



A new Brauer-type Z -eigenvalue inclusion set for even-order tensors

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Abstract

A new Brauer-type Z -eigenvalue inclusion set for an even-order real tensor is presented. It is proved that it is tighter than the existing inclusion sets. As an application, a sufficient condition for the positive definiteness of an even-order real symmetric tensor (also a homogeneous polynomial form) and asymptotically stability of time-invariant polynomial systems is given.

Keywords Even-order tensors · Z -eigenvalues · Inclusion sets · Positive definiteness · Asymptotic stability

Mathematics Subject Classification 15A18 · 15A42 · 15A69

1 Introduction

Let m and n be two positive integers with $m \geq 2$ and $n \geq 2$, $[n] = \{1, 2, \dots, n\}$, \mathbb{C} (resp. \mathbb{R}) be the set of all complex (resp. real) numbers, \mathbb{R}^n be the set of all n -dimensional real vectors, $\mathbb{R}^{[m,n]}$ be the set of all order m dimension n real tensors. Let $x = (x_1, x_2, \dots, x_n)^\top \in \mathbb{R}^n$. Let $\mathcal{A} = (a_{i_1 i_2 \dots i_m}) \in \mathbb{R}^{[m,n]}$, i.e.,

$$a_{i_1 i_2 \dots i_m} \in \mathbb{R}, \quad i_j \in [n], \quad j \in [m].$$

Let Π_m be the permutation group of m indices. If for any $\pi \in \Pi_m$,

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$$a_{i_1 i_2 \dots i_m} = a_{i_{\pi(1)} i_{\pi(2)} \dots i_{\pi(m)}}$$

then \mathcal{A} is called a symmetric tensor [13].

If there are $\lambda \in \mathbb{R}$ and $x = (x_1, x_2, \dots, x_n)^\top \in \mathbb{R}^n \setminus \{0\}$ such that

$$\mathcal{A}x^{m-1} = \lambda x \quad \text{and} \quad x^\top x = 1,$$

where $\mathcal{A}x^{m-1}$ is an n -dimensional vector, whose i -th component is

$$\left(\mathcal{A}x^{m-1}\right)_i = \sum_{i_2, \dots, i_m \in [n]} a_{i i_2 \dots i_m} x_{i_2} \dots x_{i_m},$$

then λ is called a Z-eigenvalue of \mathcal{A} and x is called a Z-eigenvector associated with λ [10, 13]. Let $\sigma(\mathcal{A})$ be the set of all Z-eigenvalues of \mathcal{A} .

The Z-identity tensor is introduced by the authors in [7, 8, 13]. A tensor $\mathcal{E} = (e_{i_1 i_2 \dots i_m}) \in \mathbb{R}^{[m, n]}$ with m even is called a Z-identity tensor if for any vector $x \in \mathbb{R}^n$,

$$\mathcal{E}x^{m-1} = x \quad \text{and} \quad x^\top x = 1.$$

Note here that an even-order n dimension Z-identity tensor is not unique in general. For instance, the following two tensors are both Z-identity tensors:

Case I. ([8, Definition 2.1]): Let $\mathcal{E}_1 = (e_{i_1 i_2 \dots i_m}) \in \mathbb{R}^{[m, n]}$, where

$$e_{i_1 i_1 i_2 i_2 \dots i_k i_k} = 1, \quad i_1, i_2, \dots, i_k \in [n], \quad \text{and} \quad m = 2k;$$

Case II. ([7, Property 2.4]): Let $\mathcal{E}_2 = (e_{i_1 i_2 \dots i_m}) \in \mathbb{R}^{[m, n]}$, where

$$e_{i_1 \dots i_m} = \frac{1}{m!} \sum_{\pi \in \Pi_m} \delta_{i_{\pi(1)} i_{\pi(2)}} \delta_{i_{\pi(3)} i_{\pi(4)}} \dots \delta_{i_{\pi(m-1)} i_{\pi(m)}},$$

where δ is the standard Kronecker delta, i.e., $\delta_{ij} = 1$ if $i = j$ and $\delta_{ij} = 0$ if $i \neq j$.

For convenient applications, the Z-identity tensor $\mathcal{E}_2 = (e_{ijkl}) \in \mathbb{R}^{[4, n]}$ is listed as follows:

$$e_{ijkl} = \begin{cases} 1, & \text{if } i = j = k = l, \\ 1/3, & \text{if } i = j \neq k = l, \\ 1/3, & \text{if } i = k \neq j = l, \\ 1/3, & \text{if } i = l \neq j = k, \\ 0, & \text{otherwise.} \end{cases}$$

An even-order m dimension n real symmetric tensor \mathcal{A} defines an m -th degree homogeneous polynomial

$$f(x) = \mathcal{A}x^m = \sum_{i_1, i_2, \dots, i_m \in [n]} a_{i_1 i_2 \dots i_m} x_{i_1} x_{i_2} \dots x_{i_m}. \tag{1}$$

If $f(x) > 0$ for any $x \in \mathbb{R}^n \setminus \{0\}$, then we call that $f(x)$ is positive definite. It is pointed out that $f(x)$ is positive definite if and only if \mathcal{A} is positive definite [13, 14]. On the other hand, if all Z-eigenvalues of the real symmetric tensor \mathcal{A} with order even are positive, then \mathcal{A} is positive definite and therefore $f(x)$ is also positive definite. The positive definiteness of $f(x)$ has extremely important applications in real life. As pointed out in some documents, it is widely used in spectral hypergraph theory [15, 16], automatic control [12] and the stability of nonlinear systems [1, 2].

For judging the positive definiteness of $f(x)$, we must calculate all Z-eigenvalues of an even-order real symmetric tensor \mathcal{A} , or calculate the minimum Z-eigenvalue of \mathcal{A} . When all Z-eigenvalues of \mathcal{A} are greater than 0, or the minimum Z-eigenvalue of \mathcal{A} is greater than 0, we can judge that $f(x)$ is positive definite. However, if m or n are very large, it is difficult to calculate all Z-eigenvalues of \mathcal{A} and the minimum Z-eigenvalue of \mathcal{A} . In order to be able to solve this problem quickly, we can take a very normal and simple method: we only need to judge the signs of all Z-eigenvalues, but not to compute all Z-eigenvalues. In order to achieve this goal, one can construct a set which includes all Z-eigenvalues of \mathcal{A} . If this set is just in the right-half complex plane, then he can conclude that all Z-eigenvalues are positive, and consequently, \mathcal{A} is positive definite. The related results are shown in [8, 19–21, 24, 30, 31].

Wang et al. [25] gave the following Z-eigenvalue inclusion set for tensors as follows:

Theorem 1 [25, Theorem 3.2] *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, n]}$. Then*

$$\sigma(\mathcal{A}) \subseteq \mathcal{L}(\mathcal{A}) = \bigcup_{i \in [n]} \bigcap_{j \in [n], j \neq i} \mathcal{L}_{i, j}(\mathcal{A}).$$

where

$$\mathcal{L}_{i, j}(\mathcal{A}) = \{z \in \mathbb{C} : (|z| - (R_i(\mathcal{A}) - |a_{ij \dots j}|)) |z| \leq |a_{ij \dots j}| R_j(\mathcal{A})\}$$

and

$$R_i(\mathcal{A}) = \sum_{i_2, \dots, i_m \in [n]} |a_{ii_2 \dots i_m}|.$$

From Theorem 1, we can easily see that $0 \in \mathcal{L}(\mathcal{A})$. Therefore, this means that we cannot use the set $\mathcal{L}(\mathcal{A})$ to determine the positive definiteness of a real symmetric tensor \mathcal{A} of even order. However, there are many such similar sets, which can be seen in detail [3–6, 9, 11, 17, 18, 23, 25–29]. Because 0 exists in these inclusion sets, we cannot use such inclusion sets to determine the positive definiteness of even-order real symmetric tensors.

In order to overcome this drawback, Li et al. [8] presented a Z-eigenvalue inclusion interval with n parameters for even-order real tensors as follows:

Theorem 2 [8, Theorem 2.2] *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m,n]}$ with m even. Then for any real vector $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$,*

$$\sigma(\mathcal{A}) \subseteq \mathcal{G}(\mathcal{A}, \alpha) := \bigcup_{i \in [n]} \left(\mathcal{G}_i(\mathcal{A}, \alpha) := \{z \in \mathbb{R} : |z - \alpha_i| \leq R_i(\mathcal{A}, \alpha_i)\} \right),$$

where

$$R_i(\mathcal{A}, \alpha_i) = \sum_{\substack{i_2, \dots, i_m \in [n], \\ e_{ii_2 \dots i_m} \neq 0}} |a_{ii_2 \dots i_m} - \alpha_i e_{ii_2 \dots i_m}| + \sum_{\substack{i_2, \dots, i_m \in [n], \\ e_{ii_2 \dots i_m} = 0}} |a_{ii_2 \dots i_m}|.$$

In order to be able to locate the Z -eigenvalues more accurately, Shen et al. [22] gave the following inclusion set.

Theorem 3 [22, Theorem 1] *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m,n]}$ and $\mathcal{E} \in \mathbb{R}^{[m,n]}$ be a Z -identity tensor. For any real vector $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$, then*

$$\sigma(\mathcal{A}) \subseteq \Upsilon(\mathcal{A}, \alpha) = \bigcup_{i \in [n]} \bigcap_{j \in [n], j \neq i} \Upsilon_{i,j}(\mathcal{A}, \alpha),$$

where

$$\begin{aligned} \Upsilon_{i,j}(\mathcal{A}, \alpha) &= \{z \in \mathbb{R} : (|z - \alpha_i| - R_i^j(\mathcal{A}, \alpha_i)) |z - \alpha_j| \\ &\leq |a_{ij \dots j} - \alpha_i e_{ij \dots j}| R_j(\mathcal{A}, \alpha_j)\}, \end{aligned}$$

and

$$R_i^j(\mathcal{A}, \alpha_i) = R_i(\mathcal{A}, \alpha_i) - |a_{ij \dots j} - \alpha_i e_{ij \dots j}|.$$

The remainder of this paper is organized as follows. In Sect. 2, we give a new Z -eigenvalues inclusion set with parameters and prove that it is tighter than that in Theorems 2 and 3. In Sect. 3, we consider two applications of the obtained Z -eigenvalue inclusion sets. The first application is to give a sufficient condition for positive definiteness of an even-order real symmetric tensors (also homogeneous polynomial forms). The second application is to judge the asymptotically stability of time-invariant polynomial systems. Finally, some concluding remarks are given to end this paper in Sect. 4.

2 Main Results

In this section, we give a new inclusion set $\Omega(\mathcal{A}, \alpha)$ and prove that it is tighter than the inclusion set $\mathcal{G}(\mathcal{A}, \alpha)$ in Theorem 2 and the inclusion set $\Upsilon(\mathcal{A}, \alpha)$ in Theorem 3. Before giving the set $\Omega(\mathcal{A}, \alpha)$, we first give some notations and a lemma. Let

$$\Delta = \{(i_2, \dots, i_m) : i_2 \neq \dots \neq i_m, \text{ or only two of } i_2, \dots, i_m \in [n] \text{ are the same}\},$$

$$\begin{aligned} \bar{\Delta} &= \{(i_2, \dots, i_m) : (i_2, \dots, i_m) \notin \Delta, i_2, \dots, i_m \in [n]\}, \\ N &= \{(i_2, \dots, i_m) : i_2, \dots, i_m \in [n]\}. \end{aligned}$$

Obviously,

$$\Delta \cap \bar{\Delta} = \emptyset, \quad N = \Delta \cup \bar{\Delta}, \quad \text{and } \bar{\Delta} = N \text{ when } \Delta = \emptyset.$$

Let

$$\begin{aligned} \Lambda_i &= \{(i_2, \dots, i_m) : e_{ii_2\dots i_m} \neq 0, i_2, \dots, i_m \in [n]\}, \\ \bar{\Lambda}_i &= \{(i_2, \dots, i_m) : e_{ii_2\dots i_m} = 0, i_2, \dots, i_m \in [n]\}, \end{aligned}$$

and

$$\begin{aligned} r_i^{\Delta \cap \Lambda_i}(\mathcal{A}, \alpha_i) &= \frac{1}{(m-2)^{\frac{m-2}{2}}} \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_i} |a_{ii_2\dots i_m} - \alpha_i e_{ii_2\dots i_m}|, \\ r_i^{\bar{\Delta} \cap \Lambda_i}(\mathcal{A}, \alpha_i) &= \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \Lambda_i} |a_{ii_2\dots i_m} - \alpha_i e_{ii_2\dots i_m}|, \\ r_i^{\Delta \cap \bar{\Lambda}_i}(\mathcal{A}) &= \frac{1}{(m-2)^{\frac{m-2}{2}}} \sum_{(i_2, \dots, i_m) \in \Delta \cap \bar{\Lambda}_i} |a_{ii_2\dots i_m}|, \\ r_i^{\bar{\Delta} \cap \bar{\Lambda}_i}(\mathcal{A}) &= \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \bar{\Lambda}_i} |a_{ii_2\dots i_m}|, \\ r_i(\mathcal{A}, \alpha_i) &= r_i^{\Delta \cap \Lambda_i}(\mathcal{A}, \alpha_i) + r_i^{\bar{\Delta} \cap \Lambda_i}(\mathcal{A}, \alpha_i) + r_i^{\Delta \cap \bar{\Lambda}_i}(\mathcal{A}) + r_i^{\bar{\Delta} \cap \bar{\Lambda}_i}(\mathcal{A}). \end{aligned} \tag{2}$$

Then by $\frac{1}{(m-2)^{\frac{m-2}{2}}} \leq 1$ for $m \geq 3$, it can be seen that

$$r_i(\mathcal{A}, \alpha_i) \leq R_i(\mathcal{A}, \alpha_i), \quad i \in [n]. \tag{3}$$

Lemma 1 [21, Lemma 2.2] *Let $x_1^2 + x_2^2 + \dots + x_n^2 = 1$, where $x_i \in \mathbb{R}$, $i \in [n]$. If y_1, y_2, \dots, y_k are arbitrary k entries of x_1, x_2, \dots, x_n , then*

$$|y_1| |y_2| \cdots |y_k| \leq \frac{1}{k^{\frac{k}{2}}}.$$

Theorem 4 *Let $\mathcal{A} = (a_{i_1\dots i_m}) \in \mathbb{R}^{[m,n]}$ with m even. Then for any $\alpha = (\alpha_1, \dots, \alpha_n)^T \in \mathbb{R}^n$,*

$$\sigma(\mathcal{A}) \subseteq \Omega(\mathcal{A}, \alpha) = \bigcup_{i \in [n]} \bigcap_{j \in [n], j \neq i} \Omega_{i,j}(\mathcal{A}, \alpha), \tag{4}$$

where

$$\Omega_{i,j}(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : (|z - \alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij\dots j}|)|z - \alpha_j| \leq |a_{ij\dots j}|r_j(\mathcal{A}, \alpha_j)\}.$$

Proof Let λ be any Z -eigenvalue of \mathcal{A} and $x = (x_1, \dots, x_n)^\top \in \mathbb{R}^n \setminus \{0\}$ be a Z -eigenvector associated with λ . Then

$$\mathcal{A}x^{m-1} = \lambda x = \lambda \mathcal{E}x^{m-1} \quad \text{and} \quad x^\top x = 1. \tag{5}$$

Let $|x_t| = \max_{i \in [n]} |x_i|$. Then for any $s \in [n]$ and $s \neq t$, we have

$$\begin{aligned} (\lambda - \alpha_t)x_t &= \lambda x_t - \alpha_t x_t = \lambda x_t - \alpha_t \mathcal{E}x_t^{m-1} \\ &= \sum_{i_2, \dots, i_m \in [n]} a_{ti_2\dots i_m} x_{i_2} \dots x_{i_m} - \alpha_t \sum_{i_2, \dots, i_m \in [n]} e_{ti_2\dots i_m} x_{i_2} \dots x_{i_m} \\ &= \sum_{i_2, \dots, i_m \in [n]} (a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}) x_{i_2} \dots x_{i_m} \\ &= \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_t} (a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}) x_{i_2} \dots x_{i_m} \\ &\quad + \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \Lambda_t} (a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}) x_{i_2} \dots x_{i_m} \\ &\quad + \sum_{(i_2, \dots, i_m) \in \Delta \cap \bar{\Lambda}_t} a_{ti_2\dots i_m} x_{i_2} \dots x_{i_m} \\ &\quad + \sum_{(i_2, \dots, i_m) \in (\bar{\Delta} \cap \bar{\Lambda}_t) \setminus \{(s, \dots, s)\}} a_{ti_2\dots i_m} x_{i_2} \dots x_{i_m} + \alpha_t s \dots s x_s^{m-1}. \end{aligned}$$

Taking the modulus in above equation and using the triangle inequality and Lemma 1, we have

$$\begin{aligned} |\lambda - \alpha_t| |x_t| &\leq \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_t} |a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &\quad + \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \Lambda_t} |a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &\quad + \sum_{(i_2, \dots, i_m) \in \Delta \cap \bar{\Lambda}_t} |a_{ti_2\dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &\quad + \sum_{(i_2, \dots, i_m) \in (\bar{\Delta} \cap \bar{\Lambda}_t) \setminus \{(s, \dots, s)\}} |a_{ti_2\dots i_m}| |x_{i_2}| \dots |x_{i_m}| + |\alpha_t s \dots s| |x_s|^{m-1} \\ &\leq \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_t} |a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}| |y_1| \dots |y_{m-2}| |x_t| \\ &\quad + \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \Lambda_t} |a_{ti_2\dots i_m} - \alpha_t e_{ti_2\dots i_m}| |x_t|^{m-1} \end{aligned}$$

$$\begin{aligned}
 & + \sum_{(i_2, \dots, i_m) \in \Delta \cap \bar{\Lambda}_t} |a_{ti_2 \dots i_m}| |z_1| \dots |z_{m-2}| |x_t| \\
 & + \sum_{(i_2, \dots, i_m) \in (\bar{\Delta} \cap \bar{\Lambda}_t) \setminus \{(s, \dots, s)\}} |a_{ti_2 \dots i_m}| |x_t|^{m-1} + |a_{ts \dots s}| |x_s|^{m-1} \\
 \leq & \frac{1}{(m-2)^{\frac{m-2}{2}}} \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_t} |a_{ti_2 \dots i_m} - \alpha_t e_{ti_2 \dots i_m}| |x_t| \\
 & + \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \Lambda_t} |a_{ti_2 \dots i_m} - \alpha_t e_{ti_2 \dots i_m}| |x_t| \\
 & + \frac{1}{(m-2)^{\frac{m-2}{2}}} \sum_{(i_2, \dots, i_m) \in \Delta \cap \bar{\Lambda}_t} |a_{ti_2 \dots i_m}| |x_t| \\
 & + \sum_{(i_2, \dots, i_m) \in (\bar{\Delta} \cap \bar{\Lambda}_t) \setminus \{(s, \dots, s)\}} |a_{ti_2 \dots i_m}| |x_t| + |a_{ts \dots s}| |x_s| \\
 = & r_t^{\Delta \cap \Lambda_t}(\mathcal{A}, \alpha_t) |x_t| + r_t^{\bar{\Delta} \cap \Lambda_t}(\mathcal{A}, \alpha_t) |x_t| + r_t^{\Delta \cap \bar{\Lambda}_t}(\mathcal{A}) |x_t| \\
 & + (r_t^{\bar{\Delta} \cap \bar{\Lambda}_t}(\mathcal{A}) - |a_{ts \dots s}|) |x_t| + |a_{ts \dots s}| |x_s| \\
 = & (r_t(\mathcal{A}, \alpha_t) - |a_{ts \dots s}|) |x_t| + |a_{ts \dots s}| |x_s|, \tag{6}
 \end{aligned}$$

which implies that

$$(|\lambda - \alpha_t| - r_t(\mathcal{A}, \alpha_t) + |a_{ts \dots s}|) |x_t| \leq |a_{ts \dots s}| |x_s|. \tag{7}$$

In (6), $|y_1|, \dots, |y_{m-2}|$ and $|z_1|, \dots, |z_{m-2}|$ are taken by the following two ways:

- (a) If $i_2 \neq \dots \neq i_m$, then we can enlarge any one of $|x_2|, \dots, |x_m|$ to $|x_t|$ and keep the others (can be taken as $|y_1|, \dots, |y_{m-2}|$ and $|z_1|, \dots, |z_{m-2}|$) unchanged.
- (b) If only two of i_2, \dots, i_m are the same, then we can enlarge one of the two same elements to $|x_t|$ and keep others (can be taken as $|y_1|, \dots, |y_{m-2}|$ and $|z_1|, \dots, |z_{m-2}|$) unchanged.

If $|x_s| > 0$ in (7), then from (5), we can get

$$\begin{aligned}
 (\lambda - \alpha_s)x_s & = \lambda x_s - \alpha_s \mathcal{E} x_s^{m-1} \\
 & = \sum_{i_2, \dots, i_m \in [n]} a_{si_2 \dots i_m} x_{i_2} \dots x_{i_m} - \alpha_s \sum_{i_2, \dots, i_m \in [n]} e_{si_2 \dots i_m} x_{i_2} \dots x_{i_m} \\
 & = \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_s} (a_{si_2 \dots i_m} - \alpha_s e_{si_2 \dots i_m}) x_{i_2} \dots x_{i_m} \\
 & \quad + \sum_{(i_2, \dots, i_m) \in \bar{\Delta} \cap \Lambda_s} (a_{si_2 \dots i_m} - \alpha_s e_{si_2 \dots i_m}) x_{i_2} \dots x_{i_m} \\
 & \quad + \sum_{(i_2, \dots, i_m) \in \Delta \cap \bar{\Lambda}_s} a_{si_2 \dots i_m} x_{i_2} \dots x_{i_m}
 \end{aligned}$$

$$+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \overline{\Lambda}_S} a_{Si_2 \dots i_m} x_{i_2} \dots x_{i_m},$$

and

$$\begin{aligned} |\lambda - \alpha_S| |x_S| &\leq \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_S} |a_{Si_2 \dots i_m} - \alpha_S e_{Si_2 \dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \Lambda_S} |a_{Si_2 \dots i_m} - \alpha_S e_{Si_2 \dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &+ \sum_{(i_2, \dots, i_m) \in \Delta \cap \overline{\Lambda}_S} |a_{Si_2 \dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \overline{\Lambda}_S} |a_{Si_2 \dots i_m}| |x_{i_2}| \dots |x_{i_m}| \\ &\leq \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_S} |a_{Si_2 \dots i_m} - \alpha_S e_{Si_2 \dots i_m}| |y_1| \dots |y_{m-2}| |x_t| \\ &+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \Lambda_S} |a_{Si_2 \dots i_m} - \alpha_S e_{Si_2 \dots i_m}| |x_t|^{m-1} \\ &+ \sum_{(i_2, \dots, i_m) \in \Delta \cap \overline{\Lambda}_S} |a_{Si_2 \dots i_m}| |z_1| \dots |z_{m-2}| |x_t| \\ &+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \overline{\Lambda}_S} |a_{Si_2 \dots i_m}| |x_t|^{m-1} \\ &\leq \frac{1}{(m-2)^{\frac{m-2}{2}}} \sum_{(i_2, \dots, i_m) \in \Delta \cap \Lambda_S} |a_{Si_2 \dots i_m} - \alpha_S e_{Si_2 \dots i_m}| |x_t| \\ &+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \Lambda_S} |a_{Si_2 \dots i_m} - \alpha_S e_{Si_2 \dots i_m}| |x_t| \\ &+ \frac{1}{(m-2)^{\frac{m-2}{2}}} \sum_{(i_2, \dots, i_m) \in \Delta \cap \overline{\Lambda}_S} |a_{Si_2 \dots i_m}| |x_t| \\ &+ \sum_{(i_2, \dots, i_m) \in \overline{\Delta} \cap \overline{\Lambda}_S} |a_{Si_2 \dots i_m}| |x_t| \\ &= r_S^{\Delta \cap \Lambda_t}(\mathcal{A}, \alpha_S) |x_t| + r_S^{\overline{\Delta} \cap \Lambda_t}(\mathcal{A}, \alpha_S) |x_t| \\ &\quad + r_S^{\Delta \cap \overline{\Lambda}_S}(\mathcal{A}) |x_t| + r_S^{\overline{\Delta} \cap \overline{\Lambda}_S}(\mathcal{A}) |x_t| \\ &= r_S(\mathcal{A}, \alpha_S) |x_t|, \end{aligned} \tag{8}$$

which leads to

$$|\lambda - \alpha_S| |x_S| \leq r_S(\mathcal{A}, \alpha_S) |x_t|. \tag{9}$$

Note here that $|y_1|, \dots, |y_{m-2}|$ and $|z_1|, \dots, |z_{m-2}|$ in (8) are taken in the same way as in (6).

Multiplying (7) and (9) yields

$$(|\lambda - \alpha_t| - r_t(\mathcal{A}, \alpha_t) + |a_{t_s\dots s}|)|\lambda - \alpha_s||x_t||x_s| \leq |a_{t_s\dots s}|r_s(\mathcal{A}, \alpha_s)|x_t||x_s|.$$

Furthermore, by $|x_t||x_s| > 0$, we can get

$$(|\lambda - \alpha_t| - r_t(\mathcal{A}, \alpha_t) + |a_{t_s\dots s}|)|\lambda - \alpha_s| \leq |a_{t_s\dots s}|r_s(\mathcal{A}, \alpha_s), \tag{10}$$

which implies that

$$\lambda \in \Omega_{t,s}(\mathcal{A}, \alpha). \tag{11}$$

If $|x_s| = 0$ in (7), by $|x_t| > 0$, we have $|\lambda - \alpha_t| - r_t(\mathcal{A}, \alpha_t) + |a_{t_s\dots s}| \leq 0$, which implies that (10) holds, consequently, (11) holds.

By the arbitrariness of $s \in [n], s \neq t$, we have

$$\lambda \in \bigcap_{s \in [n], s \neq t} \Omega_{t,s}(\mathcal{A}, \alpha).$$

Furthermore, by the uncertainty of choosing $t \in [n]$, we have

$$\lambda \in \bigcup_{t \in [n]} \bigcap_{s \in [n], s \neq t} \Omega_{t,s}(\mathcal{A}, \alpha).$$

Consequently, $\sigma(\mathcal{A}) \subseteq \Omega(\mathcal{A}, \alpha)$. □

The following comparison theorem shows that the Z-eigenvalue inclusion set $\Omega(\mathcal{A}, \alpha)$ in Theorem 4 is tighter (that is, can capture all Z-eigenvalue of \mathcal{A} more accurate) than those in Theorems 2 and 3.

Theorem 5 Let $\mathcal{A} = (a_{i_1\dots i_m}) \in \mathbb{R}^{[m,n]}$. Then for any $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$,

$$\Omega(\mathcal{A}, \alpha) \subseteq \Upsilon(\mathcal{A}, \alpha) \subseteq \mathcal{G}(\mathcal{A}, \alpha).$$

Proof From Corollary 1 of [22], it can be seen that $\Upsilon(\mathcal{A}, \alpha) \subseteq \mathcal{G}(\mathcal{A}, \alpha)$. Below we only need to prove $\Omega(\mathcal{A}, \alpha) \subseteq \Upsilon(\mathcal{A}, \alpha)$. Let $z \in \Omega(\mathcal{A}, \alpha)$. Then there are $i, j \in [n]$ and $i \neq j$ such that $z \in \Omega_{i,j}(\mathcal{A}, \alpha)$, i.e.,

$$(|\lambda - \alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij\dots j}|)|\lambda - \alpha_j| \leq |a_{ij\dots j}|r_j(\mathcal{A}, \alpha_j). \tag{12}$$

For Cases I and II of the Z-identity tensor, we have $e_{ij\dots j} = 0$, that is,

$$|a_{ij\dots j} - \alpha_i e_{ij\dots j}| = |a_{ij\dots j}|.$$

By (3), we have

$$\begin{aligned} R_i^j(\mathcal{A}, \alpha_i) &= R_i(\mathcal{A}, \alpha_i) - |a_{ij\dots j} - \alpha_i e_{ij\dots j}| \\ &= R_i(\mathcal{A}, \alpha_i) - |a_{ij\dots j}| \geq r_i(\mathcal{A}, \alpha_i) - |a_{ij\dots j}|. \end{aligned}$$

By (12), we have

$$\begin{aligned} (|z - \alpha_i| - R_i^j(\mathcal{A}, \alpha_i)) |z - \alpha_j| &\leq (|z - \alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij\dots j}|) |z - \alpha_j| \\ &\leq |a_{ij\dots j}| r_j(\mathcal{A}, \alpha_j) \\ &\leq |a_{ij\dots j} - \alpha_i e_{ij\dots j}| R_j(\mathcal{A}, \alpha_j), \end{aligned}$$

i.e.,

$$(|z - \alpha_i| - R_i^j(\mathcal{A}, \alpha_i)) |z - \alpha_j| \leq |a_{ij\dots j} - \alpha_i e_{ij\dots j}| R_j(\mathcal{A}, \alpha_j),$$

which implies that $z \in \Upsilon_{i,j}(\mathcal{A}, \alpha)$. Hence, $\Omega(\mathcal{A}, \alpha) \subseteq \Upsilon(\mathcal{A}, \alpha)$. □

As $r_i(\mathcal{A}, \alpha_i)$, $i \in [n]$, are related to the Z -identity tensor \mathcal{E} and the order and dimension of \mathcal{A} , we list the specific form of $\Omega(\mathcal{A}, \alpha)$ in Theorem 4 with $m = 4$ and $m = 6$ by using the similar methods as [21, Corollary 2 and Corollary 3] follows.

Corollary 1 Let $\mathcal{A} = (a_{ijkl}) \in \mathbb{R}^{[4,n]}$. Then for any $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$, (4) holds, where $r_i(\mathcal{A}, \alpha_i)$ are taken by the following two cases:

(i) If the Z -identify tensor \mathcal{E} is taken as \mathcal{E}_1 , then

$$\begin{aligned} r_i(\mathcal{A}, \alpha_i) &= \frac{1}{2} \sum_{j \neq i} |a_{iijj} - \alpha_i| + |a_{iiii} - \alpha_i| \\ &\quad + \frac{1}{2} \left(R_i(\mathcal{A}) + \sum_{j \neq i} |a_{ijjj}| - \sum_{j \in [n]} |a_{iijj}| \right). \end{aligned}$$

(ii) If the Z -identify tensor \mathcal{E} is taken as \mathcal{E}_2 , then

$$\begin{aligned} r_i(\mathcal{A}, \alpha_i) &= \frac{1}{2} \sum_{j \neq i} \left(|a_{iijj} - \frac{1}{3}\alpha_i| + |a_{ijij} - \frac{1}{3}\alpha_i| + |a_{ijji} - \frac{1}{3}\alpha_i| \right) \\ &\quad + |a_{iiii} - \alpha_i| + \tilde{r}_i(\mathcal{A}), \end{aligned}$$

where

$$\tilde{r}_i(\mathcal{A}) = \frac{1}{2} \left(R_i(\mathcal{A}) + \sum_{j \neq i} |a_{ijjj}| - \sum_{j \neq i} (|a_{iijj}| + |a_{ijij}| + |a_{ijji}|) - |a_{iiii}| \right).$$

Corollary 2 Let $\mathcal{A} = (a_{i_1\dots i_6}) \in \mathbb{R}^{[6,n]}$. Then for any $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$, (4) holds, $r_i(\mathcal{A}, \alpha_i)$ are taken by the following two cases:

(i) If the Z-identify tensor \mathcal{E} is taken as \mathcal{E}_1 , then

$$r_i(\mathcal{A}, \alpha_i) = \sum_{j,k \in [n]} |a_{ijjkk} - \alpha_i| + \begin{cases} R_i(\mathcal{A}) - \sum_{j,k \in [n]} |a_{ijjkk}|, & 2 \leq n \leq 3; \\ R_i(\mathcal{A}) - \sum_{j,k \in [n]} |a_{ijjkk}| - \frac{15}{16} \sum_{(j,k,l,s,t) \in \Delta} |a_{ijklst}|, & n \geq 4. \end{cases}$$

(ii) If the Z-identify tensor \mathcal{E} is taken as \mathcal{E}_2 , then (2) holds and

$$\begin{aligned} r_i^{\Delta \cap \Lambda_i}(\mathcal{A}, \alpha_i) &= \frac{1}{16} \sum_{j \neq k \neq i} \sum_{\{(i_2, \dots, i_6) \in \{\pi(i, j, j, k, k)\}\}} |a_{ii_2 \dots i_6} - \frac{1}{15} \alpha_i|, \\ r_i^{\bar{\Delta} \cap \Lambda_i}(\mathcal{A}, \alpha_i) &= |a_{iiiiii} - \alpha_i| + \sum_{j \neq i} \left(\sum_{\{(i_2, \dots, i_6) \in \{\pi(i, i, i, j, j)\}\}} |a_{ii_2 \dots i_6} - \frac{1}{5} \alpha_i| \right. \\ &\quad \left. + \sum_{\{(i_2, \dots, i_6) \in \{\pi(i, j, j, j, j)\}\}} |a_{ii_2 \dots i_6} - \frac{1}{5} \alpha_i| \right), \\ r_i^{\Delta \cap \bar{\Lambda}_i}(\mathcal{A}) &= \frac{1}{16} \left\{ \sum_{j \neq k \neq l \neq i} \left(\sum_{\{(i_2, \dots, i_6) \in \{\pi(i, j, j, k, l)\}\}} |a_{ii_2 \dots i_6}| \right. \right. \\ &\quad + \sum_{\{(i_2, \dots, i_6) \in \{\pi(i, i, j, k, l)\}\}} |a_{ii_2 \dots i_6}| \\ &\quad + \sum_{\{(i_2, \dots, i_6) \in \{\pi(j, j, k, k, l)\}\}} |a_{ii_2 \dots i_6}| \\ &\quad \left. \left. + \sum_{\{(i_2, \dots, i_6) \in \{\pi(j, j, j, k, l)\}\}} |a_{ii_2 \dots i_6}| \right) \right. \\ &\quad + \sum_{j \neq k \neq l \neq s \neq i} \left(\sum_{\{(i_2, \dots, i_6) \in \{\pi(i, j, k, l, s)\}\}} |a_{ii_2 \dots i_6}| \right. \\ &\quad \left. + \sum_{\{(i_2, \dots, i_6) \in \{\pi(j, j, k, l, s)\}\}} |a_{ii_2 \dots i_6}| \right) \\ &\quad \left. + \sum_{j \neq k \neq l \neq s \neq p \neq i} \sum_{\{(i_2, \dots, i_6) \in \{\pi(j, k, l, s, p)\}\}} |a_{ii_2 \dots i_6}| \right\}, \\ r_i^{\bar{\Delta} \cap \bar{\Lambda}_i}(\mathcal{A}) &= R_i(\mathcal{A}) - |a_{iiiiii}| - 16r_i^{\Delta \cap \bar{\Lambda}_i}(\mathcal{A}) \\ &\quad - \sum_{j \neq k \neq i} \sum_{\{(i_2, \dots, i_6) \in \{\pi(i, j, j, k, k)\}\}} |a_{ii_2 \dots i_6}| \\ &\quad - \sum_{j \neq i} \left(\sum_{\{(i_2, \dots, i_6) \in \{\pi(i, i, i, j, j)\}\}} |a_{ii_2 \dots i_6}| \right. \end{aligned}$$

$$+ \sum_{\{(i_2, \dots, i_6) \in \{(\pi(i, j, j, j, j))\}\}} \left| a_{ii_2 \dots i_6} \right|,$$

where $\{(\pi(i, j, k, l, s))\}$ represents the set of all permutations of indexes i, j, k, l, s .

3 Applications

In this section, two applications are considered. By using the inclusion set $\Omega(\mathcal{A}, \alpha)$ in Theorem 4, we give sufficient conditions for the positive definiteness of an even-order real symmetric tensor (also the homogeneous polynomial forms) and the asymptotically stability of time-invariant polynomial systems.

3.1 Positive Definiteness of Homogeneous Polynomial Forms

Based on the inclusion interval $\mathcal{G}(\mathcal{A}, \alpha)$ in Theorem 2, Li et al. in [8] obtained a sufficient condition of the positive definiteness of an even-order tensor as follows.

Definition 1 [8, Definition 3.1] Let $\mathcal{A} \in \mathbb{R}^{[m, n]}$ with m even and $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$. We call \mathcal{A} an α -strictly diagonally dominant tensor of even order if

$$\alpha_i > R_i(\mathcal{A}, \alpha_i), \quad i \in [n]. \tag{13}$$

Theorem 6 [8, Theorem 3.2] Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, n]}$ with m even, and λ be a Z -eigenvalue of \mathcal{A} . If \mathcal{A} is an α -strictly diagonally dominant tensor with all $\alpha_i > 0$ for each $i \in [n]$, then $\lambda > 0$. Furthermore, if \mathcal{A} is also symmetric, then \mathcal{A} is positive definite, consequently, $f(x)$ defined in (1) is positive definite.

Based on the inclusion interval $\Upsilon(\mathcal{A}, \alpha)$ in Theorem 3, Shen et al. in [22] obtained a sufficient condition of the positive definiteness of an even-order weakly symmetric tensor as follows.

Theorem 7 [22, Theorem 3] Let λ be a Z -eigenvalue of $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, n]}$ and $\mathcal{E} \in \mathbb{R}^{[m, n]}$ be a Z -identity tensor. If there exists a positive real vector $\alpha = (\alpha_1, \dots, \alpha_n)^\top$ and $i, j \in [n]$ with $j \neq i$ such that

$$\left(\alpha_i - R_i^j(\mathcal{A}, \alpha_i) \right) \alpha_j > | a_{ij \dots j} - \alpha_i e_{ij \dots j} | R_j(\mathcal{A}, \alpha_j), \tag{14}$$

then $\lambda > 0$. Further, if \mathcal{A} weakly symmetric, then \mathcal{A} is positive definite and $f(x)$ defined in (1) is positive definite.

Based on the inclusion interval $\Omega(\mathcal{A}, \alpha)$ in Theorem 4, a sufficient condition for the positive definiteness of an even-order tensor can be obtained.

Definition 2 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m,n]}$ with m even. If there is $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$ such that for any $i, j \in [n]$ and $j \neq i$,

$$(|\alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij \dots j}|)|\alpha_j| > |a_{ij \dots j}|r_j(\mathcal{A}, \alpha_j), \tag{15}$$

then we call \mathcal{A} an even-order double α -strictly diagonally dominant tensor.

Now, the relationship between α -strictly diagonally dominant tensors and double α -strictly diagonally dominant tensors is discussed.

Theorem 8 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m,n]}$. If \mathcal{A} is an α -strictly diagonally dominant tensor, then \mathcal{A} is a double α -strictly diagonally dominant tensor.

Proof Let \mathcal{A} be an α -strictly diagonally dominant tensor. Then for each $i \in [n]$, we have

$$|\alpha_i| > R_i(\mathcal{A}, \alpha_i) \geq r_i(\mathcal{A}, \alpha_i),$$

that is,

$$|\alpha_i| > r_i(\mathcal{A}, \alpha_i),$$

which implies that

$$|\alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij \dots j}| > |a_{ij \dots j}|, \quad j \in [n], \quad j \neq i. \tag{16}$$

For this index $j \in [n]$, we have

$$|\alpha_j| > r_j(\mathcal{A}, \alpha_j). \tag{17}$$

Multiplying (16) and (17) yields (15), which implies that \mathcal{A} is a double α -strictly diagonally dominant tensor. \square

Theorem 9 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m,n]}$ with m even and λ be any Z-eigenvalue of \mathcal{A} . If there is positive vector $\alpha = (\alpha_1, \dots, \alpha_n)^\top \in \mathbb{R}^n$ such that \mathcal{A} is a double α -strictly diagonally dominant tensor, then $\lambda > 0$. Furthermore, if \mathcal{A} is symmetric, then \mathcal{A} is positive definite and, consequently, $f(x)$ is positive definite.

Proof Suppose on the contrary that $\lambda \leq 0$. According to Theorem 4, we have $\lambda \in \Omega(\mathcal{A}, \alpha)$, which implies that there are $i, j \in [n]$ and $i \neq j$ such that $\lambda \in \Omega_{i,j}(\mathcal{A}, \alpha)$, i.e.,

$$(|\lambda - \alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij \dots j}|)|\lambda - \alpha_j| \leq |a_{ij \dots j}|r_j(\mathcal{A}, \alpha_j). \tag{18}$$

On the other hand, by $\alpha_i > 0, \alpha_j > 0, \lambda \leq 0$ and (15), it follows that

$$\begin{aligned} (|\lambda - \alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij \dots j}|)|\lambda - \alpha_j| &\geq (|\alpha_i| - r_i(\mathcal{A}, \alpha_i) + |a_{ij \dots j}|)|\alpha_j| \\ &> |a_{ij \dots j}|r_j(\mathcal{A}, \alpha_j). \end{aligned} \tag{19}$$

It is easy to see that (18) and (19) contradict each other. Consequently, $\lambda > 0$. Furthermore, if \mathcal{A} is symmetric tensor, then all Z-eigenvalues of \mathcal{A} are positive, which implies that \mathcal{A} is positive definite and, consequently, $f(x)$ is positive definite. \square

Finally, an example is given to verify the effectiveness of Theorem 9. Before that, a lemma is recalled.

Lemma 2 [21, Lemma 4.2] *Let*

$$g(x) = x - \frac{1}{a} \sum_{i \in [n]} |x - b_i| - c$$

be a real-valued function about x , where a is a positive integer, $b_i \in \mathbb{R}$ and $b_1 \leq b_2 \leq \dots \leq b_n$ with $n \geq a$, and $c \in \mathbb{R}$.

(I) *Assume that a is odd.*

(I.i) *If n is odd, then*

$$\max_{x \in \mathbb{R}} g(x) = \frac{1}{a} \left(\sum_{i=1}^{\frac{n+a}{2}} b_i - \sum_{i=\frac{n+a}{2}+1}^n b_i \right) - c, \tag{20}$$

and this takes place for every $x \in [b_{\frac{n+a}{2}}, b_{\frac{n+a}{2}+1}]$ if $b_{\frac{n+a}{2}} \neq b_{\frac{n+a}{2}+1}$, and only for $x = b_{\frac{n+a}{2}}$ if $b_{\frac{n+a}{2}} = b_{\frac{n+a}{2}+1}$. Note that let $[b_{\frac{n+a}{2}}, b_{\frac{n+a}{2}+1}]$ be $[b_{\frac{n+a}{2}}, +\infty)$ if $b_{\frac{n+a}{2}+1}$ does not exist.

(I.ii) *If n is even, then*

$$\max_{x \in \mathbb{R}} g(x) = \frac{1}{a} \left(\sum_{i=1}^{\frac{n+a-1}{2}} b_i - \sum_{i=\frac{n+a+3}{2}}^n b_i \right) - c, \tag{21}$$

and this maximum is reached when $x = b_{\frac{n+a+1}{2}}$.

(II) *Assume that a is even. If n is odd, then (21) holds. And if n is even, then (20) holds.*

Example 1 Let $\mathcal{A} = (a_{ijkl}) \in \mathbb{R}^{[4,3]}$ with entries defined as follows:

- $a_{1111} = 2.6, \quad a_{2222} = 3.2, \quad a_{3333} = 2, \quad a_{1112} = a_{1121} = a_{1211} = a_{2111} = 0.4,$
- $a_{1122} = a_{1212} = a_{1221} = a_{2112} = a_{2121} = a_{2211} = 0.9,$
- $a_{1133} = a_{1313} = a_{1331} = a_{3113} = a_{3131} = a_{3311} = 1.1,$
- $a_{1233} = a_{1323} = a_{1332} = a_{2133} = a_{2313} = a_{2331} = 0.4,$
- $a_{3123} = a_{3132} = a_{3213} = a_{3231} = a_{3312} = a_{3321} = 0.3,$
- $a_{2223} = a_{2232} = a_{2322} = a_{3222} = 0.4,$
- $a_{2233} = a_{2323} = a_{2332} = a_{3223} = a_{3232} = a_{3322} = 1,$

Table 1 Numerical results of (15) with the Z-identify tensor \mathcal{E}_1

	$(\alpha_i - r_i(\mathcal{A}, \alpha_i) + a_{ij\dots j}) \alpha_j $	$ a_{ij\dots j} r_j(\mathcal{A}, \alpha_j)$
$i = 1$ and $j = 2$	0.400	0
$i = 1$ and $j = 3$	1.200	0
$i = 2$ and $j = 1$	1.875	0.96
$i = 2$ and $j = 3$	0.200	0
$i = 3$ and $j = 1$	1.125	0
$i = 3$ and $j = 2$	2.000	1.56

and $a_{ijkl} = 0$ for otherwise.

Our goal is to judge the positive definiteness of \mathcal{A} . Because of the form of $R_i(\mathcal{A}, \alpha_i)$ and $r_i(\mathcal{A}, \alpha_i)$ being related to the Z-identify tensor \mathcal{E} , we now divide two cases to consider the positive definiteness of \mathcal{A} .

Case I. Let the Z-identify tensor \mathcal{E} be $\mathcal{E}_1 = (e_{ijkl}) \in \mathbb{R}^{[4,3]}$, i.e.,

$$e_{1111} = e_{1122} = e_{1133} = e_{2222} = e_{2211} = e_{2233} = e_{3333} = e_{3311} = e_{3322} = 1,$$

and $e_{ijkl} = 0$ for otherwise.

Proposition 1 of [21] shows that Theorem 6 cannot be used to judge the positive definiteness of \mathcal{A} when the Z-identify tensor \mathcal{E} in $R_i(\mathcal{A}, \alpha_i)$ is taken as \mathcal{E}_1 . Now, we consider using Theorem 7 to judge the positive definiteness of \mathcal{A} . Let $\alpha = (2.5, 4, 2)^T$. By

$$(\alpha_2 - R_2^1(\mathcal{A}, \alpha_2))\alpha_1 = -4.25 < 1.88 = |a_{2111} - \alpha_2 e_{2111}| R_1(\mathcal{A}, \alpha_1),$$

it can be seen that (14) does not hold for $i = 2$ and $j = 1$, which implies that we cannot use Theorem 7 to judge the positive definiteness of \mathcal{A} for this α . However, for this α , we can use Theorem 9 to judge the positive definiteness of \mathcal{A} . In fact, by the numerical results of (15) listed in Table 1, it can be seen that (15) holds for all $i, j \in [n]$ and $j \neq i$, which implies that we can use Theorem 9 to judge the positive definiteness of \mathcal{A} .

We also use the Z-eigenvalue inclusion sets to judge the positive definiteness of \mathcal{A} . By Theorem 1, we have

$$\mathcal{L}(\mathcal{A}) = \left\{ z \in \mathbb{C} : |z| \leq \frac{5.9 + \sqrt{45.21}}{2} \right\}.$$

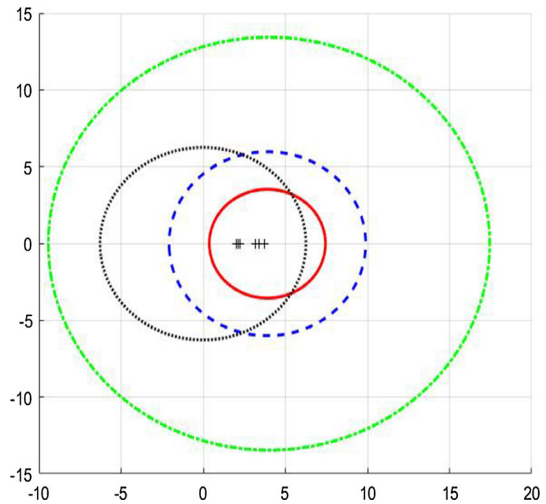
By Theorem 2, we have

$$\mathcal{G}(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : |z - 4| \leq 13.5\}.$$

By Theorem 3, we have

$$\Upsilon(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : (|z - 4| - 5.7) |z - 2.5| \leq 1.88\}.$$

Fig. 1 Comparisons of $\mathcal{L}(\mathcal{A})$, $\mathcal{G}(\mathcal{A}, \alpha)$, $\Upsilon(\mathcal{A}, \alpha)$ and $\Omega(\mathcal{A}, \alpha)$ with \mathcal{E}_1 and $\alpha = (2.5, 4, 2)^T$



By Theorem 4, we have

$$\Omega(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : (|z - 4| - 3.25) |z - 2.5| \leq 0.96\}.$$

The Z -eigenvalue inclusion sets $\mathcal{L}(\mathcal{A})$, $\mathcal{G}(\mathcal{A}, \alpha)$, $\Upsilon(\mathcal{A}, \alpha)$, $\Omega(\mathcal{A}, \alpha)$ and the exact Z -eigenvalues are drawn in Fig. 1, where they are represented by black dotted boundary, green stippled boundary, blue dotted boundary, red solid boundary and black “+,” respectively.

Case II. Let the Z -identify tensor \mathcal{E} be $\mathcal{E}_2 = (e_{ijkl}) \in \mathbb{R}^{[4,3]}$, i.e.,

$$e_{1111} = e_{2222} = e_{3333} = 1, \quad e_{1122} = e_{1212} = e_{1221} = \frac{1}{3}, \quad e_{1133} = e_{1313} = e_{1331} = \frac{1}{3},$$

and $e_{ijkl} = 0$ for otherwise.

Then

$$R_i(\mathcal{A}, \alpha_i) = |a_{iiii} - \alpha_i| + \sum_{j \neq i} \left(|a_{iijj} - \frac{1}{3}\alpha_i| + |a_{ijij} - \frac{1}{3}\alpha_i| + |a_{ijji} - \frac{1}{3}\alpha_i| \right) + \gamma_i,$$

where

$$\gamma_i = R_i(\mathcal{A}) - |a_{iiii}| - \sum_{j \neq i} (|a_{iijj}| + |a_{ijij}| + |a_{ijji}|), \quad i \in [3].$$

Table 2 Numerical results of (15) with the Z-identify tensor \mathcal{E}_2

	$(\alpha_i - r_i(\mathcal{A}, \alpha_i) + a_{ij\dots j}) \alpha_j $	$ a_{ij\dots j} r_j(\mathcal{A}, \alpha_j)$
$i = 1$ and $j = 2$	5.100	0
$i = 1$ and $j = 3$	12.000	0
$i = 2$ and $j = 1$	6.625	0.32
$i = 2$ and $j = 3$	13.200	0
$i = 3$ and $j = 1$	1.625	0
$i = 3$ and $j = 2$	1.500	0.32

Firstly, we use Theorem 6 to judge the positive definiteness of \mathcal{A} . Suppose that there is $\alpha = (\alpha_1, \alpha_2, \alpha_3)^\top \in \mathbb{R}^3$ such that (13) holds, which implies that

$$\begin{aligned}
 f(\alpha_i) &:= \alpha_i - |a_{iiii} - \alpha_i| - \sum_{j \neq i} \left(|a_{iijj} - \frac{1}{3}\alpha_i| + |a_{ijij} - \frac{1}{3}\alpha_i| + |a_{ijji} - \frac{1}{3}\alpha_i| \right) \\
 &= \alpha_i - \frac{1}{3} \left[3|\alpha_i - a_{iiii}| + \sum_{j \neq i} (|\alpha_i - 3a_{iijj}| + |\alpha_i - 3a_{ijij}| + |\alpha_i - 3a_{ijji}|) \right] \\
 &> \gamma_i.
 \end{aligned}$$

By Lemma 2, we have

$$\begin{aligned}
 \max_{\alpha_1 \in \mathbb{R}} f(\alpha_1) &= 2 < 2.4 = \gamma_1, \\
 \max_{\alpha_2 \in \mathbb{R}} f(\alpha_2) &= 2.5 < 2.8 = \gamma_2, \\
 \max_{\alpha_3 \in \mathbb{R}} f(\alpha_3) &= 1.7 < 2.2 = \gamma_3,
 \end{aligned}$$

which shows that there is not α_1, α_2 and α_3 such that (13) holds and implies that we cannot use Theorem 6 to judge the positive definiteness of \mathcal{A} .

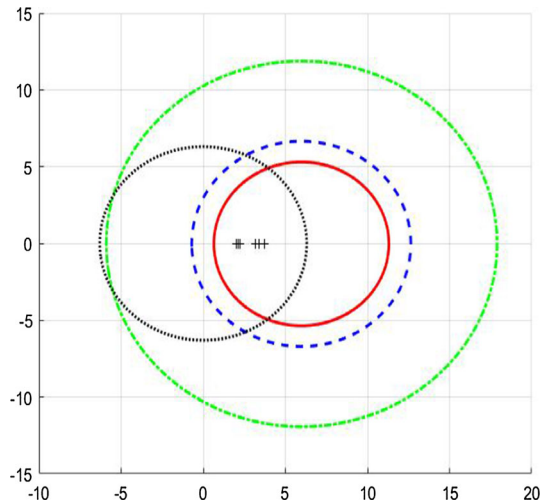
Secondly, we use Theorem 7 to judge the positive definiteness of \mathcal{A} . Let $\alpha = (2.5, 3, 6)^\top$. By

$$(\alpha_3 - R_3^2(\mathcal{A}, \alpha_3))\alpha_2 = -3 < 0.56 = |a_{3222} - \alpha_3 e_{3222}| R_2(\mathcal{A}, \alpha_2),$$

it can be seen that (14) does not hold for $i = 3$ and $j = 1$, which implies that we cannot use Theorem 7 to judge the positive definiteness of \mathcal{A} .

However, for this $\alpha = (2.5, 3, 6)^\top$, we can use Theorem 9 to judge the positive definiteness of \mathcal{A} . The numerical results of (15) are listed in Table 2. From Table 2, it can be seen that (15) holds for all $i, j \in [n]$ and $j \neq i$, which implies that we can use Theorem 9 to judge the positive definiteness of \mathcal{A} . In fact, all Z-eigenvalues of \mathcal{A} are 2.0000, 2.0035, 2.0224, 2.1335, 2.2539, 3.2022, 3.4147 and 3.7271.

Fig. 2 Comparisons of $\mathcal{L}(\mathcal{A})$, $\mathcal{G}(\mathcal{A}, \alpha)$, $\Upsilon(\mathcal{A}, \alpha)$ and $\Omega(\mathcal{A}, \alpha)$ with \mathcal{E}_2 and $\alpha = (2.5, 3, 6)^\top$



We also use the Z-eigenvalue inclusion sets to judge the positive definiteness of \mathcal{A} . Let $\alpha = (2.5, 3, 6)^\top$. By Theorem 2, we have

$$\mathcal{G}(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : |z - 6| \leq 11.9\}.$$

By Theorem 3, we have

$$\Upsilon(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : (|z - 6| - 6.7) |z - 2.5| \leq 0\}.$$

By Theorem 4, we have

$$\Omega(\mathcal{A}, \alpha) = \{z \in \mathbb{R} : (|z - 6| - 5.35) |z - 2.5| \leq 0\}.$$

The Z-eigenvalue inclusion sets $\mathcal{L}(\mathcal{A})$, $\mathcal{G}(\mathcal{A}, \alpha)$, $\Upsilon(\mathcal{A}, \alpha)$, $\Omega(\mathcal{A}, \alpha)$ and the exact Z-eigenvalues are drawn in Fig. 2, where they are represented by black dotted boundary, green stippled boundary, blue dotted boundary, red solid boundary and black “+,” respectively.

From Figs. 1 and 2, it is easy to see that:

- (i) $0 \in \mathcal{L}(\mathcal{A})$, $0 \in \mathcal{G}(\mathcal{A}, \alpha)$ and $0 \in \Upsilon(\mathcal{A}, \alpha)$; consequently, the sets $\mathcal{L}(\mathcal{A})$, $\mathcal{G}(\mathcal{A}, \alpha)$ and $\Upsilon(\mathcal{A}, \alpha)$ cannot be used to judge the positive definiteness of \mathcal{A} .
- (ii) $\sigma(\mathcal{A}) \subseteq \Omega(\mathcal{A}, \alpha) \subset \mathbb{C}^+$, where \mathbb{C}^+ denotes the set of all complex numbers with positive real part, which implies that all Z-eigenvalues of \mathcal{A} are positive and hence \mathcal{A} is positive definite.
- (iii) $\sigma(\mathcal{A}) \subseteq \Omega(\mathcal{A}, \alpha) \subseteq \Upsilon(\mathcal{A}, \alpha) \subseteq \mathcal{G}(\mathcal{A}, \alpha)$, and $\mathcal{L}(\mathcal{A})$ and $\Omega(\mathcal{A}, \alpha)$ do not contain each other.

This example shows that no matter we take the Z-identify tensor \mathcal{E} as \mathcal{E}_1 or \mathcal{E}_2 , we can use Theorems 4 and 9 to judge the positive definiteness of the even-order tensors.

3.2 Asymptotically Stability of Time-Invariant Polynomial Systems

Consider the asymptotically stability of the time-invariant polynomial system

$$\Sigma : \dot{x} = \mathcal{A}^{(2)}x + \mathcal{A}^{(4)}x^3 + \dots + \mathcal{A}^{(2k)}x^{2k-1}, \tag{22}$$

where $\mathcal{A}^{(t)} = (a_{i_1 \dots i_t}) \in \mathbb{R}^{[t, n]}$, $t = 2, 4, \dots, 2k$, and $x = (x_1, \dots, x_n)^\top$; see [1, 2]. A sufficient condition such that the nonlinear system (22) above is asymptotically stable is gave by Deng et al. in [1] as follows.

Theorem 10 [1, Theorem 3.3] *For the nonlinear system Σ in (22), if $-\mathcal{A}^{(t)}$ is positive definite, where $t = 2, 4, \dots, 2k$, then the equilibrium point of Σ is asymptotically stable.*

By Theorems 9 and 10, a sufficient condition for the asymptotically stability can be given.

Theorem 11 *For the nonlinear system Σ in (22), if $-\mathcal{A}^{(t)}$ satisfies all conditions of Theorem 9, where $t = 2, 4, \dots, 2k$, then the equilibrium point of Σ is asymptotically stable.*

Example 2 Consider the following polynomial system

$$\begin{aligned} \Sigma : \dot{x}_1 &= -5x_1 + 2x_2 + 2x_3 - 2.6x_1^3 - 0.9x_1^2x_2 - 3.3x_1x_2^2 - 2.7x_1x_2^2 - 1.8x_2x_3^2, \\ \dot{x}_2 &= 2x_1 - 5x_2 + 2x_3 - 0.5x_1^3 - 3.2x_2^3 - 0.6x_2^2x_3 \\ &\quad - 3.0x_2x_3^2 - 2.7x_1^2x_2 - 1.8x_1x_3^2, \\ \dot{x}_3 &= 2x_1 + 2x_2 - 5x_3 - 0.2x_2^3 - 2.4x_3^3 - 3.3x_1^2x_3 - 3.0x_2^2x_3 - 3.6x_1x_2x_3. \end{aligned}$$

Apparently, Σ can be written as $\dot{x} = \mathcal{A}^{(2)}x + \mathcal{A}^{(4)}x^3$, where $x = (x_1, x_2, x_3)^\top$,

$$\mathcal{A}^{(2)} = \begin{pmatrix} -5 & 2 & 2 \\ 2 & -5 & 2 \\ 2 & 2 & -5 \end{pmatrix}$$

and $\mathcal{A}^{(4)} = (a_{ijkl}) \in \mathbb{R}^{[4, 3]}$ whose entries are as follows:

$$\begin{aligned} a_{1111} &= -2.6, & a_{2222} &= -3.2, & a_{3333} &= -2.4, \\ a_{1112} &= a_{1121} = a_{1211} = a_{2111} &= -0.3, \\ a_{1122} &= a_{1212} = a_{1221} = a_{2112} = a_{2121} = a_{2211} &= -0.9, \\ a_{1133} &= a_{1313} = a_{1331} = a_{3113} = a_{3131} = a_{3311} &= -1.1, \\ a_{1233} &= a_{1323} = a_{1332} = a_{2133} = a_{2313} = a_{2331} &= -0.6, \\ a_{3123} &= a_{3132} = a_{3213} = a_{3231} = a_{3312} = a_{3321} &= -0.6, \\ a_{2223} &= a_{2232} = a_{2322} = a_{3222} &= -0.2, \\ a_{2233} &= a_{2323} = a_{2332} = a_{3223} = a_{3232} = a_{3322} &= -1.0, \end{aligned}$$

Table 3 Numerical results of (15) with the Z-identify tensor \mathcal{E}_1 for $-\mathcal{A}^{(4)}$

	$(\alpha_i - r_i(-\mathcal{A}^{(4)}, \alpha_i) + a_{ijjj}) \alpha_j $	$ a_{ijjj} r_j(-\mathcal{A}^{(4)}, \alpha_j)$
$i = 1$ and $j = 2$	0.80	0
$i = 1$ and $j = 3$	1.54	0
$i = 2$ and $j = 1$	2.25	0.84
$i = 2$ and $j = 3$	1.12	0
$i = 3$ and $j = 1$	1.35	0
$i = 3$ and $j = 2$	2.00	0.72

Table 4 Numerical results of (15) with the Z-identify tensor \mathcal{E}_2 for $-\mathcal{A}^{(4)}$

	$(\alpha_i - r_i(-\mathcal{A}^{(4)}, \alpha_i) + a_{ijjj}) \alpha_j $	$ a_{ijjj} r_j(-\mathcal{A}^{(4)}, \alpha_j)$
$i = 1$ and $j = 2$	3.00	0
$i = 1$ and $j = 3$	3.50	0
$i = 2$ and $j = 1$	13.25	1.2
$i = 2$ and $j = 3$	5.00	0
$i = 3$ and $j = 1$	4.75	0
$i = 3$ and $j = 2$	3.30	0.1

and $a_{ijkl} = 0$ for otherwise.

It is easy to see that $-\mathcal{A}^{(2)}$ is positive definite. Now, we judge the positive definiteness of $-\mathcal{A}^{(4)}$ by taking the Z-identify tensor \mathcal{E} as \mathcal{E}_1 and \mathcal{E}_2 .

Case I. Let the Z-identify tensor \mathcal{E} be $\mathcal{E}_1 = (e_{ijkl}) \in \mathbb{R}^{[4,3]}$.

Taking $\alpha = (3, 4, 2.8)^T$, the numerical results of (15) are listed in Table 3. From Table 3, it can be seen that (15) holds for all $i, j \in [3]$ and $i \neq j$. Hence, $-\mathcal{A}^{(4)}$ is positive definite by Theorem 9.

Case II. Let the Z-identify tensor \mathcal{E} be $\mathcal{E}_2 = (e_{ijkl}) \in \mathbb{R}^{[4,3]}$.

Taking $\alpha = (5, 3, 2)^T$, the numerical results of (15) are listed in Table 4. From Table 4, it can be seen that (15) holds for all $i, j \in [3]$ and $i \neq j$, which implies that $-\mathcal{A}^{(4)}$ is positive definite by Theorem 9.

All in all, no matter the Z-identify tensor \mathcal{E} is taken as \mathcal{E}_1 or \mathcal{E}_2 , we can both judge the positive definiteness of $-\mathcal{A}^{(4)}$ by Theorem 9. In fact, all Z-eigenvalues of $-\mathcal{A}^{(4)}$ are 1.8287, 1.9538, 2.2721, 2.4000, 3.0829, 3.0838, 3.0954, 3.8162 and 3.9610. Furthermore, by Theorem 11, the equilibrium point of \mathcal{S} is asymptotically stable.

4 Conclusions

In this paper, we firstly presented a new Z-eigenvalue inclusion set $\Omega(\mathcal{A}, \alpha)$ in Theorem 4. Subsequently, we in Theorem 5 proved that it is tighter than the inclusion set $\mathcal{G}(\mathcal{A}, \alpha)$ in Theorem 2.2 of [8] and the inclusion set $\mathcal{T}(\mathcal{A}, \alpha)$ in Theorem 1 of

[22]. As an application of the new set $\Omega(\mathcal{A}, \alpha)$, we obtained a sufficient condition for the positive definiteness of an even-order real symmetric tensor (also homogeneous polynomial forms) in Theorem 9 and obtained a sufficient condition for the asymptotically stability of time-invariant polynomial systems in Theorem 11. Finally, we used Examples 1 and 2 to verify the validity of Theorems 9 and 11.

However, how to choose appropriate parameter vector α to minimize the Z-eigenvalue inclusion set $\Omega(\mathcal{A}, \alpha)$ in Theorem 4 is still an unsolved problem. We will continue to study this problem in the future.

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Declarations

Conflict of interest The author declares that he has no competing interests.

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