



# Relaxed DP-3-Coloring of Planar Graphs Without Some Cycles

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## Abstract

Dvořák and Postle introduced the concept of DP-coloring to overcome some difficulties in list coloring. Sittitrai and Nakprasit combined DP-coloring and defective list coloring to define a new coloring—relaxed DP-coloring. For relaxed DP-coloring, Sribunhung et al. proved that planar graphs without 4- and 7-cycles are DP-(0, 2, 2)-colorable. Li et al. proved that planar graphs without 4, 8-cycles or 4, 9-cycles are DP-(1, 1, 1)-colorable. Lu and Zhu proved that planar graphs without 4, 5-cycles, or 4, 6-cycles, or 4, 7-cycles are DP-(1, 1, 1)-colorable. In this paper, we show that planar graphs without 4, 6-cycles or 4, 8-cycles are DP-(0, 2, 2)-colorable.

**Keywords** DP-coloring · Defective coloring · List coloring · Relaxed-DP-coloring

**Mathematics Subject Classification** 05C15

## 1 Introduction

All graphs in this paper are simple and undirected. Assume  $G$  is a plane graph, we use  $V(G)$ ,  $E(G)$ ,  $F(G)$ , and  $\delta(G)$  to denote its vertex set, edge set, face set, and minimum degree in the graph  $G$ , respectively. We use  $d(x)$  to denote the degree of  $x$  for each  $x \in V(G) \cup F(G)$ . We say that  $u$  is a  $d$ -vertex,  $d^+$ -vertex, or  $d^-$ -vertex if  $d(u) = d$ ,  $d(u) \geq d$ , or  $d(u) \leq d$ , respectively. Let  $b(f)$  be the boundary of a face  $f$  and write  $f = [v_1 v_2 \dots v_d]$ , where  $v_1, v_2, \dots, v_d$  are the boundary vertices of  $f$  in a cyclic order. If  $d(f) = k$  ( $d(f) \geq k$  or  $d(f) \leq k$ ), then we call  $f$  a  $k$ -face ( $k^+$ -face or  $k^-$ -face) of  $G$ . A face is called a *simple face* if its boundary is a cycle. A cycle of

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length  $k$  is called a  $k$ -cycle, and a 3-cycle is usually called as a triangle. Two cycles or faces are *adjacent* if they share at least one edge, or their boundaries share at least one edge, respectively. Two adjacent cycles (or faces)  $C_1$  and  $C_2$  are *normally adjacent* if  $|V(C_1) \cap V(C_2)| = 2$ .

We say that  $L$  is a  $k$ -list assignment for a graph  $G$  if it assigns a list  $L(v)$  to each vertex  $v$  of  $G$  with  $|L(v)| \geq k$ . If  $G$  has a proper coloring  $\phi$  such that  $\phi(v) \in L(v)$  for each vertex  $v$ , then we say that  $G$  is  $L$ -colorable. A graph  $G$  is  $k$ -choosable if it is  $L$ -colorable for any  $k$ -list assignment  $L$ . The *list chromatic number* of  $G$ , denoted by  $\chi_\ell(G)$ , is the smallest integer  $k$  such that  $G$  is  $k$ -choosable.

Dvořák and Postle [2] introduced a generalization of list coloring. Let  $G$  be a graph and  $L$  be a list assignment on  $V(G)$ . A graph  $H_L$ , simply write  $H$ , is said to be a *cover* of  $G$  if it satisfies all the following two conditions.

- (i) The vertex set of  $H$  is  $\bigcup_{u \in V(G)} (\{u\} \times L(u)) = \{(u, c) : u \in V(G), c \in L(u)\}$ .
- (ii) The edge set of  $H$  is  $\mathcal{M} = \bigcup_{uv \in E(G)} \mathcal{M}_{uv}$ , where  $\mathcal{M}_{uv}$  is a matching between the sets  $\{u\} \times L(u)$  and  $\{v\} \times L(v)$ .

Let  $T$  be a subset of  $V(H)$ . If  $|T \cap (\{u\} \times L(u))| = 1$  for each vertex  $u$  in  $G$ , then  $T$  is called a *transversal* of  $H$ . When a transversal is independent, it is a *DP-coloring*. If every cover  $H$  of  $G$  with a  $k$ -assignment  $L$  has a DP-coloring, then the least number  $k$  is the *DP-chromatic number* of  $G$ , denoted by  $\chi_{DP}(G)$ . Note that DP-coloring is a generalization of list coloring. This implies that  $\chi_\ell(G) \leq \chi_{DP}(G)$ . Chen et al. [1] proved that every planar graph without 4-cycles adjacent to 6-cycles is DP-4-colorable. Recently, it is proved that every planar graph is DP-4-colorable if it does not contain  $i$ -cycles adjacent to  $j$ -cycles for distinct  $i$  and  $j$  from  $\{3, 4, 5, 6\}$ , see [1, 6, 10, 12]. More sufficient conditions for a planar graph to be DP-4-colorable, see [3, 9, 11, 15].

In [14], Sittitrai and Nakprasit combined DP-coloring and relaxed list coloring (defective list coloring) into a new coloring as follows. Let  $H$  be a cover of a graph  $G$  with a  $k$ -assignment  $L$ . A transversal  $T$  of  $H$  is a  $(d_1, d_2, \dots, d_k)$ -coloring if every  $(v, i) \in T$  has degree at most  $d_i$  in  $H[T]$ . For any  $k$ -assignment  $L$  and any cover  $H_L$ , if  $H_L$  has a  $(d_1, d_2, \dots, d_k)$ -coloring, then we say  $G$  is  $DP$ - $(d_1, d_2, \dots, d_k)$ -colorable. For defective DP-coloring, we refer the readers to [4, 5, 7].

Li et al. [8] proved that every planar graph without 4, 8-cycles, or 4, 9-cycles is DP-(1, 1, 1)-colorable. Lu and Zhu [13] proved that every planar graph without 4, 5-cycles, or 4, 6-cycles, or 4, 7-cycles is DP-(1, 1, 1)-colorable. Sribunhung et al. [16] proved that every planar graph without 4, 7-cycles is DP-(0, 2, 2)-colorable. In this paper, we prove that every planar graph without 4, 6-cycles, or 4, 8-cycles is DP-(0, 2, 2)-colorable.

To prove the conclusion, we need some new definitions. Suppose  $B$  is a condition imposed on ordered vertices. A  $DP$ - $B$ -coloring of  $H_L$  is a transversal  $T$  with ordered vertices from left to right such that each  $(v, c) \in T$  satisfies condition  $B$  imposed on each element of  $H$ . Suppose  $T$  is a transversal of a cover  $H$  of  $G$ . We say that  $T$  is a  $DP$ - $B_A$ -coloring if the vertices in  $T$  can be ordered from left to right such that:

- (i) For each  $(v, 1) \in T$ ,  $(v, 1)$  has no neighbor on the left.
- (ii) For each  $(v, c) \in T$  where  $c \neq 1$ ,  $(v, c)$  has at most one neighbor on the left and that neighbor (if it exists) is adjacent to at most one vertex on the left of  $(v, c)$ .

We say that  $G$  is  $DP-B_A-k$ -colorable if every cover  $H_L$  of a graph  $G$  with a  $k$ -assignment  $L$  has a  $DP-B_A$ -coloring.

A graph is a *linear forest* if it is a forest with maximum degree at most two. It is easy to prove that a transversal  $T$  is a  $DP-B_A$ -coloring only if  $H[T]$  is a linear forest and  $\{(v, c) \in T : c = 1\}$  is independent in  $H$ . But the inverse is not true. For example,  $T = \{(x, 1), (y, 2), (z, 1)\}$ , where  $(y, 2)$  is adjacent to  $(x, 1)$  and  $(z, 1)$  in  $H$ . Observe that  $T$  has no desired ordering as in the definition  $DP-B_A$ -coloring.

**Theorem 1.1** *Every planar graph without 4- and 8-cycles is  $DP-B_A$ -3-colorable.*

**Corollary 1.2** *If  $G$  is a planar graph without 4- and 8-cycles, then*

- (i)  $G$  is  $DP-(0, 2, 2)$ -colorable.
- (ii)  $V(G)$  can be partitioned into three sets in which each of them induces a linear forest and one of them is an independent set.

**Theorem 1.3** *Every planar graph without 4- and 6-cycles is  $DP-B_A$ -3-colorable.*

**Corollary 1.4** *If  $G$  is a planar graph without 4- and 6-cycles, then*

- (i)  $G$  is  $DP-(0, 2, 2)$ -colorable.
- (ii)  $V(G)$  can be partitioned into three sets in which each of them induces a linear forest and one of them is an independent set.

In order to prove results on  $DP-B_A$ -3-colorable graphs, Sribunhung et al. [16] gave some structural results.

**Lemma 1.5** (Sribunhung et al. [16]) *If  $G$  is not  $DP-B_A$ -3-colorable, but all its proper induced subgraphs are  $DP-B_A$ -3-colorable, then  $\delta(G) \geq 3$ .*

**Lemma 1.6** (Sribunhung et al. [16]) *Suppose  $G$  is not  $DP-B_A$ -3-colorable, but all its proper induced subgraphs are  $DP-B_A$ -3-colorable. If a 3-vertex  $u$  in  $G$  is adjacent to a 3-vertex, then  $u$  has two  $5^+$ -neighbors. Moreover, if  $x$  is a 5-neighbor of  $u$ , then  $x$  has a  $4^+$ -neighbor.*

We say that a 3-vertex is *bad* if it is adjacent to another 3-vertex; otherwise, it is a *good* 3-vertex.

## 2 Plane Graphs without 4- and 8-Cycles

Firstly, we give some structural results on plane graphs without 4- and 8-cycles.

**Lemma 2.1** *Let  $G$  be a plane graph without 4- and 8-cycles. Then the following statements hold.*

- (i) *There are no adjacent 3-faces.*
- (ii) *If a 3-face is adjacent to a 5-face, then they are normally adjacent.*
- (iii) *If  $\delta(G) \geq 3$  and a 3-face is adjacent to a 6-face, then they are normally adjacent.*
- (iv) *If  $\delta(G) \geq 3$ , then each 7-face is not adjacent to any 3-face.*

- (v) If  $\delta(G) \geq 3$ , then there are no adjacent 5-faces.
- (vi) If  $\delta(G) \geq 3$ , then each 5-face is adjacent to at most two 3-faces.
- (vii) If  $\delta(G) \geq 3$ , then each 6-face is adjacent to at most one 3-face.

**Proof** (i) If two 3-faces are adjacent, then  $G$  has a 4-cycle, a contradiction.  
 (ii) Suppose to the contrary that a 5-face  $[v_1 v_2 v_3 v_4 v_5]$  is adjacent to a 3-face  $[v_1 v_2 u]$ . Since they are not normally adjacent,  $u \in \{v_3, v_4, v_5\}$ . Then the 5-cycle has a chord, a contradiction.  
 (iii) Suppose that a 6-face  $f$  is not a simple face. Then its boundary consists of two triangles. Let  $f = [u'vu v w w']$  be a 6-face, where  $[uvw]$  and  $[u'vw']$  are two triangles. Observe that  $G$  has no adjacent triangles. Suppose that  $f$  is adjacent to a 3-face. Then either  $[uvw]$  or  $[u'vw']$  bounds a 3-face, and then there are at least two 2-vertices, a contradiction.

So we may assume that the 6-face  $f$  is a simple face. Suppose to the contrary that  $f = [v_1 v_2 v_3 v_4 v_5 v_6]$  is not normally adjacent to a 3-face  $[v_1 v_2 u]$ . Then  $u \in \{v_3, v_4, v_5, v_6\}$ . By symmetry, we need to consider two cases:  $u = v_3$  or  $u = v_4$ . If  $u = v_4$ , then  $[v_1 v_2 v_3 v_4]$  is a 4-cycle, a contradiction. It follows that  $u = v_3$ . Since  $[v_1 v_2 v_3]$  is a 3-face, we have that  $v_2$  is a 2-vertex, a contradiction.

- (iv) Assume that a 7-face  $f_1$  is adjacent to a 3-face  $f_2$ . Observe that  $f_1$  must be a simple face; otherwise, there is a 4-cycle in the boundary of  $f_1$ , a contradiction. Since  $\delta(G) \geq 3$  and  $G$  does not have 4-cycle,  $f_1$  and  $f_2$  are normally adjacent. Now,  $b(f_1) \cup b(f_2)$  contains an 8-cycle, a contradiction.
- (v) Suppose to the contrary that a 5-face  $[v_1 v_2 v_3 v_4 v_5]$  is adjacent to a 5-face  $[v_1 v_2 u v w]$ . Since there is no 8-cycle,  $\{u, v, w\} \cap \{v_3, v_4, v_5\} \neq \emptyset$ . Since  $\delta(G) \geq 3$  and  $[v_1 v_2 v_3 v_4 v_5]$  has no chord, we have  $\{u, w\} \cap \{v_3, v_4, v_5\} = \emptyset$ . By symmetry, we can obtain that  $\{v_3, v_5\} \cap \{u, v, w\} = \emptyset$ . If  $v = v_4$ , then  $[v u v_2 v_3]$  is a 4-cycle, a contradiction.
- (vi) Suppose to the contrary that a 5-face  $f$  is adjacent to three 3-faces. If those three 3-faces share vertices outside  $f$ , then  $G$  has a 4-cycle, a contradiction. Then the boundaries of these four faces form an 8-cycle, a contradiction. Thus, each 5-face is adjacent to at most two 3-faces.
- (vii) Suppose to the contrary that a 6-face  $f$  is adjacent to two 3-faces. If those two 3-faces share vertices outside  $f$ , then  $G$  has a 4-cycle, a contradiction. Then the boundaries of these three faces form an 8-cycle, a contradiction. Thus, each 6-face is adjacent to at most one 3-face. □

Next, we prove the main result—Theorem 1.1.

Suppose to the contrary that  $G$  is a minimum counterexample to the statement. By Lemma 1.5, the minimum degree of  $G$  is at least three.

A 3-vertex is *special* if it is incident with a 3-face, a 5-face, and a 6-face.

**Lemma 2.2** *Let  $v$  be a 3-vertex. If  $v$  is incident with a 3-face  $f_1 = [v v_1 v_2]$ , a 5-face  $f_2 = [v v_2 v_3 v_4 v_5]$ , and a 6-face  $f_3 = [v v_5 v_6 v_7 v_8 v_1]$ , then each of the following holds.*

- (i) *There is only one possibility for the special 3-vertex  $v$ , as shown in Fig. 1, where  $v_4$  and  $v_7$  are identical. Furthermore,  $f_2$  is adjacent to exactly one 3-face, say  $f_1$ .*
- (ii) *There is no other special 3-vertex on the boundary of  $f_2$ .*



- R4 Let  $f$  be a 6-face or 7-face. Then  $f$  gives  $\frac{1}{2}$  to each incident good 3-vertex and  $\frac{1}{4}$  to each incident bad 3-vertex.
- R5 Each  $8^+$ -face gives  $\frac{5}{6}$  to each incident good 3-vertex and  $\frac{5}{12}$  to each incident bad 3-vertex.

Let  $\beta(f)$  be the final charge of a 5-face  $f$  after applying the rules R1–R5.

- R6 If  $v$  is a special 3-vertex, then the incident 5-face  $f$  additionally sends  $\beta(f)$  to  $v$ .

Now, we give a lower bound of  $\beta(f)$  in R6.

**Lemma 2.3** *If  $f$  is a 5-face which is incident with a special 3-vertex, then  $\beta(f) \geq \frac{1}{3}$ .*

**Proof** By Lemma 2.2(i), the 5-face is adjacent to exactly one 3-face. If the 5-face is incident with at most two 3-vertices, then  $\beta(f) \geq 1 - \frac{1}{3} - \frac{1}{6} \times 2 = \frac{1}{3}$  by R2 and R3. If the 5-face is incident with at least three 3-vertices, then the 5-face is incident with exactly three 3-vertices in which two of them are bad 3-vertices by Lemma 1.6. It follows that  $\beta(f) \geq 1 - \frac{1}{3} - \frac{1}{6} - \frac{1}{12} \times 2 = \frac{1}{3}$  by R2 and R3. □

Recall that every vertex  $v$  is a  $3^+$ -vertex.

Consider a good 3-vertex  $v$ . If  $v$  is incident with at least two  $6^+$ -faces, then  $\mu^*(v) \geq \mu(v) + \frac{1}{2} \times 2 = 0$  by R4 and R5. So we may assume that  $v$  is incident with at least two  $5^-$ -faces. By Lemma 2.1(v) and (i),  $v$  is incident with a 3-face and a 5-face. If  $v$  is incident with an  $8^+$ -face, then  $\mu^*(v) = \mu(v) + \frac{1}{6} + \frac{5}{6} = 0$  by R3 and R5. Otherwise,  $v$  is incident with a 3-face, a 5-face  $f$ , and a 6-face by Lemma 2.1(iv), i.e.,  $v$  is a special 3-vertex. Then  $\mu^*(v) = \mu(v) + \frac{1}{6} + \frac{1}{2} + \beta(f) \geq 0$  by R3, R4, R6, and Lemma 2.3.

Consider a bad 3-vertex  $v$ . By Lemma 1.6,  $v$  is adjacent to two  $5^+$ -vertices. If  $v$  is incident with at least two  $6^+$ -faces, then  $\mu^*(v) \geq \mu(v) + \frac{1}{4} \times 2 + \frac{1}{4} \times 2 = 0$  by R1, R4, and R5. Then  $v$  is incident with at least two  $5^-$ -faces. By Lemma 2.1(v) and (i),  $v$  is incident with a 3-face and a 5-face. If  $v$  is incident with an  $8^+$ -face, then  $\mu^*(v) = \mu(v) + \frac{1}{4} \times 2 + \frac{1}{12} + \frac{5}{12} = 0$  by R1, R3, and R5. Otherwise,  $v$  is incident with a 3-face, a 5-face  $f$ , and a 6-face by Lemma 2.1(iv), i.e.,  $v$  is a special 3-vertex. Then  $\mu^*(v) = \mu(v) + \frac{1}{4} \times 2 + \frac{1}{12} + \frac{1}{4} + \beta(f) > 0$  by R1, R3, R4, R6, and Lemma 2.3.

If  $v$  is a 4-vertex, then it is not involved in a discharging process and thus  $\mu^*(v) = \mu(v) = 0$ .

Consider a 5-vertex  $v$ . If  $v$  is adjacent to a bad 3-vertex, say  $u$ , then  $v$  has a  $4^+$ -neighbor by Lemma 1.6. Consequently,  $v$  is adjacent to at most four bad 3-vertices. Then  $\mu^*(v) \geq \mu(v) - \frac{1}{4} \times 4 = 0$  by R1.

Consider a  $d$ -vertex  $v$  where  $d \geq 6$ . Then  $\mu^*(v) \geq \mu(v) - d \times \frac{1}{4} = (d-4) - d \times \frac{1}{4} > 0$  by R1.

Let  $f$  be a  $k$ -face.

- $k = 3$ . It follows from Lemma 2.1(i) that  $f$  is adjacent to three  $5^+$ -faces, and  $\mu^*(f) = \mu(f) + 3 \times \frac{1}{3} = 0$  by R2.
- $k = 4$ . Since  $G$  does not contain a 4-cycle, it does not contain a 4-face.
- $k = 5$ . It follows from Lemma 2.1(vi) that  $f$  is adjacent to at most two 3-faces. Suppose that  $f$  is incident with a special 3-vertex. By Lemma 2.2(ii),  $f$  is incident with exactly one special 3-vertex. By R6 and Lemma 2.3, we get  $\mu^*(f) = 0$ . So we may assume that  $f$  is not incident with a special 3-vertex. If  $f$  is incident with

- at most two 3-vertices, then  $\mu^*(f) \geq \mu(f) - \frac{1}{3} \times 2 - \frac{1}{6} \times 2 = 0$  by R2 and R3. If  $f$  is incident with at least three 3-vertices, then  $f$  is incident with exactly three 3-vertices in which two of them are bad 3-vertices by Lemma 1.6. It follows that  $\mu^*(f) \geq \mu(f) - \frac{1}{3} \times 2 - \frac{1}{6} - \frac{1}{12} \times 2 = 0$  by R2 and R3.
- $k = 6$ . It follows from Lemma 2.1(vii) that  $f$  is adjacent to at most one 3-face. If  $f$  is incident with at most three 3-vertices, then  $\mu^*(f) \geq \mu(f) - \frac{1}{3} - \frac{1}{2} \times 3 = \frac{1}{6} > 0$  by R2 and R4. If  $f$  is incident with at least four 3-vertices, then  $f$  is incident with exactly four 3-vertices in which all of them are bad 3-vertices by Lemma 1.6. It follows that  $\mu^*(f) \geq \mu(f) - \frac{1}{3} - \frac{1}{4} \times 4 = \frac{2}{3} > 0$  by R2 and R4.
  - $k = 7$ . If  $f$  is not a simple face, then  $G$  contains a 4-cycle, a contradiction. So we may assume that  $f$  is a simple face. Then  $f$  is bounded by a 7-cycle. It follows from Lemma 2.1(iv) that  $f$  is not adjacent to any 3-face. By Lemma 1.6,  $f$  is incident with at most four 3-vertices. It follows that  $\mu^*(f) \geq \mu(f) - \frac{1}{2} \times 4 > 0$  by R4.
  - $k = 8$ . If  $f$  is a simple face, then  $G$  contains an 8-cycle, a contradiction. So  $f$  is not a simple face, its boundary consists of a 3-cycle and a 5-cycle, or two 3-cycles and a cut edge. It follows from Lemma 2.1(i) and (vi) that  $f$  is adjacent to at most two 3-faces. By Lemma 1.6,  $f$  is incident with at most five 3-vertices. If  $f$  is incident with at most four 3-vertices, then  $\mu^*(f) \geq \mu(f) - \frac{1}{3} \times 2 - \frac{5}{6} \times 4 = 0$  by R2 and R5. If  $f$  is incident with five 3-vertices, then at least four of the 3-vertices are bad by Lemma 1.6. It follows that  $\mu^*(f) \geq \mu(f) - \frac{1}{3} \times 2 - \frac{5}{6} - \frac{5}{12} \times 4 = \frac{5}{6} > 0$  by R2 and R5.
  - $k \geq 9$ . It follows from Lemma 2.1(i) that a 3-vertex is incident with at least two  $4^+$ -faces. If  $f$  is a 9-face incident with exactly four good 3-vertices, then  $f$  is adjacent to at most five 3-faces and  $f$  is not incident with a bad 3-vertex, thus  $\mu^*(f) \geq \mu(f) - \frac{1}{3} \times 5 - \frac{5}{6} \times 4 = 0$  by R2 and R5. So we may assume that  $f$  is not a 9-face incident with exactly four good 3-vertices. In what follows, if  $f$  is a 9-face, then it is incident with at most three good 3-vertices.

Let  $v_1, v_2, \dots, v_k$  be the vertices on the boundary of  $f$ , and let  $f_i$  be the face sharing an edge  $v_i v_{i+1}$  with  $f$ , where all the subscripts are taken modulo  $k$ . In order to easily check the final charge of  $f$ , we treat some transfer from an element to another element via some agents. Firstly,  $f$  sends  $\frac{1}{2}$  to each vertex  $v_i$  and sends an extra  $\frac{1}{6}$  to each good 3-vertex  $v_i$ . Next,  $v_i$  may play the role of agent. If  $f_i$  is a 3-face, then the agent  $v_i$  sends  $\frac{1}{6}$  to  $f_i$ , and the agent  $v_{i+1}$  sends  $\frac{1}{6}$  to  $f_i$ , which corresponds to R2 that  $f$  sends  $2 \times \frac{1}{6} = \frac{1}{3}$  to  $f_i$ .

Suppose that  $v_i$  is a 3-vertex incident with  $4^+$ -vertices  $v_{i-1}$  and  $v_{i+1}$ . Then the agent  $v_{i-1}$  sends  $\frac{1}{4}$  to  $v_i$  if  $f_{i-1}$  is a  $4^+$ -face; otherwise, the agent  $v_{i-1}$  sends  $\frac{1}{4} - \frac{1}{6}$  to  $v_i$ . Similarly, the agent  $v_{i+1}$  sends  $\frac{1}{4}$  or  $\frac{1}{4} - \frac{1}{6}$  to  $v_i$ . Note that the 3-vertex  $v_i$  is incident with at most one 3-face; thus,  $f$  sends at least  $(\frac{1}{2} - \frac{1}{6}) + \frac{1}{6} + \frac{1}{4} + (\frac{1}{4} - \frac{1}{6}) = \frac{5}{6}$  to  $v_i$ , which corresponds to the first part of R5.

Suppose that  $v_i$  is a 3-vertex, and one of  $v_{i-1}$  and  $v_{i+1}$  is also a 3-vertex. By symmetry, let  $v_{i+1}$  be a 3-vertex. Then the agent  $v_{i-1}$  sends  $\frac{1}{4}$  or  $\frac{1}{4} - \frac{1}{6}$  to  $v_i$ , and then  $f$  sends at least  $(\frac{1}{2} - \frac{1}{6}) + (\frac{1}{4} - \frac{1}{6}) = \frac{5}{12}$  in total to  $v_i$ , which corresponds to the second part in R5.

For each  $4^+$ -vertex  $v_i$ , when it plays the role of agent, it receives  $\frac{1}{2}$  from  $f$  and gives at most  $2(\frac{1}{4} - \frac{1}{6}) + 2 \times \frac{1}{6} = \frac{1}{2}$ .

So we can treat  $f$  sends  $\frac{1}{2}$  to each vertex  $v_i$ , and  $v_i$  maybe plays the role of agent to redistribute at most  $\frac{1}{2}$  to incident 3-faces and 3-vertices; additionally,  $f$  sends an extra  $\frac{1}{6}$  to each good 3-vertices.

If  $f$  is a 9-face incident with at most three good 3-vertices, then  $\mu^*(f) \geq \mu(f) - \frac{1}{2} \times 9 - \frac{1}{6} \times 3 = 0$ . If  $f$  is a  $10^+$ -face, then  $f$  is incident with at most  $\frac{k}{2}$  good 3-vertices, and then  $\mu^*(f) \geq \mu(f) - \frac{1}{2} \times k - \frac{1}{6} \times \frac{k}{2} = \frac{1}{6} > 0$ .

This completes the proof.

### 3 Plane Graphs without 4- and 6-Cycles

In this section, we prove the second main result—Theorem 1.3.

Assume that  $G$  is a counterexample to Theorem 1.3, but all of its proper induced subgraphs are DP- $B_A$ -3-colorable. By Lemma 1.5, the minimum degree of  $G$  is at least three. Since  $G$  has no 4- or 6-cycles, the following statements hold.

**Lemma 3.1** *A 3-face is not adjacent to a  $6^-$ -face.*

**Proof** If two 3-faces are adjacent, then  $G$  has a 4-cycle, a contradiction.

Suppose that a 5-face  $[v_1v_2v_3v_4v_5]$  is adjacent to a 3-face  $[v_1v_2u]$ . Since there is no 6-cycle,  $u \in \{v_3, v_4, v_5\}$ . But the 5-cycle has a chord, then there is a 4-cycle, a contradiction.

Since there is no 6-cycle in  $G$ , the boundary of a 6-face consists of two triangles. Let  $f = [u'vuvvwv']$  be a 6-face, where  $[uvw]$  and  $[u'vw']$  are two triangles. Observe that  $G$  has no adjacent triangles. Suppose that  $f$  is adjacent to a 3-face. Then either  $[uvw]$  or  $[u'vw']$  bounds a 3-face, and then there are at least two 2-vertices, a contradiction.  $\square$

We once again use the discharging method to complete the proof. Let  $\mu(x) = d(x) - 4$  be the initial charge of a vertex or a face  $x$ , and let  $\mu^*(x)$  denote the final charge of  $x$  after the discharging procedure. According to the Euler's formula and handshaking theorem, the sum of the initial charge is  $-8$ . By the following discharging rules, we should finally get  $\mu^*(x) \geq 0$  for all  $x \in V(G) \cup F(G)$ . Thus a contradiction is obtained and the counterexample does not exist.

The discharging rules are as follows:

- R1 Each  $5^+$ -vertex gives  $\frac{1}{4}$  to each adjacent bad 3-vertex.
- R2 Each  $7^+$ -face gives  $\frac{1}{3}$  to each adjacent 3-face.
- R3 Each 5-face gives  $\frac{1}{3}$  to each incident good 3-vertex and  $\frac{1}{6}$  to each incident bad 3-vertex.
- R4 Each  $6^+$ -face gives  $\frac{1}{2}$  to each incident good 3-vertex and  $\frac{1}{4}$  to each incident bad 3-vertex.

Recall that every vertex  $v$  is a  $3^+$ -vertex.

Consider a good 3-vertex  $v$ . If  $v$  is not incident with a 3-face, then  $v$  is incident with three  $5^+$ -faces; thus,  $\mu^*(v) \geq \mu(v) + \frac{1}{3} \times 3 = 0$  by R3 and R4. If  $v$  is incident



with a 3-face, then the other two faces are  $7^+$ -faces by Lemma 3.1; thus,  $\mu^*(v) = \mu(v) + \frac{1}{2} \times 2 = 0$  by R4.

Consider a bad 3-vertex  $v$ . If  $v$  is not incident with a 3-face, then  $v$  is incident with three  $5^+$ -faces; thus,  $\mu^*(v) \geq \mu(v) + \frac{1}{4} \times 2 + \frac{1}{6} \times 3 = 0$  by R1, R3, and R4. If  $v$  is incident with a 3-face, then the other two faces are  $7^+$ -faces by Lemma 3.1, and then  $\mu^*(v) = \mu(v) + \frac{1}{4} \times 2 + \frac{1}{4} \times 2 = 0$  by R1 and R4.

If  $v$  is a 4-vertex, then it does not involve in a discharging process and then  $\mu^*(v) = \mu(v) = 0$ .

Consider a 5-vertex  $v$ . If  $v$  is adjacent to a bad 3-vertex, say  $u$ , then  $v$  has a  $4^+$ -neighbor by Lemma 1.6. Consequently,  $v$  is adjacent to at most four bad 3-vertices. Then  $\mu^*(v) \geq \mu(v) - 4 \times \frac{1}{4} = 0$  by R1.

Consider a  $d$ -vertex  $v$  where  $d \geq 6$ . Then  $\mu^*(v) \geq \mu(v) - d \times \frac{1}{4} = (d-4) - d \times \frac{1}{4} > 0$  by R1.

Let  $f$  be a  $k$ -face.

- $k = 3$ . It follows from Lemma 3.1 that  $f$  is adjacent to three  $7^+$ -faces. Thus  $\mu^*(f) = \mu(f) + 3 \times \frac{1}{3} = 0$  by R2.
- $k = 4$ . Since  $G$  does not contain a 4-cycle, it does not contain a 4-face.
- $k = 5$ . It follows from Lemma 3.1 that  $f$  is not adjacent to any 3-face. If  $f$  is incident with at most two 3-vertices, then  $\mu^*(f) \geq \mu(f) - \frac{1}{3} \times 2 > 0$  by R3. If  $f$  is incident with at least three 3-vertices, then it is incident with exactly three 3-vertices in which two of them are bad 3-vertices by Lemma 1.6. It follows that  $\mu^*(f) \geq \mu(f) - \frac{1}{6} \times 2 - \frac{1}{3} > 0$  by R3.
- $k = 6$ . It follows from Lemma 3.1 that  $f$  is not adjacent to a 3-face. Since there are no 6-cycles in  $G$ , the boundary of  $f$  consists of two triangles, and  $f$  is incident with at most four 3-vertices. If  $f$  is incident with at most three 3-vertices, then  $\mu^*(f) \geq \mu(f) - \frac{1}{2} \times 3 = \frac{1}{2} > 0$  by R4. If  $f$  is incident with four 3-vertices, then each 3-vertex is bad; thus,  $\mu^*(f) = \mu(f) - \frac{1}{4} \times 4 = 1 > 0$  by R4.
- $k \geq 7$ . Similar to the proof in Theorem 1.1, we can treat  $f$  sends  $\frac{3}{7}$  to each incident vertex and redistribute at most  $\frac{3}{7}$  to incident 3-faces and 3-vertices. Thus,  $\mu^*(f) \geq \mu(f) - \frac{3}{7} \times k \geq 0$ .

This completes the proof.

## Declarations

**Conflict of Interest** The authors have no relevant financial or non-financial interests to disclose.

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