

Powder Metallurgy Fabrication of Porous 51(at.%)Ni–Ti Shape Memory Alloys for Biomedical Applications

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Abstract The effect of time and temperature on the microwave sintering of 51(at.%)Ni–Ti shape memory alloys (SMAs) was investigated in the current research. Furthermore, the microstructure, mechanical properties, and bio-corrosion properties were analyzed based on the sintering conditions. The results revealed that the sintering condition of 700 \degree C for 15 min produced a part with coherent surface survey that does not exhibit gross defects. Increasing the sintering time and temperature created defects on the outer surface, while reducing the temperature to $550 °C$ severely affected the mechanical properties. The microstructure of these samples showed two regions of Ni-rich region and Ti-rich region between them $Ti₂Ni$, NiTi, and Ni₃Ti phases. The differential scanning calorimeter (DSC) curves of Ni–Ti samples exhibited a multi-step phase transformation B19'-R-B2 during heating and cooling. An increase in the sintering temperature from 550 to 700 \degree C was found to increase the fracture strength significantly and decreased the fracture strain slightly. Reducing the sintering temperature from 700 to 550 $^{\circ}$ C severely affected the corrosion behaviors of 51%Ni–Ti SMAs. This research aims to select the optimum parameters to produce Ni–Ti alloys with desired microstructure, mechanical properties, and corrosion behaviors for biomedical applications.

 \boxtimes E. Hamzah mustafakhaleel4@gmail.com Keywords Porous 51%Ni–Ti SMAs - Microwave sintering - Microstructure - Mechanical properties and corrosion - Bioactivity

Introduction

Shape memory alloys (SMAs) provide a unique combination of several important properties, including the shape memory effect (SME), superelasticity (SE) or pseudoelasticity (PE) and high damping capacity [\[1](#page-7-0)]. Titanium-based alloys have been widely used as implants as dental, knee, and hip implants because of their ductility, corrosion resistance, and high yield strength [[2,](#page-7-0) [3\]](#page-7-0). Similarly, NiTi alloys exhibit corrosion resistance and biocompatibility based on historical in vitro and in vivo studies [\[4–7](#page-7-0)], mainly from the formation of a passive dense titaniumoxide layer (TiO₂). Porous Ni–Ti alloys have attracted interest for bio implantation due to the presence of the pores in the bulk material which can elicit in-growth of body tissue, decrease density of the alloy and improve fixation [\[8](#page-7-0)]. Therefore, alternative processes such as mechanical alloying (MA) and powder metallurgy (PM) fabrication processes can be utilized to control grain sizes and compositions [\[9](#page-7-0), [10\]](#page-7-0). PM is a promising method for producing of porous near-net-shape components [\[11](#page-8-0), [12](#page-8-0)]. There are six PM methods to fabricate dense or porous Ni– Ti alloys from elemental and/or pre-alloyed powders; these methods are spark plasma sintering (SPS) [\[11](#page-8-0)], hot isostatic pressing (HIP) for sintering materials at elevated pressure [\[13](#page-8-0)], cold pressing and sintering or conventional sintering (CS) [[14](#page-8-0)], self-propagating high-temperature synthesis (SHS) [\[15](#page-8-0), [16\]](#page-8-0), metal injection molding (MIM) [\[17](#page-8-0), [18](#page-8-0)], and microwave sintering (MWS) [\[19](#page-8-0), [20\]](#page-8-0). Microwave sintering is a relatively new method to prepare Ni–Ti

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alloys. It is a new sintering method for metals, composites, ceramics, and semiconductors [[21–23\]](#page-8-0). The advantages of microwave sintering are reducing the time and the energy of the process, enhancing the diffusion, providing rapid heating rates, and improving mechanical and physical properties [[21,](#page-8-0) [22\]](#page-8-0). The presence of pores reduces the elastic modulus [[19,](#page-8-0) [24](#page-8-0), [25\]](#page-8-0) and creates a platform for the host tissue cells to attach and grow into the pores, resulting in an integration with the host tissue cells [\[25–27](#page-8-0)]. Reducing the elastic modulus reduces the effect of ''stress shielding'' generated due to a large mismatch of elastic modulus between a solid metal implant (e.g., Ti-alloys) materials $(> 100 \text{ GPa})$ and hard tissue $(< 20 \text{ GPa})$. "Stress shielding'' causes the resorption of the surrounding hard tissue, loosening of the implants which may lead to an implant failure [\[28–30](#page-8-0)]. Several researchers used the space-holder method to produce porous Ti-based alloys [\[31–35](#page-8-0)] and foam structures of different metal powders [\[36–38](#page-8-0)]. One of the problems of using the space holder technique is the added steps to remove the space holder material from the green compact during the sintering process [[39\]](#page-8-0). The conventional sintering process is vulnerable to contamination from the spacer-holder material residue and other process-related contaminants. Contamination may occur due to the presence of oxygen, nitrogen dissolved in titanium or titanium alloys via increasing temperature during the sintering process; such contaminates can adversely impact the mechanical properties [[33\]](#page-8-0).

Experimental Procedure

Sample Preparation

In this work, the elemental powders of Ti and Ni were prepared via a PM process. The specification of the elemental powder, composition, and ball milling parameters are shown in Table 1. These powders of $51(at.\%)Ni-Ti$ SMAs were prepared by MA using a planetary ball mill (PM100). The mixed powders were then cold-pressed to green samples of Φ 25 \times 10 mm under a uniaxial pressure of 230 kg/cm² for 5 min, followed by microwave sintering (HAMiLab-V3, SYNOTHERM Corp.). The green 51%Ni– Ti samples were microwave sintered at 550 and 700 $^{\circ}$ C for

15 min, 800 and 1200 °C for 5 min, and 800 and 900 °C for 30 min at a heating rate of 30 \degree C/min. Figure [1](#page-2-0) is a schematic diagram of the MWS vacuum pot containing the insulation barrel that was set up continuously at 2.45 GHz and 4.5 kV. Microwave sintering was performed under a flow of high-purity Ar gas. The green samples were placed in an alumina crucible surrounded by silicon carbide (SiC) particles. An infrared pyrometer was used to measure the temperature of the samples during sintering.

The sintered samples were electrical discharge machined (EDM) into 7 mm \times 7 mm \times 14 mm dimensions for compression testing based on ASTM E9-09 and dimensions of 10 mm \times 10 mm \times 20 mm for shape memory testing. The corrosion test was performed with Φ 13.5 \times 2 mm samples.

Microstructural Characterization

Several techniques were used to analyze and characterize the Ni–Ti SMAs, such as scanning electron microscopy (SEM), X-ray diffraction (XRD), differential scanning calorimetry (DSC), and energy-dispersive X-ray spectroscopy (EDAX). A Nikon optical microscopy was used to analyze the as-polished surfaces to identify the pores size, pores shape, and pores distribution of Ti-Ni samples assisted by Isolution Lite'' software. The surface was then etched in a 10% HF–40%HNO₃–50% distilled water solution and examined by scanning electron microscopy (SEM, Hitachi Model S-3400 N). An Archimedes drainage method was used to determine the porosity of the samples. The X-ray diffractometer (XRD, D5000 Siemens) was used to characterize the phase composition of 51%Ni–Ti SMAs; the planes of the phase composition were identified by a Jade software. The X-ray diffractometer fitted with a Cu Ka X-ray source and the scanning mode was locked couple, with a scan rate of 0.05 \degree /s, and 2 θ range between 20 and 90 °C. Differential scanning calorimeter (DSC Q200, TA Instrument) was used to identify the phase transformation temperatures of these alloys using TA Instrument software under heating/cooling rates of 10 \degree C/min.

Table 1 Specification of elemental powders and mixtures

| Element | Powder specification | | | Ball milling parameters | | | |
|---------|----------------------|----------------|-----------------------|-------------------------|---------------------|------------|--|
| | Purity $(\%)$ | Size (μm) | Composition $(at,\%)$ | Rotation speed (rpm) | Bal-to-powder ratio | Time (h) | |
| Ti | 99.5 | 150 | 49 | 300 | 4:1 | | |
| Ni | 99.5 | 45 | | | | | |

Fig. 1 Schematic diagram of MWS vacuum pot containing the insulation barrel

Mechanical Tests

An Instron 600 DX-type universal testing machine was used to perform the compression test at a constant speed of 0.5 mm/min at 27 $^{\circ}$ C.

Electrochemical Test

The corrosion resistance was measured using a potentiodynamic polarization (PDP) test in a simulated body fluid (SBF). The test samples were connected to an open-air glass cell with a surface area of 0.2 cm^2 . The open-air glass cell contained around 300 mL SBF of pH 7.4 at, 37 $^{\circ}$ C. The scan rate was set to 2 mV/s, and the test was started at - 250 mV. A VersaSTAT 3 machine (Princeton Applied Research) was used to record-data from the three-electrode cells attached to the equipment; (1) a saturated calomel electrode (SCE) reference electrode, (2) a graphite rod was a counter electrode, and (3) a working electrode (the test part). Each electrochemical test was rerun three times for each sample to ensure the reproducibility of the results. The corrosion rate (R_i) and the polarization resistance (R_n) of these samples were calculated according to [\[40](#page-8-0), [41](#page-8-0)]

$$
R_{\rm i} = 22.85i_{\rm corr} \tag{1}
$$

$$
R_{\rm p} = \frac{\beta_{\rm a} \beta_{\rm c}}{2.3(\beta_{\rm a} + \beta_{\rm c})i_{\rm corr}},\tag{2}
$$

where i_{corr} is the corrosion current density; β_c is the cathodic Tafel slope, and β_a is the anodic Tafel slope.

Eventually, the calculations of the output results were based on the polarization curves for Ni–Ti SMAs.

Results and Discussion

Microstructure Characterization

The elevation in the temperature had a profound effect on the diffusion of Ni, as evident in Fig. [2a](#page-3-0)–d. It can be observed that all the previous parameters failed to satisfy the main purpose of the PM to produce near-net component without requiring machining and finishing. The diffusion of titanium atoms into nickel is slower than that of nickel atoms into titanium [[42,](#page-8-0) [43\]](#page-8-0), and hence pore formation in the Ni-rich region was caused by an imbalance of mass transfer. The diffusion rate increased with sintering temperature, and more pores were formed [[42,](#page-8-0) [43\]](#page-8-0). The porosity ratio is time- and temperature-dependent. The pore size and pore distribution of the Ni–Ti sample fabricated by microwave sintering are similar to the conventional sintering process. Both time and temperature primarily affect the resulting pore characteristics [[42,](#page-8-0) [44](#page-8-0)]. For this reason, it is recommended that one reduces the temperature to avoid or adjust the diffusion of the nickel at a certain time point. Figure [2](#page-3-0)d shows a cross section of a partially melted 51%Ni–Ti sample. The spherical pores near the surface of the sample were created by trapped gas and formed during out gassing from the sample due to the short sintering duration. Figure [2](#page-3-0)e shows a uniform outer surface of a Ni– Ti sample sintered at 700 \degree C for 15 min. Figure [2f](#page-3-0) shows the optical micrographs of Ni–Ti samples, whereas both samples have small pores with the size in the range of 3–50 μ m and an average pore size of \sim 7 μ m for (a) and \sim 9 μ m for (b). The macro-sized pores that formed via the microwave sintering process may facilitate bone tissue growth and integrate with the surrounded bone [\[25–27](#page-8-0), [45\]](#page-8-0). Table [2](#page-3-0) lists the effect of sintering parameters on relative density.

The micrographs of microwave-sintered 51%Ni–Ti samples presented in Fig. [3](#page-5-0) show the microstructure of two sintering temperatures at a sintering time of 15 min. EDS shows Ti-rich and Ni-rich regions with $Ti₂Ni$, NiTi, and $Ni₃Ti$ phases between the two. The diffusion of Ni towards Ti occurs from $Ni \rightarrow Ni_3Ti \rightarrow NiTi \rightarrow Ti_2Ni \rightarrow Ti$, and the reverse occurs for Ti [[46\]](#page-8-0). From the Ni–Ti phase diagram, β -Ti and Ti₂Ni intermetallics should be in contact within the microstructure $[16]$ $[16]$. A plate-like structure morphology appeared for sample sintered at 700 $^{\circ}$ C (located in Ti-rich region) clearly after etching with 10%HF– 40% HNO₃–50% distilled water solution for 15–30 s, but the plates-like structure morphology did not appeared in Ti-rich region for the sample sintered at 550° C. The

Fig. 2 a Swelling of the sample sintered at 800 $^{\circ}$ C for 5 min, **b** nonuniform shrinkage of the sintered sample at 800 °C for 30 min, c sample sintered at 900 °C for 30 min, d cross section of partially melted 51%Ni-Ti sample after MWS at a temperature of 1200 °C for

5 min and e sample sintered at 700 °C for 15 min. f Optical micrographs of sample sintered at 550 °C for 15 min and g optical micrograph of sample sintered at 700 $^{\circ}$ C for 15 min

Table 2 Effect of sintering parameters on relative density of the 51%Ni–Ti alloy

b Fig. 3 SEM micrographs of Ni–Ti samples sintered at temperatures and times: **a** 550 °C for 15 min, **b** Sintering at 700 °C for 15 min with EDS analysis at spots 1, 2, 3, and 4: and c, d elemental mapping of sintered 51%Ni–Ti SMA at 700 °C for 15 min

density of these two samples was 79 and 80%, and the porosity was 21 and 20% for the samples sintered at 550 and 700 °C, respectively. The plates disappeared with a decrease in the sintering temperature to 550 $^{\circ}$ C. Figure 3b depicts the EDS spot results of the sample sintered at 700 °C for 15 min. There were two specific regions, beginning with the Ni-rich region moving toward the Tirich region and between them are the $Ti₂Ni$, NiTi, and $Ni₃Ti$ phase. The elemental mapping of Ti and Ni from the micrograph survey in Fig. [2b](#page-3-0) is shown in Fig. 3c, d. Ti and Ni, along with regions which appears to contain $Ti₂Ni$, NiTi, and $Ni₃Ti$ phases between the Ti- and Ni-rich regions, were observed.

Figure 4 depicts the XRD patterns of MWS 51%Ni–Ti SMAs with varying sintering parameters. The alloy phases formed were B2 (NiTi), B19' (NiTi), $Ni₃Ti$, and Ti₂Ni. The presence of the secondary phases of $Ni₃Ti$ and $Ti₂Ni$ was due to the solid-state diffusion reaction. The existence of the TiNi phase was based on the primary reaction between Ti and Ni, while the formation of the secondary phases, $Ni₃Ti$ and $Ti₂Ni$, stemmed from incomplete reactions based on Ni–Ti forming through the thermodynamically weak reactions between $Ni₃Ti$ and $Ti₂Ni$ [\[20](#page-8-0), [47](#page-8-0)]. Figure 4 also portrays the XRD patterns of MWS Ni–Ti at two different sintering temperatures showing the B2 (Ni–Ti), B19 $^{\prime}$ (Ni– Ti), $Ni₃Ti$, and $Ti₂Ni$ phases [[48\]](#page-8-0). There was also evidence of the R-phase and $TiO₂$. The XRD results did not show the Ni4Ti3 precipitates because these precipitates mainly

Fig. 4 XRD pattern of 51%Ni–Ti MWS samples sintered at 550 and 700 °C for 15 min

appear due to aging process which was not performed in this work [\[49–51](#page-8-0)]. It was reported in the literature that the production of 51(at.%)Ni–Ti alloys via microwave sintering process without aging confirms the presence of the B2 (TiNi), $B19'$ (TiNi), Ni₃Ti, and Ti₂Ni phases without the Ni₄Ti₃ precipitates [\[19](#page-8-0), [20\]](#page-8-0). Bassani et al. [\[52](#page-8-0)] reported that Ti2Ni revealed a biocompatibility close to or even better than NiTi; therefore, it is possibly that the presence of the Ti₂Ni phase does not affect the biocompatibility of the MWS NiTi material. The presence of $Ni₃Ti$ was characterized by a substantial higher nickel release rate. Further tests on the MWS Ni–Ti material with different topography and/or secondary phase contents could further elucidate the observed phenomena [\[52](#page-8-0)].

Differential Scanning Calorimeter (DSC) of 51%Ni– Ti SMAs

Figure [5](#page-6-0) displays the DSC curves of the 51%Ni–Ti samples. A multi-step phase transformation was observed from B19' to B2 (B19' \rightarrow R \rightarrow B2) during heating on the sample sintered at 700 $^{\circ}$ C. The presence of the R-phase during heating of Ni–Ti SMAs was also reported by other researchers [\[53](#page-8-0)]. During cooling, it followed the same multi-step phase transformation from B2 to B19' (B2 \rightarrow $R \rightarrow B19'$). Table [3](#page-6-0) gives the transformation temperature of the 51%Ni–Ti SMA sintered at 700 $^{\circ}$ C. The table presents only the 700 \degree C data, because the peaks were too weak to interpret for the 550 \degree C sample.

Compressive Test of 51%Ni–Ti SMAs

Figure [6](#page-6-0) shows the compressive strength curves of the 51%Ni–Ti samples. The initial stage was marked with a low slope in the compressive curves for the samples which were sintered at 550 \degree C. By ignoring this initial stage, the compressive curves in Fig. [6](#page-6-0) can be divided into three regions [[54\]](#page-9-0). The first region is based on a linear elastic deformation where the slope considers the elastic modulus of the samples. The second region is a plastic yield deformation region in which peak stress observed and is considered as the compressive strength of the samples. Lastly, the third region is the failure region in which sample fracture occurred. The highest maximum stress and strain were for the samples sintered at 700 $^{\circ}$ C. Table [4](#page-6-0) lists the maximum strength and its strain, elastic modulus and Vickers hardness of Ni–Ti samples sintered at 700 and 550 \degree C for 15 min. The difference in the compressive strength and strain between these two alloys may be due to the low temperature (550 $^{\circ}$ C) which may be insufficient for a complete bonding to take place. The Vickers hardness was low for sample sintered at 550° C comparing with the sample sintered at 700 °C. The low Vickers hardness of

Fig. 5 Differential scanning calorimeter (DSC) curves of 51%Ni–Ti samples microwave sintered at different temperatures for 15 min a during cooling and b during heating

Table 3 Ni–Ti transformation temperatures

| Ti–Ni alloys parameters R_s (°C) cooling R_f (°C) cooling M_s (°C) M_f (°C) R_s (°C) heating R_f (°C) heating A_s (°C) A_f (°C) | | | | |
|---|--|-------|--|-----|
| Ti–Ni (700 °C) | | -18 | | -89 |

Fig. 6 Compressive stress–strain curves of Ni–Ti samples with two different microwave sintering temperatures for 15 min

in biomedical devices [\[19](#page-8-0), [55\]](#page-9-0). The fabrication of alloys with low elastic modulus is important to solve the problem of ''stress shielding'' [[28–30\]](#page-8-0). The compression stress and strain normally depend on several properties such as density, pore size, pore shape, pore distribution, grain size, structure, precipitate formations, and degree of order.

Bio-corrosion Test

Figure [7](#page-7-0) shows the electrochemical polarization curve of the Ni–Ti SMA sintered at 700 and 550 \degree C in SBF solution, which depicts, the corrosion potential and the current density. For the sample sintered at 700 $^{\circ}$ C, the corrosion potential (E_{corr}) of the Ni–Ti SMA was $-$ 65.359 mV; the current density (i_{corr}) was 32.17 μ A/cm²; the cathodic slope (β_c) was 207.099 mV, while the anodic slope (β_a) was

Table 4 Effect of sintering parameters on relative strength, strain, and elastic modulus

| | | Ti-Ni alloy parameters Maximum strength (MPa) Strain at maximum strength $(\%)$ | Elastic modulus (GPa) Vickers hardness (HV) | |
|----------------------------|-----|---|---|------|
| 550 \degree C and 15 min | 187 | 6.89 | 7.8 | 73.9 |
| 700 °C and 15 min | 581 | 5.26 | 14.28 | 152 |

these samples maybe attributed to the microwave sintering method, as well as the low elastic modulus, the presence of porosity and low elastic modulus may affect the application 358.538 mV; the polarization resistance (R_p) was 1.774 k Ω ; and corrosion rate (R_i) was 0.73508 mm/year. The key limitation of using Ni–Ti for medical implants,

Fig. 7 Electrochemical polarization curves of Ni–Ti in the simulated body fluid (SBF), a the simple sintered at 700 °C with indicated E_{corr} and i_{corr} and **b** sample sintered at 550 °C

according to the literature, is the moderate cell culture compatibility and corrosion resistance [\[56](#page-9-0)]. The sample sintered at 550 $\mathrm{^{\circ}C}$ exhibited the following values: corrosion potential (E_{corr}) of -53.372 mV, current density (i_{corr}) of 171.26 μ A/cm², cathodic slope (β_c) of 298 mV, anodic slope (β_a) of 352 mV, polarization resistance (R_p) of 0.409 k Ω , and corrosion rate (R_i) of 3.913 mm/year. A decrease in the sintering temperature from 700 to 550 $^{\circ}$ C severely deteriorates the corrosion resistance of 51%Ni–Ti SMAs.

Conclusions

The experimental results can be summarized:

- 1. The microstructure shows two main regions (Ti-rich and Ni-rich regions), between the Ti region and Ni region appear $Ti₂Ni$, NiTi, and $TiNi₃$ phases. The microstructure shows plates-like morphology located in Ti-rich region for sample sintered at 700 \degree C and this plates-like morphology was not present for sample sintered at 550 $^{\circ}$ C.
- 2. XRD-patterns show B2 (NiTi), B19' (NiTi), Ni₃Ti, and $Ti₂Ni$ phases. There is also an evidence of the R-phase and TiO 2 .
- 3. DSC curves display during cooling, a multi-step phase transformation from B2 to R and R, to $B19'$ and the reverse during heating. The M_s , A_s , and A_f temperatures were more than 0° C.
- 4. The highest fracture strength and its strain, as well as the spring back strain and the lowest corrosion rate were found when sintering at 700° C, relative to the samples sintered at 550 °C. The use of MWS Ni–Ti with a low elastic modulus could potentially eliminate

the effect of ''stress shielding'' substantiating the use of the material in the biomedical applications.

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