

# **Regular Dynamics for 3D Brinkman–Forchheimer Equations with Delays**

**Qiangheng Zhang<sup>1</sup>**

Received: 21 December 2021 / Revised: 28 April 2022 / Accepted: 5 May 2022 / Published online: 27 May 2022 © The Author(s), under exclusive licence to Malaysian Mathematical Sciences Society and Penerbit Universiti Sains Malaysia 2022

# **Abstract**

The aim of this paper is to study the regular dynamics for the 3D delay Brinkman– Forchheimer (BF) equations. We first prove the existence, uniqueness and timedependent property of regular tempered pullback attractors as well as the existence of invariant measures for the 3D BF equations with non-autonomous abstract delay. We then study the asymptotic autonomy of regular pullback attractors for the 3D BF equations with autonomous abstract delay. Finally, we discuss the upper semicontinuity of regular pullback attractors as the delay time approaches to zero for the 3D BF equations with variable delay and distributed delay.

**Keywords** Delay Brinkman–Forchheimer equation · Pullback attractor · Regularity · Stability · Invariant measure

**Mathematics Subject Classification** 35B40 · 35B41 · 37L30 · 37L40

# **1 Introduction**

The attractors play an important role in the study of long-term behaviour for evolution equations, see  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  $[4, 6, 17, 30, 31]$  and the references therein. As we know, the pullback attractor is a collection of a family of time-dependent compact sets. Hence, a natural problem is to consider the time-dependent property of pullback attractors. Although this topic has been studied by several authors in  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$  $[7-9, 18, 19, 21-23, 38]$ , there is no paper on this subject in the regular space. On the other hand, the long-term behaviour of delay PDEs has wide attention  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  $[1–3, 11, 16, 24, 34, 40]$  due to it being able to

B Qiangheng Zhang zqh\_math@126.com

Communicated by Yong Zhou.

<sup>&</sup>lt;sup>1</sup> School of Mathematics and Statistics, Heze University, Heze 274015, China

control the system by the history of solutions. In [\[24](#page-35-13), [40\]](#page-36-4), the authors investigated the time-dependent property of pullback attractors in the initial space for delay PDEs. As far as we know, this topic in the regular space for the delay BF equation has not been studied.

The BF equation depicts the fluid flow in a saturated porous medium, see [\[12](#page-35-14), [29](#page-36-5)]. Recently, the long-term behaviour of BF equations has been studied by several authors in [\[13,](#page-35-15) [14](#page-35-16), [32,](#page-36-6) [33](#page-36-7), [36,](#page-36-8) [39](#page-36-9), [42,](#page-36-10) [43](#page-36-11)] for the non-delay case and in [\[15,](#page-35-17) [20](#page-35-18), [25,](#page-35-19) [37](#page-36-12)] for the delay case. In [\[15](#page-35-17)], the authors proved the existence of uniform attractors for the BF equation with autonomous delay. Li et al. [\[20](#page-35-18)] studied the existence and non-delay stability of pullback attractors for the BF equation with autonomous delay. In [\[37](#page-36-12)], the authors investigated the structure and asymptotic stability of pullback attractors for the BF equation with non-autonomous delay. As far as we know, the existence, timedependent property, asymptotic autonomy and non-delay stability of regular pullback attractors for the BF equation with delays have not been studied.

We first consider the following BF equation with non-autonomous delay:

<span id="page-1-0"></span>
$$
\begin{cases} \frac{\partial u}{\partial t} - v \Delta u + \alpha u + \nabla p = -\beta |u| u - \gamma |u|^2 u + f(t, u_t) + g(t, x), \\ \nabla \cdot u = 0, \ t > t_0, \ x \in \Omega, \\ u = 0, \ x \in \partial \Omega, \\ u(t_0 + \theta, x) := \phi(\theta, x), \ \theta \in [-\varrho, 0], \end{cases}
$$
(1)

where  $t_0 \in \mathbb{R}, \Omega \subset \mathbb{R}^3$  is a bounded domain with smooth boundary  $\partial \Omega$ ,  $u =$  $(u_1, u_2, u_3)$  is the velocity of the fluid, and *p* is the pressure of the fluid.  $v > 0$ denotes the Brinkman effective viscosity,  $\alpha > 0$  denotes the Darcy coefficient, and  $\beta > 0$  and  $\gamma > 0$  denote the Forchheimer coefficients.  $\rho > 0$  is the delay time of Eq.  $(1)$ , g is the given non-autonomous forcing term, and the delay term f will be specified later. For each  $t \geq t_0$ ,  $u_t(\cdot)$  is a delay-shift function defined on  $[-\varrho, 0]$  given by  $u_t(\theta) = u(t + \theta)$  with  $\theta \in [-\varrho, 0]$ .

Recently, the authors in [\[7](#page-35-3), [21](#page-35-7), [23,](#page-35-8) [38](#page-36-2)] studied that the backward compactness of pullback attractors  $A = \{A(t) : t \in \mathbb{R}\}$  ( $\bigcup_{s \leq t} A(s)$  is pre-compact with  $t \in \mathbb{R}$ ) when the basin of attraction of pullback attractors be composed of all fixed bounded sets in the initial space. However, we consider two different universes to show that the backward compactness of pullback attractors in this paper. One universe is made up of all tempered sets, and the other is composed of all backward tempered sets. It is worth pointing out that the backward compactness of pullback attractors on tempered universe is not easily proved. Fortunately, we can prove the attractors on two different universes are identical. On the other hand, we apply the spectrum decomposition technique to prove the backward asymptotic compactness of solutions due to the solution of Eq. [\(1\)](#page-1-0) has no higher regularity, and then obtain a unique backward compact regular tempered pullback attractor for Eq. [\(1\)](#page-1-0).

In [\[10](#page-35-20)], the authors show that the invariant measure plays an important role in understanding turbulence. Hence, the invariant measure of evolution equations has been studied by several authors in [\[5](#page-35-21), [26](#page-35-22)[–28,](#page-36-13) [35](#page-36-14)]. Inspire by [\[28\]](#page-36-13), we establish the existence of a unique family of invariant Borel probability measures for Eq. [\(1\)](#page-1-0), which are supported by the tempered pullback attractor.

We then discuss the following BF equation with autonomous delay:

$$
\begin{cases}\n\frac{\partial u}{\partial t} - v \Delta u + \alpha u + \nabla p = -\beta |u| u - \gamma |u|^2 u + f(u_t, x) + g(t, x), \\
\nabla \cdot u = 0, \ t > t_0, \ x \in \Omega, \\
u = 0, \ x \in \partial \Omega, \\
u(t_0 + \theta, x) := \phi(\theta, x), \ \theta \in [-\varrho, 0].\n\end{cases} (2)
$$

In [\[8](#page-35-23), [9](#page-35-4), [18,](#page-35-5) [19](#page-35-6), [22\]](#page-35-24), the authors studied the forward asymptotic autonomy of pullback attractors in the initial space for PDEs without delay, that is,

<span id="page-2-0"></span>
$$
\lim_{t \to +\infty} dist_X(\mathcal{A}(t), \mathcal{A}_{\infty}) = 0,
$$

where *X* is a Banach space with norm  $\|\cdot\|_X$ ,  $dist_X(\cdot, \cdot)$  denotes the Hausdorff semidistance and  $A_{\infty}$  is a global attractor of the autonomous equation corresponding to [\(2\)](#page-2-0). As far as we know, the asymptotic autonomy of pullback attractors in the regular space (the regularity of the initial space is low) has not been considered. In this paper, we study the backward asymptotically autonomous dynamics for Eq.  $(2)$  in the regular space:

<span id="page-2-1"></span>
$$
\lim_{t \to -\infty} dist_{Y_{\varrho}}(\mathcal{A}(t), \mathcal{A}_{\infty}) = 0,
$$

where *Y* is a Banach space with norm  $\| \cdot \|_Y$ ,  $X \leftarrow Y$  and  $Y_{\varrho} = C([-\varrho, 0]; Y)$ .

Finally, we study the following BF equation with variable delay and distributed delay:

$$
\begin{cases}\n\frac{\partial u}{\partial t} - v \Delta u + \alpha u + \nabla p + \beta |u| u + \gamma |u|^2 u \\
= f_1(u(t - \rho^{\varrho}(t)), x) + \int_{-\varrho}^0 f_2(u(t + \theta), \theta) d\theta + g(t, x), \\
\nabla \cdot u = 0, t > t_0, x \in \Omega, \\
u = 0, x \in \partial \Omega, \\
u(t_0 + \theta, x) := \phi(\theta, x), \ \theta \in [-\varrho, 0].\n\end{cases} (3)
$$

Zhao et al. [\[41\]](#page-36-15) studied the upper semicontinuity of the global attractor in the initial space as the delay time tends to zero for retarded lattice systems. Wang et al. [\[34\]](#page-36-3) proved the upper semicontinuity of pullback random attractors as the memory time approaches zero in the initial space for stochastic reaction–diffusion equation. In this paper, we study the upper semicontinuity of tempered pullback attractors as the delay time tends to zero in the regular space for Eq.  $(3)$ :

$$
\lim_{\varrho \to 0} dist_{(Y_{\varrho}, Y)}(\mathcal{A}_{\varrho}(t), \mathcal{A}(t)) = 0, \quad t \in \mathbb{R},
$$

where

$$
dist_{(Y_{\varrho}, Y)}(A, B) = \sup_{a \in A} \inf_{b \in B} \sup_{\theta \in [-\varrho, 0]} \|a(\theta) - b\|_{Y} = 0.
$$

In the next section, we establish the existence of an evolution process for the retarded 3D BF equation. Section [3](#page-5-0) is devoted to the backward uniform estimates, continuity with respect to initial time and backward flattening of solutions, and then obtain the existence, regularity and backward compactness of pullback attractors as well as the existence of invariant measures. In Sect. [4,](#page-22-0) we prove the backward regularly asymptotic autonomy of pullback attractors by the convergence of systems from non-autonomous to autonomous and the backward compactness of pullback attractors. The delay-free stability of regular pullback attractors is established by the convergence of solutions from delay to non-delay, the eventually compactness of pullback attractors and the recurrence of absorbing sets in the last section.

## **2 Existence of an Evolution Process for the 3D BF Equation with Delays**

Suppose *X* is a Banach space with norm  $\| \cdot \|_X$ . A family of maps  $\{S(t, r) : t \geq r\}$  is called an evolution process if

$$
S(r,r) = id_X, S(t,s) = S(t,r)S(r,s), S(t,r) : X \to X \text{ is continuous},
$$

for all  $t \ge r \ge s$ . In this section, we mainly establish the existence of an evolution process for Eq. [\(1\)](#page-1-0) and make some assumptions. Denote by

$$
\mathbb{L}^p(\Omega) = L^p(\Omega) \times L^p(\Omega) \times L^p(\Omega),
$$
  

$$
\mathbb{H}^p(\Omega) = H^p(\Omega) \times H^p(\Omega) \times H^p(\Omega), \quad p > 0,
$$

and

$$
H = \{u \in \mathbb{L}^2(\Omega) : \nabla \cdot u = 0, u \cdot \mathbf{n}|_{\partial \Omega} = 0\},
$$
  

$$
V = \{u \in \mathbb{H}^1(\Omega) : \nabla \cdot u = 0, u|_{\partial \Omega} = 0\},
$$

where **n** is the unit outward normal vector at  $\partial \Omega$ . It is easy to see that *H* and *V* are separable Hilbert spaces with the inner products and norms given by

$$
(u, v) = \sum_{i=1}^{3} \int_{\Omega} u_i v_i dx, \quad ||u||^2 = (u, u), \ u, v \in H,
$$

and

$$
((u, v)) = \sum_{i=1}^{3} \int_{\Omega} \nabla u_i \cdot \nabla v_i dx,
$$

$$
||u||_V^2 = ((u, u)), u, v \in V.
$$

 $\textcircled{2}$  Springer

Notice that  $V \hookrightarrow H \equiv H' \hookrightarrow V'$  is dense and continuous. Moreover, we use  $\|\cdot\|_p$ to denote the norm in  $\mathbb{L}^p(\Omega)$  and  $\langle \cdot, \cdot \rangle$  represents the duality pairing between *V* and *V'*. Let  $\tilde{P}$  be the Leray orthogonal projection from  $\mathbb{L}^2(\Omega)$  onto *H*. Applying  $\tilde{P}$  to Eq. [\(1\)](#page-1-0), we obtain

$$
\begin{cases} \frac{\partial u}{\partial t} + vAu = \tilde{P}(-\alpha u - \beta |u|u - \gamma |u|^2 u) + \tilde{P}f(t, u_t) + \tilde{P}g(t, x), \ t > t_0, \\ u(t_0 + \theta, x) := \phi(\theta, x), \ \theta \in [-\varrho, 0], \ x \in \varOmega, \end{cases} \tag{4}
$$

where  $A = -\tilde{P}\Delta$  is defined by  $\langle Au, v \rangle = ((u, v))$ . Let  $H_{\rho} := C([-Q, 0], H)$ with  $\|\vartheta\|_{H_{\rho}} = \sup_{\theta \in [-\rho, 0]} \|\vartheta(\theta)\|$  and  $V_{\varrho} := C([- \varrho, 0], V)$  with  $\|\vartheta\|_{V_{\varrho}} =$  $\sup_{\theta \in [-0,0]} ||\vartheta(\theta)||_V$ . The delay forcing  $f : \mathbb{R} \times H_0 \to H$  satisfies

- *(F1)* For each  $\varphi \in H_0$ ,  $r \to f(r, \varphi)$  is measurable from  $\mathbb R$  into  $H$ ;
- *(F2)*  $f(r, 0) = 0$  for all  $r \in \mathbb{R}$ ;

*(F3)* There is a positive function  $L_f(\cdot)$  such that for all  $\varphi, \psi \in H_o$ 

<span id="page-4-4"></span><span id="page-4-2"></span><span id="page-4-0"></span>
$$
|| f(r, \varphi) - f(r, \psi) || \le L_f(r) ||\varphi - \psi||_{H_{\varrho}}, \tag{5}
$$

where  $L_f(\cdot)$  satisfies, for all  $t \in \mathbb{R}$ ,

$$
\int_{-\infty}^{t} L_f^2(r)dr < +\infty, \quad \lim_{\beta \to +\infty} \sup_{s \le t} \int_{-\infty}^{s} e^{\beta(r-s)} L_f^2(r)dr = 0.
$$
 (6)

By the standard Galerkin method as in [\[11](#page-35-11), [33](#page-36-7)], one can see that the well-posedness of [\(4\)](#page-4-0).

<span id="page-4-1"></span>**Lemma 1** *Suppose that* (F1)–(F3) *hold and*  $g \in L^2_{loc}(\mathbb{R}, H)$ *. Then for all*  $t_0 \in \mathbb{R}$  *and*  $\phi \in H_0$ , Eq. [\(4\)](#page-4-0) has a unique weak solution

$$
u\in C([t_0-\varrho,+\infty);H)\cap L^2_{loc}(t_0,+\infty;V)\cap L^4_{loc}(t_0,+\infty;\mathbb{L}^4(\Omega)),
$$

*such that u*( $t_0 + \theta$ ;  $t_0, \phi$ ) =  $\phi(\theta)$  *for all*  $\theta \in [-\varrho, 0]$ *. Furthermore, for any*  $\varepsilon > 0$  *and*  $T > t_0 + \varepsilon$ 

$$
u \in C([t_0 + \varepsilon, T]; V) \cap L^2(t_0 + \varepsilon, T; D(A)),
$$

*where*  $D(A) = H_0^1(\Omega) \cap H^2(\Omega)$ *.* 

We define a mapping  $S(t, t_0)$ :  $H_\rho \to H_\rho$  by

<span id="page-4-3"></span>
$$
S(t, t_0)\phi = u_t(\cdot; t_0, \phi), \ t \ge t_0, \ \phi \in H_{\varrho}, \tag{7}
$$

where *u* is the solution of [\(4\)](#page-4-0). Based on Lemma [1,](#page-4-1) we obtain  $S(\cdot, \cdot)$  is an evolution process. In addition, we also need the following assumptions:

 $(GI)$   $g \in L^2_{loc}(\mathbb{R}, H)$  is *backward limitable*:

<span id="page-5-6"></span>
$$
\lim_{\beta \to +\infty} \sup_{s \le t} \int_{-\infty}^{s} e^{\beta(r-s)} \|g(r)\|^2 dr = 0, \ \forall t \in \mathbb{R},
$$
\n(8)

which implies  $g \in L^2_{loc}(\mathbb{R}, H)$  is *backward tempered*:

<span id="page-5-3"></span>
$$
\sup_{s\leq t}\int\limits_{-\infty}^{s}e^{\beta(r-s)}\|g(r)\|^2dr<+\infty, \ \forall t\in\mathbb{R},\beta>0.
$$
 (9)

On the other hand, we will frequently use the following inequalities:

$$
(|x|^{p-2}x - |y|^{p-2}y)(x - y) \ge 2^{2-p}|x - y|^p,\tag{10}
$$

where  $x, y \in \mathbb{R}^n$  and  $p \ge 2$ .

*Gagliardo–Nirenberg inequality:* assume  $\Omega \subset \mathbb{R}^n$ , if  $0 \le j \le l, 1 \le q, r \le$  $+\infty$ ,  $p \in \mathbb{R}$ ,  $\frac{j}{l} < \eta \le 1$  and

<span id="page-5-5"></span><span id="page-5-4"></span><span id="page-5-2"></span><span id="page-5-1"></span>
$$
\frac{1}{p} - \frac{j}{n} = \eta \left( \frac{1}{r} - \frac{l}{n} \right) + (1 - \eta) \frac{1}{q}.
$$

Then,

$$
||D^{j}u(t)||_{p} \leq c||u(t)||_{q}^{1-\eta}||D^{l}u(t)||_{r}^{\eta}, \ \forall u \in W^{l,r}(\mathbb{R}^{n}) \cap L^{q}(\mathbb{R}^{n}). \tag{11}
$$

where  $j$ ,  $l$  be any integers and  $c$  depending only on  $n$ ,  $l$ ,  $j$ ,  $q$ ,  $r$ ,  $n$ .

Let  $P(H_{\rho})$  denotes the collection of all nonempty subsets in  $H_{\rho}$ . We provide two different universes of attraction. One is  $\mathfrak D$  given by, for all  $\beta > 0$ 

$$
\mathfrak{D} = \{ \mathcal{D} = \{ \mathcal{D}(t) : t \in \mathbb{R} \} \subset \mathcal{P}(H_{\varrho}) : \lim_{\tau \to +\infty} e^{-\beta \tau} ||\mathcal{D}(t-\tau)||_{H_{\varrho}}^2 = 0 \}.
$$
 (12)

Observe that  $\mathfrak D$  is inclusion-closed. Another is  $\mathfrak B$  defined by, for all  $\beta > 0$ 

$$
\mathfrak{B} = \{ \mathcal{B} = \{ \mathcal{B}(t) : t \in \mathbb{R} \} \subset \mathcal{P}(H_{\varrho}) : \lim_{\tau \to +\infty} e^{-\beta \tau} \sup_{s \le t} \| \mathcal{B}(s-\tau) \|_{H_{\varrho}}^2 = 0 \}. \tag{13}
$$

Then,  $\mathfrak{B}$  is *backward-closed*:  $\tilde{\mathcal{B}} \in \mathfrak{B}$  whenever  $\mathcal{B} \in \mathfrak{B}$  and  $\tilde{\mathcal{B}}(\tau, \omega) = \bigcup_{s \leq t} \mathcal{B}(s, \omega)$ . Notice that  $\mathfrak{B} \subset \mathfrak{D}$  and  $\mathfrak{B}$  is also inclusion-closed.

### <span id="page-5-0"></span>**3 Dynamics of the 3D Non-Autonomous BF Equations with Delays**

Throughout this paper, we denote by *c* a positive constant, which may change from line to line or even in the same line.

 $\textcircled{2}$  Springer

### **3.1 Backward Uniform Estimates of Solutions**

<span id="page-6-4"></span>**Lemma 2** *Suppose that* (F1)–(F3) *and* (G1) *hold. Let*  $t \in \mathbb{R}$ *, then we have the following conclusions:*

*(i)* For each  $D \in \mathfrak{D}$ , there exists a  $\tau_d := \tau_d(t, D) > 0$  such that

$$
\sup_{\sigma \in [t-\varrho-4,t]} \|u_{\sigma}(\cdot; t-\tau, \phi)\|_{H_{\varrho}}^2 \le e^{2\alpha(\varrho+2)} \left(1+\frac{2}{\alpha}G_d(t)\right) e^{\frac{2}{\alpha}e^{\alpha\varrho}L(t)},\tag{14}
$$

*for all*  $\tau \geq \tau_d$  *and*  $\phi \in \mathcal{D}(t - \tau)$ *, where* 

<span id="page-6-2"></span>
$$
G_d(t) = \int_{-\infty}^t e^{\alpha(r-t)} \|g(r)\|^2 dr, \quad L(t) := \int_{-\infty}^t L_f^2(r) dr.
$$
 (15)

*(ii)* For each  $B \in \mathfrak{B}$ , there exists a  $\tau_b := \tau_b(t, B) > 0$  such that

$$
\sup_{s\leq t} \sup_{\sigma\in[s-\varrho-4,s]} \|u_{\sigma}(\cdot;s-\tau,\phi)\|_{H_{\varrho}}^2 \leq e^{2\alpha(\varrho+2)} \left(1+\frac{2}{\alpha}G_b(t)\right) e^{\frac{2}{\alpha}e^{\alpha\varrho}L(t)}, \tag{16}
$$

*for all*  $\tau \geq \tau_d$  *and*  $\phi \in \mathcal{B}(s - \tau)$  *with*  $s \leq t$ *, where* 

<span id="page-6-7"></span><span id="page-6-6"></span><span id="page-6-5"></span><span id="page-6-3"></span><span id="page-6-0"></span>
$$
G_b(t) = \sup_{s \le t} G_d(s). \tag{17}
$$

*Proof* Taking the inner product of [\(4\)](#page-4-0) with  $u(r; s-\tau, \phi)$  in *H*, by the Young inequality, we have

$$
\frac{d}{dr} ||u(r)||^2 + 2\nu ||A^{\frac{1}{2}}u(r)||^2 + \alpha ||u(r)||^2 + 2\beta ||u(r)||_3^3 + 2\gamma ||u(r)||_4^4
$$
  
\n
$$
\leq \frac{2}{\alpha} ||f(r, u_r)||^2 + \frac{2}{\alpha} ||g(r)||^2,
$$
\n(18)

which implies

<span id="page-6-1"></span>
$$
\frac{d}{dr}e^{\alpha r}\|u(r)\|^2 \le \frac{2}{\alpha}e^{\alpha r}\|f(r,u_r)\|^2 + \frac{2}{\alpha}e^{\alpha r}\|g(r)\|^2.
$$
 (19)

Integrating [\(19\)](#page-6-0) on  $[s - \tau, \sigma + \theta]$  with  $\theta \in [-\varrho, 0]$  and  $\tau > s - \sigma + \varrho$ , by [\(5\)](#page-4-2) we obtain

$$
e^{\alpha \sigma} \| u_{\sigma}(\cdot; s - \tau, \phi) \|^{2}
$$
  
\n
$$
\leq e^{\alpha \varrho} e^{\alpha(s-\tau)} \| \phi \|_{H_{\varrho}}^{2} + \frac{2}{\alpha} e^{\alpha \varrho} \int_{s-\tau}^{\sigma} e^{\alpha r} (L_{f}^{2}(r) \| u_{r} \|_{H_{\varrho}}^{2} + \| g(r) \|^{2}) dr.
$$
 (20)

Using the Gronwall inequality (see  $[4, p. 167]$  $[4, p. 167]$ ) to  $(20)$ , we obtain

$$
e^{\alpha\sigma} \|u_{\sigma}(\cdot; s-\tau, \phi)\|^2
$$
  
\$\leq (e^{\alpha\varrho}e^{\alpha(s-\tau)}\|\phi\|^2\_{H\_{\varrho}} + \frac{2}{\alpha}e^{\alpha\varrho}\int\limits\_{s-\tau}^{\sigma} e^{\alpha r} \|g(r)\|^2 dr\big)e^{\frac{2}{\alpha}e^{\alpha\varrho}\int\limits\_{s-\tau}^{\sigma} L\_f^2(r)dr}\$.

Hence we obtain, for all  $\tau \geq 2\rho + 4$ ,

$$
\sup_{\sigma \in [s-\varrho-4,s]} \|u_{\sigma}(\cdot; s-\tau, \phi)\|^2
$$
\n
$$
\leq \sup_{\sigma \in [s-\varrho-4,s]} e^{-\alpha \sigma} (e^{\alpha \varrho} e^{\alpha(s-\tau)} \|\phi\|^2_{H_{\varrho}} + \frac{2}{\alpha} e^{\alpha \varrho} \int_{s-\tau}^{\sigma} e^{\alpha r} \|g(r)\|^2 dr e^{\frac{2}{\alpha} e^{\alpha \varrho} \int_{s-\tau}^{\sigma} L_f^2(r) dr}
$$
\n
$$
\leq e^{\alpha(2\varrho+4)} (e^{-\alpha \tau} \|\phi\|^2_{H_{\varrho}} + \frac{2}{\alpha} \int_{s-\tau}^s e^{\alpha(r-s)} \|g(r)\|^2 dr e^{\frac{2}{\alpha} e^{\alpha \varrho} \int_{s-\tau}^s L_f^2(r) dr}.
$$
\n(21)

(i) Let  $s = t$  in [\(21\)](#page-7-0). If  $\phi \in \mathcal{D}(t - \tau)$ , by [\(12\)](#page-5-1), there exists a  $\tau_d := \tau_d(t, \mathcal{D}) \geq 2\varrho + 4$ such that for all  $\tau \geq \tau_d$ ,

$$
e^{-\alpha \tau} \|\phi\|_{H_{\varrho}}^2 \leq e^{-\alpha \tau} \|\mathcal{D}(t-\tau)\|_{H_{\varrho}}^2 \leq 1.
$$

Hence, we obtain  $(14)$  holds.

(ii) If  $\phi \in \mathcal{B}(s - \tau)$  with  $s \le t$ , by [\(13\)](#page-5-2), there is a  $\tau_b := \tau_b(t, \mathcal{B}) \ge 2\varrho + 4$  such that for all  $\tau \geq \tau_b$ ,

$$
e^{-\alpha \tau} \sup_{s \le t} \|\phi\|_{H_{\varrho}}^2 \le e^{-\alpha \tau} \sup_{s \le t} \|\mathcal{B}(s-\tau)\|_{H_{\varrho}}^2 \le 1.
$$

Taking the supremum of [\(21\)](#page-7-0) over the past time  $s \le t$  yields [\(16\)](#page-6-3) holds.

<span id="page-7-1"></span><span id="page-7-0"></span> $\Box$ 

**Corollary 1** *Under the assumptions in Lemma* [2](#page-6-4)*, we have the following auxiliary estimate:*

$$
\sup_{s \le t} \int_{s-\varrho-3}^{s} (\|A^{\frac{1}{2}}u(r)\|^2 + \|u(r)\|_3^3 + \|u(r)\|_4^4) dr \le c(1+L(t))(1+G_b(t))e^{cL(t)}.
$$
\n(22)

*Proof* Integrating [\(18\)](#page-6-5) on  $[s - \varrho - 3, s]$ , by [\(5\)](#page-4-2), we obtain

$$
\int_{s-e^{-3}}^{s} 2\nu \|A^{\frac{1}{2}}u(r)\|^2 + 2\beta \|u\|_3^3 + 2\gamma \|u\|_4^4 dr
$$

$$
\leq \|u(s-\varrho-3)\|^2 + \frac{2}{\alpha} \int_{s-\varrho-3}^{s} L_f^2(r) \|u_r\|_{H_{\varrho}}^2 dr
$$
  
+ 
$$
\frac{2}{\alpha} e^{\alpha(\varrho+3)} \int_{s-\varrho-3}^{s} e^{\alpha(r-s)} \|g(r)\|^2 dr.
$$

By  $(16)$ , we have

$$
\sup_{s \le t} \|u(s - \varrho - 3)\|^2 + \frac{2}{\alpha} \sup_{s \le t} \int_{s-\varrho-3}^{s} L_f^2(r) \|u_r\|_{H_{\varrho}}^2 dr
$$
\n
$$
\le \sup_{s \le t} \sup_{\sigma \in [s-3,s]} \|u_{\sigma}(\cdot)\|_{H_{\varrho}}^2 + \sup_{s \le t} \sup_{\sigma \in [s-\varrho-3,s]} \|u_{\sigma}(\cdot)\|_{H_{\varrho}}^2 \int_{s-\varrho-3}^{s} L_f^2(r) dr
$$
\n
$$
\le c(1 + L(t))(1 + G_b(t))e^{cL(t)}.
$$
\n(23)

Then, we obtain

$$
\sup_{s\leq t}\int\limits_{s-\varrho-3}^{s}(\|A^{\frac{1}{2}}u(r)\|^2+\|u(r)\|_3^3+\|u(r)\|_4^4)dr\leq c(1+L(t))(1+G_b(t))e^{cL(t)}.
$$

The proof is complete.

**Lemma 3** *Suppose that* (F1)–(F3) *and* (G1) *hold. For each*  $t \in \mathbb{R}$  *and*  $B \in \mathfrak{B}$ *, we have, for all*  $\tau \geq \tau_b$  ( $\tau_b$  *is given in Lemma [2](#page-6-4)) and*  $\phi \in B(s - \tau)$  *with*  $s \leq t$ ,

$$
\sup_{s \le t} \sup_{\theta \in [-\varrho - 2, 0]} \| A^{\frac{1}{2}} u(s + \theta; s - \tau, \phi) \|^2 + \sup_{s \le t} \int_{s-\varrho}^{s} \left\| \frac{\partial u}{\partial r} \right\|^2 dr
$$
  
 
$$
\le c(1 + L(t))(1 + G_b(t))e^{cL(t)}.
$$
 (24)

*Proof* Multiplying [\(4\)](#page-4-0) by  $\frac{\partial}{\partial r}u(r; s - \tau, \phi)$ , by [\(9\)](#page-5-3) and the Young inequality, we have

$$
\left\| \frac{\partial u(r)}{\partial r} \right\|^2 + \frac{d}{dr} (\nu \left\| A^{\frac{1}{2}} u(r) \right\|^2 + \alpha \| u(r) \|^2 + \beta \| u(r) \|_3^3 + \gamma \| u(r) \|_4^4)
$$
  
\n
$$
\leq c L_f^2(r) \| u_r \|_{H_{\mathcal{C}}}^2 + c \| g(r) \|^2.
$$
\n(25)

Integrating [\(25\)](#page-8-0) on  $[\zeta, s + \theta]$  with  $\zeta \in [s + \theta - 1, s + \theta]$  and  $\theta \in [-\rho - 2, 0]$ , and then integrating the result on  $[s + \theta - 1, s + \theta]$  w.r.t.  $\zeta$  we obtain, for all  $\theta \in [-\varrho - 2, 0]$ ,

$$
||A^{\frac{1}{2}}u(s+\theta; s-\tau, \phi)||^2 + ||u(s+\theta)||_3^3 + ||u(s+\theta)||_4^4
$$

<span id="page-8-2"></span><span id="page-8-1"></span><span id="page-8-0"></span>
$$
\Box
$$

<span id="page-9-2"></span><span id="page-9-0"></span>
$$
\leq c \int_{s-\varrho-3}^{s} (\|A^{\frac{1}{2}}u(r)\|^2 + \|u(r)\|^2 + \|u(r)\|_3^3 + \|u(r)\|_4^4) dr
$$
  
+ 
$$
c \int_{s-\varrho-3}^{s} (L_f^2(r) \|u_r\|_{H_{\varrho}}^2 + \|g(r)\|^2) dr.
$$
 (26)

By [\(16\)](#page-6-3) and [\(22\)](#page-7-1), we have, for all  $\tau \ge \tau_b$ ,

$$
\sup_{s \le t} \int_{s-\varrho-3}^{s} (||A^{\frac{1}{2}}u(r)||^2 + ||u(r)||_3^3 + ||u(r)||_4^4) dr \le c(1 + L(t))(1 + G_b(t))e^{cL(t)},
$$
\n(27)

$$
\sup_{s \le t} \int_{s-\varrho-3}^{s} \|u(r)\|^2 dr \le (\varrho+3) \sup_{s \le t} \sup_{\sigma \in [s-3,s]} \|u_{\sigma}\|_{H_{\varrho}}^2 \le c(1+G_b(t))e^{cL(t)}.
$$
 (28)

Substituting  $(23)$ ,  $(27)$  and  $(28)$  into  $(26)$  yields

<span id="page-9-1"></span>
$$
\sup_{s \le t} \sup_{\theta \in [-\varrho - 2, 0]} \| A^{\frac{1}{2}} u(s + \theta; s - \tau, \phi) \|^2 + \sup_{s \le t} \sup_{\theta \in [-\varrho - 2, 0]} \| u(s + \theta) \|_3^3
$$
  
+ 
$$
\sup_{s \le t} \sup_{\theta \in [-\varrho - 2, 0]} \| u(s + \theta) \|_4^4 \le c(1 + L(t))(1 + G_b(t))e^{cL(t)}.
$$
 (29)

On the other hand, integrating  $(25)$  on  $[s - \varrho, s]$  yields

$$
\int_{s-\varrho}^{s} \left\| \frac{\partial u}{\partial r} \right\|^2 dr \le c \left( \| A^{\frac{1}{2}} u(s-\varrho) \|^2 + \| u(s-\varrho) \|^2 + \| u(s-\varrho) \|^3 + \| u(s-\varrho) \|^4_4 \right) + c \int_{s-\varrho}^{s} (L_f^2(r) \| u_r \|_{H_{\varrho}}^2 + \| g(r) \|^2) dr.
$$
\n(30)

It follows from  $(16)$ ,  $(23)$  and  $(29)$  that

$$
\sup_{s \le t} \int_{s-\varrho}^{s} \left\| \frac{\partial u}{\partial r} \right\|^2 dr \le c(1 + L(t))(1 + G_b(t))e^{cL(t)}.
$$
 (31)

We infer from  $(29)$  and  $(31)$  that  $(24)$  as desired.

<span id="page-9-4"></span><span id="page-9-3"></span>

### **3.2 Existence and Backward Compactness of Pullback Attractors in the Initial Space**

<span id="page-10-1"></span>We first establish the existence of pullback absorbing sets for the evolution process  $S(\cdot, \cdot)$  in [\(7\)](#page-4-3).

### **Lemma 4** *Suppose that* (F1)*–*(F3) *and* (G1) *hold. Then, we have*

(i) *S*( $\cdot$ ,  $\cdot$ ) *has a pullback*  $\mathfrak{D}$ -*absorbing set*  $\mathcal{K}_d = \{ \mathcal{K}_d(t) : t \in \mathbb{R} \} \in \mathfrak{D}$ *, defined by* 

$$
\mathcal{K}_d(t) = \{ \vartheta \in H_\varrho : \|\vartheta\|_{H_\varrho}^2 \le e^{2\alpha(\varrho+2)} \left(1 + \frac{2}{\alpha} G_d(t)\right) e^{\frac{2}{\alpha} e^{\alpha \varrho} L(t)} \}.
$$
 (32)

(ii)  $S(\cdot, \cdot)$  *has a pullback*  $\mathfrak{B}$ *-absorbing set*  $\mathcal{K}_b = {\mathcal{K}_b(t) : t \in \mathbb{R}} \in \mathfrak{B}$ *, defined by* 

$$
\mathcal{K}_b(t) = \{ \vartheta \in H_{\varrho} : \|\vartheta\|_{H_{\varrho}}^2 \le e^{2\alpha(\varrho + 2)} \left( 1 + \frac{2}{\alpha} G_b(t) \right) e^{\frac{2}{\alpha} e^{\alpha \varrho} L(t)} \} = \overline{\cup_{s \le t} \mathcal{K}_d(s)},
$$
\n(33)

*where*  $G_d(t)$ *,*  $L(t)$  *and*  $G_b(t)$  *are given in* [\(15\)](#page-6-6) *and* [\(17\)](#page-6-7)*.* 

*Proof* By [\(14\)](#page-6-2) and [\(16\)](#page-6-3), it is easy to know that  $K_d$  and  $K_b$  are pullback  $\mathfrak{D}$ -absorbing set and pullback  $\mathfrak{B}$ -absorbing set for  $S(\cdot, \cdot)$ , respectively. Moreover, by  $G_b(t)$  =  $\sup_{s \leq t} G_d(t)$ , we obtain  $\mathcal{K}_b(t) = \bigcup_{s \leq t} \mathcal{K}_d(s)$ .

We now prove that  $K_d \in \mathfrak{D}$  and  $\overline{K_b} \in \mathfrak{B}$ . Notice that  $K_b(t_1) \subseteq K_b(t_2)$  if  $t_1 \leq t_2$ . Then, by  $t \rightarrow$ ,  $G_b(t)$  and  $t \rightarrow L(t)$  are increasing, we obtain

<span id="page-10-2"></span>
$$
e^{-\beta \tau} \sup_{s \le t} \|\mathcal{K}_b(s - \tau)\|_{H_{\varrho}}^2 = e^{-\beta \tau} \|\mathcal{K}_b(t - \tau)\|_{H_{\varrho}}^2
$$
  
=  $e^{-\beta \tau} e^{2\alpha(\varrho + 2)} \left(1 + \frac{2}{\alpha} G_b(t - \tau)\right) e^{\frac{2}{\alpha} e^{\alpha \varrho} L(t - \tau)}$   
 $\le e^{-\beta \tau} e^{2\alpha(\varrho + 2)} \left(1 + \frac{2}{\alpha} G_b(t)\right) e^{\frac{2}{\alpha} e^{\alpha \varrho} L(t)} \to 0, \text{ as } \tau \to +\infty.$  (34)

Hence, we have  $K_b \in \mathfrak{B}$ . Since  $G_d(t) \leq G_b(t)$  for all  $t \in \mathbb{R}$ , we have  $K_d \in \mathfrak{D}$ . The proof is complete. proof is complete.

<span id="page-10-0"></span>Next, we prove the pullback asymptotically compactness of the evolution process  $S(\cdot, \cdot)$  in [\(7\)](#page-4-3).

**Lemma 5** *Suppose that* (F1)–(F3) *and* (G1) *hold. Then, we have*  $S(\cdot, \cdot)$  *is backward pullback*  $\mathfrak{B}$ -*asymptotically compact in*  $H_o$ *, more precisely, for each t*  $\in \mathbb{R}$  *and*  $\mathcal{B} \in \mathfrak{B}$ *, the sequence*  $\{S(s_n, s_n - \tau_n)\phi_n\}$  *is relatively compact in*  $H_0$  *whenever*  $s_n \leq t$ ,  $\tau_n \to$  $+\infty$  *and*  $\phi_n \in \mathcal{B}(s_n - \tau_n)$ .

*Proof* Based on the Ascoli–Arzelà theorem, we divide the proof into two steps. *Step 1*. { $S(s_n, s_n - \tau_n)\phi_n$ } $_{n \in \mathbb{N}}$  in  $H_\rho$  is equi-continuous from [ $-\rho$ , 0] to *H*.

Since  $\tau_n \to +\infty$ , we assume  $\tau_n \geq \tau_b$  ( $\tau_b$  is given in Lemma [2\)](#page-6-4) for all  $n \in \mathbb{N}$ . Let  $\theta_1, \theta_2 \in [-\varrho, 0]$  with  $\theta_1 < \theta_2$ . Due to  $s_n \le t$  for all  $n \in \mathbb{N}$ , by [\(24\)](#page-8-2), we obtain

$$
\begin{split} \|\left(S(s_n, s_n - \tau_n)\phi_n\right)(\theta_1) - \left(S(s_n, s_n - \tau_n)\phi_n\right)(\theta_2)\| \\ &= \|\mu(s_n + \theta_1; s_n - \tau_n, \phi_n) - \mu(s_n + \theta_2; s_n - \tau_n, \phi_n)\| \\ &\leq \int_{s_n + \theta_1}^{s_n + \theta_2} \left\|\frac{\partial \mu(r)}{\partial r}\right\| dr \leq \left(\int_{s_n - \varrho}^{s_n} \left\|\frac{\partial \mu(r)}{\partial r}\right\|^2 dr\right)^{\frac{1}{2}} |\theta_1 - \theta_2|^{\frac{1}{2}} \\ &\leq c(1 + L(t))(1 + G_b(t))e^{cL(t)}|\theta_1 - \theta_2|^{\frac{1}{2}}. \end{split}
$$

Then for any  $\varepsilon > 0$ , there exists a  $\delta := \delta(t, \varepsilon)$  such that  $\|S(s_n, s_n - \tau_n)\phi_n(\theta_1) S(s_n, s_n - \tau_n)\phi_n(\theta_2)$  <  $\varepsilon$  when  $|\theta_1 - \theta_2| < \delta$ . The proof of the *Step 1* is complete.

*Step 2*. For each  $\theta \in [-\varrho, 0]$ ,  $\{(S(s_n, s_n - \tau_n)\phi_n)(\theta)\}_{n \in \mathbb{N}}$  has a convergent subsequence in *H*.

By [\(24\)](#page-8-2), we have  $\{(S(s_n, s_n - \tau_n)\phi_n)(\theta)\}_{n \in \mathbb{N}}$  is bounded in *V*. Then by the embedding  $V \hookrightarrow H$  is compact, we complete the proof of the *Step* 2.

Now, all conditions of Ascoli–Arzelà theorem are satisfied. Hence, we obtain the sequence  $\{S(s_n, s_n - \tau_n)\phi_n\}$  is relatively compact in  $H_0$ . The proof is complete.  $\square$ 

<span id="page-11-2"></span>Finally, we state the main result of this subsection:

**Theorem 1** *Suppose that* (F1)*–*(F3) *and* (G1) *hold. Then, we obtain the following conclusions:*

(i) *S*( $\cdot$ ,  $\cdot$ ) *in* [\(7\)](#page-4-3) *has a unique pullback*  $\mathfrak{D}$ *-attractor*  $\mathcal{A}_d = {\mathcal{A}_d(t) : t \in \mathbb{R}} \in \mathfrak{D}$ , *defined by*

<span id="page-11-0"></span>
$$
\mathcal{A}_d(t) = \bigcap_{T \ge 0} \overline{\bigcup_{\tau \ge T} S(t, t - \tau) \mathcal{K}_d(t - \tau)}^{H_\varrho}.
$$
\n(35)

(ii)  $S(\cdot, \cdot)$  *in* [\(7\)](#page-4-3) *has a unique pullback*  $\mathcal{B}$ *-attractor*  $\mathcal{A}_b = \{ \mathcal{A}_b(t) : t \in \mathbb{R} \} \in \mathcal{B}$ , *defined by*

<span id="page-11-1"></span>
$$
\mathcal{A}_b(t) = \bigcap_{T \ge 0} \overline{\bigcup_{\tau \ge T} S(t, t - \tau) \mathcal{K}_b(t - \tau)}^{H_e}.
$$
\n(36)

*Moreover,*  $A_b$  *is backward compact in*  $H_{\varrho}$ *, that is,*  $\bigcup_{s \leq t} A_b(s)$  *is pre-compact.* (iii)  $A_d = A_b$  *and so*  $A_d$  *is backward compact in*  $H_o$ .

*Proof* (i) By the same method as in Lemma [5,](#page-10-0) we obtain  $S(\cdot, \cdot)$  is pullback  $\mathfrak{D}$ asymptotically compact, which along with (i) of Lemma [4](#page-10-1) implies all conditions of Carvalho et al.  $[4,$  $[4,$  Theorem 2.50] are fulfilled. Hence, we have  $S(\cdot, \cdot)$  has unique pullback  $\mathfrak D$ -attractor  $\mathcal A_d$ , defined by [\(35\)](#page-11-0). Since  $\mathfrak D$  is inclusion-closed and the pullback  $\mathfrak{D}$ -absorbing set  $\mathcal{K}_d$  is closed, we get  $\mathcal{A}_d$  is unique and  $\mathcal{A}_d \in \mathfrak{D}$ .

- (ii) Similarly to (i), we only need to prove  $A_b$  is backward compact in  $H<sub>o</sub>$ . Let  ${u_n}_{n \in \mathbb{N}} \subset \bigcup_{s \le t} A_b(s)$ . Then, for each  $u_n$ , there is a  $s_n \le t$  such that  $u_n \in$  $A_b(s_n)$ . By the invariance of  $A_b$ , there exists a  $v_n \in A_b(s_n - \tau_n)$  with  $\tau_n \to +\infty$ such that  $S(s_n, s_n - \tau_n)v_n = u_n$ . Since  $\mathcal{A}_b \in \mathfrak{B}$ , by Lemma [5,](#page-10-0) we obtain  $\{u_n\}_{n \in \mathbb{N}}$ has a convergent subsequence and so  $\bigcup_{s \leq t} A_b(s)$  is pre-compact.
- (iii) Since  $\mathcal{K}_b(t) = \overline{\bigcup_{s \le t} \mathcal{K}_d(s)}$ , we have  $\mathcal{K}_b(t) \supset \mathcal{K}_d(t)$  for all  $t \in \mathbb{R}$ . Then by [\(35\)](#page-11-0) and [\(36\)](#page-11-1), we have  $A_b(t) \supset A_d(t)$  for all  $t \in \mathbb{R}$  and thus  $A_b \supset A_d$ . On the other hand, notice that  $A_b \in \mathfrak{D}$  because of  $\mathfrak{B} \subset \mathfrak{D}$ . Since  $A_b$  is a  $\mathfrak{D}$ -pullback attracting set, by the invariance of  $A_b$  we find, for all  $t \in \mathbb{R}$ ,

$$
dist_{H_{\varrho}}(\mathcal{A}_b(t), \mathcal{A}_d(t)) = dist_{H_{\varrho}}(S(t, t - \tau)\mathcal{A}_b(t - \tau), \mathcal{A}_d(t)) \to 0, \text{ as } \tau \to +\infty,
$$

which implies  $A_b(t)$  ⊂  $A_d(t)$  for all  $t \in \mathbb{R}$  and so  $A_b$  ⊂  $A_d$ . Then, we obtain  $A_b = A_d$  and  $A_d$  is backward compact in  $H_a$ .

<span id="page-12-1"></span><span id="page-12-0"></span> $\Box$ 

### **3.3 Existence of Invariant Measures**

In the subsection, we consider the existence of invariant measures  $\{\mu_t : t \in \mathbb{R}\}\$  on the pullback  $\mathfrak{D}$ -attractor  $\mathcal{A}_d$ . To this end, we also need prove the evolution process  $S(\cdot, \cdot)$  in [\(7\)](#page-4-3) is *t*<sub>0</sub>-continuous in  $H_{\rho}$ , where  $S(\cdot, \cdot)$  is known as *t*<sub>0</sub>-continuity if for every  $\phi \in H_0$  and  $t \in \mathbb{R}$ , the function  $t_0 \to S(t, t_0)\phi$  with values in  $H_0$  is continuous and bounded on (−∞, *t*]. We first prove the following auxiliary lemma.

**Lemma 6** *Suppose that* (F1)–(F3) *and* (G1) *hold. For any initial data*  $\hat{\phi}$  *and*  $\tilde{\phi}$  *in*  $H_o$ , *we have*

$$
\|S(t, t_0)\hat{\phi} - S(t, t_0)\tilde{\phi}\|_{H_{\varrho}}^2 = \|\hat{u}(t; t_0, \hat{\phi}) - \tilde{u}(t; t_0, \tilde{\phi})\|_{H_{\varrho}}^2
$$
  

$$
\leq \|\hat{\phi} - \tilde{\phi}\|_{H_{\varrho}}^2 e^{-\int_0^t L_f^2(r) dr}.
$$
 (37)

*Proof* Let  $\bar{u} = \hat{u} - \tilde{u}$ . Then,  $\bar{u}$  satisfies

$$
\frac{\partial \bar{u}}{\partial t} + vA\bar{u} + \alpha \bar{u}
$$
\n
$$
= \tilde{P}(-\beta|\hat{u}|\hat{u} - \gamma|\hat{u}|^2\hat{u}) - \tilde{P}(-\beta|\tilde{u}|\tilde{u} - \gamma|\tilde{u}|^2\tilde{u}) + \tilde{P}f(t, \hat{u}_t) - \tilde{P}f(t, \tilde{u}_t). \tag{38}
$$

Taking the inner product of  $(38)$  with  $\bar{u}$  in *H* yields

$$
\frac{d}{dr} \|\bar{u}(r)\|^2 + v \|A^{\frac{1}{2}} \bar{u}(r)\|^2 + 2\alpha \|\bar{u}(r)\|^2
$$
\n
$$
= -((\beta|\hat{u}|\hat{u} + \gamma|\hat{u}|^2 \hat{u}) - (\beta|\tilde{u}|\tilde{u} + \gamma|\tilde{u}|^2 \tilde{u}), \bar{u}) + (f(t, \hat{u}_t) - f(t, \tilde{u}_t), \bar{u}).
$$

By  $(5)$  and  $(10)$ , we obtain

$$
-((\beta|\hat{u}|\hat{u}+\gamma|\hat{u}|^2\hat{u}) - (\beta|\tilde{u}|\tilde{u}+\gamma|\tilde{u}|^2\tilde{u}), \bar{u}) \leq -\frac{\beta}{2} \|\bar{u}(r)\|_3^3 - \frac{\gamma}{4} \|\bar{u}(r)\|_4^4 \leq 0
$$

and

$$
(f(t, \hat{u}_t) - f(t, \tilde{u}_t), \bar{u}) \leq \alpha \|\bar{u}(r)\|^2 + cL_f^2(t)\|\hat{u}_t - \tilde{u}_t\|_{H_g}^2.
$$

Hence, we have

$$
\frac{d}{dr} \|\bar{u}(r)\|^2 \leq c L_f^2(t) \|\hat{u}_t - \tilde{u}_t\|^2_{H_g}.
$$

Integrating the above inequality on  $[t_0, t]$  with  $t > t_0$  yields

$$
\|\bar{u}(t)\|^2 \le \|\hat{\phi} - \tilde{\phi}\|_{H_{\varrho}}^2 + c \int_{t_0}^t L_f^2(r) \|\hat{u}_r - \tilde{u}_r\|_{H_{\varrho}}^2 dr.
$$
 (39)

Notice that  $\|\bar{u}(t)\|^2 \le \|\hat{\phi} - \tilde{\phi}\|_{H_{\rho}}^2$  for  $t \in [t_0 - h, t_0]$ . Then, we have

$$
\|\bar{u}_t\|_{H_{\varrho}}^2 \leq \|\hat{\phi} - \tilde{\phi}\|_{H_{\varrho}}^2 + c \int\limits_{t_0}^t L_f^2(r) \|\hat{u}_r - \tilde{u}_r\|_{H_{\varrho}}^2 dr.
$$

Using the Gronwall inequality, we obtain

$$
\|\bar{u}_t\|_{H_{\varrho}}^2 \le \|\hat{\phi} - \tilde{\phi}\|_{H_{\varrho}}^2 e^{\int\limits_{t_0}^t L_f^2(r) dr}.
$$

The proof is complete.

<span id="page-13-0"></span>Next, we prove the  $t_0$ -continuity of  $S(\cdot, \cdot)$  in [\(7\)](#page-4-3).

**Lemma 7** *Suppose that* (F1)–(F3) *and* (G1) *hold. Then, the evolution process*  $S(\cdot, \cdot)$ *in* [\(7\)](#page-4-3) *is t*<sub>0</sub>*-continuous in*  $H$ *<sub>0</sub>.* 

*Proof* Based on the definition of  $t_0$ -continuous, we divide the proof into two steps. *Step 1*. For all  $\phi \in H_{\varrho}$  and  $t \in \mathbb{R}$ ,  $t_0 \to$ ,  $S(t, t_0)\phi$  is continuous on  $(-\infty, t]$ . Let  $\tilde{t}_0 \in (-\infty, t]$  and  $\tilde{t}_0 \ge t_0$ . By [\(37\)](#page-12-1), we obtain, for all  $\phi \in H_{\varrho}$ ,

$$
\begin{aligned} \|S(t,\tilde{t}_0)\phi - S(t,t_0)\phi\|_{H_{\varrho}}^2 &= \|S(t,\tilde{t}_0)S(t_0,t_0)\phi - S(t,\tilde{t}_0)S(\tilde{t}_0,t_0)\phi\|_{H_{\varrho}}^2 \\ &\le \|S(t_0,t_0)\phi - S(\tilde{t}_0,t_0)\phi\|_{H_{\varrho}}^2 e^{-\tilde{t}_0} \end{aligned}
$$

 $\mathcal{D}$  Springer

$$
\leq \|u_{t_0}(\cdot; t_0, \phi) - u_{\tilde{t}_0}(\cdot; t_0, \phi)\|_{H_{\varrho}}^2 e^{-\frac{t}{\sqrt{\int_{-\infty}^{\infty} L_f^2(r) dr}}.
$$

Notice that  $u : [t_0 - \varrho, t_0 + 1] \to H$  is uniform continuous. Then for any  $\varepsilon > 0$ , there exists a positive constant  $\delta := \delta(\varepsilon) < 1$  with  $|t_0 - \tilde{t}_0| < \delta$  such that for all  $\theta \in [-\varrho, 0]$ ,

$$
||u(t_0+\theta; t_0,\phi)-u(\tilde{t}_0+\theta; t_0,\phi)||^2<\varepsilon,
$$

which implies

$$
||u_{t_0}(\cdot; t_0, \phi) - u_{\tilde{t}_0}(\cdot; t_0, \phi)||_{H_{\varrho}}^2 < \varepsilon.
$$

Since *e c t* −∞  $L_f^2(r)dr$  is finite, we obtain *t*<sub>0</sub> → *S*(*t*, *t*<sub>0</sub>) $\phi$  is right continuous on (−∞, *t*]. Similarly, we have  $t_0 \to S(t, t_0)\phi$  is left continuous on  $(-\infty, t]$ . Then, the proof of *Step 1* is complete.

*Step 2* For all  $\phi \in H_{\rho}$  and  $t \in \mathbb{R}$ ,  $t_0 \to S(t, t_0)\phi$  is bounded on  $(-\infty, t]$ . By the same method as Lemma [2,](#page-6-4) we have

$$
\begin{split} \|S(t,t_0)\phi\|_{H_{\varrho}}^2 &= \|u_t(\cdot;t_0,\phi)\|_{H_{\varrho}}^2 \\ &\leq e^{\alpha\varrho}(e^{-\alpha(t-t_0)}\|\phi\|_{H_{\varrho}}^2 + \frac{2}{\alpha}\int\limits_{t_0}^t e^{\alpha(r-t)}\|g(r)\|^2 dr e^{\frac{2}{\alpha}e^{\alpha\varrho}\int\limits_{t_0}^t L_f^2(r)dr} \\ &\leq e^{\alpha\varrho}(\|\phi\|_{H_{\varrho}}^2 + \frac{2}{\alpha}\int\limits_{-\infty}^t e^{\alpha(r-t)}\|g(r)\|^2 dr e^{\frac{2}{\alpha}e^{\alpha\varrho}\int\limits_{-\infty}^t L_f^2(r) dr} .\end{split}
$$

Observe that the last line of the above inequality is a constant independent of  $t_0$ . Then, we obtain

$$
\lim_{t_0 \to -\infty} \|S(t, t_0)\phi\|_{H_{\varrho}}^2
$$
\n
$$
\leq e^{\alpha \varrho} \left( \lim_{t_0 \to -\infty} e^{-\alpha(t - t_0)} \|\phi\|_{H_{\varrho}}^2 + \frac{2}{\alpha} \int_{-\infty}^t e^{\alpha(r - t)} \|g(r)\|^2 dr \right) e^{\frac{2}{\alpha} e^{\alpha \varrho} \int_{-\infty}^t L_f^2(r) dr}
$$
\n
$$
= e^{\alpha \varrho} \left( \frac{2}{\alpha} \int_{-\infty}^t e^{\alpha(r - t)} \|g(r)\|^2 dr \right) e^{\frac{2}{\alpha} e^{\alpha \varrho} \int_{-\infty}^t L_f^2(r) dr} < +\infty.
$$

By *Step 1*, we know that  $t \in \mathbb{R}$ ,  $t_0 \to S(t, t_0)\phi$  is continuous on  $(-\infty, t]$ , and hence the proof of *Step* 2 is complete. the proof of *Step 2* is complete.

Now, we obtain all conditions of Łukaszewicz and Robinson [\[28,](#page-36-13) Theorem 3.1] are fulfilled by (i) of Theorem [1](#page-11-2) and Lemma [7.](#page-13-0) Hence, we have the following result:

**Theorem 2** *Suppose that* (F1)*–*(F3) *and* (G1) *hold. Fix a generalized Banach limit*  $LIM_{T\to+\infty}$  *and let*  $v : \mathbb{R} \to H_0$  *be a continuous map such that*  $v(\cdot) \in \mathfrak{D}$ *. Then, there exists a unique family of Borel probability measures*  $\{\mu_t : t \in \mathbb{R}\}\$ in  $H_{\varrho}$  such that the *support of the measure*  $\mu_t \subset A_d(t)$  *and* 

$$
\underset{t_0 \to -\infty}{LIM} \frac{1}{t - t_0} \int_{t_0}^t \varphi(S(t, r)v(r)) dr = \int_{\mathcal{A}_d(t)} \varphi(w) d\mu_t(w),
$$

*for any real-valued continuous functional*  $\varphi$  *on*  $H_0$ *. In addition,*  $\mu_t$  *is invariant in the following sense:*

$$
\int_{\mathcal{A}_d(t)} \varphi(w) d\mu_t(w) = \int_{\mathcal{A}_d(t_0)} \varphi(S(t,t_0)w) d\mu_{t_0}(w), \quad t \ge t_0,
$$

*where a generalized Banach limit LIM* $_{T\rightarrow+\infty}$  *is any linear functional, which defined on the space of all bounded real-valued functions on* [0, +∞) *that satisfies*

(1)  $LIM_{T\rightarrow+\infty}h(T) \geq 0$  *for nonnegative functions h*; (2)  $LIM_{T\to+\infty}h(T) = \lim_{T\to+\infty}h(T)$  *if the limit*  $\lim_{T\to+\infty}h(T)$  *exists.* 

### **3.4 Backward Flattening of Solutions**

**Lemma 8** *Suppose that* (F1)–(F3) *and* (G1) *hold. For each*  $t \in \mathbb{R}$  *and*  $\mathcal{B} \in \mathfrak{B}$ *, we have, for all*  $\tau \geq \tau_b$  *and*  $\phi \in \mathcal{B}$ *,* 

<span id="page-15-0"></span>
$$
\sup_{s \le t} \int_{s-\varrho-1}^{s} \|Au(r)\|^2 dr \le c(1+L(t))^3 (1+G_b(t))^3 e^{cL(t)}.
$$
 (40)

*Proof* Multiplying [\(4\)](#page-4-0) by  $Au(r; s - \tau, \phi)$ , by [\(5\)](#page-4-2) and the Young inequality, we have

$$
\frac{d}{dr} ||A^{\frac{1}{2}}u(r)||^2 + v||Au||^2 + 2\alpha ||A^{\frac{1}{2}}u||^2 + 2\beta(|u|u, Au) + 2\gamma(|u|^2u, Au) \n\leq cL_f^2(r)||u_r||^2 + c||g(r)||^2.
$$

By the Young inequality, we obtain

$$
-2\beta(\|u\|u, Au) - 2\gamma(\|u\|^2 u, Au) \le \frac{\nu}{2} \|Au\|^2 + c(\|u\|_4^4 + \|u\|_6^6).
$$

Hence, by  $V \hookrightarrow L^p(\Omega) (2 \le p \le 6)$ , we obtain

$$
\frac{d}{dr}||A^{\frac{1}{2}}u(r)||^2 + \frac{\nu}{2}||Au||^2 \leq cL_f^2(r)||u_r||^2 + c||g(r)||^2 + c(||u||_V^4 + ||u||_V^6).
$$

 $\mathcal{D}$  Springer

Integrating the above inequality on  $[s - \varrho - 1, s]$  yields

$$
\int_{s-\varrho-1}^{s} \|Au(r)\|^2 dr \leq c \int_{s-\varrho-1}^{s} (1 + L_f^2(r) \|u_r\|^2 + \|g(r)\|^2 + \|u(r)\|_{V}^{6}) dr \n+ \|A^{\frac{1}{2}} u(s-\varrho-1)\|^2.
$$
\n(41)

By  $(16)$ , we have

$$
\int_{s-\varrho-1}^{s} \|u(r)\|_{V}^{6} dr \leq (\varrho+1) \sup_{r \in [s-\varrho-1,s]} \|u(r)\|_{V}^{6} \leq c(1+L(t))^{3} (1+G_{b}(t))^{3} e^{cL(t)}.
$$
\n(42)

Inserting  $(23)$ ,  $(24)$  and  $(42)$  into  $(41)$  yields

$$
\sup_{s\leq t}\int_{s-\varrho-1}^{s} \|Au(r)\|^2 dr \leq c(1+L(t))^3(1+G_b(t))^3 e^{cL(t)}.
$$

The proof is complete.

Note that the Stokes operator *A* has a family of eigenfunctions  $\{e_k\}_{k=1}^{\infty} \subset V$  with the corresponding eigenvalues:  $\lambda_1 \leq \lambda_2 \leq \cdots \leq \lambda_k \to +\infty$  as  $k \to +\infty$ . In addition,  ${e_k}_{k=1}^{\infty}$  be the orthonormal basis of *H*. Let  $P_k : H \mapsto H_k = span{e_1, e_2, \dots, e_k}$ , then  $P_k$  is an orthogonal projection. For each  $u \in V$ , which has the following orthogonal decomposition:

$$
u = P_k u \oplus (I - P_k)u = u_{k,1} + u_{k,2}, \quad k \in \mathbb{N}.
$$

**Lemma 9** *Suppose that* (F1)–(F3) *and* (G1) *hold. For each*  $t \in \mathbb{R}$ ,  $\mathcal{B} \in \mathcal{B}$  *and any*  $\varepsilon > 0$ , there exists a  $\delta := \delta(t, k, \varepsilon) > 0$  with  $|\theta_1 - \theta_2| < \delta$  and  $\theta_1, \theta_2 \in [-\varrho, 0]$  such *that*

$$
\sup_{s\leq t} \|P_k u(s+\theta_1; s-\tau, \phi) - P_k u(s+\theta_2; s-\tau, \phi)\|_V < \varepsilon,
$$
\n(43)

*for all*  $\tau > \tau_b$  *and*  $\phi \in \mathcal{B}$ *.* 

*Proof* Notice that  $||A^{\frac{1}{2}}u_{k,1}||^2 \le \lambda_k ||u_{k,1}||^2$  and suppose that  $\theta_1 \le \theta_2$ . Hence, by [\(24\)](#page-8-2), we have

$$
\sup_{s \le t} \|A^{\frac{1}{2}} u_{k,1}(s + \theta_1; s - \tau, \phi) - A^{\frac{1}{2}} u_{k,1}(s + \theta_2; s - \tau, \phi) \|
$$
  
\$\le \lambda\_k^{\frac{1}{2}} \sup\_{s \le t} \|u\_{k,1}(s + \theta\_1) - u\_{k,1}(s + \theta\_2)\|\$

 $\mathcal{D}$  Springer

<span id="page-16-2"></span><span id="page-16-1"></span><span id="page-16-0"></span>

$$
\leq \lambda_k^{\frac{1}{2}} \sup_{s \leq t} \int_{s+\theta_1}^{s+\theta_2} \left\| \frac{\partial}{\partial r} u_{k,1}(r; s - \tau, \phi) \right\| dr
$$
  

$$
\leq \lambda_k^{\frac{1}{2}} \sup_{s \leq t} \left( \int_{s-\varrho}^{s} \left\| \frac{\partial}{\partial r} u(r; s - \tau, \phi) \right\|^2 dr \right)^{\frac{1}{2}} |\theta_1 - \theta_2|^{\frac{1}{2}}
$$
  

$$
\leq c \lambda_k^{\frac{1}{2}} (1 + L(t))(1 + G_b(t)) e^{cL(t)} |\theta_1 - \theta_2|^{\frac{1}{2}}.
$$

Then, for any  $\varepsilon > 0$ , there exists a  $\delta := \delta(t, k, \varepsilon) > 0$  with  $|\theta_1 - \theta_2| < \delta$  such that

$$
\sup_{s\leq t} \|A^{\frac{1}{2}}u_{k,1}(s+\theta_1; s-\tau, \phi) - A^{\frac{1}{2}}u_{k,1}(s+\theta_2; s-\tau, \phi)\| < \varepsilon,
$$

which implies  $(43)$  holds.

**Lemma 10** *Suppose that* (F1)–(F3) *and* (G1) *hold. For each*  $t \in \mathbb{R}$ ,  $\mathcal{B} \in \mathcal{B}$  *and any*  $\varepsilon > 0$ , there exists a  $K = K(\varepsilon, t) \in \mathbb{N}$  such that

$$
\sup_{s \le t} \sup_{\theta \in [-\varrho, 0]} \| A^{\frac{1}{2}} u_{k,2}(s + \theta; s - \tau, \phi) \|^2 < \varepsilon. \tag{44}
$$

*for all*  $k \geq K$ ,  $\tau \geq \tau_b$  *and*  $\phi \in \mathcal{B}$ *.* 

*Proof* Taking the inner product of [\(4\)](#page-4-0) with  $Au_{k,2}(r; s - \tau, \phi)$  in *H*, we have

$$
\frac{1}{2}\frac{d}{dr}\|A^{\frac{1}{2}}u_{k,2}(r)\|^2 + v\|Au_{k,2}(r)\|^2 + \alpha\|A^{\frac{1}{2}}u_{k,2}(r)\|^2
$$
\n
$$
= -\beta(|u|u, Au_{k,2}) - \gamma(|u|^2u, Au_{k,2}) + (f(r, u_r), Au_{k,2}) + (g(r, \cdot), Au_{k,2})
$$
\n
$$
\leq \frac{v}{2}\|Au_{k,2}\|^2 + c(\|u(r)\|_4^4 + \|u(r)\|_6^6 + L_f^2(r)\|u_r\|_{H_\varrho}^2 + \|g(r)\|^2).
$$

Therefore, by  $||Au_{k,2}||^2 \ge \lambda_k ||A^{\frac{1}{2}}u_{k,2}||^2$  we get

$$
\frac{d}{dr}e^{\nu\lambda_k r}||A^{\frac{1}{2}}u_2(r)||^2 \leq c e^{\nu\lambda_k r} (||u(r)||_4^4 + ||u(r)||_6^6 + L_f^2(r)||u_r||_{H_{\varrho}}^2 + ||g(r)||^2).
$$

Let  $p = 4$ ,  $j = 0$ ,  $q = 3$ ,  $r = 2$ ,  $l = 2$  in [\(11\)](#page-5-5), we have

$$
||u(r)||_4^4 \leq c||u(r)||_3^{\frac{10}{3}}||Au(r)||_3^{\frac{2}{3}}.
$$

Again, let  $p = 6$ ,  $j = 0$ ,  $q = 4$ ,  $r = 2$ ,  $l = 2$ ,

$$
||u(r)||_6^6 \leq c||u(r)||_4^{\frac{24}{5}}||Au(r)||_5^{\frac{6}{5}}.
$$

Hence, we have

$$
\frac{d}{dr}e^{\nu\lambda_k r}||A^{\frac{1}{2}}u_{k,2}(r)||^2
$$

<span id="page-17-0"></span>

<span id="page-18-0"></span>
$$
\leq c e^{v\lambda_k r} (\|u\|_3^{\frac{10}{3}} \|Au\|^{\frac{2}{3}} + \|u\|_4^{\frac{24}{5}} \|Au\|^{\frac{6}{5}} + L_f^2(r) \|u_r\|_{H_{\varrho}}^2 + \|g(r)\|^2). \tag{45}
$$

Integrating [\(45\)](#page-18-0) on [ $\zeta$ ,  $s + \theta$ ] with  $\zeta \in [s + \theta - 1, s + \theta]$  and  $\theta \in [-\varrho, 0]$ , and then integrating this resulting on  $[s + \theta - 1, s + \theta]$  w.r.t.  $\zeta$  yields

$$
e^{\lambda_k(s+\theta)} \|A^{\frac{1}{2}} u_{k,2}(s+\theta; s-\tau, \phi)\|^2
$$
  
\n
$$
\leq c \int_{s+\theta-1}^{s+\theta} e^{\lambda_k r} (\|A^{\frac{1}{2}} u_{k,2}(r)\|^2 + \|u\|_3^{\frac{10}{3}} \|Au\|^{\frac{2}{3}} + \|u\|_4^{\frac{24}{3}} \|Au\|^{\frac{6}{3}}) dr
$$
  
\n
$$
+ c \int_{s+\theta-1}^{s+\theta} e^{\lambda_k r} (L_f^2(r) \|u_r\|_{H_g}^2 + \|g(r)\|^2) dr
$$
  
\n
$$
= c \int_{s-1}^{s} e^{\lambda_k (r+\theta)} (\|A^{\frac{1}{2}} u_{k,2}(r+\theta)\|^2 + \|u(r+\theta)\|_3^{\frac{10}{3}} \|Au(r+\theta)\|^{\frac{2}{3}} + \|g(r+\theta)\|^2) dr
$$
  
\n
$$
+ c \int_{s-1}^{s} e^{\lambda_k (r+\theta)} (\|u(r+\theta)\|_4^{\frac{24}{3}} \|Au(r+\theta)\|^{\frac{6}{3}} + L_f^2 (r+\theta) \|u_{r+\theta}\|_{H_g}^2) dr,
$$

which implies

$$
||A^{\frac{1}{2}}u_{k,2}(s+\theta; s-\tau, \phi)||^{2} \leq c \int_{s-1}^{s} e^{\lambda_{k}(r-s)}(||A^{\frac{1}{2}}u_{k,2}(r+\theta)||^{2}
$$
  
+ 
$$
||u(r+\theta)||_{3}^{\frac{10}{3}}||Au(r+\theta)||_{4}^{\frac{24}{3}} + ||g(r+\theta)||^{2})dr
$$
  
+ 
$$
c \int_{s-1}^{s} e^{\lambda_{k}(r-s)}(||u(r+\theta)||_{4}^{\frac{24}{3}}||Au(r+\theta)||_{5}^{\frac{6}{3}} + L_{f}^{2}(r+\theta)||u_{r+\theta}||_{H_{\varrho}}^{2})dr.
$$
 (46)

We now treat each term on the right-hand term of  $(46)$ . For the first term, by  $(24)$ , we have

<span id="page-18-1"></span>
$$
\sup_{s \le t} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_k (r-s)} \|A^{\frac{1}{2}} u_{k,2}(r+\theta)\|^2 dr
$$
\n
$$
\le \sup_{s \le t} \sup_{r \in [s-\varrho-1,s]} \|A^{\frac{1}{2}} u(r)\|^2 \int_{s-\varrho-1}^{s} e^{\lambda_k (r-s)} dr
$$
\n
$$
\le \frac{c}{\lambda_k} (1 + L(t)) (1 + G_b(t)) e^{cL(t)} \to 0 \text{ as } k \to +\infty. \tag{47}
$$

For the second and third term, by [\(29\)](#page-9-3) and [\(40\)](#page-15-0), we get

$$
\sup_{s \leq t} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_{k}(r-s)} (\|u(r+\theta)\|_{3}^{\frac{10}{3}} \|Au(r+\theta)\|_{3}^{\frac{2}{3}} + \|u(r+\theta)\|_{4}^{\frac{24}{3}} \|Au(r+\theta)\|_{3}^{\frac{6}{3}}) dr
$$
\n
$$
\leq \sup_{s \leq t} \sup_{r \in [s-\varrho-1,s]} \|u(r)\|_{3}^{\frac{10}{3}} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_{k}(r-s)} \|Au(r+\theta)\|_{3}^{\frac{2}{3}} dr
$$
\n
$$
+ \sup_{s \leq t} \sup_{r \in [s-\varrho-1,s]} \|u(r)\|_{4}^{\frac{24}{3}} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_{k}(r-s)} \|Au(r+\theta)\|_{3}^{\frac{6}{3}} dr
$$
\n
$$
\leq \sup_{s \leq t} \sup_{r \in [s-\varrho-1,s]} \|u(r)\|_{3}^{\frac{10}{3}} \left( \int_{s-1}^{s} e^{\frac{3}{2}\lambda_{k}(r-s)} dr \right)^{\frac{2}{3}} \left( \int_{s-\varrho-1}^{s} \|Au(r)\|_{2}^{2} dr \right)^{\frac{1}{3}}
$$
\n
$$
+ \sup_{s \leq t} \sup_{r \in [s-\varrho-1,s]} \|u(r)\|_{4}^{\frac{24}{3}} \left( \int_{s-1}^{s} e^{\frac{5}{2}\lambda_{k}(r-s)} dr \right)^{\frac{2}{3}} \left( \int_{s-\varrho-1}^{s} \|Au(r)\|_{4}^{2} dr \right)^{\frac{3}{3}}
$$
\n
$$
+ c \left( \frac{2}{3\lambda_{k}} \right)^{\frac{2}{3}} ((1+L(t))(1+G_{b}(t))e^{cL(t)})^{\frac{10}{3}} \to 0 \text{ as } k \to +\infty.
$$
\n(48)

For the delay term, by  $(6)$  and  $(16)$ , we find

$$
\sup_{s \le t} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_{k}(r-s)} L_{f}^{2}(r+\theta) \|u_{r+\theta}\|_{H_{\varrho}}^{2} dr
$$
\n
$$
\le \sup_{s \le t} \sup_{\sigma \in [s-\varrho-1,s]} \|u_{\sigma}\|_{H_{\varrho}}^{2} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_{k}(r-s)} L_{f}^{2}(r+\theta) dr
$$
\n
$$
\le c(1+G_{b}(t)) e^{cL(t)} \sup_{s \le t} \sup_{\theta \in [-\varrho, 0]} \int_{s+\theta-1}^{s+\theta} e^{\lambda_{k}(r-(s+\theta))} L_{f}^{2}(r) dr
$$
\n
$$
\le c(1+G_{b}(t)) e^{cL(t)} \sup_{s \le t} \int_{-\infty}^{s} e^{\lambda_{k}(r-s)} L_{f}^{2}(r) dr \to 0 \text{ as } k \to +\infty. \quad (49)
$$

 $\mathcal{D}$  Springer

For the forcing term, by  $(8)$ , we have

<span id="page-20-0"></span>
$$
\sup_{s \le t} \sup_{\theta \in [-\varrho, 0]} \int_{s-1}^{s} e^{\lambda_k (r-s)} \|g(r+\theta)\|^2 dr
$$
\n
$$
\le \sup_{s \le t} \sup_{\theta \in [-\varrho, 0]} \int_{-\infty}^{s+\theta} e^{\lambda_k (r-(s+\theta))} \|g(r)\|^2 dr
$$
\n
$$
\le \sup_{s \le t} \int_{-\infty}^{s} e^{\lambda_k (r-s)} \|g(r)\|^2 dr \to 0 \text{ as } k \to +\infty. \tag{50}
$$

It follows from [\(46\)](#page-18-1) to [\(50\)](#page-20-0) that we obtain [\(44\)](#page-17-0) as desired.  $\square$ 

## **3.5 Existence and Backward Compactness of Pullback Attractors in the Regular Space**

We review some basic concepts and theorem related to bi-spatial pullback attractors. Suppose that  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  be Banach spaces. An evolution process  $S(\cdot, \cdot)$ on *X* is said to take its values into *Y* if

$$
S(t,r)X \subset Y, \text{ for all } t \geq r.
$$

We claim that the combination  $(X, Y)$  is *limit-identical* if  $X \cap Y \neq \emptyset$  and

$$
x_n \in X \cap Y, \ \lim_{n \to +\infty} \|x_n - x_0\|_X + \|x_n - y_0\|_Y = 0 \Rightarrow x_0 = y_0 \in X \cap Y.
$$

Let  $\tilde{\mathfrak{D}}$  be a inclusion-closed universe of some sets  $\tilde{\mathcal{D}} = {\{\tilde{\mathcal{D}}(t) \neq \emptyset : t \in \mathbb{R}\}} \subset X$ .

**Definition 1** A family of sets  $A = \{A(t) : t \in \mathbb{R}\}$  is called a pullback  $\mathcal{D}-(X, Y)$ attractor for  $S(\cdot, \cdot)$  if

- (i)  $\mathcal{A}(\cdot)$  is compact in  $X \cap Y$ ;
- (ii)  $A(\cdot)$  is invariant, that is,  $S(t, s)A(s) = A(t)$  for all  $t \geq s$ ;
- (iii)  $A(\cdot)$  attracts every  $\tilde{\mathcal{D}} \in \tilde{\mathfrak{D}}$  under the topology of *Y*, more precisely, for each  $\tilde{\mathcal{D}} \in \tilde{\mathfrak{D}}$ , we have

$$
\lim_{\tau \to +\infty} dist_{X \cap Y} (S(t, t - \tau) \tilde{\mathcal{D}}(t - \tau), \mathcal{A}(t)) = 0,
$$

where  $dist_{X \cap Y}(\cdot, \cdot)$  denotes the Hausdorff semi-distance.

<span id="page-20-1"></span>**Theorem 3** *Assume that*  $S(\cdot, \cdot)$  *be an evolution process on X taking its values in Y and*  $(X, Y)$  *is limit-identical. Then,*  $S(\cdot, \cdot)$  *has a pullback*  $\mathfrak{D}$  *-*(*X, Y*)*-attractor*  $\mathcal{A}(\cdot)$  *if* 

(i)  $S(\cdot, \cdot)$  *has a closed pullback*  $\tilde{\mathfrak{D}}$ -*absorbing set*  $\mathcal{K} := \{ \mathcal{K}(t) : t \in \mathbb{R} \} \in \tilde{\mathfrak{D}}$ ;

- (ii)  $S(\cdot, \cdot)$  *is pullback*  $\overline{D}$ *-asymptotically compact in X;*
- <span id="page-21-0"></span>(iii)  $S(\cdot, \cdot)$  *is pullback*  $\mathfrak{D}$ - $(X, Y)$ -asymptotically compact.

**Theorem 4** *Suppose that* (F1)*–*(F3) *and* (G1) *hold. Then, we have the following conclusions:*

- (i) *The backward compact pullback* B*-attractor A<sup>b</sup> as given in* [\(36\)](#page-11-1) *is also a pullback*  $\mathfrak{B}\text{-}(H_0, V_0)$  attractor, which is backward compact in  $V_0$ .
- (ii) *The pullback*  $\mathfrak{D}$ -attractor  $\mathcal{A}_d$  as given in [\(35\)](#page-11-0) is also a pullback  $\mathfrak{D}$ -( $H_0$ ,  $V_0$ )*attractor, which is backward compact in V.*

*Proof* (i) We first show that  $A_b$  is a pullback  $\mathfrak{B}-(H_o, V_o)$ -attractor. Since  $V_o \hookrightarrow H_o$ ,  $(H<sub>\rho</sub>, V<sub>\rho</sub>)$  is limit-identical. By Theorem [3](#page-20-1) we also need to prove  $S(\cdot, \cdot)$  is backward pullback  $\mathfrak{B}\text{-}(H_0, V_0)$ -asymptotically compact, that is, for each  $t \in \mathbb{R}$ , {*S*(*s<sub>n</sub>*, *s<sub>n</sub>* −  $\tau_n$ ) $\phi_n$ } $_{n \in \mathbb{N}}$  has a convergent subsequence in  $V_\rho$  whenever  $s_n \leq t$ ,  $\tau_n \to +\infty$  and  $\phi_n \in \mathcal{B}(s_n - \tau_n)$ . To this end, we split the proof into two steps based on Ascoli–Arzelà theorem.

*Step 1*. { $S(s_n, s_n - \tau_n)\phi_n$ } $_{n \in \mathbb{N}}$  in  $V_\rho$  is equi-continuous from [ $-\rho$ , 0] to *V*.

Notice that there exists a  $N \in \mathbb{N}$  such that  $\tau_n \geq \tau_b$  ( $\tau_b$  is given in Lemma [2\)](#page-6-4) for all  $n \in \mathbb{N}$  due to  $\tau_n \to +\infty$ . By [\(43\)](#page-16-2) and [\(44\)](#page-17-0), we have for all  $k_0 \geq K$ 

$$
\begin{aligned} &\|(S(s_n, s_n - \tau_n)\phi_n)(\theta_1) - (S(s_n, s_n - \tau_n)\phi_n)(\theta_2)\|_V \\ &= \|u(s_n + \theta_1; s_n - \tau_n, \phi_n) - u(s_n + \theta_2; s_n - \tau_n, \phi_n)\|_V \\ &\leq \|P_{k_0}u(s_n + \theta_1; s_n - \tau_n, \phi_n) - P_{k_0}u(s_n + \theta_2; s_n - \tau_n, \phi_n)\|_V \\ &+ \|(I - P_{k_0})u(s_n + \theta_1; s_n - \tau_n, \phi_n)\|_V + \|(I - P_{k_0})u(s_n + \theta_2; s_n - \tau_n, \phi_n)\|_V \\ &< 3\varepsilon. \end{aligned}
$$

Hence, we have  $\{S_\varrho(s_n, s_n - \tau_n)\phi_n\}_{n \in \mathbb{N}}$  is equi-continuous.

*Step 2*. For each fixed  $\theta \in [-\varrho, 0]$ , the sequence  $(S(s_n, s_n - \tau_n)\phi_n)(\theta) = u(s_n + \theta)$  $\theta$ ;  $s_n - \tau_n$ ,  $\phi_n$ ) is pre-compact in *V*.

By [\(24\)](#page-8-2), we obtain that  ${P_{k_0}u(s_n + \theta; s_n - \tau_n, \phi_n)}_{n \in \mathbb{N}}$  is bounded in *V* and thus pre-compact in the  $k_0$ -dimensional subspace  $V_{k_0}$ . Then, there is an index subsequence  $n^*$  of *n* such that  $\{P_{k_0}u(s_n^* + \theta; s_n^* - \tau_n^*, \phi_n^*)\}_{n^* \in \mathbb{N}}$  is a Cauchy sequence in  $V_{k_0}$ . On the other hand, let  $n^*$ ,  $m^*$  large enough, we have

$$
\|u(s_{n^*} + \theta; s_{n^*} - \tau_{n^*}, \phi_{n^*}) - u(s_{n^*} + \theta; s_{m^*} - \tau_{m^*}, \phi_{m^*})\|_V
$$
  
\n
$$
\leq \|P_{k_0}u(s_{n^*} + \theta; s_{n^*} - \tau_{n^*}, \phi_{n^*}) - P_{k_0}u(s_{m^*} + \theta; s_{m^*} - \tau_{m^*}, \phi_{m^*})\|_V
$$
  
\n
$$
+ \|(I - P_{k_0})u(s_{n^*} + \theta; s_{n^*} - \tau_{n^*}, \phi_{n^*})\|_V
$$
  
\n
$$
+ \|(I - P_{k_0})u(s_{m^*} + \theta; s_{m^*} - \tau_{m^*}, \phi_{m^*})\|_V < 3\varepsilon.
$$

Hence,  $\{u(s_{n^*} + \theta; s_{n^*} - \tau_{n^*}, \phi_{n^*})\}_{n^* \in \mathbb{N}}$  is a Cauchy sequence in *V* and then the proof of *Step 2* is complete.

Then, we obtain that  $S(\cdot, \cdot)$  is backward pullback  $\mathfrak{B}(\cdot | H_0, V_0)$ -asymptotically compact, which implies  $S(\cdot, \cdot)$  is pullback  $\mathfrak{B}(\cdot, V_\rho)$ -asymptotically compact. Hence, we have  $A_b$  is a pullback  $\mathfrak{B}-(H_o, V_o)$ -attractor.

Next, we show that  $A_b$  is backward compact in  $V_a$ . Indeed, it is easy to verify that the result by the same method as in (ii) of Theorem [1.](#page-11-2)

(ii) Similarly to (i), we have  $\mathcal{A}_d$  as given in [\(35\)](#page-11-0) is also a pullback  $\mathfrak{D}(\mathcal{H}_0, V_0)$ attractor. Since  $A_d = A_b$ , we get  $A_d$  is backward compact in  $V_o$ .

## <span id="page-22-0"></span>**4 Asymptotically Autonomous Dynamics for the 3D BF Equation with Autonomous Delays**

In this section, we consider the following non-autonomous equation:

<span id="page-22-1"></span>
$$
\begin{cases}\n\frac{\partial u}{\partial t} - v \Delta u + \alpha u + \nabla p = -\beta |u| u - \gamma |u|^2 u + f(u_t, x) + g(t, x), \\
\nabla \cdot u = 0, \ t > t_0, \ x \in \Omega, \\
u = 0, \ x \in \partial \Omega, \\
u(t_0 + \theta, x) = u_{t_0}(\theta, x), \ \theta \in [-\varrho, 0].\n\end{cases}
$$
\n(51)

Similarly to  $(4)$ , Eq.  $(51)$  can be rewritten as

$$
\begin{cases} \frac{\partial u}{\partial t} + vAu = \tilde{P}(-\alpha u - \beta |u|u - \gamma |u|^2 u) + \tilde{P}f(u_t, x) + \tilde{P}g(t, x), \\ u(t_0 + \theta, x) = u_{t_0}(\theta, x), \ \theta \in [-\varrho, 0], \ x \in \Omega. \end{cases}
$$
(52)

Since we consider the delay term is autonomous, the assumption conditions (F1)–(F3) will be changed, more precisely,

- (F1) For each  $\varphi \in C_H$ ,  $x \to f(\varphi, x)$  is measurable from  $\Omega$  into *H*;
- $(F2)$   $f(0, x) = 0$  for all  $x \in \Omega$ ;
- (F3) There is a positive constant  $L_f$  such that for all  $\varphi, \psi \in H_o$ ,

<span id="page-22-3"></span><span id="page-22-2"></span>
$$
|| f(\varphi, \cdot) - f(\psi, \cdot) || \le L_f ||\varphi - \psi||_{H_{\varrho}}.
$$
\n(53)

(F4) There exist two positive constants  $c_f$  and  $m_f$  such that for all  $u, v \in C([t_0 \rho$ ,  $t$ ],  $H$ ) with  $t_0 \leq t$ ,

$$
\int_{t_0}^t e^{m_f r} \|f(\varphi, \cdot) - f(\psi, \cdot)\|^2 dr \leq c_f^2 \int_{t_0 - \varrho}^t e^{m_f r} \|\varphi - \psi\|^2 dr.
$$

Hence, we can define an evolution process  $\tilde{S}(t, t_0) : H_0 \to H_0$  associated with  $(52)$ , by

$$
S(t, t_0)\phi = u_t(\cdot; t_0, \phi), \quad t \ge t_0, \ \phi \in H_{\varrho}.
$$

Notice that we add a condition (F4), which will be used to establish the existence of pullback absorbing sets. Similarly to Lemma [2,](#page-6-4) we can obtain the evolution process *S* has a pullback  $\mathfrak{D}$ -absorbing set  $\mathcal{K}_d$  and a pullback  $\mathfrak{B}$ -absorbing set  $\mathcal{K}_b$ . Moreover,

other results of Sect. [3](#page-5-0) are hold for Eq.  $(52)$  due to  $L_f$  is a positive constant. Then,  $\tilde{S}(\cdot, \cdot)$  has a pullback  $\mathfrak{D}(\cdot)H_{\varrho}, V_{\varrho})$ -attractor  $\tilde{\mathcal{A}}_d = {\{\tilde{\mathcal{A}}_d(t) : t \in \mathbb{R}\}} \in \mathfrak{D}$ , which is backward compact in  $V<sub>o</sub>$ .

In order to study the upper semi-convergence of the pullback attractor  $A_d$  from non-autonomous to autonomous, we give a further assumption for *g*:

*(G2)* There exists a function  $g_{\infty}$  ∈ *H* such that

<span id="page-23-2"></span><span id="page-23-0"></span>
$$
\lim_{t_0 \to -\infty} \int_{-\infty}^{t_0} \|g(r) - g_{\infty}\|^2 dr = 0.
$$
 (54)

In addition, we need to introduce the following autonomous equation:

$$
\begin{cases}\n\frac{\partial v}{\partial t} - v \Delta v + \alpha v + \nabla p = -\beta |v| v - \gamma |v|^2 v + f(v_t, x) + g_\infty(x), \\
\nabla \cdot v = 0, \ t > 0, \ x \in \Omega, \\
v = 0, \ x \in \partial \Omega, \\
v(0 + \theta, x) = v_0(\theta, x), \ \theta \in [-\varrho, 0].\n\end{cases}
$$
\n(55)

Meanwhile, using the Leray orthogonal projection  $\tilde{P}$  to [\(55\)](#page-23-0), we obtain

$$
\begin{cases} \frac{\partial v}{\partial t} + vAv = \tilde{P}(-\alpha v - \beta |v|v - \gamma |v|^2 v) + \tilde{P}f(v_t, x) + \tilde{P}g_{\infty}(x), \\ v(0 + \theta, x) = v_0(\theta, x), \ \theta \in [-\varrho, 0]. \end{cases}
$$
(56)

By the standard Galerkin method, we obtain the well-posedness of [\(56\)](#page-23-1). Then, we obtain a semigroup  $T(t)$ :  $H_0 \rightarrow H_0$  generated by [\(56\)](#page-23-1), given by

<span id="page-23-1"></span>
$$
T(t)\phi = v_t(\cdot, \bar{\phi}), \quad t \ge 0, \ \phi \in H_{\varrho}.
$$

It follows from the same method as in Sect. [3](#page-5-0) that  $T(t)$  has a  $(H_0, V_0)$ -global attractor *A*∞.

### **4.1 Convergence of Solutions from Non-Autonomous to Autonomous**

**Lemma 11** *Suppose* (F1)−(F3)*,* (G1)−(G2) *hold. If*  $u_{t_0}$ *,*  $v_0 \text{ ∈ } H_0$  *satisfy* 

<span id="page-23-4"></span><span id="page-23-3"></span>
$$
\lim_{t_0 \to -\infty} \|u_{t_0} - v_0\|_{H_g}^2 = 0,
$$
\n(57)

*then we have, for all*  $\tilde{r} \geq 0$ *,* 

$$
\lim_{t_0 \to -\infty} \|\tilde{S}(t_0 + \tilde{r}, t_0)u_{t_0} - T(\tilde{r})v_0\|_{H_e}^2
$$
\n
$$
= \lim_{t_0 \to -\infty} \|u_{t_0 + \tilde{r}}(\cdot; t_0, u_{t_0}) - v_{\tilde{r}}(\cdot; v_0)\|_{H_e}^2 = 0.
$$
\n(58)

*Proof* For each  $t_0 \in \mathbb{R}$ , we define a function  $w^{t_0}$  by

$$
w^{t_0}(r) = u(t_0 + r; t_0, u_{t_0}) - v(r; v_0), \quad r \ge -\varrho.
$$

If follows from  $(52)$  and  $(56)$  that

$$
\frac{\partial w^{t_0}(r)}{\partial t} + v A w^{t_0}(r) + \alpha \tilde{P} w^{t_0}(r) \n= \tilde{P}(-\beta |u(t_0+r)|u(t_0+r) - \gamma |u(t_0+r)|^2 u(t_0+r)) \n- \tilde{P}(-\beta |v(r)|v(r) - \gamma |v(r)|^2 v(r)) \n+ \tilde{P} f(u_{t_0+r}, x) - \tilde{P} f(v_r, x) + \tilde{P} g(t_0+r, x) - \tilde{P} g_{\infty}(x).
$$

Multiplying the above equality by  $w^{t_0}(r)$  yields

$$
\frac{1}{2}\frac{d}{dr}\|w^{t_0}(r)\|^2 + \nu \|A^{\frac{1}{2}}w^{t_0}(r)\|^2 + \alpha \|w^{t_0}(r)\|^2
$$
\n
$$
= ((-\beta|u(t_0+r)|u(t_0+r) - \gamma|u(t_0+r)|^2u(t_0+r)), w^{t_0}(r))
$$
\n
$$
- ((-\beta|v(r)|v(r) - \gamma|v(r)|^2v(r)), w^{t_0}(r))
$$
\n
$$
+ (f(u_{t_0+r}, \cdot) - f(v_r, \cdot), w^{t_0}(r)) + (g(t_0+r, \cdot) - g_\infty(\cdot), w^{t_0}(r)).
$$
\n(59)

By  $(10)$ , we have

<span id="page-24-2"></span><span id="page-24-0"></span>
$$
((-\beta|u(t_0+r)|u(t_0+r) - \gamma|u(t_0+r)|^2 u(t_0+r)), w^{t_0}(r))
$$
  
– ((-\beta|v(r)|v(r) - \gamma|v(r)|^2 v(r)), w^{t\_0}(r))  

$$
\leq -\frac{\beta}{2} ||w^{t_0}(r)||_3^3 - \frac{\gamma}{4} ||w^{t_0}(r)||_4^4 \leq 0.
$$
 (60)

By  $(53)$  and the Young inequality, we have

$$
((f(u_{t_0+r},\cdot)-f(v_r,\cdot),w^{t_0}(r))\leq \frac{\alpha}{2}||w^{t_0}(r)||^2+\frac{L_f}{2\alpha}||w^{t_0}_r||^2_{H_{\varrho}},\tag{61}
$$

$$
(g(t_0 + r, \cdot) - g_{\infty}(\cdot), w^{t_0}(r)) \le \frac{\alpha}{2} ||w^{t_0}(r)||^2 + \frac{1}{2\alpha} ||g(t_0 + r) - g_{\infty}||^2. \tag{62}
$$

Inserting  $(60)$ – $(62)$  into  $(59)$  yields

<span id="page-24-1"></span>
$$
\frac{d}{dr}||w^{t_0}(r)||^2 \leq c||w_r^{t_0}||_{H_{\varrho}}^2 + c||g(t_0+r) - g_{\infty}||^2.
$$

Integrating the above inequality on [0,  $\tilde{r}$ ] with  $\tilde{r} \in [0, R]$  and  $R > 0$  yields

$$
||w^{t_0}(\tilde{r})||^2 \leq ||u_{t_0} - v_0||_{H_g}^2 + c \int\limits_0^{\tilde{r}} ||w^{t_0}_r||_{H_g}^2 dr + c \int\limits_0^R ||g(t_0 + r) - g_{\infty}||^2 dr.
$$

It is easy to see that  $\|w^{t_0}(\tilde{r})\|^2 \leq \|u_{t_0} - v_0\|_{H_{\varrho}}^2$  when  $\tilde{r} \in [-\varrho, 0]$ . Then, we have

$$
||w_{\tilde{r}}^{t_0}||_{H_{\varrho}}^2 \le ||u_{t_0} - v_0||_{H_{\varrho}}^2 + \int_0^{\tilde{r}} ||w_{r}^{t_0}||_{H_{\varrho}}^2 dr + \int_{-\infty}^{t_0 + R} ||g(r) - g_{\infty}||^2 dr. \tag{63}
$$

Applying the Gronwall inequality to  $(63)$ , by  $(54)$  and  $(57)$ , we get

$$
||w_{\tilde{r}}^{t_0}||_{H_{\varrho}}^2 \leq (||u_{t_0} - v_0||_{H_{\varrho}}^2 + \int_{-\infty}^{t_0 + R} ||g(r) - g_{\infty}||^2 dr) e^R \to 0,
$$

as  $t_0 \rightarrow -\infty$ . The proof is complete.  $\Box$ 

### **4.2 Upper Semi-Convergence of Regular Attractors from Non-Autonomous to Autonomous**

**Theorem 5** *Suppose (F1)–(F4) and* (G1)*–*(G3) *hold. Then, we have*

$$
\lim_{t \to -\infty} dist_{V_{\varrho}}(\tilde{\mathcal{A}}_d(t), \mathcal{A}_{\infty}) = 0.
$$
\n(64)

*Proof* If [\(64\)](#page-25-1) is false, then there are  $\delta > 0$  and  $t_n \to -\infty$  such that

$$
dist_{V_{\varrho}}(\tilde{\mathcal{A}}_d(t_n), \mathcal{A}_{\infty}) \geq 4\delta,
$$

which implies for each  $n \in \mathbb{N}$ , there exists a  $a_n \in \tilde{\mathcal{A}}_d(t_n)$  such that

$$
dist_{V_{\varrho}}(a_n, \mathcal{A}_{\infty}) \ge 3\delta. \tag{65}
$$

We assume that  $t_n \leq 0$  for all  $n \in \mathbb{N}$  due to  $t_n \to -\infty$ . Then, we have  $\{a_n\}_{n \in \mathbb{N}} \subset$  $\bigcup_{s \leq 0} A_d(s) := B$ . Since  $A_d$  is backward compact in  $V_g$ ,  $\bigcup_{s \leq 0} A_d(s)$  is compact and so *B* is bounded in  $V_\rho$ . It follows from  $\mathcal{A}_\infty$  is a  $(H_\rho, V_\rho)$ -global attractor and  $V_{\rho} \hookrightarrow H_{\rho}$  that there is a  $r := r(B) > 0$  such that

<span id="page-25-4"></span><span id="page-25-2"></span>
$$
dist_{V_{\varrho}}(T(r)B, \mathcal{A}_{\infty}) \le \delta. \tag{66}
$$

Moreover, there is a  $a_{\infty} \in B$  such that

$$
||a_n - a_\infty||_{V_{\varrho}} \to 0, \text{ as } n \to +\infty.
$$
 (67)

By the invariance of  $A_d$ , we find a  $b_n \in A_d(t_n - r) \subset B$  such that

<span id="page-25-3"></span>
$$
\tilde{S}(t_n, t_n - r)b_n = a_n. \tag{68}
$$

<span id="page-25-5"></span><span id="page-25-1"></span><span id="page-25-0"></span>

By the backward compactness of  $A_d$  in  $H_{\varrho}$ , there exists a  $b_{\infty} \in B$  such that

$$
||b_n - b_\infty||_{H_{\varrho}} \to 0, \text{ as } n \to +\infty.
$$

which together with  $(58)$  implies

$$
\|S(t_n-r+r,t_n-r)b_n-T(r)b_\infty\|_{H_{\varrho}}\to 0, \text{ as } n\to+\infty,
$$

which along with [\(67\)](#page-25-2) and [\(68\)](#page-25-3) implies  $a_{\infty} = T(r)b_{\infty}$ . Then by [\(66\)](#page-25-4) and (67), there exists  $N := N(\delta) \in \mathbb{N}$  such that for all  $n \geq N$ ,

$$
dist_{V_{\varrho}}(a_n, \mathcal{A}_{\infty}) \leq \|a_n - a_{\infty}\|_{V_{\varrho}} + dist_{V_{\varrho}}(T(r)B, \mathcal{A}_{\infty}) \leq 2\delta,
$$

which contradicts  $(65)$ . The proof is complete.

# **5 Upper Semicontinuity of Regular Pullback Attractors as the Delay time Tends to Zero**

In this section, we assume that  $\varrho \in (0, \varrho_0]$  for some  $\varrho_0 > 0$ . Let  $f(t, u_t) = f_1(u(t \rho^{\varrho}(t)$ ,  $x$ ) +  $\int_0^0$  $-\varrho$  $f_2(u(t + \theta), \theta)d\theta$  in [\(1\)](#page-1-0), and then applying the Leray orthogonal projection  $\tilde{P}$  to this result, we obtain

$$
\begin{cases}\n\frac{\partial u}{\partial t} + vAu + \tilde{P}(\alpha u + \beta |u|u + \gamma |u|^2 u) \\
= \tilde{P}(f_1(u(t - \rho^{\varrho}(t)), x) + \int_{- \varrho}^0 f_2(u(t + \theta), \theta) d\theta) + \tilde{P}g(t, x) \\
u(t_0 + \theta, x) = u_{t_0}(\theta, x) := \phi^{\varrho}(x), \ \theta \in [-\varrho, 0], \ t > t_0, \ x \in \Omega,\n\end{cases}
$$
\n(69)

where  $\rho^{\varrho}(\cdot)$  is a positive function such that  $\rho^{\varrho}(\cdot) \in C^1(\mathbb{R})$  and

$$
\varrho := \sup_{t \in \mathbb{R}} \rho^{\varrho}(t) < +\infty, \quad \rho_* := \sup_{\varrho \in (0,\varrho_0]} \sup_{t \in \mathbb{R}} \frac{d}{dt} \rho^{\varrho}(t) < 1. \tag{70}
$$

The

t variable delay  $f_1 : \mathbb{R} \times \Omega \to \mathbb{R}$  satisfies

$$
f_1(0, \cdot) = 0, \quad |f_1(s_1, x) - f_1(s_2, x)| \le L_{f_1}|s_1 - s_2|, \quad s_1, s_2 \in \mathbb{R}, \quad x \in \Omega. \tag{71}
$$

where  $L_{f_1}$  is a positive constant satisfies  $L_{f_1}^2 \leq \frac{\alpha^2 e^{-\alpha \varrho}}{24} (1 - \rho_*)$ . The *distributed delay*  $f_2 : [-\varrho, 0] \times \mathbb{R} \to \mathbb{R}$  satisfies

$$
f_2(0, \cdot) = 0, \quad |f_2(\theta, s_1) - f_2(\theta, s_2)| \le L_{f_2}(\theta)|s_1 - s_2|, \quad s_1, s_2 \in \mathbb{R}, \quad \theta \in [-\varrho, 0],\tag{72}
$$

<span id="page-26-2"></span><span id="page-26-1"></span> $\mathcal{D}$  Springer

<span id="page-26-0"></span>

where  $L_{f_2}(\cdot) \in L^2(-\varrho, 0)$  is a positive function satisfies  $\|L_{f_2}(\cdot)\|_{L^2(-\varrho, 0)}^2 < \frac{\alpha^2 e^{-\alpha \varrho}}{24\varrho}$ .

By the same method as in Sect. [3,](#page-5-0) we can obtain an evolution process  $S_0(\cdot, \cdot)$ induced by [\(69\)](#page-26-0) for each  $\rho \in (0, \rho_0]$ , which has a pullback  $\mathfrak{D}-(H_{\rho}, V_{\rho})$ -attractor  $\mathcal{A}_d^{\varrho} = {\mathcal{A}_d^{\varrho}(t) : t \in \mathbb{R}} \in \mathfrak{D}.$ 

In this section, we consider the robustness of  $A_d^{\rho}$  as  $\rho \to 0$ . For this purpose, let  $\rho = 0$  in [\(69\)](#page-26-0), we have

$$
\begin{cases} \frac{\partial u^0}{\partial t} + vAu^0 = \tilde{P}(-\alpha u^0 - \beta |u^0|u^0 - \gamma |u^0|^2 u^0) + \tilde{P}(f_1(u^0(t), x) + g(t, x)),\\ u(t_0, x) := \phi^0(x). \end{cases}
$$
(73)

Similarly, we get an evolution process  $S_0(\cdot, \cdot)$  corresponding to [\(73\)](#page-27-0), which has a pullback  $\mathfrak{D}_0$ - $(H_Q, V_Q)$ -attractor  $\mathcal{A}_d^0 = {\{\mathcal{A}_d^0(t) : t \in \mathbb{R}\}} \in \mathfrak{D}_0$ , where

$$
\mathfrak{D}_0 = \{\mathcal{D}_0 = \{\mathcal{D}_0(t) : t \in \mathbb{R}\} \subset \mathcal{P}(H) : \lim_{\tau \to +\infty} e^{-\beta \tau} ||\mathcal{D}_0(t - \tau)||^2 = 0, \ \ \beta > 0\}.
$$

### **5.1 Convergence of Evolution Processes from Delay to Non-Delay**

**Lemma 12** *Suppose*  $\phi^{\varrho} \in H_o$  *and*  $\phi^0 \in H$  *such that* 

<span id="page-27-1"></span><span id="page-27-0"></span>
$$
d_{\varrho}(\phi^{\varrho}, \phi^0) := \sup_{\theta \in [-\varrho, 0]} \|\phi^{\varrho}(\theta) - \phi^0\| \to 0 \text{ as } \varrho \to 0. \tag{74}
$$

*Then, the solution*  $u^{\varrho}$  *of Eq.*[\(69\)](#page-26-0) *converges to the solution*  $u^0$  *of Eq.*[\(73\)](#page-27-0) *in the following sense:*

<span id="page-27-2"></span>
$$
\lim_{\varrho \to 0} \sup_{\theta \in [-\varrho, 0]} \| (S_{\varrho}(t, t_0) \phi^{\varrho})(\theta) - S_0(t, t_0) \phi^0 \|^2
$$
\n
$$
= \lim_{\varrho \to 0} \sup_{\theta \in [-\varrho, 0]} \| u^{\varrho}(t + \theta; t_0, \phi^{\varrho}) - u^0(t; t_0, \phi^0) \|^2 = 0,
$$
\n(75)

*for all*  $t \geq t_0$  *and*  $t_0 \in \mathbb{R}$ *.* 

*Proof* Let

$$
\tilde{u}^{\varrho}_{\theta}(r) = u^{\varrho}(r+\theta; t_0, \phi^{\varrho}) - u^0(r; t_0, \phi^0), \ \ \forall t_0 \in \mathbb{R}, \ r \geq t_0.
$$

Subtracting [\(73\)](#page-27-0) from [\(69\)](#page-26-0), and then multiplying this result by  $\tilde{u}^{\rho}_{\theta}(r + \theta)$  in *H*, we have

$$
\frac{1}{2} \frac{d}{dr} \|\tilde{u}_{\theta}^{e}(r)\|^{2} + v \|A^{\frac{1}{2}} \tilde{u}_{\theta}^{e}(r)\|^{2} + \alpha \|\tilde{u}_{\theta}^{e}(r)\|^{2} \n+ (\beta(|u^{e}(r + \theta)) |u^{e}(r + \theta) - |u^{0}(r)| u^{0}(r)), \tilde{u}_{\theta}^{e}(r)) \n+ (\gamma(|u^{e}(r + \theta)|^{2} u^{e}(r + \theta) - |u^{0}(r)| u^{0}(r)), \tilde{u}_{\theta}^{e}(r)) \n= (f(u^{e}(r + \theta - \rho^{e}(r + \theta)), \cdot) - f(u^{0}(r), \cdot), \tilde{u}_{\theta}^{e}(r))
$$

$$
+\left(\int\limits_{-\varrho}^{0}f_2(u^{\varrho}(r+\theta+\tilde{\theta}),\tilde{\theta})d\tilde{\theta},\tilde{u}^{\varrho}_{\theta}(r)\right)+(g(r+\theta,\cdot)-g(r,\cdot),\tilde{u}^{\varrho}_{\theta}(r)).
$$

By  $(10)$ , we have

<span id="page-28-0"></span>
$$
(\beta(|u^{\varrho}(r+\theta)|u^{\varrho}(r+\theta) - |u^{0}(r)|u^{0}(r)), \tilde{u}^{\varrho}_{\theta}(r))
$$
  
+ 
$$
(\gamma(|u^{\varrho}(r+\theta)|^{2}u^{\varrho}(r+\theta) - |u^{0}(r)|u^{0}(r)), \tilde{u}^{\varrho}_{\theta}(r))
$$
  

$$
\geq \frac{\beta}{2}||u^{\varrho}(r+\theta) - u^{0}(r)||_{3}^{3} + \frac{\gamma}{4}||u^{\varrho}(r+\theta) - u^{0}(r)||_{4}^{4} \geq 0.
$$

Hence, by  $(71)$ ,  $(72)$  and the Young inequality, we have

$$
\frac{d}{dr} \|\tilde{u}_{\theta}^{\varrho}(r)\|^{2} \leq cL_{f_{1}}^{2} \|u^{\varrho}(r+\theta-\rho^{\varrho}(r+\theta)) - u^{0}(r)\|^{2}
$$
  
+  $c \|L_{f_{2}}(\cdot)\|_{L^{2}(-\varrho,0)}^{2} \int_{-\varrho}^{0} \|u^{\varrho}(r+\theta+\tilde{\theta})\|^{2} d\tilde{\theta} + c \|g(r+\theta) - g(r)\|^{2}.$  (76)

For each  $T > \varrho$ , integrating [\(76\)](#page-28-0) on  $[t_0 - \theta, t]$  with  $t \in [t_0 - \theta, t_0 + T]$  yields

$$
\|\tilde{u}_{\theta}^{\varrho}(t)\|^{2} \leq \|\tilde{u}_{\theta}^{\varrho}(t_{0} - \theta)\|^{2} + c \int_{t_{0} - \theta}^{t} \|u^{\varrho}(r + \theta - \rho^{\varrho}(r + \theta)) - u^{0}(r)\|^{2} dr
$$
  
+  $c \|L_{f_{2}}(\cdot)\|_{L^{2}(-\varrho, 0)}^{2} \int_{t_{0} - \theta}^{t} \int_{-\varrho}^{0} \|u^{\varrho}(r + \theta + \tilde{\theta})\|^{2} d\tilde{\theta} dr$   
+  $c \int_{t_{0} - \theta}^{t} \|g(r + \theta) - g(r)\|^{2} dr.$  (77)

We now estimate each term on the right-hand side of  $(77)$ . For the first term, we have

<span id="page-28-1"></span>
$$
\begin{aligned} \|\tilde{u}_{\theta}^{\varrho}(t_{0}-\theta)\|^{2} &\leq 2\|\phi^{\varrho}(0)-\phi^{0}\|^{2}+2\|\phi^{0}-u^{0}(t_{0}-\theta)\|^{2} \\ &\leq 2d_{\varrho}^{2}(\phi^{\varrho},\phi^{0})+2\|\phi^{0}-u^{0}(t_{0}-\theta)\|^{2}.\end{aligned}
$$

For the second term, let  $s = h(r) = r + \theta - \rho^{\rho}(r + \theta)$  for any  $r \in \mathbb{R}$  and fixed  $\theta \in [-\varrho, 0]$ . Since  $h'(r) \geq 1 - \rho_* > 0$ , it has an inverse function such that  $r = h^{-1}(s)$ for any  $s \in \mathbb{R}$ . Then, we obtain

$$
\int_{t_0-\theta}^t \|u^{\rho}(r+\theta-\rho^{\rho}(r+\theta)) - u^0(r)\|^2 dr
$$

$$
= \left(\int_{t_0-\theta}^{h^{-1}(t_0)} + \int_{h^{-1}(t_0)}^t \right) \|u^{\varrho}(r+\theta-\rho^{\varrho}(r+\theta)) - u^0(r)\|^2 dr
$$
  
\n
$$
= \int_{t_0-\theta}^{h^{-1}(t_0)} \|u^{\varrho}(r+\theta-\rho^{\varrho}(r+\theta)) - u^0(r)\|^2 dr
$$
  
\n
$$
+ \int_{t_0-\theta}^{t-\rho^{\varrho}(t+\theta)} \frac{\|u^{\varrho}(r+\theta) - u^0(h^{-1}(r+\theta))\|^2}{1 - \frac{d}{dr}\rho^{\varrho}(h^{-1}(r+\theta) + \theta} dr =: I_1(\varrho) + I_2(t, \varrho).
$$

Note that

$$
I_{1}(Q) \leq 2 \int_{t_{0}-\theta}^{h^{-1}(t_{0})} \|u^{\varrho}(r+\theta-\rho^{\varrho}(r+\theta)) - \phi^{0}\|^{2} dr + 2 \int_{t_{0}-\theta}^{h^{-1}(t_{0})} \|u^{0}(r)-\phi^{0}\|^{2} dr
$$
  

$$
\leq \frac{2}{1-\rho_{*}} \int_{t_{0}-\rho^{\varrho}(t_{0})}^{t_{0}} \|u^{\varrho}(r)-\phi^{0}\|^{2} dr + 2 \int_{t_{0}-\theta}^{h^{-1}(t_{0})} \|u^{0}(r)-\phi^{0}\|^{2} dr
$$
  

$$
\leq c d_{\varrho}^{2}(\phi^{\varrho}, \phi^{0}) + c \int_{t_{0}-\theta}^{h^{-1}(t_{0})} \|u^{0}(r)-\phi^{0}\|^{2} dr,
$$

and

$$
I_2(t, \varrho) \leq \frac{1}{1 - \rho_*} \int_{t_0 - \theta}^t \|u^{\varrho}(r + \theta) - u^0(h^{-1}(r + \theta))\|^2 dr
$$
  
\n
$$
\leq \frac{2}{1 - \rho_*} \int_{t_0 - \theta}^t \|u^{\varrho}(r + \theta) - u^0(r)\|^2 dr
$$
  
\n
$$
+ \frac{2}{1 - \rho_*} \int_{t_0 - \theta}^t \|u^0(h^{-1}(r + \theta)) - u^0(r)\|^2 dr
$$
  
\n
$$
\leq c \int_{t_0 - \theta}^t \|\tilde{u}^{\varrho}_{\theta}(r)\|^2 dr + c \int_{t_0 - \theta}^t \|u^0(h^{-1}(r + \theta)) - u^0(r)\|^2 dr.
$$

 $\mathcal{D}$  Springer

### Hence, we obtain

$$
\int_{t_0-\theta}^t \|u^{\varrho}(r+\theta-\rho^{\varrho}(r+\theta)) - u^0(r)\|^2 dr
$$
\n
$$
\leq c d_{\varrho}^2(\phi^{\varrho}, \phi^0) + c \int_{t_0-\theta}^{h^{-1}(t_0)} \|u^0(r) - \phi^0\|^2 dr + c \int_{t_0-\theta}^t \|\tilde{u}_{\theta}^{\varrho}(r)\|^2 dr
$$
\n
$$
+ c \int_{t_0-\theta}^t \|u^0(h^{-1}(r+\theta)) - u^0(r)\|^2 dr.
$$

For the third term, we obtain

$$
\int_{t_0-\theta}^{t} \int_{-\theta}^{0} \|u^{\varrho}(r+\theta+\tilde{\theta})\|^2 d\tilde{\theta} dr \leq \int_{-\varrho}^{0} \int_{t_0-\theta+\tilde{\theta}}^{t} \|u^{\varrho}(r+\theta)\|^2 d\tilde{\theta} dr
$$
\n
$$
\leq \varrho \int_{t_0-\theta-\varrho}^{t_0-\theta} \|u^{\varrho}(r+\theta)\|^2 dr + \varrho \int_{t_0-\theta}^{t} \|u^{\varrho}(r+\theta)\|^2 dr
$$
\n
$$
\leq \varrho^2 \|\phi^{\varrho}\|_{H_{\varrho}}^2 + 2\varrho \int_{t_0-\theta}^{t} \|\tilde{u}^{\varrho}_{\theta}(r)\|^2 dr + 2\varrho \int_{t_0-\theta}^{t} \|u^0(r)\|^2 dr
$$
\n
$$
\leq 2\varrho^2 d_{\varrho}^2(\phi^{\varrho}, \phi^0) + 2\varrho^2 \|\phi^0\|^2 + 2\varrho \int_{t_0-\theta}^{t} \|\tilde{u}^{\varrho}_{\theta}(r)\|^2 dr + 2\varrho \int_{t_0}^{t_0+T} \|u^0(r)\|^2 dr.
$$

Hence, we obtain

<span id="page-30-0"></span>
$$
\begin{split} \|\tilde{u}_{\theta}^{\varrho}(t)\|^{2} &\leq c(1+\varrho\|L_{f_{2}}(\cdot)\|_{L^{2}(-\varrho,0)}^{2}) \int_{t_{0}-\theta}^{t} \|\tilde{u}_{\theta}^{\varrho}(r)\|^{2} dr \\ &+ c(1+\varrho^{2}\|L_{f_{2}}(\cdot)\|_{L^{2}(-\varrho,0)}^{2}) d_{\varrho}^{2}(\phi^{\varrho},\phi^{0}) + c\varrho^{2}\|L_{f_{2}}(\cdot)\|_{L^{2}(-\varrho,0)}^{2} \|\phi^{0}\|^{2} \\ &+ c \int_{t_{0}}^{t_{0}+2\varrho} \|u^{0}(r)-\phi^{0}\|^{2} dr + c \int_{t_{0}}^{t_{0}+T} \|u^{0}(h^{-1}(r+\theta)) - u^{0}(r)\|^{2} dr \\ &+ c\|\phi^{0} - u^{0}(t_{0}-\theta)\|^{2} + c\varrho\|L_{f_{2}}(\cdot)\|_{L^{2}(-\varrho,0)}^{2} \int_{t_{0}}^{t_{0}+T} \|u^{0}(r)\|^{2} dr \end{split}
$$

$$
+ c \int_{t_0}^{t_0+T} \|g(r+\theta) - g(r)\|^2 dr,
$$
\n(78)

where we use  $h^{-1}(t_0) \le t_0 + 2\rho$ . Applying the Gronwall inequality to [\(78\)](#page-30-0) yields

<span id="page-31-0"></span>
$$
\|\tilde{u}_{\theta}^{\varrho}(t+\theta)\|^{2} \leq I_{3}(\varrho)((1+\varrho^{2}||L_{f_{2}}(\cdot)||_{L^{2}(-\varrho,0)})d_{\varrho}^{2}(\phi^{\varrho},\phi^{0}) + \varrho^{2}||\phi^{0}||^{2})
$$
  
+  $I_{3}(\varrho)\left(\int_{t_{0}}^{t_{0}+2\varrho}||u^{0}(r)-\phi^{0}||^{2}dr + \int_{t_{0}}^{t_{0}+T}||u^{0}(h^{-1}(r+\theta)) - u^{0}(r)||^{2}dr\right)$   
+  $I_{3}(\varrho)(\|\phi^{0} - u^{0}(t_{0} - \theta)\|^{2} + \varrho||L_{f_{2}}(\cdot)||_{L^{2}(-\varrho,0)}^{2} \int_{t_{0}}^{t_{0}+T}||u^{0}(r)||^{2}dr)$   
+  $I_{3}(\varrho)\left(\int_{t_{0}}^{t_{0}+T}||g(r+\theta) - g(r)||^{2}dr\right),$  (79)

where  $I_3(\varrho) = c e^{c(1+\varrho||L_{f_2}(\cdot)||_{L^2(-\varrho,0)}^2)(T+\varrho)}$ . We now treat the limit of each term on the right-hand side of [\(79\)](#page-31-0) as  $\rho \to 0$ . For the first term and third term, by [\(74\)](#page-27-1), we have

<span id="page-31-1"></span>
$$
I_3(\rho)((1+\rho^2)d_\rho^2(\phi^\rho, \phi^0) + \rho^2 \|\phi^0\|^2) \to 0
$$
, as  $\rho \to 0$ . (80)

For the second term and forth term, it follows from the continuity of  $u^0(\cdot)$  at  $t_0$  that

$$
I_3(\varrho) \left( \int_{t_0}^{t_0+2\varrho} \|u^0(r) - \phi^0\|^2 dr + \|\phi^0 - u^0(t_0 - \theta)\|^2 \right) \to 0, \text{ as } \varrho \to 0. \quad (81)
$$

For the fifth term, by  $u^0$ :  $[t_0, t_0 + T + \varrho]$  is uniform continuity, we obtain

$$
I_3(\varrho) \int\limits_{t_0}^{t_0+T} \|u^0(h^{-1}(r+\theta)) - u^0(r)\|^2 dr \to 0, \quad \text{as } \varrho \to 0,
$$
 (82)

where we use  $h^{-1}(r + \theta) = r + \rho^{\rho}(h^{-1}(r + \theta) + \theta)$ . For the sixth term, by the same method as in Lemma [2,](#page-6-4) we obtain  $t_0+T$  $\sqrt{2}$  $\int_{t_0}^{\infty}$   $||u^0(r)||^2 dr$  is finite and so

$$
I_3(\varrho)\varrho \|L_{f_2}(\cdot)\|_{L^2(-\varrho,0)}^2 \int\limits_{t_0}^{t_0+T} \|u^0(r)\|^2 dr \to 0, \quad \text{as } \varrho \to 0.
$$
 (83)

For the last term, we infer from  $g \in L^2_{loc}(\mathbb{R}, H)$  and  $\theta \in [-\varrho, 0]$  that

<span id="page-32-0"></span>
$$
\lim_{\rho \to 0} I_3(\rho) \int_{t_0}^{t_0+T} ||g(r+\theta) - g(r)||^2 dr = 0.
$$
 (84)

Substituting  $(80)$ – $(84)$  into  $(79)$ , we find

$$
\|\tilde{u}^{\varrho}_{\theta}(t)\|^{2} \to 0, \quad \text{as } \varrho \to 0, \text{ for all } \theta \in [-\varrho, 0] \text{ and } t \in [t_{0} - \theta, t_{0} + T]. \tag{85}
$$

We now consider the case of  $t \in [t_0, t_0 - \theta]$ .

$$
\|\tilde{u}_{\theta}^{\varrho}(t)\|^{2} = \|u^{\varrho}(t+\theta) - u^{0}(t)\|^{2} \le 2d_{\varrho}^{2}(\phi^{\varrho}, \phi^{0}) + 2\|u^{0}(t) - \phi^{0}\|^{2}.
$$

By the continuity of  $u^0(\cdot)$  at  $t_0$  again, we have

$$
\|\tilde{u}_{\theta}^{\varrho}(t)\|^2 \to 0, \quad \text{as } \varrho \to 0. \tag{86}
$$

It follows from  $(85)$  and  $(86)$  that

$$
\lim_{\varrho \to 0} \sup_{\theta \in [-\varrho,0]} \|u^{\varrho}(t+\theta; t_0, \phi^{\varrho}) - u^0(t; t_0, \phi^0)\|^2 = 0, \ \forall t \in [t_0, t_0 + T].
$$

The proof is complete.

## **5.2 Upper Semi-Convergence of Regular Pullback Attractors from Delay to Non-Delay**

<span id="page-32-5"></span>We first prove the eventually compactness of pullback attractors.

**Lemma 13** *Let*  $\vartheta_n \in \mathcal{A}_{d}^{\varrho_n}(t)$  *with*  $\varrho_n \to 0$  *and*  $t \in \mathbb{R}$ *, then there are*  $\vartheta \in V$  *and an index subsequence of* {*n*∗} *of* {*n*} *such that*

$$
d_{\varrho_{n^*}}(\vartheta_{n^*}, \vartheta) := \sup_{\theta \in [-\varrho_{n^*}, 0]} \|\vartheta_{n^*}(\theta) - \vartheta\|_V \to 0 \text{ as } n^* \to \infty. \tag{87}
$$

*Proof* By the invariance of  $\mathcal{A}_{d}^{\varrho_{n}}(\cdot)$ , there exists a  $\tilde{\vartheta}_{n} \in \mathcal{A}_{d}^{\varrho_{n}}(t-\tau_{n})$  with  $\tau_{n} \to +\infty$ such that

$$
\vartheta_n = S_{\varrho_n}(t, t - \tau_n) \tilde{\vartheta}_n. \tag{88}
$$

Since  $A_d^{\varrho_n} \in \mathfrak{D}$ , by the same method as in *Step 1* of Theorem [4,](#page-21-0) there exists  $\delta > 0$ with  $|\theta_1 - \theta_2| \le \delta$  such that for any  $\varepsilon > 0$ ,

$$
\|(S_{\varrho_{n^*}}(t,t-\tau_{n^*})\tilde{\vartheta}_{n^*})(\theta_1)-(S_{\varrho_{n^*}}(t,t-\tau_{n^*})\tilde{\vartheta}_{n^*})(\theta_2)\|_V<\varepsilon.
$$

<span id="page-32-4"></span><span id="page-32-3"></span><span id="page-32-2"></span><span id="page-32-1"></span>

Since  $\rho_{n^*} \to 0$  as  $n^* \to +\infty$ , there exists a  $N_1 \in \mathbb{N}$  such that  $\rho_{n^*} < \delta$  for all  $n^* \ge N_1$ . Then, we have

$$
\| (S_{\varrho_{n^*}}(t, t - \tau_{n^*}) \tilde{\vartheta}_{n^*})(\theta) - (S_{\varrho_{n^*}}(t, t - \tau_{n^*}) \tilde{\vartheta}_{n^*})(0) \|_V < \varepsilon,
$$
 (89)

for all  $n^* > N_1$  and  $\theta \in [-\rho_{n^*}, 0]$ . On the other hand, by the same method as in *Step 2* of Theorem [4,](#page-21-0) we obtain that  $\{(S_{\rho_n}(t, t - \tau_n)\phi_n)(0)\}_{n \in \mathbb{N}}$  is pre-compact in *V*. Then, there exist a  $\vartheta \in V$  and an index subsequence of  $\{n^*\}$  of  $\{n\}$  such that

$$
\|(S_{\varrho_n*}(t,t-\tau_{n^*})\tilde{\vartheta}_{n^*})(0)-\vartheta\|_V\to 0,\text{ as }n^*\to+\infty.
$$
 (90)

It follows from [\(88\)](#page-32-3) to [\(90\)](#page-33-0) that there exists a  $N_2 \geq N_1$  such that

$$
\begin{aligned} \|\vartheta_{n^*}(\theta) - \vartheta\|_V &= \|(S_{\varrho_{n^*}}(t, t - \tau_{n^*})\vartheta_{n^*})(\theta) - \vartheta\|_V \\ &\le \|(S_{\varrho_{n^*}}(t, t - \tau_{n^*})\tilde{\vartheta}_{n^*})(\theta) - (S_{\varrho_{n^*}}(t, t - \tau_{n^*})\tilde{\vartheta}_{n^*})(0)\|_V \\ &+ \|(S_{\varrho_{n^*}}(t, t - \tau_{n^*})\tilde{\vartheta}_{n^*})(0) - \vartheta\|_V < 2\varepsilon, \end{aligned}
$$

for all  $n^* \ge N_2$  and  $\theta \in [-\varrho_{n^*}, 0]$ , which proves [\(87\)](#page-32-4) as desired.

Next, we show the recurrence of absorbing sets. Similar to Lemma [4,](#page-10-1) for each  $\varrho \in (0, \varrho_0]$ , we obtain that the evolution process  $S_{\varrho}(\cdot, \cdot)$  associated with [\(69\)](#page-26-0) has a pullback  $\mathfrak{D}$ -absorbing set  $\mathcal{K}_d = \{ \mathcal{K}_d(t) : t \in \mathbb{R} \} \in \mathfrak{D}$ , defined by

$$
\mathcal{K}_d^{\varrho}(t) = \{ \vartheta \in H_{\varrho} : \|\vartheta\|_{H_{\varrho}}^2 \le ce^{2\alpha(\varrho+2)} (1 + G_d(t)) \}.
$$

Define a new non-autonomous set  $K^0 = \{K^0(t) : t \in \mathbb{R}\}\$ 

$$
\mathcal{K}^0(t) = \{ \vartheta \in H : ||\vartheta||^2 \le ce^{2\alpha(\varrho_0 + 2)} (1 + G_d(t)) \}.
$$

Similar to [\(34\)](#page-10-2), we get  $K^0 \in \mathfrak{D}_0$ . Observe that

<span id="page-33-1"></span>
$$
\limsup_{\varrho \to 0} \|\mathcal{K}_d^{\varrho}(t)\|_{H_{\varrho}}^2 \le \|\mathcal{K}^0(t)\|^2. \tag{91}
$$

Now, we state the main result of this section.

**Theorem 6** *Suppose that* [\(71\)](#page-26-1)*,* [\(72\)](#page-26-2) *and* (G1) *hold. Then, we have, for each*  $t \in \mathbb{R}$ *,* 

$$
dist_{(V_{\varrho}, V)}\left(\mathcal{A}_{d}^{\varrho}(t), \mathcal{A}_{d}^{0}(t)\right)
$$
  
= 
$$
\sup_{a \in \mathcal{A}_{d}^{\varrho}(t)} \inf_{b \in \mathcal{A}_{d}^{0}(t)} \sup_{\theta \in [-\varrho, 0]} ||a(\theta) - b||_{V} \to 0 \text{ as } \varrho \to 0.
$$
 (92)

*Proof* Suppose that [\(92\)](#page-33-1) is not true, then there exist  $\delta > 0$  and  $\rho_n \to 0$  such that

$$
dist_{(V_{\varrho_n}, V)}(\mathcal{A}_{d}^{\varrho_n}(t), \mathcal{A}_{d}^{0}(t)) \geq 4\delta, \ \forall n \in \mathbb{N},
$$

<span id="page-33-2"></span><span id="page-33-0"></span>
$$
\Box
$$

which implies for each *n*  $\in \mathbb{N}$ , there is a  $a_n \in \mathcal{A}_{d}^{\varrho_n}(t)$  such that

<span id="page-34-3"></span>
$$
dist_{(V_{\varrho_n}, V)}(a_n, \mathcal{A}_d^0(t)) \ge 4\delta, \ \forall n \in \mathbb{N}.
$$

By  $(87)$  in Lemma [13,](#page-32-5) there exist a subsequence (still denoted by  $a_n$ ) and an element  $a_0 \in V$  such that

<span id="page-34-0"></span>
$$
\lim_{n \to +\infty} \sup_{\theta \in [-\varrho_n, 0]} \|a_n(\theta) - a_0\|_V = 0.
$$
\n(94)

We claim  $a_0 \in A_d^0(t)$ . Indeed, by the invariance of  $A_d^{\varrho_n}$ , there exists a  $a_n^k \in A_d^{\varrho_n}(t-\tau_k)$ such that

<span id="page-34-2"></span><span id="page-34-1"></span>
$$
a_n = S_{\varrho_n}(t, t - \tau_k) a_n^k, \quad \forall n, k \in \mathbb{N}, \tag{95}
$$

where  $\tau_k \to +\infty$  as  $k \to +\infty$ . Similar to [\(94\)](#page-34-0), there exist a subsequence (denote by itself) of  $a_n^k$  and an element  $a_0^k \in V$  such that  $d_{\varrho_n}(a_n^k, a_0^k) \to 0$  as  $n \to \infty$ , that is,

$$
\lim_{n \to +\infty} \sup_{\theta \in [-\varrho_n, 0]} \|a_n^k(\theta) - a_0^k\|_V = 0, \ \forall k \in \mathbb{N},\tag{96}
$$

which combine with  $(75)$  implies

$$
\lim_{n \to +\infty} \sup_{\theta \in [-\varrho_n, 0]} \| (S_{\varrho_n}(t, t - \tau_k) a_n^k)(\theta) - S_0(t, t - \tau_k) a_0^k \| = 0.
$$

Hence, by  $(94)$  and  $(95)$ , we obtain

$$
a_0 = S_0(t, t - \tau_k) a_0^k, \ \forall k \in \mathbb{N}.
$$
\n
$$
(97)
$$

By the invariance of  $\mathcal{A}_{d}^{\varrho_n}$  again, when  $t_0$  small enough, we obtain for all  $t \in \mathbb{R}$ 

$$
\mathcal{A}_{d}^{\varrho_n}(t)=S_{\varrho}(t,t_0)\mathcal{A}_{d}^{\varrho_n}(t_0)\subset\mathcal{K}_{d}^{\varrho_n}(t).
$$

Then, we have  $a_n^k \in \mathcal{A}_d^{\varrho_n}(t - \tau_k) \subset \mathcal{K}_d^{\varrho_n}(t - \tau_k)$ . It follows from [\(91\)](#page-33-2) and [\(96\)](#page-34-2) that  $a_0^k \in \mathcal{K}^0(t - \tau_k) \in \mathfrak{D}_0$ . Since  $\mathcal{A}_d^0$  is a pullback  $\mathfrak{D}_0$ -attracting set, we obtain

$$
dist_V(a_0, \mathcal{A}_d^0(t)) \leq dist_V(S_0(t, t - \tau_k)a_0^k, \mathcal{A}_d^0(t))
$$
  
\n
$$
\leq dist_V(S_0(t, t - \tau_k))\mathcal{K}^0(t - \tau_k), \mathcal{A}_d^0(t)) \to 0, \text{ as } k \to +\infty,
$$

which implies  $a_0 \in \mathcal{A}_d^0(t)$ . By [\(94\)](#page-34-0), we have

$$
dist_{(V_{\varrho_n}, V)}(a_n, \mathcal{A}_{d}^{0}(t)) \leq \sup_{\theta \in [-\varrho_n, 0]} \|a_n(\theta) - a_0\|_{V} + dist_{V}(a_0, \mathcal{A}_{0}(t)) \to 0,
$$

as  $n \to +\infty$ , which contradicts with [\(93\)](#page-34-3). The proof is complete.

## **References**

- <span id="page-35-9"></span>1. Caraballo, T., Real, J.: Asymptotic behaviour of two-dimensional Navier–Stokes equations with delays. Proc. R. Soc. Lond. Ser. A Math. Phys. Eng. Sci. **459**, 3181–3194 (2003)
- 2. Caraballo, T., Real, J.: Attractors for 2D-Navier–Stokes models with delays. J. Differ. Equ. **205**, 271– 297 (2004)
- <span id="page-35-10"></span>3. Caraballo, T., Márquez-Durán, A.M., Real, J.: Three-dimensional system of globally modified Navier– Stokes equations with delay. Int. J. Bifur. Chaos Appl. Sci. Eng. **20**, 2869–2883 (2010)
- <span id="page-35-0"></span>4. Carvalho, A.N., Langa, J.A., Robinson, J.C.: Attractors for Infinite-Dimensional Non-autonomous Dynamical Systems. Applied Mathematical Sciences, vol. 182. Springer, New York (2013)
- <span id="page-35-21"></span>5. Chekroun, M., Glatt-Holtz, N.E.: Invariant measures for dissipative dynamical systems: abstract results and applications. Commun. Math. Phys. **316**, 723–761 (2012)
- <span id="page-35-1"></span>6. Chepyzhov, V.V., Vishik, M.I.: Attractors for Equations of Mathematical Physics. Colloquium Publications, vol. 49. American Mathematical Society, Providence (2002)
- <span id="page-35-3"></span>7. Cui, H., Langa, J.A., Li, Y.: Regularity and structure of pullback attractors for reaction–diffusion type systems without uniqueness. Nonlinear Anal. **140**, 208–235 (2016)
- <span id="page-35-23"></span>8. Cui, H.: Convergences of asymptotically autonomous pullback attractors towards semigroup attractors. Discrete Contin. Dyn. Syst. Ser. B **24**, 3525–3535 (2019)
- <span id="page-35-4"></span>9. Cui, H., Kloeden, P.E.: Tail convergences of pullback attractors for asymptotically converging multivalued dynamical systems. Asymptot. Anal. **112**, 165–184 (2019)
- <span id="page-35-20"></span>10. Foias, C., Manley, O., Rosa, R., Temam, R.: Navier–Stokes Equations and Turbulence. Cambridge University Press, Cambridge (2001)
- <span id="page-35-11"></span>11. García-Luengo, J., Marín-Rubio, P., Real, J.: Pullback attractors for 2D Navier–Stokes equations with delays and their regularity. Adv. Nonlinear Stud. **13**, 331–357 (2013)
- <span id="page-35-14"></span>12. Gilver, R.C., Altobelli, S.A.: A determination of effective viscosity for the Brinkman–Forchheimer flow model. J. Fluid Mech. **370**, 258–355 (1994)
- <span id="page-35-15"></span>13. Kalantarov, V., Zelik, S.: Smooth attractors for the Brinkman–Forchheimer equations with fast growing nonlinearities. Commun. Pure Appl. Anal. **18**, 2037–2054 (2012)
- <span id="page-35-16"></span>14. Kalantarov, V., Zelik, S.: Asymptotic regularity and attractors for slightly compressible Brinkman– Forchheimer equations. Appl. Math. Optim. **84**, 3137–3171 (2021)
- <span id="page-35-17"></span>15. Kang, J.R., Park, J.Y.: Uniform attractors for non-autonomous Brinkman–Forchheimer equations with delay. Acta Math. Sin. **29**, 993–1006 (2013)
- <span id="page-35-12"></span>16. Kloeden, P.E.: Upper semi continuity of attractors of retarded delay differential equations in the delay. Bull. Aust. Math. Soc. **73**, 299–306 (2006)
- <span id="page-35-2"></span>17. Kloeden, P.E., Rasmussen, M.: Nonautonomous Dynamical Systems. American Mathematical Society, Providence (2011)
- <span id="page-35-5"></span>18. Kloeden, P.E., Simsen, J.: Attractors of asymptotically autonomous quasi-linear parabolic equation with spatially variable exponents. J. Math. Anal. Appl. **425**, 911–918 (2015)
- <span id="page-35-6"></span>19. Kloeden, P.E., Simsen, J., Simsen, M.S.: Asymptotically autonomous multivalued Cauchy problems with spatially variable exponents. J. Math. Anal. Appl. **445**, 513–531 (2017)
- <span id="page-35-18"></span>20. Li, L., Yang, X., Li, X., Yan, X., Lu, Y.: Dynamics and stability of the 3D Brinkman–Forchheimer equation with variable delay (I). Asymptot. Anal. **113**, 167–194 (2019)
- <span id="page-35-7"></span>21. Li, Y., Wang, R., Yin, J.: Backward compact attractors for non-autonomous Benjamin–Bona–Mahony equations on unbounded channels. Discrete Contin. Dyn. Syst. Ser. B **22**, 2569–2586 (2017)
- <span id="page-35-24"></span>22. Li, Y., She, L., Wang, R.: Asymptotically autonomous dynamics for parabolic equation. J. Math. Anal. Appl. **459**, 1106–1123 (2018)
- <span id="page-35-8"></span>23. Li, Y., She, L., Yin, J.: Equi-attraction and backward compactness of pullback attractors for pointdissipative Ginzburg–Landau equations. Acta Math. Sci. **38**, 591–609 (2018)
- <span id="page-35-13"></span>24. Li, Y., Zhang, Q.: Backward stability and divided invariance of an attractor for the delayed Navier– Stokes equation. Taiwan. J. Math. **24**, 575–601 (2020)
- <span id="page-35-19"></span>25. Liu, W., Yang, R., Yang, X.: Dynamics of a 3D Brinkman–Forchheimer equation with infinite delay. Commun. Pure Appl. Anal. **20**, 1907–1930 (2021)
- <span id="page-35-22"></span>26. Łukaszewicz, G.: Pullback attractors and statistical solutions for 2-D Navier–Stokes equations. Discrete Cont. Dyn. Ser. B **9**, 643–659 (2008)
- 27. Łukaszewicz, G., Real, J., Robinson, J.C.: Invariant measures for dissipative dynamical systems and generalised Banach limits. J. Dyn. Differ. Equ. **23**, 225–250 (2011)
- <span id="page-36-13"></span>28. Łukaszewicz, G., Robinson, J.C.: Invariant measures for non-autonomous dissipative dynamical systems. Discrete Cont. Dyn. Syst. **34**, 4211–4222 (2014)
- <span id="page-36-5"></span>29. Nield, D.A.: The limitations of the Brinkman–Forchheimer equation in modeling flow in a saturated porous medium and at an interface. Int. J. Heat Fluid Flow **12**, 269–272 (1991)
- <span id="page-36-0"></span>30. Robinson, J.C.: Infinite-Dimensional Dynamical Systems: An Introduction to Dissipative Parabolic PDEs and the Theory of Global Attractors. Cambridge University Press, Cambridge (2001)
- <span id="page-36-1"></span>31. Temam, R.: Infinite-Dimensional Dynamical Systems in Mechanics and Physics. Springer, New York (1997)
- <span id="page-36-6"></span>32. Uğurlu, D.: On the existence of a global attractor for the Brinkman–Forchheimer equations. Nonlinear Anal. **68**, 1986–1992 (2008)
- <span id="page-36-7"></span>33. Wang, B., Lin, S.: Existence of global attractors for the three-dimensional Brinkman–Forchheimer equation. Math. Methods Appl. Sci. **31**, 1479–1495 (2008)
- <span id="page-36-3"></span>34. Wang, X., Lu, K., Wang, B.: Random attractors for delay parabolic equations with additive noise and deterministic nonautonomous forcing. SIAM J. Appl. Dyn. Syst. **14**, 1018–1047 (2015)
- <span id="page-36-14"></span>35. Wang, X.: Upper-semicontinuity of stationary statistical properties of dissipative systems. Discrete Cont. Dyn. Syst. **23**, 521–540 (2009)
- <span id="page-36-8"></span>36. Yan, O., Yang, L.: A note on the existence of a global attractor for the Brinkman–Forchheimer equations. Nonlinear Anal. **70**, 2054–2059 (2009)
- <span id="page-36-12"></span>37. Yang, X., Li, L., Yan, X., Ding, L.: The structure and stability of pullback attractors for 3D Brinkman– Forchheimer equation with delay. Elect. Res. Arch. **28**, 1529–1544 (2020)
- <span id="page-36-2"></span>38. Yin, J., Gu, A., Li, Y.: Backwards compact attractors for non-autonomous damped 3D Navier–Stokes equations. Dyn. Part. Differ. Equ. **14**, 201–218 (2017)
- <span id="page-36-9"></span>39. You, Y., Zhao, C., Zhou, S.: The existence of uniform attractors for 3D Brinkman–Forchheimer equations. Discrete Contin. Dyn. Syst. **32**, 3787–3800 (2012)
- <span id="page-36-4"></span>40. Zhang, Q., Li, Y.: Backward controller of a pullback attractor for delay Benjamin–Bona–Mahony equations. J. Dyn. Control Syst. **26**, 423–441 (2020)
- <span id="page-36-15"></span>41. Zhao, C., Zhou, S.: Attractors of retarded first order lattice systems. Nonlinearity **20**, 1987–2006 (2007)
- <span id="page-36-10"></span>42. Zhao, C., You, Yun: Approximation of the incompressible convective Brinkman–Forchheimer equations. J. Evol. Equ. **12**, 767–788 (2012)
- <span id="page-36-11"></span>43. Zhao, C., Kong, L., Liu, G., Zhao,M.: The trajectory attractor and its limiting behavior for the convective Brinkman–Forchheimer equations. Topol. Methods Nonlinear Anal. **44**, 413–433 (2014)

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.