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Computation of the interior transmission eigenvalues for elastic scattering in an inhomogeneous medium containing an obstacle

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Abstract

In this work, we study the interior transmission eigenvalues for elastic scattering in an inhomogeneous medium containing an obstacle. This problem is related to the reconstruction of the support of the inhomogeneity without the knowledge of the embedded obstacle by the far-field data or the invisibility cloaking of an obstacle. Our goal is to provide an efficient numerical algorithm to compute as many positive interior transmission eigenvalues as possible. We consider two cases of medium jumps: Case 1, where $\mathbf{C}_0 = \mathbf{C}_1$, $\rho_0 \neq \rho_1$, and Case 2, where $\mathbf{C}_0 \neq \mathbf{C}_1$, $\rho_0 = \rho_1$ with either Dirichlet or Neumann boundary conditions on the boundary of the embedded obstacle. The partial differential equation problem is reduced to a generalized eigenvalue problem (GEP) for matrices by the finite element method. We will apply the Jacobi–Davidson (JD) algorithm to solve the GEP. Case 1 requires special attention because of the large number of zero eigenvalues, which depends on the discretization size. To compute the positive eigenvalues effectively, it is necessary to deflate the zeros to infinity at the beginning of the algorithm.

Keywords: Interior transmission eigenvalues, Elastic waves, Jacobi–Davidson method, Nonequivalence deflation

1 Introduction

In this paper, we study the interior transmission eigenvalue problem (ITEP) for elastic waves propagating outside of an obstacle. Our aim is to design an efficient numerical algorithm to compute as many transmission eigenvalues as possible for time-harmonic elastic waves. Let D and Ω be open bounded domains in \mathbb{R}^2 with smooth boundaries ∂D and $\partial\Omega$, respectively. Assume that $\bar{D} \subset \Omega$. Let $\mathbf{u}(x) = [u_1(x), u_2(x)]^T$ be a two-dimensional vector representing the displacement vector, and let its infinitesimal strain tensor be given by $\varepsilon(\mathbf{u}) = ((\nabla\mathbf{u})^T + \nabla\mathbf{u})/2$. We consider the linear elasticity; that is, the stress tensor $\sigma_{\mathbf{C}}(\mathbf{u})$ is defined by $\varepsilon(\mathbf{u})$ via Hook's law:

$$\sigma_{\mathbf{C}}(\mathbf{u}) = \mathbf{C}\varepsilon(\mathbf{u}),$$

where \mathbf{C} is the elasticity tensor. The elasticity tensor $\mathbf{C} = (C_{ijkl})$, $1 \leq i, j, k, l \leq 2$, is a fourth-rank tensor satisfying two symmetry properties:

$$\begin{aligned} C_{ijkl} &= C_{klij} \quad (\text{major symmetry}), \\ C_{ijkl} &= C_{jikl} \quad (\text{minor symmetry}). \end{aligned} \tag{1}$$

We require that \mathbf{C} satisfies the strong convexity condition: there exists a $\kappa > 0$ such that for any symmetric matrix A

$$\mathbf{C}A : A \geq \kappa |A|^2, \quad \forall x \in \Omega. \tag{2}$$

In the following, for any two matrices A, B , we denote $A : B = \sum_{ij} a_{ij}b_{ij}$ and $|A|^2 = A : A$. The elastic body is called isotropic if

$$C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}),$$

where μ and λ are called Lamé coefficients. In other words, for an isotropic elastic body, the stress–strain relation is given by

$$\sigma_{\mathbf{C}}(\mathbf{u}) = 2\mu \varepsilon(\mathbf{u}) + \lambda \text{tr}(\varepsilon(\mathbf{u}))I = 2\mu \varepsilon(\mathbf{u}) + \lambda \text{div} \mathbf{u}I,$$

where I stands for the identity matrix. It is not difficult to check that the convexity condition (2) is equivalent to

$$\mu(x) > 0, \quad \lambda(x) + \mu(x) > 0. \tag{3}$$

The core of the ITEP is to find $\omega^2 \in \mathbb{C}$ such that there exists a nontrivial solution $(\mathbf{u}, \mathbf{v}) \in [H^1(\Omega)]^2 \times [H^1(\Omega \setminus \bar{D})]^2$ of

$$\nabla \cdot \sigma_{\mathbf{C}_0}(\mathbf{u}) + \rho_0 \omega^2 \mathbf{u} = 0 \quad \text{in } \Omega, \tag{4a}$$

$$\nabla \cdot \sigma_{\mathbf{C}_1}(\mathbf{v}) + \rho_1 \omega^2 \mathbf{v} = 0 \quad \text{in } \Omega \setminus \bar{D}, \tag{4b}$$

$$\mathbf{B}\mathbf{v} = 0 \quad \text{on } \partial D, \tag{4c}$$

$$\mathbf{u} = \mathbf{v} \quad \text{on } \partial \Omega, \tag{4d}$$

$$\sigma_{\mathbf{C}_0}(\mathbf{u})\nu = \sigma_{\mathbf{C}_1}(\mathbf{v})\nu \quad \text{on } \partial \Omega, \tag{4e}$$

where $\mathbf{C}_0, \mathbf{C}_1$ are elasticity tensors, ρ_0, ρ_1 are density functions, and ν is the outer normal of $\partial \Omega$. Here, we define the boundary operator \mathbf{B} on ∂D

$$\mathbf{B}\mathbf{v} = \mathbf{v}|_{\partial D} \quad \text{or} \quad \mathbf{B}\mathbf{v} = \sigma_{\mathbf{C}_1}(\mathbf{v})\nu|_{\partial D}, \tag{5}$$

where, to abuse the notation, ν denotes the unit normal on ∂D pointing into the interior of D . Recall that $\sigma_{\mathbf{C}_1}(\mathbf{v})\nu$ represents the traction acting on ∂D or $\partial \Omega$.

The investigation of the ITEP (4) is motivated by the following practical problem. Let us assume that ρ_0 is a positive constant and $\text{supp}(\mathbf{C}_0 - \mathbf{C}_1) \subset \Omega \setminus \bar{D}$, $\text{supp}(\rho_1 - \rho_0) \subset \Omega \setminus \bar{D}$. We can regard $(\mathbf{C}_1 - \mathbf{C}_0, \rho_1 - \rho_0)$ as a “coated” material near ∂D . We now take any solution $\hat{\mathbf{u}}$ of

$$\nabla \cdot \sigma_{\mathbf{C}_0}(\hat{\mathbf{u}}) + \rho_0 \omega^2 \hat{\mathbf{u}} = 0 \quad \text{in } \mathbb{R}^2,$$

as an incident field and consider the incident field $\hat{\mathbf{u}}$ scattered by the object D and the inhomogeneity of the material, that is, (\mathbf{C}_1, ρ_1) . In particular, when $\rho_0 = 1$ and \mathbf{C}_0 is isotropic with constant Lamé coefficients λ and μ , the typical incident field $\hat{\mathbf{u}} =: \hat{\mathbf{u}}_e^{\text{in}}(x)$ with $e = p$ or s is given by

$$\hat{\mathbf{u}}_p^{\text{in}}(x) = \xi e^{ik_p x \cdot \xi} \quad \text{or} \quad \hat{\mathbf{u}}_s^{\text{in}}(x) = \xi^\perp e^{ik_s x \cdot \xi}$$

where $\xi \in \Omega := \{\|\xi\|_2 = 1\}$ and $k_p := \omega/\sqrt{\lambda + 2\mu}$ and $k_s := \omega/\sqrt{\mu}$ represent the compressional and shear wave numbers, respectively. Let \mathbf{v} be the total field satisfy

$$\nabla \cdot \sigma_{\mathbf{C}_1}(\mathbf{v}) + \rho_1 \omega^2 \mathbf{v} = 0 \quad \text{in } \mathbb{R}^2 \setminus \overline{D}.$$

Then, if ω^2 is an interior transmission eigenvalue of (4) and $\mathbf{u}|_\Omega = \hat{\mathbf{u}}|_\Omega$, then the obstacle and the inhomogeneity $(\mathbf{C}_1 - \mathbf{C}_0, \rho_1 - \rho_0)$ would be nonscattered objects at ω^2 when the incident field is $\hat{\mathbf{u}}$. Consequently, if our aim is to detect D and the inhomogeneity $(\mathbf{C}_1 - \mathbf{C}_0, \rho_1 - \rho_0)$ by the scattering information, we have to avoid the interior transmission eigenvalues.

On the other hand, a more interesting implication of this investigation is to “cloak” the domain D from the elastic waves with suitable coated materials. Now, we regard the incident field $\hat{\mathbf{u}}$ as a source of seismic waves, i.e., p -waves or s -waves. If ω^2 is an interior transmission eigenvalue of (4), then D with coated material \mathbf{C}_1, ρ_1 will be “invisible” from the seismic waves propagating at frequency ω .

The study of the ITEP originates from the validity of some qualitative approaches to the inverse scattering problems in an inhomogeneous medium, such as the linear sampling method [12] and the factorization method [18]. In recent years, the ITEP has attracted much attention in the study of direct/inverse scattering problems for acoustic and electromagnetic waves in inhomogeneous media [3–6, 13–15, 19, 23]. For the investigation of the ITEP for elastic waves in the case of $D = \emptyset$, there are a few theoretical results [1, 2, 9–11]. Recently, theoretical results on the discreteness and the existence of interior transmission eigenvalues of (4) were proven in [7].

The purpose of this paper is to develop a numerical method to compute the transmission eigenvalues of elastic waves (4). To put this work in perspective, we mention only some results related to the ITEP for elastic waves. As far as we know, two works have studied the computation of the ITEP for elastic waves in the case of $D = \emptyset$, see [8] and [16]. In [16], a numerical method was presented to compute a few smallest positive transmission eigenvalues of (4). The ITEP was reformulated as locating the roots of a nonlinear function whose values are generalized eigenvalues of a series of self-adjoint fourth-order problems. After discretizing the fourth-order eigenvalue problems using H^2 -conforming finite elements, a secant-type method was employed to compute the roots of the nonlinear function. In [8], a numerical method based on the ideas in [21, 22] was proposed to compute many interior transmission eigenvalues for the elastic waves. In this paper, not only was the case of different densities considered but also the case of different elasticity tensors. The strategy used in [8, 21, 22] is as follows. One first discretizes (4) by the finite element method (FEM). Then, the ITEP is transformed into a generalized eigenvalue problem (GEP). By some ingenious observations, this GEP can be reduced to a quadratic eigenvalue problem (QEP) and, at the same time, unwanted eigenvalues (0 or ∞ eigenvalues) can be removed. One then applies a quadratic Jacobi–Davidson (JD) method with nonequivalence deflation to compute the eigenvalues of the resulting QEP. Contrary to the results obtained in [16], the method implemented in [8] is able to locate a large number of positive transmission eigenvalues of (4).

The paper is organized as follows. In Sect. 2, we describe the discretization of the ITEP using the FEM. The discretization reduces the PDE problem to a GEP. We will apply the JD method to locate positive eigenvalues of the GEP. However, the existence of zero eigenvalues of the GEP will hinder our task. Thus, in Sect. 3, we discuss a nonequivalence

deflation technique to remove the zero eigenvalues. In Sect. 4, we describe our numerical algorithm based on the JD method in detail. Section 5 contains all numerical simulations and remarks. We conclude the paper with a summary in Sect. 6.

2 Discretization and the GEP

We first review the discretization of the ITEP (4) based on the standard piecewise linear FEM (see [14] for details). Let

- S_h = The space of continuous piecewise linear functions on Ω ;
- S_h^D = The subspace of functions in S_h that have vanishing DOF on $\overline{\Omega \setminus D}$;
- S_h^I = The subspace of functions in S_h that have vanishing DOF on $\bar{D} \cup \partial\Omega$;
- S_h^Σ = The subspace of functions in S_h that have vanishing DOF in $D \cup (\Omega \setminus \bar{D}) \cup \partial\Omega$;
- S_h^B = The subspace of functions in S_h that have vanishing DOF in Ω ,

where DOF is the degrees of freedom. Let $\{\psi_i\}_{i=1}^{n_D}$, $\{\phi_i\}_{i=1}^{n_I}$, $\{\theta_i\}_{i=1}^{m_\Sigma}$, and $\{\xi_i\}_{i=1}^{m_B}$, denote standard nodal bases for the finite element spaces of S_h^D , S_h^I , S_h^Σ , and S_h^B , respectively. We then set

$$\begin{aligned} [\Psi_1, \Psi_2, \dots, \Psi_{2n_D}] &= \begin{bmatrix} \psi_1 & \psi_2 & \dots & \psi_{n_D} \\ & \psi_1 & \psi_2 & \dots & \psi_{n_D} \end{bmatrix}, \\ [\Phi_1, \Phi_2, \dots, \Phi_{2n_I}] &= \begin{bmatrix} \phi_1 & \phi_2 & \dots & \phi_{n_I} \\ & \phi_1 & \phi_2 & \dots & \phi_{n_I} \end{bmatrix}, \\ [\Xi_1, \Xi_2, \dots, \Xi_{2m_B}] &= \begin{bmatrix} \xi_1 & \xi_2 & \dots & \xi_{m_B} \\ & \xi_1 & \xi_2 & \dots & \xi_{m_B} \end{bmatrix}, \\ [\Theta_1, \Theta_2, \dots, \Theta_{2m_\Sigma}] &= \begin{bmatrix} \theta_1 & \theta_2 & \dots & \theta_{m_\Sigma} \\ & \theta_1 & \theta_2 & \dots & \theta_{m_\Sigma} \end{bmatrix}, \end{aligned}$$

and

$$\begin{aligned} \mathbf{u} &= \mathbf{u}_h^D + \mathbf{u}_h^I + \mathbf{u}_h^B + \mathbf{u}_h^\Sigma = \sum_{j=1}^{2n_D} u_j^D \Psi_j + \sum_{j=1}^{2n_I} u_j^I \Phi_j + \sum_{j=1}^{2m_B} w_j \Xi_j + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma \Theta_j \\ \mathbf{v} &= \mathbf{v}_h^I + \mathbf{v}_h^B + \mathbf{v}_h^\Sigma = \sum_{j=1}^{2n_I} v_j^I \Phi_j + \sum_{j=1}^{2m_B} w_j \Xi_j + \sum_{j=1}^{2m_\Sigma} v_j^\Sigma \Theta_j \end{aligned}$$

Here we take into account the boundary condition $\mathbf{u} = \mathbf{v}$ on $\partial\Omega$ and set $\mathbf{u}_h^B = \mathbf{v}_h^B$. Expressed by the nodal bases, \mathbf{u} and \mathbf{v} have different dimensions. We will discuss FEM for the Dirichlet and Neumann data separately.

2.1 Dirichlet condition: $\mathbf{v} = \mathbf{0}$ on ∂D

For the zero Dirichlet condition, we take $v_j^\Sigma = 0$. Applying the standard piecewise linear FEM and using the integration by parts, we obtain

$$\begin{aligned} & \sum_{j=1}^{2n_I} u_j^I (\sigma_{C_0}(\Phi_j), \nabla \Phi_i)_\Omega + \sum_{j=1}^{2m_B} w_j (\sigma_{C_0}(\Xi_j), \nabla \Phi_i)_\Omega + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma (\sigma_{C_0}(\Theta_j), \nabla \Phi_i)_\Omega \\ &= \lambda \left(\sum_{j=1}^{2n_I} u_j^I (\rho_0 \Phi_j, \Phi_i)_\Omega + \sum_{j=1}^{2m_B} w_j (\rho_0 \Xi_j, \Phi_i)_\Omega + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma (\rho_0 \Theta_j, \Phi_i)_\Omega \right), \end{aligned}$$

$$\begin{aligned}
 & i = 1, \dots, 2n_I, \\
 & \sum_{j=1}^{2n_D} u_j^D (\sigma_{C_0}(\Psi_j), \nabla \Psi_j)_\Omega + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma (\sigma_{C_0}(\Theta_j), \nabla \Psi_j)_\Omega \\
 & = \lambda \left(\sum_{j=1}^{2n_D} u_j^D (\rho_0 \Psi_j, \Psi_j)_\Omega + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma (\rho_0 \Theta_j, \Psi_j)_\Omega \right), \quad i = 1, \dots, 2n_D, \\
 & \sum_{j=1}^{2n_I} u_j^I (\sigma_{C_0}(\Phi_j), \nabla \Xi_j)_\Omega + \sum_{j=1}^{2m_B} w_j (\sigma_{C_0}(\Xi_j), \nabla \Xi_j)_\Omega - (\sigma_{C_0}(\mathbf{u})v, \Xi_j)_{\partial\Omega} \\
 & = \lambda \left(\sum_{j=1}^{2n_I} u_j^I (\rho_0 \Phi_j, \Xi_j)_\Omega + \sum_{j=1}^{2m_B} w_j (\rho_0 \Xi_j, \Xi_j)_\Omega \right), \quad i = 1, \dots, 2m_B, \\
 & \text{and} \\
 & \sum_{j=1}^{2n_I} u_j^I (\sigma_{C_0}(\Phi_j), \nabla \Theta_j)_\Omega + \sum_{j=1}^{2n_D} u_j^D (\sigma_{C_0}(\Psi_j), \nabla \Theta_j)_\Omega + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma (\sigma_{C_0}(\Theta_j), \nabla \Theta_j)_\Omega \\
 & = \lambda \left(\sum_{j=1}^{2n_I} u_j^I (\rho_0 \Phi_j, \Theta_j)_\Omega + \sum_{j=1}^{2n_D} u_j^D (\rho_0 \Psi_j, \Theta_j)_\Omega + \sum_{j=1}^{2m_\Sigma} u_j^\Sigma (\rho_0 \Theta_j, \Theta_j)_\Omega \right), \quad i = 1, \dots, 2m_\Sigma,
 \end{aligned} \tag{6}$$

where $\lambda = \omega^2$. Likewise for \mathbf{v} but with $v_j^\Sigma = 0$, we have

$$\begin{aligned}
 & \sum_{j=1}^{2n_I} v_j^I (\sigma_{C_1}(\Phi_j), \nabla \Phi_j)_{D^c} + \sum_{j=1}^{2m_B} w_j (\sigma_{C_1}(\Xi_j), \nabla \Phi_j)_{D^c} \\
 & = \lambda \left(\sum_{j=1}^{2n_I} v_j^I (\rho_1 \Phi_j, \Phi_j)_{D^c} + \sum_{j=1}^{2m_B} w_j (\rho_1 \Xi_j, \Phi_j)_{D^c} \right), \\
 & i = 1, \dots, 2n_I,
 \end{aligned} \tag{7}$$

and

$$\begin{aligned}
 & \sum_{j=1}^{2n_I} v_j^I (\sigma_{C_1}(\Phi_j), \nabla \Xi_j)_\Omega + \sum_{j=1}^{2m_B} w_j (\sigma_{C_1}(\Xi_j), \nabla \Xi_j)_\Omega - (\sigma_{C_1}(\mathbf{v})v, \Xi_j)_{\partial\Omega} \\
 & = \lambda \left(\sum_{j=1}^{2n_I} v_j^I (\rho_1 \Phi_j, \Xi_j)_\Omega + \sum_{j=1}^{2m_B} w_j (\rho_1 \Xi_j, \Xi_j)_\Omega \right), \quad i = 1, \dots, 2m_B,
 \end{aligned} \tag{8}$$

where v is the unit outer normal of $\partial\Omega$ and $D^c = \Omega \setminus \bar{D}$. Finally, taking into account boundary conditions (4d), (4e), applying the linear FEM to the difference equation between \mathbf{u} and \mathbf{v} in D^c , and performing the integration by parts again, using (6)–(8), we can derive for $i = 1, \dots, m_B$,

$$\begin{aligned}
 & \sum_{j=1}^{2n_I} \left(u_j^I (\sigma_{C_0}(\Phi_j), \nabla \Xi_j)_\Omega - v_j^I (\sigma_{C_1}(\Phi_j), \nabla \Xi_j)_\Omega \right) \\
 & + \sum_{j=1}^{2m_B} w_j \left((\sigma_{C_0}(\Xi_j), \nabla \Xi_j)_\Omega - (\sigma_{C_1}(\Xi_j), \nabla \Xi_j)_\Omega \right)
 \end{aligned}$$

Table 1 Stiffness and mass matrices with $\ell = 0, 1$

Matrix	Dimension	Definition
<i>Stiffness matrix</i>		
$K_\ell^I > 0$	$2n_I \times 2n_I$	$(K_\ell^I)_{ij} = (\sigma_{C_\ell}(\Phi_j), \nabla \Phi_i)_\Omega$
$K_\ell^D > 0$	$2n_D \times 2n_D$	$(K_\ell^D)_{ij} = (\sigma_{C_\ell}(\Psi_j), \nabla \Psi_i)_\Omega$
$K_\ell^B > 0$	$2m_B \times 2m_B$	$(K_\ell^B)_{ij} = (\sigma_{C_\ell}(\Xi_j), \nabla \Xi_i)_\Omega$
$K_\ell^\Sigma > 0$	$2m_\Sigma \times 2m_\Sigma$	$(K_\ell^\Sigma)_{ij} = (\sigma_{C_\ell}(\Theta_j), \nabla \Theta_i)_\Omega$
K_ℓ^{IB}	$2n_I \times 2m_B$	$(K_\ell^{IB})_{ij} = (\sigma_{C_\ell}(\Xi_j), \nabla \Phi_i)_\Omega$
$K_\ell^{I\Sigma}$	$2n_I \times 2m_\Sigma$	$(K_\ell^{I\Sigma})_{ij} = (\sigma_{C_\ell}(\Theta_j), \nabla \Phi_i)_\Omega$
$K_0^{D\Sigma}$	$2n_D \times 2m_\Sigma$	$(K_0^{D\Sigma})_{ij} = (\sigma_{C_0}(\Theta_j), \nabla \Psi_i)_\Omega$
\tilde{K}_ℓ^Σ	$2m_\Sigma \times 2m_\Sigma$	$(\tilde{K}_\ell^\Sigma)_{ij} = (\sigma_{C_\ell}(\Theta_j), \nabla \Theta_i)_{D^c}$
<i>Mass matrix</i>		
$M_\ell^I > 0$	$2n_I \times 2n_I$	$(M_\ell^I)_{ij} = (\rho_\ell \Phi_j, \Phi_i)_\Omega$
$M_\ell^D > 0$	$2n_D \times 2n_D$	$(M_\ell^D)_{ij} = (\rho_\ell \Psi_j, \Psi_i)_\Omega$
$M_\ell^B > 0$	$2m_B \times 2m_B$	$(M_\ell^B)_{ij} = (\rho_\ell \Xi_j, \Xi_i)_\Omega$
$M_\ell^\Sigma > 0$	$2m_\Sigma \times 2m_\Sigma$	$(M_\ell^\Sigma)_{ij} = (\rho_\ell \Theta_j, \Theta_i)_\Omega$
M_ℓ^{IB}	$2n_I \times 2m_B$	$(M_\ell^{IB})_{ij} = (\rho_\ell \Xi_j, \Phi_i)_\Omega$
$M_\ell^{I\Sigma}$	$2n_I \times 2m_\Sigma$	$(M_\ell^{I\Sigma})_{ij} = (\rho_\ell \Theta_j, \Phi_i)_\Omega$
$M_0^{D\Sigma}$	$2n_D \times 2m_\Sigma$	$(M_0^{D\Sigma})_{ij} = (\rho_0 \Theta_j, \Psi_i)_\Omega$
\tilde{M}_ℓ^Σ	$2m_\Sigma \times 2m_\Sigma$	$(\tilde{M}_\ell^\Sigma)_{ij} = (\rho_\ell \Theta_j, \Theta_i)_{D^c}$

$$\begin{aligned}
 &= \lambda \left(\sum_{j=1}^{2n_I} \left(u_j^I (\rho_0 \Phi_j, \Xi_i)_\Omega - v_j^I (\rho_1 \Phi_j, \Xi_i)_\Omega \right) \right. \\
 &\quad \left. + \sum_{j=1}^{2m_B} w_j \left((\rho_0 \Xi_j, \Xi_i)_\Omega - (\rho_1 \Xi_j, \Xi_i)_\Omega \right) \right), \quad i = 1, \dots, 2m_B. \tag{9}
 \end{aligned}$$

For clarity, we define the stiffness matrices and mass matrices as in Table 1.

Additionally, we set $\mathbf{u}^I = [u_1^I, \dots, u_{2n_I}^I]^\top$, $\mathbf{u}^D = [u_1^D, \dots, u_{2n_D}^D]^\top$, $\mathbf{w} = [w_1, \dots, w_{2m_B}]^\top$, $\mathbf{u}^\Sigma = [u_1^\Sigma, \dots, u_{2m_\Sigma}^\Sigma]^\top$, and $\mathbf{v}^I = [v_1^I, \dots, v_{2n_I}^I]^\top$. Then, the discretization gives rise to a generalized eigenvalue problem (GEP)

$$\mathcal{K}\mathbf{z} = \lambda \mathcal{M}\mathbf{z}, \tag{10}$$

$$\begin{aligned}
 \mathcal{K} &= \begin{bmatrix} K_0^I & 0 & 0 & K_0^{I\Sigma} & K_0^{IB} \\ 0 & -K_1^I & 0 & 0 & K_1^{IB} \\ 0 & 0 & K_0^D & K_0^{D\Sigma} & 0 \\ (K_0^{I\Sigma})^\top & 0 & (K_0^{D\Sigma})^\top & K_0^\Sigma & 0 \\ (K_0^{IB})^\top & (K_1^{IB})^\top & 0 & 0 & K_0^B - K_1^B \end{bmatrix}, \\
 \mathcal{M} &= \begin{bmatrix} M_0^I & 0 & 0 & M_0^{I\Sigma} & M_0^{IB} \\ 0 & -M_1^I & 0 & 0 & M_1^{IB} \\ 0 & 0 & M_0^D & M_0^{D\Sigma} & 0 \\ (M_0^{I\Sigma})^\top & 0 & (M_0^{D\Sigma})^\top & M_0^\Sigma & 0 \\ (M_0^{IB})^\top & (M_1^{IB})^\top & 0 & 0 & M_0^B - M_1^B \end{bmatrix}, \quad \mathbf{z} = \begin{bmatrix} \mathbf{u}^I \\ -\mathbf{v}^I \\ \mathbf{u}^D \\ \mathbf{u}^\Sigma \\ \mathbf{w} \end{bmatrix}. \tag{11}
 \end{aligned}$$

2.2 Neumann condition: $\sigma_{C_1}(\mathbf{v})\nu = 0$ on ∂D

We now consider the homogeneous Neumann condition. The equations for \mathbf{u} remain the same. For \mathbf{v} , using the integration by parts and the boundary condition $\sigma_{C_1}(\mathbf{v})\nu = 0$ on

∂D , we have

$$\begin{aligned} & \sum_{j=1}^{2n_I} v_j^I (\sigma_{C_1}(\Phi_j), \nabla \Phi_i)_{\Omega} + \sum_{j=1}^{2m_B} w_j (\sigma_{C_1}(\Xi_j), \nabla \Phi_i)_{\Omega} + \sum_{j=1}^{2m_{\Sigma}} v_j^{\Sigma} (\sigma_{C_1}(\Theta_j), \nabla \Phi_i)_{D^c} \\ &= \lambda \left(\sum_{j=1}^{2n_I} v_j^I (\rho_1 \Phi_j, \Phi_i)_{\Omega} + \sum_{j=1}^{2m_B} w_j (\rho_1 \Xi_j, \Phi_i)_{\Omega} + \sum_{j=1}^{2m_{\Sigma}} v_j^{\Sigma} (\rho_1 \Theta_j, \Phi_i)_{D^c} \right), \\ & i = 1, \dots, 2n_I, \end{aligned} \tag{12}$$

to replace Eq. (7) and additionally we have

$$\begin{aligned} & \sum_{j=1}^{2n_I} v_j^I (\sigma_{C_1}(\Phi_j), \nabla \Theta_i)_{\Omega} + \sum_{j=1}^{2m_{\Sigma}} v_j^{\Sigma} (\sigma_{C_1}(\Theta_j), \nabla \Theta_i)_{D^c} \\ &= \lambda \left(\sum_{j=1}^{2n_I} v_j^I (\rho_1 \Phi_j, \Theta_i)_{\Omega} + \sum_{j=1}^{2m_{\Sigma}} v_j^{\Sigma} (\rho_1 \Theta_j, \Theta_i)_{D^c} \right), \quad i = 1, \dots, 2m_{\Sigma}. \end{aligned}$$

Similarly, taking into account the boundary conditions on $\partial \Omega$, applying the linear FEM to the difference equation between \mathbf{u} and \mathbf{v} , and performing the integration by parts, we obtain Eq. (9). With $\mathbf{v}^{\Sigma} = [v_1^{\Sigma}, \dots, v_{2m_{\Sigma}}^{\Sigma}]^{\top}$, expressing the system in the matrix form gives the following GEP

$$\begin{aligned} \mathcal{K}\mathbf{z} &= \lambda \mathcal{M}\mathbf{z}, \tag{13} \\ \mathcal{K} &= \begin{bmatrix} K_0^I & 0 & 0 & K_0^{I\Sigma} & K_0^{IB} & 0 \\ 0 & -K_1^I & 0 & 0 & K_1^{IB} & K_1^{I\Sigma} \\ 0 & 0 & K_0^D & K_0^{D\Sigma} & 0 & 0 \\ (K_0^{I\Sigma})^{\top} & 0 & (K_0^{D\Sigma})^{\top} & K_0^{\Sigma} & 0 & 0 \\ (K_0^{IB})^{\top} & (K_1^{IB})^{\top} & 0 & 0 & K_0^B - K_1^B & 0 \\ 0 & (K_1^{I\Sigma})^{\top} & 0 & 0 & 0 & \tilde{K}_1^{\Sigma} \end{bmatrix}, \\ \mathcal{M} &= \begin{bmatrix} M_0^I & 0 & 0 & M_0^{I\Sigma} & M_0^{IB} & 0 \\ 0 & -M_1^I & 0 & 0 & M_1^{IB} & M_1^{I\Sigma} \\ 0 & 0 & M_0^D & M_0^{D\Sigma} & 0 & 0 \\ (M_0^{I\Sigma})^{\top} & 0 & (M_0^{D\Sigma})^{\top} & M_0^{\Sigma} & 0 & 0 \\ (M_0^{IB})^{\top} & (M_1^{IB})^{\top} & 0 & 0 & M_0^B - M_1^B & 0 \\ 0 & (M_1^{I\Sigma})^{\top} & 0 & 0 & 0 & \tilde{M}_1^{\Sigma} \end{bmatrix}, \quad \mathbf{z} = \begin{bmatrix} \mathbf{u}^I \\ -\mathbf{v}^I \\ \mathbf{u}^D \\ \mathbf{u}^{\Sigma} \\ \mathbf{w} \\ \mathbf{v}^{\Sigma} \end{bmatrix}. \end{aligned} \tag{14}$$

3 Solving GEPs

In this section, we will attempt to solve GEPs (10) and (13). In each case of the boundary condition on ∂D , we consider two situations where (i) $C_0 = C_1$ and $\rho_0 \neq \rho_1$ (Case 1) and where (ii) $C_0 \neq C_1$ and $\rho_0 = \rho_1$ (Case 2). We discuss the two cases separately.

3.1 Case 1 with Dirichlet condition

In this case, $K_0^B = K_1^B, K_0^I = K_1^I, K_0^{IB} = K_1^{IB}$, and the stiffness matrix \mathcal{K} of (10) becomes

$$\mathcal{K} = \begin{bmatrix} K_0^I & 0 & 0 & K_0^{I\Sigma} & K_0^{IB} \\ 0 & -K_0^I & 0 & 0 & K_0^{IB} \\ 0 & 0 & K_0^D & K_0^{D\Sigma} & 0 \\ (K_0^{I\Sigma})^\top & 0 & (K_0^{D\Sigma})^\top & K_0^\Sigma & 0 \\ (K_0^{IB})^\top & (K_0^{IB})^\top & 0 & 0 & 0 \end{bmatrix}.$$

We would like to implement the Jacobi–Davidson (JD) method to solve (10). To make the numerical method effective, it is important to remove the null space of \mathcal{K} as much as possible, which corresponds to zero eigenvalue (unphysical) of (10). To this end, we consider the linear system:

$$\begin{bmatrix} K_0^I & 0 & 0 & K_0^{I\Sigma} & K_0^{IB} \\ 0 & -K_0^I & 0 & 0 & K_0^{IB} \\ 0 & 0 & K_0^D & K_0^{D\Sigma} & 0 \\ (K_0^{I\Sigma})^\top & 0 & (K_0^{D\Sigma})^\top & K_0^\Sigma & 0 \\ (K_0^{IB})^\top & (K_0^{IB})^\top & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_1 \\ -\mathbf{u}_1 \\ \mathbf{u}_3 \\ \mathbf{u}_4 \\ \mathbf{u}_5 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}. \tag{15}$$

The second equation of (15) reads

$$K_0^I \mathbf{u}_1 + K_0^{IB} \mathbf{u}_5 = 0. \tag{16}$$

By (16) and the first equation of (15), we have

$$K_0^{I\Sigma} \mathbf{u}_4 = 0.$$

Since, in general, $n_I \gg m_\Sigma$, it is reasonable to assume that $K_0^{I\Sigma}$ is of full rank and thus $\mathbf{u}_4 = 0$. Using the third and fourth equations of (15), we immediately obtain

$$\mathbf{u}_3 = 0 \quad \text{and} \quad (K_0^{I\Sigma})^\top \mathbf{u}_1 = 0.$$

Combining this and (16) gives

$$\mathcal{A} \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_5 \end{bmatrix} := \begin{bmatrix} K_0^I & K_0^{IB} \\ (K_0^{I\Sigma})^\top & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_5 \end{bmatrix} = 0. \tag{17}$$

Note that the dimension of \mathcal{A} is $(2n_I + 2m_\Sigma) \times (2n_I + 2m_B)$. So the nullity of \mathcal{A} is at most $2(m_B - m_\Sigma)$. Let $[\mathbf{u}_1^\top, \mathbf{u}_5^\top] \neq 0$ satisfy (17), then

$$\begin{bmatrix} \mathbf{u}_1 \\ -\mathbf{u}_1 \\ 0 \\ 0 \\ \mathbf{u}_5 \end{bmatrix} \tag{18}$$

is a null vector of \mathcal{K} , i.e., a solution of (15).

Next, we need an important deflation technique to deflate eigenvalues (including zero eigenvalues) that have been computed to ∞ . Suppose that we have computed

$$\mathcal{K}X_0 = \mathcal{M}X_0\Lambda_0, \quad X_0 \in \mathbb{R}^{s \times r}, \quad \Lambda_0 \in \mathbb{R}^{r \times r}, \quad \text{and} \quad s = 2(2n_I + n_D + m_\Sigma + m_B),$$

that is, (X_0, Λ_0) is an eigenpair of $(\mathcal{K}, \mathcal{M})$. Let Y_0 be chosen so that $Y_0^\top \mathcal{M}X_0 = I_r$. We then define

$$\begin{aligned} \tilde{\mathcal{K}} &= \mathcal{K} - \alpha \mathcal{M}X_0Y_0^\top \mathcal{M}, \\ \tilde{\mathcal{M}} &= \mathcal{M} - \mathcal{M}X_0Y_0^\top \mathcal{M}, \end{aligned}$$

where $\alpha \notin \text{Spec}(\Lambda_0)$. Then we can show that

Theorem 1

$$\text{Spec}(\tilde{\mathcal{K}}, \tilde{\mathcal{M}}) = (\text{Spec}(\mathcal{K}, \mathcal{M}) \setminus \text{Spec}(\Lambda_0)) \cup \{\infty\}_{k=1}^r,$$

where $\text{Spec}(\tilde{\mathcal{K}}, \tilde{\mathcal{M}})$ denotes the set of eigenvalues of the linear pencil $\tilde{\mathcal{K}} - \lambda\tilde{\mathcal{M}}$.

Proof It suffices to compute

$$\begin{aligned} \det(\tilde{\mathcal{K}} - \lambda\tilde{\mathcal{M}}) &= \det(\mathcal{K} - \lambda\mathcal{M} - (\alpha - \lambda)\mathcal{M}X_0Y_0^\top\mathcal{M}) \\ &= \det(\mathcal{K} - \lambda\mathcal{M})\det(I_s - (\alpha - \lambda)(\mathcal{K} - \lambda\mathcal{M})^{-1}\mathcal{M}X_0Y_0^\top\mathcal{M}). \end{aligned} \tag{19}$$

Recall that $\mathcal{K}X_0 = \mathcal{M}X_0\Lambda_0$. We obtain $(\mathcal{K} - \lambda\mathcal{M})X_0 = \mathcal{M}X_0(\Lambda_0 - \lambda I_r)$ and thus

$$(\mathcal{K} - \lambda\mathcal{M})^{-1}\mathcal{M}X_0 = X_0(\Lambda_0 - \lambda I_r)^{-1}.$$

Substituting this relation into (19) leads to

$$\begin{aligned} \det(\tilde{\mathcal{K}} - \lambda\tilde{\mathcal{M}}) &= \det(\mathcal{K} - \lambda\mathcal{M})\det(I_s - (\alpha - \lambda)X_0(\Lambda_0 - \lambda I_r)^{-1}Y_0^\top\mathcal{M}) \\ &= \det(\mathcal{K} - \lambda\mathcal{M})\det(\Lambda_0 - \lambda I_r)^{-1}\det(\Lambda_0 - \lambda I_r - (\alpha - \lambda)I_r) \\ &= \det(\mathcal{K} - \lambda\mathcal{M})\det(\Lambda_0 - \lambda I_r)^{-1}\det(\Lambda_0 - \alpha I_r), \end{aligned} \tag{20}$$

where we have used the identity $\det(I_s + AB) = \det(I_r + BA)$, where $A \in \mathbb{R}^{s \times r}$, $B \in \mathbb{R}^{r \times s}$, in the second equality above. The theorem follows easily from (20). \square

In our numerical algorithm, we first use Theorem 1 to deflate the zero eigenvalues to ∞ and compute a number of positive eigenvalues (from the smallest) of the associated matrix pair $(\tilde{\mathcal{K}}, \tilde{\mathcal{M}})$. Since the zero eigenvalues have been deflated, it will be quite effective to compute those small positive eigenvalues. We could continue deflating the computed eigenvalues to ∞ . However, we will not do so due to the sparsity of matrices \mathcal{K} , \mathcal{M} . Note that the deflation process will destroy the sparsity of \mathcal{K} , \mathcal{M} . To keep the deflated matrices $\tilde{\mathcal{K}}$, $\tilde{\mathcal{M}}$ as sparse as possible, after computing a number of positive eigenvalues, we first restore those eigenvalues that have been deflated to ∞ back to the matrix pair and deflate the positive eigenvalues that were just computed.

3.2 Case 1 with Neumann condition

Here, the stiffness matrix \mathcal{K} of (13) becomes

$$\mathcal{K} = \begin{bmatrix} K_0^I & 0 & 0 & K_0^{I\Sigma} & K_0^{IB} & 0 \\ 0 & -K_0^I & 0 & 0 & K_0^{IB} & K_0^{I\Sigma} \\ 0 & 0 & K_0^D & K_0^{D\Sigma} & 0 & 0 \\ (K_0^{I\Sigma})^\top & 0 & (K_0^{D\Sigma})^\top & K_0^\Sigma & 0 & 0 \\ (K_0^{IB})^\top & (K_0^{IB})^\top & 0 & 0 & 0 & 0 \\ 0 & (K_0^{I\Sigma})^\top & 0 & 0 & 0 & \tilde{K}_0^\Sigma \end{bmatrix}. \tag{21}$$

We want to point out that \tilde{K}_1^Σ here is evaluated in terms of the elasticity tensor \mathbf{C}_0 since we have $\mathbf{C}_1 = \mathbf{C}_0$. Similarly, we want to find the null space of \mathcal{K} as much as possible. For this purpose, we want to consider the linear system

$$\begin{bmatrix} K_0^I & 0 & 0 & K_0^{I\Sigma} & K_0^{IB} & 0 \\ 0 & -K_0^I & 0 & 0 & K_0^{IB} & K_0^{I\Sigma} \\ 0 & 0 & K_0^D & K_0^{D\Sigma} & 0 & 0 \\ (K_0^{I\Sigma})^\top & 0 & (K_0^{D\Sigma})^\top & K_0^\Sigma & 0 & 0 \\ (K_0^{IB})^\top & (K_0^{IB})^\top & 0 & 0 & 0 & 0 \\ 0 & (K_0^{I\Sigma})^\top & 0 & 0 & 0 & \tilde{K}_0^\Sigma \end{bmatrix} \begin{bmatrix} \mathbf{u}_1 \\ -\mathbf{u}_1 \\ \mathbf{u}_3 \\ \mathbf{u}_4 \\ \mathbf{u}_5 \\ \mathbf{u}_6 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}. \tag{22}$$

By the first and second equations of (22), we have

$$K_0^{I\Sigma}(\mathbf{u}_4 - \mathbf{u}_6) = 0.$$

Reasoning as above, we can assume that $K_0^{I\Sigma}$ is of full rank and hence $\mathbf{u}_4 - \mathbf{u}_6 = 0$, i.e., $\mathbf{u}_4 = \mathbf{u}_6$. It follows from the last equation of (22) that

$$(K_0^{I\Sigma})^\top \mathbf{u}_1 = \tilde{K}_0^\Sigma \mathbf{u}_4. \tag{23}$$

Substituting (23) into the fourth equation of (22) and combining its third equation gives

$$\begin{bmatrix} K_0^D & K_0^{D\Sigma} \\ (K_0^{D\Sigma})^\top & (K_0^\Sigma + \tilde{K}_0^\Sigma) \end{bmatrix} \begin{bmatrix} \mathbf{u}_3 \\ \mathbf{u}_4 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}. \tag{24}$$

Since the matrix in (24) is symmetric and its diagonal matrices are positive-definite, its rank is most likely small if it is singular. Therefore, we can take $\mathbf{u}_3 = \mathbf{u}_4 = 0$ and (23) leads to $(K_0^{I\Sigma})^\top \mathbf{u}_1 = 0$. Using the first or the second equation again, we thus conclude that

$$\mathcal{A} \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_5 \end{bmatrix} := \begin{bmatrix} K_0^I & K_0^{IB} \\ (K_0^{I\Sigma})^\top & 0 \end{bmatrix} \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_5 \end{bmatrix} = 0. \tag{25}$$

Hence if $[\mathbf{u}_1^\top, \mathbf{u}_5^\top] \neq 0$ satisfies (25), then

$$\begin{bmatrix} \mathbf{u}_1 \\ -\mathbf{u}_1 \\ 0 \\ 0 \\ \mathbf{u}_5 \\ 0 \end{bmatrix}$$

is a null vector of \mathcal{K} in (21). Having discovered most of null vectors of \mathcal{K} , we then solve the GEP (13) by the JD method with the deflation technique described as before.

3.3 Case 2 with Dirichlet condition

In this case, we have $M_1^I = M_0^I, M_1^{IB} = M_0^{IB}, M_1^B = M_0^B$ and the mass matrix \mathcal{M} is reduced to

$$\mathcal{M} = \begin{bmatrix} M_0^I & 0 & 0 & M_0^{I\Sigma} & M_0^{IB} \\ 0 & -M_0^I & 0 & 0 & M_0^{IB} \\ 0 & 0 & M_0^D & M_0^{D\Sigma} & 0 \\ (M_0^{I\Sigma})^\top & 0 & (M_0^{D\Sigma})^\top & M_0^\Sigma & 0 \\ (M_0^{IB})^\top & (M_0^{IB})^\top & 0 & 0 & 0 \end{bmatrix}.$$

This reduced mass matrix has exactly the same form as \mathcal{K} in (11). Arguing as above, we find the null space of \mathcal{M} , which corresponds to ∞ eigenvalues of (10). Since we are interested in locating first 500 positive eigenvalues, we do not need to carry out this step in the JD method.

3.4 Case 2 with Neumann condition

Similar to the case above, we have $M_1^I = M_0^I, M_1^{IB} = M_0^{IB}, M_1^B = M_0^B, M_1^{I\Sigma} = M_0^{I\Sigma}$, and $\tilde{M}_1^\Sigma = \tilde{M}_0^\Sigma$. Thus, the mass matrix becomes

$$\mathcal{M} = \begin{bmatrix} M_0^I & 0 & 0 & M_0^{I\Sigma} & M_0^{IB} & 0 \\ 0 & -M_0^I & 0 & 0 & M_0^{IB} & M_0^{I\Sigma} \\ 0 & 0 & M_0^D & M_0^{D\Sigma} & 0 & 0 \\ (M_0^{I\Sigma})^\top & 0 & (M_0^{D\Sigma})^\top & M_0^\Sigma & 0 & 0 \\ (M_0^{IB})^\top & (M_0^{IB})^\top & 0 & 0 & 0 & 0 \\ 0 & (M_0^{IB})^\top & 0 & 0 & 0 & \tilde{M}_0^\Sigma \end{bmatrix};$$

while the stiffness matrix \mathcal{K} is given by (14). This case can be treated similarly as in Sect. 3.3.

4 Numerical strategies

We want to apply the JD method and the deflation technique to solve the GEP: $\mathcal{L}(\lambda)\mathbf{z} := (\mathcal{K} - \lambda\mathcal{M})\mathbf{z} = 0$. Roughly speaking, we will apply the JD method to the deflated pencil $\tilde{\mathcal{L}}(\lambda) := \tilde{\mathcal{K}} - \lambda\tilde{\mathcal{M}}$. In Case 1, we first deflate the zero eigenvalues to infinity and then apply the JD method to the deflated system to locate a small group of positive eigenvalues (15–20 positive eigenvalues). In Case 2, we begin with the direct implementation of the JD method to $\mathcal{L}(\lambda)$ and locate a small group of positive eigenvalues. Before continuing with the JD method, we first deflate these eigenvalues to infinity. However, we would not keep deflating found eigenvalues to infinity, because that will destroy the sparsity of the system. It is important to restore the previously deflated eigenvalues (including the zero eigenvalues in Case 1) before further deflation. In doing so, we always perturb $\mathcal{L}(\lambda)$ by matrices of lower ranks.

To make the paper self-contained, we outline the JD method here (also see [24]). Let $V_k = [\mathbf{v}_1, \dots, \mathbf{v}_k]$ be a given orthogonal matrix and let $(\theta_k, \mathbf{u}_k), \theta_k \neq 0$, be a Ritz pair (an approximate eigenpair) of $\tilde{\mathcal{L}}(\lambda)$, i.e., $\mathbf{u}_k = V_k \mathbf{s}_k$ and (θ_k, \mathbf{s}_k) is an eigenpair of $V_k^T \tilde{\mathcal{L}}(\lambda) V_k$, namely,

$$V_k^T \tilde{\mathcal{L}}(\theta_k) V_k \mathbf{s}_k = 0 \tag{26}$$

with $\|\mathbf{s}_k\| = 1$. Since $V_k^T \tilde{\mathcal{L}}(\theta_k) V_k$ is of lower rank, (26) can be solved by a usual eigenvalue solver.

Starting from the Ritz pair (θ_k, \mathbf{u}_k) , we aim to find a correction direction $\mathbf{t} \perp \mathbf{u}_k$ such that

$$\tilde{\mathcal{L}}(\lambda)(\mathbf{u}_k + \mathbf{t}) = 0. \tag{27}$$

Let

$$\mathbf{r}_k = \tilde{\mathcal{L}}(\theta_k)\mathbf{u}_k \tag{28}$$

be the residual vector of $\tilde{\mathcal{L}}(\lambda)$ corresponding to the Ritz pair (θ_k, \mathbf{u}_k) . To solve \mathbf{t} in (27), we rewrite and expand (27)

$$\begin{aligned} \tilde{\mathcal{L}}(\lambda)\mathbf{t} &= -\tilde{\mathcal{L}}(\lambda)\mathbf{u}_k = -\mathbf{r}_k + (\tilde{\mathcal{L}}(\theta_k) - \tilde{\mathcal{L}}(\lambda))\mathbf{u}_k \\ &= -\mathbf{r}_k + (\lambda - \theta_k)\tilde{\mathcal{M}}\mathbf{u}_k. \end{aligned} \tag{29}$$

Using the fact that

$$\mathbf{u}_k^\top \mathbf{r}_k = \mathbf{u}_k^\top \tilde{\mathcal{L}}(\theta_k)\mathbf{u}_k = \mathbf{s}_k^\top V_k^\top \tilde{\mathcal{L}}(\theta_k) V_k \mathbf{s}_k = 0,$$

we multiply $\left(I - \frac{\tilde{\mathcal{M}}\mathbf{u}_k\mathbf{u}_k^\top}{\mathbf{u}_k^\top\tilde{\mathcal{M}}\mathbf{u}_k}\right)$ on both sides of (29) to eliminate the term $(\lambda - \theta_k)$ and get

$$\left(I - \frac{\tilde{\mathcal{M}}\mathbf{u}_k\mathbf{u}_k^\top}{\mathbf{u}_k^\top\tilde{\mathcal{M}}\mathbf{u}_k}\right)\tilde{\mathcal{L}}(\lambda)\mathbf{t} = -\mathbf{r}_k. \tag{30}$$

Next, applying the orthogonal projection $(I - \mathbf{u}_k\mathbf{u}_k^\top)$ and approximating $\tilde{\mathcal{L}}(\lambda)$ by $\tilde{\mathcal{L}}(\theta_k)$, we then have the following correction equation:

$$\left(I - \frac{\tilde{\mathcal{M}}\mathbf{u}_k\mathbf{u}_k^\top}{\mathbf{u}_k^\top\tilde{\mathcal{M}}\mathbf{u}_k}\right)\tilde{\mathcal{L}}(\theta_k)(I - \mathbf{u}_k\mathbf{u}_k^\top)\mathbf{t} = -\mathbf{r}_k \quad \text{with } \mathbf{t} \perp \mathbf{u}_k. \tag{31}$$

In designing a stopping criterion in the JD method, we will check the residual of $\mathcal{L}(\theta_k)\mathbf{w}_k$ because of the consideration of efficiency, where (θ_k, \mathbf{w}_k) is a Ritz pair of $\mathcal{L}(\lambda)$ related to (θ_k, \mathbf{u}_k) . Moreover, in the deflation process (see Theorem 1), we need to make use of the eigenpairs of the original pencil $\mathcal{L}(\lambda)$. Therefore, it is required to transform the Ritz pair (θ_k, \mathbf{u}_k) of $\tilde{\mathcal{L}}(\lambda)$ to a Ritz pair (θ_k, \mathbf{w}_k) of $\mathcal{L}(\lambda)$. Recall that $\tilde{\mathcal{L}}(\lambda) = \tilde{\mathcal{K}} - \lambda\tilde{\mathcal{M}}$, where

$$\begin{aligned} \tilde{\mathcal{K}} &= \mathcal{K} - \alpha\mathcal{M}X_0Y_0^\top\mathcal{M}, \\ \tilde{\mathcal{M}} &= \mathcal{M} - \mathcal{M}X_0Y_0^\top\mathcal{M}, \end{aligned}$$

where

$$\mathcal{K}X_0 = \mathcal{M}X_0\Lambda_0, \quad X_0 \in \mathbb{R}^{s \times r}, \quad \Lambda_0 \in \mathbb{R}^{r \times r}, \quad s = 2(2n_I + n_D + m_\Sigma + m_B),$$

Y_0 satisfies $Y_0^\top\mathcal{M}X_0 = I_r$, and $\alpha \notin \text{Spec}(\Lambda_0)$.

Theorem 2 *Let (θ, \mathbf{u}) , $\theta \neq 0$ and $\theta \notin \text{Spec}(\Lambda_0) \cup \{\alpha\}$, be an eigenpair of $\tilde{\mathcal{L}}(\lambda)$, i.e., $\tilde{\mathcal{L}}(\theta)\mathbf{u} = 0$. Then (θ, \mathbf{w}) is an eigenpair of $\mathcal{L}(\lambda)$, where*

$$\mathbf{w} = \mathbf{u} - X_0\mathbf{q} \tag{32}$$

and $\mathbf{q} = (\alpha - \theta)(\Lambda_0 - \theta I_r)^{-1}Y_0^\top\mathcal{M}\mathbf{u}$.

Proof Assume that (θ, \mathbf{u}) satisfy

$$\tilde{\mathcal{L}}(\theta)\mathbf{u} = (\tilde{\mathcal{K}} - \theta\tilde{\mathcal{M}})\mathbf{u} = 0. \tag{33}$$

Consider $\mathbf{w} = \mathbf{u} - X_0\mathbf{q}$, where $\mathbf{q} \in \mathbb{R}^{r \times 1}$ will be determined later. We now write (33) as

$$(\mathcal{K} - \alpha\mathcal{M}X_0Y_0^\top\mathcal{M})(\mathbf{w} + X_0\mathbf{q}) = \theta(\mathcal{M} - \mathcal{M}X_0Y_0^\top\mathcal{M})(\mathbf{w} + X_0\mathbf{q}). \tag{34}$$

In view of (34), $\mathcal{K}\mathbf{w} = \theta\mathcal{M}\mathbf{w}$ if and only if

$$\begin{aligned} \mathcal{K}X_0\mathbf{q} - \alpha\mathcal{M}X_0Y_0^\top\mathcal{M}\mathbf{u} &= \mathcal{M}X_0\Lambda_0\mathbf{q} - \alpha\mathcal{M}X_0Y_0^\top\mathcal{M}\mathbf{u} \\ &= \theta\mathcal{M}X_0\mathbf{q} - \theta\mathcal{M}X_0Y_0^\top\mathcal{M}\mathbf{u}, \end{aligned}$$

i.e.,

$$\mathcal{M}X_0(\Lambda_0 - \theta I_r)\mathbf{q} = (\alpha - \theta)\mathcal{M}X_0Y_0^\top\mathcal{M}\mathbf{u}. \tag{35}$$

Multiplying Y_0^\top on both sides of (35) and using $Y_0^\top\mathcal{M}X_0 = I_r$, one obtains that

$$\mathbf{q} = (\alpha - \theta)(\Lambda_0 - \theta I_r)^{-1}Y_0^\top\mathcal{M}\mathbf{u}.$$

□

Algorithm 1 Deflated stiffness and mass matrices

Input: Stiffness matrix \mathcal{K} , Mass matrix \mathcal{M} , eigenvectors X_1 with associated eigenvalues Λ_0 , and a α not in Λ_0

Output: Deflated stiffness matrix $\tilde{\mathcal{K}}$, deflated mass matrix $\tilde{\mathcal{M}}$, and an additional matrix Y_0

- 1: Compute $Y_0 = \mathcal{M}X_1$;
 - 2: Decompose $Y_0 = UDV^T$ by svd;
 - 3: Update $Y_0 = VD^{-1}U^T$;
 - 4: Compute $\tilde{\mathcal{K}} = \mathcal{K} - \alpha\mathcal{M}X_0Y_0^T\mathcal{M}$ and $\tilde{\mathcal{M}} = \mathcal{M} - \mathcal{M}X_1Y_0^T\mathcal{M}$;
-

Algorithm 2 Jacobi-Davidson with partial deflation

Input: Stiffness matrix \mathcal{K} , mass matrix \mathcal{M} , the initial projected matrix V , expected eigenvalue number p , and deflated number l

Output: $(\lambda_j, \mathbf{x}_j)$ satisfying $\mathcal{L}(\lambda_j)\mathbf{x}_j \equiv (\mathcal{K} - \lambda_j\mathcal{M})\mathbf{x}_j = 0$ for $j = 1, \dots, p$

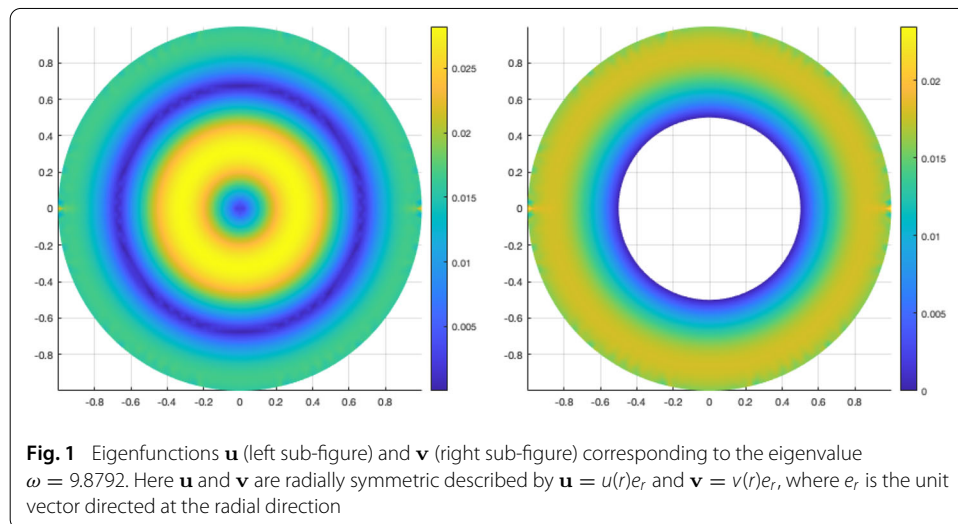
- 1: **if (Case 1) then**
 - 2: Use **Algorithm 1** to deflate zero eigenvalues and obtain matrices $\tilde{\mathcal{K}}$ and $\tilde{\mathcal{M}}$;
 - 3: Set $X_0 = X_1$ and $\Theta = \Lambda_0$;
 - 4: **else**
 - 5: Set $\tilde{\mathcal{K}} = \mathcal{K}$ and $\tilde{\mathcal{M}} = \mathcal{M}$;
 - 6: Set $X_0 = []$ and $\Theta = []$;
 - 7: **end if**
 - 8: **for** $(j = 1, \dots, p)$ **do**
 - 9: Compute $W_{\mathcal{K}} = \tilde{\mathcal{K}}V$, $W_{\mathcal{M}} = \tilde{\mathcal{M}}V$, $H_{\mathcal{K}} = V^*W_{\mathcal{K}}$, and $H_{\mathcal{M}} = V^*W_{\mathcal{M}}$;
 - 10: **while** (the desired eigenpair does not converge) **do**
 - 11: Compute (θ, \mathbf{s}) with $\|\mathbf{s}\|_2 = 1$ such that $(H_{\mathcal{K}} - \theta H_{\mathcal{M}})\mathbf{s} = 0$;
 - 12: Compute $\mathbf{u} = V\mathbf{s}$, $\mathbf{p} = \tilde{\mathcal{L}}'(\theta)\mathbf{u}$, and $\mathbf{r} = \tilde{\mathcal{L}}(\theta)\mathbf{u}$;
 - 13: Solve a correction vector $\mathbf{t} \perp \mathbf{u}$ from the correction equation;
 - 14: Orthogonalize \mathbf{t} against V and set $\mathbf{v} = \mathbf{t}/\|\mathbf{t}\|_2$;
 - 15: Compute $\mathbf{w}_{\mathcal{K}} = \tilde{\mathcal{K}}\mathbf{v}$, $\mathbf{w}_{\mathcal{M}} = \tilde{\mathcal{M}}\mathbf{v}$ and expand

$$H_{\mathcal{K}} = \begin{bmatrix} H_{\mathcal{K}} & V^*\mathbf{w}_{\mathcal{K}} \\ \mathbf{v}^*W_{\mathcal{K}} & \mathbf{v}^*\mathbf{w}_{\mathcal{K}} \end{bmatrix}, \quad H_{\mathcal{M}} = \begin{bmatrix} H_{\mathcal{M}} & V^*\mathbf{w}_{\mathcal{M}} \\ \mathbf{v}^*W_{\mathcal{M}} & \mathbf{v}^*\mathbf{w}_{\mathcal{M}} \end{bmatrix};$$
 - 16: Expand $V = [V \ \mathbf{v}]$, $W_{\mathcal{K}} = [W_{\mathcal{K}} \ \mathbf{w}_{\mathcal{K}}]$, and $W_{\mathcal{M}} = [W_{\mathcal{M}} \ \mathbf{w}_{\mathcal{M}}]$;
 - 17: **end while**
 - 18: Set $\lambda_j = \theta$ and $\mathbf{z}_j = \mathbf{u}$;
 - 19: Compute $\mathbf{x}_j = \mathbf{z}_j - X_0\mathbf{q}$ where $\mathbf{q} = (\alpha - \lambda_j)(\Theta - \lambda_j I_r)^{-1}Y_0^T\mathcal{M}\mathbf{z}_j$;
 - 20: **if** $(j \leq l)$ **then**
 - 21: Set $X_0 = [X_0 \ \mathbf{x}_j]$ and $\Theta = \begin{bmatrix} \Theta & 0 \\ 0 & \lambda_j \end{bmatrix}$;
 - 22: **else**
 - 23: Set $X_0 = [X_0(:, 2:l) \ \mathbf{x}_j]$ and $\Theta = \begin{bmatrix} \Theta(2:l, 2:l) & 0 \\ 0 & \lambda_j \end{bmatrix}$;
 - 24: **end if**
 - 25: Use **Algorithm 1** to obtain new deflated matrices $\tilde{\mathcal{K}}$ and $\tilde{\mathcal{M}}$;
 - 26: Update the initial matrix V ;
 - 27: **end for**
-

By Theorem 2, if we have found a Ritz pair (θ_k, \mathbf{u}_k) of $\tilde{\mathcal{L}}(\lambda)$ in the JD algorithm, we then transform \mathbf{u}_k into \mathbf{w}_k using (32) and check the stopping criterion to determine whether (θ_k, \mathbf{w}_k) is a good approximation of the eigenpair for $\mathcal{L}(\lambda)$. We summarize our method in the following two algorithms. In Algorithm 1, we perform the deflation. Algorithm 2 describes the JD method (with partial deflation).

Table 2 Comparison of eigenvalues obtained in [7] and computed from the GEP for Case 1 with Dirichlet boundary condition

ω	Values obtained in [7]	Values obtained from GEP
1	9.8599	9.8792
2	19.1916	19.3240
3	26.7594	27.1291
4	36.0246	36.8537



Before presenting our numerical results in the next section, we would like to validate the GEP deduced from the FEM. In other words, the eigenvalues of the GEP are indeed approximations of the interior transmission eigenvalues. To this end, we compare the theoretical computation for the radially symmetric transmission eigenfunctions obtained in [7] with the eigenvalues of the GEP. For simplicity, we only consider the result of Case 1 with the zero Dirichlet boundary condition. According to [7], Ω is a disk of radius 1 and the embedded obstacle D is a disk of radius 0.5. The parameters are set to $\mu = \lambda = 1$, $\rho_0 = 1$ and $\rho_1 = 0.5$. Note that the symmetry is in the sense of $\mathbf{u} = u_1^2 + u_2^2$ and $\mathbf{v} = v_1^2 + v_2^2$. The comparison is given in Table 2. We also demonstrate that the eigenfunctions computed from the GEP are indeed radially symmetric, as shown in Figs. 1 and 2.

5 Numerical results

In our numerical simulations, we consider an isotropic elasticity system. We test our method for four different embedded obstacles inside the domain of the circle centered at the origin with radius 6; i.e., $\Omega = \{(x, y) : x^2 + y^2 < 6^2\}$. The dimensions of the four obstacles are shown in Table 4. Standard triangular meshes with equal mesh lengths of approximately 0.04 are generated in the FEM. The number of points for each part is also shown in Table 3. Since we are dealing with an elasticity system in the plane, the dimensions of the stiffness and mass matrices in Table 3 are doubled. The size of each matrix is approximately $300,000 \times 300,000$. The parameters for Case 1 are $\mu_0 = \lambda_0 = 1$, $\mu_1 = \lambda_1 = 1$, $\rho_0 = 5$, and $\rho_1 = 1$, while those for Case 2 are $\mu_0 = \lambda_0 = 2$, $\mu_1 = \lambda_1 = 1$, and $\rho_0 = \rho_1 = 1$. All computations were carried out in MATLAB R2020a. Additionally, the hardware configurations used were two servers equipped with Intel 32-Core Xeon E5-

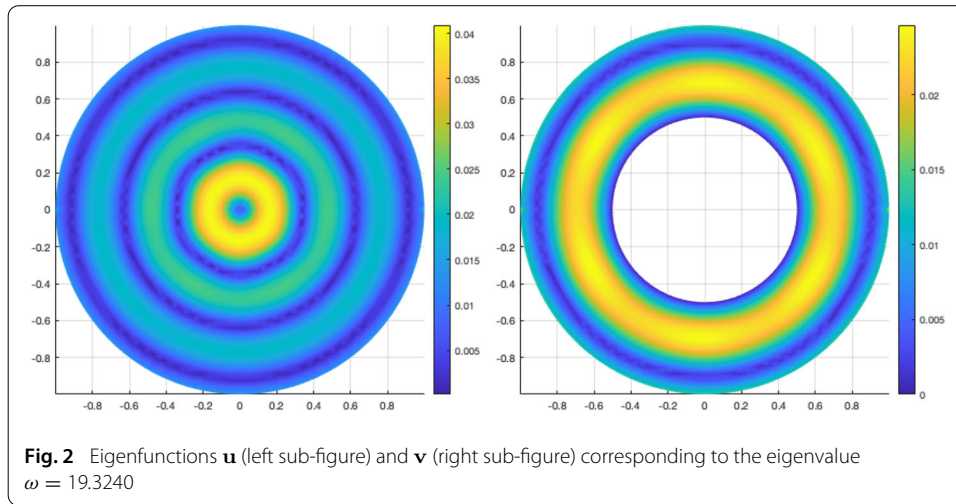


Table 3 Meshes for different obstacles (the mesh size h of FEM is approximately **0.04**)





	Disc	Ellipse	Square	Dumbbell
				
m_B	948	948	948	948
n_I	71593	76140	69114	69571
m_Σ	315	315	400	434
n_D	9068	4531	11442	11097
Total	81924	81934	81904	82050
GEP sizes	307034	316148	302036	303242

Table 4 Dimensions of embedded obstacles

Disc	$\{(x, y) : x^2 + y^2 \leq 2^2\}$
Ellipse	$\{(x, y) : x^2/4 + y^2 \leq 1\}$
Square	$\{(x, y) : -2 \leq x \leq 2, -2 \leq y \leq 2\}$
Dumbbell	$\{(x, y) : x \leq 1, y \leq 1\} \cup \{(x, y) : (x \pm 2)^2 + y^2 = 2\}$

2650 2.60 GHz CPUs with 125.72 GB and Intel Octa-Core Xeon E5520 2.27 GHz CPUs with 70.79 GB.

The major step in our paper [8] is to transform the GEP to a QEP in which the zero eigenvalues are deflated to infinity. Unfortunately, the same approach fails in the GEP considered here, so we must solve the GEP directly. To explain the difficulty of finding positive eigenvalues of the GEP by the JD method, we demonstrate the distribution of eigenvalues for a toy model in Figs. 3 (Case 1) and 4 (Case 2), where the size of the matrix is 1850×1850 . In both cases, positive eigenvalues are surrounded by complex eigenvalues. However, in Case 1 (Fig. 3), there exist a large number of zero eigenvalues (the larger the matrix is, the larger the number of zeros). Therefore, to compute the positive eigenvalues, we must first deflate the zero eigenvalues to infinity (Algorithm 1) and apply the JD method to the deflated matrices. Moreover, in both cases, positive eigenvalues are surrounded by complex eigenvalues, and we also need to deflate them to find our desired eigenvalues.

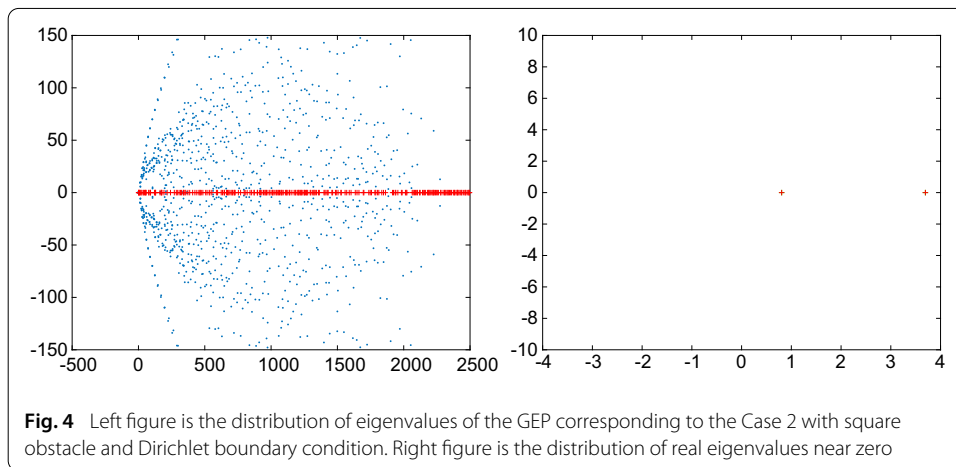
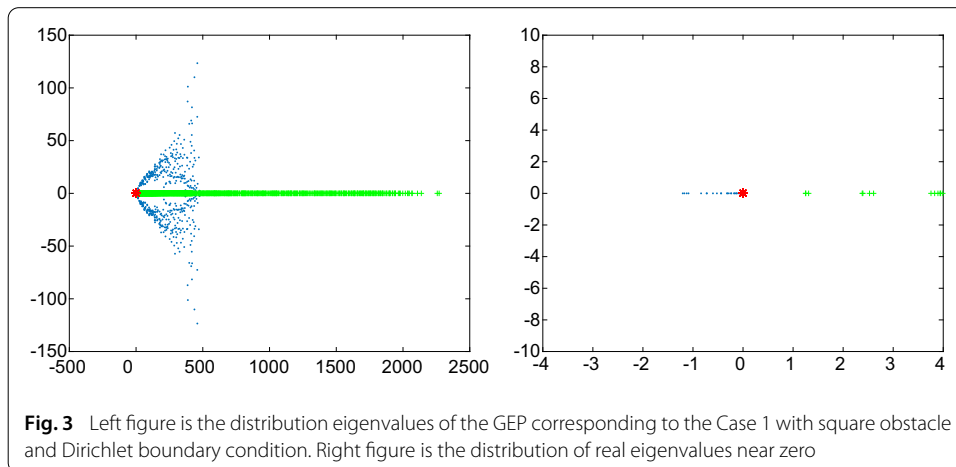
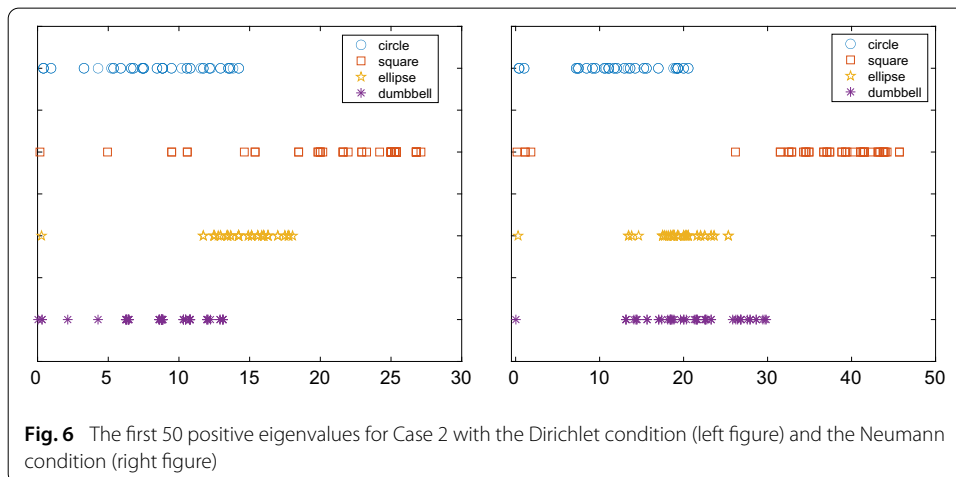
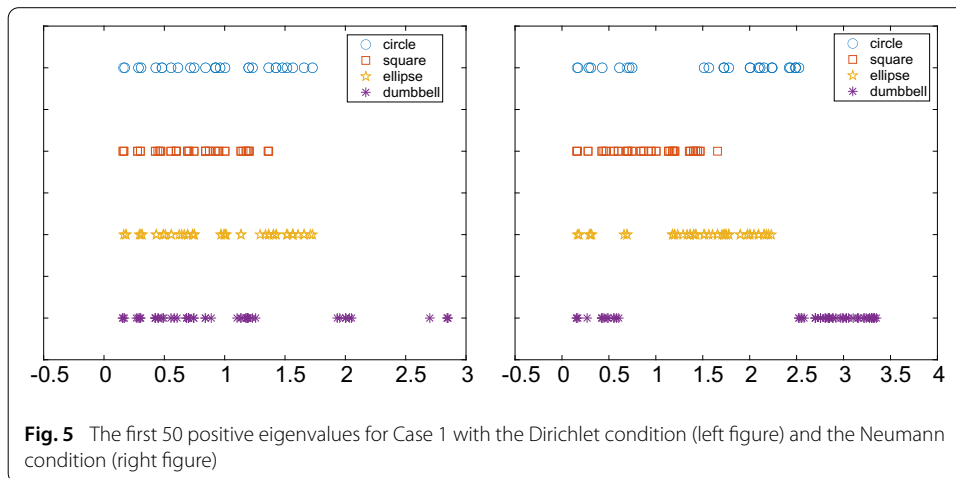


Table 5 Values of first positive eigenvalue for four obstacles

Obstacle	Case 1, Dirichlet	Case 1, Neumann	Case 2, Dirichlet	Case 2, Neumann
Circle	0.15978	0.15963	0.40922	0.39211
Square	0.15593	0.15578	0.16898	0.16303
Ellipse	0.16367	0.16352	0.28138	0.28958
Dumbbell	0.15328	0.15311	0.03727	0.02973

5.1 Distribution of positive eigenvalues

The simulation results for the Dirichlet and the Neumann boundary conditions on ∂D are described in more detail below. Recall that in Case 1, we choose $\mu_0 = \lambda_0 = 1$, $\mu_1 = \lambda_1 = 1$, $\rho_0 = 5$, $\rho_1 = 1$, and in Case 2, we take $\mu_0 = \lambda_0 = 2$, $\mu_1 = \lambda_1 = 1$, and $\rho_0 = \rho_1 = 1$. In Fig. 5, we plot the first 50 positive eigenvalues for Case 1 with either the Dirichlet or the Neumann boundary condition on the obstacles. Figure 6 is the plot of the first 50 positive eigenvalues for Case 2 with either the Dirichlet or the Neumann boundary condition. We also compare the first positive eigenvalues for all the scenarios discussed here; see Table 5.



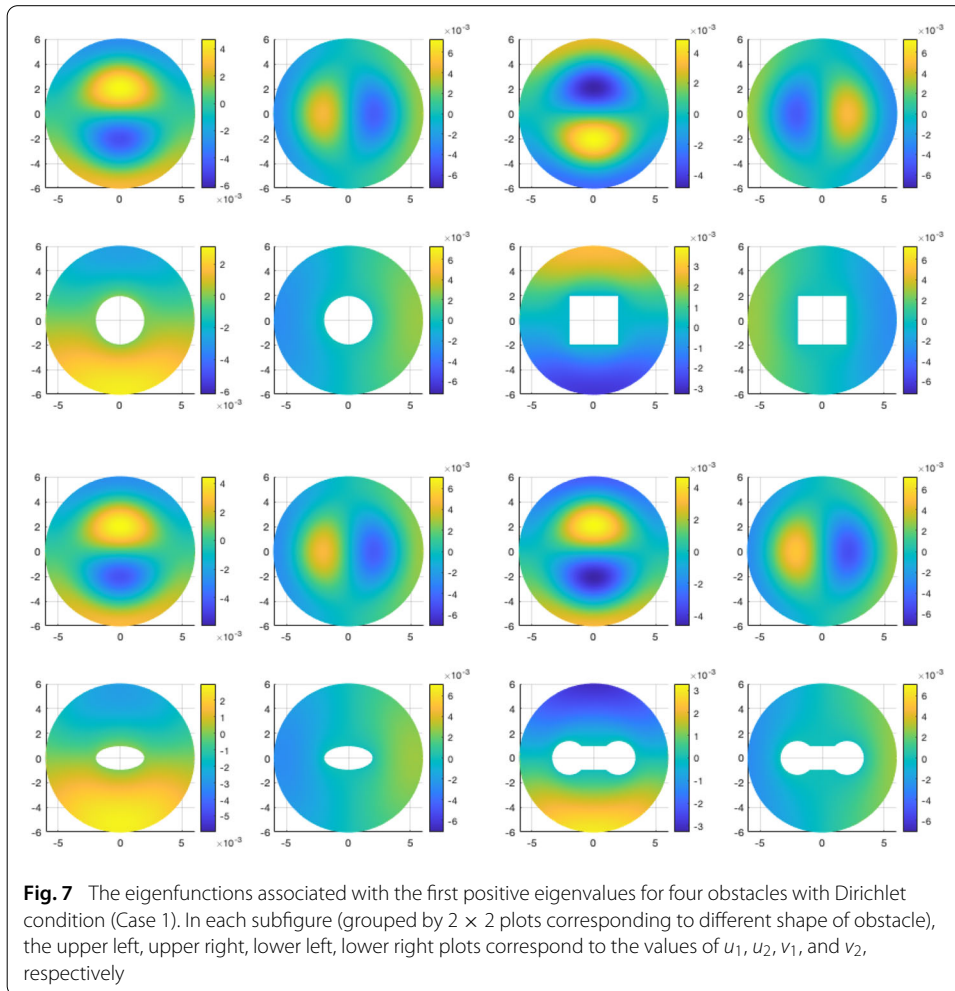
5.2 Interior transmission eigenvalues and near invisibility

As we mentioned in the Introduction, the study of the interior transmission eigenvalues is closely related to the development of some reconstruction methods. On the other hand, the interior transmission eigenvalues are also connected to invisible cloaking. Such connections were studied extensively for acoustic and electromagnetic waves in [17] and [20]. Roughly speaking, one hopes to make the obstacle invisible (more precisely, the obstacle does not perturb the field corresponding to the background equation) by applying layer isotropic media outside of the obstacle. Similar results for elastic scattering have yet to be investigated. Here, we present some numerical observations that, we hope, can provide some insights into the problem for future study.

We plot the eigenfunctions corresponding to the first positive eigenvalues for all cases. We first show the results for Case 1. The eigenfunctions (\mathbf{u}, \mathbf{v}) corresponding to the first positive eigenvalue for four different obstacles with Dirichlet boundary conditions are given in Fig. 7. Figure 8 shows the corresponding results for the Neumann condition.

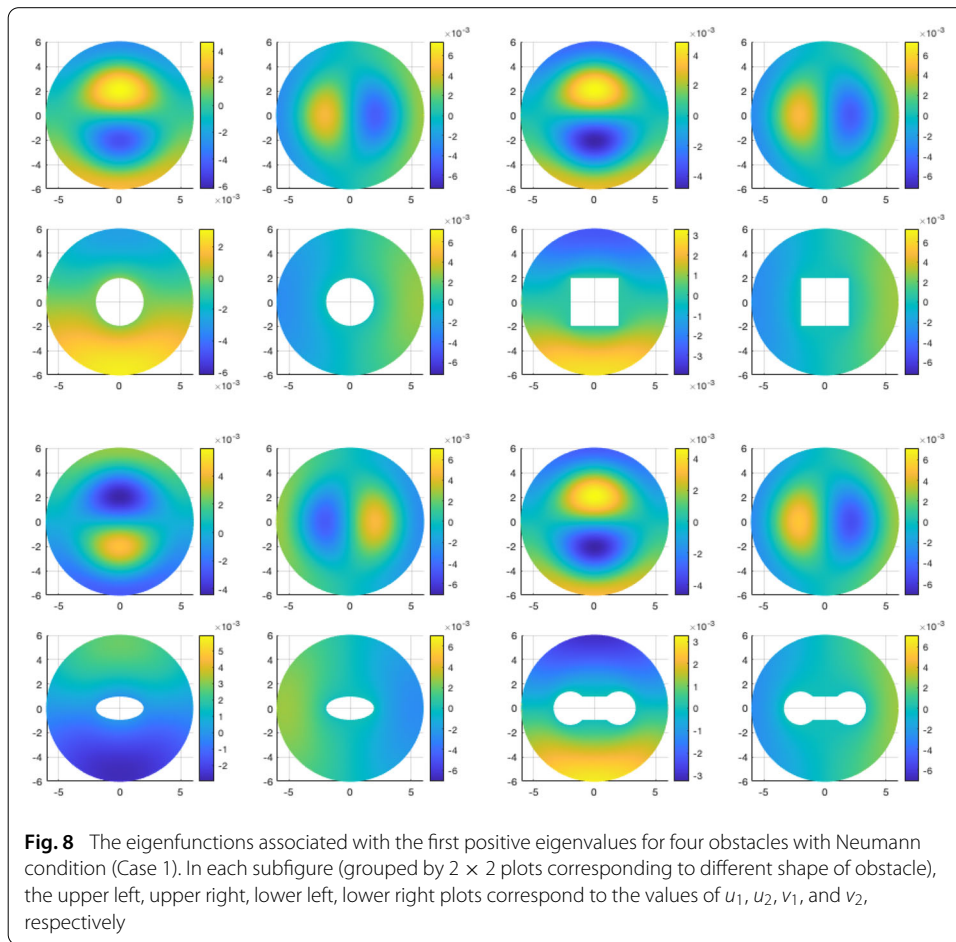
Similar plots for Case 2 with either Dirichlet or Neumann conditions are given in Figs. 9 and 10, respectively.

To interpret Figs. 7, 8, 9, and 10, let $\mathbf{u} = [u_1, u_2]^T$ be a solution of the elasticity system without obstacle D in Ω . Ideally, to achieve invisibility, we expect that the existence of



the obstacle does not perturb the field \mathbf{u} outside of D . In the case of changing only the density function outside of D ; i.e., $\mathbf{C}_0 = \mathbf{C}_1$, where $\rho_0 \neq \rho_1$, the field $\mathbf{v} = [v_1, v_2]^T$ in $\Omega \setminus \tilde{D}$ is clearly different from the background field \mathbf{u} (Figs. 7, 8). Those numerical simulations strongly suggest that changing only the density in $\Omega \setminus \tilde{D}$ is unlikely to make an obstacle invisible.

The situation changes dramatically if we apply a different elasticity tensor outside of D , which is Case 2. Figures 9 and 10 clearly indicate that the background field in $\Omega \setminus \tilde{D}$ is not perturbed by the existence of an obstacle. We observe this phenomenon regardless of the shape of the obstacle or the boundary condition on boundary ∂D . Even though we show the numerical results for only the first positive eigenvalues, we believe that the same phenomenon holds for other positive eigenvalues. In other words, if an incident field, e.g., plane waves or Herglotz waves, can be approximated arbitrarily closely by the linear span of the eigenfunctions corresponding to the positive eigenvalues for the background equation, then the embedded obstacle will produce a small scattered field; that is, the obstacle will be nearly invisible. Consequently, our simulation results provide strong evidence that the invisibility of the obstacle D can most likely be achieved by applying an appropriate elasticity tensor outside of D . Of course, ideally, one would like to find a universal elasticity

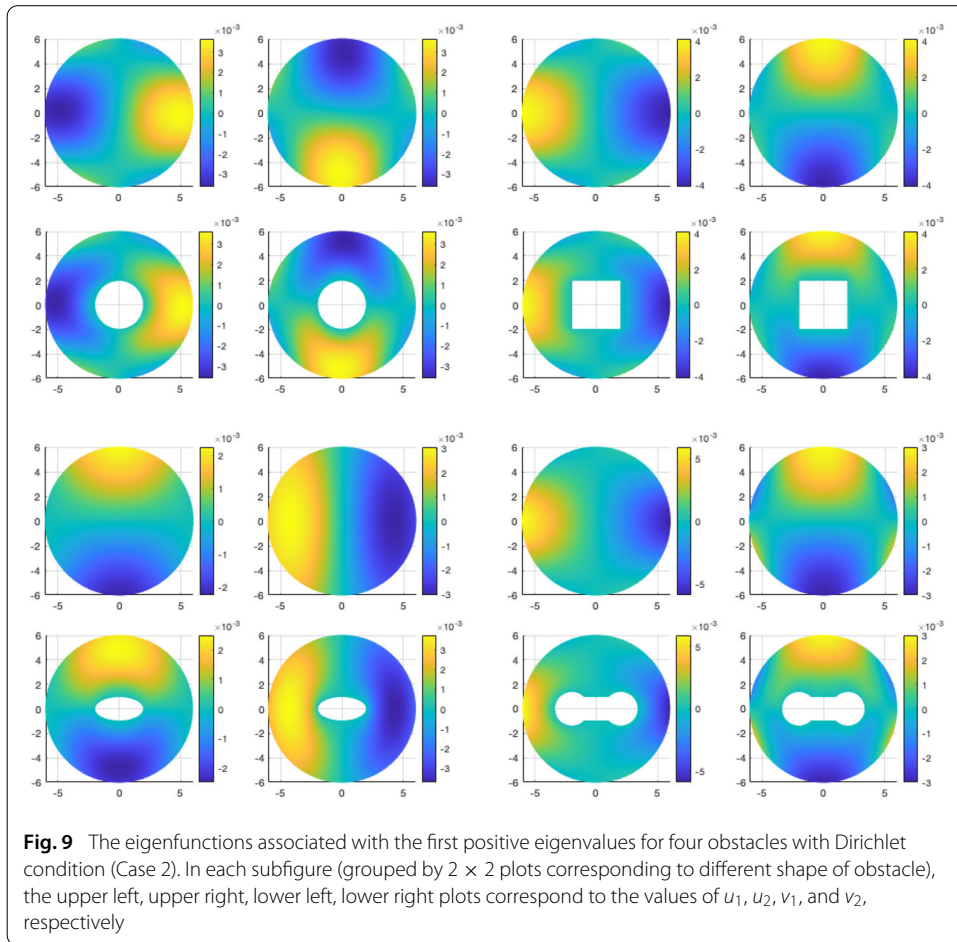


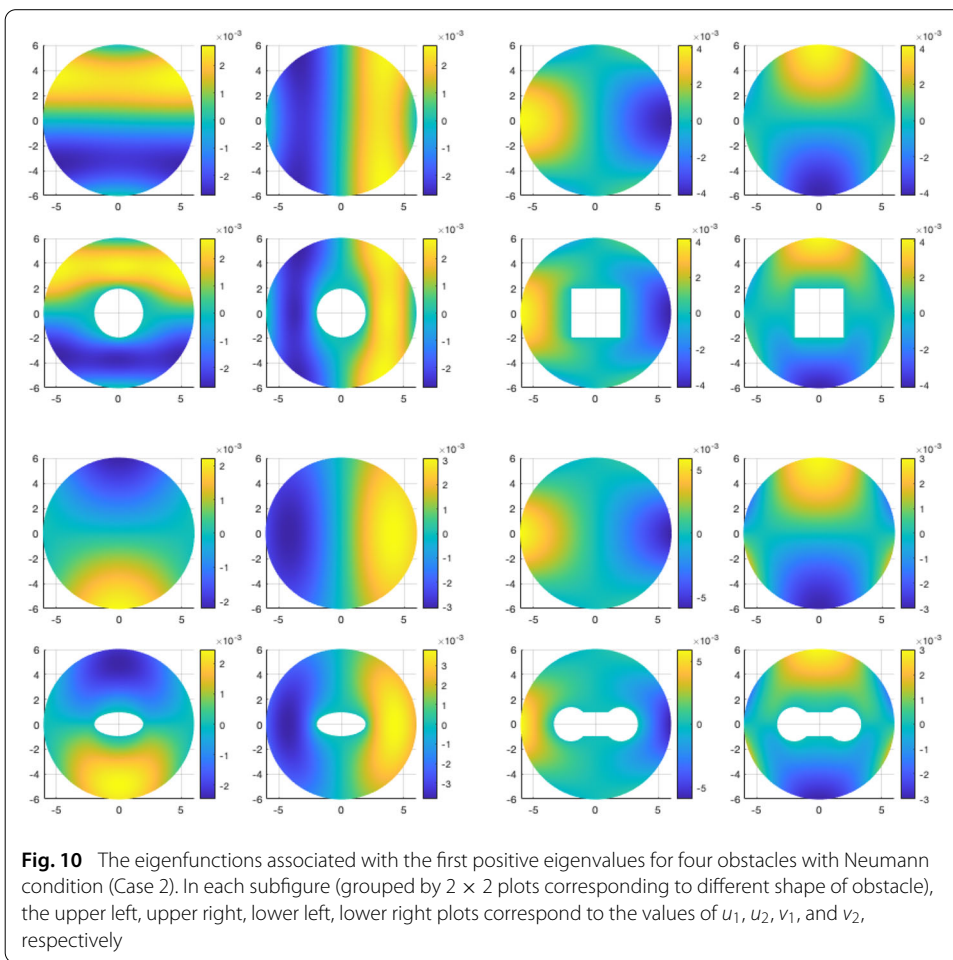
tensor with such an obstacle that is invisible for all frequencies. However, this is still a challenging open problem.

6 Conclusions

In this work, we study the computation of interior transmission eigenvalues for elastic waves containing an obstacle. This problem is inspired by the inverse problem of determining the support of the inhomogeneity and the invisibility cloaking of an obstacle. Using the FEM, we transform the continuous ITEP into a GEP for matrices. We then develop numerical strategies based on the JD method to locate the first 50 positive eigenvalues of the GEP. Notably, in the case of different density functions, we must first deflate zero eigenvalues to infinity to find positive eigenvalues effectively.

The numerical results for Case 2 show some interesting phenomena about the transmission eigenfunction (\mathbf{u}, \mathbf{v}) . It can be observed from Figs. 9 and 10 that the existence of an obstacle does not perturb the field \mathbf{u} in $\Omega \setminus \bar{D}$. We hope that this observation can pave the way to the study of invisibility cloaking of an obstacle in elastic waves.





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Declaration

Conflict of interest

On behalf of all authors, the corresponding author states that there is no conflict of interest.

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