$$
N = [p^c] + [P_2^c].
$$

<span id="page-1-1"></span>In the present paper, we improve the results of Petrov and Tolev when *c* close to 1. And, we state our theorem in the following.

**Theorem 1.1** *Suppose that*  $1 < c \leq 1.0198$ *. Then every sufficiently large natural number N can be represented as*

$$
N = [p^c] + [m^c],
$$

*where p is a prime and m is an almost prime with at most* 10 *prime factors.*

*Remark 1.1* While our method does not yield a general formula for the number of prime factors of *m* in terms of *c*, for every specific  $c \in (1, 29/28)$ , one can apply our method to get an improvement to Petrov and Tolev's result.

#### **2 Notation and Preliminaries**

From now on, let *N* be a sufficiently large natural number and

$$
1 < c \le 1.0198, \ \theta = \frac{1}{c}
$$

be the positive real numbers. Put

<span id="page-2-0"></span>
$$
P = \delta N^{\theta}, \ \delta = 10^{-9}.
$$

Put  $e(y) = e^{2\pi iy}$ . As usual,  $\mu(n)$  denotes the Möbius function. Let  $\rho(t) = \frac{1}{2} - \{t\}$ , where  $\{t\}$  is the fractional part of *t*. Define  $(\xi_d^+)$  and  $(\xi_d^-)$  the upper bound and lower bound beta-sieves of level *D* respectively (see Chapter 11 of [\[5](#page-14-4)]), for which we have

$$
\sum_{d|n} \xi^{-}(d) \le \sum_{d|n} \mu(d) \le \sum_{d|n} \xi^{+}(d). \tag{2.1}
$$

For  $z > 2$ , define

$$
P(z) = \prod_{p < z} p \quad \text{and} \quad V(z) = \prod_{p < z} \left( 1 - \frac{1}{p} \right).
$$

Then, by Theorem 11.12 of Friedlander and Iwaniec [\[5](#page-14-4)], we have

$$
\sum_{d|P(z)} \frac{\xi^+(d)}{d} \le V(z) \left( F(s) + o(1) \right) \tag{2.2}
$$

and

$$
\sum_{d|P(z)} \frac{\xi^-(d)}{d} \ge V(z) \left(f(s) + o(1)\right),\tag{2.3}
$$

<span id="page-2-2"></span>where  $F(s)$  and  $f(s)$  are the standard upper and lower bound functions of the linear sieve, and

$$
s = \frac{\log D}{\log z}.
$$

<span id="page-2-1"></span> $\mathcal{D}$  Springer

**Lemma 2.1** *For*  $F(s)$  *and*  $f(s)$ *, We have* 

$$
F(s) = \frac{2e^{\gamma}}{s}, \quad 0 < s \le 3;
$$
\n
$$
F(s) = \frac{2e^{\gamma}}{s} \left( 1 + \int_{2}^{s-1} \frac{\log(t-1)}{t} \right), \quad 3 \le s \le 5;
$$
\n
$$
F(s) = \frac{2e^{\gamma}}{s} \left( 1 + \int_{2}^{s-1} \frac{\log(t-1)}{t} + \int_{2}^{s-3} \frac{\log(t-1)}{t} dt \right)
$$
\n
$$
\int_{t+2}^{s-1} \frac{1}{u} \log \frac{u-1}{t+1} \Big), \quad 5 \le s \le 7;
$$
\n
$$
f(s) = 0, \quad 0 < s \le 2;
$$
\n
$$
f(s) = \frac{2e^{\gamma} \log(s-1)}{s}, \quad 2 \le s \le 4;
$$
\n
$$
f(s) = \frac{2e^{\gamma}}{s} \left( \log(s-1) + \int_{3}^{s-1} \frac{dt}{t} \int_{2}^{t-1} \frac{\log(u-1)}{u} du \right), \quad 4 \le s \le 6;
$$
\n
$$
f(s) = \frac{2e^{\gamma}}{s} \left( \log(s-1) + \int_{3}^{s-1} \frac{dt}{t} \int_{2}^{t-1} \frac{\log(u-1)}{u} du \right)
$$
\n
$$
+ \int_{2}^{s-4} \frac{\log(t-1)}{t} dt \int_{t+2}^{s-2} \frac{1}{u} \log \frac{u-1}{t+1} \log \frac{s}{u+2} du \right), \quad 6 \le s \le 8.
$$

*Proof* See [\[8,](#page-15-5) (3.11) and (3.12)]. □

We denote

$$
D=N^{\eta},
$$

where  $\eta = \eta(c) > 0$  is a constant. Let

$$
\Sigma_j = \sum_{d|P(z)} \xi_d^- \sum_{P < p \le 2P} (\log p) \rho \Big( - \frac{1}{d} (N + j - [p^c])^\theta \Big), \ \ j = 0, 1. \tag{2.4}
$$

<span id="page-3-0"></span>**Lemma 2.2** *Let*

$$
\frac{28}{29} < \theta < 1, \ \ \eta < \frac{29\theta - 28}{26}.
$$

*Then we have*

$$
\Sigma_0, \Sigma_1 \ll \frac{N^{2\theta - 1}}{(\log N)^2}, \ \ j = 0, 1.
$$

<span id="page-3-1"></span>*Proof* See (23), (24), (71), and (73) of [\[11\]](#page-15-4). □

<span id="page-3-2"></span>

**Lemma 2.3** (Vaaler's theorem) *For each*  $H \ge 2$  *there are numbers c<sub>h</sub>,*  $1 \le h \le H$ , *and*  $d_h$ ,  $0 \leq h \leq H$ , *such that* 

$$
\rho(t) = \sum_{1 \le |h| \le H} c_h e(ht) + \Delta_H(t),
$$

*where*

$$
|\Delta_H(t)| \le \sum_{0 \le |h| \le H} d_h e(ht)
$$

*and*

$$
|c_h| \ll \frac{1}{|h|}, \quad |d_h| \ll \frac{1}{H}.
$$

**Proof** See [\[13\]](#page-15-6).

<span id="page-4-1"></span>**Lemma 2.4** (Van der Corput's Theorem) *Suppose that* ϑ *is a real valued function with two continuous derivatives on interval I*. *Suppose also that there is some* λ > 0 *such that*

 $|\vartheta''| \asymp \lambda$ 

*on I*. *Then*

$$
\sum_{n\in I} e(\vartheta(n)) \ll |I|\lambda^{1/2} + \lambda^{-1/2}.
$$

*Proof* See [\[6,](#page-14-5) Theorem 2.2].

#### **3 A Key Mean Estimation**

In this section, we prove a mean estimation similar to Lemma [2.2,](#page-3-0) which plays a crucial role in the proof of Theorem [1.1.](#page-1-1)

From now on, we take  $z = N^{\frac{1}{200}}$ . Let

$$
R_j^{(k)} = \sum_{d|P(z)} \xi_d^+ \sum_{m \in \mathcal{M}_k} \rho \Big( -\frac{1}{d} (N+j-[m^c])^\theta \Big), \quad j = 0, 1,
$$
 (3.1)

where

$$
\mathcal{M}_k = \{ m = p_1 \cdots p_k : (1 - (2\delta)^c)^{\theta} N^{\theta} - 1 \le p_1 \cdots p_k
$$
  
< 
$$
< (1 - \delta^c)^{\theta} N^{\theta} + 1, z \le p_1 \le \cdots \le p_k \}. \tag{3.2}
$$

<span id="page-4-2"></span><span id="page-4-0"></span>
$$
\Box
$$

By the prime number theorem, we have

$$
|\mathcal{M}_{k}| \leq (1+o(1)) \sum_{z \leq p_1 \leq \dots \leq p_{k-1} \leq \left(\frac{(1-\delta^{c})^{\theta}N^{\theta}+1}{p_1...p_{k-2}}\right)^{\frac{1}{2}}} \left(\frac{(1-\delta^{c})^{\theta}N^{\theta}+1}{p_1...p_{k-1}\log\frac{(1-\delta^{c})^{\theta}N^{\theta}+1}{p_1...p_{k-1}}} - \frac{(1-(2\delta)^{c})^{\theta}N^{\theta}-1}{p_1...p_{k-1}\log\frac{(1-(2\delta)^{c})^{\theta}N^{\theta}-1}{p_1...p_{k-1}}}\right)
$$

Taking  $x = (1 - \delta^c)^\theta N^\theta + 1$  in [\[1](#page-14-6), (4.29)] and by some routine arguments we get that

<span id="page-5-0"></span>
$$
|\mathcal{M}_k| \le ((1 - \delta^c)^{\theta} - (1 - (2\delta)^c)^{\theta} + o(1))c_k \frac{N^{\theta}}{\log N^{\theta}},
$$
\n(3.3)

where

$$
c_k = \int_{k-1}^{199} \frac{dt_1}{t_1} \int_{k-2}^{t_1-1} \frac{dt_2}{t_2} \cdots \int_3^{t_{k-4}-1} \frac{dt_{k-3}}{t_{k-3}} \int_2^{t_{k-3}-1} \frac{\log(t_{k-2}-1)dt_{k-2}}{t_{k-2}}.
$$

To compute the bound *ck* we used the *Mathematica* technical computing software. For example, we use the following code to calculate *c*11.

NIntegrate [(Log[t9−1]/t9)\*(1/t8)\*(1/t7)\*(1/t6)\*(1/t5)\*(1/t4)\*(1/t3)\*(1/t2)\*(1/t1), {t1, 10, 199}, {t2, 9, t1−1}, {t3, 8, t2−1}, {t4, 7, t3−1}, {t5, 6, t4−1}, {t6, 5, t5−1}, {t7, 4, t6−1}, {t8, 3, t7−1}, {t9, 2, t8−1}]

In fact, the estimate of  $c_k$  for  $k \ge 15$  has already been given in [\[1](#page-14-6), (4.30)]. Whatever, we have

$$
c_{11} < 0.580195, \quad c_{12} < 0.185152, \quad c_{13} < 0.052602, \quad c_{14} < 0.018655, \quad c_{15} < 0.003088, \quad c_{16} < 0.000646, \quad c_{17} < 0.000124, \quad c_{18} < 0.000011, \quad c_k < 0.000001 \quad \text{for } 19 \le k \le 199.
$$

Hence, we have

$$
\sum_{k=11}^{199} |\mathcal{M}_k| \le (0.840654 + o(1))((1 - \delta^c)^{\theta} - (1 - (2\delta)^c)^{\theta}) \frac{N^{\theta}}{\log N^{\theta}}.
$$
 (3.4)

#### <span id="page-5-1"></span>**Proposition 3.1** *Let*

<span id="page-5-2"></span>
$$
\frac{28}{29} < \theta < 1, \ \ \eta < \frac{29\theta - 28}{26}.
$$

*If*  $k \geq 2$ *, then we have* 

$$
R_0^{(k)} + R_1^{(k)} \ll \frac{N^{2\theta - 1}}{(\log N)^3}.
$$

 $\hat{2}$  Springer

*Proof* Let  $Z \ge 2$  be any fixed integer. From page S43 and S44 of [\[11](#page-15-4)], we know that there exists a series of periodic functions  $g_s(t)$ ,  $s = 0, 1, \ldots, 2Z - 1$  with a period of 1 and has the following properties:

<span id="page-6-5"></span>
$$
0 < g_s(t) \le 1 \quad \text{for} \quad \left| t - \frac{s}{2Z} \right| < \frac{1}{2Z},\tag{3.5}
$$

$$
g_s(t) = 0 \text{ for } \frac{1}{2Z} < \left| t - \frac{s}{2Z} \right| < \frac{1}{2},\tag{3.6}
$$

and

<span id="page-6-6"></span><span id="page-6-2"></span>
$$
\sum_{s=0}^{2Z-1} g_s(t) = 1 \text{ for all } t \in \mathbb{R}.
$$
 (3.7)

Furthermore, we have

$$
g_s(t) = \sum_{|n| \le Z(\log N)^4} \beta_n^{(s)} e(nt) + O(N^{-\log \log N}), \ \ s = 0, 1, \dots, 2Z - 1, \tag{3.8}
$$

where

<span id="page-6-4"></span><span id="page-6-3"></span><span id="page-6-0"></span>
$$
\beta_n^{(s)} \le \frac{1}{2Z}.\tag{3.9}
$$

By Lemma [2.3,](#page-3-1) we can write

$$
R_j^{(k)} = R_{j1}^{(k)} + R_{j2}^{(k)},
$$
\n(3.10)

where

$$
R_{j1}^{(k)} = \sum_{d \le D} \xi_d^+ \sum_{m \in \mathcal{M}_k} \sum_{1 \le |h| \le H} c_h e\Big(-\frac{h}{d}(N+j-[m^c])^{\theta}\Big)
$$

and

$$
R_{j2}^{(k)} = \sum_{d \leq D} \xi_d^+ \sum_{m \in \mathcal{M}_k} \Delta_H \bigg( -\frac{h}{d} (N+j-[m^c])^{\theta} \bigg).
$$

Let

$$
W_j(v) = \sum_{m \in \mathcal{M}_k} e(v(N + j - [m^c])^{\theta}).
$$

Changing the order of summation together with Lemma [2.3,](#page-3-1) we obtain

<span id="page-6-1"></span>
$$
R_{j1}^{(k)} \ll \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{h} \left| W_j \left( \frac{h}{d} \right) \right|, \tag{3.11}
$$

and

$$
R_{j2}^{(k)} \ll \sum_{d \le D} \frac{W(0)}{H} + \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{H} \left| W_j\left(\frac{h}{d}\right) \right|.
$$
 (3.12)

Hence, by [\(3.3\)](#page-5-0), we obtain

$$
R_{j2}^{(k)} \ll \frac{1}{\log N} \sum_{d \leq D} \frac{N^{\theta}}{H} + \sum_{d \leq D} \sum_{1 \leq h \leq H} \frac{1}{H} \left| W_j\left(\frac{h}{d}\right) \right|.
$$

We choose

<span id="page-7-2"></span><span id="page-7-0"></span>
$$
H = dN^{1-\theta} (\log N)^3.
$$

Combining  $(3.10)$ ,  $(3.11)$  and  $(3.12)$ , we obtain

$$
R_j^{(k)} \ll \frac{N^{2\theta - 1}}{(\log N)^3} + \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{h} \left| W_j \left( \frac{h}{d} \right) \right|, \quad j = 0, 1. \tag{3.13}
$$

Now, we consider the sum  $W_j(v)$ . From [\(3.7\)](#page-6-2) it follows that

$$
W_j(v) \ll \sum_{m \in \mathcal{M}_k} e(v(N+j-[m^c])^{\theta}) \sum_{s=0}^{2Z-1} g_s(m^c) = \sum_{s=0}^{2Z-1} W_j^{(s)}(v),
$$

where

$$
W_j^{(s)}(v) = \sum_{m \in \mathcal{M}_k} g_s(m^c) e(v(N + j - [m^c])^{\theta}).
$$

By [\(3.2\)](#page-4-0), [\(3.8\)](#page-6-3) and [\(3.9\)](#page-6-4). we have

$$
W_j^{(0)}(v) \ll \sum_{m \in \mathcal{M}_k} g_0(m^c) \le \sum_{AN^\theta - 1 \le m < BN^\theta + 1} g_0(m^c)
$$
  

$$
\ll \frac{N^\theta}{Z} + \left| \sum_{AN^\theta - 1 \le m < BN^\theta + 1} \sum_{1 \le |n| \le Z(\log N)^4} \beta_n e(n m^c) \right| + 1
$$
  

$$
\ll \frac{N^\theta}{Z} + \frac{1}{Z} \sum_{1 \le |n| \le Z(\log N)^4} |H_n| + 1,
$$
 (3.14)

where  $A = (1 - (2\delta)^c)^\theta$ ,  $B = (1 - \delta^c)^\theta$  and

<span id="page-7-1"></span>
$$
H_n = \sum_{AN^{\theta}-1 \leq m < BN^{\theta}+1} e(nm^c).
$$

$$
H_n \ll N^{\theta} (nN^{1-2\theta})^{1/2} + (nN^{1-2\theta})^{-1/2} \ll (nN)^{1/2}.
$$
 (3.15)

We assume that

<span id="page-8-2"></span><span id="page-8-1"></span><span id="page-8-0"></span>
$$
Z \ll N^{(2\theta - 1)/3} (\log N)^{-4}.
$$
 (3.16)

Then by  $(3.14)$ ,  $(3.15)$  and  $(3.16)$ , we obtain

$$
W_j^{(0)}(v) \ll \frac{N^{\theta}}{Z} + N^{1/2} Z^{1/2} \log^6 N \ll \frac{N^{\theta}}{Z}.
$$
 (3.17)

Now, we consider the sums  $W_j^{(s)}(v)$  for  $1 \leq s \leq 2Z - 1$ . From [\(3.5\)](#page-6-5) we know that  $g_s(m^c)$  vanishes unless  $\{m^c\} \in [(s-1)/(2Z), (s+1)/(2Z)]$ . Hence, the only summands in the sums  $W_s(v)$  are those for which

$$
\{m^c\} = \frac{s}{2Z} + O\left(\frac{1}{Z}\right).
$$

And in this case we have

$$
v(N + j - [m^{c}])^{\theta} = v(N + j - m^{c} + \frac{s}{2Z})^{\theta} + O(\frac{vN^{\theta-1}}{Z})
$$

and so

$$
e(v(N+j-[mc])\theta) = e(v(N+j-mc + \frac{s}{2Z})\theta) + O(\frac{vN^{\theta-1}}{Z}).
$$

Hence, we have

<span id="page-8-3"></span>
$$
W_j^{(s)}(v) = V_j^{(s)}(v) + O\left(\frac{vN^{\theta-1}}{Z}\sum_{m \in \mathcal{M}_k} g_s(m^c)\right),
$$

where

$$
V_j^{(s)}(v) = \sum_{m \in \mathcal{M}_k} g_s(m^c) e\left(v\left(N+j-m^c+\frac{s}{2Z}\right)^{\theta}\right).
$$
 (3.18)

Thus, by  $(3.17)$ , we get

$$
W_j(v) = \sum_{s=1}^{2Z-1} W_j^{(s)}(v) + W_j^{(0)}(v) = \sum_{s=1}^{2Z-1} V_j^{(s)}(v) + O(\Xi) + O\left(\frac{N^{\theta}}{Z}\right),
$$

where

$$
\Xi = \frac{vN^{\theta - 1}}{Z} \sum_{m \in \mathcal{M}_k} \sum_{s=1}^{2Z - 1} g_s(m^c).
$$

By [\(3.3\)](#page-5-0), [\(3.5\)](#page-6-5) and [\(3.6\)](#page-6-6), we have

<span id="page-9-0"></span>
$$
\Xi \ll \frac{v N^{2\theta - 1}}{Z \log N}.
$$

Now, we have

$$
W_j(v) = \sum_{s=1}^{2Z-1} V_j^{(s)}(v) + O\left(\frac{vN^{2\theta-1}}{Z \log N} + \frac{N^{\theta}}{Z}\right).
$$
 (3.19)

Take

$$
v = \frac{h}{d}
$$
, where  $1 \le d \le D$ ,  $1 \le h \le H = dN^{1-\theta} (\log N)^3$ .

Obviously, we have  $vN^{2\theta-1} \ll N^{\theta} (\log N)^3$ . So we can rewrite [\(3.19\)](#page-9-0) as

<span id="page-9-1"></span>
$$
W_j(v) = \sum_{s=1}^{2Z-1} V_j^{(s)}(v) + O\left(\frac{N^{\theta}}{Z} (\log N)^2\right).
$$
 (3.20)

Combining  $(3.13)$  and  $(3.20)$ , we obtain

$$
R_j^{(k)} \ll \frac{N^{2\theta-1}}{(\log N)^3} + \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{h} \sum_{s=1}^{2Z-1} \left| V_j^{(s)} \left( \frac{h}{d} \right) \right| + \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{h} \frac{N^{\theta}}{Z} (\log N)^2.
$$

We choose *Z* such that

<span id="page-9-2"></span>
$$
Z \asymp d N^{1-\theta} (\log N)^7.
$$

Hence, we have

$$
R_j^{(k)} \ll \frac{N^{2\theta - 1}}{(\log N)^3} + \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{h} \sum_{s=1}^{2Z - 1} \left| V_j^{(s)} \left( \frac{h}{d} \right) \right|.
$$
 (3.21)

Now, we consider the sums  $V_j^{(s)}(h/d)$ . By [\(3.8\)](#page-6-3) and [\(3.18\)](#page-8-3), we have

$$
V_j^{(s)}\left(\frac{h}{d}\right) = \sum_{m \in \mathcal{M}_k} \left( \sum_{|n| \le Z(\log N)^4} \beta_n^{(s)} e(nm^c) \right) e\left(v\left(N+j-m^c+\frac{s}{2Z}\right)^{\theta}\right) + O(N^{-10})
$$
  
= 
$$
\sum_{|n| \le Z(\log N)^4} \beta_n^{(s)} U\left(N+j+\frac{s}{2Z}, n, \frac{h}{d}\right) + O(N^{-10})
$$
  

$$
\ll \frac{1}{Z} \sum_{|n| \le R} \sum_{\substack{T \in [N, N+2]}} \sup_{\substack{|\mathcal{U}|}} \left|\mathcal{U}\left(T, n, \frac{h}{d}\right)\right|, \tag{3.22}
$$

where

$$
U = U(T, n, v) = \sum_{m \in \mathcal{M}_k} e(nm^c + v(T - m^c)^{\theta}) \text{ and } R = dN^{1-\theta} (\log N)^{12}.
$$

Inserting  $(3.22)$  into  $(3.21)$ , we get

$$
R_0^{(k)} + R_1^{(k)} \ll \frac{N^{2\theta - 1}}{(\log N)^3} + \sum_{d \le D} \sum_{1 \le h \le H} \frac{1}{h} \sum_{|n| \le R} \sup_{T \in [N, N+2]} \left| U(T, n, \frac{h}{d}) \right|.
$$
 (3.23)

Recall the definition of  $M_k$  in [\(3.2\)](#page-4-0). Let  $k \ge 2$  and  $n = p_1 \cdots p_k \in M_k$ . By some routine arguments, we can rewrite *n* as  $n = rs$  with

<span id="page-10-0"></span>
$$
N^{\frac{\theta}{200}} < r \leq N^{\frac{1}{2}} < s < N^{\frac{199\theta}{200}}.
$$

In fact, it is easy to see that  $U\Bigl(T,n,\frac{h}{d}\Bigr)$  is a summation similar to (121) in [\[11](#page-15-4)]. Through the same argument as Sect. 3.6 of [\[11\]](#page-15-4), there is almost no need for adjustment, and we can get that if

$$
\frac{28}{29} < \theta < 1, \ \ \delta < \frac{29\theta - 28}{26},
$$

then

$$
\sum_{d\leq D}\sum_{1\leq h\leq H}\frac{1}{h}\sum_{|n|\leq R}\sup_{T\in[N,N+2]} \left|U\left(T,n,\frac{h}{d}\right)\right| \ll \frac{N^{2\theta-1}}{(\log N)^3}.
$$

So, we have

$$
R_0^{(k)} + R_1^{(k)} \ll \frac{N^{2\theta - 1}}{(\log N)^3}.
$$

This completes the proof.

# **4 The Proof of Theorem [1.1](#page-1-1)**

To prove the theorem, we consider the lower bound of the sum

$$
\Gamma = \sum_{\substack{P < p \le 2P, m \in \mathbb{N} \\ [p^c] + [m^c] = N \\ m = P_{10}}} (\log p).
$$

By the trivial inequality

$$
\Gamma \geq \sum_{P < p \leq 2P, \ m \in \mathbb{N}} (\log p) - \sum_{P < p \leq 2P, \ m \in \mathbb{N}} (\log p)
$$
\n
$$
[p^{c}] + [m^{c}] = N \qquad [p^{c}] + [(p_1...p_{10}m)^{c}] = N
$$
\n
$$
\geq \sum_{P < p \leq 2P, \ m \in \mathbb{N}} (\log p) - \sum_{P < p \leq 2P, \ m \in \mathbb{N}} (\log \ell)
$$
\n
$$
[p^{c}] + [m^{c}] = N \qquad \ell, m \in \mathbb{N}, \ P < \ell \leq 2P
$$
\n
$$
[p^{c}] + [m^{c}] = N \qquad \ell^{c} + [(p_1...p_{10}m)^{c}] = N \qquad (log \ell)
$$
\n
$$
= \Gamma_{1} - \Gamma_{2}.
$$
\n
$$
(4.1)
$$

From (6), (17), (18) and (19) in [\[11\]](#page-15-4), we know that

<span id="page-11-0"></span>
$$
\Gamma_1 \geq \Sigma + \Sigma_0 - \Sigma_1,
$$

where  $\Sigma_j$ ,  $j = 0$ , 1 are defined by [\(2.4\)](#page-3-2) and

$$
\Sigma \ge A(N)V(z)(f(s) + o(1))
$$

with

$$
A(N) = \theta \sum_{P < p \le 2P} (\log p)((N - [p^c])^{\theta - 1} + O(N^{\theta - 2}))
$$

and

$$
s = \frac{\log D}{\log z}.
$$

By Lemma [2.2](#page-3-0) and Proposition [3.1,](#page-5-1) we can take

<span id="page-11-1"></span>
$$
\eta = \frac{29\theta - 28}{26} - \varepsilon.
$$

So we have

$$
s = \frac{200(29\theta - 28)}{26} + o(1). \tag{4.2}
$$

From the definition of *P* and the prime number theorem, we obtain

$$
A(N) \ge (\delta \theta (1 - (2\delta)^c)^{\theta - 1} + o(1)) N^{2\theta - 1}.
$$

Hence, by Lemma [2.2](#page-3-0) and the fact

<span id="page-12-3"></span><span id="page-12-1"></span>
$$
V(z) = \prod_{p < z} \left( 1 - \frac{1}{p} \right) \asymp \frac{1}{\log z} \asymp \frac{1}{\log N},\tag{4.3}
$$

we get

$$
\Gamma_1 \ge (\theta(\delta(1 - (2\delta)^c)^{\theta - 1}) + o(1))N^{2\theta - 1}V(z)(f(s) + o(1)).
$$
 (4.4)

Obviously, we have

$$
\Gamma_2 \le \sum_{k=11}^{199} \sum_{\substack{\ell \in \mathbb{N} \\ [\ell^c] + [m^c] = N \\ m \in \mathcal{M}_k, \ (\ell, P(z)) = 1}} (\log \ell) = (1 + o(1)) \sum_{k=11}^{199} (\log P) \Sigma_2^k, \tag{4.5}
$$

where

<span id="page-12-2"></span><span id="page-12-0"></span>
$$
\Sigma_2^k = \sum_{\substack{\ell \in \mathbb{N} \\ [\ell^c]+[m^c]=N \\ m \in \mathcal{M}_k, \ (\ell, P(z))=1}} 1.
$$

From  $(2.1)$ , we find

$$
\Sigma_2^k = \sum_{\substack{\ell \in \mathbb{N} \\ [\ell^c] + [m^c] = N}} \sum_{\substack{d \mid (\ell, P(z)) \\ m \in \mathcal{M}_k}} \mu(d) \le \sum_{\substack{\ell \in \mathbb{N} \\ [\ell^c] + [m^c] = N \\ m \in \mathcal{M}_k}} \sum_{\substack{d \mid (\ell, P(z)) \\ m \in \mathcal{M}_k}} \xi^+(d).
$$

By exchanging the order of summation, we obtain

$$
\Sigma_2^k \le \sum_{d|P(z)} \xi^+(d)G_{d,k}, \text{ where } G_{d,k} = \sum_{\substack{\ell \in \mathbb{N} \\ [\ell^c] + [m^c] = N \\ m \in \mathcal{M}_k, \ \ell \equiv 0 \pmod{d}}} 1. \tag{4.6}
$$

By the identity

$$
\sum_{a \le m < b} 1 = [-a] - [-b] = b - a - \rho(-b) + \rho(-a),
$$

we have

$$
G_{d,k} = \sum_{m \in \mathcal{M}_k} \sum_{\substack{\ell \in \mathbb{N} \\ \ell \in j + \{m^c\} = N}} 1 = \sum_{m \in \mathcal{M}_k} \sum_{(1/d)(N - \{m^c\})^\theta \le \ell < (1/d)(N + 1 - \{m^c\})^\theta} 1
$$
  
= 
$$
\sum_{m \in \mathcal{M}_k} \frac{(N + 1 - \{m^c\})^\theta - (N - \{m^c\})^\theta}{d}
$$
  
+ 
$$
\sum_{m \in \mathcal{M}_k} \rho \left( -\frac{1}{d} (N - \{m^c\})^\theta \right) - \sum_{m \in \mathcal{M}_k} \rho \left( -\frac{1}{d} (N + 1 - \{m^c\})^\theta \right). \quad (4.7)
$$

Combining  $(4.6)$ ,  $(4.7)$  and the identity

$$
(N + 1 - [mc])\theta = (N - [mc])\theta + \theta(N - [mc])\theta-1 + O(N\theta-2),
$$

we obtain

<span id="page-13-1"></span><span id="page-13-0"></span>
$$
\Sigma_2^k \le R^{(k)} + R_0^{(k)} - R_1^{(k)},\tag{4.8}
$$

where  $R_j^{(k)}$ ,  $j = 0, 1$  are defined by [\(3.1\)](#page-4-2) and

$$
R^{(k)} = \theta \sum_{d|P(z)} \frac{\xi^+(d)}{d} \sum_{m \in \mathcal{M}_k} ((N - [m^c])^{\theta - 1} + O(N^{\theta - 2})).
$$

By  $(2.2)$  and  $(3.2)$ , we have

$$
R^{(k)} \le \theta(2\delta)^{1-c} N^{\theta-1} \Big(\sum_{m \in \mathcal{M}_k} 1\Big) V(z) (F(s) + o(1)),\tag{4.9}
$$

where

<span id="page-13-3"></span><span id="page-13-2"></span>
$$
s = \frac{200(29\theta - 28)}{26} + o(1).
$$

By [\(3.4\)](#page-5-2), [\(4.3\)](#page-12-1), [\(4.5\)](#page-12-2), [\(4.8\)](#page-13-1), [\(4.9\)](#page-13-2) and Proposition [3.1,](#page-5-1) we have

$$
\Gamma_2 \le (0.840654 + o(1))\theta(2\delta)^{1-c}((1 - \delta^c)^{\theta} - (1 - (2\delta)^c)^{\theta})F(s)V(z)N^{2\theta - 1}.
$$
\n(4.10)

From [\(4.1\)](#page-11-0), [\(4.4\)](#page-12-3) and [\(4.10\)](#page-13-3), as long as

$$
L = (1 - (2\delta)^c)^{\theta - 1} f(s) - 0.840654\delta^{-1} (2\delta)^{1 - c} ((1 - \delta^c)^{\theta} - (1 - (2\delta)^c)^{\theta}) F(s) > 0,
$$

we can deduce that

$$
\Gamma \gg \frac{N^{2\theta - 1}}{\log N},
$$

which leads to the theorem. Recall that  $\delta = 10^{-9}$ . By [\(4.2\)](#page-11-1) and Lemma [2.1,](#page-2-2) one can use the software *Mathematica* to run the following code, which shows that *L*[1.0198] > 0.0017884.

F[x\_] := Piecewise  $\left[ \{ (2E^{\wedge} EulerGamma) / x, 0 < x < = 3 \}, \{ ((2E^{\wedge} EulerGamma) / x)$ \* (1 + NIntegrate [Log [t−1]/t, {t, 2, x−1}]), 3 <= x < 5}, {((2E∧EulerGamma)/x) \* (1 + NIntegrate [ Log[t−1]/t, {t, 2, x−1}] + NIntegrate [(Log [t−1]/(t \* u)) \* Log  $[(u-1)/(t+1)], \{t, 2, x-3\}, \{u, t+2, x-1\}]), 5 < x < 7\}$ 

f[x ] := Piecewise  $[{((2E^{\wedge}EulerGamma)x) * Log [x-1], 2 \le x \le 4]},$  ${((2E^{\wedge}EulerGamma))}/x$  \* (Log [x-1] + NIntegrate [Log [u-1]/(t \* u), {t, 3, x-1}, {u, 2, t−1}]), 4 <= x < 6}, {((2E∧Eu lerGamma)/x) \* (Log [x−1] + NIntegrate  $\lceil \log \left[ \frac{u-1}{t * u} \right], \{t, 3, x-1\}, \{u, 2, t-1\} \rceil + \text{NIntegrate} \lceil \left( \log \left[ t-1 \right] / (t * u) \right) * \text{Log}$  $[(u-1)/(t+1)]$  \*  $Log[x/(u+2)]$ ,  $\{t, 2, x-4\}$ ,  $\{u, t+2, x-2\}$ ),  $6 \le x \le 8$ } ]

 $L[x_+] := ((1 - (2 * 10^{\wedge}(-9))^{\wedge} x)^{\wedge} (1/x - 1)) * f [(200 * (29-28x))/(26x)] - (10^{\wedge}9)$ \*  $(2 * 10^{\wedge}(-9))^{\wedge} (1-x) ((1-10^{\wedge}(-9x))^{\wedge} (1/x) - (1-(2 * 10^{\wedge}(-9))^{\wedge} x)^{\wedge} (1/x))$  F  $[(200 * (29-28x))/(26x)] * 0.840654]$ 

From [\[5,](#page-14-4) Chapter 11], we know that  $f(s)$  is an increasing function and  $F(s)$  is a decreasing function. By a trivial argument, we can conclude that *L* is a decreasing function about *c*, which deduces that if  $1 < c < 1.0198$ , then  $L > 0.0017884$ . This completes the proof of the theorem.

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### **Declarations**

**Conflict of interest** The authors declare that they have no Conflict of interest.

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